

# Bay Area Toll Authority Oversight Committee

September 9, 2020

Agenda Item 4b - 20-0413

## BATA Financial Statements as of June 2020 (Unaudited)

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**Subject:** Attached are the BATA unaudited financial statements for the fiscal year ending on June 30, 2020. The June 2020 financials are preliminary, unaudited, and subject to change upon completion of the annual audit process.

Overall toll traffic and revenue will be down 15%, approximately \$100 million for FY 2019-20. BATA has a small projected surplus of \$10.8 million after all operating expenses and encumbrances. Please keep in mind this number can change depending on year-end accruals. BATA will still have to tap overall reserves when the \$88 million in bridge rehabilitation expenditures are taken into account.

Major financial highlights include:

### **Revenues:**

Total revenue for FY 2019-20 is approximately \$788 million, or 88% of the adopted budget. The decrease is due to the regional shelter-in-place order effective in mid-March.

Toll Revenue – Compared to the same three month period of March through June of 2019 and 2020, total traffic toll dropped 35%. The result is an estimated toll revenue loss of \$100 million.

Total toll revenue for the year at \$633 million, was 86% of the budget. The total paid traffic count for the year at 120 million was at the same level as in FY 2010-11 and was the first time since FY 2009-10 that we had a decrease in traffic count compared to the year before.

Rebate for BABs – BATA also received \$71.9 million in subsidy payments from the U.S. government to offset the interest expense for the \$3.3 billion Build America Bonds (BABs) portfolio.

Violation Revenue – Total violation revenue for FY 2019-20 was \$28 million, an increase of \$1.4 million over FY 2019. The violation revenue included a \$2.9 million of violation accrual for the year.

Interest Revenue – \$37 million in interest revenue was 74% of the adopted budget. The economic downturn triggered by the health crisis caused the cut in interest rate. In addition, the suspension of cash collection on all BATA bridges has had a negative effect on BATA cash balance, effecting the interest earnings for the year.

Fastrak Reimbursement – Total reimbursement revenue from other agencies for the Fastrak service was \$8.4 million, 77% of the budget. The revenue shortfall in this category was mainly due to less drivers in the Bay Area during the pandemic.

**Expense:**

At the time this report was prepared, total expense with encumbrance was \$777 million, 87% of the adopted budget.

Caltrans Operations and Maintenance – The total Caltrans Operations and Maintenance expense was \$26 million, about 13% under budget. However, with 11 months of expense recorded the amount will increase to approximately \$29 million or 98% of the adopted FY 2020 operating budget.

FasTrak® Operations and Maintenance – Electronic toll collection costs were \$48 million, \$7 million below the adopted budget. However, when the year-end closing process is completed the final expense amount is expected to increase to approximately \$53 million or 99% of the adopted budget.

Bridge Toll Administration – BATA's expense for bridge toll administration ended FY 2019-20 at \$16 million, \$7 million under the adopted budget. The savings are mainly the result of certain consultant contracts being deferred to future years due to the COVID pandemic.


Transfers – A total of \$67 million, or 72% of the transfer budget was made to various programs. The majority of these transfers were for the RM2 transit operating projects. BATA transferred \$41 million to various transit operators, which represented 60% of all transfers. Staff expects the final transfer will be more in-line with the budget as we are still receiving invoices from vendors.

Debt Service – Debt service costs, including fees, were \$594 million for FY 2019-20, \$27 million under budget. The savings are mainly the result of restructuring several of the existing bonds at lower interest rates.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

**Recommendation:** None. This item provided as information only.

**Attachments:** Attachment A – BATA Financial Statements for period ending June 30, 2019 (Unaudited)

  
Therese W. McMillan

## BATA Operating Budget

## Attachment A

As of June 2020

|   | FY 2019-20          | Actual              | Current Budget          | % of Budget  | year          |
|---|---------------------|---------------------|-------------------------|--------------|---------------|
|   | Budget              | YTD                 | Balance<br>Over/(Under) | (col 2/1)    | Expired       |
| <b>REVENUE:</b>                             |                     |                     |                         |              |               |
| 1 RM 1 Toll Revenues                        | 603,709,547         | 520,341,025         | (83,368,522)            | 86.2%        | 100.0%        |
| 2 RM 2 Toll Revenues                        | 130,989,803         | 112,462,611         | (18,527,192)            | 85.9%        | 100.0%        |
| 3 Toll Violation Revenues                   | 25,000,000          | 28,074,119          | 3,074,119               | 112.3%       | 100.0%        |
| 4 Other Revenue                             | -                   | 6,005,022           | 6,005,022               | N/A          | 100.0%        |
| 5 Interest Income                           | 50,000,000          | 37,036,802          | (12,963,198)            | 74.1%        | 100.0%        |
| 6 BAIFA Reimbursement                       | 780,000             | 522,382             | (257,618)               | 67.0%        | 100.0%        |
| 7 GGB&HTD Fastrak Reimbursement             | 7,200,000           | 5,203,365           | (1,996,635)             | 72.3%        | 100.0%        |
| 8 SFO Fastrak Reimbursement                 | 463,000             | 221,844             | (241,156)               | 47.9%        | 100.0%        |
| 9 Alameda CMA Reimbursement                 | 1,700,000           | 1,376,052           | (323,948)               | 80.9%        | 100.0%        |
| 10 VTA 237 Express Lane Reimb.              | 660,000             | 1,030,070           | 370,070                 | 156.1%       | 100.0%        |
| 11 Rebate for Build America Bonds           | 71,713,641          | 71,885,581          | 171,940                 | 100.2%       | 100.0%        |
| 12 BAHHA Reimbursement                      | 2,000,000           | 2,000,000           | -                       | 100.0%       | 100.0%        |
| 13 Transbay Terminal Review Reimbursement   | 600,000             | 196,008             | (403,992)               | 32.7%        | 100.0%        |
| 14 EBRPD Reimbursement                      | 1,361,459           | 1,361,459           | -                       | N/A          | 100.0%        |
| <b>Total Revenue</b>                        | <b>896,177,450</b>  | <b>787,716,340</b>  | <b>(108,461,110)</b>    | <b>87.9%</b> | <b>100.0%</b> |
| <b>EXPENSE:</b>                             |                     |                     |                         |              |               |
| <b>Caltrans Operations and Maintenance:</b> |                     |                     |                         |              |               |
| 1 Toll Collection & Operations Services     | 24,000,000          | 21,151,203          | (2,848,797)             | 88.1%        | 100.0%        |
| 2 Toll & Bridge Facility Maint              | 5,700,000           | 4,797,795           | (902,205)               | 84.2%        | 100.0%        |
| <b>Caltrans O &amp; M Subtotal</b>          | <b>29,700,000</b>   | <b>25,948,998</b>   | <b>(3,751,002)</b>      | <b>87.4%</b> | <b>100.0%</b> |
| <b>Fastrak Operations and Maintenance:</b>  |                     |                     |                         |              |               |
| 3 RCSC Operations                           | 27,000,000          | 27,147,384          | 147,384                 | 100.5%       | 100.0%        |
| 4 ATCAS Maintenance, IT equip               | 5,650,000           | 5,235,904           | (414,096)               | 92.7%        | 100.0%        |
| 5 Banking Costs                             | 16,900,000          | 13,385,214          | (3,514,786)             | 79.2%        | 100.0%        |
| 6 Collection Exp./DMV Exp.                  | 4,200,000           | 2,508,312           | (1,691,688)             | 59.7%        | 100.0%        |
| <b>BATA O &amp; M Subtotal</b>              | <b>53,750,000</b>   | <b>48,276,814</b>   | <b>(5,473,186)</b>      | <b>89.8%</b> | <b>100.0%</b> |
| <b>BATA Toll Bridge Administration:</b>     |                     |                     |                         |              |               |
| 7 Staff Costs - Salaries, Benefits & Temps  | 11,908,744          | 11,352,080          | (556,664)               | 95.3%        | 100.0%        |
| 8 Travel, Printing, Memberships & Other     | 637,965             | 281,537             | (356,428)               | 44.1%        | 100.0%        |
| 9 Audit/Accounting                          | 2,604,117           | 1,239,225           | (1,364,892)             | 47.6%        | 100.0%        |
| 10 Misc. Toll Admin Operating Expenses      | 2,520,109           | 1,469,700           | (1,050,409)             | 58.3%        | 100.0%        |
| 11 Professional Fees                        | 4,140,000           | 1,906,729           | (2,233,271)             | 46.1%        | 100.0%        |
| 28 Transbay Transit Center Review Pannel    | 255,000             | 135,706             | (119,294)               | 53.2%        | 100.0%        |
| 12 Other                                    | 1,000,000           | 3,527               | (996,473)               | 0.4%         | 100.0%        |
| <b>Toll Bridge Admin Subtotal</b>           | <b>23,065,935</b>   | <b>16,388,504</b>   | <b>(6,677,431)</b>      | <b>71.1%</b> | <b>100.0%</b> |
| <b>Other/Transfers:</b>                     |                     |                     |                         |              |               |
| 13 Transfers to MTC 1% Admin                | 8,096,994           | 6,987,362           | (1,109,632)             | 86.3%        | 100.0%        |
| 14 Transfers to MTC - PERS Retirement       | 6,991,519           | 5,881,887           | (1,109,632)             | 84.1%        | 100.0%        |
| 15 Transfers to MTC - Other                 | 1,400,314           | 485,778             | (914,536)               | 34.7%        | 100.0%        |
| 16 Transfer from Legal Reserve              | 4,880,110           | 2,309,223           | (2,570,887)             | 47.3%        | 100.0%        |
| 17 Transbay Transit Terminal Maint          | 5,201,958           | 5,201,958           | -                       | 100.0%       | 100.0%        |
| 18 Beale St Assessment                      | 1,800,000           | 1,718,667           | (81,333)                | 95.5%        | 100.0%        |
| 19 Depreciation and Amortization            | 5,050,000           | 2,426,590           | (2,623,410)             | 48.1%        | 100.0%        |
| 20 RM2/Clipper Marketing                    | 6,940,000           | 5,135,036           | (1,804,964)             | 74.0%        | 100.0%        |
| 21 RM2 Operating                            | 49,776,125          | 35,710,282          | (14,065,843)            | 71.7%        | 100.0%        |
| 22 ABAG SFEP                                | 1,105,475           | 1,105,475           | -                       | 100.0%       | 100.0%        |
| 23 BART for IG Contract                     | 1,000,000           | -                   | (1,000,000)             | 0.0%         | 100.0%        |
| <b>Transfers</b>                            | <b>92,242,495</b>   | <b>66,962,258</b>   | <b>(25,280,237)</b>     | <b>72.6%</b> | <b>100.0%</b> |
| <b>Debt Service:</b>                        |                     |                     |                         |              |               |
| 24 Interest and principal payments          | 607,490,461         | 577,789,438         | (29,701,023)            | 95.1%        | 100.0%        |
| 25 Financing Costs                          | 14,073,400          | 16,464,794          | 2,391,394               | 117.0%       | 100.0%        |
| <b>Total Debt Service</b>                   | <b>621,563,861</b>  | <b>594,254,232</b>  | <b>(27,309,629)</b>     | <b>95.6%</b> | <b>100.0%</b> |
| <b>Transfer to Capital Fund In (Out):</b>   |                     |                     |                         |              |               |
| 26 Transfer to Capital Fund                 | (75,805,159)        | -                   | (75,805,159)            | 0.0%         | 100.0%        |
| Reserve for Yearend Encumbrance Balance     | -                   | (25,051,482)        | 25,051,482              |              |               |
| 27 Furniture/Equip./Vehicle                 | (50,000)            | -                   | (50,000)                | 0.0%         | 100.0%        |
| <b>Total Capital Reserve In (Out)</b>       | <b>(75,855,159)</b> | <b>(25,051,482)</b> | <b>(50,803,677)</b>     | <b>33.0%</b> | <b>100.0%</b> |
| <b>Total Expense &amp; Transfers</b>        | <b>896,177,450</b>  | <b>776,882,288</b>  | <b>(119,295,162)</b>    | <b>86.7%</b> | <b>100.0%</b> |
| <b>Net</b>                                  | <b>-</b>            | <b>10,834,052</b>   |                         |              |               |

**Regional Measure 2 Operating Budget  
As of June 2020 (\$000)**

**Attachment A**

|  |   |              | Balance  |              |
|--|---|--------------|----------|--------------|
| Project Title                          |   | Total Budget | Actual   | Over/(Under) |
| RM2 Operating Assistance Program       |   |              |          |              |
| 1                                      | Richmond Bridge Express Bus                                     | 2,474        | 1,855    | (619)        |
| 2                                      | Napa Vine Service   | 426          | 362      | (64)         |
| 3                                      | Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges | 3,751        | 2,583    | (1,168)      |
| 4                                      | Express Bus South - serving Carquinez and Benicia Bridges       | 7,074        | 5,728    | (1,346)      |
| 5                                      | Dumbarton Bus   | 3,017        | 2,680    | (337)        |
| 6                                      | WETA Ferry Operations   | 16,500       | 13,677   | (2,823)      |
| 7                                      | Owl Service - BART Corridor                                     | 2,004        | 1,259    | (745)        |
| 8                                      | MUNI Metro 3rd St   | 2,500        | -        | (2,500)      |
| 9                                      | AC Enhanced Bus Service   | 3,000        | 2,500    | (500)        |
| 11                                     | Water Emergency Transportation Authority Regional Planning      | 3,000        | 2,066    | (934)        |
| 12                                     | Clipper Operations  | 2,000        | -        | (2,000)      |
| 13                                     | Transbay Transit Center   | 3,000        | 3,000    | -            |
| Total RM2 Operating Assistance Program |   | 48,746       | 35,710   | (13,036)     |
| RM2 Marketing Assistance Program       |   |              |          |              |
| N/A                                    | Clipper Marketing   | 4,785        | 3,883    | (902)        |
| N/A                                    | 511 Real Time Transit   | 110          | 77       | (33)         |
| N/A                                    | Seamless Transit Map  | 580          | 438      | (142)        |
| N/A                                    | Regional Resource Center  | 200          | 172      | (28)         |
| N/A                                    | AC Transit Services   | 500          | -        | (500)        |
| N/A                                    | Bike to Work, Trails and Transit Week                           | 50           | -        | (50)         |
| N/A                                    | Wayfinding Analysis and Design                                  | 50           | 50       | -            |
| N/A                                    | Fare Integration Project  | 150          | -        | (150)        |
| N/A                                    | Salesforce Transit Center                                       | 515          | 515      | -            |
| Total RM2 Marketing Assistance Program |   | 6,940        | 5,135    | (1,805)      |
| Total                                  |   | \$55,686     | \$40,845 | (\$14,841)   |

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-200,000

|                      |                   | June'20   |
|----------------------|-------------------|-----------|
| Civic Resource Group | Website Maintance | \$100,000 |

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

**June'20**

|                                 |                              |          |
|---------------------------------|------------------------------|----------|
| Boomerang Solutions             |                              | \$15,756 |
|                                 | <i>Computer Maintainance</i> |          |
| San Francisco Estuary Institute |                              | \$48,000 |
|                                 | <i>Website Maintance</i>     |          |

As of June 2020 (\$000) - Life to Date

| Program | Project Title   | Total Budget | Actual      | Encumbrance | Balance Remaining |
|---------|---|--------------|-------------|-------------|-------------------|
| 1       | BART/MUNI Direct Connection at Embarcadero & Civic Center Stations                            | \$3,000      | 70          | 1,430       | \$1,500           |
| 2       | SF MUNI Metro 3rd Street LRT Extension  | 30,000       | 30,000      | -           | -                 |
| 3       | MUNI Historic Streetcar Expansion (E-Line)  | 10,000       | 10,000      | -           | -                 |
| 4       | Dumbarton Commuter Rail Service <sup>i,iv,xii</sup>   | 9,157        | 8,932       | 33          | 192               |
| 5       | Vallejo Ferry Intermodal Station <sup>v</sup>   | 26,000       | 25,484      | 215         | 301               |
| 6       | Solano County Express Bus Intermodal Facilities <sup>vi</sup>                                 | 12,251       | 12,222      | 30          | -                 |
| 7       | Solano County Corridor Improvements near I-80 / I-680 Interchange                             | 100,000      | 98,574      | 1,426       | -                 |
| 8       | I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge                                   | 37,175       | 37,175      | -           | -                 |
| 9       | Richmond Parkway Park & Ride <sup>vii</sup>   | 3,850        | 946         | 2,861       | 43                |
| 10      | SMART Extension to Larkspur <sup>ii,vii</sup>   | 56,500       | 56,468      | 32          | -                 |
| 11      | Greenbrae Interchange Improvement <sup>ii,viii</sup>  | 43,500       | 31,797      | 11,703      | -                 |
| 12      | Direct HOV lane connector from I-680 to the Pleasant Hill BART <sup>ix</sup>                  | 20,425       | 18,570      | 1,537       | 318               |
| 13      | Rail Extension to East Contra Costa/E-BART  | 96,000       | 94,334      | 1,666.00    | -                 |
| 14      | Capital Corridor Improvements in Interstate-80/Interstate 680 Corridor <sup>vi,x</sup>        | 35,950       | 35,950      | -           | -                 |
| 15      | Central Contra Costa Bay Area Rapid Transit (BART) Crossover                                  | 25,000       | 25,000      | -           | -                 |
| 16      | Benicia-Martinez Bridge: New Span   | 50,000       | 50,000      | -           | -                 |
| 17      | Remaining Regional Express Bus North - Competitive Program Projects <sup>v,x</sup>            | 18,799       | 18,771      | 28          | -                 |
| 18      | Clipper   | 35,000       | 20,817      | 14,163      | 20                |
| 19      | Real-time transit information   | 20,000       | 19,585      | 415         | -                 |
| 20      | Safe Routes to Transit  | 22,500       | 21,154      | 1,346       | -                 |
| 21      | BART Tube Seismic Retrofit  | 33,801       | 33,801      | -           | -                 |
| 22      | Transbay Terminal/Downtown Extension  | 150,000      | 149,993     | 7           | -                 |
| 23      | Oakland Airport Connector   | 115,199      | 115,199     | -           | -                 |
| 24      | AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) <sup>vii</sup> | 77,760       | 72,570      | 5,190       | -                 |
| 25      | Commute Ferry Service for Alameda/Oakland/Harbor Bay  | 12,000       | 12,000      | -           | -                 |
| 26      | Commute Ferry Service for Berkeley/Albany   | 12,000       | 12,000      | -           | -                 |
| 27      | Commute Ferry Service for South San Francisco   | 12,000       | 11,998      | 2           | -                 |
| 28      | Water Transit Facility Imps., Spare Vessels and Environmental Review                          | 48,000       | 48,000      | -           | -                 |
| 29      | Regional Express Bus South - Remaining Projects <sup>iv,vii,xii</sup>                         | 54,933       | 35,878      | 7,791       | 11,264            |
| 30      | I-880 North Safety Improvements <sup>xi</sup>   | 12,300       | 12,089      | 211         | -                 |
| 31      | BART Warm Springs Extension <sup>i</sup>  | 186,000      | 182,083     | 3,917       | -                 |
| 32      | I-580 (Tri Valley) Rapid Transit Corridor Improvements  | 65,000       | 52,621      | 7,384       | 4,995             |
| 33      | Regional Rail Master Plan   | 6,500        | 6,062       | 394         | 44                |
| 34      | Integrated Fare Structure Program   | 1,500        | 900         | 600         | -                 |
| 35      | Transit Commute Benefits Promotion  | 5,000        | 3,366       | 1,634       | -                 |
| 36      | Caldecott Tunnel Improvements <sup>ix</sup>   | 45,075       | 45,074      | 1           | -                 |
| 37      | BART's Fixed Guideway Rehab   | 64,000       | 24,000      | 40,000      | -                 |
| 38      | Regional Express Lane Network <sup>iii</sup>  | 4,825        | -           | 4,825       | -                 |
| 39      | Modifications in I-80 and San Pablo <sup>iii</sup>  | 8,000        | 8,000       | -           | -                 |
| 40      | Caltrain Electrification <sup>viii,xii</sup>  | 20,000       | 19,991      | 9           | -                 |
| Total   |   | \$1,589,000  | \$1,461,474 | \$108,850   | \$18,677          |

<sup>i</sup> Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

<sup>ii</sup> Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated

<sup>iii</sup> Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

| Res#3801 - Date 5/28/14  |   |   |
|--------------------------|---|---|
| Amount (\$000)           | From  | To  |
| <sup>iv</sup> \$14,843   | Program 4: Dumbarton Commuter Rail Service program  | Program 29: Regional Express Bus South program                            |
| <sup>v</sup> \$2,000     | Program 5: Vallejo Ferry Intermodal Station program   | Program 17: Regional Express Bus North program                            |
| <sup>vi</sup> \$7,749    | Program 6: Solano County Express Bus program  | Program 14: I-80/I-680 Capital Corridor Improvements program              |
| <sup>vii</sup> \$12,760  | Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands. | Program 24: AC Transit Enhanced Bus program                               |
| <sup>viii</sup> \$20,000 | Program 11: Greenbrae Interchange Improvement   | Program 10: SMART Extension to Larkspur                                   |
| <sup>ix</sup> \$5,425    | Program 36: Caldecott Tunnel Improvements program   | Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program |
| <sup>x</sup> \$3,202     | Program 17: Regional Express Bus North program  | Program 14: I-80/I-680 Capital Corridor Improvements program              |
| <sup>xi</sup> \$2,300    | Program 29: Regional Express Bus South program  | Program 30: I-880 North Safety Improvements program                       |
| <sup>xii</sup> \$20,000  | Program 4: Dumbarton Commuter Rail Service program  | Program 40: Caltrain Electrification program                              |

<sup>xiii</sup> Increasing funding by \$13 million to the Clipper Project (18), per Res #3801 dated 12/21/16.

<sup>xiv</sup> Increasing funding by \$21 million to the Regional Express Bus South Project (29), per Resolution #3801 dated 12/21/16.

<sup>xv</sup> Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37), per Resolution #3801 dated 12/21/16.

Shaded projects are completed

As of June 2020 (\$000) - Life to Date

| Program #                          | Program                                      | Total Budget     | Total Expenses   | Encumbrance   | Balance Remaining |
|------------------------------------|--|------------------|------------------|---------------|-------------------|
| 6811                               | Antioch Bridge Rehab                         | 70               | -                | -             | 70                |
| 6812                               | Benicia-Martinez Bridge Rehab                | 6,988            | 3,927            | -             | 3,061             |
| 6813                               | Carquinez Bridge Rehab                       | 42,876           | 35,448           | -             | 7,428             |
| 6814                               | Richmond-San Rafael Bridge Rehab             | 123,690          | 64,181           | -             | 59,509            |
| 6825                               | San Francisco-Oakland Bay Bridge Rehab       | 249,682          | 206,735          | -             | 42,947            |
| 6826                               | San Mateo-Hayward Bridge Rehab               | 134,775          | 108,312          | -             | 26,463            |
| 6827                               | Dumbarton Bridge Rehab                       | 5,132            | 4,793            | -             | 339               |
| 6828                               | All Bridges Rehab                            | 116,916          | 107,993          | -             | 8,923             |
| 6829                               | Caltrans Reserve                             | 2,758            | 4                | -             | 2,754             |
| 8030                               | Completed/Defunded/Transferred Projects      | 117,303          | 116,626          | -             | 677               |
| 8033                               | Minor Toll Plaza Rehab Projects              | 4,580            | 2,679            | -             | 1,901             |
| 8210                               | New Benicia Bridge *                         | 1,715            | 695              | -             | 1,020             |
| 8315                               | Site Mitigation & Landscaping                | 154              | 83               | -             | 71                |
| 8615                               | I-880/SR-92 Landscaping**                    | 6,640            | 5,540            | -             | 1,100             |
| 8629                               | Minor Bridge Rehab Projects                  | 1,699            | 45               | -             | 1,654             |
| <b>TOTAL CALTRANS REHAB BUDGET</b> |  | <b>814,978</b>   | <b>657,061</b>   | <b>-</b>      | <b>157,917</b>    |
| 8012                               | All Electronic Tolling                       | 5,963            | 946              | 555           | 4,462             |
| 8528                               | Bay Lights Maintenance                       | 800              | 672              | 128           | -                 |
| 8530                               | Drainage Studies for the Bridge              | 500              | 400              | -             | 100               |
| 8531                               | Benicia New Toll Plaza ORT                   | 4,153            | 4,153            | -             | -                 |
| 8539                               | SFOBB Eyebare Repair Review                  | 2,914            | 2,660            | -             | 254               |
| 8540                               | Regional Transportation Sea Level Rise Asset | 2,000            | 525              | -             | 1,475             |
| 8594                               | SFOBB West Span Pathway PSR                  | 12,300           | 11,543           | 468           | 289               |
| 8602                               | Hybrid/ETC Lane Modifications                | 874              | 874              | -             | -                 |
| 8631                               | Procure New Callboxes                        | 2,344            | 2,344            | -             | -                 |
| 8900                               | 2003 CSC Procurement                         | 12,358           | 11,046           | 3             | 1,309             |
| 8901                               | ETC Transponder Procurement                  | 99,500           | 88,324           | 9,950         | 1,226             |
| 8902                               | 2012 CSC Procurement                         | 23,450           | 19,927           | 458           | 3,065             |
| 8903                               | ATCAS Lane Host Upgrades                     | 33,745           | 32,193           | 1,550         | 2                 |
| 8904                               | Fastrak Sign & Sign Structure Improvements   | 29,510           | 29,346           | 70            | 94                |
| 8905                               | Misc. Bridge Improvements                    | 25,154           | 10,901           | 6,836         | 7,417             |
| 8907                               | Toll Plaza Capital Improvements              | 28,833           | 22,736           | 2,522         | 3,575             |
| 8908                               | Enterprise Computing HW/SW                   | 4,835            | 3,514            | 8             | 1,313             |
| 8909                               | Gateway Park Planning                        | 18,575           | 17,314           | 517           | 744               |
| 8912                               | ETC Transponder Tag Swap                     | 1,937            | 1,929            | -             | 8                 |
| 8913                               | SFOBB Administration Building                | 25,319           | 25,220           | -             | 99                |
| 8914                               | Violation Enforcement System Upgrade         | 7,842            | 7,841            | -             | -                 |
| 8916                               | Bay Crossing Study                           | 540              | 540              | -             | -                 |
| 8917                               | IT Security Procedures & Policies            | 1,300            | 658              | 31            | 611               |
| 8918                               | Maintenance Complex                          | 531              | 495              | 32            | 4                 |
| 8920                               | Plaza and Canopy Improvements                | 9,263            | 8,545            | 4             | 714               |
| 8921                               | SFOBB Lane 17 & 18 Lane Reconfiguration      | 1,775            | 1,664            | 43            | 68                |
| 8922                               | Metering Lights Replacement                  | 16,180           | 9,685            | 4,405         | 2,090             |
| 8923                               | Bridge Records Recordation and Storage       | 500              | 55               | -             | 445               |
| 8924                               | Antioch Bridge Approach                      | 50,000           | 49,070           | 840           | 90                |
| 8926                               | Bridge Modeling & Investigations             | 5,801            | 893              | 58            | 4,850             |
| 8927                               | CCTV Installation                            | -                | -                | -             | -                 |
| 8928                               | BATA Program Contingency                     | 9,340            | 300              | -             | 9,040             |
| 8930                               | Richmond-San Rafael Bridge Rehab             | 85,728           | 76,088           | 3,174         | 6,466             |
| 8933                               | Plan Bay Area TMS                            | 9,000            | 7,584            | 1,055         | 361               |
| 8936                               | Backhaul Connection Infrastructure           | 1,000            | 774              | 94            | 132               |
| 8937                               | Future CSC Procurement                       | 34,000           | 1,939            | 1,154         | 30,907            |
| 8938                               | Misc. East Span Project Improvements         | 12,084           | -                | -             | 12,084            |
| 8939                               | Asset Management                             | 4,700            | 2,005            | 95            | 2,600             |
| 8940                               | HOV Lane Enforcement                         | 6,600            | 1,144            | 256           | 5,200             |
| 8941                               | CHP - COZEEP/MAZEPP                          | 300              | -                | -             | 300               |
| 8942                               | Bridge Yard Capital Improvements             | 500              | -                | -             | 500               |
| 8943                               | Bike/Ped Access to East Span of SFOBB        | 1,200            | 63               | 137           | 1,000             |
| 8944                               | Dumbarton Approach and Transit Strategies    | 17,000           | 621              | 1,379         | 15,000            |
| 8945                               | Next Gen Clipper (C2) System                 | 9,600            | -                | 9,600         | -                 |
| 8946                               | I-680/I-80/ISR-12 Interchange                | 7,200            | 6,864            | 336           | -                 |
| 8947                               | SR-37 Evaluation                             | 8,000            | 2,299            | 4,376         | 1,325             |
| 8948                               | RSR Bridge Forward -                         | 2,000            | -                | 1,000         | 1,000             |
| 8000-05                            | Capital Program Audit                        | 8,300            | 7,213            | 340           | 747               |
| 8000-16                            | SRA/RM1 Program Monitoring                   | 46,445           | 45,241           | 327           | 877               |
| <b>Total BATA REHAB BUDGET</b>     |  | <b>691,793</b>   | <b>518,148</b>   | <b>51,801</b> | <b>121,843</b>    |
| <b>TOTAL REHAB BUDGET</b>          |  | <b>1,506,771</b> | <b>1,175,209</b> | <b>51,801</b> | <b>279,760</b>    |

Shaded projects are completed

\* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

\*\* Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.



**Seismic Capital Project Budget**  
**As of June 2020 (\$000) - Life to Date**

**Attachment A**

|      | Program   | Base Budget         | Current Budget***   | Total Expenses*     | Encumbrance     | Remaining Balance |
|------|---|---------------------|---------------------|---------------------|-----------------|-------------------|
| 8103 | San Francisco-Oakland Bay Bridge East Span Repl           | \$ 5,486,600        | \$ 6,519,801        | \$ 6,512,450        | \$ 7,351        | \$ -              |
| 8109 | San Francisco-Oakland Bay Bridge West Span Retrofit       | 307,900             | 305,316             | 305,316             | -               | -                 |
| 8106 | San Francisco-Oakland Bay Bridge West Approach Repl       | 429,000             | 452,550             | 450,387             | 2,163           | -                 |
| 8100 | Antioch Bridge Retrofit                                   | -                   | 71,100              | 71,093              | 7               | -                 |
| 8122 | Dumbarton Bridge Retrofit                                 | -                   | 112,400             | 112,354             | 46              | -                 |
| 8112 | Richmond-San Rafael Bridge Retrofit                       | 808,100             | 794,950             | 794,870             | 80              | -                 |
| 8115 | Benicia-Martinez Bridge Retrofit                          | 177,800             | 177,830             | 177,817             | 13              | -                 |
| 8118 | Carquinez Bridge Retrofit                                 | 114,200             | 114,206             | 114,206             | -               | -                 |
| 8121 | San Mateo-Hayward Bridge Retrofit                         | 163,500             | 163,412             | 163,412             | -               | -                 |
|      | <b>Subtotal for Bay Area Bridges</b>                      | <b>7,487,100</b>    | <b>8,711,565</b>    | <b>8,701,905</b>    | <b>9,660</b>    | <b>-</b>          |
| 8128 | Misc Program Costs  | 30,000              | 26,030              | 26,024              | 6               | -                 |
| 8729 | Program Contingency**                                     | 989,000             | -                   | -                   | -               | -                 |
| 8124 | Vincent Thomas Bridge Retrofit ( <i>non-BATA, for</i>     | 58,500              | 58,420              | 58,411              | 9               | -                 |
| 8127 | San Diego-Coronado Bridge Retrofit ( <i>non BATA, for</i> | 103,500             | 103,240             | 103,235             | 5               | -                 |
|      | <b>Subtotal for Other Bridges</b>                         | <b>162,000</b>      | <b>161,660</b>      | <b>161,646</b>      | <b>14</b>       | <b>-</b>          |
|      | <b>Total for Toll Bridge Seismic Retrofit Program</b>     | <b>\$ 8,668,100</b> | <b>\$ 8,899,255</b> | <b>\$ 8,889,575</b> | <b>\$ 9,680</b> | <b>\$ -</b>       |

\*Includes pre AB144 LTD expenses from Caltrans to April 2006  
 BATA expenses from May 2006 to current

3,709,068  
 5,180,507  
 8,889,575

**\*\* Contingency Allocation**

|                                  |           |
|----------------------------------|-----------|
| Contingency per Budget           | 989,000   |
| FY08 (Allocation) and Rescission | (203,920) |
| FY09 (Allocation) and Rescission | (44,790)  |
| FY10 (Allocation) and Rescission | 139,400   |
| FY11 (Allocation) and Rescission | (577,670) |
| FY12 (Allocation) and Rescission | (15,520)  |
| FY13 (Allocation) and Rescission | 32,637    |
| FY14 (Allocation) and Rescission | (130,000) |
| FY15 (Allocation) and Rescission | (103,800) |
| FY16 (Allocation) and Rescission | (12,731)  |
| FY17 (Allocation) and Rescission | (33,200)  |
| FY18 (Allocation) and Rescission | (24,671)  |
| FY19 (Allocation) and Rescission | (14,735)  |
| Remaining Balance                | -         |

Shaded projects are completed

\*\*\*Financial reflects budget update approved on 6/27/18

## AB 1171 Project Budget

As of February 2020 (\$000) - Life to Date (Unaudited)

| Project Title                               | Total Budget | Allocation | Actual    | Encumbrance | Balance Remaining |
|---|--------------|------------|-----------|-------------|-------------------|
| Doyle Drive Replacement                     | 80,000       | 80,000     | 80,000    | -           | -                 |
| East Contra Costa BART Extension            | 111,500      | 111,500    | 109,895   | 1,605       | -                 |
| Transbay Terminal/Downtown Extension: Phase | 150,000      | 150,000    | 149,785   | 215         | -                 |
| Tri-Valley Transit Access Improve. To BART  | 95,000       | 24,852     | 15,666    | 9,186       | 70,148            |
| Regional Express Lane Network               | 2,800        | 2,800      | 2,800     | -           | -                 |
| Fairfield/Vacaville Train Station           | 9,000        | 9,000      | 9,000     | -           | -                 |
| I80/680 Interchange                         | 100,000      | 100,000    | 98,770    | 1,230       | -                 |
| Other Corridor Improvement                  | 10,200       | 10,150     | 10,150    | -           | 50                |
| VTA Mission/Warren/Truck Rail Facility      | 6,500        | 6,500      | 5,811     | 689         | -                 |
| BART to Warm Spring Extension               | 5,000        | 5,000      | 5,000     | -           | -                 |
| Total                                       | \$570,000    | \$499,802  | \$486,877 | \$12,925    | \$70,198          |

Note: AB 1171 is a discretionary funding source passed by the Legislature and signed by the Governor in October 2001. AB 1171 (Dutra) extends the \$1 seismic surcharge on the seven state-owned Bay Area toll bridges for up to 30 years to finance retrofit work. Project list is included in MTC Resolution #3434.

|                          |                 |
|--------------------------|-----------------|
| AB 1171 Program Budget:  | \$570,000       |
| Approved Projects:       | \$499,802       |
| AB 1171 Program Balance: | <u>\$70,198</u> |

Shaded projects are completed

## Other Capital Projects

As of June 2020 (\$000) - Life to Date

|                            |  |                   |                   |                   | Balance          |
|----------------------------|--|-------------------|-------------------|-------------------|------------------|
| Project Title              |  | Total Budget      | Actual            | Encumbrance       | Remaining        |
| <b>849</b>                 | <b>Express Lanes Capital</b>                       |                   |                   |                   |                  |
| 6840                       | Program Costs: Planning, Coordination & Management | 20,255            | 20,255            | -                 | -                |
| 6841                       | Centralized Toll System                            | 20,980            | 20,980            | -                 | -                |
| 6842                       | CC-680 Southern Segment Conversion                 | 52,420            | 52,420            | -                 | -                |
| 6843                       | Capitalized Start-up O&M                           | 4,853             | 4,853             | -                 | -                |
| 6844                       | ALA-880 Conversion                                 | 104,122           | 104,122           | -                 | -                |
| 6845                       | CC-680 Northern Segment - Southbound Conversion    | 16,955            | 16,955            | -                 | -                |
| 6846                       | SOL-80 West Conversion                             | 637               | 637               | -                 | -                |
| 6849                       | SOL-80 East Express Lane Conversion                | 10,997            | 10,997            | -                 | -                |
| 6851                       | 84/Dumbarton Bridge                                | 323               | 323               | -                 | -                |
| 6852                       | 92/San Mateo Bridge                                | 369               | 369               | -                 | -                |
| Express Lanes Total        |  | \$ 231,911        | \$ 231,911        | \$ -              | \$ - *           |
| <b>847</b>                 | <b>BATA Project Savings</b>                        |                   |                   |                   |                  |
| 6953                       | CCC - AC Transit                                   | 83,000            | 23,745            | 22,987            | 36,268           |
| 6954                       | CCC - Muni   | 151,730           | 57,215            | 94,509            | 6                |
| 6955                       | CCC - BART   | 15,000            | -                 | -                 | 15,000           |
| 6956                       | BART Rail Car Replacement                          | 270               | -                 | -                 | 270              |
| BATA Project Savings Total |  | \$ 250,000        | \$ 80,960         | \$ 117,496        | \$ 51,544        |
| <b>Grand Total</b>         |  | <b>\$ 481,911</b> | <b>\$ 312,871</b> | <b>\$ 117,496</b> | <b>\$ 51,544</b> |

\* The BATA Express Lanes (EL) Capital Fund is closed out on 6/30/2019, the remaining balance of the \$345 million budget funded by BATA/SAFE are rebudgeted in BAIFA EL Capital Fund in FY 2019-20. The LTD budget in BATA EL Capital Fund budget will be amended to tie off with the LTD actual recorded in such fund as of 6/30/19 after the FY 2018-19 Yearend audit is completed.

