

Metropolitan Transportation Commission Programming and Allocations Committee

July 8, 2020

Agenda Item 3a - 20-0903

MTC Resolution Nos. 4402, Revised, 4430, Revised, 4431, Revised and 4434

- Subject:** Revises the FY 2020-21 Fund Estimate and allocates \$30.3 million in FY 2020-21 Transportation Development Act (TDA) funds and State Transit Assistance (STA) funds, to several transit operators to support transit operations and capital projects in the region, and approves the FY 2020-21 State of Good Repair Program project list.
- Background:**
- 1) Fund Estimate Revision**
- Reconcile Actual FY 2019-20 TDA and AB 1107 Revenue:** Overall, actual Bay Area TDA and AB 1107 sales tax receipts for FY 2019-20 are -6.4% lower and -2.2% lower, respectively, than originally estimated by county auditors and MTC in February 2019. This results in roughly \$28 million less in TDA funding for Bay Area transit operators for FY 2019-20 than was originally anticipated. For AB 1107, actual revenue is \$2.0 million below what was originally expected to be made available to AC Transit and SFMTA based on the fifty-fifty split in AB 1107 revenues between the two operators. Attachment A provides details on actual TDA revenues by county. Staff will also provide an attachment by the date of the Programming and Allocations Committee meeting to provide information on required rescissions to FY 2019-20 allocations resulting from the lower revenue.
- The full extent of the impacts of the COVID-19 pandemic on sales tax revenue remains uncertain but is likely to be significant over the coming year. As a result, MTC has advised transit operators to reduce their FY 2020-21 TDA sales tax claims by -25% and by -40% for State Transit Assistance, based on state budget estimates. Staff will provide a more detailed update on the transit revenue outlook at the next Fund Estimate revision this fall.
- 2) State of Good Repair Program – FY 2020-21 Regional Project List**
- Caltrans' State of Good Repair (SGR) Program guidelines require regional agencies like MTC to approve SGR Program Revenue-Based projects from transit operators, in addition to the population-based funds, and submit a single region-wide list of projects to Caltrans by September 1st of each year. MTC has worked with the Bay Area's transit operators to compile a single, regional list of SGR Program projects for FY 2020-21, as shown in Attachment A to MTC Resolution 4434.
- Approximately \$30 million is expected in revenue-based funds, along with \$11 million in population-based funds. Most operators are using their revenue-based funds for state of good repair projects at facilities and stations, or to provide local match, and in a few cases for rehabilitation of vehicles or to contribute to new vehicles costs. For the population-based funds, MTC is programming all \$11 million to the next generation Clipper® system, in accordance with the policy established in MTC Resolution No. 4321.

3) Allocations

This month's proposed actions continue the annual allocation process of these funds for FY 2020-21. The five operators requesting TDA and STA allocations this month that exceed the \$1 million delegated authority limit are identified in the table below. Allocation requests that are less than \$1 million are approved separately through the Executive Director's Delegated Authority process. These funds comprise a significant share of the revenue for agencies' operating budgets.

The ongoing COVID-19 pandemic and the associated Shelter in Place (SIP) order are expected to have a continuing negative impact on revenue levels for transit operating funds in FY 2020-21. Allocations have been adjusted, as necessary, to stay within the estimated revenue. Small operators generally do not claim all available TDA which serves as an operating and capital reserve for these agencies.


Transit Operator/ Claimant	TDA Resolution No. 4430 In Millions \$	STA Resolution No. 4431 In Millions \$	Total In Millions \$
LAVTA	\$12.2	\$0	\$12.2
NVTA	\$4.3	\$0	\$4.3
SolTrans	\$3.8	\$1.4	\$5.2
Sonoma County Transit	\$7.3	\$0	\$7.3
Vacaville	\$1.3	\$0	\$1.3
Total	\$28.9	\$1.4	\$30.3

Information regarding the status of operations and budgets for the above claimants is provided in Attachment B. In general, operators have begun to adjust their revenue and budget assumptions for this fiscal year. However, revenue assumptions, ridership forecasts, and service levels will continue to be evaluated. CARES Act funding programmed for transit operations and regional programs will help to mitigate some of these impacts, but significant unknowns exist.

Issues: None

Recommendation: Refer MTC Resolution Nos. 4402, Revised, 4430, Revised, and 4431, Revised, and 4434 to the Commission for approval.

Attachments: Attachment A: TDA and AB 1107 Revenues
Attachment B: Transit Operator Budget Summary
Attachment C: FY 2019-20 Rescissions – To be provided by PAC meeting
MTC Resolution Nos. 4402, Revised, 4430, Revised, and 4431, Revised and 4434


Therese W. McMillan

Attachment A: TDA and AB 1107 Revenues (\$ millions)

	A	B	C	D			
County	FY 2018-19	FY 2019-20	FY 2019-20	FY 2019-20	FY 2019-20 Revenue Adjustment	FY 2019-20 Revenue Adjustment	FY 2019-20 Actual vs FY 2018-19 Actual
	Actual Revenue	Feb. 2019 Original Estimate	Feb. 2020 Revised Estimate	Actual Revenue	(D - B) - \$	(D - B) - %	(D - A) - %
Alameda	\$90.42	\$93.65	\$91.18	\$83.90	(\$9.75)	-10.4%	-7.2%
Contra Costa	\$45.41	\$49.79	\$44.80	\$44.15	(\$5.65)	-11.3%	-2.8%
Marin	\$14.04	\$14.70	\$13.75	\$13.18	(\$1.51)	-10.3%	-6.1%
Napa	\$9.51	\$8.94	\$9.85	\$8.80	(\$0.14)	-1.6%	-7.4%
San Francisco	\$52.84	\$49.26	\$52.96	\$48.74	(\$0.52)	-1.1%	-7.8%
San Mateo	\$45.67	\$47.78	\$46.47	\$43.88	(\$3.90)	-8.2%	-3.9%
Santa Clara	\$118.21	\$117.64	\$119.75	\$115.08	(\$2.55)	-2.2%	-2.6%
Solano	\$20.45	\$21.24	\$22.25	\$19.97	(\$1.27)	-6.0%	-2.3%
Sonoma	\$25.77	\$26.80	\$25.50	\$24.47	(\$2.33)	-8.7%	-5.0%
Total TDA	\$422.31	\$429.79	\$426.51	\$402.17	(\$27.62)	-6.4%	-4.8%
Total AB 1107	\$93.55	\$91.00	\$91.00	\$88.96	(\$2.04)	-2.2%	-4.9%

Attachment B – Overview of Funding Recipient

\$ Amounts are in millions

Agency	Total Proposed TDA and STA Allocation ¹	Status of Operations/Service	FY19-20 Expected Operating Deficit (w/out CARES)	Average Fixed Route Ridership % Decrease	Budget Information
LAVTA	\$12.2	The 10R and 30R operate at 30-minute frequency on weekdays. On weekends, the 10x maintains this service level while the 30x operates hourly service. Local fixed route service is operating on a Saturday schedule. Standby buses are being provided. Two local routes, commuter, and supplemental school service have been suspended. The on-demand ride option with Transportation Network Companies is now available throughout the LAVTA service area for essential trips. Service will be evaluated quarterly and adjusted based on ridership and revenue.	0	-84%	LAVTA's adopted FY 2020-21 budget of \$20.8 M is based on the provision of pre-COVID-19 service levels. Based on revised assumptions for the most likely service levels, costs are anticipated to be under budget around \$3M. Revenue assumptions include additional CARES funding of \$1.5 M and the use of \$1 M in TDA reserves. LAVTA anticipates being able to maintain 3-6 months of operating reserve at the end of FY 2020-21.
NVTA	\$4.3	Local fixed route service in the City of Napa was changed to on demand to reduce operating costs while still meeting rider demand. Current wait times are under 8 minutes. When wait times reach 30-60 minutes, local fixed route service will likely be restored on core corridors with on-demand continuing in the rest of the city through the rest of the fiscal year. Scheduled regional and commuter service hours will remain unchanged. On demand service within the cities of American Canyon, Calistoga, St. Helena, and the Town of Yountville continue with reduced hours.	\$1.4	-63%	The FY 2020-21 budget is 17% less compared to the FY 2019-20 budget. Despite this reduction, revenue is still \$1.7 M less than needed. Proposals to address the shortfall are being developed.
SolTrans	\$5.2	There will be a partial restoration to Solano Express service on July 5. The Red Line will	\$2.4	-70%	The FY 2020-21 budget of \$13.5 M includes funding to operate 55% of pre-

¹ The allocation request includes funds that will be allocated through Executive Director's Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly. In addition, allocations of STA County Block Grant funds will be late Fall 2020.

Attachment B – Overview of Funding Recipient

Agency	Total Proposed TDA and STA Allocation ¹	Status of Operations/Service	FY19-20 Expected Operating Deficit (w/out CARES)	Average Fixed Route Ridership % Decrease	Budget Information
		have peak frequency of 30 minutes to meet current demand. Local service frequency reflects modified Saturday service with weekday hours extended in the evening. Fare Collection resumed June 15 th on all service with fare discounts available through the Token Transit App.			COVID-19 service levels. The budget is 11% lower than the FY 2019-20 budget.
Sonoma County Transit	\$7.3	Service is about 56% of pre-COVID-19 levels and include all local routes and core intercity routes. Some service will be restored in July, August, and February 2021 to achieve 66% service levels. In August 2021, the final phase of service restoration is expected and will be at 91% of pre-COVID levels. 20% of school year weekday riders are college students but in-person instruction will not return until January 2020 at the earliest.	\$2.7	-74%	The proposed budget for FY 2020-21 is about 10% less than the FY 2019-20 budget. Expansion beyond the August 2021 service level is dependent on further recovery of sales tax-based subsidies and ridership demand.
Vacaville	\$1.3	Four phases of service recovery are planned. Currently, four routes are operating with reduced headways and two routes are suspended. Supplemental school service will be added if schools resume in-person class. Phase 2 will implement a new service network that is in the planning process. Phase 3 will consider offering Sunday service. Phase 4, planned towards the end of the fiscal year, is an evaluation of service and planning for adjustments in the following fiscal year.	\$.3	-82%	The FY 2020-21 adopted budget is about the same as the current year's budget. Adopting a full budget will allow the city maximum flexibility to provide and adjust service.

Attachment C

Transportation Development Act (TDA) Local Transportation Fund (LTF)

1/4 ¢ Sales Tax

FY 2019-20 Rescissions

Transit Operator	FY 2019-20 TDA LTF Rescission
AC Transit	(8,056,620)
CCCTA	(91,496)
ECCTA	(54,541)
Fairfield	(72,885)
GGBHTD	(1,340,681)
LAVTA	(24,590)
Marin Transit	(558,907)
Pleasanton	(7,550)
SamTrans	(196,075)
SFMTA	(464,219)
Union City	(1,622)
VTA	(1,862,963)
WCCTA	(50,487)
TOTAL	(12,782,636)

Date: February 26, 2020
W.I.: 1511
Referred by: PAC
Revised: 07/22/20-C

ABSTRACT

MTC Resolution No. 4402, Revised

This resolution approves the FY 2020-21 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill (AB) 1107 sales tax, Low Carbon Transit Operations (LCTOP) cap-and-trade auction revenues, and transit-related bridge toll funds.

This resolution was revised on July 22, 2020 to reflect actual receipts for TDA and AB 1107 funds in FY 2019-20, and the rescission actions that were necessary to match FY 2019-20 allocations to the actual revenue collected.

Further discussion of this action is contained in the MTC Programming and Allocations Summary Sheets dated February 12, 2020 and July 8, 2020.

Date: February 26, 2020
W.I.: 1511
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2020-21

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4402

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2019-20 and FY 2020-21 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2020-21 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating/capital assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, State of Good Repair (SGR) Program pursuant to Public Utilities Code § 99312.1, Low Carbon Transit Operations Program (LCTOP) pursuant to Health and Safety Code § 39719(b)(1)(B), the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and

WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2020-21 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2020-21 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on February 26, 2020.

**FY 2020-21 FUND ESTIMATE
REGIONAL SUMMARY**

Attachment A
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TDA REGIONAL SUMMARY TABLE

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	<i>H=Sum(A:G)</i>
	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	FY2020-21	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance ¹	Outstanding Commitments, Refunds, & Interest ²	Original Estimate	Revenue Adjustment	Revised Admin. & Planning Charge	Revenue Estimate	Admin. & Planning Charge	Available for Allocation
Alameda	25,295,584	(90,078,645)	93,648,000	(9,751,153)	(3,355,874)	93,151,568	(3,726,063)	105,183,418
Contra Costa	23,056,557	(48,603,102)	49,794,669	(5,647,488)	(1,765,887)	46,139,252	(1,845,570)	61,128,433
Marin	1,232,960	(13,863,808)	14,695,062	(1,512,159)	(527,316)	14,000,000	(560,000)	13,464,740
Napa	5,324,402	(12,074,614)	8,941,741	(138,597)	(352,126)	9,885,444	(395,417)	11,190,832
San Francisco	5,043,607	(51,725,363)	49,262,500	(524,044)	(1,949,538)	53,477,500	(2,139,101)	51,445,559
San Mateo	9,943,567	(52,170,900)	47,777,676	(3,901,655)	(1,755,041)	48,558,690	(1,942,347)	46,509,991
Santa Clara	7,728,201	(116,833,358)	117,635,000	(2,551,934)	(4,603,323)	121,909,000	(4,876,360)	118,407,228
Solano	25,556,728	(22,038,637)	21,239,810	(1,265,378)	(798,977)	22,251,809	(890,072)	44,055,284
Sonoma	11,606,642	(27,403,980)	26,800,000	(2,327,956)	(978,882)	26,300,000	(1,052,000)	32,943,826
TOTAL	\$114,788,249	(\$434,792,406)	\$429,794,458	(\$27,620,363)	(\$16,086,964)	\$435,673,263	(\$17,426,930)	\$484,329,311

STA, AB 1107, BRIDGE TOLL, LOW CARBON TRANSIT OPERATIONS PROGRAM, & SGR PROGRAM REGIONAL SUMMARY TABLE

<i>Column</i>	<i>A</i>		<i>B</i>	<i>C</i>	<i>D</i>	<i>E=Sum(A:D)</i>
	6/30/2019		FY2018-20	FY2019-20	FY2020-21	FY2020-21
Fund Source	Balance (w/ interest) ¹		Outstanding Commitments ²	Revenue Estimate	Revenue Estimate	Available for Allocation
State Transit Assistance						
Revenue-Based	17,319,547		(180,293,917)	186,228,565	185,087,967	208,342,160
Population-Based	65,955,514		(70,872,350)	67,889,961	67,474,153	130,447,279
SUBTOTAL	83,275,061		(251,166,267)	254,118,526	252,562,120	338,789,439
AB1107 - BART District Tax (25% Share)	0		(88,961,758)	88,961,758	93,500,000	93,500,000
Bridge Toll Total						
MTC 2% Toll Revenue	6,283,125		(4,810,199)	1,450,000	1,450,000	4,372,925
5% State General Fund Revenue	13,168,890		(8,520,416)	3,614,688	3,656,386	11,919,546
SUBTOTAL	19,452,015		(13,330,615)	5,064,688	5,106,386	16,292,471
Low Carbon Transit Operations Program	0		0	41,628,333	45,605,097	87,233,430
State of Good Repair Program						
Revenue-Based	60,329		(217,121)	28,775,741	29,610,203	58,229,150
Population-Based	6,112,080		(6,062,628)	10,490,248	10,794,453	21,334,153
SUBTOTAL	6,172,408		(6,279,749)	39,265,989	40,404,656	79,563,303
TOTAL	\$108,899,484		(\$359,738,389)	\$429,039,294	\$437,178,259	\$615,378,643

Please see Attachment A pages 2-20 for detailed information on each fund source.

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20 for TDA and AB 1107 and as of 1/31/20 for STA and the SGR Program.

3. Actual FY 2019-20 revenue is shown for AB 1107, estimates are shown for all other sources.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
ALAMEDA COUNTY**

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FY2019-20 TDA Revenue Estimate				FY2020-21 TDA Revenue Estimate			
FY2019-20 Generation Estimate Adjustment				FY2020-21 County Auditor's Generation Estimate			
1. Original County Auditor Estimate (Feb, 19)	93,648,000			13. County Auditor Estimate		93,151,568	
2. Actual Revenue (Jun, 20)	83,896,847			FY2020-21 Planning and Administration Charges			
3. Revenue Adjustment (Lines 2-1)		(9,751,153)		14. MTC Administration (0.5% of Line 13)	465,758		
FY2019-20 Planning and Administration Charges Adjustment				15. County Administration (0.5% of Line 13)	465,758		
4. MTC Administration (0.5% of Line 3)	(48,756)			16. MTC Planning (3.0% of Line 13)	2,794,547		
5. County Administration (Up to 0.5% of Line 3)	(48,756)			17. Total Charges (Lines 14+15+16)		3,726,063	
6. MTC Planning (3.0% of Line 3)	(292,535)			18. TDA Generations Less Charges (Lines 13-17)		89,425,505	
7. Total Charges (Lines 4+5+6)		(390,047)		FY2020-21 TDA Apportionment By Article			
8. Adjusted Generations Less Charges (Lines 3-7)		(9,361,106)		19. Article 3.0 (2.0% of Line 18)	1,788,510		
FY2019-20 TDA Adjustment By Article				20. Funds Remaining (Lines 18-19)		87,636,995	
9. Article 3 Adjustment (2.0% of line 8)	(187,222)			21. Article 4.5 (5.0% of Line 20)	4,381,850		
10. Funds Remaining (Lines 8-9)		(9,173,884)		22. TDA Article 4 (Lines 20-21)		83,255,145	
11. Article 4.5 Adjustment (5.0% of Line 10)	(458,694)						
12. Article 4 Adjustment (Lines 10-11)		(8,715,190)					

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	4,112,028	100,387	4,212,415	(4,460,670)	0	1,798,042	(187,222)	1,362,565	1,788,510	3,151,075
Article 4.5	287,734	8,503	296,236	(4,253,891)	0	4,405,202	(458,694)	(11,147)	4,381,850	4,370,703
SUBTOTAL	4,399,762	108,889	4,508,651	(8,714,561)	0	6,203,244	(645,916)	1,351,418	6,170,360	7,521,778
Article 4										
AC Transit										
District 1	3,062,647	13,214	3,075,861	(51,144,293)	0	53,652,104	(5,586,556)	(2,885)	53,403,679	53,400,794
District 2	806,369	3,477	809,846	(13,715,701)	0	14,405,019	(1,499,931)	(767)	14,168,270	14,167,503
BART ³	6,664	28	6,692	(96,007)	0	99,686	(10,380)	(9)	99,042	99,033
LAVTA	9,729,738	166,545	9,896,283	(13,069,238)	0	11,862,197	(1,235,158)	7,454,084	11,847,775	19,301,859
Union City	7,290,405	137,706	7,428,111	(3,768,705)	0	3,679,830	(383,164)	6,956,072	3,736,380	10,692,452
SUBTOTAL	20,895,823	320,971	21,216,793	(81,793,944)	0	83,698,836	(8,715,190)	14,406,495	83,255,145	97,661,640
GRAND TOTAL	\$25,295,584	\$429,860	\$25,725,444	(\$90,508,505)	\$0	\$89,902,080	(\$9,361,106)	\$15,757,913	\$89,425,505	\$105,183,418

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
CONTRA COSTA COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	49,794,669		13. County Auditor Estimate	46,139,252	
2. Actual Revenue (Jun, 20)	44,147,181		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)	(5,647,488)		14. MTC Administration (0.5% of Line 13)	230,696	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	230,696	
4. MTC Administration (0.5% of Line 3)	(28,237)		16. MTC Planning (3.0% of Line 13)	1,384,178	
5. County Administration (Up to 0.5% of Line 3)	(28,237)		17. Total Charges (Lines 14+15+16)	1,845,570	
6. MTC Planning (3.0% of Line 3)	(169,425)		18. TDA Generations Less Charges (Lines 13-17)	44,293,682	
7. Total Charges (Lines 4+5+6)	(225,899)		FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)	(5,421,589)		19. Article 3.0 (2.0% of Line 18)	885,874	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)	43,407,808	
9. Article 3 Adjustment (2.0% of line 8)	(108,432)		21. Article 4.5 (5.0% of Line 20)	2,170,390	
10. Funds Remaining (Lines 8-9)	(5,313,157)		22. TDA Article 4 (Lines 20-21)	41,237,418	
11. Article 4.5 Adjustment (5.0% of Line 10)	(265,658)				
12. Article 4 Adjustment (Lines 10-11)	(5,047,499)				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,400,231	37,912	1,438,144	(2,300,780)	0	956,058	(108,432)	(15,010)	885,874	870,864
Article 4.5	104,379	3,785	108,165	(2,187,353)	0	2,342,341	(265,658)	(2,505)	2,170,390	2,167,885
SUBTOTAL	1,504,611	41,698	1,546,308	(4,488,133)	0	3,298,399	(374,090)	(17,515)	3,056,264	3,038,749
Article 4										
AC Transit										
District 1	302,874	5,047	307,921	(7,120,267)	0	7,683,913	(871,475)	92	7,093,016	7,093,108
BART ³	14,464	108	14,572	(288,878)	0	309,402	(35,091)	5	286,548	286,553
CCCTA	14,848,246	234,038	15,082,284	(23,595,938)	1,922,550	20,909,368	(2,371,447)	11,946,818	19,415,580	31,362,398
ECCTA	4,130,995	53,170	4,184,165	(12,731,835)	0	12,929,972	(1,466,459)	2,915,842	11,970,179	14,886,021
WCCTA	2,255,368	40,704	2,296,072	(4,542,024)	1,866,659	2,671,829	(303,027)	1,989,509	2,472,094	4,461,603
SUBTOTAL	21,551,947	333,067	21,885,013	(48,278,942)	3,789,209	44,504,484	(5,047,499)	16,852,266	41,237,418	58,089,684
GRAND TOTAL	\$23,056,557	\$374,764	\$23,431,322	(\$52,767,075)	\$3,789,209	\$47,802,883	(\$5,421,589)	\$16,834,751	\$44,293,682	\$61,128,433

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
MARIN COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	14,695,062		13. County Auditor Estimate	14,000,000	
2. Actual Revenue (Jun, 20)	13,182,903		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)	(1,512,159)		14. MTC Administration (0.5% of Line 13)	70,000	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	70,000	
4. MTC Administration (0.5% of Line 3)	(7,561)		16. MTC Planning (3.0% of Line 13)	420,000	
5. County Administration (Up to 0.5% of Line 3)	(7,561)		17. Total Charges (Lines 14+15+16)	560,000	
6. MTC Planning (3.0% of Line 3)	(45,365)		18. TDA Generations Less Charges (Lines 13-17)	13,440,000	
7. Total Charges (Lines 4+5+6)	(60,487)		FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)	(1,451,672)		19. Article 3.0 (2.0% of Line 18)	268,800	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)	13,171,200	
9. Article 3 Adjustment (2.0% of line 8)	(29,033)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)	(1,422,639)		22. TDA Article 4 (Lines 20-21)	13,171,200	
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)	(1,422,639)				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	677,079	39,156	716,235	(931,511)	0	282,145	(29,033)	37,836	268,800	306,636
Article 4.5										
SUBTOTAL	677,079	39,156	716,235	(931,511)	0	282,145	(29,033)	37,836	268,800	306,636
Article 4/8										
GGBHTD	310,145	8,060	318,204	(7,760,067)	0	8,286,774	(852,730)	(7,819)	7,731,494	7,723,675
Marin Transit	245,736	5,726	251,462	(5,225,171)	0	5,538,341	(569,909)	(5,277)	5,439,706	5,434,429
SUBTOTAL	555,881	13,785	569,666	(12,985,238)	0	13,825,115	(1,422,639)	(13,096)	13,171,200	13,158,104
GRAND TOTAL	\$1,232,960	\$52,942	\$1,285,901	(\$13,916,749)	\$0	\$14,107,260	(\$1,451,672)	\$24,740	\$13,440,000	\$13,464,740

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
NAPA COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	8,941,741		13. County Auditor Estimate		9,885,444
2. Actual Revenue (Jun, 20)	8,803,144		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)		-138,597	14. MTC Administration (0.5% of Line 13)	49,427	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	49,427	
4. MTC Administration (0.5% of Line 3)	(693)		16. MTC Planning (3.0% of Line 13)	296,563	
5. County Administration (Up to 0.5% of Line 3)	(693)		17. Total Charges (Lines 14+15+16)		395,417
6. MTC Planning (3.0% of Line 3)	(4,158)		18. TDA Generations Less Charges (Lines 13-17)		9,490,027
7. Total Charges (Lines 4+5+6)		(5,544)	FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)		(133,053)	19. Article 3.0 (2.0% of Line 18)	189,801	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		9,300,226
9. Article 3 Adjustment (2.0% of line 8)	(2,661)		21. Article 4.5 (5.0% of Line 20)	465,011	
10. Funds Remaining (Lines 8-9)		(130,392)	22. TDA Article 4 (Lines 20-21)		8,835,215
11. Article 4.5 Adjustment (5.0% of Line 10)	(6,520)				
12. Article 4 Adjustment (Lines 10-11)		(123,872)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	379,236	9,553	388,788	(496,479)	0	171,681	(2,661)	61,329	189,801	251,130
Article 4.5	0	0	0	(380,318)	0	420,620	(6,520)	33,782	465,011	498,793
SUBTOTAL	379,236	9,553	388,788	(876,797)	0	592,301	(9,181)	95,111	654,812	749,923
Article 4/8										
NVTA ³	4,945,166	90,501	5,035,667	(12,404,997)	1,107,126	7,991,770	(123,872)	1,605,694	8,835,215	10,440,909
SUBTOTAL	4,945,166	90,501	5,035,667	(12,404,997)	1,107,126	7,991,770	(123,872)	1,605,694	8,835,215	10,440,909
GRAND TOTAL	\$5,324,402	\$100,054	\$5,424,456	(\$13,281,794)	\$1,107,126	\$8,584,071	(\$133,053)	\$1,700,805	\$9,490,027	\$11,190,832

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SAN FRANCISCO COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	49,262,500		13. County Auditor Estimate	53,477,500	
2. Actual Revenue (Jun, 20)	48,738,456		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)	(524,044)		14. MTC Administration (0.5% of Line 13)	267,388	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	267,388	
4. MTC Administration (0.5% of Line 3)	(2,620)		16. MTC Planning (3.0% of Line 13)	1,604,325	
5. County Administration (Up to 0.5% of Line 3)	(2,620)		17. Total Charges (Lines 14+15+16)	2,139,101	
6. MTC Planning (3.0% of Line 3)	(15,721)		18. TDA Generations Less Charges (Lines 13-17)	51,338,399	
7. Total Charges (Lines 4+5+6)	(20,961)		FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)	(503,083)		19. Article 3.0 (2.0% of Line 18)	1,026,768	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)	50,311,631	
9. Article 3 Adjustment (2.0% of line 8)	(10,062)		21. Article 4.5 (5.0% of Line 20)	2,515,582	
10. Funds Remaining (Lines 8-9)	(493,021)		22. TDA Article 4 (Lines 20-21)	47,796,049	
11. Article 4.5 Adjustment (5.0% of Line 10)	(24,651)				
12. Article 4 Adjustment (Lines 10-11)	(468,370)				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,491,449	42,283	1,533,733	(2,361,286)	0	945,840	(10,062)	108,225	1,026,768	1,134,993
Article 4.5	177,607	1,386	178,993	20,983	(2,494,916)	2,317,308	(24,651)	(2,283)	2,515,582	2,513,299
SUBTOTAL	1,669,056	43,669	1,712,726	(2,340,303)	(2,494,916)	3,263,148	(34,713)	105,942	3,542,350	3,648,292
Article 4										
SFMTA	3,374,551	26,357	3,400,908	(49,455,087)	2,494,916	44,028,851	(468,370)	1,218	47,796,049	47,797,267
SUBTOTAL	3,374,551	26,357	3,400,908	(49,455,087)	2,494,916	44,028,851	(468,370)	1,218	47,796,049	47,797,267
GRAND TOTAL	\$5,043,607	\$70,027	\$5,113,634	(\$51,795,390)	\$0	\$47,291,999	(\$503,083)	\$107,160	\$51,338,399	\$51,445,559

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SAN MATEO COUNTY**

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FY2019-20 TDA Revenue Estimate		FY2020-21 TDA Revenue Estimate	
FY2019-20 Generation Estimate Adjustment		FY2020-21 County Auditor's Generation Estimate	
1. Original County Auditor Estimate (Feb, 19)	47,777,676	13. County Auditor Estimate	48,558,690
2. Actual Revenue (Jun, 20)	43,876,021	FY2020-21 Planning and Administration Charges	
3. Revenue Adjustment (Lines 2-1)	(3,901,655)	14. MTC Administration (0.5% of Line 13)	242,793
FY2019-20 Planning and Administration Charges Adjustment		15. County Administration (0.5% of Line 13)	242,793
4. MTC Administration (0.5% of Line 3)	(19,508)	16. MTC Planning (3.0% of Line 13)	1,456,761
5. County Administration (Up to 0.5% of Line 3)	(19,508)	17. Total Charges (Lines 14+15+16)	1,942,347
6. MTC Planning (3.0% of Line 3)	(117,050)	18. TDA Generations Less Charges (Lines 13-17)	46,616,343
7. Total Charges (Lines 4+5+6)	(156,066)	FY2020-21 TDA Apportionment By Article	
8. Adjusted Generations Less Charges (Lines 3-7)	(3,745,589)	19. Article 3.0 (2.0% of Line 18)	932,327
FY2019-20 TDA Adjustment By Article		20. Funds Remaining (Lines 18-19)	45,684,016
9. Article 3 Adjustment (2.0% of line 8)	(74,912)	21. Article 4.5 (5.0% of Line 20)	2,284,201
10. Funds Remaining (Lines 8-9)	(3,670,677)	22. TDA Article 4 (Lines 20-21)	43,399,815
11. Article 4.5 Adjustment (5.0% of Line 10)	(183,534)		
12. Article 4 Adjustment (Lines 10-11)	(3,487,143)		

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,932,496	101,875	4,034,370	(4,846,309)	0	917,331	(74,912)	30,480	932,327	962,807
Article 4.5	435,943	26,484	462,428	(2,533,461)	0	2,247,462	(183,534)	(7,105)	2,284,201	2,277,096
SUBTOTAL	4,368,439	128,359	4,496,798	(7,379,770)	0	3,164,793	(258,446)	23,375	3,216,528	3,239,903
Article 4										
SamTrans	5,575,128	431,953	6,007,081	(45,351,442)	0	42,701,777	(3,487,143)	(129,727)	43,399,815	43,270,088
SUBTOTAL	5,575,128	431,953	6,007,081	(45,351,442)	0	42,701,777	(3,487,143)	(129,727)	43,399,815	43,270,088
GRAND TOTAL	\$9,943,567	\$560,312	\$10,503,879	(\$52,731,212)	\$0	\$45,866,570	(\$3,745,589)	(\$106,352)	\$46,616,343	\$46,509,991

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SANTA CLARA COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	117,635,000		13. County Auditor Estimate	121,909,000	
2. Actual Revenue (Jun, 20)	115,083,066		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)	(2,551,934)		14. MTC Administration (0.5% of Line 13)	609,545	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	609,545	
4. MTC Administration (0.5% of Line 3)	(12,760)		16. MTC Planning (3.0% of Line 13)	3,657,270	
5. County Administration (Up to 0.5% of Line 3)	(12,760)		17. Total Charges (Lines 14+15+16)	4,876,360	
6. MTC Planning (3.0% of Line 3)	(76,558)		18. TDA Generations Less Charges (Lines 13-17)	117,032,640	
7. Total Charges (Lines 4+5+6)	(102,078)		FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)	(2,449,856)		19. Article 3.0 (2.0% of Line 18)	2,340,653	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)	114,691,987	
9. Article 3 Adjustment (2.0% of line 8)	(48,997)		21. Article 4.5 (5.0% of Line 20)	5,734,599	
10. Funds Remaining (Lines 8-9)	(2,400,859)		22. TDA Article 4 (Lines 20-21)	108,957,388	
11. Article 4.5 Adjustment (5.0% of Line 10)	(120,043)				
12. Article 4 Adjustment (Lines 10-11)	(2,280,816)				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,019,259	185,369	5,204,628	(6,061,223)	0	2,258,592	(48,997)	1,353,000	2,340,653	3,693,653
Article 4.5	135,445	447	135,892	(5,549,240)	0	5,533,550	(120,043)	159	5,734,599	5,734,758
SUBTOTAL	5,154,704	185,815	5,340,519	(11,610,463)	0	7,792,142	(169,040)	1,353,159	8,075,252	9,428,411
Article 4										
VTA	2,573,497	26,855	2,600,352	(105,435,565)	0	105,137,458	(2,280,816)	21,429	108,957,388	108,978,817
SUBTOTAL	2,573,497	26,855	2,600,352	(105,435,565)	0	105,137,458	(2,280,816)	21,429	108,957,388	108,978,817
GRAND TOTAL	\$7,728,201	\$212,670	\$7,940,871	(\$117,046,028)	\$0	\$112,929,600	(\$2,449,856)	\$1,374,588	\$117,032,640	\$118,407,228

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SOLANO COUNTY**

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FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
FY2019-20 Generation Estimate Adjustment			FY2020-21 County Auditor's Generation Estimate		
1. Original County Auditor Estimate (Feb, 19)	21,239,810		13. County Auditor Estimate		22,251,809
2. Actual Revenue (Jun, 20)	19,974,432		FY2020-21 Planning and Administration Charges		
3. Revenue Adjustment (Lines 2-1)	(1,265,378)		14. MTC Administration (0.5% of Line 13)	111,259	
FY2019-20 Planning and Administration Charges Adjustment			15. County Administration (0.5% of Line 13)	111,259	
4. MTC Administration (0.5% of Line 3)	(6,327)		16. MTC Planning (3.0% of Line 13)	667,554	
5. County Administration (Up to 0.5% of Line 3)	(6,327)		17. Total Charges (Lines 14+15+16)		890,072
6. MTC Planning (3.0% of Line 3)	(37,961)		18. TDA Generations Less Charges (Lines 13-17)		21,361,737
7. Total Charges (Lines 4+5+6)	(50,615)		FY2020-21 TDA Apportionment By Article		
8. Adjusted Generations Less Charges (Lines 3-7)	(1,214,763)		19. Article 3.0 (2.0% of Line 18)	427,235	
FY2019-20 TDA Adjustment By Article			20. Funds Remaining (Lines 18-19)		20,934,502
9. Article 3 Adjustment (2.0% of line 8)	(24,295)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)	(1,190,468)		22. TDA Article 4 (Lines 20-21)		20,934,502
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)	(1,190,468)				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	964,815	20,287	985,103	(1,355,968)	0	407,804	(24,295)	12,644	427,235	439,879
Article 4.5										
SUBTOTAL	964,815	20,287	985,103	(1,355,968)	0	407,804	(24,295)	12,644	427,235	439,879
Article 4/8										
Dixon	1,278,184	25,136	1,303,320	(1,431,732)	0	903,994	(53,856)	721,725	938,978	1,660,703
Fairfield	5,969,565	126,454	6,096,018	(9,066,136)	0	5,277,659	(314,421)	1,993,120	5,557,256	7,550,376
Rio Vista	627,857	13,684	641,541	(418,055)	0	417,466	(24,871)	616,081	446,672	1,062,753
Solano County	1,888,628	35,339	1,923,968	(840,480)	0	892,044	(53,144)	1,922,388	928,826	2,851,214
Suisun City	47,248	4,505	51,754	(1,300,730)	0	1,326,366	(79,019)	(1,629)	1,396,892	1,395,263
Vacaville	9,400,831	208,238	9,609,069	(4,884,429)	0	4,497,114	(267,919)	8,953,836	4,687,157	13,640,993
Vallejo/Benicia	5,379,599	120,873	5,500,472	(7,116,757)	3,821,134	6,667,772	(397,238)	8,475,382	6,978,721	15,454,103
SUBTOTAL	24,591,913	534,229	25,126,142	(25,058,319)	3,821,134	19,982,414	(1,190,468)	22,680,903	20,934,502	43,615,405
GRAND TOTAL	\$25,556,728	\$554,516	\$26,111,245	(\$26,414,287)	\$3,821,134	\$20,390,218	(\$1,214,763)	\$22,693,547	\$21,361,737	\$44,055,284

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.
3. Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.

**FY 2020-21 FUND ESTIMATE
TRANSPORTATION DEVELOPMENT ACT FUNDS
SONOMA COUNTY**

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FY2019-20 TDA Revenue Estimate		FY2020-21 TDA Revenue Estimate	
FY2019-20 Generation Estimate Adjustment		FY2020-21 County Auditor's Generation Estimate	
1. Original County Auditor Estimate (Feb, 19)	26,800,000	13. County Auditor Estimate	26,300,000
2. Actual Revenue (Jun, 20)	24,472,044	FY2020-21 Planning and Administration Charges	
3. Revenue Adjustment (Lines 2-1)	(2,327,956)	14. MTC Administration (0.5% of Line 13)	131,500
FY2019-20 Planning and Administration Charges Adjustment		15. County Administration (0.5% of Line 13)	131,500
4. MTC Administration (0.5% of Line 3)	(11,640)	16. MTC Planning (3.0% of Line 13)	789,000
5. County Administration (Up to 0.5% of Line 3)	(11,640)	17. Total Charges (Lines 14+15+16)	1,052,000
6. MTC Planning (3.0% of Line 3)	(69,839)	18. TDA Generations Less Charges (Lines 13-17)	25,248,000
7. Total Charges (Lines 4+5+6)	(93,119)	FY2020-21 TDA Apportionment By Article	
8. Adjusted Generations Less Charges (Lines 3-7)	(2,234,837)	19. Article 3.0 (2.0% of Line 18)	504,960
FY2019-20 TDA Adjustment By Article		20. Funds Remaining (Lines 18-19)	24,743,040
9. Article 3 Adjustment (2.0% of line 8)	(44,697)	21. Article 4.5 (5.0% of Line 20)	0
10. Funds Remaining (Lines 8-9)	(2,190,140)	22. TDA Article 4 (Lines 20-21)	24,743,040
11. Article 4.5 Adjustment (5.0% of Line 10)	0		
12. Article 4 Adjustment (Lines 10-11)	(2,190,140)		

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,758,934	40,223	1,799,156	(869,672)	0	514,560	(44,697)	1,399,347	504,960	1,904,307
Article 4.5										
SUBTOTAL	1,758,934	40,223	1,799,156	(869,672)	0	514,560	(44,697)	1,399,347	504,960	1,904,307
Article 4/8										
GGBHTD ³	238,300	7,927	246,227	(6,013,501)	0	6,303,360	(547,535)	(11,449)	6,185,760	6,174,311
Petaluma	1,405,490	17,826	1,423,316	(2,214,933)	0	1,951,520	(169,517)	990,386	2,182,336	3,172,722
Santa Rosa	2,339,172	40,354	2,379,526	(7,270,933)	0	6,812,671	(591,776)	1,329,489	6,509,894	7,839,383
Sonoma County	5,864,746	66,282	5,931,028	(11,496,251)	288,700	10,145,888	(881,312)	3,988,053	9,865,050	13,853,103
SUBTOTAL	9,847,709	132,388	9,980,097	(26,995,618)	288,700	25,213,440	(2,190,140)	6,296,479	24,743,040	31,039,519
GRAND TOTAL	\$11,606,642	\$172,611	\$11,779,253	(\$27,865,290)	\$288,700	\$25,728,000	(\$2,234,837)	\$7,695,826	\$25,248,000	\$32,943,826

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. Apportionment to GGBHTD is 25-percent of Sonoma County's total Article 4/8 TDA funds.

**FY 2020-21 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
REVENUE-BASED FUNDS (PUC 99314)**

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FY2019-20 STA Revenue Estimate			FY2020-21 STA Revenue Estimate			
1. State Estimate (Nov, 19)		\$186,228,565	4. Projected Carryover (Aug, 20)		\$23,254,193	
2. Actual Revenue (Aug, 20)			5. State Estimate (Jan, 20)		\$185,087,967	
3. Revenue Adjustment (Lines 2-1)			6. Total Funds Available (Lines 4+5)		\$208,342,160	
STA REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest) ¹	Outstanding Commitments ²	Revenue Estimate	Projected Carryover ³	Revenue Estimate ⁴	Available For Allocation
ACCMA - Corresponding to ACE	215,031	0	290,259	505,290	288,482	793,772
Caltrain	693,854	(8,469,994)	8,496,363	720,223	8,444,325	9,164,548
CCCTA	215,568	(848,487)	789,680	156,761	784,843	941,604
City of Dixon	24,344	0	7,403	31,747	7,357	39,104
ECCTA	237,439	(595,594)	415,004	56,849	412,462	469,311
City of Fairfield	91,860	(275,474)	163,554	(20,060)	162,553	142,493
GGBHTD	47,254	(8,291,789)	8,540,790	296,255	8,488,481	8,784,736
LAVTA	344,595	(340,493)	349,728	353,830	347,586	701,416
Marin Transit	1,018,368	(789,089)	1,668,066	1,897,344	1,657,849	3,555,193
NVTA	97,905	(206,345)	116,000	7,560	115,288	122,848
City of Petaluma	60,347	(41,087)	49,382	68,642	49,080	117,722
City of Rio Vista	4,575	0	7,458	12,033	7,412	19,445
SamTrans	3,921,525	(10,751,081)	8,121,101	1,291,545	8,071,361	9,362,906
SMART	18,515	0	1,695,538	1,714,053	1,685,153	3,399,206
City of Santa Rosa	777	(168,657)	160,210	(7,670)	159,229	151,559
Solano County Transit	55,949	(418,539)	351,963	(10,627)	349,807	339,180
Sonoma County Transit	47,091	(251,311)	225,725	21,505	224,342	245,847
City of Union City	20,142	(136,071)	116,445	516	115,731	116,247
Vacaville City Coach	46,943	0	29,292	76,235	29,112	105,347
VTA	119,051	(23,234,042)	23,249,042	134,051	23,106,649	23,240,700
VTA - Corresponding to ACE	865	(230,750)	216,633	(13,252)	215,305	202,053
WCCTA	100,132	(476,030)	504,435	128,537	501,346	629,883
WETA	9,411,017	0	2,314,946	11,725,963	2,300,768	14,026,731
SUBTOTAL	16,793,148	(55,524,833)	57,879,017	19,147,330	57,524,521	76,671,851
AC Transit	84,900	(20,253,875)	24,264,960	4,095,986	24,116,345	28,212,331
BART	189,225	(40,887,685)	40,698,461	0	40,449,195	40,449,195
SFMTA	252,274	(63,627,524)	63,386,127	10,877	62,997,906	63,008,783
SUBTOTAL	526,400	(124,769,084)	128,349,548	4,106,863	127,563,446	131,670,309
GRAND TOTAL	\$17,319,547	(\$180,293,917)	\$186,228,565	\$23,254,193	\$185,087,967	\$208,342,160

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 1/31/20.
3. Projected carryover as of 6/30/20 does not include interest accrued in FY2019-20.
4. FY2020-21 STA revenue generation is based on January 31, 2020 estimates from the SCO.

**FY 2020-21 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
POPULATION-BASED FUNDS (PUC 99313) - THROUGH FY 2017-18**

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STA POPULATION-BASED APPORTIONMENT BY JURISDICTION & OPERATOR						
<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=Sum(D:E)</i>
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest) ¹	Outstanding Commitments ²	Revenue Estimate ⁴	Projected Carryover ³	Revenue Estimate ⁴	Available For Allocation
Northern Counties/Small Operators						
Marin	0	0	0	0	0	0
Napa	0	0	0	0	0	0
Solano/Vallejo	3,913,020	(3,894,534)	0	18,486	0	18,486
Sonoma	0	0	0	0	0	0
CCCTA	181,405	(179,589)	0	1,816	0	1,816
ECCTA	0	0	0	0	0	0
LAVTA	0	0	0	0	0	0
Union City	0	0	0	0	0	0
WCCTA	0	0	0	0	0	0
SUBTOTAL	4,094,424	(4,074,123)	0	20,302	0	20,302
Regional Paratransit						
Alameda	0	0	0	0	0	0
Contra Costa	0	0	0	0	0	0
Marin	0	0	0	0	0	0
Napa	0	0	0	0	0	0
San Francisco	0	0	0	0	0	0
San Mateo	255,152	(255,152)	0	0	0	0
Santa Clara	0	0	0	0	0	0
Solano	787,624	(657,815)	0	129,809	0	129,809
Sonoma	0	0	0	0	0	0
SUBTOTAL	1,042,776	(912,967)	0	129,809	0	129,809
Lifeline						
Alameda	2,561,258	(2,468,575)	0	92,683	0	92,683
Contra Costa	1,296,613	(972,866)	0	323,747	0	323,747
Marin	428,098	(416,988)	0	11,110	0	11,110
Napa	332,878	0	0	332,878	0	332,878
San Francisco	1,234,497	(1,070,365)	0	164,132	0	164,132
San Mateo	1,259,910	(779,998)	0	479,912	0	479,912
Santa Clara	8,602,035	(3,474,903)	0	5,127,132	0	5,127,132
Solano	592,428	(470,918)	0	121,510	0	121,510
Sonoma	888,071	(854,086)	0	33,985	0	33,985
JARC Funding Restoration ⁵	400,668	0	0	400,668	0	400,668
Participatory Budgeting Pilot	1,022,099	0	0	1,022,099	0	1,022,099
Reserve for a Means-Based Transit Fare	5,910,243	0	0	5,910,243	0	5,910,243
SUBTOTAL	24,528,801	(10,508,699)	0	14,020,099	0	14,020,099
MTC Regional Coordination Program⁶		0	0	0	0	0
BART to Warm Springs	1,682	(1,682)	0	0	0	0
SamTrans	40,561	0	0	40,561	0	40,561
GRAND TOTAL	\$29,708,244	(\$15,497,474)	\$0	\$14,210,771	\$0	\$14,210,771

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 1/31/20.

3. The projected carryover as of 6/30/2020 does not include interest accrued in FY 2019-20. All apportionment jurisdictions must spend or request to transfer all fund balances by 6/30/2020, except for Lifeline funds which will be closed out as projects conclude.

4. FY 2018-19 - FY 2020-21 revenue is distributed through MTC Resolution 4321, adopted in February 2018. See following page for details.

5. Includes 2/26/14 Commission action to re-assign \$1.1 million in FY 2014-15 Lifeline funds, and re-assigning \$693,696 of MTC's Means-Based Discount Project balance.

6. See Regional Program on following page for details from FY 2018-19 onwards.

**FY 2020-21 FUND ESTIMATE
STATE TRANSIT ASSISTANCE
POPULATION-BASED FUNDS (PUC 99313) - FY 2018-19 ONWARDS**

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FY2019-20 STA Revenue Estimate			FY2020-21 STA Revenue Estimate			
1. State Estimate (Nov, 19)		\$67,889,961	4. Projected Carryover (Aug, 20)		\$48,762,355	
2. Actual Revenue (Aug, 20)			5. State Estimate ⁴ (Jan, 20)		\$67,474,153	
3. Revenue Adjustment (Lines 2-1)			6. Total Funds Available (Lines 4+5)		\$116,236,508	
STA POPULATION-BASED COUNTY BLOCK GRANT AND REGIONAL PROGRAM APPORTIONMENT						
Column	A	C	D	E=Sum(A:D)	F	G=Sum(E:F)
	6/30/2019	FY2019-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest) ¹	Outstanding Commitments ²	Revenue Estimate	Projected Carryover ³	Revenue Estimate ⁴	Available For Allocation
County Block Grant ⁵						
Alameda	499,255	(8,867,741)	8,359,440	(9,046)	8,349,235	8,340,189
Contra Costa	98,261	(10,600,690)	10,490,037	(12,392)	10,477,231	10,464,839
Marin	10,134	(2,711,879)	2,698,558	(3,187)	2,695,264	2,692,077
Napa	267,635	(1,928,357)	1,650,713	(10,008)	1,648,698	1,638,690
San Francisco	2,329,879	(2,903,814)	3,998,569	3,424,634	3,993,687	7,418,321
San Mateo	2,308,361	(1,407,983)	2,394,047	3,294,425	2,391,124	5,685,549
Santa Clara	24,933	(6,814,416)	6,664,063	(125,420)	6,655,927	6,530,507
Solano	4,788,590	(2,361,293)	4,966,343	7,393,641	4,960,280	12,353,921
Sonoma	535,610	(6,610,747)	6,067,869	(7,268)	6,060,461	6,053,193
SUBTOTAL	10,862,659	(44,206,920)	47,289,639	13,945,379	47,231,907	61,177,286
Regional Program ⁶	10,945,583	(8,551,000)	12,266,988	14,661,571	12,242,246	26,903,817
Means-Based Transit Fare Program	13,692,555	(2,650,832)	8,000,000	19,041,723	8,000,000	27,041,723
Transit Emergency Service Contingency Fund ⁷	746,473	33,876	333,333	1,113,682	0	1,113,682
GRAND TOTAL	\$36,247,270	(\$55,374,876)	\$67,889,961	\$48,762,355	\$67,474,153	\$116,236,508

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 1/31/20.

3. The projected carryover as of 6/30/2020 does not include interest accrued in FY 2019-20.

4. FY2020-21 STA revenue generation based on January 31, 2019 State Controller's Office (SCO) forecast.

5. County Block Grant adopted through MTC Resolution 4321 in February 2018.

6. Regional Program adopted through MTC Resolution 4321 in February 2018. Balance and carryover amounts are from the MTC Regional Coordination Program established through MTC Resolution 3837, Revised. Funds are committed to Clipper® and other MTC Customer Service projects.

7. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program. MTC expects to receive claims for funds in FY 2019-20 due to 2019 North Bay fires, which will increase outstanding commitments and reduce the fund balance below \$1,000,000.

**FY 2020-21 FUND ESTIMATE
BRIDGE TOLLS¹**

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BRIDGE TOLL APPORTIONMENT BY CATEGORY

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=D+E</i>
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Fund Source	Balance ²	Outstanding Commitments ³	Programming Amount ⁴	Projected Carryover	Programming Amount ⁴	Available for Allocation
MTC 2% Toll Revenues						
Ferry Capital	5,718,615	(4,220,745)	1,000,000	2,497,870	1,000,000	3,497,870
Bay Trail	0	(450,000)	450,000	0	450,000	450,000
Studies	564,510	(139,454)	0	425,055	0	425,055
SUBTOTAL	6,283,125	(4,810,199)	1,450,000	2,922,925	1,450,000	4,372,925
5% State General Fund Revenues						
Ferry	13,055,918	(8,137,340)	3,341,267	8,259,844	3,374,680	11,634,524
Bay Trail	112,972	(383,076)	273,421	3,316	281,706	285,022
SUBTOTAL	13,168,890	(8,520,416)	3,614,688	8,263,160	3,656,386	11,919,546

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The MTC 2% Toll Revenues listed above, commencing in FY2010-11, are funded from this payment.
2. Balance as of 6/30/19 is from MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 1/31/20.
4. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for 2%, and 5% bridge toll revenues.

FY 2020-21 FUND ESTIMATE
AB1107 FUNDS
AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX

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FY2019-20 AB1107 Revenue Estimate					FY2020-21 AB1107 Estimate				
1. Original MTC Estimate (Feb, 19)			\$91,000,000		4. Projected Carryover (Jun, 19)			\$0	
2. Actual Revenue (Jun, 20)			\$88,961,758		5. MTC Estimate (Feb, 19)			\$93,500,000	
3. Revenue Adjustment (Lines 2-1)			(\$2,038,242)		6. Total Funds Available (Lines 4+5)			\$93,500,000	
AB1107 APPORTIONMENT BY OPERATOR									
Column	A	B	C=Sum(A:B)	D	E	F	G=Sum(A:F)	H	I=Sum(G:H)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) ¹	Outstanding Commitments ²	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(44,480,879)	45,500,000	(1,019,121)	0	46,750,000	46,750,000
SFMTA	0	0	0	(44,480,879)	45,500,000	(1,019,121)	0	46,750,000	46,750,000
TOTAL	\$0	\$0	\$0	(\$88,961,758)	\$91,000,000	(\$2,038,242)	\$0	\$93,500,000	\$93,500,000

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

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ARTICLE 4.5 SUBAPPORTIONMENT				
Apportionment Jurisdictions		Alameda Article 4.5		Contra Costa Article 4.5
Total Available		\$4,370,703		\$2,167,885
AC Transit		\$4,003,602		\$666,416
LAVTA		\$148,960		
Pleasanton		\$82,480		
Union City		\$135,661		
CCCTA				
ECCTA				\$883,392
WCCTA				\$475,260
				\$142,816
IMPLEMENTATION OF OPERATOR AGREEMENTS				
Apportionment of BART Funds to Implement Transit Coordination Program				
Apportionment Jurisdictions		Total Available Funds (TDA and STA) FY 2020-21		
CCCTA		\$938,028		
LAVTA		\$791,448		
ECCTA		\$3,049,550		
WCCTA		\$3,204,781		
Fund Source	Apportionment Jurisdictions	Claimant	Amount ¹	Program
Total Available BART STA Revenue-Based Funds			\$40,449,195	
STA Revenue-Based	BART	CCCTA	(938,028)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(692,416)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(3,049,550)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,918,228)	BART Feeder Bus
Total Payment			(7,598,222)	
Remaining BART STA Revenue-Based Funds			\$32,850,973	
Total Available BART TDA Article 4 Funds			\$385,586	
TDA Article 4	BART-Alameda	LAVTA	(99,033)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(286,553)	BART Feeder Bus
Total Payment			(385,586)	
Remaining BART TDA Article 4 Funds			\$0	
Total Available SamTrans STA Revenue-Based Funds			\$9,362,906	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
Total Payment			(801,024)	
Remaining SamTrans STA Revenue-Based Funds			\$8,561,882	
Total Available Union City TDA Article 4 Funds			\$10,692,452	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
Total Payment			(116,699)	
Remaining Union City TDA Article 4 Funds			\$10,575,753	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

FY 2020-21 FUND ESTIMATE
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814

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PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-19	MTC Res-3833	MTC Res-3925	FY2020-21
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	0
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
TOTAL	\$62,000,000	100%	\$6,395,361	\$0	\$0	\$30,951,976	\$19,288,914

FY 2020-21 FUND ESTIMATE
CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)

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7/22/2020

FY2019-20 LCTOP Revenue Estimate¹		FY2020-21 LCTOP Revenue Estimate²	
1. Estimated Statewide Appropriation (Jan, 20)	\$114,100,000	5. Estimated Statewide Appropriation (Jan, 20)	\$125,000,000
2. MTC Region Revenue-Based Funding	\$30,506,964	6. Estimated MTC Region Revenue-Based Funding	\$33,421,301
3. MTC Region Population-Based Funding	\$11,121,369	7. Estimated MTC Region Population-Based Funding	\$12,183,796
4. Total MTC Region Funds	\$41,628,333	8. Estimated Total MTC Region Funds	\$45,605,097

1. The FY 2019-20 LCTOP revenue generation is based on the \$114 million revised estimate included in the FY 2020-21 State Budget.

2. The FY 2020-21 LCTOP revenue generation is based on the \$125 million estimated in the FY 2020-21 State Budget.

**FY 2020-21 FUND ESTIMATE
STATE OF GOOD REPAIR (SGR) PROGRAM
REVENUE-BASED FUNDS**

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7/22/2020

FY2019-20 SGR Population-Based Revenue Estimate		FY2020-21 SGR Population-Based Revenue Estimate	
1. State Estimate (Nov, 19)	\$28,775,741	4. Projected Carryover (Aug, 20)	\$28,618,947
2. Actual Revenue (Aug, 20)		5. State Estimate (Jan, 20)	\$29,610,203
3. Revenue Adjustment (Lines 2-1)	\$0	6. Total Funds Available (Lines 4+5)	\$58,229,150

STATE OF GOOD REPAIR PROGRAM REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest)	Outstanding Commitments	Revenue Estimate ¹	Projected Carryover	Revenue Estimate ²	Available For Allocation
ACCMA - Corresponding to ACE	91	0	44,850	44,942	46,151	91,093
Caltrain	12	(142,483)	1,312,844	1,170,373	1,350,915	2,521,288
CCCTA	260	0	122,020	122,280	125,558	247,838
City of Dixon	3	0	1,144	1,147	1,177	2,324
ECCTA	122	0	64,126	64,247	65,985	130,232
City of Fairfield	79	0	25,272	25,351	26,005	51,356
GGBHTD	2,786	0	1,319,709	1,322,496	1,357,979	2,680,475
LAVTA	116	0	54,039	54,155	55,606	109,761
Marin Transit	0	(74,638)	257,747	183,109	265,221	448,330
NVTA	38	0	17,924	17,962	18,444	36,406
City of Petaluma	14	0	7,630	7,644	7,852	15,496
City of Rio Vista	1	0	1,152	1,154	1,186	2,340
SamTrans	2,751	0	1,254,860	1,257,611	1,291,249	2,548,860
SMART	573	0	261,992	262,565	269,589	532,154
City of Santa Rosa	58	0	24,755	24,813	25,473	50,286
Solano County Transit	125	0	54,385	54,509	55,962	110,471
Sonoma County Transit	77	0	34,879	34,956	35,890	70,846
City of Union City	38	0	17,993	18,031	18,515	36,546
Vacaville City Coach	9	0	4,526	4,535	4,657	9,192
VTA	10,027	0	3,592,405	3,602,432	3,696,581	7,299,013
VTA - Corresponding to ACE	71	0	33,474	33,545	34,444	67,989
WCCTA	162	0	77,944	78,106	80,205	158,311
WETA	676	0	357,702	358,378	368,075	726,453
SUBTOTAL	18,089	(217,121)	8,943,373	8,744,341	9,202,720	17,947,061
AC Transit	7,068	0	3,749,383	3,756,451	3,858,111	7,614,562
BART	13,713	0	6,288,661	6,302,374	6,471,025	12,773,399
SFMTA	21,458	0	9,794,323	9,815,781	10,078,347	19,894,128
SUBTOTAL	42,239	0	19,832,368	19,874,606	20,407,483	40,282,089
GRAND TOTAL	\$60,329	(\$217,121)	\$28,775,741	\$28,618,947	\$29,610,203	\$58,229,150

1. FY2019-20 State of Good Repair Program revenue generation is based on November 21, 2019 estimates from the State Controller's Office (SCO).

2. FY2020-21 State of Good Repair Program revenue generation is based on January 31, 2020p estimates from the State Controller's Office (SCO).

**FY 2020-21 FUND ESTIMATE
STATE OF GOOD REPAIR (SGR) PROGRAM
POPULATION-BASED FUNDS**

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7/22/2020

FY2019-20 SGR Population-Based Revenue Estimate		FY2020-21 SGR Population-Based Revenue Estimate				
1. State Estimate (Nov, 19)	\$10,490,248	4. Projected Carryover (Aug, 20)	\$10,539,700			
2. Actual Revenue (Aug, 20)		5. State Estimate (Jan, 20)	\$10,794,453			
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$21,334,153			
SGR PROGRAM POPULATION-BASED APPORTIONMENT						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment	Balance (w/interest)	Outstanding Commitments	Revenue Estimate ¹	Projected Carryover	Revenue Estimate ²	Available For Allocation
Clipper®/Clipper® 2.0 ³	6,112,080	(6,062,628)	10,490,248	10,539,700	10,794,453	21,334,153
GRAND TOTAL	\$6,112,080	(\$6,062,628)	\$10,490,248	\$10,539,700	\$10,794,453	\$21,334,153

1. FY2019-20 State of Good Repair Program revenue generation is based on November 21, 2019 estimates from the State Controller's Office (SCO).

2. FY2020-21 State of Good Repair Program revenue generation is based on January 31, 2020 estimates from the State Controller's Office (SCO).

3. State of Good Repair Program funds are shown here according to the policy in MTC Resolution 4321.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC
Revised: 07/22/20-C

ABSTRACT

Resolution No. 4430, Revised

This resolution approves the allocation of fiscal year 2020-21 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA) and Santa Clara Valley Transportation Authority (VTA).

On July 22, 2020, Attachment A was revised to allocate funds to Livermore Amador Valley Transit Authority (LAVTA), Napa Valley Transportation Authority (NVTA), SolTrans, Sonoma County Transit, and Vacaville.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2020 and July 8, 2020.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC

Re: Allocation of Fiscal Year 2020-21 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4430

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2020-21 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2020-21 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code

Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

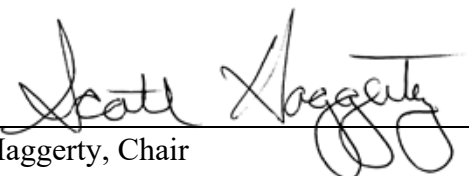
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2020-21 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations, on June 24, 2020.

Date: June 24, 2020
 Referred by: PAC
 Revised: 07/22/20-C

Attachment A
 MTC Resolution No. 4430
 Page 1 of 1

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS
 DURING FISCAL YEAR 2020-21

All TDA allocations are subject to continued compliance with MTC Resolution 3866,
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
5801 - 99233.7, 99275 Community Transit Service - Operations					
VTA	Paratransit Operations	4,300,949	01	06/24/20	Santa Clara County
AC Transit	Paratransit Operations	2,941,847	02	06/24/20	Alameda County
	Subtotal	7,242,796			
5802 - 99260A Transit - Operations					
VTA	Transit Operations	81,718,041	03	06/24/20	VTA
CCCTA	Transit Operations	21,522,389	04	06/24/20	CCCTA
AC Transit	Transit Operations	39,194,685	05	06/24/20	AC Transit Alameda D1
AC Transit	Transit Operations	10,401,518	06	06/24/20	AC Transit Alameda D2
AC Transit	Transit Operations	4,764,837	07	06/24/20	AC Transit Contra Costa
LAVTA	Transit Operations	9,941,236	08	07/22/20	LAVTA
Sonoma County	Transit Operations	5,506,420	09	07/22/20	Sonoma County
Sonoma County	Transit Operations	172,665	10	07/22/20	Petaluma
SolTrans	Transit Operations	3,772,833	11	07/22/20	Vallejo/Benicia
NVTA	Transit Operations	1,497,200	12	07/22/20	NVTA
	Subtotal	178,491,824			
5803 - 99260A Transit - Capital					
LAVTA	Transit Capital	2,298,700	13	07/22/20	LAVTA
NVTA	Transit Capital	2,763,521	14	07/22/20	NVTA
	Subtotal	5,062,221			
5807 - 99400C General Public - Operating					
Sonoma County	Transit Operations	1,591,839	15	07/22/20	Sonoma County
Sonoma County	Transit Operations	34,533	16	07/22/20	Petaluma
Vacaville	Transit Operations	1,314,318	17	07/22/20	Vacaville
	Subtotal	2,940,690			
	TOTAL	193,737,531			

Date: June 24, 2020
Referred by: PAC

Attachment B
Resolution No. 4430
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2020-21
TRANSPORTATION DEVELOPMENT ACT
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8
FUNDS TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

Transportation Development Act Article 4 Funds

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

Transportation Development Act Article 4.5 Funds

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

Transportation Development Act Article 8 Transit Funds

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC
Revised: 07/22/20-C

ABSTRACT

Resolution No. 4431, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2020-21.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, and Santa Clara Valley Transportation Authority (VTA).

Attachment A to this resolution was revised on July 22, 2020 to allocate funds to SolTrans.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2020 and July 8, 2020.

Date: June 24, 2020
W.I.: 1514
Referred by: PAC

Re: Allocation of Fiscal Year 2020-21 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4431

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2020-21 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2020-21 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, MTC Resolution Nos. 4321 and 4355, and Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further


RESOLVED, that MTC approves the allocation of fiscal year 2020-21 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution;

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan; and, be it further

RESOLVED, this resolution incorporates any revisions to the TDA, either by statute or regulation, made hereafter.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California and at other remote locations, on June 24, 2020.

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS
DURING FISCAL YEAR 2020-21

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,
the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area
5821 - 6730B Capital - Population-based Lifeline					
VTA	Cycle 4: ADA Transition Plan	3,596,543	01	06/24/20	Santa Clara County
	Subtotal	3,596,543			
5820 - 6730A Operating Costs - Revenue-based					
VTA	Transit Operations	13,808,720	02	06/24/20	VTA
AC Transit	Transit Operations	14,412,123	03	06/24/20	AC Transit
	Subtotal	28,220,843			
5820 - 6730A Operating Costs - Population-based MTC Regional Coordination					
MTC	Clipper Operations	5,800,000	04	06/24/20	MTC
MTC	Means-based Fare Pilot Subsidy	3,300,000	05	06/24/20	Means-based
MTC	Means-based Fare Pilot Admin	2,700,000	06	06/24/20	Means-based
	Subtotal	11,800,000			
5820 - 6730A Operating Costs - County Block Grant					
SolTrans	Transit Operations	1,388,993	08	07/22/20	Solano County
	Subtotal	1,388,993			
5822 - 6731C Paratransit - Operating - County Block Grant					
VTA	Transit Operations	3,977,636	07	06/24/20	Santa Clara County
	Subtotal	3,977,636			
	TOTAL	48,984,015			

Date: June 21, 2020
Referred by: PAC

Attachment B
Resolution No. 4431
Page 1 of 2

ALLOCATION OF FISCAL YEAR 2020-21 STATE TRANSIT ASSISTANCE FUNDS
TO CLAIMANTS IN THE MTC REGION

FINDINGS

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Fixing America's Surface Transportation (FAST) Act, as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or area wide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.

Date: July 22, 2020
W.I.: 1511
Referred by: PAC

ABSTRACT

Resolution No. 4434

This resolution adopts the project allocations for the Caltrans transit State of Good Repair Program for the San Francisco Bay Area for Fiscal Year (FY) 2020-21.

This resolution includes the following attachment:

Attachment A – FY 2020-21 State of Good Repair Program Public Utilities Code § 99314 and 99313 Project List

Further discussion of this action is contained in the Programming and Allocations Summary Sheet dated July 8, 2020.

Date: July 22, 2020
W.I.: 1511
Referred by: PAC

RE: Caltrans Transit State of Good Repair Program, FY 2020-21

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4434

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017), known as the Road Repair and Accountability Act of 2017, establishes the State of Good Repair Program (SGR Program); and

WHEREAS, the SGR Program will provide approximately \$105 million annually to transit operators in California for eligible transit maintenance and capital projects; and

WHEREAS, Public Utilities Code § 99313 and 99314 provides for the allocation by the State Controller of SGR Program funds to MTC based on the ratio of the population of the area under MTC's jurisdiction to the total population of the State of California and based on each Bay Area operator's qualifying revenue, respectively; and

WHEREAS, the State Department of Transportation (Caltrans) is responsible for administering the SGR Program; and

WHEREAS, Caltrans has developed guidelines for the purpose of administering and distributing SGR Program funds to eligible project sponsors; and

WHEREAS, Caltrans' guidelines for the Fiscal Year 2020-21 SGR Program require Regional Transportation Planning Agencies (RTPAs) like MTC to approve and submit a regional project list for all Public Utilities Code § 99314 and 99313 funds to be allocated to operators in the Bay Area; and

WHEREAS, staff has prepared a SGR Program Public Utilities Code § 99314 and 99313 funding allocation request list, Attachment A, for submittal to Caltrans, said attachment attached hereto and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the Fiscal Year 2020-21 SGR Program Public Utilities Code § 99314 and 99313 funding allocation request list, attached hereto as Attachment A; and, be it further

RESOLVED, that MTC agrees to comply with all conditions and requirements set forth in the applicable statutes, regulations, guidelines, for all SGR Program funded projects; and, be it further

RESOLVED, that MTC hereby authorizes the submittal of the SGR Program Public Utilities Code § 99314 and 99313 funding allocation request list, attached hereto as Attachment A; and, be it further

RESOLVED, that should the final Fiscal Year 2020-21 amount differ from the State Controller's Office estimate, any amount above or below the estimate will be allocated to the projects listed in Attachment A; and, be it further

RESOLVED, that the Executive Director is authorized to make minor changes to Attachment A to conform to sponsor requests, and Caltrans and State Controller's actions.

METROPOLITAN TRANSPORTATION COMMISSION

Scott Haggerty, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and at other remote locations, on July 22, 2020.

Fiscal Year 2020-21 State of Good Repair Program Public Utilities Code § 99314 Project List			
Agency	Project Title	Amount	Notes
Alameda-Contra Costa Transit District (AC Transit)	Replace Underground Storage Tanks at Division 2	\$950,000	
Alameda-Contra Costa Transit District (AC Transit)	Replace Underground Storage Tanks at Central Maintenance Facility	\$2,000,000	
Alameda-Contra Costa Transit District (AC Transit)	Repair and replace roof at Division 2	\$908,111	
ACE Train (Alameda County Apportionment)	Railcar Midlife Overhaul	\$46,151	
BART	Preventative Maintenance and Renovation of Various BART District-wide Systems	\$6,471,025	
Peninsula Corridor Joint Powers Board (Caltrain)	Marin/Napoleon Bridge Replacement	\$1,350,915	Includes apportionments for Caltrain (\$1,350,915), VTA (\$3,696,581), and ACE - Corresponding to VTA (\$34,444). VTA notified MTC and Caltrain on March 24,2020 that is was transferring all of its FY 2020-21 SGR Program 99314 funds to Caltrain.
Peninsula Corridor Joint Powers Board (Caltrain)	Preventative Maintenance	\$3,731,025	
Central Contra Costa Transit Authority (County Connection)	ITS Maintenance for Onbard Technology	\$125,558	
City of Fairfield (FAST)	Corporation Yard Transit Fleet Electrification Project	\$31,839	Includes apportionments for FAST (\$26,005), City of Dixon (\$1,177) and City of Vacaville (\$4,657). Dixon and Vacaville notified MTC and FAST that they wished to transfer all of their FY 2019-20 SGR Program 99314 funds to FAST.

Fiscal Year 2020-21 State of Good Repair Program Public Utilities Code § 99314 Project List			
Agency	Project Title	Amount	Notes
Golden Gate Bridge Highway and Transportation District	New Passenger Ferry Vessel Acquisition	\$1,357,979	
Livermore-Amador Valley Transit Authority (Wheels)	Preventative Maintenance	\$55,606	
Marin County Transit District (Marin Transit)	Replace Nine Shuttle vehicles	\$265,221	
Napa County Transportation and Planning Agency	Vine Transit Bus Maintenance Facility	\$18,444	
City of Petaluma	Preventative Maintenance	\$7,852	
San Mateo County Transit District (SamTrans)	Onboard Wi-Fi Equipment Retrofit for 225 Buses	\$1,291,249	
City of Santa Rosa (Santa Rosa City Bus)	Preventive Maintenance of Fixed-Route Fleet	\$25,473	
City of San Francisco (SFMTA)	SFMTA Facilities SGR Project FY20-21	\$5,039,174	
City of San Francisco (SFMTA)	SFMTA Fixed Guideway SGR Project FY20-21	\$5,039,174	
Sonoma-Marin Area Rail Transit District (SMART)	SMART Capital Spare Parts	\$269,589	
Solano County Transit (SolTrans)	Gillig Hybrid Bus Battery Replacement Project	\$57,148	Includes apportionments for SolTrans (\$55,962) and Rio Vista (\$1,186). Rio Vista notified MTC and SolTrans that they wished to transfer all of their FY 2019-20 SGR Program 99314 funds to SolTrans.
County of Sonoma (Sonoma County Transit)	Transit Facility Rehabilitation	\$35,890	
Eastern Contra Costa Transit Authority (TriDelta Transit)	Maintenance Software Replacement	\$65,985	
City of Union City (Union City Transit)	Paratransit Vehicle Replacement	\$18,515	
Western Contra Costa Transit Authority (WestCAT)	Local Match for Purchase of Replacement Revenue Vehicles	\$80,205	

Fiscal Year 2020-21 State of Good Repair Program Public Utilities Code § 99314 Project List			
Agency	Project Title	Amount	Notes
San Francisco Bay Area Water Emergency Transportation Authority (WETA)	Replacement Vessel for the M/V Bay Breeze	\$368,075	
TOTAL		\$29,610,203	

Fiscal Year 2020-21 State of Good Repair Program Public Utilities Code § 99313 Project List			
Agency	Project Title	Amount	Notes
Metropolitan Transportation Commission	Clipper/Next Generation Clipper System	\$10,794,453	
TOTAL		\$10,794,453	



Photo: Jim Mauer

FY 2020-21 Fund Estimate Revision

Programming and Allocations Committee
July 8, 2020

Agenda Item 3a

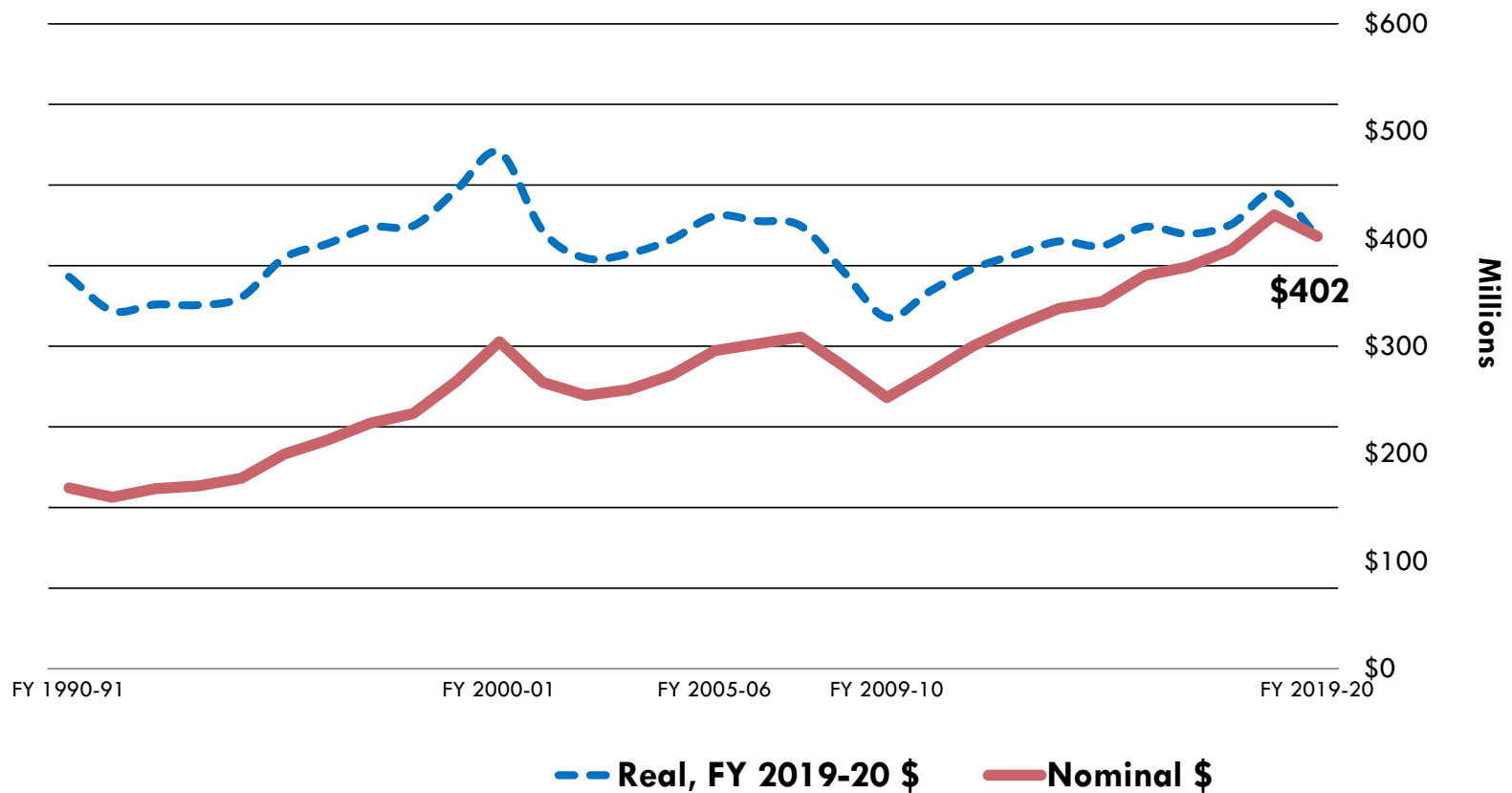
July Fund Estimate Revision

- Updates the Fund Estimate to incorporate actual FY 2019-20 revenue for the TDA ¼ cent sales tax and the AB 1107 sales tax in the three BART district counties
- TDA sales tax revenue for FY 2019-20 is -6.4% lower than originally anticipated, resulting in a loss of \$28 million
- Rescissions and FY 2020-21 allocations to operators
- Endorsement of SGR Program project list for FY 2020-21
- STA and SGR Program revisions to the Fund Estimate will take place this fall



TDA Sales Tax Revenue

First Decline in a Decade in FY 2019-20



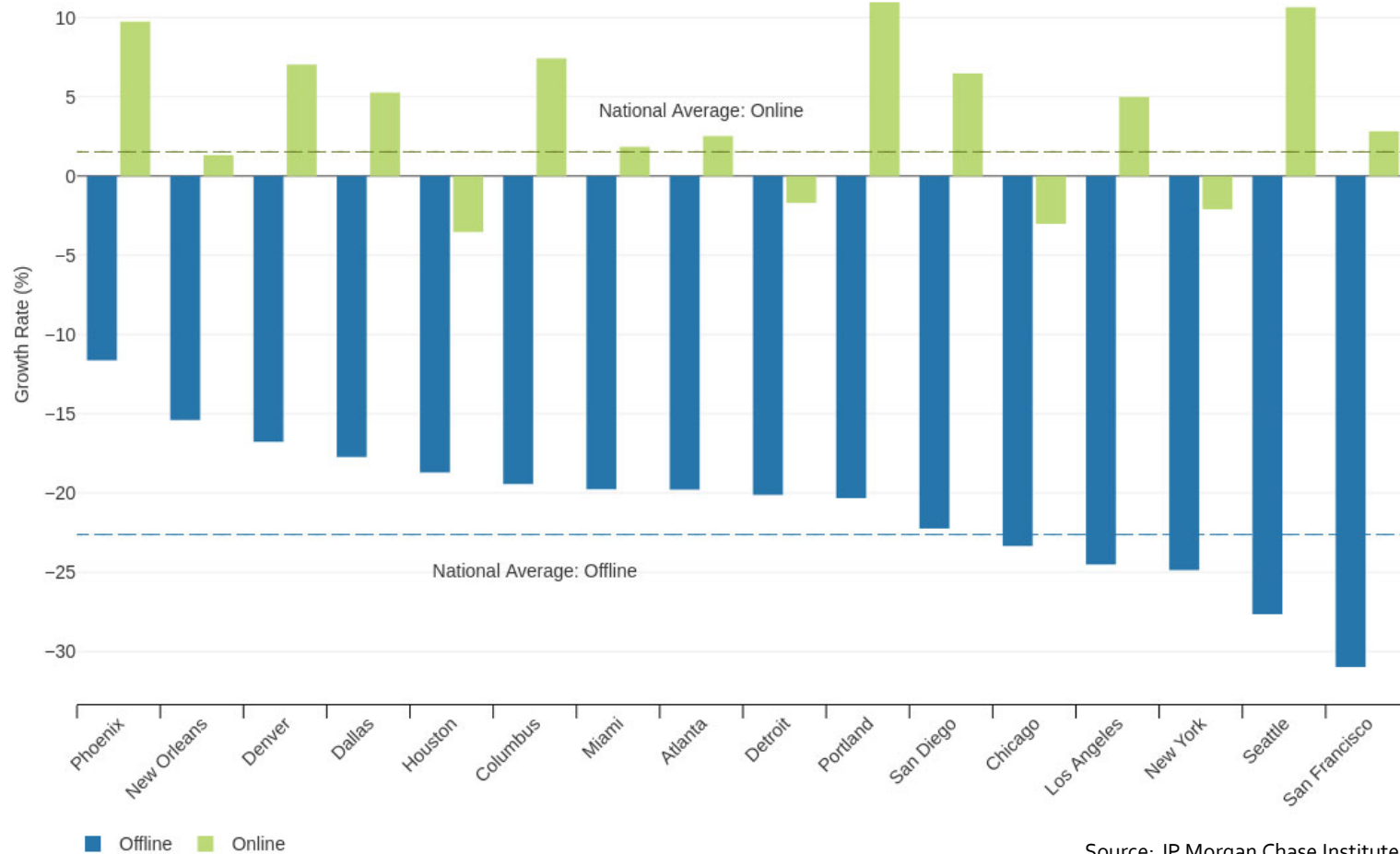
Source:
1. Actuals reported by CA Dept. of Tax & Fee Admin.

Impact of COVID-19 on Sales Tax Revenue

- Tremendous uncertainty about the magnitude of the impact of COVID-19 on sales tax revenue due to delays in reporting and state sales tax deferral programs
- Early indicators show a major decline in sales tax revenue which will impact local government
- TDA sales tax funds are a key source of transit operations funding

Credit Card Data Offers Hints of Impact on Sales Tax

% Change March 2019 to March 2020



Source: JP Morgan Chase Institute

Other Items Included in this Fund Estimate Update

- Endorsement of SGR Program project list for FY 2020-21
 - Required by Caltrans
 - Funds generated by SB 1 vehicle registration fee, so will not be significantly impacted by COVID-19
- Allocations of FY 2020-21 TDA sales tax and STA funds
 - Approximately \$30 million to five transit operators



Staff recommendation is to forward to the Commission for approval:

MTC Resolutions

- **4402**, Revised (FY 2020-21 Fund Estimate),
- **4430**, Revised (TDA Allocations)
- **4431**, Revised (STA Allocations)
- **4434** (FY 2020-21 SGR Program Project List)

