

Metropolitan Transportation Commission Programming and Allocations Committee

February 10, 2021

Agenda Item 3d - 21-0149

MTC Resolution No. 4450. FY 2021-22 Fund Estimate

- Subject:** Annual Fund Estimate and proposed apportionment and distribution of \$790 million in Transportation Development Act (TDA) Local Transportation Fund, State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill 1107 (AB 1107), transit-related bridge toll, and Low Carbon Transit Operations Program (LCTOP) funds for FY 2021-22.
- Background:** MTC is required by state statute to prepare and adopt an annual fund estimate of TDA Local Transportation Fund (LTF) ¼ cent sales tax revenues for the upcoming fiscal year by March 1st. This estimate assists the Bay Area's transit operators in budgeting for the next fiscal year, in this case FY 2021-22. The fund estimate prepared by MTC also includes a number of other fund sources which MTC allocates to transit operators, primarily for operations.

The following are highlights of the fund estimate for FY 2021-22:

1. **Economic Overview:** The Bay Area economy, like local economies worldwide, has been significantly impacted by the COVID-19 pandemic. Unemployment rates have increased significantly across all nine counties over the last year with Solano County having the highest unemployment rate at 7.5% and Marin County with the lowest rate at 4.7% in November 2020. Taxable sales have been impacted in uneven ways, with San Francisco experiencing declines in taxable sales of more than -38% while five other Bay Area counties have seen an *increase* in taxable sales during the pandemic. Significant uncertainty remains about possible shifts in population, work from home policies, and commute patterns – all of which could impact revenues. Accordingly, it is prudent for transit operators to budget with great caution.
2. **Transportation Development Act (TDA):** State law requires county auditors to submit annual estimates of the ¼-cent TDA sales tax revenue generation to MTC by February 1st. A summary of the county auditors' mid-year estimates indicate that regional TDA revenue generation is expected to decrease by 7.1% in the current year of FY 2020-21 to \$405 million, with a subsequent increase of 3.6% in FY 2021-22 to \$419 million.

MTC advises that transit operators in all counties exercise caution when budgeting for FY 2021-22 as many of the county auditors are uncertain how actual FY 2020-21 revenues will come in due to economic uncertainty, the impacts of the *Wayfair* decision, and the attribution of sales taxes collected from out of state transactions.
3. **AB 1107:** A portion (25%) of BART's half-cent sales tax revenue generated in Alameda, Contra Costa, and San Francisco counties is subject to allocation by MTC, and MTC staff is responsible for estimating the annual revenue generation. Given the economic uncertainty and indicators described above staff proposes to revise the current FY 2020-21 estimate downwards to \$83 million and to forecast FY 2021-22 revenues of \$83 million (7.2% decrease

from actual FY 2019-20 revenues of \$89 million). This amount would be split evenly between SFMTA and AC Transit per longstanding Commission policy.

4. **State Transit Assistance (STA):** Governor Newsom's proposed FY 2021-22 State Budget estimates \$667 million in STA funds statewide in FY 2021-22. Based on this estimate, the Bay Area would receive approximately \$201 million (\$147 million in Revenue-Based and \$54 million in Population-Based) in FY 2021-22 STA funds. Staff will return to the Commission to update the estimates following the state budget approval later this year.

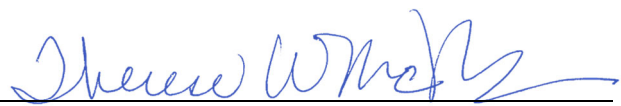
Note that staff are proposing to revise the FY 2020-21 STA revenue forecast from what was included in the adopted FY 2020-21 State Budget to incorporate the state's current and more accurate projections for FY 2020-21. The updated estimates for the current year are included in the Governor's FY 2021-22 budget proposal and will allow transit operators to claim much needed additional STA funds this year.

5. **State of Good Repair (SGR) Program:** Senate Bill (SB) 1 established the State of Good Repair (SGR) Program which will bring nearly \$43 million to the Bay Area in FY 2021-22 for transit capital state of good repair projects. The funds from the SGR Program follow the same state-wide distribution policies as the regular STA program, with a Revenue-Based and Population-Based program.
6. **Bridge Tolls:** In April 2010, MTC Resolution No. 3948 resulted in a lump sum payment from BATA to MTC for an amount equal to the 50-year present value of AB 664, RM 1, and 2% Toll revenue. Future payments from these toll revenues will be made from this lump sum, in accordance with Commission policies established in MTC Resolution Nos. 4015 and 4022.
7. **Cap and Trade – Low Carbon Transit Operations Program:** The FY 2021-22 Fund Estimate includes details on funding that will flow to the region through the Low Carbon Transit Operations Program, which is a component of the state Cap and Trade program. In FY 2021-22, the region is projected to receive \$39 million from the program based on an estimate from Governor Newsom's proposed FY 2021-22 State Budget. Apportionments of these funds are guided by Caltrans policies for the Revenue-Based program (which are the same as the STA Revenue-Based program) and by the MTC Commission for the Population-Based program through the MTC Cap and Trade Framework (MTC Resolution No. 4130, Revised).

Issues: None.

Recommendation: Refer MTC Resolution No. 4450 to the Commission for approval.

Attachments: MTC Resolution No. 4450
Presentation slides


Therese W. McMillan

Date: February 24, 2021
W.I.: 1511
Referred by: PAC

ABSTRACT

MTC Resolution No. 4450

This resolution approves the FY 2021-22 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill (AB) 1107 sales tax, Low Carbon Transit Operations (LCTOP) cap-and-trade auction revenues, and transit-related bridge toll funds.

Further discussion of this action is contained in the MTC Programming and Allocations Summary Sheets dated February 10, 2021.

Date: February 24, 2021
W.I.: 1511
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2021-22

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4450

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2020-21 and FY 2021-22 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2021-22 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating/capital assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, State of Good Repair (SGR) Program pursuant to Public Utilities Code § 99312.1, Low Carbon Transit Operations Program (LCTOP) pursuant to Health and Safety Code § 39719(b)(1)(B), the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and

WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2021-22 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2021-22 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION

Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations, on February 24, 2021.

**FY 2021-22 FUND ESTIMATE
REGIONAL SUMMARY**

Attachment A
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TDA REGIONAL SUMMARY TABLE

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	<i>H=Sum(A:G)</i>
	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	FY2021-22	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance ¹	Outstanding Commitments, Refunds, & Interest ²	Original Estimate	Revenue Adjustment	Revised Admin. & Planning Charge	Revenue Estimate	Admin. & Planning Charge	Available for Allocation
Alameda	21,803,450	(73,110,634)	93,151,568	(9,144,890)	(3,360,267)	84,846,744	(3,393,870)	110,792,100
Contra Costa	27,480,405	(47,736,024)	46,139,252	421,021	(1,862,411)	45,908,428	(1,836,337)	68,514,334
Marin	254,408	(9,630,391)	14,000,000	(1,610,827)	(495,567)	12,017,498	(480,699)	14,054,422
Napa	2,566,799	(5,400,082)	9,885,444	(911,987)	(358,938)	8,979,207	(359,168)	14,401,275
San Francisco	1,706,317	(39,248,345)	53,477,500	(12,425,000)	(1,642,100)	44,562,500	(1,782,501)	44,648,370
San Mateo	4,139,323	(3,295,925)	48,558,690	(6,863,744)	(1,667,798)	42,857,457	(1,714,298)	82,013,707
Santa Clara	6,109,012	(91,678,267)	121,909,000	(188,111)	(4,868,836)	130,850,000	(5,234,000)	156,898,799
Solano	31,320,613	(18,702,053)	22,251,809	231,674	(899,339)	22,483,483	(899,338)	55,786,850
Sonoma	11,130,299	(18,514,515)	26,300,000	(500,000)	(1,032,000)	26,600,000	(1,064,000)	42,919,783
TOTAL	\$106,510,627	(\$307,316,236)	\$435,673,263	(\$30,991,863)	(\$16,187,256)	\$419,105,317	(\$16,764,211)	\$590,029,640

STA, AB 1107, BRIDGE TOLL, LOW CARBON TRANSIT OPERATIONS PROGRAM, & SGR PROGRAM REGIONAL SUMMARY TABLE

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E=Sum(A:D)</i>
	6/30/2020		FY2019-21	FY2020-21	FY2021-22
Fund Source	Balance (w/ interest) ¹		Outstanding Commitments ²	Revenue Estimate	Revenue Estimate
State Transit Assistance					
Revenue-Based	20,210,979		(88,120,659)	141,760,954	147,178,092
Population-Based	64,021,806		(52,821,473)	51,806,954	53,786,663
SUBTOTAL	84,232,784		(140,942,132)	193,567,908	200,964,755
AB1107 - BART District Tax (25% Share)	0		(83,000,000)	83,000,000	83,000,000
Bridge Toll Total					
MTC 2% Toll Revenue	6,609,841		(4,790,435)	1,450,000	1,450,000
5% State General Fund Revenue	15,651,030		(2,327,829)	3,656,386	3,408,427
SUBTOTAL	22,260,871		(7,118,264)	5,106,386	4,858,427
Low Carbon Transit Operations Program	0		0	36,583,611	38,778,628
State of Good Repair Program					
Revenue-Based	416,285		(16,848,071)	31,528,098	31,477,988
Population-Based	13,345,856		(24,867,891)	11,522,035	11,503,725
SUBTOTAL	13,762,141		(41,715,962)	43,050,133	42,981,713
TOTAL	\$120,255,796		(\$272,776,358)	\$361,308,038	\$370,583,523

Please see Attachment A pages 2-20 for detailed information on each fund source.

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
ALAMEDA COUNTY

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	93,151,568		13. County Auditor Estimate		84,846,744
2. Revised Revenue (Feb, 21)	84,006,678		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		(9,144,890)	14. MTC Administration (0.5% of Line 13)	424,234	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	424,234	
4. MTC Administration (0.5% of Line 3)	(45,724)		16. MTC Planning (3.0% of Line 13)	2,545,402	
5. County Administration (Up to 0.5% of Line 3)	(45,724)		17. Total Charges (Lines 14+15+16)		3,393,870
6. MTC Planning (3.0% of Line 3)	(274,347)		18. TDA Generations Less Charges (Lines 13-17)		81,452,874
7. Total Charges (Lines 4+5+6)		(365,795)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(8,779,095)	19. Article 3.0 (2.0% of Line 18)	1,629,057	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		79,823,817
9. Article 3 Adjustment (2.0% of line 8)	(175,582)		21. Article 4.5 (5.0% of Line 20)	3,991,191	
10. Funds Remaining (Lines 8-9)		(8,603,513)	22. TDA Article 4 (Lines 20-21)		75,832,626
11. Article 4.5 Adjustment (5.0% of Line 10)	(430,176)				
12. Article 4 Adjustment (Lines 10-11)		(8,173,337)			

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	4,586,074	142,887	4,728,960	(4,346,498)	0	1,788,510	(175,582)	1,995,390	1,629,057	3,624,447
Article 4.5	8,195	14,818	23,013	(3,280,390)	63,218	4,381,850	(430,176)	757,515	3,991,191	4,748,706
SUBTOTAL	4,594,269	157,705	4,751,974	(7,626,888)	63,218	6,170,360	(605,758)	2,752,905	5,620,248	8,373,153
Article 4										
AC Transit										
District 1	232,692	2,895	235,587	(39,194,685)	0	53,403,679	(5,242,754)	9,201,826	48,597,106	57,798,932
District 2	62,483	773	63,256	(10,401,518)	0	14,168,270	(1,390,930)	2,439,079	12,980,480	15,419,559
BART ³	430	11	441	(74,282)	0	99,042	(9,723)	15,478	89,475	104,953
LAVTA	9,118,466	194,569	9,313,035	(14,852,232)	0	11,847,775	(1,163,122)	5,145,456	10,823,468	15,968,924
Union City	7,795,110	242,155	8,037,265	(2,416,227)	793,873	3,736,380	(366,808)	9,784,482	3,342,096	13,126,578
SUBTOTAL	17,209,181	440,403	17,649,584	(66,938,944)	793,873	83,255,145	(8,173,337)	26,586,321	75,832,626	102,418,947
GRAND TOTAL	\$21,803,450	\$598,108	\$22,401,558	(\$74,565,832)	\$857,091	\$89,425,505	(\$8,779,095)	\$29,339,226	\$81,452,874	\$110,792,100

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
CONTRA COSTA COUNTY**

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FY2020-21 TDA Revenue Estimate				FY2021-22 TDA Revenue Estimate			
FY2020-21 Generation Estimate Adjustment				FY2021-22 County Auditor's Generation Estimate			
1. Original County Auditor Estimate (Feb, 20)		46,139,252		13. County Auditor Estimate		45,908,428	
2. Revised Revenue (Feb, 21)		46,560,273		FY2021-22 Planning and Administration Charges			
3. Revenue Adjustment (Lines 2-1)			421,021	14. MTC Administration (0.5% of Line 13)		229,542	
FY2020-21 Planning and Administration Charges Adjustment				15. County Administration (0.5% of Line 13)		229,542	
4. MTC Administration (0.5% of Line 3)		2,105		16. MTC Planning (3.0% of Line 13)		1,377,253	
5. County Administration (Up to 0.5% of Line 3)		2,105		17. Total Charges (Lines 14+15+16)		1,836,337	
6. MTC Planning (3.0% of Line 3)		12,631		18. TDA Generations Less Charges (Lines 13-17)		44,072,091	
7. Total Charges (Lines 4+5+6)			16,841	FY2021-22 TDA Apportionment By Article			
8. Adjusted Generations Less Charges (Lines 3-7)		404,180		19. Article 3.0 (2.0% of Line 18)		881,442	
FY2020-21 TDA Adjustment By Article				20. Funds Remaining (Lines 18-19)		43,190,649	
9. Article 3 Adjustment (2.0% of line 8)		8,084		21. Article 4.5 (5.0% of Line 20)		2,159,532	
10. Funds Remaining (Lines 8-9)			396,096	22. TDA Article 4 (Lines 20-21)		41,031,117	
11. Article 4.5 Adjustment (5.0% of Line 10)		19,805					
12. Article 4 Adjustment (Lines 10-11)			376,291				

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,703,472	32,868	1,736,340	(2,273,266)	0	885,874	8,084	357,032	881,442	1,238,474
Article 4.5	4,605	3,110	7,715	(1,507,102)	0	2,170,390	19,805	690,808	2,159,532	2,850,340
SUBTOTAL	1,708,077	35,978	1,744,055	(3,780,368)	0	3,056,264	27,889	1,047,840	3,040,974	4,088,814
Article 4										
AC Transit										
District 1	23,415	1,884	25,299	(4,764,837)	0	7,093,016	64,724	2,418,201	7,072,554	9,490,755
BART ³	944	75	1,019	(214,911)	0	286,548	2,615	75,271	287,090	362,361
CCCTA	17,457,869	180,299	17,638,167	(27,714,169)	4,839,209	19,415,580	177,167	14,355,954	19,194,326	33,550,280
ECCTA	4,743,089	35,506	4,778,595	(13,261,246)	0	11,970,179	109,228	3,596,756	12,032,800	15,629,556
WCCTA	3,547,012	48,951	3,595,963	(3,142,394)	0	2,472,094	22,558	2,948,221	2,444,348	5,392,569
SUBTOTAL	25,772,328	266,715	26,039,043	(49,097,557)	4,839,209	41,237,418	376,291	23,394,403	41,031,117	64,425,520
GRAND TOTAL	\$27,480,405	\$302,693	\$27,783,098	(\$52,877,926)	\$4,839,209	\$44,293,682	\$404,180	\$24,442,243	\$44,072,091	\$68,514,334

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.
3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
MARIN COUNTY**

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	14,000,000		13. County Auditor Estimate		12,017,498
2. Revised Revenue (Feb, 21)	12,389,173		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		(1,610,827)	14. MTC Administration (0.5% of Line 13)	60,087	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	60,087	
4. MTC Administration (0.5% of Line 3)	(8,054)		16. MTC Planning (3.0% of Line 13)	360,525	
5. County Administration (Up to 0.5% of Line 3)	(8,054)		17. Total Charges (Lines 14+15+16)		480,699
6. MTC Planning (3.0% of Line 3)	(48,325)		18. TDA Generations Less Charges (Lines 13-17)		11,536,799
7. Total Charges (Lines 4+5+6)		(64,433)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,546,394)	19. Article 3.0 (2.0% of Line 18)	230,736	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		11,306,063
9. Article 3 Adjustment (2.0% of line 8)	(30,928)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(1,515,466)	22. TDA Article 4 (Lines 20-21)		11,306,063
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(1,515,466)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	267,508	47,792	315,300	(469,105)	0	268,800	(30,928)	84,067	230,736	314,803
Article 4.5										
SUBTOTAL	267,508	47,792	315,300	(469,105)	0	268,800	(30,928)	84,067	230,736	314,803
Article 4/8										
GGBHTD	(7,822)	7,889	67	(5,405,195)	0	7,731,494	(889,578)	1,436,788	6,430,889	7,867,677
Marin Transit	(5,278)	5,325	46	(3,817,097)	0	5,439,706	(625,887)	996,768	4,875,174	5,871,942
SUBTOTAL	(13,100)	13,214	113	(9,222,292)	0	13,171,200	(1,515,466)	2,433,556	11,306,063	13,739,619
GRAND TOTAL	\$254,408	\$61,005	\$315,413	(\$9,691,397)	\$0	\$13,440,000	(\$1,546,394)	\$2,517,623	\$11,536,799	\$14,054,422

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NAPA COUNTY

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	9,885,444		13. County Auditor Estimate		8,979,207
2. Revised Revenue (Feb, 21)	8,973,457		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		-911,987	14. MTC Administration (0.5% of Line 13)	44,896	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	44,896	
4. MTC Administration (0.5% of Line 3)	(4,560)		16. MTC Planning (3.0% of Line 13)	269,376	
5. County Administration (Up to 0.5% of Line 3)	(4,560)		17. Total Charges (Lines 14+15+16)		359,168
6. MTC Planning (3.0% of Line 3)	(27,360)		18. TDA Generations Less Charges (Lines 13-17)		8,620,039
7. Total Charges (Lines 4+5+6)		(36,480)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(875,507)	19. Article 3.0 (2.0% of Line 18)	172,401	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		8,447,638
9. Article 3 Adjustment (2.0% of line 8)	(17,510)		21. Article 4.5 (5.0% of Line 20)	422,382	
10. Funds Remaining (Lines 8-9)		(857,997)	22. TDA Article 4 (Lines 20-21)		8,025,256
11. Article 4.5 Adjustment (5.0% of Line 10)	(42,900)				
12. Article 4 Adjustment (Lines 10-11)		(815,097)			

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	249,948	8,033	257,981	(392,928)	0	189,801	(17,510)	37,344	172,401	209,745
Article 4.5	33,783	126	33,909	(382,540)	0	465,011	(42,900)	73,480	422,382	495,862
SUBTOTAL	283,731	8,159	291,891	(775,468)	0	654,812	(60,410)	110,824	594,783	705,607
Article 4/8										
NVTA ³	2,283,067	73,033	2,356,100	(7,192,201)	2,486,395	8,835,215	(815,097)	5,670,412	8,025,256	13,695,668
SUBTOTAL	2,283,067	73,033	2,356,100	(7,192,201)	2,486,395	8,835,215	(815,097)	5,670,412	8,025,256	13,695,668
GRAND TOTAL	\$2,566,799	\$81,192	\$2,647,991	(\$7,967,669)	\$2,486,395	\$9,490,027	(\$875,507)	\$5,781,236	\$8,620,039	\$14,401,275

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.

**FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SAN FRANCISCO COUNTY**

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	53,477,500		13. County Auditor Estimate		44,562,500
2. Revised Revenue (Feb, 21)	41,052,500		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		(12,425,000)	14. MTC Administration (0.5% of Line 13)	222,813	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	222,813	
4. MTC Administration (0.5% of Line 3)	(62,125)		16. MTC Planning (3.0% of Line 13)	1,336,875	
5. County Administration (Up to 0.5% of Line 3)	(62,125)		17. Total Charges (Lines 14+15+16)		1,782,501
6. MTC Planning (3.0% of Line 3)	(372,750)		18. TDA Generations Less Charges (Lines 13-17)		42,779,999
7. Total Charges (Lines 4+5+6)		(497,000)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(11,928,000)	19. Article 3.0 (2.0% of Line 18)	855,600	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		41,924,399
9. Article 3 Adjustment (2.0% of line 8)	(238,560)		21. Article 4.5 (5.0% of Line 20)	2,096,220	
10. Funds Remaining (Lines 8-9)		(11,689,440)	22. TDA Article 4 (Lines 20-21)		39,828,179
11. Article 4.5 Adjustment (5.0% of Line 10)	(584,472)				
12. Article 4 Adjustment (Lines 10-11)		(11,104,968)			

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,707,384	71,406	1,778,791	(1,599,153)	0	1,026,768	(238,560)	967,845	855,600	1,823,445
Article 4.5	(2,285)	2,285	0	0	(1,865,705)	2,515,582	(584,472)	65,405	2,096,220	2,161,625
SUBTOTAL	1,705,100	73,691	1,778,791	(1,599,153)	(1,865,705)	3,542,350	(823,032)	1,033,250	2,951,820	3,985,070
Article 4										
SFMTA	1,218	11,754	12,972	(37,734,637)	1,865,705	47,796,049	(11,104,968)	835,121	39,828,179	40,663,300
SUBTOTAL	1,218	11,754	12,972	(37,734,637)	1,865,705	47,796,049	(11,104,968)	835,121	39,828,179	40,663,300
GRAND TOTAL	\$1,706,317	\$85,445	\$1,791,763	(\$39,333,790)	\$0	\$51,338,399	(\$11,928,000)	\$1,868,371	\$42,779,999	\$44,648,370

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

**FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SAN MATEO COUNTY**

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	48,558,690		13. County Auditor Estimate		42,857,457
2. Revised Revenue (Feb, 21)	41,694,946		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		(6,863,744)	14. MTC Administration (0.5% of Line 13)	214,287	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	214,287	
4. MTC Administration (0.5% of Line 3)	(34,319)		16. MTC Planning (3.0% of Line 13)	1,285,724	
5. County Administration (Up to 0.5% of Line 3)	(34,319)		17. Total Charges (Lines 14+15+16)		1,714,298
6. MTC Planning (3.0% of Line 3)	(205,912)		18. TDA Generations Less Charges (Lines 13-17)		41,143,159
7. Total Charges (Lines 4+5+6)		(274,550)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(6,589,194)	19. Article 3.0 (2.0% of Line 18)	822,863	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		40,320,296
9. Article 3 Adjustment (2.0% of line 8)	(131,784)		21. Article 4.5 (5.0% of Line 20)	2,016,015	
10. Funds Remaining (Lines 8-9)		(6,457,410)	22. TDA Article 4 (Lines 20-21)		38,304,281
11. Article 4.5 Adjustment (5.0% of Line 10)	(322,870)				
12. Article 4 Adjustment (Lines 10-11)		(6,134,540)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	4,104,858	203,186	4,308,044	(3,635,980)	0	932,327	(131,784)	1,472,607	822,863	2,295,470
Article 4.5	1,460	7,126	8,586	0	0	2,284,201	(322,870)	1,969,917	2,016,015	3,985,932
SUBTOTAL	4,106,317	210,313	4,316,630	(3,635,980)	0	3,216,528	(454,654)	3,442,524	2,838,878	6,281,402
Article 4										
SamTrans	33,006	129,743	162,748	0	0	43,399,815	(6,134,540)	37,428,024	38,304,281	75,732,305
SUBTOTAL	33,006	129,743	162,748	0	0	43,399,815	(6,134,540)	37,428,024	38,304,281	75,732,305
GRAND TOTAL	\$4,139,323	\$340,055	\$4,479,378	(\$3,635,980)	\$0	\$46,616,343	(\$6,589,194)	\$40,870,548	\$41,143,159	\$82,013,707

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

**FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SANTA CLARA COUNTY**

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FY2020-21 TDA Revenue Estimate				FY2021-22 TDA Revenue Estimate			
FY2020-21 Generation Estimate Adjustment				FY2021-22 County Auditor's Generation Estimate			
1. Original County Auditor Estimate (Feb, 20)		121,909,000		13. County Auditor Estimate		130,850,000	
2. Revised Revenue (Feb, 21)		121,720,889		FY2021-22 Planning and Administration Charges			
3. Revenue Adjustment (Lines 2-1)			(188,111)	14. MTC Administration (0.5% of Line 13)		654,250	
FY2020-21 Planning and Administration Charges Adjustment				15. County Administration (0.5% of Line 13)		654,250	
4. MTC Administration (0.5% of Line 3)		(941)		16. MTC Planning (3.0% of Line 13)		3,925,500	
5. County Administration (Up to 0.5% of Line 3)		(941)		17. Total Charges (Lines 14+15+16)		5,234,000	
6. MTC Planning (3.0% of Line 3)		(5,643)		18. TDA Generations Less Charges (Lines 13-17)		125,616,000	
7. Total Charges (Lines 4+5+6)			(7,525)	FY2021-22 TDA Apportionment By Article			
8. Adjusted Generations Less Charges (Lines 3-7)			(180,586)	19. Article 3.0 (2.0% of Line 18)		2,512,320	
FY2020-21 TDA Adjustment By Article				20. Funds Remaining (Lines 18-19)		123,103,680	
9. Article 3 Adjustment (2.0% of line 8)		(3,612)		21. Article 4.5 (5.0% of Line 20)		6,155,184	
10. Funds Remaining (Lines 8-9)			(176,974)	22. TDA Article 4 (Lines 20-21)		116,948,496	
11. Article 4.5 Adjustment (5.0% of Line 10)		(8,849)					
12. Article 4 Adjustment (Lines 10-11)			(168,125)				

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,592,145	183,802	5,775,947	(5,843,080)		2,340,653	(3,612)	2,269,908	2,512,320	4,782,228
Article 4.5	25,844	0	25,844	(4,300,949)	0	5,734,599	(8,849)	1,450,645	6,155,184	7,605,829
SUBTOTAL	5,617,988	183,802	5,801,791	(10,144,029)	0	8,075,252	(12,461)	3,720,553	8,667,504	12,388,057
Article 4										
VTA	491,024	0	491,024	(81,718,041)	0	108,957,388	(168,125)	27,562,246	116,948,496	144,510,742
SUBTOTAL	491,024	0	491,024	(81,718,041)	0	108,957,388	(168,125)	27,562,246	116,948,496	144,510,742
GRAND TOTAL	\$6,109,012	\$183,802	\$6,292,815	(\$91,862,070)	\$0	\$117,032,640	(\$180,586)	\$31,282,799	\$125,616,000	\$156,898,799

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SOLANO COUNTY

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	22,251,809		13. County Auditor Estimate		22,483,483
2. Revised Revenue (Feb, 21)	22,483,483		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		231,674	14. MTC Administration (0.5% of Line 13)	112,417	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	112,417	
4. MTC Administration (0.5% of Line 3)	1,158		16. MTC Planning (3.0% of Line 13)	674,504	
5. County Administration (Up to 0.5% of Line 3)	1,158		17. Total Charges (Lines 14+15+16)		899,338
6. MTC Planning (3.0% of Line 3)	6,950		18. TDA Generations Less Charges (Lines 13-17)		21,584,145
7. Total Charges (Lines 4+5+6)		9,266	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		222,408	19. Article 3.0 (2.0% of Line 18)	431,683	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		21,152,462
9. Article 3 Adjustment (2.0% of line 8)	4,448		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		217,960	22. TDA Article 4 (Lines 20-21)		21,152,462
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		217,960			

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,251,791	25,097	1,276,888	(1,224,283)	0	427,235	4,448	484,288	431,683	915,971
Article 4.5										
SUBTOTAL	1,251,791	25,097	1,276,888	(1,224,283)	0	427,235	4,448	484,288	431,683	915,971
Article 4/8										
Dixon	1,120,732	24,501	1,145,234	(982,748)	0	938,978	9,776	1,111,240	959,641	2,070,881
Fairfield	5,266,879	104,099	5,370,978	(4,655,294)	0	5,557,256	57,859	6,330,799	5,620,857	11,951,656
Rio Vista	641,837	15,233	657,070	(384,638)	0	446,672	4,651	723,754	479,869	1,203,623
Solano County	2,493,104	37,449	2,530,553	(1,007,503)	0	928,826	9,670	2,461,546	916,397	3,377,943
Suisun City	5,473	1,632	7,105	(1,115,374)	0	1,396,892	14,544	303,167	1,399,148	1,702,315
Vacaville	10,837,671	213,369	11,051,040	(4,248,078)	0	4,687,157	48,800	11,538,919	4,749,915	16,288,834
Vallejo/Benicia	9,703,126	164,553	9,867,679	(5,670,067)	0	6,978,721	72,659	11,248,992	7,026,636	18,275,628
SUBTOTAL	30,068,822	560,835	30,629,658	(18,063,702)	0	20,934,502	217,960	33,718,417	21,152,462	54,870,879
GRAND TOTAL	\$31,320,613	\$585,932	\$31,906,546	(\$19,287,986)	\$0	\$21,361,737	\$222,408	\$34,202,705	\$21,584,145	\$55,786,850

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.

FY 2021-22 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SONOMA COUNTY

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FY2020-21 TDA Revenue Estimate			FY2021-22 TDA Revenue Estimate		
FY2020-21 Generation Estimate Adjustment			FY2021-22 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 20)	26,300,000		13. County Auditor Estimate		26,600,000
2. Revised Revenue (Feb, 21)	25,800,000		FY2021-22 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		(500,000)	14. MTC Administration (0.5% of Line 13)	133,000	
FY2020-21 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	133,000	
4. MTC Administration (0.5% of Line 3)	(2,500)		16. MTC Planning (3.0% of Line 13)	798,000	
5. County Administration (Up to 0.5% of Line 3)	(2,500)		17. Total Charges (Lines 14+15+16)		1,064,000
6. MTC Planning (3.0% of Line 3)	(15,000)		18. TDA Generations Less Charges (Lines 13-17)		25,536,000
7. Total Charges (Lines 4+5+6)		(20,000)	FY2021-22 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(480,000)	19. Article 3.0 (2.0% of Line 18)	510,720	
FY2020-21 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		25,025,280
9. Article 3 Adjustment (2.0% of line 8)	(9,600)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(470,400)	22. TDA Article 4 (Lines 20-21)		25,025,280
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(470,400)			

TDA APPORTIONMENT BY JURISDICTION

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	2,232,541	56,846	2,289,387	(2,024,177)	0	504,960	(9,600)	760,570	510,720	1,271,290
Article 4.5										
SUBTOTAL	2,232,541	56,846	2,289,387	(2,024,177)	0	504,960	(9,600)	760,570	510,720	1,271,290
Article 4/8										
GGBHTD ³	13,140	11,449	24,588	(4,547,306)	0	6,185,760	(117,600)	1,545,442	6,216,280	7,761,722
Petaluma	1,436,464	36,409	1,472,872	(1,757,888)	0	2,182,336	(41,489)	1,855,831	1,951,972	3,807,803
Santa Rosa	2,062,512	58,374	2,120,886	(5,206,479)	3,615,414	6,509,894	(123,762)	6,915,952	6,764,333	13,680,285
Sonoma County	5,385,643	91,274	5,476,917	(8,848,430)	0	9,865,050	(187,548)	6,305,988	10,092,695	16,398,683
SUBTOTAL	8,897,758	197,505	9,095,263	(20,360,103)	3,615,414	24,743,040	(470,400)	16,623,213	25,025,280	41,648,493
GRAND TOTAL	\$11,130,299	\$254,352	\$11,384,651	(\$22,384,280)	\$3,615,414	\$25,248,000	(\$480,000)	\$17,383,783	\$25,536,000	\$42,919,783

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. Apportionment to GGBHTD is based on the Sonoma County Transportation Authority's coordinated TDA claim.

**FY 2021-22 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
REVENUE-BASED FUNDS (PUC 99314)**

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FY2020-21 STA Revenue Estimate		FY2021-22 STA Revenue Estimate				
1. State Estimate (Jan, 21) ³	\$141,760,954	4. Projected Carryover (Aug, 21)	\$73,851,274			
2. Actual Revenue (Aug, 21)		5. State Estimate (Jan, 21)	\$147,178,092			
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$221,029,366			
STA REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2020	FY2019-21	FY2020-21	6/30/2021	FY2021-22	Total
Apportionment Jurisdictions	Balance (w/interest) ¹	Outstanding Commitments ²	Revenue Estimate ³	Projected Carryover ⁴	Revenue Estimate ⁵	Available For Allocation
ACCMA - Corresponding to ACE	4,010	(46,019)	206,919	164,909	214,825	379,734
Caltrain	4,441,267	(4,477,945)	6,719,312	6,682,634	6,976,079	13,658,713
CCCTA	126,728	(469,029)	589,093	246,792	611,603	858,395
City of Dixon	32,178	0	5,752	37,930	5,972	43,902
ECCTA	41,264	(263,735)	284,818	62,347	295,701	358,048
City of Fairfield	56	(81,165)	104,530	23,421	108,524	131,945
GGBHTD	476	(5,072,785)	6,447,465	1,375,155	6,693,843	8,068,998
LAVTA	344,011	(207,720)	282,573	418,864	293,372	712,236
Marin Transit	1,976,465	(853,985)	1,101,892	2,224,372	1,143,998	3,368,370
NVTA	3,252	(68,897)	79,998	14,353	83,055	97,408
City of Petaluma	68,009	(93,598)	34,324	8,735	35,635	44,370
City of Rio Vista	11,936	0	1,829	13,765	1,899	15,664
SamTrans	1,030,437	(801,824)	6,739,032	6,967,645	6,996,552	13,964,197
SMART	7,315	(1,089,118)	1,392,969	311,166	1,446,198	1,757,364
City of Santa Rosa	82	(90,179)	115,338	25,241	119,746	144,987
Solano County Transit	9	(209,047)	245,683	36,645	255,071	291,716
Sonoma County Transit	13,205	(134,069)	160,668	39,804	166,808	206,612
City of Union City	18	(67,898)	87,286	19,406	90,622	110,028
Vacaville City Coach	76,620	0	18,708	95,328	19,423	114,751
VTA	1,009	(13,808,720)	20,425,298	6,617,588	21,205,814	27,823,402
VTA - Corresponding to ACE	70	(128,668)	119,376	(9,222)	123,938	114,716
WCCTA	109,334	(401,452)	373,624	81,507	387,902	469,409
WETA	11,908,854	0	1,832,241	13,741,095	1,902,258	15,643,353
SUBTOTAL	20,196,604	(28,365,853)	47,368,728	39,199,480	49,178,838	88,378,318
AC Transit	6,082	(14,412,123)	18,019,400	3,613,359	18,707,978	22,321,337
BART	8,259	(7,694,625)	28,236,423	20,550,057	29,315,427	49,865,484
SFMTA	33	(37,648,058)	48,136,403	10,488,378	49,975,849	60,464,227
SUBTOTAL	14,375	(59,754,806)	94,392,226	34,651,794	97,999,254	132,651,048
GRAND TOTAL	\$20,210,979	(\$88,120,659)	\$141,760,954	\$73,851,274	\$147,178,092	\$221,029,366

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY 2020-21 allocations as of 1/31/21.

3. FY 2020-21 STA revenue generation is based on revised estimates from the Governor's proposed budget in January 2021. These revised estimates for FY 2020-21 reflect the stronger performance of diesel sales tax revenues than were originally expected when the FY 2020-21 state budget was adopted in June 2020.

4. Projected carryover as of 6/30/21 does not include interest accrued in FY2020-21.

5. FY2021-22 STA revenue generation based on January 28, 2021 State Controller's Office (SCO) forecast.

**FY 2021-22 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
POPULATION-BASED FUNDS (PUC 99313) - THROUGH FY 2017-18**

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STA POPULATION-BASED APPORTIONMENT BY JURISDICTION & OPERATOR						
<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=Sum(D:E)</i>
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance	Outstanding	Revenue	Projected	Revenue	Available For
	(w/interest) ¹	Commitments ²	Estimate ⁴	Carryover ³	Estimate ⁴	Allocation
Northern Counties/Small Operators⁵						
Marin	3,306	0	0	3,306	0	3,306
Napa	1,785	0	0	1,785	0	1,785
Solano/Vallejo	1,758,289	(629,748)	0	1,128,541	0	1,128,541
Sonoma	9,872	0	0	9,872	0	9,872
CCCTA	16	0	0	16	0	16
ECCTA	2,787	0	0	2,787	0	2,787
LAVTA	2,839	0	0	2,839	0	2,839
Union City	2,983	0	0	2,983	0	2,983
WCCTA	835	0	0	835	0	835
SUBTOTAL	1,782,713	(629,748)	0	1,152,964	0	1,152,964
Regional Paratransit⁵						
Alameda	3,552	0	0	3,552	0	3,552
Contra Costa	1,715	0	0	1,715	0	1,715
Marin	412	0	0	412	0	412
Napa	380	0	0	380	0	380
San Francisco	2,713	0	0	2,713	0	2,713
San Mateo	3,369	0	0	3,369	0	3,369
Santa Clara	528	0	0	528	0	528
Solano	134,147	88,020	0	222,167	0	222,167
Sonoma	2,098	0	0	2,098	0	2,098
SUBTOTAL	148,915	88,020	0	236,934	0	236,934
Lifeline⁵						
Alameda	1,129,802	(1,003,205)	0	126,597	0	126,597
Contra Costa	333,684	(276,200)	0	57,484	0	57,484
Marin	40,935	(25,837)	0	15,098	0	15,098
Napa	341,774	(324,324)	0	17,450	0	17,450
San Francisco	271,018	(45,000)	0	226,018	0	226,018
San Mateo	503,035	0	0	503,035	0	503,035
Santa Clara	7,820,548	(7,083,653)	0	736,895	0	736,895
Solano	127,365	22,532	0	149,897	0	149,897
Sonoma	37,447	0	0	37,447	0	37,447
JARC Funding Restoration	400,668	(340,668)	0	60,000	0	60,000
Participatory Budgeting Pilot	1,032,650	(200,000)	0	832,650	0	832,650
SUBTOTAL	12,038,925	(9,276,355)	0	2,762,571	0	2,762,571
MTC Regional Coordination Program⁶		0	0	0	0	0
BART to Warm Springs	0	0	0	0	0	0
SamTrans	42,420	0	0	42,420	0	42,420
GRAND TOTAL	\$14,012,974	(\$9,818,083)	\$0	\$4,194,889	\$0	\$4,194,889

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. The projected carryover as of 6/30/2021 does not include interest accrued in FY 2020-21.

4. FY 2018-19 - FY 2021-22 revenue is distributed through MTC Resolution 4321, adopted in February 2018. See following page for details.

5. The February 2021 version of the FY21-22 Fund Estimate is the last occasion that the MTC Resolution 3837 Population-based Program will appear in the Fund Estimate. All remaining balances for the Northern Counties/Small Operators and Regional Paratransit programs will be transferred to the appropriate STA County Block Grant fund established by MTC Resolution 4321.

6. See Regional Program on following page for details from FY 2018-19 onwards.

**FY 2021-22 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
POPULATION-BASED FUNDS (PUC 99313) - FY 2018-19 ONWARDS**

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FY2020-21 STA Revenue Estimate			FY2021-22 STA Revenue Estimate			
1. State Estimate (Jan, 21) ³		\$51,806,954	4. Projected Carryover (Aug, 21)		\$58,812,396	
2. Actual Revenue (Aug, 21)			5. State Estimate ⁴ (Jan, 21)		\$53,786,663	
3. Revenue Adjustment (Lines 2-1)			6. Total Funds Available (Lines 4+5)		\$112,599,059	
STA POPULATION-BASED COUNTY BLOCK GRANT AND REGIONAL PROGRAM APPORTIONMENT						
Column	A	C	D	E=Sum(A:D)	F	G=Sum(E:F)
	6/30/2020	FY2020-21	FY2020-21	6/30/2021	FY2021-22	Total
Apportionment Jurisdictions	Balance (w/interest) ¹	Outstanding Commitments ²	Revenue Estimate ³	Projected Carryover ⁴	Revenue Estimate ⁵	Available For Allocation
County Block Grant ⁶						
Alameda	1	(5,012,228)	6,410,580	1,398,353	6,630,338	8,028,691
Contra Costa	1	(6,289,709)	8,044,464	1,754,756	8,320,233	10,074,989
Marin	2	(1,617,864)	2,069,435	451,573	2,140,377	2,591,950
Napa	84	(985,275)	1,265,878	280,686	1,309,273	1,589,959
San Francisco	3,179,433	(4,713,712)	3,066,371	1,532,092	3,171,488	4,703,580
San Mateo	3,266,259	0	1,835,916	5,102,174	1,898,852	7,001,026
Santa Clara	222	(3,977,636)	5,110,451	1,133,036	5,285,640	6,418,676
Solano	6,283,432	(4,677,833)	3,808,525	5,414,125	3,939,084	9,353,209
Sonoma	3	(3,618,227)	4,653,249	1,035,025	4,812,765	5,847,790
SUBTOTAL	12,729,436	(30,892,484)	36,264,868	18,101,820	37,508,049	55,609,869
Regional Program	16,410,656	(3,504,812)	7,542,086	20,447,930	8,074,878	28,522,808
Means-Based Transit Fare Program	20,072,476	(8,606,095)	8,000,000	19,466,382	8,000,000	27,466,382
Transit Emergency Service Contingency Fund ⁷	796,264	0	0	796,264	203,736	1,000,000
GRAND TOTAL	\$50,008,832	(\$43,003,391)	\$51,806,954	\$58,812,396	\$53,786,663	\$112,599,059

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

3. FY 2020-21 STA revenue generation is based on revised estimates from the Governor's proposed budget in January 2021. These revised estimates for FY 2020-21 reflect the stronger performance of diesel sales tax revenues than were originally expected when the FY 2020-21 state budget was adopted in June 2020.

4. The projected carryover as of 6/30/2021 does not include interest accrued in FY 2020-21.

5. FY2021-22 STA revenue generation based on January 28, 2021 State Controller's Office (SCO) forecast.

6. County Block Grant adopted through MTC Resolution 4321 in February 2018.

7. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program.

**FY 2021-22 FUND ESTIMATE
BRIDGE TOLLS¹**

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BRIDGE TOLL APPORTIONMENT BY CATEGORY

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=D+E</i>
	6/30/2020	FY2019-21	FY2020-21	6/30/2021	FY2021-22	Total
Fund Source	Balance ²	Outstanding Commitments ³	Programming Amount ⁴	Projected Carryover	Programming Amount ⁴	Available for Allocation
MTC 2% Toll Revenues						
Ferry Capital	6,032,793	(4,218,443)	1,000,000	2,814,350	1,000,000	3,814,350
Bay Trail	0	(450,000)	450,000	0	450,000	450,000
Studies	577,048	(121,992)	0	455,056	0	455,056
SUBTOTAL	6,609,841	(4,790,435)	1,450,000	3,269,406	1,450,000	4,719,406
5% State General Fund Revenues						
Ferry	15,541,375	(1,936,468)	3,374,680	16,979,587	3,126,721	20,106,308
Bay Trail	109,655	(391,361)	281,706	0	281,706	281,706
SUBTOTAL	15,651,030	(2,327,829)	3,656,386	16,979,587	3,408,427	20,388,014

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The MTC 2% Toll Revenues listed above, commencing in FY2010-11, are funded from this payment.

2. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

4. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for 2%, and 5% bridge toll revenues.

FY 2021-22 FUND ESTIMATE
AB1107 FUNDS
AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX

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FY2020-21 AB1107 Revenue Estimate		FY2021-22 AB1107 Estimate	
1. Original MTC Estimate (Feb, 20)	\$93,500,000	4. Projected Carryover (Jun, 21)	\$0
2. Revised Estimate (Feb, 21)	\$83,000,000	5. MTC Estimate (Feb, 21)	\$83,000,000
3. Revenue Adjustment (Lines 2-1)	(\$10,500,000)	6. Total Funds Available (Lines 4+5)	\$83,000,000

AB1107 APPORTIONMENT BY OPERATOR

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C=Sum(A:B)</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G=Sum(A:F)</i>	<i>H</i>	<i>I=Sum(G:H)</i>
	6/30/2020	FY2019-20	6/30/2020	FY2019-21	FY2020-21	FY2020-21	6/30/2021	FY2021-22	FY2021-22
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(41,500,000)	46,750,000	(5,250,000)	0	41,500,000	41,500,000
SFMTA	0	0	0	(41,500,000)	46,750,000	(5,250,000)	0	41,500,000	41,500,000
TOTAL	\$0	\$0	\$0	(\$83,000,000)	\$93,500,000	(\$10,500,000)	\$0	\$83,000,000	\$83,000,000

1. Balance as of 6/30/20 is from the MTC FY2019-20 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/20, and FY2020-21 allocations as of 1/31/21.

**FY 2021-22 FUND ESTIMATE
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

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ARTICLE 4.5 SUBAPPORTIONMENT				
Apportionment Jurisdictions	Alameda Article 4.5		Contra Costa Article 4.5	
Total Available	\$4,748,706		\$2,850,340	
AC Transit	\$4,338,169		\$864,982	
LAVTA	\$159,119			
Pleasanton	\$85,509			
Union City	\$165,908			
CCCTA			\$1,211,358	
ECCTA			\$593,913	
WCCTA			\$180,087	
IMPLEMENTATION OF OPERATOR AGREEMENTS				
Apportionment of BART Funds to Implement Transit Coordination Program				
Apportionment Jurisdictions	Total Available Funds (TDA and STA) FY 2021-22			
CCCTA	\$891,994			
LAVTA	\$766,085			
ECCTA	\$2,899,892			
WCCTA	\$3,100,166			
Fund Source	Apportionment Jurisdictions	Claimant	Amount ¹	Program
Total Available BART STA Revenue-Based Funds ²			\$49,865,484	
STA Revenue-Based	BART	CCCTA	(891,994)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(661,131)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(2,899,892)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,737,806)	BART Feeder Bus
Total Payment			(7,190,823)	
Remaining BART STA Revenue-Based Funds			\$42,674,661	
Total Available BART TDA Article 4 Funds			\$467,314	
TDA Article 4	BART-Alameda	LAVTA	(104,953)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(362,361)	BART Feeder Bus
Total Payment			(467,314)	
Remaining BART TDA Article 4 Funds			\$0	
Total Available SamTrans STA Revenue-Based Funds			\$13,964,197	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
Total Payment			(801,024)	
Remaining SamTrans STA Revenue-Based Funds			\$13,163,173	
Total Available Union City TDA Article 4 Funds			\$13,126,578	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
Total Payment			(116,699)	
Remaining Union City TDA Article 4 Funds			\$13,009,879	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

2. As of February 2021 discussions are ongoing between BART, MTC, and the four East Bay bus operators shown here regarding possible changes to the operator agreements which govern these payments. Should any changes be proposed staff will return to the MTC Programming and Allocations Committee to provide an update.

**FY 2021-22 FUND ESTIMATE
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

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PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-20	MTC Res-3833	MTC Res-3925	FY2021-22
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	0
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
TOTAL	\$62,000,000	100%	\$6,395,361	\$0	\$0	\$30,951,976	\$19,288,914

FY 2021-22 FUND ESTIMATE
CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)

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FY2020-21 LCTOP Revenue Estimate¹		FY2021-22 LCTOP Revenue Estimate²	
1. Estimated Statewide Appropriation (Jan, 21)	\$100,000,000	5. Estimated Statewide Appropriation (Jan, 21)	\$106,000,000
2. MTC Region Revenue-Based Funding	\$26,792,290	6. Estimated MTC Region Revenue-Based Funding	\$28,399,828
3. MTC Region Population-Based Funding	\$9,791,321	7. Estimated MTC Region Population-Based Funding	\$10,378,800
4. Total MTC Region Funds	\$36,583,611	8. Estimated Total MTC Region Funds	\$38,778,628

1. The FY 2020-21 LCTOP revenue generation is based on the \$100 million revised estimate included in the FY 2021-22 Proposed State Budget.

2. The FY 2021-22 LCTOP revenue generation is based on the \$106 million estimated in the FY 2021-22 Proposed State Budget.

**FY 2021-22 FUND ESTIMATE
STATE OF GOOD REPAIR (SGR) PROGRAM
REVENUE-BASED FUNDS**

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FY2020-21 SGR Revenue-Based Revenue Estimate		FY2021-22 SGR Revenue-Based Revenue Estimate	
1. State Estimate (Aug, 20)	\$31,528,098	4. Projected Carryover (Aug, 21)	\$15,096,312
2. Actual Revenue (Aug, 21)		5. State Estimate (Jan, 21)	\$31,477,988
3. Revenue Adjustment (Lines 2-1)	\$0	6. Total Funds Available (Lines 4+5)	\$46,574,300

STATE OF GOOD REPAIR PROGRAM REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2020	FY2019-21	FY2020-21	6/30/2021	FY2021-22	Total
Apportionment Jurisdictions	Balance (w/interest)	Outstanding Commitments	Revenue Estimate ¹	Projected Carryover	Revenue Estimate ²	Available For Allocation
ACCMA - Corresponding to ACE	650	(650)	46,019	46,019	45,946	91,965
Caltrain	18,963	(1,513,360)	1,494,397	0	1,492,021	1,492,021
CCCTA	1,766	(132,782)	131,016	0	130,808	130,808
City of Dixon	0	(1,279)	1,279	0	1,277	1,277
ECCTA	932	(64,276)	63,344	0	63,244	63,244
City of Fairfield	372	(23,620)	23,248	0	23,211	23,211
GGBHTD	19,098	(1,453,035)	1,433,937	0	1,431,657	1,431,657
LAVTA	790	(63,635)	62,845	0	62,746	62,746
Marin Transit	3,721	(248,785)	245,064	0	244,675	244,675
NVTA	266	(18,058)	17,792	0	17,763	17,763
City of Petaluma	111	(7,745)	7,634	0	7,622	7,622
City of Rio Vista	0	(407)	407	0	406	406
SamTrans	18,168	(1,516,951)	1,498,783	0	1,496,400	1,496,400
SMART	3,793	(313,594)	309,801	0	309,308	309,308
City of Santa Rosa	363	(26,015)	25,652	0	25,611	25,611
Solano County Transit	788	(55,429)	54,641	0	54,554	54,554
Sonoma County Transit	507	(36,240)	35,733	0	35,676	35,676
City of Union City	268	(19,681)	19,413	0	19,382	19,382
Vacaville City Coach	0	(4,161)	4,161	0	4,154	4,154
VT A	52,038	(4,594,691)	4,542,653	0	4,535,433	4,535,433
VT A - Corresponding to ACE	416	(26,966)	26,550	0	26,508	26,508
WCCTA	1,134	(84,229)	83,095	0	82,963	82,963
WETA	5,180	(412,676)	407,496	0	406,849	406,849
SUBTOTAL	129,325	(10,618,266)	10,534,959	46,019	10,518,214	10,564,233
AC Transit	53,066	(1,333,366)	4,007,573	2,727,273	4,001,204	6,728,477
BART	91,021	(1,333,366)	6,279,872	5,037,527	6,269,892	11,307,419
SFMTA	142,873	(3,563,073)	10,705,693	7,285,493	10,688,678	17,974,171
SUBTOTAL	286,960	(6,229,805)	20,993,139	15,050,293	20,959,774	36,010,067
GRAND TOTAL	\$416,285	(\$16,848,071)	\$31,528,098	\$15,096,312	\$31,477,988	\$46,574,300

1. FY2020-21 State of Good Repair Program revenue generation is based on August 1, 2020 estimates from the State Controller's Office (SCO).

2. FY2021-22 State of Good Repair Program revenue generation is based on January 29, 2021 estimates from the State Controller's Office (SCO).

FY 2021-22 FUND ESTIMATE
STATE OF GOOD REPAIR (SGR) PROGRAM
POPULATION-BASED FUNDS

Attachment A
Res No. 4450
Page 20 of 20
2/24/2021

FY2020-21 SGR Population-Based Revenue Estimate		FY2021-22 SGR Population-Based Revenue Estimate				
1. State Estimate (Aug, 20)	\$11,522,035	4. Projected Carryover (Aug, 21)	\$0			
2. Actual Revenue (Aug, 21)		5. State Estimate (Jan, 21)	\$11,503,725			
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$11,503,725			
SGR PROGRAM POPULATION-BASED APPORTIONMENT						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2020	FY2019-21	FY2020-21	6/30/2021	FY2021-22	Total
Apportionment	Balance (w/interest)	Outstanding Commitments	Revenue Estimate ¹	Projected Carryover	Revenue Estimate ²	Available For Allocation
Clipper®/Clipper® 2.0 ³	13,345,856	(24,867,891)	11,522,035	0	11,503,725	11,503,725
GRAND TOTAL	\$13,345,856	(\$24,867,891)	\$11,522,035	\$0	\$11,503,725	\$11,503,725

1. FY2020-21 State of Good Repair Program revenue generation is based on August 1, 2020 estimates from the State Controller's Office (SCO).

2. FY2021-22 State of Good Repair Program revenue generation is based on January 28, 2021 estimates from the State Controller's Office (SCO).

3. State of Good Repair Program funds are shown here according to the policy in MTC Resolution 4321.



Photo: SFMTA, Jeremy Menzies



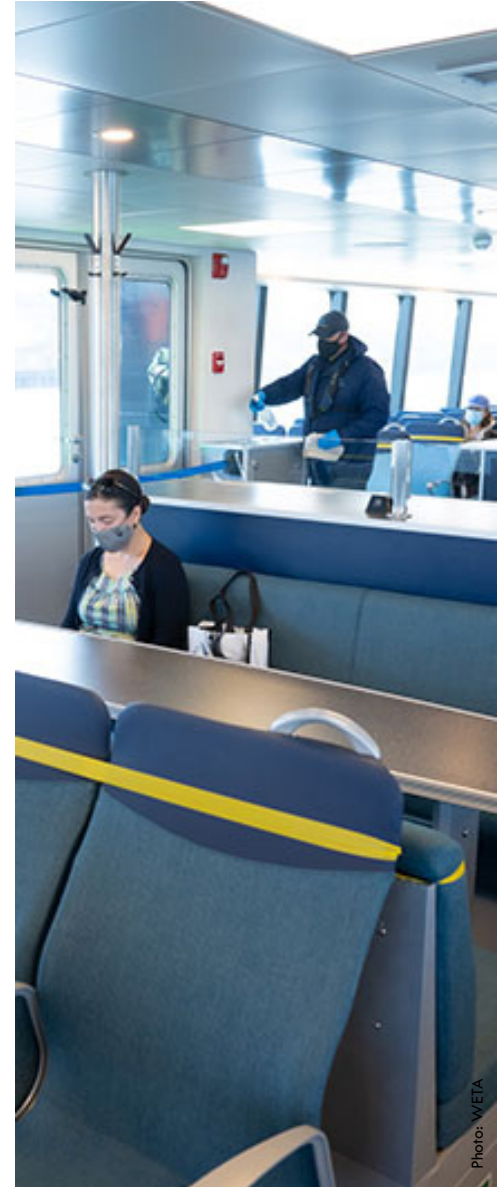
FY 2021-22 Fund Estimate

Programming and Allocations Committee
February 10, 2021

Agenda Item 3d

MTC's Fund Estimate

- State law requires MTC to complete a Fund Estimate by March 1st annually
- Assists transit operators in budgeting
- Approx. 40% of Bay Area transit operating revenues are based on sales taxes
- As expected, caution is warranted in budgeting for FY 2021-22 given uncertainties around the ongoing impacts of COVID-19 on public transit
- **FY 2021-22 Fund Estimate will program approx. \$790 million, mostly for transit operations**

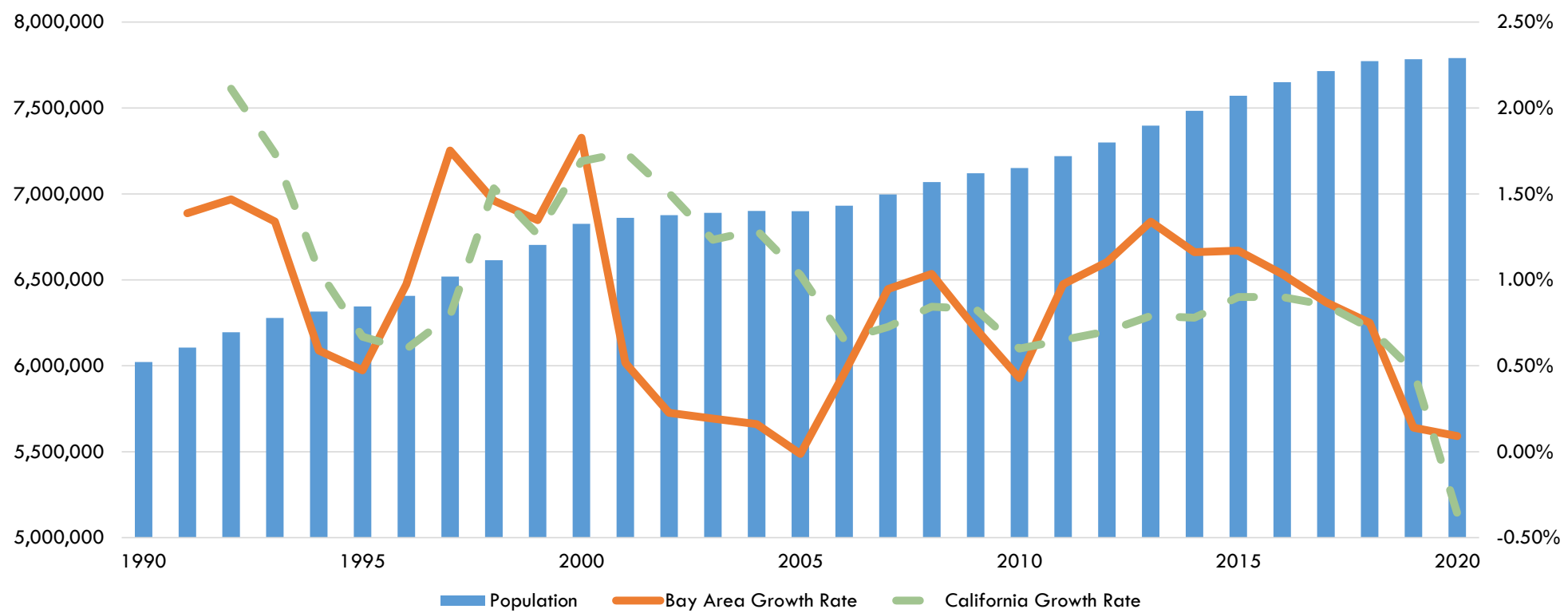


Fund Estimate Overview

	Program	Description	FY 2020-21 Original Estimate (\$, in millions)	FY 2020-21 Revised Estimate (\$, in millions)	FY 2021-22 Estimate (\$, in millions)
Sales Taxes and Tolls	Transportation Development Act (TDA) ¼ ¢ Sales Tax	¼ ¢ sales tax in each county	\$436	\$405	\$416
	AB 1107 ½ ¢ Sales Tax	MTC administers 25% of the revenue from the ½ ¢ sales tax in the three BART district counties	\$94	\$83	\$83
	Bridge Tolls	MTC 2% Toll Revenues and 5% State General Fund Revenues	\$5	\$5	\$5
STA Formula	State Transit Assistance (STA)	Sales tax on diesel fuel in CA	\$253	\$194	\$201
	State of Good Repair (SGR) Program	Transportation Improvement Fee (vehicle registration fee)	\$40	\$43	\$43
	Low Carbon Transit Operations Program (LCTOP)	5% of Cap-and-Trade auction revenues	\$46	\$37	\$39

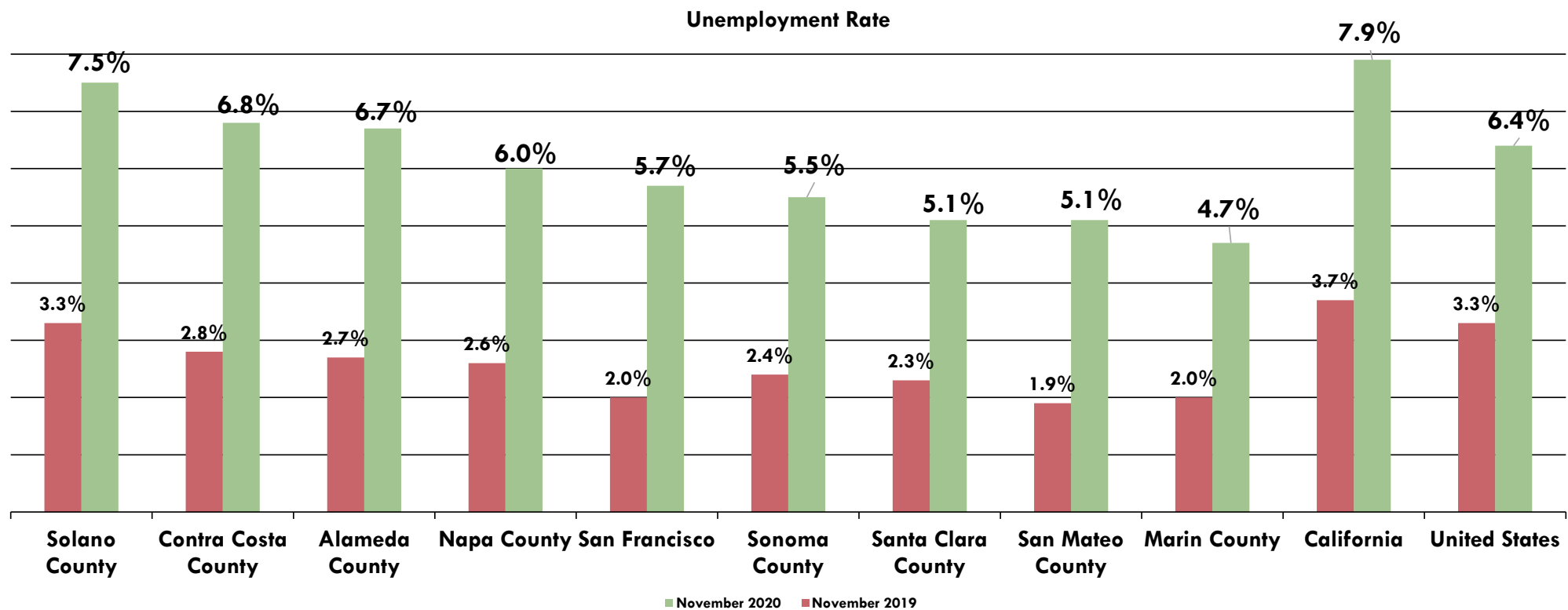
Note: Estimated revenue amounts are rounded to nearest million.

Bay Area Population



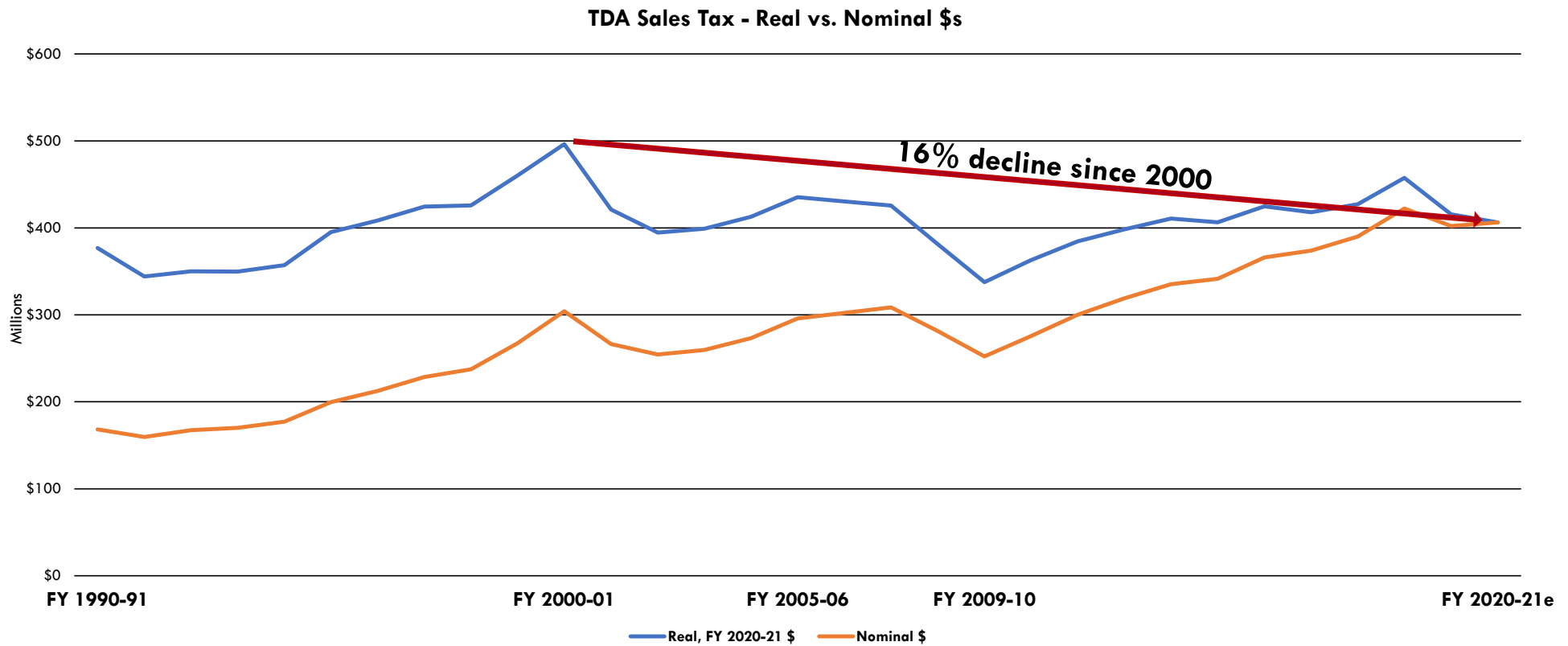
Source: California Department of Finance

Unemployment Rate: Substantially Higher Year over Year



Source: US Bureau of Labor Statistics, January 2021

Real Sales Tax Revenue: 16% Drop Since 2000 When Adjusted for Inflation

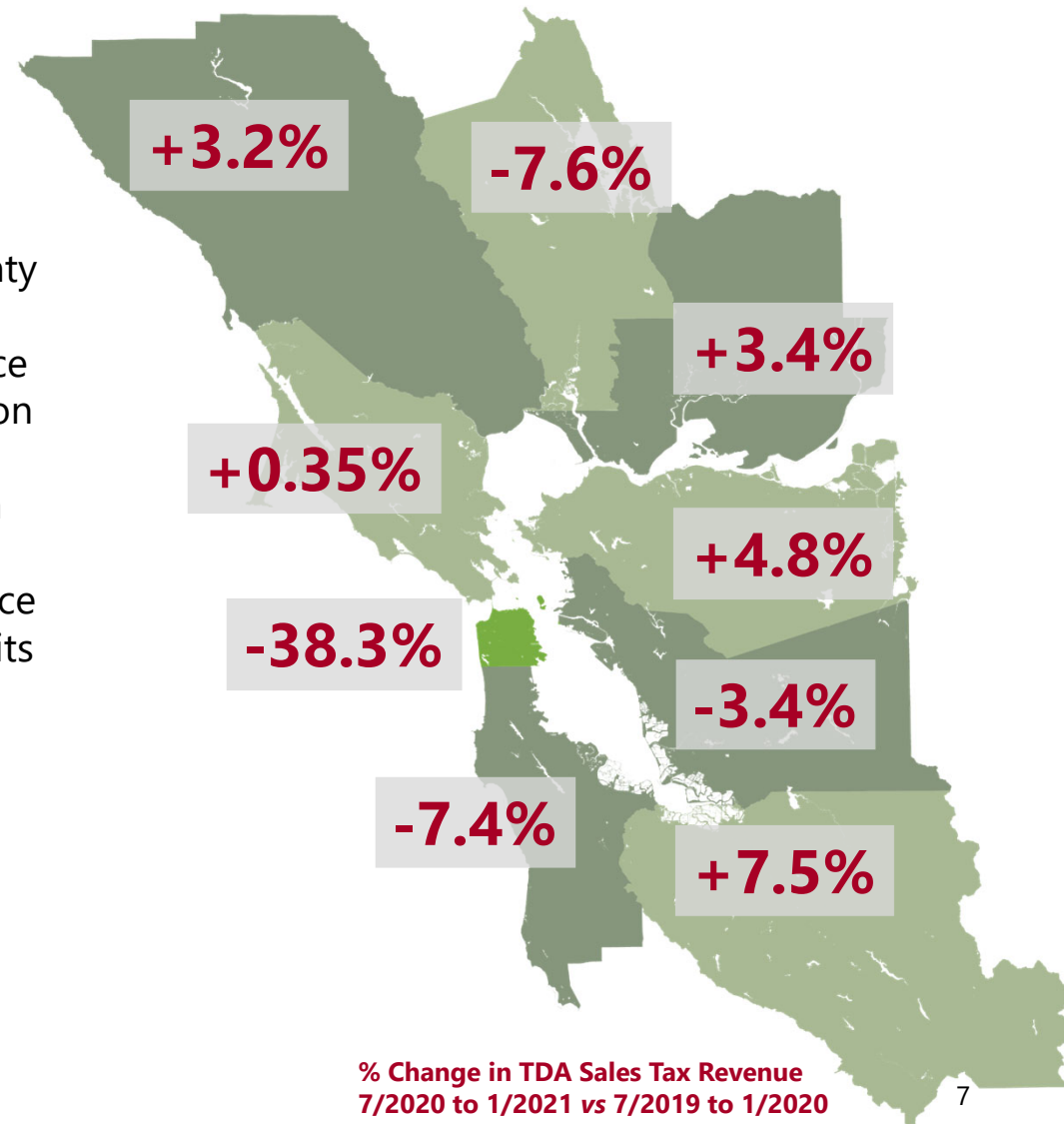


Source:

1. Actuals reported by CA Dept. of Tax & Fee Admin.
2. FY 2019-20 estimates from FY 2019-20 Fund Estimate

TDA Sales Tax Revenue Uneven Impacts

- So far over the course of the pandemic sales tax revenue impacts have varied significantly by county
- Changes in daytime population, the disappearance of tourism, and the impacts of the *Wayfair* decision have likely played key role in the differing performance of the sales tax around the Bay Area
- As the sales tax is the single most important source of funding for transit operations in the Bay Area, its overall all resilience during the pandemic is a positive for many transit operators



TDA Sales Tax Forecast FY 2021-22



Estimates for each county prepared by individual county Auditor/Controllers



Return to source, revenue earned in a county is spent in that county



Revenue primarily used for transit operations and capital expenses



Operators should be extra cautious due to uncertainty in County Auditor forecasts resulting from sales tax distribution changes



FY 2021-22 forecast of \$419 million is a **3.6% increase** above the Auditor/Controllers' revised forecast for FY 2020-21 (\$404.7 million)

- Revised FY 2020-21 Auditor/Controllers' forecast represents a 7.1% decrease from original forecast
- *Wayfair* decision likely providing significant boost to revenue



AB 1107 Sales Tax Forecast FY 2021-22

- 25% of total revenue from BART's sales tax in Alameda, Contra Costa, and San Francisco counties
- MTC estimates revenue and establishes funding policy
- Only AC Transit, BART, and SFMTA eligible to receive AB 1107 funds per state statute
- Historically, Commission policy is to distribute 50% of funds to AC Transit and 50% to SFMTA
- **FY 2021-22 forecast of \$83 million** is a **6.7% decrease** from the actuals for FY 2019-20 (\$88.96 million)

FY 2020-21 forecast is revised downwards to \$83 million from \$93.5 million as shown in the FY 2020-21 Fund Estimate



STA Formula Programs

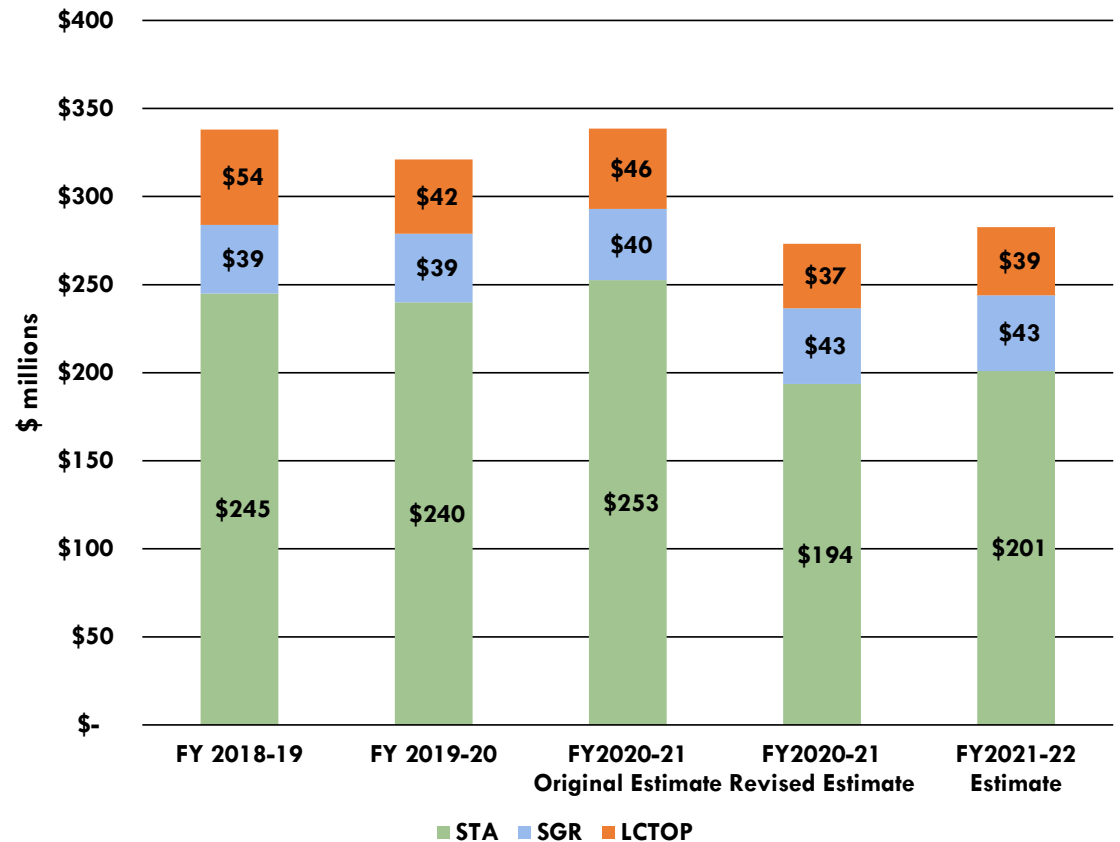
FY 2021-22

State Transit Assistance (STA) formula splits statewide revenue 50/50 between a Revenue-Based program and a Population-Based program

Revenue-Based funds flow to transit operators via MTC based on their qualifying local revenue

Population-Based funds flow to the Bay Area based on our 19.5% share of the state's population and are programmed by MTC

FY 2021-22 forecast of \$283 million for the Bay Area in STA, State of Good Repair (SGR) Program and Low Carbon Transit Operations Program (LCTOP) revenue



Staff recommendation is to forward to the Commission for approval:

MTC Resolution 4450 (FY 2021-22 Fund Estimate)

