

Bay Area Toll Authority Oversight Committee

September 8, 2021

Agenda Item 4b - 21-1026

BATA FY 2020-21 Fourth Quarter Financial Statements (Unaudited)

Subject: Attached are the BATA financial statements for the fourth quarter ending June 2021 (unaudited).

Preliminary (unaudited) revenue for the Fiscal Year 2020-21 was \$964 million with total expenditures of \$841 million, including Regional Measure 3 (RM3) transfer.

The revenue level is somewhat overstated given the revenue recognition of prior and current year RM3 toll revenue in FY2021. After removing the \$279 million in RM3 revenue and transfer, remaining revenue is \$685 million with expenses of \$562 million leaving an operating surplus of approximately \$123 million.

Traffic on the toll bridges has been recovering better in the final three months of the fiscal year. The fourth quarter financials are preliminary, unaudited and subject to changes upon completion of the annual audit.

Revenue: Total operating revenue for the fiscal year is \$964 million.

The combined RM1 and RM2 toll revenue is approximately \$551 million. Final year-end toll revenue is 7% higher than the FY 2021 budget, but well below prior year revenue.

Total traffic for the year was approximately 113 million vehicles, down 9% from FY 2020 and 20% below FY 2019. Paid toll traffic ended FY 2021 with a 101 million trip count, down 27% from FY 2019. The year ended on a strong note with paid traffic in the last three months of FY 2021 increasing by 50% over the final three months of FY 2020.

Since the beginning of cashless toll operations, which took effect on March 2020, BATA has processed 24 million counts of non-ETC transactions through year-end FY2020-21. Out of the 24 million invoiced transactions, approximately 14.2 million counts have been collected with 9.5 million outstanding as of June.

BATA received \$72 million from the U.S. Government to offset the interest expense of the Build America Bonds through year-end.

A total of \$279 million of RM3 revenue is recognized in FY2021. This includes revenue collections of \$98 million in FY2021, prior year

collections of \$65 million from FY2019 and \$115 million from FY2020. These revenues are kept in escrow and will not be available until the two RM3 lawsuits have reached a final, non-appealable resolution in favor of RM3.

Expense and Transfer: Total expense and transfer are \$841 million.

Operating expenses, net of the RM3 transfer, were \$562 million, coming in slightly below budget for FY 2021.

- Caltrans expenses were only \$6.2 million or 20% of budget. No expenses were recorded for Caltrans toll collection operating costs given toll collections were suspended all year.
- FasTrak® operations were \$65 million for FY 2021, exceeding the budget by \$8.3 million or 15%. The cost increase was a direct result of the cash-invoice system implemented during the pandemic. Cost savings in the Caltrans toll collections operations will help offset the cost of the new invoicing process.
- Bridge toll administration by BATA was \$17 million or nearly \$6 million below budget. Savings in the audit and professional service areas may change when year-end close and encumbrance expenses are recorded.
- Transfers totaled \$49 million, nearly \$16 million below budget. The drop in traffic resulted in lower RM2 operating transfers cost.
- Debt service was \$423 million. Debt service costs were only 76% of the adopted budget as a result of prepaying principal in FY 2020 that was scheduled for maturity in FY 2021.


The \$279 million RM3 transfer out is the result of the RM3 toll revenue recognition during the fiscal year. The funds will remain in escrow until released by BATA.

Actions under Executive Director Contract signature authority: please see Attachment A page 3.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

Recommendation: None. This item provided as information only.

Attachments: Attachment A – Unaudited BATA Financial Statements


Therese W. McMillan

BATA Operating Budget

As of June 2021

	FY 2020-21	Actual	Current Budget	% of Budget	year
	Budget	YTD	Balance Over/(Under)	(col 2/1)	Expired
REVENUE:					
1 RM 1 Toll Revenues	422,596,683	454,477,562	31,880,879	107.5%	100.0%
2 RM 2 Toll Revenues	91,692,862	96,458,811	4,765,949	105.2%	100.0%
2.1 Rm 3 Toll Revenues	-	279,468,378	279,468,378	100.0%	100.0%
3 Toll Violation Revenues	15,000,000	31,177,400	16,177,400	207.8%	100.0%
4 Other Revenue	-	14,259,268	14,259,268	N/A	100.0%
5 Interest Income	24,000,000	8,296,528	(15,703,472)	34.6%	100.0%
6 BAIFA Reimbursement	1,687,500	893,635	(793,865)	53.0%	100.0%
7 GGB&HTD Fastrak Reimbursement	3,768,120	3,791,017	22,897	100.6%	100.0%
8 SFO Fastrak Reimbursement	261,675	70,463	(191,212)	26.9%	100.0%
9 Alameda CMA Reimbursement	889,695	1,249,331	359,636	140.4%	100.0%
10 VTA 237 Express Lane Reimb.	366,345	606,236	239,891	165.5%	100.0%
11 Rebate for Build America Bonds	71,638,789	72,234,100	595,311	100.8%	100.0%
12 BAHA Reimbursement	1,000,000	1,000,000	-	100.0%	100.0%
Total Revenue	632,901,669	963,982,729	331,081,060	152.3%	100.0%
EXPENSE:					
Caltrans Operations and Maintenance:					
1 Toll Collection & Operations Services	24,225,000	-	(24,225,000)	0.0%	100.0%
2 Toll & Bridge Facility Maint	6,600,000	6,212,871	(387,129)	94.1%	100.0%
Caltrans O & M Subtotal	30,825,000	6,212,871	(24,612,129)	20.2%	100.0%
Fastrak Operations and Maintenance:					
3 RCSC Operations	29,500,000	46,894,528	17,394,528	159.0%	100.0%
4 ATCAS Maintenance, IT equip	6,240,000	4,399,485	(1,840,515)	70.5%	100.0%
5 Banking Costs for ETC	16,900,000	13,715,458	(3,184,542)	81.2%	100.0%
6 Collection Exp./DMV Exp.	4,300,000	296,339	(4,003,661)	6.9%	100.0%
BATA O & M Subtotal	56,940,000	65,305,810	8,365,810	114.7%	100.0%
BATA Toll Bridge Administration:					
7 Staff Costs - Salaries,Benefits & Temps	13,214,250	12,401,183	(813,067)	93.8%	100.0%
8 Travel, Printing, Memberships & Other	440,930	191,718	(249,212)	43.5%	100.0%
9 Audit/Accounting	1,762,500	735,068	(1,027,432)	41.7%	100.0%
10 Misc. Toll Admin Operating Expenses	1,360,000	1,294,628	(65,372)	95.2%	100.0%
11 Professional Fees	5,335,000	2,503,511	(2,831,489)	46.9%	100.0%
12 Other	1,000,000	91,000	(909,000)	9.1%	100.0%
Toll Bridge Admin Subtotal	23,112,680	17,217,108	(5,895,572)	74.5%	100.0%
Other/Transfers:					
13 Transfers to MTC 1% Admin	5,532,895	5,532,895	-	100.0%	100.0%
14 Transfers to MTC - PERS Retirement	5,532,895	4,862,690	(670,205)	87.9%	100.0%
15 Transfers to MTC - Other	527,668	193,168	(334,500)	36.6%	100.0%
16 Transfer from Legal Reserve	2,000,000	1,037,064	(962,936)	51.9%	100.0%
17 Transbay Transit Terminal Maint	5,384,027	4,705,208	(678,819)	87.4%	100.0%
18 Beale St Assessment	1,874,918	1,874,918	-	100.0%	100.0%
19 Depreciation and Amortization	3,600,000	1,174,906	(2,425,094)	32.6%	100.0%
20 RM2/Clipper Marketing	4,491,000	3,339,657	(1,151,343)	74.4%	100.0%
21 RM2 Operating	34,843,288	25,396,924	(9,446,364)	72.9%	100.0%
22 ABAG SFEP	670,205	670,205	-	100.0%	100.0%
23 BART for IG Contract	1,000,000	691,849	(308,151)	69.2%	100.0%
Transfers	65,456,896	49,479,484	(15,977,412)	75.6%	100.0%
Debt Service:					
24 Interest and principal payments	439,968,730	410,896,707	(29,072,023)	93.4%	100.0%
25 Financing Costs	16,025,300	12,197,400	(3,827,900)	76.1%	100.0%
Total Debt Service	455,994,030	423,094,107	(32,899,923)	92.8%	100.0%
Transfer to Capital Fund In (Out):					
26 Transfer to Capital Fund	(523,063)	-	(523,063)	0.0%	100.0%
27 Furniture/Equip./Vehicle	(50,000)	-	(50,000)	0.0%	100.0%
Total Capital Reserve In (Out)	(573,063)	-	(573,063)	0.0%	100.0%
28 Transfer (to) from Deposit in RM3 Escrow	-	(279,468,378)	279,468,378	100.0%	100.0%
Total Expense & Transfers	632,901,669	840,777,758	207,876,089	132.8%	100.0%
Net	\$ -	\$ 123,204,971			

Regional Measure 2 Operating Budget

As of June 2021 (\$000)

	Project Title	Total Budget	Allocation	Actual
RM2 Operating Assistance Program				
1	Richmond Bridge Express Bus	1,744	1,744	1,308
2	Napa Vine Service	301	301	301
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	2,644	2,644	1,393
4	Express Bus South - serving Carquinez and Benicia Bridges	4,987	4,987	3,860
5	Dumbarton Bus	2,127	2,127	1,595
6	WETA Ferry Operations	11,633	11,633	9,697
7	Owl Service - BART Corridor	1,413	1,413	799
8	MUNI Metro 3rd St	1,763	1,763	-
9	AC Enhanced Bus Service	2,115	2,115	1,586
11	Water Emergency Transportation Authority Regional Planning	2,115	2,115	2,115
12	Clipper Operations	1,888	1,888	770
13	Transbay Transit Center	2,115	2,115	1,973
Total RM2 Operating Assistance Program		34,845	34,845	25,397
RM2 Marketing Assistance Program				
		Total Budget		Actual
N/A	Clipper Marketing	2,600		2,240
N/A	Regional Map and Wayfinding	500		415
N/A	511 Real Time Transit	254		169
N/A	Return-to-Transit Plan	337		244
N/A	Fare Integration Project	300		272
N/A	AC Transit Services	500		-
Total RM2 Marketing Assistance Program		4,491		3,340
Total		\$39,336	\$34,845	\$28,737

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**\$2,500-200,000****June '21**

Macias Gini and O'Connell

\$90,000*RM2 recipient agency audit*

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR

\$2,500-\$200,000

	June '21
Ceridian Employer	\$58,000
<i>Payroll system reports access</i>	
SHI	\$22,973
<i>Security system maintenance renewal</i>	
CDW Government Inc	\$11,173
<i>Citrix infrastructure</i>	
ASI Security	\$18,214
<i>McAfee Mvision licenses</i>	

Regional Measure 2 Project Budget

As of June 2021 (\$000) - Life to Date

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	1,500	310	1,190	\$1,500
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	10,000	-	-
4	Dumbarton Commuter Rail Service ^{i, iv, xvi}	8,932	8,932	8,932	-	-
5	Vallejo Ferry Intermodal Station ^{v, xvi}	26,000	26,000	25,484	516	-
6	Solano County Express Bus Intermodal Facilities ⁱⁱ	12,251	12,251	12,222	30	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	100,000	99,246	754	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	37,175	-	-
9	Richmond Parkway Park & Ride ^{vii}	3,850	3,807	946	2,861	43
10	SMART Extension to Larkspur ^{ii, vii}	56,500	56,500	56,500	-	-
11	Greenbrae Interchange Improvement ^{ii, viii}	43,500	43,500	35,007	8,493	-
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix}	20,425	20,107	20,107	-	318
13	Rail Extension to East Contra Costa/E-BART	96,000	96,000	95,623	377	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi, x}	35,950	35,950	35,950	-	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects ^{v, x}	18,799	18,799	18,771	28	-
18	Clipper	35,000	35,000	27,886	7,114	-
19	Real-time Transit Information	20,000	20,000	19,606	394	-
20	Safe Routes to Transit	22,500	22,500	21,754	746	-
21	BART Tube Seismic Retrofit	33,801	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	150,000	149,995	5	-
23	Oakland Airport Connector	115,199	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/ Telegraph Ave. Corridor) ^{vii}	77,760	77,760	74,307	3,453	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	12,000	12,000	-	-
26	Commute Ferry Service for Berkeley/Albany	12,000	12,000	12,000	-	-
27	Commute Ferry Service for South San Francisco	12,000	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	48,000	48,000	-	-
29	Regional Express Bus South - Remaining Projects ^{iv, vi, xi, xvi}	55,158	48,197	37,756	10,441	6,961
30	I-880 North Safety Improvements ^{xi, xvi}	12,300	12,300	12,299	1	-
31	BART Warm Springs Extension ⁱ	186,000	186,000	182,336	3,664	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	60,235	52,621	7,614	4,765
33	Regional Rail Master Plan ^{xvii}	6,062	6,062	6,062	-	-
34	Integrated Fare Structure Program	1,500	1,500	900	600	-
35	Transit Commute Benefits Promotion ^{xviii}	5,438	5,438	3,366	2,072	-
36	Caldecott Tunnel Improvements ^{ix}	45,075	45,075	45,074	1	-
37	BART's Fixed Guideway Rehab	64,000	64,000	63,726	274	-
38	Regional Express Lane Network ⁱⁱⁱ	4,825	-	-	-	4,825
39	Modifications in I-80 and San Pablo ⁱⁱⁱ	8,000	8,000	8,000	-	-
40	Caltrain Electrification ^{viii, xii}	20,000	20,000	19,991	9	-
Total		\$1,589,000	\$1,570,588	\$1,519,950	\$50,639	\$18,412

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project per Resolution #3801 dated 1/28/09.

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension per Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
^{iv} \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^v \$2,000	Program 5: Vallejo Ferry Intermodal Station program	Program 17: Regional Express Bus North program
^{vi} \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{vii} \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands.	Program 24: AC Transit Enhanced Bus program
^{viii} \$20,000	Program 11: Greenbrae Interchange Improvement	Program 10: SMART Extension to Larkspur
^{ix} \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
^x \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{xi} \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
^{xii} \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

^{xiii} Increasing funding by \$13 million to the Clipper Project (18) per Res #3801 dated 12/21/16.

^{xiv} Increasing funding by \$21 million to the Regional Express Bus South Project (29) per Resolution #3801 dated 12/21/16.

^{xv} Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37) per Resolution #3801 dated 12/21/16.

Res#3801 - Date 12/9/2020		
^{xvi} \$225	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^{xvii} \$438	Program 33: Regional Rail Master Plan ^{xvii}	Program 35: Transit Commute Benefits Promotion ^{xvii}

Shaded projects are completed

Rehab Project Budget

As of June 2021 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance Remaining
6811	Antioch Bridge Rehab	70	-	-	70
6812	Benicia-Martinez Bridge Rehab	6,988	3,930	-	3,058
6813	Carquinez Bridge Rehab	42,876	39,821	-	3,055
6814	Richmond-San Rafael Bridge Rehab	128,560	66,038	-	62,522
6825	San Francisco-Oakland Bay Bridge Rehab	257,370	216,704	-	40,666
6826	San Mateo-Hayward Bridge Rehab	142,827	119,659	-	23,168
6827	Dumbarton Bridge Rehab	5,277	5,104	-	173
6828	All Bridges Rehab	132,163	124,697	-	7,466
6829	Caltrans Reserve	58	4	-	54
8030	Completed/Defunded/Transferred Projects	117,302	116,626	-	676
8033	Minor Toll Plaza Rehab Projects	4,580	2,680	-	1,900
8210	New Benicia Bridge *	1,715	695	-	1,020
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,288	5,539	-	749
8629	Minor Bridge Rehab Projects	699	179	-	520
TOTAL CALTRANS REHAB BUDGET		846,927	701,759	-	145,168
8012	All Electronic Tolling	5,963	1,868	1,433	2,662
8528	Bay Lights Maintenance	1,350	1,072	248	30
8530	Drainage Studies for the Bridge	500	491	0	9
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebars Repair Review	2,914	2,660	-	254
8540	Regional Transportation Sea Level Rise Asset	2,000	548	-	1,452
8594	SFOBB West Span Pathway PSR	12,300	11,597	413	290
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	2003 CSC Procurement	12,358	11,046	3	1,309
8901	ETC Transponder Procurement	108,300	92,209	8,457	7,634
8902	2012 CSC Procurement	24,050	20,459	3,205	386
8903	ATCAS Lane Host Upgrades	36,145	32,332	1,461	2,352
8904	Fastrak Sign & Sign Structure Improvements	29,510	29,346	70	94
8905	Misc. Bridge Improvements	28,854	14,601	5,104	9,149
8907	Toll Plaza Capital Improvements	29,833	25,561	3,663	609
8908	Enterprise Computing HW/SW	4,835	3,620	221	994
8909	Gateway Park Planning	18,575	17,458	373	744
8912	ETC Transponder Tag Swap	1,937	1,929	-	8
8913	SFOBB Administration Building	25,319	25,220	-	99
8914	Violation Enforcement System Upgrade	7,842	7,841	-	-
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	2,300	699	251	1,350
8918	Maintenance Complex	531	495	32	4
8920	Plaza and Canopy Improvements	9,263	8,545	4	714
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	1,775	1,664	43	68
8922	Metering Lights Replacement	18,000	13,591	1,693	2,716
8923	Bridge Records Recordation and Storage	500	55	-	445
8924	Antioch Bridge Approach	50,000	49,082	828	90
8926	Bridge Modeling & Investigations	5,801	893	57	4,851
8927	CCTV Installation	-	-	-	-
8928	BATA Program Contingency	3,769	300	-	3,469
8930	Richmond-San Rafael Bridge Rehab	87,228	83,839	3,048	341
8931	BATA Infrastructure Relocation	-	-	-	-
8932	ETC Loop Rehabilitation	-	-	-	-
8933	Plan Bay Area TMS	9,000	7,638	200	1,162
8934	Temp License Plate System Implementation	-	-	-	-
8935	Communications in Bridge Corridors	-	-	-	-
8936	Backhaul Connection Infrastructure	1,000	774	62	164
8937	Future CSC Procurement	34,000	2,539	554	30,907
8938	Misc. East Span Project Improvements	4,849	-	-	4,849
8939	Asset Management	6,748	2,255	478	4,015
8940	HOV Lane Enforcement	6,600	1,434	1,266	3,900
8941	CHP - COZEEP/MAZEEP	706	-	-	706
8942	Bridge Yard Capital Improvements	500	-	-	500
8943	Bike/Ped Access to East Span of SFOBB	1,200	519	381	300
8944	Dumbarton Approach and Transit Strategies	17,000	1,352	1,648	14,000
8945	Next Gen Clipper (C2) System	9,600	-	-	9,600
8946	I-680/I-80/ISR-12 Interchange	14,300	7,912	6,388	0
8947	SR-37 Evaluation	8,000	3,858	2,830	1,312
8948	RSR Bridge Forward -	2,000	437	563	1,000
8949	Regional Transportation Commute Challenge	1,076	39	821	216
8000-05	Capital Program Audit	8,300	7,213	40	1,047
8000-16	SRA/RM1 Program Monitoring	46,645	45,649	601	395
Total BATA REHAB BUDGET		711,187	548,551	46,439	116,196
TOTAL REHAB BUDGET		\$ 1,558,114	\$ 1,250,310	\$ 46,439	\$ 261,364

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Seismic Capital Project Budget

As of June 2021 (\$000) - Life to Date

Program		Base Budget	Current Budget***	Total Expenses*	Encumbrance	Remaining Balance
8103	San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,519,801	\$ 6,512,450	\$ 7,351	\$ -
8109	San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
8106	San Francisco-Oakland Bay Bridge West Approach Repl	429,000	452,550	450,387	2,163	-
8100	Antioch Bridge Retrofit	-	71,100	71,093	7	-
8122	Dumbarton Bridge Retrofit	-	112,400	112,354	46	-
8112	Richmond-San Rafael Bridge Retrofit	808,100	794,950	794,870	80	-
8115	Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
8118	Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
8121	San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
Subtotal for Bay Area Bridges		7,487,100	8,711,565	8,701,905	9,660	-
8128	Misc Program Costs	30,000	26,030	26,024	6	-
8729	Program Contingency**	989,000	-	-	-	-
8124	Vincent Thomas Bridge Retrofit (non-BATA, for information	58,500	58,420	58,411	9	-
8127	San Diego-Coronado Bridge Retrofit (non BATA, for	103,500	103,240	103,235	5	-
Subtotal for Other Bridges		162,000	161,660	161,646	14	-
Total for Toll Bridge Seismic Retrofit Program		\$8,668,100	\$8,899,255	\$8,889,575	\$ 9,680	\$ -
		9,194,000				

*Includes pre AB144 LTD expenses from Caltrans to April 2006
BATA expenses from May 2006 to current

3,709,068
5,180,507
8,889,575

**** Contingency Allocation**

Contingency per Budget	989,000
FY08 (Allocation) and Rescission	(203,920)
FY09 (Allocation) and Rescission	(44,790)
FY10 (Allocation) and Rescission	139,400
FY11 (Allocation) and Rescission	(577,670)
FY12 (Allocation) and Rescission	(15,520)
FY13 (Allocation) and Rescission	32,637
FY14 (Allocation) and Rescission	(130,000)
FY15 (Allocation) and Rescission	(103,800)
FY16 (Allocation) and Rescission	(12,731)
FY17 (Allocation) and Rescission	(33,200)
FY18 (Allocation) and Rescission	(24,671)
FY19 (Allocation) and Rescission	(14,735)
Remaining Balance	-

Shaded projects are completed

***Financial reflects budget update approved on 6/27/18

Other Capital Projects

As of June 2021 (\$000) - Life to Date

	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
849	Express Lanes Capital				
6840	Program Costs: Planning, Coordination & Management	20,255	20,255	-	-
6841	Centralized Toll System	20,980	20,980	-	-
6842	CC-680 Southern Segment Conversion	52,420	52,420	-	-
6843	Capitalized Start-up O&M	4,853	4,853	-	-
6844	ALA-880 Conversion	104,122	104,122	-	-
6845	CC-680 Northern Segment - Southbound Conversion	16,955	16,955	-	-
6846	SOL-80 West Conversion	637	637	-	-
6849	SOL-80 East Express Lane Conversion	10,997	10,997	-	-
6851	84/Dumbarton Bridge	323	323	-	-
6852	92/San Mateo Bridge	369	369	-	-
	Express Lanes Total *	\$ 231,911	\$ 231,911	\$ -	\$ -
847	BATA Project Savings				
6953	CCC - AC Transit	83,000	24,923	30,328	27,749
6954	CCC - Muni	161,930	95,491	66,439	-
6955	CCC - BART	4,800	-	-	4,800
6956	BART Rail Car Replacement	270	-	-	270
	BATA Project Savings Total	\$ 250,000	\$ 120,414	\$ 96,767	\$ 32,819
	Grand Total	\$ 481,911	\$ 352,325	\$ 96,767	\$ 32,819

* The BATA Express Lanes (EL) Capital Fund is closed out on 6/30/2019, the remaining balance of the \$345 million budget funded by BATA/SAFE are rebudgeted in BAIFA EL Capital Fund in FY 2019-20.

AB 1171 Project Budget**As of June 2021 (\$000) - Life to Date**

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	80,000	-	-
East Contra Costa BART Extension	111,500	111,500	110,049	1,451	-
Transbay Terminal/Downtown Extension: Phase 1	150,000	150,000	149,978	22	-
Tri-Valley Transit Access Improve. To BART	95,000	71,643	19,858	51,785	23,357
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	9,000	-	-
I80/680 Interchange	100,000	100,000	99,305	695	-
Other Corridor Improvement	10,200	10,150	10,150	-	50
VTA Mission/Warren/Truck Rail Facility	6,500	6,500	5,811	689	-
BART to Warm Spring Extension	5,000	5,000	5,000	-	-
Total	\$570,000	\$546,593	\$491,951	\$54,642	\$23,407

Note: AB 1171 is a discretionary funding source passed by the Legislature and signed by the Governor in October 2001. AB 1171 (Dutra) extends the \$1 seismic surcharge on the seven state-owned Bay Area toll bridges for up to 30 years to finance retrofit work. Project list is included in MTC Resolution #3434.

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$546,593
AB 1171 Program Balance:	<u>\$23,407</u>

Shaded projects are completed

