

Disadvantaged Business Enterprise (DBE) Program & Small Business Enterprise (SBE) Program Update



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Applying the Pillars of MTC's Equity Platform



Define and Measure

DBE Program
SBE Program
Monitor and Report



Listen and Learn

Outreach
Advocacy Group
Engagement



Focus and Deliver

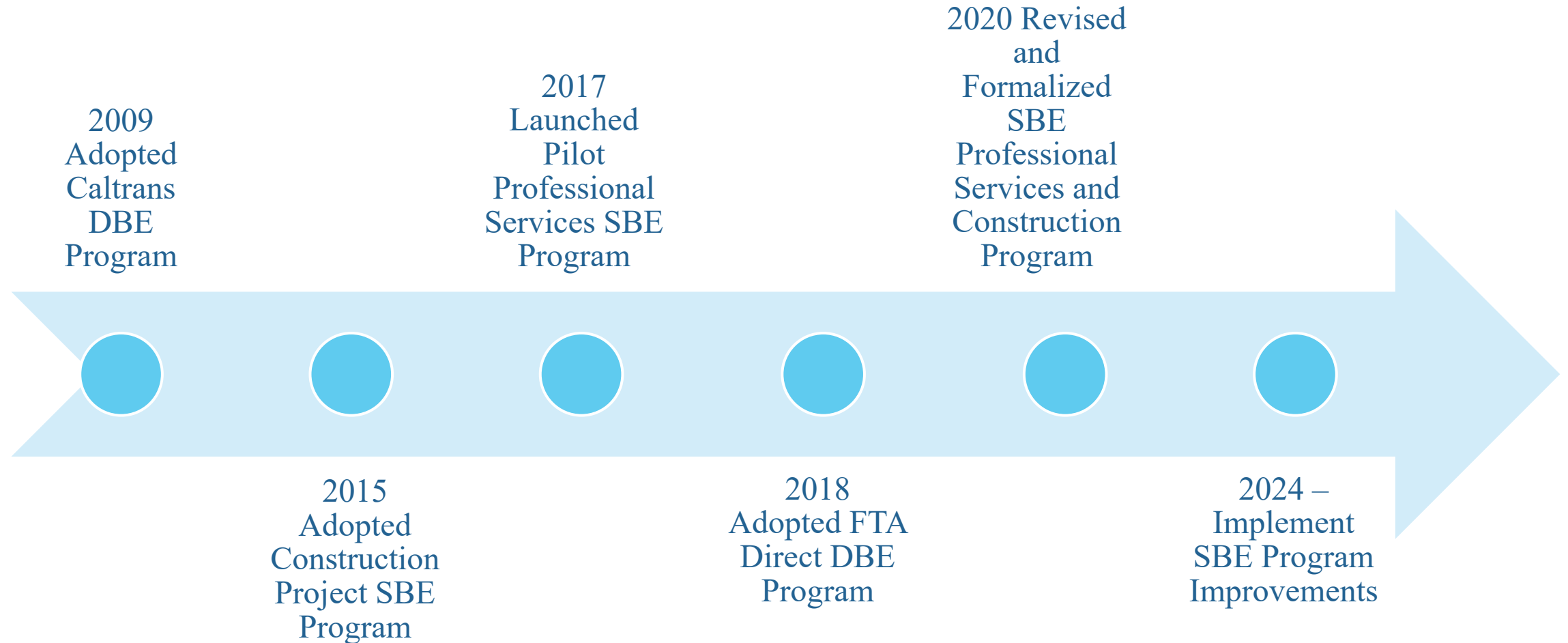
Program
Implementation
and Evolution



Train and Grow

Train:
DBEs/SBEs
Staff

Program Timeline



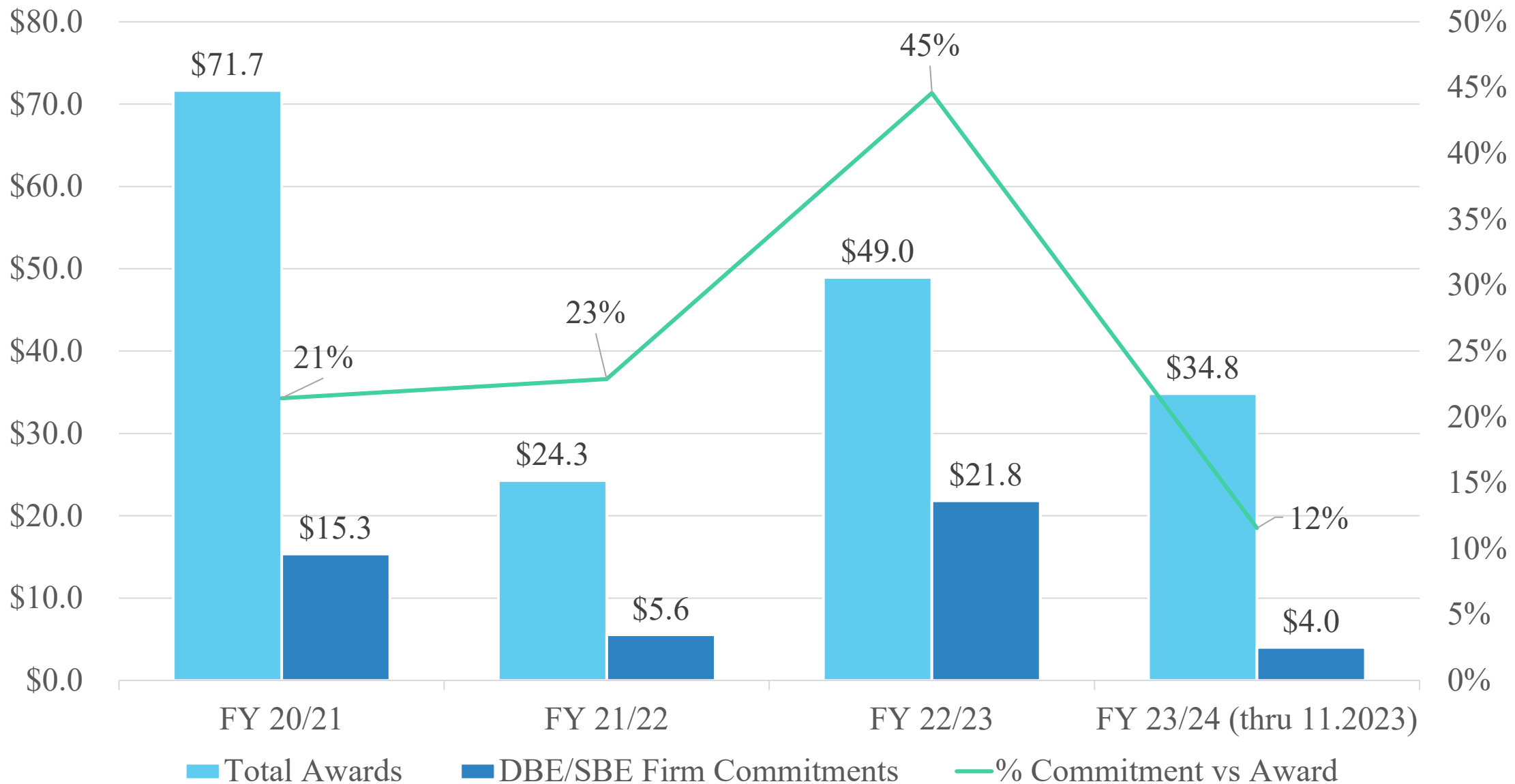
DBE Program

- Federally mandated programs, defined by Code of Federal Regulations and Grantor agencies (i.e. Federal Transit Administration (FTA), Federal Highway Administration (FHWA), Environmental Protection Agency (EPA), etc.)
- Applicable to Federally funded Procurements and Contracts
- Goal based programs with race neutral and race conscious factors
- Prohibits geographic preferences
- Specific requirements must be adhered to protect funding and legal challenge
- **DBE Goals on active contracts range from 0% - 31%**
- **Average DBE Goal on Active Contracts is 18%**

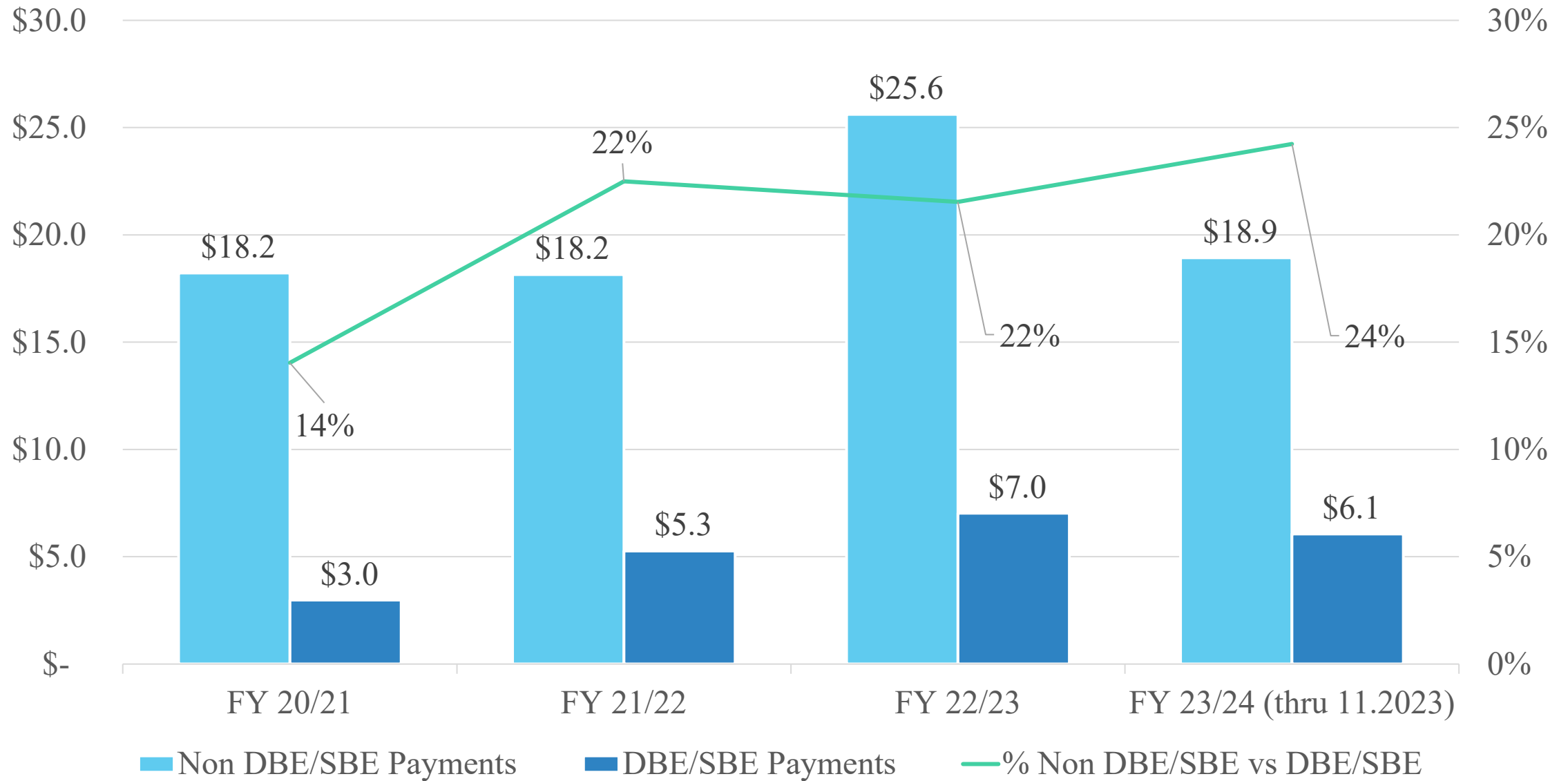
SBE Program (effective 2020)

- MTC and partner agency defined program, race neutral
- Preference based program
- Applicable to all procurements except Federally Funded and Architectural and Engineering (A & E) related work
- Certification as an SBE by the State of California or any other certification that falls under the SBE guidelines from a formal certifying agency in the nine-county Bay Area
- **SBE Commitments under program range from 27% - 100%**
- **Over 75% of awards under program committed to 40%, or greater, SBE Participation and received the 10 point bonus**
- **Average SBE Commitment on Active Contracts under the Program is 77%**

Total Awards vs DBE / SBE Commitments (MTC/ABAG)
Results from April 2020 through November 2023 (in millions)



DBE & SBE Program Payments (Utilization) Results from April 2020 through November 2023 (in millions)



SBE / DBE Program Outreach Strategy

Frequency of Events	Organization	Type of Events
Quarterly	Business Outreach Committee (BOC)	<ul style="list-style-type: none"> • Training, technical assistance, and relationship-building with agency staff and the contracting community of the San Francisco Bay Area. • ‘Look-ahead’ reports of upcoming A&E contracting opportunities • Best practices for small business to access information about contracting with partner agencies and Caltrans.
	Calmentor Program, Caltrans District 4	
Monthly and Quarterly	Conference of Minority Transportation Officials (COMTO)	<ul style="list-style-type: none"> • Legislative initiatives, scholarship, and program opportunities. MTC staff is part of the executive board. • Certification updates, discussion on DBE/ACDBE certification issues. • Certifying and non-certifying agencies share resources and information about upcoming events.
	California Unified Certification Program (CUCP) part 26 & 23	
As requested	Norcal Procurement Technical Assistance Center (PTAC)	<ul style="list-style-type: none"> • One-on-one counseling, custom bid matching, training, and networking opportunities. Resources for federal and state certification and contracting.

Recommended Improvements

Opportunity	Proposed Solution	DBE Program	SBE Program	Equity Platform Component
Procurement Policy & Strategy	Enhance efforts to evolve policies and procurement strategy to increase opportunities for DBE/SBE participation. (i.e. unbundling larger contracts into smaller components)	✓	✓	Listen & Learn
Update Agency Websites	Improve user experience and information available to public regarding programs and DBE/SBE firms	✓	✓	Train & Grow
Implement a Social Media Strategy	Develop and implement a Social Media strategy	✓	✓	Train & Grow
Stakeholder Engagement	Expand outreach to DBE/SBE firms and advocacy groups	✓	✓	Listen & Learn
Training	Offer training sessions for DBEs/SBEs focused on program information, procurements and contract opportunities	✓	✓	Train & Grow
Data Management & Analysis	Improve program data and analysis	✓	✓	Define and Measure

Contacts / Information

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- Detailed information on the SBE and DBE Programs can be found at:
 - SBE Program:
<https://mtc.ca.gov/about-mtc/doing-business/small-business-enterprise-sbe>
 - DBE Program:
<https://mtc.ca.gov/about-mtc/doing-business-mtc/disadvantaged-business-enterprise-program>

Questions