

Metropolitan Transportation Commission Administration Committee

June 9, 2021

Agenda Item 2c - 21-0674

MTC Financial Statements for March 2021

Subject: MTC Financial Statements for the third quarter period ending March 31, 2021.

Operating Summary:

With 75% of FY 2020-21 behind us, total MTC revenue, including grant revenue, is \$70.4 million. Total year-to-date expenses are approximately \$60 million creating a year-to-date surplus of \$10.4 million. There are, however, over \$46 million in current contract encumbrances remaining.

The MTC operating balance, before grant funding and expenses, is also running a surplus. Total operating revenue is \$50.8 million with expenses of just under \$41.9 million, generating a surplus of \$8.9 million, with an additional contract encumbrance of \$21.3 million.

Other highlights include:

Operating Revenue:

Sales Tax:

The current year-end sales tax estimate is now \$14.1 million. Year-to-date revenue is \$10.6 million and just under the FY 2021 budget estimate at \$11 million. Assuming the last three months of FY 2021 are stronger than the pandemic start of March-June 2020, we may equal the FY 2020 total of \$14.1 million by the end FY 2021. The sales tax increase is generally the result of a federal court decision allowing assessment of state sales taxes on interstate, internet sales for the first time.

State Funding:

Current revenue is \$2.9 million, just 25% of the FY 2021 budget. Most grant revenue is not recorded until after the expense is incurred and MTC applies for reimbursement. As such, it is not unusual for grant revenue to trail budget estimates. Revenue will match the speed of the project expenditures.

Local Funding:

Total year-to-date revenue is \$3.6 million or just 27.1% of the adopted FY 2021 budget. A combination of delays in executing funding agreements and timing issues getting project contracts approved usually causes delays in project reimbursements. Revenue will increase after more costs are incurred.

Transfers from Other Funds:

Total interfund transfers are \$16.7 million and 40% of the approved FY 2021 budget. All BATA administrative 1% transfers are made at the beginning of the operating year. STA and Exchange funds are transferred as reimbursement for project expenses. Transfers will increase as project costs are incurred.

Operating Expenditures:**Salary & Benefits:**

Salary and benefit costs are \$27.3 million or \$1.9 million below the projected expense at 75% of the FY 2021 budget year. The savings are the result of some strategic delays in recruiting MTC positions to protect against running an operating deficit.

General Operations:

General Operations costs are at \$1.2 million or approximately 50% of the approved FY 2021 budget. General Operations tend to be heavy at the beginning and end of the year, and as such adding the \$1 million in unexpended encumbrances will push total expenses to only 85% by year-end.

Contract Services:

The current budget is \$68.7 million with only \$10.5 million expended and another \$19 encumbered as of midyear. Even adding all remaining encumbrances, the year-end expense would be just under \$30 million, or 43% of the approved FY 2022 approved budget. The timing of contract expenditures is often tied to project work which may trend over multiple fiscal years instead of a single fiscal year. As such, it is not unusual for contract expenditures to trail budget estimates.

Federal Grants:

During the 2020-21 fiscal year we will be applying for ten new grants: Surface Transportation Block Grants (STBG), Congestion Management Air Quality (CMAQ) grants and State/Local grants, as follows:

- | | |
|-----------------------------------|----------------|
| • Four STBG grants | \$12.9 million |
| • One CMAQ grant | \$10.9 million |
| • Five new State and Local grants | \$2.9 million |

The combined \$160.8 million in active grants were expended as follows:

- \$5.5 million on staff costs.
- \$63.0 million expended and encumbered on various consultants, as detailed on pages 12 through 14 under Grant Funded Consultants.

The remaining balance of the \$276 million grant management program is currently \$92.2 million.

Actions under Executive Director Signature Authority:

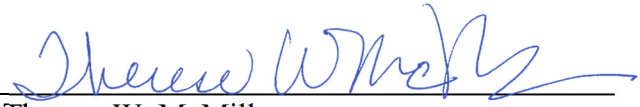
Please see Attachment A, pages 19 through 21 for relevant actions.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

Issues: None.

Recommendation: This item is for information only and no action is required of the Committee.

Attachments: Attachment A – Financial Statements for period ending March 31, 2021



Therese W. McMillan

OPERATING REVENUE
MTC OPERATING BUDGET FOR FY 2020-21
As of March 2021 (75% of year)

	1	2	3	4
Operating Revenue	FY 2020-21 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
TDA	\$ 10,962,523	\$ 10,637,380	\$ (325,143)	97.0%
Interest	57,500	13,395	(44,105)	23.3%
General Fund Total	11,020,023	10,650,775	(369,248)	96.6%
Federal Planning Revenue:				
Federal Highway Agency (FHWA - PL)	9,215,829	7,341,407	(1,874,422)	79.7%
Rail Partnership - FTA 5304	400,000	-	(400,000)	0.0%
2030 BART Metro - FTA 5304	466,559	-	(466,559)	0.0%
Federal Transit Agency (FTA) 5303	6,016,828	4,004,564	(2,012,264)	66.6%
Federal Planning Revenue Total	16,099,216	11,345,971	(4,753,245)	70.5%
State Funding Revenue:				
Statewide Trans. Improvement Program (STIP)	723,000	311,188	(411,812)	43.0%
Low Carbon Transit Operations Program (LCTOP)	7,229,264	1,096,406	(6,132,858)	15.2%
Senate Bill 1 (SB1)	3,582,460	1,504,818	(2,077,642)	42.0%
State Funding Revenue Total	11,534,724	2,912,412	(8,622,312)	25.2%
Local Funding Revenue:				
Transportation Fund for Clean Air (TFCA)	3,432,349	443,009	(2,989,340)	12.9%
High-Occupancy Vehicle Lane (HOV)	500,000	186,708	(313,292)	37.3%
California Air Resource Board	679,553	-	(679,553)	0.0%
California State Transportation Agency (CalSTA)	4,235,072	605,876	(3,629,196)	14.3%
Pavement Management	1,350,000	1,076,943	(273,057)	79.8%
Bay Area Air Quality Management District (BAAQMD)	639,898	226,886	(413,012)	35.5%
Citites/PTAP LM/Misc. and BART	2,363,012	1,036,822	(1,326,190)	43.9%
Local Funding Revenue Total	13,199,885	3,576,244	(9,623,641)	27.1%
Transfers From Other Funds:				
Bay Area Toll Authority (BATA 1%)	5,442,895	5,442,895	-	100.0%
Transfer BATA	8,093,912	7,783,468	(310,444)	96.2%
SAFE	2,454,306	405,135	(2,049,171)	16.5%
Exchange	14,140,664	1,425,256	(12,715,408)	10.1%
Transfers in - STA	13,255,114	638,905	(12,616,209)	4.8%
Bridge Tolls & 5% & 2% Transfers	955,706	422,314	(533,392)	44.2%
Membership Dues	530,000	530,000	-	100.0%
CARES	1,400,000	270,929	(1,129,071)	19.4%
Transfer from or (to) Reserve/Capital/O.H.	14,527,128	(42,074)	(14,569,202)	-0.3%
Transfers Total	60,799,725	16,876,828	(43,922,897)	27.8%
Total Operating Revenue	112,653,571	45,362,231	(67,291,342)	40.3%
* Grant funded staff revenue	9,927,278	5,484,709	(4,442,569)	55.2%
Total Operating Revenue & Grant Funded Sal. & Ben.	122,580,849	50,846,940	(71,733,911)	41.5%
* Grant funded Consultant revenue				
Surface Transportation Program (STP)	76,788,077	12,413,651	(64,374,426)	16.2%
Congestion Mitigation and Air Quality (CMAQ)	17,216,470	6,401,313	(10,815,157)	37.2%
FTA GRANTS	404,408	5,474	(398,934)	0.0%
State and Local Grants	6,377,680	710,714	(5,666,966)	11.1%
Total Operating Revenues from Grants	100,786,635	19,531,152	(81,255,483)	19.4%
Total Operating Revenues	\$ 223,367,484	\$ 70,378,092	\$ (152,989,394)	31.5%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2020-21
As of March 2021 (75% of year)

	1	2	3	5	4
	FY 2020-21	Actual	Budget Balance		% of Budget
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	Encumbrance	(col 2/1)
Salaries & Benefits	\$ 38,896,024	\$ 27,302,761	\$ (11,593,263)	\$ 222,725	70.8%
Travel & Training	432,500	160,033	(272,467)	91,932	58.3%
Commission Expense					
Commissioner Expense	150,000	48,760	(101,241)	-	32.5%
Advisory Committees	15,000	13,750	(1,250)	-	91.7%
Printing & Graphics	50,000	18,308	(31,692)	30,155	96.9%
Computer Services	3,756,238	2,655,146	(1,101,093)	1,033,174	98.2%
General Operations	2,455,999	1,205,183	(1,250,816)	903,213	85.8%
Total Operating Exp Before Contract Services	45,755,761	31,403,940	(14,351,822)	2,281,199	73.6%
Non Federally Funded Contract Services	68,632,560	10,446,903	(58,185,658)	19,035,115	43.0%
Total Oper Exp Before Fed Funded Cont Serv	114,388,321	41,850,843	(72,537,480)	21,316,314	55.2%
Federally Funded Contract Services	100,786,635	18,216,653	24,545,314	24,545,314	42.4%
Total Operating and Grant Funded Expenditures	\$ 215,174,956	\$ 60,067,496	\$ (47,992,167)	\$ 45,861,628	49.2%

MTC CAPITAL BUDGETS
As of March 2021 (75% of year)

<u>Capital</u>	Total Budget	Actual	Encumbrance	Balance
Transfer from Reserves	\$1,506,000	\$130,831	\$492,775	\$882,394
Expense	\$1,506,000	\$130,831	\$492,775	\$882,394

Bay Bridge Forward Project

<u>Capital</u>	LTD Budget Thru FY 2020-21	Actual	Encumbrance	Balance
STP	37,613,550	17,353,200	-	20,260,350
CMAQ	8,504,135	8,958,364	-	(454,229)
RM2 Capital	26,501,742	9,808,919	-	16,692,823
SAFE Capital	7,104,018	6,971,883	-	132,135
Local Citites/STA	10,152,151	529,637	-	9,622,514
Exchange	5,585,000	2,824,010	-	2,760,990
Revenue	\$95,460,596	\$46,446,012	\$0	\$49,014,584
Expense	\$95,460,596	\$46,446,012	\$19,580,321	\$29,434,263

LIFE TO DATE FEDERAL GRANT BUDGET

As of March 2021 (75% of year)

Fund Source	Project Description	Grant Award	LTD Expenditures thru 6/30/2020	Grant LTD Balance as of 7/1/2020	New Grants FY 2020-21	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance	Expiration Dates
STBPG GRANTS											
6084-186 1812		\$ 8,740,305	\$ 7,437,451	\$ 1,302,854	\$ -	\$ 1,302,854	\$ -	\$ 228,177	\$ 916,243	158,434	6/30/2022
6084-198 1818	Pavement Management	6,000,000	4,591,604	1,408,396	-	1,408,396	-	473,948	774,839	159,609	6/30/2023
6084-199 1819	511 Traveler Information	8,750,000	8,376,904	373,096	-	373,096	-	260,045	-	113,051	6/30/2022
6084-201 1820	Freeway Performance Initiative	3,480,000	3,145,016	334,984	-	334,984	206,333	128,652	-	0	6/30/2022
6084-205 1822	Pavement Management	1,847,000	1,027,343	819,657	-	819,657	-	100,369	410,784	308,504	6/30/2022
6084-206 1826	CMA Planning	56,932,000	30,057,561	26,874,439	-	26,874,439	-	5,015,990	4,294,842	17,563,607	6/30/2022
6084-207 1827	MTC Planning	7,601,000	5,106,534	2,494,466	-	2,494,466	1,046,562	113,369	-	1,334,535	6/30/2022
6084-213 1833	511 Next Generation	11,226,000	6,661,558	4,564,442	-	4,564,442	-	1,586,134	2,259,220	719,088	6/30/2023
6084-212 1834	TMS Program	2,910,000	1,029,763	1,880,237	-	1,880,237	121,977	-	-	1,758,260	6/30/2023
6084-222 1835	Incident Management	4,160,000	822,697	3,337,303	-	3,337,303	353,681	-	-	2,983,621	6/30/2023
6084-225 1836	TMC Asset	1,150,000	224,796	925,204	-	925,204	201,030	-	-	724,174	6/30/2023
6084-230 1838	Climate Initiatives - New	375,000	-	375,000	-	375,000	12,950	-	12,258	349,792	6/30/2023
6084-232 1839	PDA Planning & Implementation	8,550,000	2,367,377	6,182,623	-	6,182,623	67,331	1,951,384	4,163,908	0	6/30/2023
6084-226-1841	AOM & Dumbarton Forward Bike & Ped. Imp.	14,250,000	5,716,503	8,533,497	-	8,533,497	1,440,410	192,466	3,030,922	3,869,698	6/30/2024
6084-227-1842	Enhance Arterial: CAT1	10,915,000	1,119,026	9,795,974	-	9,795,974	-	1,010,532	-	8,785,443	6/30/2024
6084-230 1843	Commuter Parking O&M	2,500,000	37,857	2,462,143	-	2,462,143	-	16,841	18,190	2,427,113	6/30/2024
6084-231 1844	Freeway Performance - I-880 Corridor	3,000,000	256,547	2,743,453	-	2,743,453	-	49,827	860,152	1,833,474	6/30/2024
6084-233 1845	Freeway Performance - I-680 Corridor	14,000,000	969,666	13,030,334	-	13,030,334	-	657,292	12,366,583	6,459	6/30/2024
6084-235 1846	I-880 Communications Infrastructure	2,500,000	257,214	2,242,786	-	2,242,786	-	48,055	-	2,194,731	6/30/2023
6084-241 1847	Shared Use Mobility	2,500,000	381,319	2,118,681	-	2,118,681	-	82,262	571,485	1,464,934	6/30/2024
6084-255 1850	511 - Traveler Information Program	5,700,000	1,521,871	4,178,129	-	4,178,129	1,148,787	80,430	-	2,948,912	6/30/2024
6084-244 1852	Connected Automobile Vehicle	2,500,000	3,612	2,496,388	-	2,496,388	500	8,265	274,760	2,212,863	6/30/2024
6084-259 1853	Bay Bridge Forward 2020/Freeway Perf: I-580	625,000	4,799	620,201	-	620,201	500	-	-	619,701	6/30/2025
6084-260 1854	511 Traveler Information Program	11,300,000	-	11,300,000	-	11,300,000	-	183,303	324,519	10,792,178	6/30/2025
6084-263 1855	Bay Bridge Forward 2020/Freeway Perf: I-80	-	-	-	3,000,000	3,000,000	-	69,462	1,525,538	1,405,000	6/30/2025
6084-264 1856	Corr.	-	-	-	1,000,000	1,000,000	-	92,568	207,432	700,000	6/30/2025
6084-262 1857	Freeway Performance Prelim Eng/Imp. SR-37	-	-	-	3,000,000	3,000,000	-	64,282	171,297	2,764,422	6/30/2025
New	PTAP - Regional Streets and Roads	-	-	-	1,000,000	-	-	-	-	-	
New	Others	-	-	-	7,862,000	-	-	-	-	-	
New	PDA Planning & Implementation	-	-	-	3,000,000	-	-	-	-	-	
New	I-880 Communications Infrastructure	-	-	-	1,000,000	-	-	-	-	-	
New	Napa Forward Transit/Bike/Ped/ Improve	-	-	-	-	-	-	-	-	-	
Total STP		\$ 191,511,305	\$ 81,117,018	\$ 110,394,287	\$ 19,862,000	\$ 117,394,287	\$ 4,600,061	\$ 12,413,651	\$ 32,182,971	\$ 68,197,603	
CMAQ Grants											
6084-202 1824		\$ 1,300,000	\$ 884,610	415,390	-	415,390	-	\$ 80,000	\$ 335,390	\$ -	6/30/2021
6084-209 1825	Operate Car Pool Program	8,000,000	3,680,803	4,319,197	-	4,319,197	97,863	462,440	-	3,758,894	6/30/2022
6084-211 1828	Commuter Benefits Implementation	1,379,000	803,991	575,009	-	575,009	60,777	114,280	188,212	\$ 211,739	6/30/2023
6084-210-1829	Incident Management	20,478,000	7,501,012	12,976,988	-	12,976,988	-	5,289,061	6,017,382	\$ 1,670,545	6/30/2023
6084-215 1830	Spare the Air Youth Program	2,463,000	969,357	1,493,643	-	1,493,643	-	138,404	1,344,007	\$ 11,232	6/30/2024
6084-216 1831	Arterial/Transit Performance/Rideshare	5,000,000	1,702,481	3,297,519	-	3,297,519	-	5,682	592,975	\$ 2,698,862	6/30/2023
6084-208 1832	Vanpool Program	2,000,000	215,673	1,784,328	-	1,784,328	-	55,140	4,188	\$ 1,725,000	6/30/2023
6084-220 1837	I-880 ICM Central Segment	1,142,000	37,632	1,104,368	-	1,104,368	23,777	-	-	\$ 1,080,591	6/30/2023
6084-219 1840	BBF West Grand TSP	1,000,000	75,861	924,139	-	924,139	-	36,123	790,572	\$ 97,444	6/30/2023
6084-242 1848	Regional Car Sharing	1,200,411	10,000	1,190,411	-	1,190,411	4,571	130,222	44,778	\$ 1,010,840	6/30/2024
6084-243 1849	Targeted Transportation Alternatives	325,000	10,120	314,880	-	314,880	4,571	44,701	-	\$ 265,608	6/30/2024
6084-254 1851	Adaptive Ramp Meter Program Implementation	3,000,000	111,834	2,888,167	-	2,888,167	-	45,260	139,906	\$ 2,703,000	6/30/2024
New					10,875,000					\$ -	
Total CMAQ Grants		\$ 47,287,411	\$ 16,003,372	\$ 31,284,039	\$ 10,875,000	\$ 31,284,039	\$ 191,560	\$ 6,401,313	\$ 9,457,411	\$ 15,233,755	
FTA GRANTS											
CA37-X177 1630	JARC	\$ 2,430,952	\$ 1,821,031	\$ 609,921	\$ -	\$ 609,921	\$ -	\$ 5,474	\$ 42,456	\$ 561,991	n/a
CA57-X109 1632	New Freedom	1,383,631	1,334,661	48,970	-	48,970	-	-	-	48,970	n/a
CA34-0024 1633	FTA 5339 - Bus Purchases	12,240,015	11,736,707	503,308	-	503,308	-	-	503,308	-	n/a
CA34-0032 1634	FTA 5339 - Bus Purchases	11,515,172	11,062,731	452,441	-	452,441	-	-	450,307	2,134	6/15/2022
64AM19-01194 1642	FTA 5310	-	-	208,687	-	-	-	-	-	-	1/31/2022
Total FTA Grants		\$ 27,569,770	\$ 25,955,130	\$ 1,823,327	\$ -	\$ 1,614,640	\$ -	\$ 5,474	\$ 996,071	\$ 613,095	
State and Local Grants											
SHA 6084-184 1112	FHWA - SHRP2	\$ 700,000	\$ 649,918	\$ 50,082	\$ -	\$ 50,082	\$ -	\$ -	\$ -	\$ 50,082	6/30/2022
BF-99T455 1340	Environmental Protection Agency (EPA)	1,074,579	379,498	695,081	-	695,081	-	157,792	-	537,289	9/30/2021
CA000007-01 1342	Environmental Protection Agency (EPA)	1,200,000	239,790	960,210	-	960,210	-	194,999	-	765,211	9/30/2021
6084 245 2214	HSIP/SSARPL	500,000	-	500,000	-	500,000	-	-	499,868	132	6/30/2022
14 -003 2800	Coastal Conservancy	1,475,854	385,536	1,090,318	-	1,090,318	-	100,000	-	990,318	1/31/2021
10-092 2801	Coastal Conservancy	1,314,909	438,245	876,664	-	876,664	17,314	190,906	-	668,444	6/30/2021
2310	ABAG Regional Early Action Plan for RHNA	3,450,000	-	3,450,000	-	3,450,000	675,774	67,017	397,483	2,309,726	
New	Coastal Conservancy Prop 68	-	-	-	1,400,000	1,400,000	-	-	-	1,400,000	
New	Coastal Conservancy Prop 68	-	-	-	600,000	600,000	-	-	-	600,000	
New	SSARP Planning Grant	-	-	-	500,000	500,000	-	-	-	500,000	
New	FEMA	-	-	-	300,000	300,000	-	-	-	300,000	
New	USGS National Grant	-	-	-	75,000	75,000	-	-	-	75,000	
Total State & Federal Grants		\$ 9,715,342	\$ 2,092,987	\$ 7,622,356	\$ 2,875,000	\$ 10,497,356	\$ 693,088	\$ 710,714	\$ 897,351	\$ 8,196,203	
Total Grants		\$ 276,083,828	\$ 125,168,508	\$ 151,124,008	\$ 33,612,000	\$ 160,790,321	\$ 5,484,709	\$ 19,531,152	\$ 43,533,804	\$ 92,240,655	
Note: New grants will be applied for in FY 2020-21.											
6084-201 1820	Freeway Performance Initiative	Grant is fully spent and will be closed out in FY 2020-21									

CLIPPER OPERATING BUDGET
As of March 2021 (75% of year)

Total FY 2020-21				
Clipper I Operating	Budget	Actual	Encumbrance	Balance
RM2	3,800,000	1,680,741	-	2,119,259
STA	5,800,000	-	-	5,800,000
CARES	5,900,000	6,507,159	-	(607,159)
Clipper Escheatment	391,414	-	-	391,414
Float Account Interest	300,000	-	-	300,000
Transit Operators	19,385,000	4,935,355	-	14,449,645
Revenue	\$35,576,414	\$13,123,254	\$0	\$22,453,160
Expense	\$35,576,414	\$13,067,375	\$22,509,038	\$0

Total FY 2020-21				
Clipper II Operating	Budget	Actual	Encumbrance	Balance
SGR	863,149	-	-	863,149
CMAQ	-	3,765	-	-
RM2	700,000	123,513	-	576,487
Transit Operators	424,500	-	-	424,500
Revenue	\$1,987,649	\$127,278	\$0	\$1,864,136
Expense	\$1,987,649	\$127,278	\$0	\$1,860,371

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of March 2021 (75% of year)

LTD Budget				Project
Clipper I - Capital	Thru FY 2020-21	Actual	Encumbrance	Balance L-T-D
CMAQ	66,669,515	67,082,768	-	(413,253)
Card Sales	22,951,267	19,547,085	-	3,404,182
Cap and Trade (LCTOP)	7,777,971	7,467,202	-	310,769
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	24,088,874	-	(10,016,309)
STP	31,790,753	35,201,896	-	(3,411,143)
STA	21,946,540	26,515,452	-	(4,568,912)
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	4,253,603	-	3,751,818
GGBHTD	2,975,000	2,799,165	-	175,835
BART	725,000	527,378	-	197,622
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	22,073,793	-	4,791,020
Transit Operators	11,779,437	5,373,183	-	6,406,254
WETA	603,707	657,307	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$236,909,357	\$236,264,861	\$0	\$644,496
Expense	\$236,909,357	\$224,322,172	\$11,739,999	\$847,186

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of March 2021 (75% of year)

Clipper II - Capital	LTD Budget Thru FY 2020-21	Actual	Encumbrance	Project Balance L-T-D
STP	9,477,616	9,477,616	-	-
FTA	137,217,587	18,180,784	-	119,036,802
TCP - CMAQ Funds	1,621,068	230,642	-	1,390,426
LCTOP	-	349,150	-	(349,150)
Clipper Eascheatment	135,000	-	-	-
BATA	22,878,413	16,020,074	-	6,858,339
STA	2,661,267	2,766,601	-	(105,335)
State of Good Repair - (SGR)	31,822,296	12,483,002	-	19,339,293
Revenue	\$205,813,246	\$59,507,870		\$146,170,375
Expense	\$205,813,246	\$59,517,844	\$13,134,536	\$133,160,866

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2021 (75% of year)

Work Element/Consultant	Adopted Budget	Expended	Encumbered	Balance
Support to the Commission	82,836			
1051111 - Subtotal	82,836	-	-	82,836
Implement Public Information Program	1,353,465			
Alta Planning and Design			15,000	
Circlepoint		58,152	41,848	
Consultants		434,049	379,240	
Craft & Commerce, LLP		62,285	62,285	
League f Women Voters		13,125	1,667	
Moore, Iacofano, Goldtsman		57,569	27,431	
Steer Davis & Gleave Inc.		91,034	108,966	
1051112 - Subtotal	1,353,465	716,214	636,437	813
Regional Transportation Plan	1,530,710			
AECOM Technical Services			2500	
Ascent environmental, Inc.		119,992		
Civic Knit		205,833	24,890	
Consultants			225,646	
Craft & Commerce, LLP		60,502		
Economic & Planning Systems			3,438	
EMC Research		90,000	110,000	
Urban Institute			8,726	
1051121 - Subtotal	1,530,710	476,327	372,700	681,684
Analyze Regional Data using GIS & Travel Models	2,820,311			
Consultants		51,000	259,828	
Corey, Canapary & Galanis			197,390	
ETC Institute			102,930	
Oakland Analytics		9,315	25,360	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.			31,402	
Resource Systems Group		91,946		
RSG, Inc.		218,241	346,719	
WSP USA, Inc.		2,500	90,733	
1051122 - Subtotal	2,820,311	373,002	1,065,736	1,381,573
Active Transportaion Planning				
Consultants	325,000	1,125	43,913	
1051125 - Subtotal	374,875	1,125	43,913	329,837
Regional Trails	7,685,000			
County of Alameda			321,000	
San Mateo County		110,000	-	
Santa Clara Valley Open Space		1,000,000		
1051127 - Subtotal	7,685,000	1,110,000	321,000	6,254,000
Resilience and Hazards Planning	34,044			
Consultants		5,373	-	
Rutherford & Chekene		28,520		
1051128 - Subtotal	34,044	33,893	-	151
Advocate Legislative Programs	544,000			
Carter, Welch & Associates		120,829	24,451	
Consultants		200,000	25,000	
Government Relations		100,000		
1051132- Subtotal	544,000	420,829	49,451	73,720

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2021 (75% of year)

Work Element/Consultant	Adopted Budget	Expended	Encumbered	Balance
Agency Financial Management	2,086,799			
GRAY CPA		168		
KPMG		125,000	275,000	
Bartel Associates LLC			4,084	
Gray CPA Consulting			1,832	
CROWE LLP		230,347	1,077,965	
Superior LLC		24,930		
1011152 - Subtotal	2,086,799	380,444	1,358,881	347,474
Administrative Services	919,872			
San Jose State University			66,682	
Koff & Associates		22,535	53,939	
CARL WARREN & Company		2,500	146,000	
United Development USA				
Keenan & Associates		13,328	8,339	
Unicom Government		46,695		
Cornerstone on Demand		5,000		
Perfromance Based Ergonomics		4,997	19,003	
Human Resource Management			2,925	
1011153 - Subtotal	919,872	95,055	296,887	527,930
Information Technology Services	3,037,712			
Accel Employment		1,834	686	
Agreeya Solutions		25,408	29,536	
Consultants			260,230	
Borderlan Inc.		49,800		
Vertiba LLC			10,039	
Visual Strategies		362,279	81,335	
CORE BTS		203,003	23,326	
Management Partners Inc.			74,536	
Larry Cabrera			16,167	
SSP DATA			28,350	
Informatix Inc.		88,650		
Protiviti Government		3,408		
Sapient corporation		175,085		
United Development		6,000		
Zoho Corporation			1,996	
1011161 - Subtotal	3,037,712	915,467	526,201	1,596,045
Performance Measurement and Monitoring	337,441			
Consultants		37,686		
Exygy, Inc.		130,713	73,012	
1051212 - Subtotal	337,441	168,399	73,012	96,030
Regional Rideshare Program	3,832,349			
Enterprise Rent-A-Car		442,260	907,740	
Parsons Brinckerhoff, Inc.		750	644,201	
1051222 - Subtotal	3,832,349	443,010	1,551,941	1,837,398
Support Regional Operations Program	775,647			
Consultants		15,844	340,000	
1051223 - Subtotal	775,647	15,844	340,000	419,803
Implement Regional Traveler Information Services	869,071			
Consultants		85,456		
Iteris, Inc.			309,071	
Kimley-Horn Associates		5,372	344,628	
1051224 - Subtotal	869,071	90,828	653,699	124,544
Economic Development and Forecasting	55,151			
1051229 - Subtotal	55,151	-	-	55,151

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2021 (75% of year)

Work Element/Consultant	Adopted Budget	Expended	Encumbered	Balance
Pavement Management Program (PMP)	2,184,052			
AMS Consulting		2,977	25,698	
Bellecci & Associates		-	34,410	
CA State University, Chico		50,000	150,000	
Capitol Asset & Pavement		8,328	22,193	
DEVMECCA.COM		1,076,406		
Fugro Roadware, Inc.		10,065	10,065	
HARRIS & ASSOCIATES		15,371	2,573	
NICHOLS		188,823	-	
NICHOLS CONSULTING			-	
Pavement Engineering Inc.		9,715	8,761	
Quality Engineering Solutions		8,543	50,691	
1051233 - Subtotal	2,184,052	1,370,228	304,391	509,433
Arterial Operations	2,255,699			
City of San Rafael		174,730	50,936	
DKS Associates		1,979	65,950	
Iteris, DBA, MMA		5,653	44,853	
Kimley Horn		2,294		
1051234 - Subtotal	2,255,699	184,656	161,739	1,909,304
Incident Management	620,628			
Consultants		42,500		
1051235 - Subtotal	620,628	42,500	-	578,128
Lifeline Transportation Program	1,200,000			
1051310 - Subtotal	1,200,000	-	-	1,200,000
Means Based Fare program	18,326,900			
BART			4,760,919	
Carshoft Technology Corporation		76,902		
Consultants			89,500	
Cubic Transportation Systems		480,217	1,337,340	
Golden Gate Bridge Highway			353,050	
Moore, Iacofano, Goltsman		522,354	37,134	
Nelson Nygaard		17,996	96,986	
PCJPB Caltrain		875	1,013,672	
Resource Development Association		37,875	98,206	
SF Muni		2,161	1,869,324	
Vertiba, LLC		377,800	150,013	
1051311 - Subtotal	18,326,900	1,516,180	9,806,144	7,004,576
Climate Resilience for People with Disabilities	117,008			
Wold Institute of Disability		117,007		
1051313 - Subtotal	117,008	117,007	-	(0)
Climate Assessment Initiative	219,327			
Consultants		12,829		
Nelson\Nygaard		4,549	90,511	
Nelson\Nygaard Consulting		12,090	9,801	
1051413 - Subtotal	219,327	29,468	100,312	89,547
State route 37 Corridor Program	600,000			
Aquatic Science Center		12,456	76,544	
State Coastal Conservancy		3,267	7,733	
Ty Lin International		308,664	191,336	
1051416 - Subtotal	600,000	324,387	275,613	-

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2021 (75% of year)

Work Element/Consultant	Adopted Budget	Expended	Encumbered	Balance
Regional Assistance Program	1,227,416			
Consultants		1500		
EMC Research		5,000	18,000	
Pierlott & Assocaites, LLC.		40,000		
1051514 - Subtotal	1,227,416	46,500	18,000	1,162,916
State Programing, Monitoring and TIP Development	187,200			
Nelson Nygard		27,841	47,159	
1051515 - Subtotal	187,200	27,841	47,159	112,200
Transit Sustainability Project	6,555,168			
Golden Gate Bridge Highway		12,857		
HDR Engineering Inc.		605,876		
Parsons Brinkerhoff, Inc.		6,133		
Steer Davis & Gleave Inc.		245,450		
1051517 - Subtotal	6,555,168	870,316	-	5,684,852
BART Metro 2030 and Beyond	529,559			
1051520- Subtotal	529,559	-	-	529,559
Transportation for Livable Communities Program	5,581,444			
City of Berkely			2,012	
California Housing Partnerships		2,500	9,350	
Community Design & Architecture		118,380	13,695	
consultants			18,005	
Fehr & Peers Associates		1,319	9,257	
Nelson Nygard		6,375	19,733	
Placeworks		6,497	231	
Strategic Economics		55,366	194,634	
TJKM Tansportation Consultant		583	13,071	
1051611 - Subtotal	5,581,444	191,020	279,988	5,110,436
Climate Adaptation Consulting (BARC)	162,000			
AECOM		2,959		
Consultants		31,363		
San Francisco Estuary Institute		16,054	3,946	
Tom Leader Studion Inc.,		28,738		
1051612- Subtotal	162,000	50,376	3,946	107,678
Connecting Housing and Transportation	100,000			
Consultants		52,517	39,483	
1051615- Subtotal	100,000	52,517	39,483	8,000
Regional Advance Mitigation Projects	50,000			
1051616- Subtotal	50,000	-	-	50,000

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2021 (75% of year)

Work Element/Consultant	Adopted Budget	Expended	Encumbered	Balance
Affordable Mobility Pilot Program	871,222			
TransForm		262,372		
1051618- Subtotal	871,222	262,372	-	608,850
Consultants Carryover	376,349		322,938	
1011998 - Carryover	376,349	-	322,938	53,411
Legal	1,129,304			
Glen & Finley LLP		30,204	156,190	
Hanson Bridgett		10,773	26,548	
Meyers Nave			47,879	
BEST BEST & Kreiger LLP			32,596	
Rene Public Law Group		80,121	122,330	
Farella Braun And Martel LLP			-	
1060000 - Subtotal	1,129,304	121,097	385,544	622,663
Total Operating Contract Services	68,632,560	10,446,903	19,035,115	39,150,542
Bay Area Forward - Capital	16,071,645	1,365,196	3,715,797	10,990,652
11051237 - Subtotal	16,071,645	1,365,196	3,715,797	10,990,652
Total Non-Federal Grant Funded	84,704,206	11,812,099	22,750,912	50,141,194

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2020 (75% of year)

Work Element/Consultant	Expended	Encumbered
Regional Trails		
Consultants	125,400	
East Bay Regional Park District	100,000	
Greeninfo Network	506	
1051127 - Subtotal	225,906	-
Analyze Regional Data using GIS & Travel Models		
Consultants		12,000
Enterprise Rent-A-Car	320,070	115,258
Macias, Gini and Company	6,000	6,000
Parsons Brinkerhoff	594,334	89,339
Sonoma County Transportation	13,042	4,996
1051222 - Subtotal	933,446	215,593
Support Regional Traveler Information Services		
Kimley-Horn and Associates	48,055	
1051223 - Subtotal	48,055	-
Regional Traffic Information Services		
Civic Resource Group	267,308	241,804
Consultants	82,817	
Iteris, Inc.	1,605,842	2,472,391
Kimly-Horn and Associates	72,906	
1051224 - Subtotal	2,028,873	2,714,195
Pavement Management Program (PMP)		
AMS Consulting	22,978	198,347
Bellecci & Associates		265,590
Capitol Asset & Pavement Services	64,282	171,297
Fugro Roadware, Inc.	77,685	77,685
Harris & Associates	118,639	19,857
My Sidewalk, Inc.		499,868
Nichols Consulting Engineers	70,085	
Nichols Consulting Engineers	144,008	540,151
Pavement Engineering Inc.	74,985	67,619
Quality Engineering Solutions	65,937	86,458
1051233 - Subtotal	638,599	1,926,872

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2020 (75% of year)

Work Element/Consultant	Expended	Encumbered
Arterial Operations Coordination		
AC Transit	14,995	1,776,694
City of San Rafael	600,829	175,150
DKS Associates	12,024	64,121
IBI Group	3,991	136,696
ITERIS, DBA MMA	28,186	264,911
Kimly-Horn	16,506	274,878
City of San Jose	301,506	1,041,126
City of San Ramon	42,112	474,839
TJKM Transportation Consultant		206,318
1051234 - Subtotal	1,020,149	4,414,733
Implement Incident Management Program		
AC Transit		308,160
MNS Engineers (former S&C)	544,306	603,979
Parsons Transportation Group	182,118	1,636,886
Sturgeon Electric California	4,500,955	3,451,208
URS Corporation	61,681	17,148
1051235 - Subtotal	5,289,060	6,017,381
Technologically -Based Operations & Mobility		
Cambridge Systematics		267,025
ITERIS, DBA MMA	8,265	
Steer Davis & Gleave Inc.	52,262	337,378
Steer Davies & Gleave		299,944
Strer Davis & Gleave Inc.		200,000
1051238 - Subtotal	60,527	1,104,347
Lifeline Program		
Contra Costa County		20,077
San Mateo County Human Service	5,474	22,379
1051310 - Subtotal	5,474	42,456
Lifeline Planning		
Alta Plannign & Design	138,404	1,344,007
Consultants	174,923	77
Silicon Valley Bicycle Coalition	80,000	335,390
1051413 - Subtotal	393,327	1,679,474
Federal Programming, Monitoring and TIP Development		
ECCTA		953,615
1051512 - Subtotal	0	953,615

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2020 (75% of year)

Work Element/Consultant	Expended	Encumbered
Transportation for Livable Communities		
Bay Conservation & Dev Comm.	113,369	
Berkeley, City of	56,152	23,823
CITY & COUNTY OF SAN FRANCISCO		500,000
City of San Jose		500,000
CITY OF SAN RAFAEL	213,200	48,500
City of Santa Rosa	509,090	0
City of Union City		713,100
COMMUNITY DESIGN & ARCHITECTURE		16,706
CONSULTANTS (PO)	200,259	
El Cerrito, City of	5,703	312,141
FEHR & PEERS ASSOCIATES	40,681	40,953
NELSON NYGAARD	49,209	152,315
Oakland, City of		34,800
Placeworks	118,240	
San Francisco, City & County		154,000
San Jose, City of		344,692
Santa Clara VTA	799,998	1,600,000
Santa Clara, City of		772,447
City of Sunnyvale	58,040	
TJKM Transportation Consultant	90,096	15,294
VACAVILLE, CITY OF		240,996
VALLEY TRANSP AUTHORITY	41,548	6,837
1051611 - Subtotal	2,295,585	5,476,605
Affordable Mobility Pilot Program		
Ninyo & Moore Geotechnical	194,998	
Ninyo and Moore	157,792	
1051615 - Subtotal	352,790	0
Fund 190 CMA PLANNING	4,924,862	0
Total Federal Grant Funded	18,216,653	24,545,314
Bay Area Forward - Capital	1,314,499	18,988,491
Total Federal Grant Funded	19,531,152	43,533,805

CAPITAL PROJECTS DISBURSEMENT REPORT
As of March 2021 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	1,506,000	130,831	492,775	
Subtotal	1,506,000	130,831	492,775	882,394
Bay Bridge Forward	95,460,596			
AC TRANSIT		1,623,417	790,572	
Atkins		1,837		
California Engng. Contractors		2,128,950		
California Highway Patrol		120,311		
Caribou Public Relations		65,893	234,107	
CONSULTANTS (PO)		8,743,592	4,411,446	
CONTRA COSTA TRANSP AUTHORITY		966,125	12,115,946	
FEHR & PEERS ASSOCIATES		31,204		
GRAY-BOWEN-SCOTT		64,168		
HDR Engineering, Inc.		707,899	144,673	
KAISER FOUNDATION HOSPITAL		69,563		
Kimley Horn Associates		4,098,571	238,513	
KIMLEY-HORN		1,126,212		
Kittelson & Associates		129,183	287,934	
LUUM		230,000	200,817	
MTC STAFF COSTS		13,532,303		
O.C. Jones & Sons, Inc.		9,898,255	153,871	
Parsons Transportation Group		226,998	800,970	
Placeworks		61,792		
San Mateo County Transit Dist		48,640		
Steer Davis & Gleave Inc.		211,779		
Transp Mobility Solutions		40,346	18,190	
UC REGENTS		543,773		
WSP USA Inc.		1,470,509	120,952	
WSP, USA INC.		304,693	62,330	
	95,460,596	46,446,012	19,580,321	29,434,263

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2021 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper 1 Operating	35,576,414			
AC Transit		120,431	226,633	
CONSULTANTS (PO)		273,353		
CUBIC Transportation Systems		11,033,944	21,241,141	
Faneuil, Inc.		281,600	273,791	
MOORE, IACOFANO, GOLTSMAN		444,959	499,895	
MTC STAFF COSTS		589,422		
Nematode Holdings		223,667	184,017	
Resource Development Assoc.		100,000	83,561	
320122116 Clipper I Operating Expenses	\$35,576,414	\$13,067,375	\$22,509,038	\$0

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper II Operating	1,987,649			
Staff Costs		127,278		
320122016 Clipper 2 Operating Expenses	\$1,987,649	\$127,278	\$0	\$1,860,371

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2021 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper I Capital	236,909,357			
Staff costs		15,836,112		
Auriga Corporation		12,293		
A T & T		90,557		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
Auriga Corporation		373,734		
BART		3,704,732		
Booz Allen Hamilton		23,167,379		

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2021 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Caporicci & Larson		11,530		
Consultants		4,189,253	2,704,864	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		109,650,686	9,035,135	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		110,407		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		170,310		
IBI Group		589,301		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		1,140,775		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corridor Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		842,352		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		12,363,806		
310 Clipper Capital I - Total Expenses	\$236,909,357	\$224,322,172	\$11,739,999	\$847,186

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2021 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper II Capital	205,813,246			
CH2M Hill		505,489		
CUBIC		40,418,453	9,674,945	
IBI GROUP		4,963,123		
Consultants		2,389,980	1,959,591	
Invoke Technologies		493,818		
KPMG CONSULTING		245,435		
MTC STAFF COSTS		9,147,405		
Thompson Coburn LLP		616,235		
IBI GROUP		286,330		
Invoke Technologies		366,037		
Moore, Iacofano, Goltsman		0	1,500,000	
VenTek Transit, Inc.		85,540		
312 Clipper II - Total Expenses	\$205,813,246	\$59,517,844	\$13,134,536	\$133,160,866

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

**As of March 31,
2021**

CDW Government Inc.		\$	20,619
	<i>Apple Care - Extended Service</i>		
Nuestra CASA De East Palo Alto		\$	3,000
	<i>Planning Consultant</i>		
Boundary Solutions		\$	2,000
	<i>Software License Renewal</i>		
Andy Zhang		\$	70,000
	<i>Software License Renewal</i>		
Carshoft Technology Services		\$	51,253
	<i>Hosted Services</i>		
Trifacta Inc.		\$	11,000
	<i>Software License Renewal</i>		
United Development Inc.		\$	24,000
	<i>Hosted Services</i>		
Extensis Products Group		\$	6,160
	<i>Software License Renewal</i>		
SSP Data		\$	30,000
	<i>Software License Renewal</i>		
Docpoint Solutions		\$	3,743
	<i>Software License Renewal</i>		
AT&T		\$	7,669
	<i>Communications</i>		
Insight Public Sector		\$	199,999
	<i>Computer Hardware</i>		
Quest Media & Supplies		\$	6,245
	<i>Software License Renewal</i>		
Civic Edge		\$	6,000
	<i>Conference/Training Expenses</i>		
Logmein Inc.		\$	2,500
	<i>Software License Renewal</i>		
Avenza Systems Inc.		\$	3,639
	<i>Software License Renewal</i>		
Dakota Press		\$	5,100
	<i>Printing and Reproduction</i>		
SoftwareONE Inc.		\$	15,370
	<i>Software License Renewal</i>		
Borderland Inc.		\$	49,800
	<i>Security Program Communications Consultant</i>		
Amazon.Com LLC		\$	83,000
	<i>Software License Renewal</i>		

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

		As of March 31, 2021
Bentley Systems Inc.		\$ 21,912
	<i>Software License Renewal</i>	
Everbridge Inc.		\$ 54,282
	<i>Community Engagement Consultant</i>	
Noah Berger		\$ 9,000
	<i>Printing and Reproduction</i>	
Secure Smart Solutions		\$ 89,415
	<i>Hosted Services</i>	
34th Street Inc.		\$ 12,400
	<i>Conference/Training Expenses</i>	

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000**

Consultant	Purpose	As of March 31, 2021
City of San Rafael	Bike Lane Gap Consultant	\$50,000
Town of Moraga	Moraga Center Implementation	\$78,888
Town of Moraga	Moraga Center Implementation	\$140,000
Cass Gidley Marina	ADA Compliance Consultants	\$65,000
Tomtom North America	Annual License Fee - Software	\$180,835
Macias Gini and O-Connell, LLP	Auditing and Attesting Consultants	\$12,000
Bay Area Rapid Transit	Oakland BART Parking Lot Sublease	\$10,380
AC Transit	AC Transit Short Range Transit Planning	\$42,857
Betty and Gardens	Electronic Vehicle Chargers	\$125,861