



**METROPOLITAN
TRANSPORTATION
COMMISSION**

Bay Area Metro Center
375 Beale Street, Suite 800
San Francisco, CA 94105
415.778.6700
www.mtc.ca.gov

Small Business Enterprise (SBE) Program & Disadvantaged Business Enterprise (DBE) Program Update

Presenter:

Michael Brinton

Assistant Director – Contracts/Procurement

SBE Program - Overview

MTC/ABAG SBE Program

Applicable to:	Acceptable Certifications:	Bid/Evaluation Preference:
The Program applies to non-federally funded, non-Architectural & Engineering (A&E) materials, equipment, supplies, services and construction contracts with a budget in excess of \$25,000	State of California Department of General Services (DGS) SBE Program, California Unified Certification Program DBE certification, City and County of San Francisco Local Business Enterprise (LBE) as certified by the Contract Monitoring Division (CMD) Directory Certification Unit, Alameda County Small Local and Emerging Business (SLEB) program as certified by the Alameda County SLEB Certification Unit; as well as any DVBE/LBE/ LGBTBE/MBE/SBE/WBE certification from a formal certifying agency in the nine-county Bay Area, provided that the certification can be verified through a searchable database and meets the requirements of the State of California Small Business Program	<p>Two-tiered:</p> <p>An Evaluation Preference of five percent (5%) or five (5) points will be applied to the total evaluation when the Proposer meeting specifications commits to twenty-five percent (25%) SBE participation for the work</p> <p>An Evaluation Preference of ten percent (10%) or ten (10) points will be applied to the total evaluation when the Proposer meeting specifications commits to forty percent (40%) SBE participation for the work</p>

SBE Program

Objectives:

- To increase the number of certified SBEs participating in non-federally funded, non-A&E contracts;
- To increase overall competition on non-federally funded, non-A&E contracts potentially allowing for the completion of projects at a lower overall cost;
- To create a level playing field on which SBEs can compete fairly on non-federally funded contracts; and
- To help remove barriers to the participation of SBEs in non-federally funded contracts.

DBE Program

- Federally funded materials, equipment, supplies, services and construction contracts

Objectives:

- Ensure nondiscrimination on the basis of race, color, religion, sex, age, national origin, physical or mental disability, or marital status, in the award and administration of contracts
- Create a level playing field on which DBEs can compete fairly for contracts and subcontracts relating to MTC's procurement and professional, technical and operational services activities
- Ensure that the DBE program is narrowly tailored in accordance with applicable law
- Help remove barriers to the participation of DBEs in DOT-assisted contracts
- Assist the development of firms that can compete successfully in the marketplace outside the DBE Program

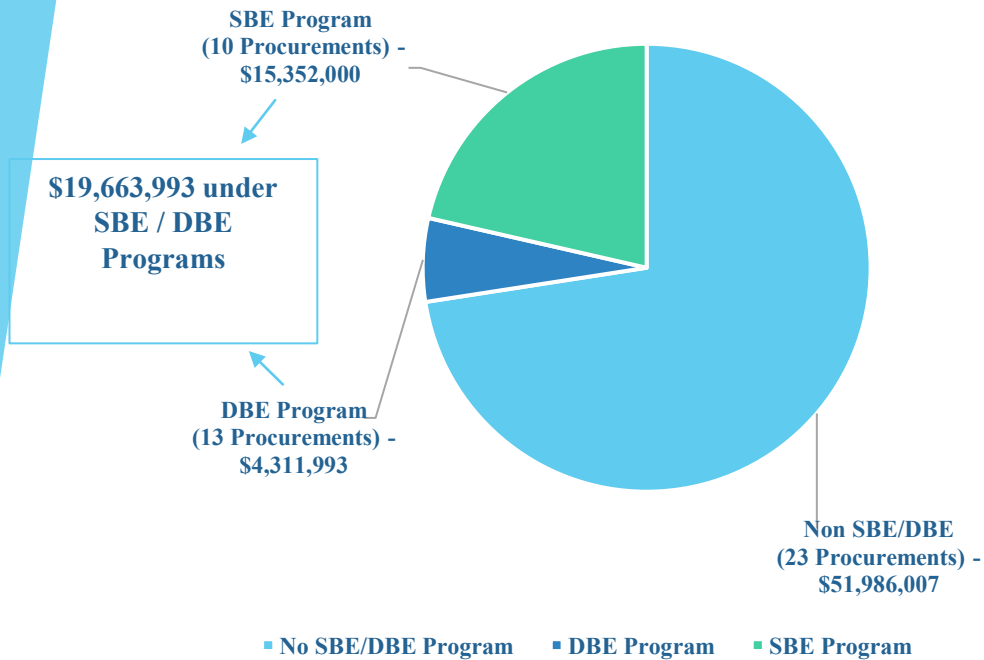
Outreach

- Detailed information on the SBE and DBE Programs can be found at:
 - SBE Program: <https://mtc.ca.gov/about-mtc/doing-business/small-business-enterprise-sbe>
 - DBE Program: <https://mtc.ca.gov/about-mtc/doing-business-mtc/disadvantaged-business-enterprise-program>
- The SBE Program and DBE Program information is included in every applicable procurement and promoted during each Proposers' Conference
- Actively participate in local and regional organizations and working groups to educate firms about our programs

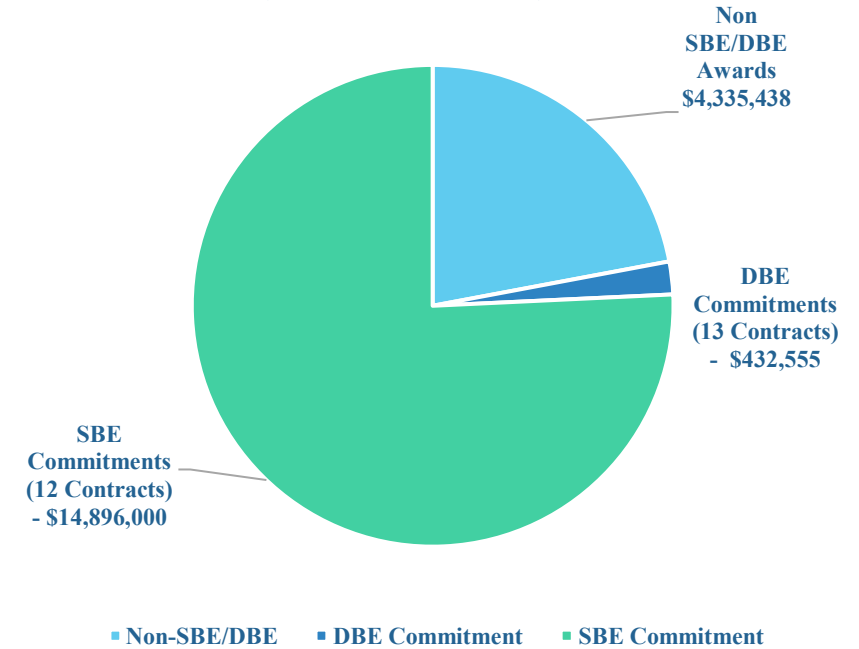
SBE & DBE Program Commitments

Results from April 2020 through March 2021, excluding ABAG

**Total \$s Awarded
(46 Procurements)**



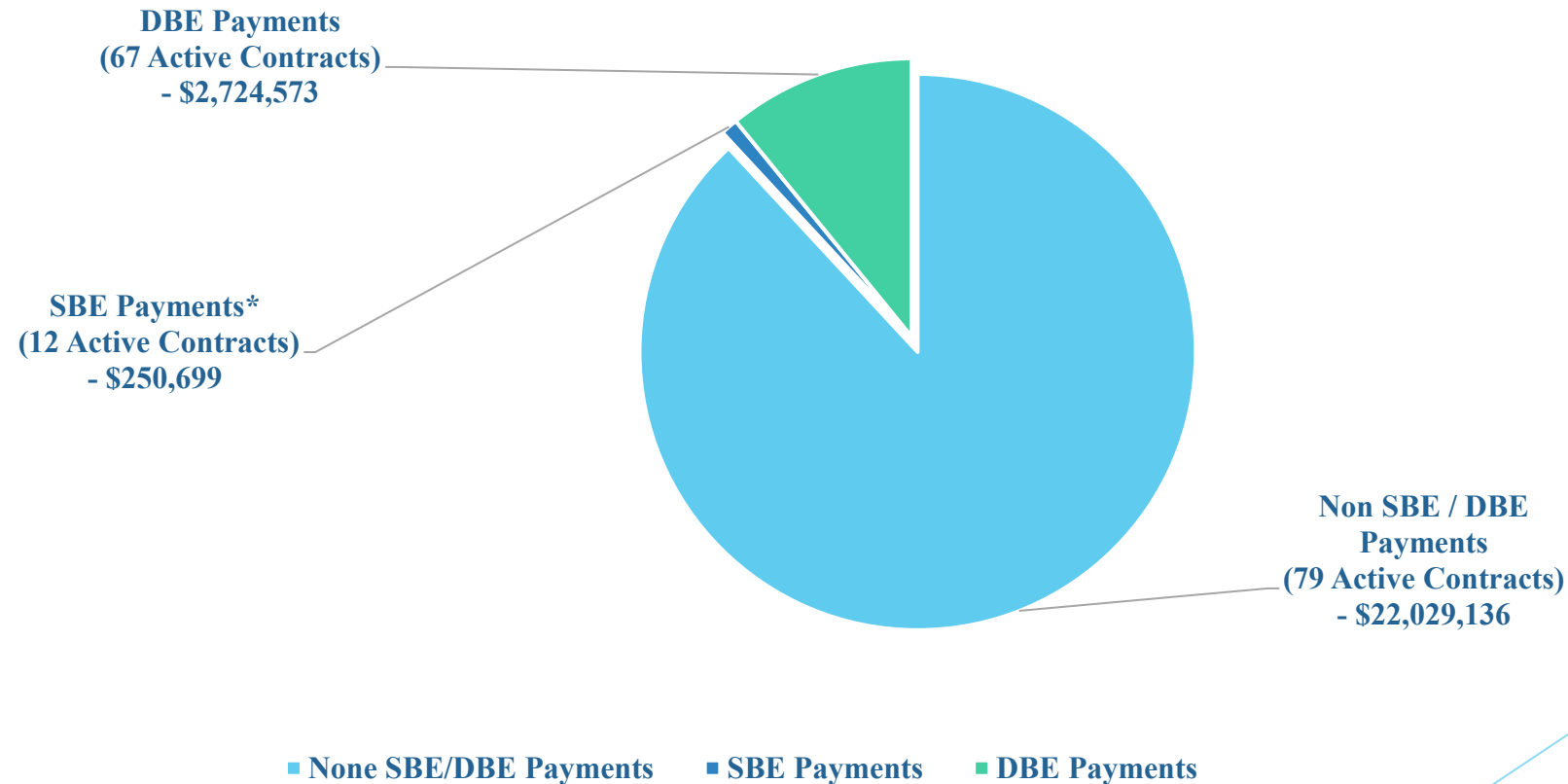
**Breakdown of \$19,663,993 Awarded that included the
SBE/DBE Program Requirements
(23 Procurements)***



* Commitments are a mix of SBE / DBE Primes and SBE / DBE Subs

Payments on Active Contracts that Include SBE/DBE Program Requirements

(Non SBE/DBE Payments vs SBE/DBE Payments)
Results from April 2020 through March 2021, excluding ABAG



* During reporting period, only 3 of the 12 Active contracts awarded under the SBE Program had activity and/or payments

SBE / DBE Program Efforts

Compliance:

Continue ongoing monitoring and reporting of SBE and DBE Program commitments and payments

Outreach:

- Staff will begin hosting frequent information sessions for SBEs & DBEs to learn about our Program, upcoming opportunities and to network with non-SBE / DBE Primes and subcontractors
- Staff is evaluating potential improvements to the MTC web pages

Peer Research:

- Staff researches the diversity, equity and inclusion efforts at peer agencies in the region, state and nationwide on an ongoing basis
- Staff will bring potential pilot programs for expanding SBE / DBE participation in the future if they develop

Next Update:

Staff will return to Admin on an annual basis to provide ongoing updates of the results of the SBE & DBE Programs

Questions