

## Metropolitan Transportation Commission Programming and Allocations Committee

April 14, 2021

Agenda Item 2j - 21-0541

### MTC Resolution Nos. 4453, Revised and 4461

**Subject:** Programming of FTA Section 5311 Rural Area funds: \$4.7 million in Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) Section 5311 funds and \$1.8 million in FY2020-21 Section 5311 formula funds.

**Background:** **Coronavirus Response and Relief Supplemental Appropriations Act (CRRSAA) Section 5311 Funds**

In addition to the \$983 million in supplemental FTA Urbanized Area Formula (Section 5307) funding provided to the Bay Area through CRRSAA, the relief package included approximately \$74 million to the State of California in supplemental FTA Rural Area (Section 5311) funds. In late March, Caltrans released apportionments for these funds, with \$4.7 million going to MTC for distribution among eligible transit operators and projects. Like the Section 5307 CRRSAA funds, these funds are broadly eligible for emergency relief, operating assistance, and capital uses at a 100% federal share.

As part of its distribution of CRRSAA Section 5307 funds in March, the Commission committed to relief funding amounts for operators who were not eligible for Section 5307 due to urbanized area restrictions. For several operators, these commitments were to be filled by CRRSAA Section 5311 funding if possible. As a result, commitments to Vacaville, Dixon, and Rio Vista are being met as the first priority of this programming action, an amount totaling approximately \$560,000.

For the remaining \$4.2 million in CRRSAA funds, staff held a call for projects among eligible operators that provide rural transit service, with amounts set aside for each operator based on the Commission's adopted formula, which takes into account population living near rural transit stops and rural route miles in service. The resulting program of projects is listed in Attachment A to MTC Resolution No. 4453, Revised. Because these are relief funds, it is staff's intent that the funds set aside for each operator out of this remaining \$4.2 million will be considered as part of operators' total relief funds programmed to date during upcoming discussions of the distribution of American Rescue Plan Act funding, whether they chose to apply for these funds or not. [CB1]

**FY2020-21 Rural Area Section 5311 Funds**

Caltrans has also released apportionments for regular Section 5311 formula funds, with \$1.8 million going to MTC for distribution. Staff also held a call for projects for these funds, again using the formula based on the Commission's adopted objectives and criteria. The resulting program of projects is listed in Attachment A to MTC Resolution No. 4461. Unlike the CRRSAA funds, these regular funds require a local match and must be placed in the TIP. The projects are a mix of operating assistance for rural routes and capital projects such as replacement vehicles and bus electrification infrastructure.

**Other Operators Not Receiving CRRSAA Section 5307 Funds**

Three operators who were not eligible to receive CRRSAA Section 5307 funds – CCCTA, ECCTA, and LAVTA – are proposed to instead receive regular Section 5307 formula funds as part of the Transit Capital Priorities Program item later in this agenda, via fund swap with BART and AC Transit capital projects already programmed with CRRSAA funds. The final remaining operator, Petaluma, is also ineligible for Section 5311; staff proposes that its CRRSAA share be set aside from American Rescue Plan Act funds available to the region, which will be coming before the Commission for policy considerations and related assignment in the near future.


**Next Steps**

For both the CRRSAA and regular formula 5311 funds, operators are required to submit applications to Caltrans by April 30, 2021. Staff will work with operators to place approved projects in a proposed TIP amendment as early as next month.

**Issues:** None.

**Recommendation:** Refer MTC Resolution Nos. 4453, Revised and 4461 to the Commission for approval.

**Attachments:** MTC Resolution No. 4453, Revised (CRRSAA programming)  
MTC Resolution No. 4461 (Formula funds programming)

  
Therese W. McMillan

Date: January 27, 2021  
W.I.: 1512  
Referred By: Commission  
Revised: 03/24/21-C  
04/28/21-C

Resolution No. 4453, Revised

This resolution approves the process, establishes the criteria, and programs projects for Federal Transit Administration (FTA) Sections 5307 Urbanized Area Formula and 5311 Rural Area formula funds apportioned to the San Francisco Bay Area pursuant to the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (CRRSAA) (H.R. 133) for FY2020-21 Emergency Transit Operations Assistance.

This resolution includes the following attachments:

- Attachment A – FY2020-21 Emergency Transit Operations Program of Projects
- Attachment B – Text of July 22, 2020 Amended Motion of Approval of MTC Resolution No. 4420, Revised (“True Up Directive”)
- Attachment C – FY2020-21 Emergency Transit Operations Programming Policy
- Attachment D – CRRSAA Phase 2 Funding Distribution Summary

This resolution was revised on March 24, 2021 via Commission action to program the second phase of CRRSAA funds in Attachment A; to revise the table of eligible operators, add Phase 2 methodology, and add an appendix with ridership count in Attachment C; and to add Attachment D, CRRSAA Phase 2 Funding Distribution Summary.

This resolution was revised on April 28, 2021 via Commission action to program CRRSAA Section 5311 formula funds in Attachment A.

Further discussion is contained in the Metropolitan Transportation Commission Summary Sheet dated January 27, 2021, and the Programming and Allocations Committee Summary Sheets dated March 10, 2021 and April 14, 2021.

Date: January 27, 2021  
W.I.: 1512  
Referred By: Commission

RE: San Francisco Bay Area FY2020-21 Emergency Transit Operations Programming and Policy

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4453

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area; and

WHEREAS, the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 (CRRSAA) (H.R. 133) has been signed into law in response to the nationwide Coronavirus pandemic, which provides supplemental appropriations for Emergency Transit Operations Assistance through the Federal Transit Administration (FTA) Section 5307 Urbanized Area and Section 5311 Rural Area formula programs; and

WHEREAS, MTC is the designated recipient of the FTA Section 5307 Urbanized Area Formula Program funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 funds for the small urbanized areas of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program and for the Section 5311 funds in non-urbanized areas; and

WHEREAS, the projects to be funded are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; and

WHEREAS, this Commission approved MTC Resolution No. 4420, Revised with an amended motion of approval conditioned upon a "true up" of any negative differential between projected and actual sales tax and/or fare revenues with any future allocation of federal dollars for pandemic/economic relief as set forth in Attachment B, which is incorporated herein as though set forth at length; and

WHEREAS, the Policy to be used for the distribution of funds is set forth in Attachment C, which is incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2020-21 Emergency Transit Operations Program of Projects to be funded as set forth in Attachment A; and, be it further

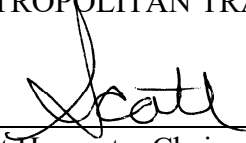
RESOLVED, that MTC approves FY2020-21 Emergency Transit Operations Programming Policy as set forth in Attachment C; and, be it further

RESOLVED, that MTC will use the Policy as set forth in Attachment C to program supplemental FTA Sections 5307 and 5311 formula funds appropriated in the Coronavirus Response and Relief Supplemental Appropriations Act of 2021 for Emergency Transit Operations Assistance as provided under statute; and, be it further

RESOLVED, that the Executive Director of MTC, or their designee, is authorized and directed to modify the Program of Projects as listed in Attachment A to meet requirements of FTA; and, be it further

RESOLVED, that the Executive Director of MTC, or their designee, is authorized and directed to forward a copy of this resolution to FTA or other such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
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Scott Haggerty, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a duly called and noticed meeting held in San Francisco, California and at other remote locations, on January 27, 2021.

Date: January 27, 2021  
W.I.: 1512  
Referred by: Commission  
Revised: 03/24/21-C  
04/28/21-C

Attachment A  
Resolution No. 4453  
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**FY2020-21 Emergency Transit Operations Program of Projects**

TIP ID	Operator	Project Description	Total FTA Program	FTA Section 5307	FTA Section 5311
<b>Apportionments</b>			<b>986,988,654</b>	<b>982,271,293</b>	<b>4,717,361</b>
<b>Phase 1 Programming</b>					
	BART	CRRSAA-eligible Projects	103,717,002	103,717,002	
	Caltrain	CRRSAA-eligible Projects	6,936,627	6,936,627	
	GGBHTD	CRRSAA-eligible Projects	20,319,959	20,319,959	
	SFMTA	CRRSAA-eligible Projects	43,750,147	43,750,147	
	WETA	CRRSAA-eligible Projects	4,877,943	4,877,943	
<b>Phase 1 Program Total</b>			<b>179,601,678</b>	<b>179,601,678</b>	<b>-</b>
<b>Fund Balance</b>			<b>807,386,976</b>	<b>802,669,615</b>	<b>4,717,361</b>
<b>Phase 2 Programming</b>					
	AC Transit	CRRSAA-eligible Projects	55,542,954	55,542,954	
NEW	AC Transit	Replacement Buses (fund swap)	1,027,003	1,027,003	
	ACE	CRRSAA-eligible Projects	1,541,963	1,541,963	
	BART	CRRSAA-eligible Projects	274,420,539	274,420,539	
REG090037	BART	Railcar Replacement Program (fund swap)	6,754,237	6,754,237	
	Caltrain	CRRSAA-eligible Projects	39,755,402	39,755,402	
	City of Fairfield	CRRSAA-eligible Projects	1,049,102	1,049,102	
	City of Santa Rosa	CRRSAA-eligible Projects	1,931,323	1,931,323	
	GGBHTD	CRRSAA-eligible Projects	39,429,475	39,429,475	
	Marin Transit	CRRSAA-eligible Projects	4,187,557	3,654,814	532,743
	NVTA	CRRSAA-eligible Projects	2,068,652	1,539,743	528,909
	SamTrans	CRRSAA-eligible Projects	16,428,153	16,037,830	390,323
	SFMTA	CRRSAA-eligible Projects	297,168,390	297,168,390	
	SMART	CRRSAA-eligible Projects	1,789,716	1,789,716	
	Solano County Transit	CRRSAA-eligible Projects	1,692,275	1,692,275	
	Sonoma County Transit	CRRSAA-eligible Projects	2,648,867	1,438,846	1,210,021
	TJPA	CRRSAA-eligible Projects	3,287,474	3,287,474	
	Union City Transit	CRRSAA-eligible Projects	514,277	514,277	
	VTA	CRRSAA-eligible Projects	39,557,271	39,355,158	202,113
	WCCTA	CRRSAA-eligible Projects	1,263,299	1,263,299	
	WETA	CRRSAA-eligible Projects	13,475,795	13,475,795	
	City of Dixon (via Vacaville)	CRRSAA-eligible Projects	462,867		462,867
	ECCTA	CRRSAA-eligible Projects	175,125		175,125
	LAVTA	CRRSAA-eligible Projects	107,814		107,814
	City of Dixon	CRRSAA-eligible Projects	746,590		746,590
	City of Rio Vista	CRRSAA-eligible Projects	360,856		360,856
<b>Phase 2 Program Total</b>			<b>807,386,976</b>	<b>802,669,615</b>	<b>4,717,361</b>
<b>Total Programming (Phase 1 + Phase 2)</b>			<b>986,988,654</b>	<b>982,271,293</b>	<b>4,717,361</b>
<b>Fund Balance</b>			<b>-</b>	<b>-</b>	<b>-</b>

Date: January 27, 2021  
W.I.: 1512  
Referred by: Commission  
Revised: 03/24/21-C  
04/28/21-C

Attachment A  
Resolution No. 4453  
Page 2 of 2

NOTES:

1. The table on Page 1 incorporates the following in the Section 5307 column:

Operator	Calculated CRRSAA Amount	Note
CCCTA	3,688,131	To be funded through fund swap with AC Transit/BART per policy (Attachment C, III.a.ii.3.a)
ECCTA	2,456,412	
LAVTA	1,636,697	
<b>Subtotal</b>	<b>7,781,240</b>	
City of Petaluma	351,690	To be funded via CRRSAA Section 5311/other; calculated amounts directed to other operators per policy (Attachment C, III.a.ii.3.b-d)
City of Vacaville	462,867	
City of Dixon	69,918	
City of Rio Vista	28,192	
<b>Subtotal</b>	<b>912,667</b>	

2. On 4/28/21, Section 5311 programming was added, which met the Commission's commitment in Note 1 to Vacaville, Dixon, and Rio Vista. Additional programming was made to Section 5311-eligible operators based on the Commission's adopted formula. It is staff's intent that the funds set aside for each operator will be considered as part of operators' total coronavirus relief funds programmed to date.

Date: April 28, 2021  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4461

This resolution adopts the FY2020-21 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Programs of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2020-21

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheet dated April 14, 2021.



Date: April 28, 2021  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2020-21 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4461

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in rural areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, the FY2020-21 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachments A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2020-21 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2020-21 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION  
COMMISSION

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Alfredo Pedroza, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations on April 24, 2021.

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2020-21**

**FY2020-21 Funding Available:**

<b>Apportionments:</b>	\$ 1,784,344
<b>Prior Year Carryover:</b>	\$ -
<b>Total Funding Available:</b>	\$ 1,784,344

**FY 2020-21 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
LAVTA	Operating Assistance - Rural Alameda County	\$ 46,283	\$ 37,366	\$ 83,649
Marin Transit	West Marin Stagecoach Rural Bus Service	\$ 228,695	\$ 184,634	\$ 413,329
NVTA	Operating Assistance	\$ 227,053	\$ 183,316	\$ 410,369
SamTrans	Operating Assistance for Existing Transit Services	\$ 167,560	\$ 1,847,240	\$ 2,014,800
VTA	Operating Assistance for Bus Route 68	\$ 86,840	\$ 70,109	\$ 156,949
City of Dixon	City of Dixon Redit-Ride Electrification Infrastructure	\$ 79,843	\$ 10,344	\$ 90,187
City of Dixon	City of Dixon Redit-Ride Vehicle Replacements	\$ 166,436	\$ 21,564	\$ 188,000
Rio Vista Delta Breeze	Rio Vista Delta Breeze Electrification Infrastructure	\$ 58,802	\$ 7,621	\$ 66,423
Rio Vista Delta Breeze	Rio Vista Delta Breeze Vehicle Replacements	\$ 128,208	\$ 21,792	\$ 150,000
Sonoma County Transit	Replacement Vehicle Purchase	\$ 519,444	\$ 530,556	\$ 1,050,000
ECCTA	ECCTA Shelter Truck Replacements	\$ 75,180	\$ 9,820	\$ 85,000
Total Programming		\$ 1,784,344	\$ 2,924,362	\$ 4,708,706
Total Available		\$ 1,784,344		
Available for Carryover		\$ -		