

Metropolitan Transportation Commission Programming and Allocations Committee

April 14, 2021

Agenda Item 3c - 21-0454

MTC Resolution Nos. 4430, Revised and 4431, Revised

Subject: An allocation of \$124 million in FY 2020-21 Transportation Development Act (TDA) and State Transit Assistance (STA) funds to various operators.

Background: This month's proposed actions continue the annual allocation process of TDA and STA funds for FY2020-21. These funds are a significant source of operational and capital support for the region's transit operators. SamTrans is requesting an initial allocation of funds while the other operators are requesting supplemental allocations consistent with revised revenue estimates for the current fiscal year. Most allocation requests that are one million dollars or less are approved separately through the Executive Director's Delegated Authority process.

<i>amounts in millions</i>			
Transit Operator/ Claimant	TDA Resolution No. 4430	STA Resolution No. 4431	Total
AC Transit	\$ 14.1	\$ 4.5	\$ 18.6
CCCTA	\$ -	\$ 0.8	\$ 0.8
ECCTA/Tri Delta	\$ -	\$ 0.5	\$ 0.5
LAVTA	\$ -	\$ 0.3	\$ 0.3
GGBHTD	\$ 3.0	\$ 1.4	\$ 4.4
SamTrans	\$ 39.4	\$ 8.1	\$ 47.5
Santa Rosa	\$ -	\$ 0.3	\$ 0.3
SFMTA	\$ 0.9	\$ 11.1	\$ 12.0
Sonoma County	\$ -	\$ 0.3	\$ 0.3
VTA ¹	\$ 29.0	\$ 10.0	\$ 39.0
Total	\$ 86.4	\$ 37.3	\$ 123.7

1. A rescission of \$2.2 M in STA is also proposed for VTA.

SamTrans

The SamTrans bus and paratransit budget is approximately \$168 million. SamTrans is requesting \$43 million in TDA and STA funding, which is equivalent to 26 percent of its budgeted expenses, to support its transit operations. In addition, SamTrans has requested an additional \$4.5 million in STA to fund Caltrain operations. As of January 2021, SamTrans is projecting \$8 million (or 4.5%) in cost-savings due to service reductions, lower fuel expenses, reduction in insurance claim reserves, and lower expense trends for consultant services.

As of March 2021, service is currently operating at 82% of pre-COVID levels. In April 2020, soon after the shelter-in-place order was issued, service was reduced to Saturday service levels. In August 2020 and January 2021, additional service was added to routes with high demand and to serve growing ridership. Additional service changes, including restarting a small number of school-oriented community routes began at the end of March. Ridership is down 65 percent on

fixed route services and paratransit ridership is down 67 percent from pre-pandemic levels.

SamTrans is planning a service redesign, Reimagine SamTrans, and will soon conduct the second round of public outreach for feedback on three alternatives. Implementation of the service redesign is anticipated in Summer 2022. SamTrans is also conducting a shuttle study to focus on how to emerge from COVID-19 and adapt to Caltrain electrification, fixed route service redesign, and long-term corridor changes.

Supplemental Allocations

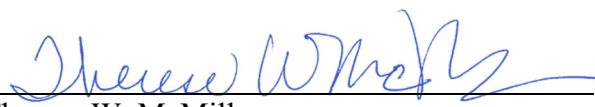
Before the start of FY 2020-21, MTC notified claimants that TDA allocations would be limited to 75 percent of the estimated revenue for the fiscal year to account for the anticipated decrease in sales tax revenue since initial estimates were developed pre-pandemic. As part of submitting TDA revenue estimates for FY2021-22, the nine county auditors also provided revised revenue estimates for FY 2020-21 based on actual receipts in the current year and updated forecasts. Except for San Francisco, all counties are projecting a much smaller decrease in TDA revenue for FY2020-21 compared to FY2019-20, and some counties are even projecting an increase. In addition, the State had projected a decrease in STA revenue of 40 percent compared to the original forecast, but revised that to a 25 percent decrease. A more detailed explanation of these revised revenue forecasts was presented to the Programming and Allocations Committee in February. As a result of the changes in revenue forecasts, operators may claim additional TDA and STA funds in the current year.

Approximately \$76 million in supplemental TDA and STA is proposed to be allocated to nine operators based primarily on the increased revenue estimate. VTA also has requested a reallocation of about \$2 million in Lifeline program funds since the funds will expire at the end of the fiscal year and they need additional time to complete the project.

Issues: In February, when staff presented the FY 2021-22 Annual Fund Estimate, the Committee provided direction that staff should highlight proposed programming and allocation actions that fall under MTC's regional transit coordination authority, to allow for greater scrutiny of operators' compliance with existing and future coordination requirements. Staff will comply with this direction for future actions in FY2021-22 and beyond but note that funds subject to the current proposed action are for FY 2020-21.

Recommendation: Refer MTC Resolution Nos. 4430, Revised and 4431, Revised to the Commission for approval.

Attachments: MTC Resolution Nos. 4430, Revised and 4431, Revised


Therese W. McMillan

Date: June 24, 2020
W.I.: 1514
Referred by: PAC
Revised: 07/22/20-C 09/23/20-C
10/28/20-C 11/20/20-DA
12/16/20-C 01/27/21-C
02/24/21-C 04/28/21-C

ABSTRACT

Resolution No. 4430, Revised

This resolution approves the allocation of fiscal year 2020-21 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA) and Santa Clara Valley Transportation Authority (VTA).

On July 22, 2020, Attachment A was revised to allocate funds to Livermore Amador Valley Transit Authority (LAVTA), Napa Valley Transportation Authority (NVTA), SolTrans, Sonoma County Transit, and Vacaville.

On September 23, 2020, Attachment A was revised to allocate funds to CCCTA, Fairfield, Golden Gate Bridge, Highway, and Transportation District (GGBHTD), Marin Transit, and San Francisco Municipal Transportation Agency (SFMTA).

On October 28, 2020, Attachment A was revised to allocate funds to Eastern Contra Costa Transit Authority (ECCTA or Tri Delta Transit).

On November 20, 2020 through Executive Director's to rescind funds from CCCTA at their request.

On December 16, 2020, Attachment A was revised to allocate funds to Santa Rosa.

On January 27, 2021, Attachment A was revised to allocate funds to Petaluma.

On February 24, 2021, Attachment A was revised to allocate funds to Union City and change the use of funds allocated to AC Transit.

On April 28, 2021, Attachment A was revised to allocate funds to GGBHTD, SamTrans, SFMTA, and VTA.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2020 July 8, 2020, September 9, 2020, October 14, 2020, December 9, 2020, January 13, 2021, February 10, 2021, and April 14, 2021.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC

Re: Allocation of Fiscal Year 2020-21 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4430

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2020-21 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2020-21 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code

Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

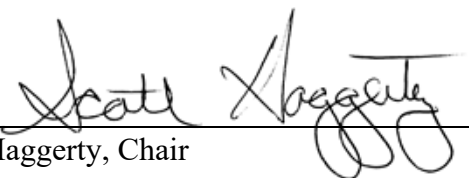
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2020-21 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations, on June 24, 2020.

Date: June 24, 2020
 Referred by: PAC
 Revised: 07/22/20-C 09/23/20-C
 10/28/20-C 11/20/20-DA
 12/16/20-C 01/27/21-C
 02/24/21-C 04/28/21-C

Attachment A
 MTC Resolution No. 4430
 Page 1 of 2

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
 DURING FISCAL YEAR 2020-21

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area	Note
5801 - 99233.7, 99275 Community Transit Service - Operations						
VTA	Paratransit Operations	4,300,949	01	06/24/20	Santa Clara County	
AC Transit	Paratransit Operations	2,941,847	02	06/24/20	Alameda County	
AC Transit	Paratransit Operations	(2,941,847)	02	02/24/21	Alameda County	
VTA	Paratransit Operations	1,450,645	01	04/28/21	Santa Clara County	
SamTrans	Paratransit Operations	1,969,917	31		San Mateo County	
	Subtotal	7,721,511				
5802 - 99260A Transit - Operations						
VTA	Transit Operations	81,718,041	03	06/24/20	VTA	
CCCTA	Transit Operations	21,522,389	04	06/24/20	CCCTA	
AC Transit	Transit Operations	39,194,685	05	06/24/20	AC Transit Alameda D1	
AC Transit	Transit Operations	10,401,518	06	06/24/20	AC Transit Alameda D2	
AC Transit	Transit Operations	4,764,837	07	06/24/20	AC Transit Contra Costa	
LAVTA	Transit Operations	9,941,236	08	07/22/20	LAVTA	
Sonoma County	Transit Operations	5,506,420	09	07/22/20	Sonoma County	
Sonoma County	Transit Operations	172,665	10	07/22/20	Petaluma	
SolTrans	Transit Operations	3,772,833	11	07/22/20	Vallejo/Benicia	
NVTA	Transit Operations	1,497,200	12	07/22/20	NVTA	
SFMTA	Transit Operations	35,847,950	18	09/23/20	SFMTA	
SFMTA	Transit Operations	1,886,687	19	09/23/20	San Francisco County	1
GGBHTD	Transit Operations	5,405,195	20	09/23/20	GGBHTD (Marin)	
GGBHTD	Transit Operations	4,434,197	21	09/23/20	GGBHTD (Sonoma)	
Fairfield	Transit Operations	1,919,984	22	09/23/20	Fairfield	
Fairfield	Transit Operations	882,747	22	09/23/20	Suisun City	
Marin Transit	Transit Operations	3,817,097	23	09/23/20	Marin Transit	
ECCTA	Transit Operations	7,765,938	25	10/28/20	ECCTA	
Santa Rosa	Transit Operations	4,500,000	28	12/16/20	Santa Rosa	
Petaluma	Transit Operations	1,066,002	29	01/27/21	Petaluma	
AC Transit	Transit Operations	2,941,847	02	02/24/21	Alameda County	1
Union City	Transit Operations	1,470,261	30	02/24/21	Union City	

5802 - 99260A Transit - Operations (continued)

SamTrans	Transit Operations	37,428,024	32	04/28/21	Samtrans	
VTA	Transit Operations	27,562,246	03	04/28/21	VTA	
AC Transit	Transit Operations	9,201,826	05	04/28/21	AC Transit Alameda D1	
AC Transit	Transit Operations	2,439,079	06	04/28/21	AC Transit Alameda D2	
AC Transit	Transit Operations	2,418,201	07	04/28/21	AC Transit Contra Costa	
GGBHTD	Transit Operations	1,436,788	20	04/28/21	GGBHTD (Marin)	
GGBHTD	Transit Operations	1,545,442	21	04/28/21	GGBHTD (Sonoma)	
SFMTA	Transit Operations	835,121	18	04/28/21	SFMTA	
SFMTA	Transit Operations	65,405	19	04/28/21	San Francisco County	1
Subtotal		333,361,861				

5803 - 99260A Transit - Capital

LAVTA	Transit Capital	2,298,700	13	07/22/20	LAVTA	
NVTA	Transit Capital	2,763,521	14	07/22/20	NVTA	
CCCTA	Transit Capital	1,494,000	24	09/23/20	CCCTA	
ECCTA	Transit Capital	1,665,126	26	10/28/20	ECCTA	
CCCTA	Transit Capital	(362,158)	24	11/20/20-DA	CCCTA	
Subtotal		7,859,189				

5804 - 99260A Paratransit - Operating

ECCTA	Paratransit Operations	1,042,182	27	10/28/20	ECCTA	
Subtotal		1,042,182				

5807 - 99400C General Public - Operating

Sonoma County	Transit Operations	1,591,839	15	07/22/20	Sonoma County	
Sonoma County	Transit Operations	34,533	16	07/22/20	Petaluma	
Vacaville	Transit Operations	1,314,318	17	07/22/20	Vacaville	
Subtotal		2,940,690				

TOTAL 352,925,433

Note:

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

ALLOCATION OF FISCAL YEAR 2020-21
TRANSPORTATION DEVELOPMENT ACT
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8
FUNDS TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

Transportation Development Act Article 4 Funds

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

Transportation Development Act Article 4.5 Funds

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

Transportation Development Act Article 8 Transit Funds

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date:	June 24, 2020	
W.I.:	1514	
Referred by:	PAC	
Revised:	07/22/20-C	09/23/20-C
	10/28/20-C	11/20/20-C
	12/16/20-C	01/27/21-C
	02/24/21-C	04/28/21-C

ABSTRACT

Resolution No. 4431, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2020-21.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, and Santa Clara Valley Transportation Authority (VTA).

Attachment A to this resolution was revised on July 22, 2020 to allocate funds to SolTrans.

Attachment A to this resolution was revised on September 23, 2020 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD), San Francisco Municipal Transportation Agency (SFMTA), and Solano Transportation Authority. Funds will be rescinded from MTC.

Attachment A to this resolution was revised on October 28, 2020 to allocate funds to AC Transit, CCCTA, Eastern Contra Costa Transit Authority (ECCTA or Tri Delta Transit), Livermore Amador Valley Transit Authority (LAVTA), and Sonoma County Transit.

Attachment A to this resolution was revised on November 20, 2020 to allocate funds to Western Contra Costa Transit Authority (WestCAT).

Attachment A to this resolution was revised on December 16, 2020 to allocate funds to Santa Rosa.

Attachment A to this resolution was revised on January 27, 2021 to allocate funds to SMART.

Attachment A to this resolution was revised on February 24, 2021 to allocate funds to BART.

On April 28, 2021, Attachment A was revised to allocate funds to ten operators.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2020, July 8, 2020, September 9, 2020, October 14, 2020, November 20, 2020, December 9, 2020, January 13, 2021, February 10, 2021, and April 14, 2021.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC

Re: Allocation of Fiscal Year 2020-21 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4431

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2020-21 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2020-21 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, MTC Resolution Nos. 4321 and 4355, and Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further


RESOLVED, that MTC approves the allocation of fiscal year 2020-21 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution;

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan; and, be it further

RESOLVED, this resolution incorporates any revisions to the TDA, either by statute or regulation, made hereafter.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California and at other remote locations, on June 24, 2020.

Date: June 24, 2020
 Referred by: PAC
 Revised: 07/22/20-C 09/23/20-C
 10/28/20-C 11/20/20-C
 12/16/20-C 01/27/21-C
 02/24/21-C 04/28/21-C

Attachment A
 MTC Resolution No. 4431
 Page 1 of 2

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS
 DURING FISCAL YEAR 2020-21

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
5821 - 6730B Capital - Population-based Lifeline					
VTA	Cycle 4: ADA Transition Plan	3,596,543	01	06/24/20	Santa Clara County
VTA	Cycle 5: Bus Stop Enhancement Program	2,229,219	24	04/28/21	Santa Clara County
VTA	Cycle 5: Bus Stop Enhancement Program	(2,229,219)		04/28/21	19433527
	Subtotal	3,596,543			
5820 - 6730A Operating Costs - Revenue-based					
VTA	Transit Operations	13,808,720	02	06/24/20	VTA
AC Transit	Transit Operations	14,412,123	03	06/24/20	AC Transit
SFMTA	Transit Operations	37,648,058	09	09/23/20	SFMTA
GGBHTD	Transit Operations	5,072,785	10	09/23/20	GGBHTD
ECCTA	Transit Operations	3,049,550	12	10/28/20	BART
WCCTA	Transit Operations	2,394,577	19	11/20/20	BART
SMART	Transit Operations	1,089,118	21	01/27/20	SMART
BART	Transit Operations	21,717,257	22	02/24/21	BART
SamTrans	Transit Operations	3,540,136	25	04/28/21	SamTrans
SamTrans	Transit Operations	4,541,757	26	04/28/21	Caltrain
VTA	Transit Operations	6,617,588	02	04/28/21	VTA
AC Transit	Transit Operations	3,613,359	03	04/28/21	AC Transit
SFMTA	Transit Operations	10,488,378	09	04/28/21	SFMTA
GGBHTD	Transit Operations	1,375,155	10	04/28/21	GGBHTD
	Subtotal	129,368,561			
5820 - 6730A Operating Costs - County Block Grant					
SolTrans	Transit Operations	1,388,993	08	07/22/20	Solano County
CCCTA	Transit Operations	2,971,480	13	10/28/20	Contra Costa County
AC Transit	Transit Operations	3,187,777	14	10/28/20	Alameda County
LAVTA	Transit Operations	1,097,177	15	10/28/20	Alameda County
Sonoma County	Transit Operations	1,058,070	16	10/28/20	Sonoma County
ECCTA	Transit Operations	1,894,062	17	10/28/20	Contra Costa County
Santa Rosa	Transit Operations	1,231,470	20	12/16/20	Sonoma County
BART	Elevator Attendant Program	1,300,000	23	02/24/21	San Francisco County
CCCTA	Transit Operations	829,007	13	04/28/21	Contra Costa County
AC Transit	Transit Operations	889,352	14	04/28/21	Alameda County

5820 - 6730A Operating Costs - County Block Grant (continued)

LAVTA	Transit Operations	306,099	15	04/28/21	Alameda County
Sonoma County	Transit Operations	295,189	16	04/28/21	Sonoma County
ECCTA	Transit Operations	528,420	17	04/28/21	Contra Costa County
Santa Rosa	Transit Operations	343,565	20	04/28/21	Sonoma County
Subtotal		17,320,661			

5820 - 6730A Operating Costs - Population-based MTC Regional Coordination

MTC	Clipper Operations	5,800,000	04	06/24/20	MTC
MTC	Means-based Fare Pilot Subsidy	3,300,000	05	06/24/20	Means-based
MTC	Means-based Fare Pilot Admin	2,700,000	06	06/24/20	Means-based
MTC	Clipper Operations	(5,584,195)	04	09/23/20	MTC
Subtotal		6,215,805			

5822 - 6731C Paratransit - Operating - County Block Grant

VTA	Transit Operations	3,977,636	07	06/24/20	Santa Clara County
SFMTA	Paratransit Operations	2,496,392	18	10/28/20	San Francisco County
VTA	Transit Operations	1,133,036	07	04/28/21	Santa Clara County
SFMTA	Paratransit Operations	575,512	18	04/28/21	San Francisco County
Subtotal		8,182,576			

5828 - 6731B Planning and Admin - County Block Grant

Solano TA	Planning and Administration	2,272,950	11	09/23/20	Solano County
Subtotal		2,272,950			
TOTAL		166,957,096			

Date: June 21, 2020
Referred by: PAC

Attachment B
Resolution No. 4431
Page 1 of 2

ALLOCATION OF FISCAL YEAR 2020-21 STATE TRANSIT ASSISTANCE FUNDS
TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Fixing America's Surface Transportation (FAST) Act, as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or area wide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.