

# Metropolitan Transportation Commission Administration Committee

March 11, 2020

Agenda Item 2c

## MTC Financial Statements for December 2019

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**Subject:** MTC Financial Statements for the six-month period ending December 31, 2019.

MTC's financial statements as of December 31, 2019 include the following major highlights:

- (1) **Operating Balance:** For the six-month period ending December 31, 2019, we have collected \$33 million of revenue, recorded expenditures of \$21.2 million and have encumbered \$18.5 million of contracts.
- (2) **Operating Income:** State Funding, Local Funding Revenues and Transfers from other funds are below budget which is mainly due to the following:

### **State Funding Revenue:**

**California State Transportation Agency (CalSTA)** – The \$4.7 million remaining balance under this grant is currently fully encumbered and since this grant will be expiring on June 30, 2021, it is anticipated that the remaining balance will be expended prior to the expiration date.

### **Local Funding Revenue:**

**Transportation Fund for Clean Air (TFCA)** - The funding agreement for TFCA does not get executed until the later part of the year.

**Pavement Management** - Revenues are part of the annual license fee and technical service plan on pavement management. The technical service plan is paid in one lump sum upon completion of work.

**Miscellaneous Revenues** - \$1.9 million of the budget is for revenues from cities which is used as a local match for our federal Surface Transportation Block Grants (STBG) and Congestion Mitigation and Air Quality (CMAQ) grants. The timing of grant funding reimbursement is based on STBG and CMAQ grant expenditures.

### **Transfers from Other Funds:**

**BATA 1%** - Year-to-date transfers agree with actuals because the 1% Bay Area Toll Authority (BATA) administration fee was transferred at the beginning of the year.

**Transfers in STA** - Occur on a reimbursement basis.

**Membership Dues** – The Association of Bay Area Governments (ABAG) membership dues surcharge transfer of \$530,000 will occur during the fiscal year when all dues are collected. Based on the timing of grant funded projects it is not unusual for revenues to be lower than projected.

**(3) Operating Expenditures:** Contract expenses including encumbrances are \$22.9 million (56%). Contract services are ahead of budget since this includes consultant contracts and license renewals that run over multiple years. The timing of contract expenditures is more closely tied to project work which may trend over multiple fiscal years instead of a single fiscal year.

**(4) Federal Grants:** During the 2019-20 fiscal year we will be applying for eleven new grants and amending for the following STBG, CMAQ and State grants as follows:

- Seven STBG – totaling \$22.2 million;
- Five new CMAQ improvement grants totaling \$45.2 million; and
- Five new State Grants totaling \$6 million.

The combined \$238.9 million in active grants were expended as follows:

- \$4.5 million were spent on staff costs.
- \$74 million were expended and encumbered on various consultants that are detailed on pages 17 through 20 under Grant Funded Consultants.
- There was one grant that was fully spent and will be closed out during the FY 2019-20.

The remaining balance is currently \$159.8 million.

**(5) Actions under Executive Director Signature Authority:**

None this month.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

**Issues:** None.

**Recommendation:** This item is provided for information only and no action is required of the Committee.

**Attachments:** Attachment A – Financial Statements for period ending December 30, 2019

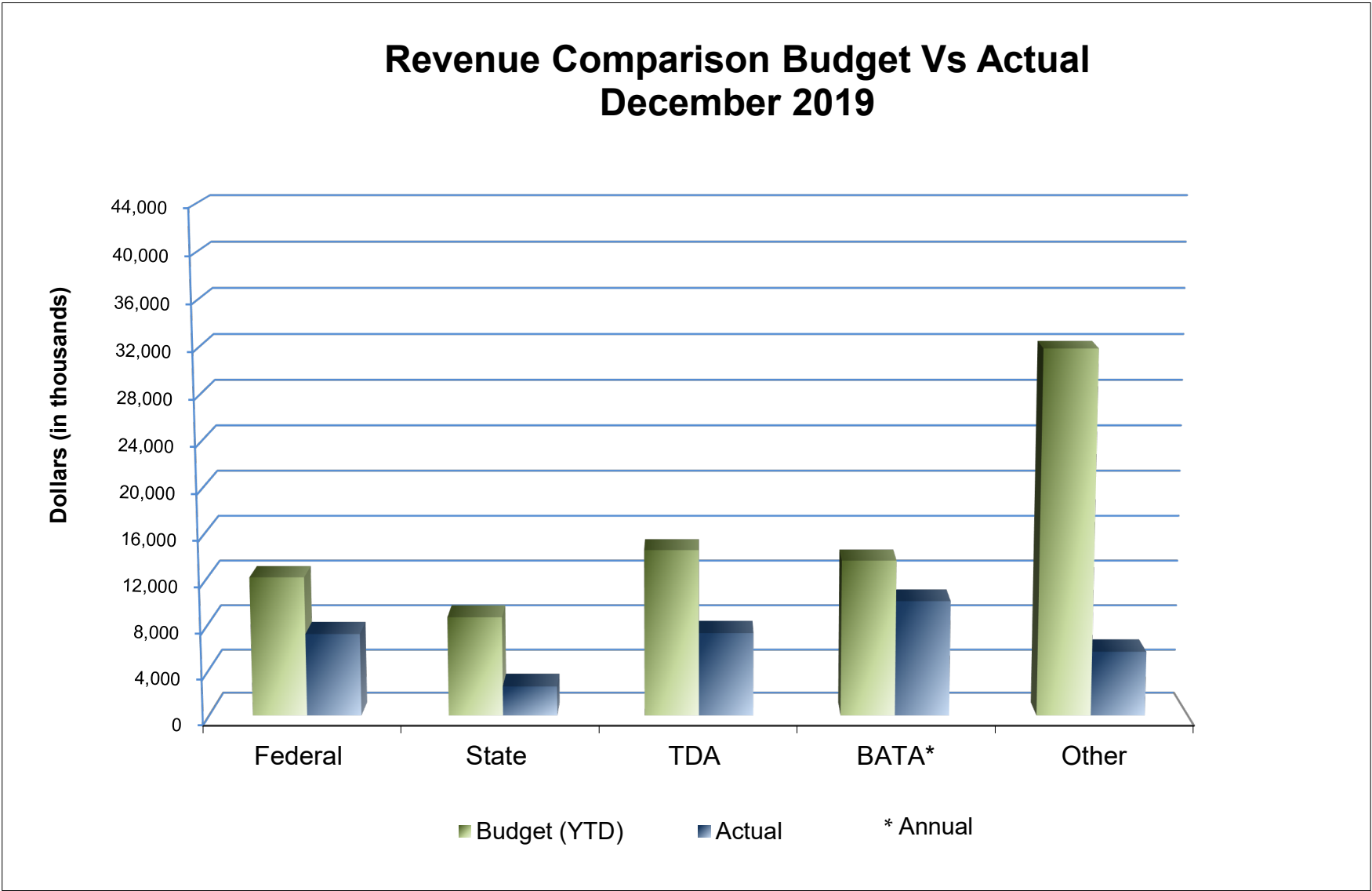
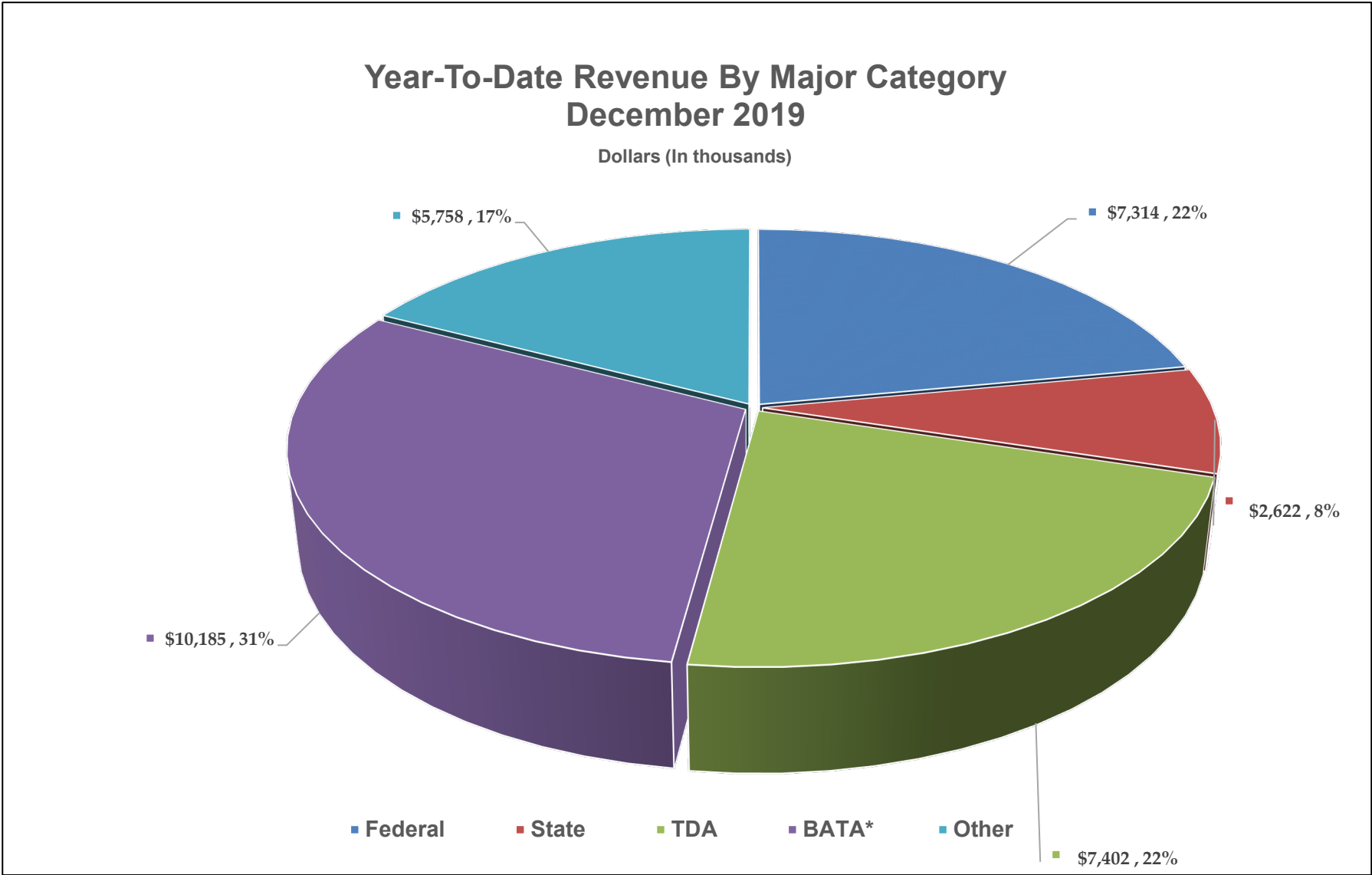


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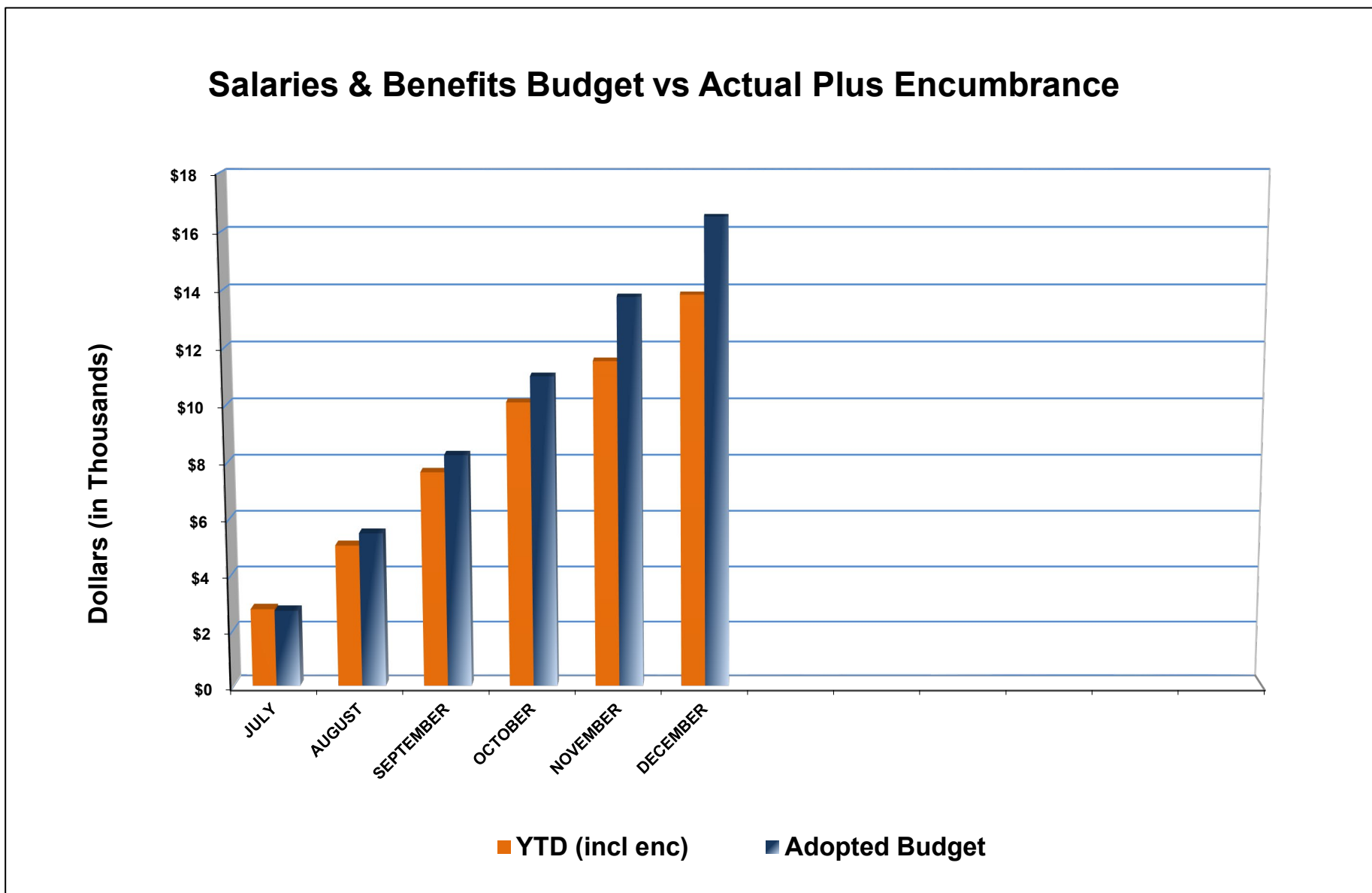
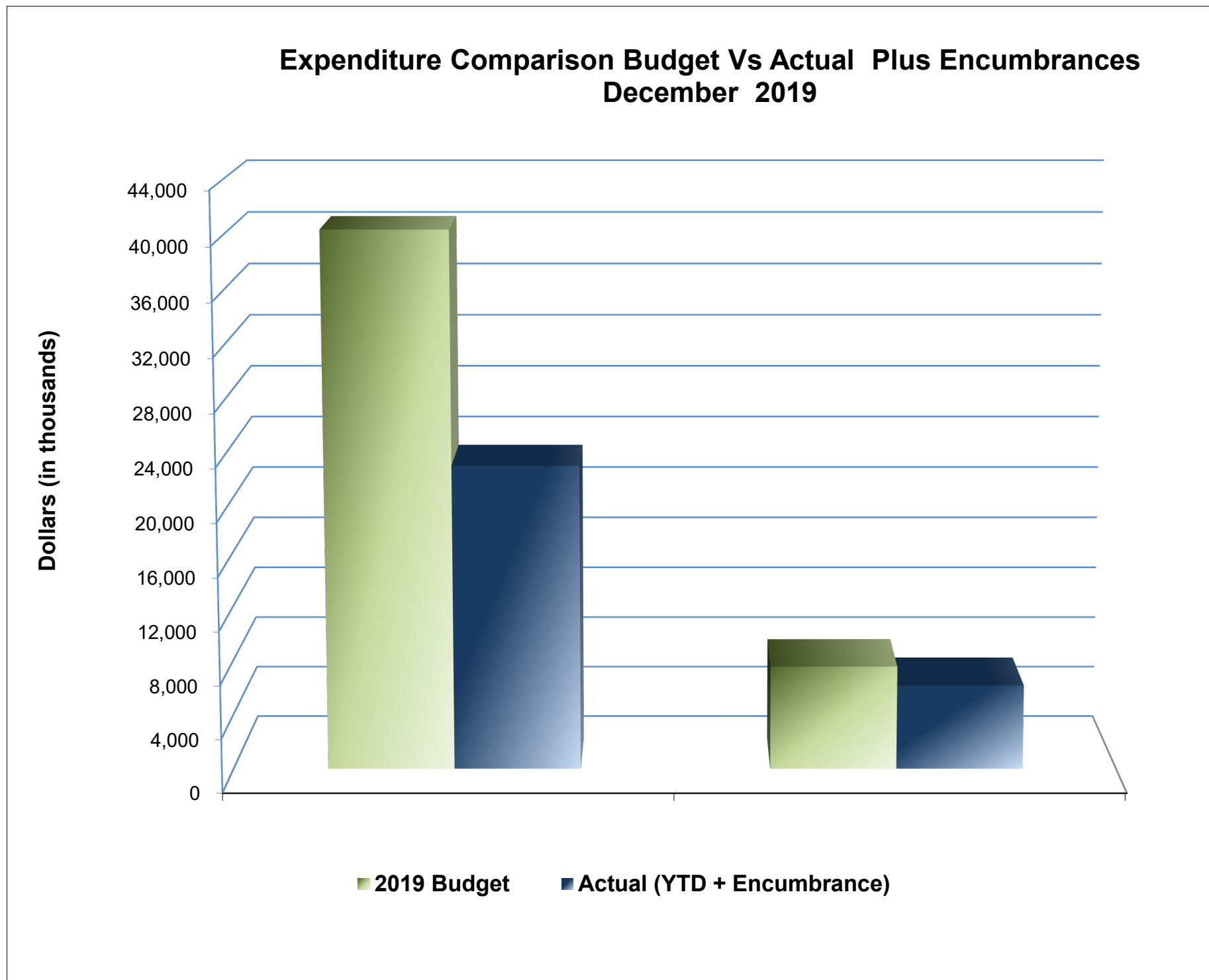
**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2019-20**  
**As of December 2019 (50% of year)**

	1	2	3	4
Operating Revenue	FY 2019-20 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
<b>General Fund Revenue:</b>				
TDA	14,616,697	7,402,117	(7,214,580)	50.6%
Interest	115,000	339,026	224,026	294.8%
<b>General Fund Total</b>	<b>14,731,697</b>	<b>7,741,143</b>	<b>(6,990,554)</b>	<b>52.5%</b>
<b>Federal Planning Revenue:</b>				
FHWA - PL	8,256,122	4,868,156	(3,387,966)	59.0%
FHWA SP&R	16,165	-	(16,165)	0.0%
FTA 5303	3,980,686	2,445,518	(1,535,168)	61.4%
<b>Federal Planning Revenue Total</b>	<b>12,252,973</b>	<b>7,313,674</b>	<b>(4,939,299)</b>	<b>59.7%</b>
<b>State Funding Revenue:</b>				
Statewide Trans. Improvement Program (STIP)	701,377	641,870	(59,507)	91.5%
Senate Bill 1 (SB1)	3,155,484	1,773,348	(1,382,136)	56.2%
California State Transportation Agency (CalSTA)	4,923,200	206,440	(4,716,761)	4.2%
<b>State Funding Revenue Total</b>	<b>8,780,061</b>	<b>2,621,657</b>	<b>(6,158,404)</b>	<b>29.9%</b>
<b>Local Funding Revenue:</b>				
Transportation Fund for Clean Air (TFCA)	1,257,954	-	(1,257,954)	0.0%
High-Occupancy Vehicle Lane (HOV)	520,000	316,573	(203,427)	60.9%
Pavement Management	2,182,139	540,575	(1,641,564)	24.8%
BAAQMD	351,067	374,421	23,354	106.7%
Miscellaneous	2,945,462	293,112	(2,652,349)	10.0%
<b>Local Funding Revenue Total</b>	<b>7,256,621</b>	<b>1,524,681</b>	<b>(5,731,940)</b>	<b>21.0%</b>
<b>Transfers From Other Funds:</b>				
BATA 1%	8,096,994	8,096,994	-	100.0%
Transfer BATA	5,612,443	2,088,010	(3,524,433)	37.2%
SAFE	2,496,321	218,795	(2,277,526)	8.8%
2% Transit Transfers	293,204	505,241	212,037	172.3%
Transfers in - STA	8,435,828	38,261	(8,397,567)	0.5%
Bay Trail 2% Bridge Tolls & 5%	723,421	398,535	(324,886)	55.1%
Membership Dues	530,000	-	(530,000)	0.0%
Transfer from or (to) Reserve/Capital/O.H.	12,026,018	2,733,536	(9,292,482)	22.7%
<b>Transfers Total</b>	<b>38,214,230</b>	<b>14,079,373</b>	<b>(24,134,857)</b>	<b>36.8%</b>
<b>Total Operating Revenue</b>	<b>81,235,583</b>	<b>33,280,529</b>	<b>(47,955,053)</b>	<b>41.0%</b>



**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2019-20**  
**As of December 2019 (50% of year)**

	1	2	3	4	5
	FY 2019-20	Actual	Budget Balance	% of Budget	
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	33,038,439	13,496,835	(19,541,604)	40.9%	341,494
Travel & Training	590,419	188,849	(401,570)	32.0%	42,942
Commission Expense					
Commissioner Expense	150,000	45,655	(104,345)	30.4%	-
Advisory Committees	15,000	7,150	(7,850)	47.7%	-
Printing & Graphics	137,700	20,429	(117,271)	14.8%	57,069
Computer Services	3,572,539	1,917,051	(1,589,499)	53.7%	1,738,292
General Operations	3,310,988	1,123,911	(2,187,077)	33.9%	1,198,420
<b>Total operating</b>	<b>40,815,085</b>	<b>16,799,879</b>	<b>(23,949,217)</b>	<b>41.2%</b>	<b>3,378,217</b>
Contract Services	40,420,496	4,393,252	(36,027,244)	10.9%	18,545,745
<b>Total Operating Expenditures</b>	<b>81,235,582</b>	<b>21,193,131</b>	<b>(60,042,451)</b>	<b>26.1%</b>	<b>21,923,962</b>



**MTC CAPITAL BUDGETS**  
**As of December 2019 (50% of year)**

<u>Capital</u>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
Transfer from Reserves	\$540,000	\$0	\$0	\$540,000
Expense	\$540,000	\$440	\$30,323	\$509,237

**Bay Bridge Forward Project**

<b>Operating</b>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
STP	25,004,999	1,048,922	-	23,956,077
CMAQ	7,504,135	107,603	-	7,396,531
RM2 Capital	15,331,742	862,287	-	14,469,455
SAFE Capital	1,022,874	1,660	-	1,021,213
Exchange	2,590,781	-	-	2,590,781
Local - Cities	2,802,151	741,417	-	2,060,734
<b>Revenue</b>	<b>\$54,256,682</b>	<b>\$2,761,890</b>	<b>\$0</b>	<b>\$51,494,792</b>
<b>Expense</b>	<b>\$54,256,682</b>	<b>\$4,022,897</b>	<b>\$19,827,327</b>	<b>\$30,406,459</b>

**LIFE TO DATE FEDERAL GRANT BUDGET**  
**As of December 2019 (50% of year)**

Fund Source	Project Description	Grant LTD Balance as of 7/1/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>STP GRANTS</b>								
6084-180 1809	FPI	29,391	-	29,391	-	23,004	6,387	-
6084-186 1812	OBAG Regional PDA	2,125,228	-	2,125,228	-	126,588	1,998,641	-
6084-198 1818	Pavement Management	3,177,288	-	3,177,288	-	515,409	944,865	1,717,014
6084-199 1819	511 Traveler Information	884,772	-	884,772	2,388	349,232	281,283	251,869
6084-201 1820	Freeway Performance Initiative	564,588	-	564,588	-	5,365	525,704	33,520
6084-205 1822	Pavement Management	1,024,493	-	1,024,493	-	(1,500)	524,978	501,015
6084-206 1826	CMA Planning	38,102,828	-	38,102,828	-	2,556,325	5,242,675	30,303,828
6084-207 1827	MTC Planning	6,695,747	35,000	6,730,747	649,814	28,541	5,096	6,047,296
6084-213 1833	511 Next Generation	8,306,241	-	8,306,241	51,200	1,187,632	3,958,219	3,109,190
6084-222 1835	Incident Management	3,897,606	-	3,897,606	302,831	-	-	3,594,775
6084-225 1836	TMC Asset	1,071,911	-	1,071,911	75,397	-	-	996,515
6084-228 1838	Freeway Performance -SR 84	29,484	-	29,484	-	2,101	27,383	-
6084-232 1839	PDA Planning & Implementation	7,884,154	-	7,884,154	125,430	191,966	5,806,363	1,760,395
6084-226-1841	Arterial Operations Management	11,293,748	2,000,000	13,293,748	1,408,002	59,079	623,108	11,203,558
6084-227-1842	Enhance Arterial: CAT1	6,457,757	3,915,000	10,372,757	-	39,637	7,961,467	2,371,653
6084-230 1843	Commuter Parking O&M	2,469,073	-	2,469,073	-	-	41,961	2,427,113
6084-231 1844	Freeway Performance - I880 Corridor	2,834,286	-	2,834,286	-	13,846	914,644	1,905,796
6084-233 1845	Freeway Performance - I 680 Corridor	13,992,875	-	13,992,875	-	8,369	13,978,047	6,459
6084-235 1846	Bay Area Forward - TMS	2,488,143	-	2,488,143	6,756	-	347,015	2,134,371
6084-241 1847	Shared Mobility	2,497,680	-	2,497,680	41,100	80,199	519,801	1,856,580
6084-250 1850	511 - Traveler Information Program	1,146,030	4,553,970	5,700,000	821,105	-	-	4,878,895
6084-244 1852	Connected Automated Vehicle Projects	2,500,000	3,200,000	5,700,000	-	-	-	5,700,000
New	Commute Challenge	-	2,500,000	2,500,000	-	-	-	2,500,000
New	Shared Use Mobility	-	6,000,000	6,000,000	-	-	-	6,000,000
<b>TOTAL</b>		<b>119,473,325</b>	<b>22,203,970</b>	<b>141,677,295</b>	<b>3,484,023</b>	<b>5,185,794</b>	<b>43,707,637</b>	<b>89,299,840</b>
<b>CMAQ GRANTS</b>								
6084-160 1589	Arterial Operations	194,743	-	194,743	154,320	-	-	40,423
6084-188 1814	Regional Bicycle Program	64,080	-	64,080	64,080	-	-	-
6084-202 1824	Climate Initiatives	595,390	-	595,390	-	40,000	555,390	-
6084-209 1825	Operate Car Pool Program	5,872,198	-	5,872,198	43,668	389,887	1,850,021	3,588,622
6084-211 1828	Commuter Benefits Implementation	990,601	-	990,601	137,159	77,044	161,486	614,912
6084-210-1829	Incident Management	18,412,548	-	18,412,548	-	1,942,687	15,205,973	1,263,888
6084-215 1830	Spare the Air Youth Program	1,919,076	-	1,919,076	-	92,828	1,815,016	11,232
6084-216 1831	Arterial/Transit Performance/Rideshare	3,516,256	-	3,516,256	-	15,261	338,469	3,162,526
6084-208 1832	Vanpool Program	1,922,613	-	1,922,613	-	90,913	82,700	1,749,000
6084-212 1834	Connected Vehicles/Shared Mobility - TM	2,370,163	-	2,370,163	280,599	-	-	2,089,564
6084-220 1837	I-880 ICM Central	1,115,752	-	1,115,752	4,463	-	-	1,111,288
6084-219 1840	Bay Area Forward - BBF West Grand TSP	998,400	-	998,400	-	956	-	997,444
6084-242 1848	Regional Car Sharing	1,200,411	-	1,200,411	4,672	-	-	1,195,739
6084-243 1849	Targeted Transportation Alternatives	325,000	-	325,000	5,195	-	-	319,805
6084-254 1851	FPI - US 101	500,000	2,500,000	3,000,000	-	-	297,000	2,703,000
New	FPI - I 580	-	5,000,000	5,000,000	-	-	-	5,000,000
New	FPI - SR-37/Other	-	18,000,000	18,000,000	-	-	-	18,000,000
New	I880 Central Segment Project Study	-	8,840,000	8,840,000	-	-	-	8,840,000
New	Climate Initiatives	-	10,875,000	10,875,000	-	-	-	10,875,000
<b>TOTAL</b>		<b>39,997,230</b>	<b>45,215,000</b>	<b>85,212,230</b>	<b>694,157</b>	<b>2,649,575</b>	<b>20,306,054</b>	<b>61,562,444</b>
<b>FTA GRANTS</b>								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	82,591	(0)
CA37-X133 1627	JARC	77,310	-	77,310	-	35,408	41,902	-
CA37-X164 1629	JARC	45,757	-	45,757	-	-	45,757	0
CA37-X177 1630	JARC	632,055	-	632,055	-	3,251	66,813	561,991
CA57-X109 1632	New Freedom	232,947	-	232,947	-	32,021	151,957	48,970
CA34-0024 1633	FTA 5339 - Bus Purchases	503,308	-	503,308	-	-	503,308	-
CA34-0032 1634	FTA 5339 - Bus Purchases	452,441	-	452,441	-	-	450,307	2,134
64AM18-00758 1636		336,189	-	336,189	237,047	-	99,143	-
<b>TOTAL</b>		<b>2,362,598</b>	<b>-</b>	<b>2,362,598</b>	<b>237,047</b>	<b>70,679</b>	<b>1,441,778</b>	<b>613,095</b>



LIFE TO DATE FEDERAL GRANT BUDGET  
As of December 2019 (50% of year)

Fund Source	Project Description	Grant LTD Balance as of 7/1/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
Other Federal and State Grants								
SHA 6084-184 1112	FHWA - SHRP2	74,932	-	74,932	-	-	-	74,932
BF-99T455 1340	Environmental Protection Agency (EPA)	331,445	-	331,445	4,651	-	-	326,794
CA000007-01 1342	Environmental Protection Agency (EPA)	545,039	-	545,039	6,193	8,046	-	530,800
EMF2016 1372	Federal Emergency Management Agency	12,190	-	12,190	12,190	-	-	(0)
CARB 2404	California Air Resources Board	1,906,439	-	1,906,439	-	151,202	729,057	1,026,180
14 -003 2800	Coastal Conservancy	363,387	-	363,387	-	-	100,000	263,387
10-092 2801	Coastal Conservancy	267,809	-	267,809	63,188		7,590	197,031
New	SSARP Planning Grant	-	500,000	500,000	-	-	-	500,000
New	State Coastal Conservancy Prop 68	-	3,000,000	3,000,000	-	-	-	3,000,000
New	State Coastal Conservancy Prop 69	-	2,000,000	2,000,000	-	-	-	2,000,000
New	Federal Emergency Management Agency	-	300,000	300,000	-	-	-	300,000
New	USGS National Grant	-	75,000	75,000	-	-	-	75,000
TOTAL		3,501,242	5,875,000	9,376,242	86,222	159,248	836,647	8,294,124
Total Federal Grants Budget		165,334,395	73,293,970	238,628,365	4,501,448	8,065,296	66,292,117	159,769,503

EMF2016 1372	Federal Emergency Management Agency	- Grant is fully spent and will be closed out in FY 2019-20						
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**CLIPPER OPERATING BUDGET**  
**As of December 2019 (50% of year)**

<b>Clipper Operating</b>	<b>Total FY 2018-19 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	3,469,614	1,021,803	-	2,447,812
STA	9,747,119	4,761,546	-	4,985,573
Clipper Escheatment	2,960,359	-	-	2,960,359
Transit Operators	23,773,381	6,908,927	-	16,864,454
<b>Revenue</b>	<b>\$39,950,473</b>	<b>\$12,692,276</b>	<b>\$0</b>	<b>\$27,258,197</b>
<b>Expense</b>	<b>\$39,950,473</b>	<b>\$12,720,095</b>	<b>\$22,785,501</b>	<b>\$4,444,877</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
**As of December 2019 (50% of year)**

<b>Clipper I - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	66,669,515	66,903,705	-	(234,190)
Card Sales	17,951,267	14,906,020	-	3,045,247
Cap and Trade (LCTOP)	7,777,971	7,316,352	-	461,619
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,642,559	-	(9,569,994)
STP	31,790,753	34,514,826	-	(2,724,073)
STA	21,946,540	21,675,912	-	270,628
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,731,571	-	3,133,242
Transit Operators	10,279,437	1,931,917	-	8,347,520
WETA	603,707	618,862	-	-
Sales Tax	890,216	890,216	-	-
<b>Revenue</b>	<b>\$230,409,357</b>	<b>\$222,276,416</b>	<b>\$0</b>	<b>\$8,132,941</b>
<b>Expense</b>	<b>\$230,409,357</b>	<b>\$211,929,919</b>	<b>\$12,839,766</b>	<b>\$5,639,673</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
**As of December 2019 (50% of year)**

<b>Clipper II - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
STP	10,316,887	8,157,079	-	2,159,808
FTA	133,903,689	17,039,864	-	116,863,825
LCTOP	-	500,000		
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	-	-	4,000,000
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	2,766,601	-	(355,760)
<b>Revenue</b>	<b>\$219,003,300</b>	<b>\$28,723,346</b>	<b>\$4,523,453</b>	<b>\$190,779,954</b>
<b>Expense</b>	<b>\$219,003,300</b>	<b>\$28,318,845</b>	<b>\$4,523,453</b>	<b>\$186,161,002</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Support to the Commission</b>				
Consultants	235,188		35,188	
<b>1051111 - Subtotal</b>	<b>235,188</b>	<b>-</b>	<b>35,188</b>	<b>200,000</b>
<b>Implement Public Information Program</b>	2,481,457			
Alta Planning and Design			15,000	
Circlepoint		47,455	29,197	
Consultants		240,751	1,722,006	
Craft & Commerce, LLP		17,934	63,323	
League f Women Voters			25,000	
<b>1051112 - Subtotal</b>	<b>2,481,457</b>	<b>306,140</b>	<b>1,854,526</b>	<b>320,791</b>
<b>Regional Transportation Plan</b>	1,693,018			
AECOM		25,740	19,260	
AECOM Technical Services			12,500	
Arup North America Ltd.			15,000	
Consultants		117,761	296,059	
Craft & Commerce, LLP		41,030	30,000	
Economic & Planning Systems			29,970	
EMC Research			110,000	
Exygy, Inc.		71,395	13,184	
Urban Institute			87,025	
<b>1051121 - Subtotal</b>	<b>1,693,018</b>	<b>255,926</b>	<b>612,998</b>	<b>824,094</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>	2,185,346			
Consultants			18,257	
Corey, Canapary & Galanis		18,712	278,357	
ETC Institute		54,106	125,232	
Oakland Analytics		17,685	57,315	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.		24,098	31,402	
Resource Systems Group			205,093	
RSG, Inc.		37,439	261,947	
WSP USA Inc.			100,040	
<b>1051122 - Subtotal</b>	<b>2,185,346</b>	<b>152,040</b>	<b>1,089,017</b>	<b>944,289</b>
<b>Airport/Seaport/Freight Planning</b>	66,870			
Cambridge Systematics			66,870	
<b>1051124 - Subtotal</b>	<b>66,870</b>	<b>-</b>	<b>66,870</b>	<b>-</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Active Transportation Planning</b>	685,355			
Consultants			135,230	
<b>1051125- Subtotal</b>	<b>685,355</b>	<b>-</b>	<b>135,230</b>	<b>550,125</b>
<b>Resiliency (Sea Level Rise/Adaptation) PL</b>	2,406			
AECOM				
Bay Conservation & Development		2,406		
<b>1051126 - Subtotal</b>	<b>2,406</b>	<b>2,406</b>	<b>-</b>	<b>0</b>
<b>Regional Trails</b>	90,000			
<b>1051127 - Subtotal</b>	<b>90,000</b>	<b>-</b>	<b>-</b>	<b>90,000</b>
<b>Resilience and Hazards Planning</b>	88,196			
Consultants		1,151	6,755	
Rutherford & Chekene		14,064	66,226	
<b>1051128 - Subtotal</b>	<b>88,196</b>	<b>15,215</b>	<b>72,981</b>	<b>0</b>
<b>Regional Research and Economic</b>	140,375			
Bay Area Council Economics Ins.			10,000	
Consultants		3,500	16,500	
<b>1051129 - Subtotal</b>	<b>140,375</b>	<b>3,500</b>	<b>26,500</b>	<b>110,375</b>
<b>Advocate Legislative Programs</b>	475,000			
Carter, Welch & Associates		25,273		
Government Relations		100,000	200,000	
<b>1051132- Subtotal</b>	<b>475,000</b>	<b>125,273</b>	<b>200,000</b>	<b>149,727</b>
<b>Agency Financial Management</b>	863,302			
Milliman Actuarial		690		
Superion LLC		560		
SunGard Bi-Tech Inc.			1,194	
Gray CPA Consulting			3,000	
PWC		273,404	-	
<b>1011152 - Subtotal</b>	<b>863,302</b>	<b>274,654</b>	<b>4,194</b>	<b>584,454</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Administrative Services</b>	1,084,359			
Koff & Associates			98,229	
Management Partners		2,384	49,716	
Carl Warren & Co.			87,050	
Pathways for High School		118,151	10,242	
Keenan & Associates			30,333	
San Jose State University		30,818	69,182	
Perfromance Based Ergonomics		10,329	14,667	
The Solis Group			21,852	
United Development USA			18,000	
<b>1011153 - Subtotal</b>	<b>1,084,359</b>	<b>161,681</b>	<b>399,272</b>	<b>523,406</b>
<b>Information Technology Services</b>	2,118,821			
Informatix, Inc.		23,496	22,044	
Visual Strategies		23,208	226,792	
Management Partners			74,536	
Ruben Marcia		9,671	5,653	
Insight Public		35,824	12,454	
SSP Data		1,863	120,751	
Core BTS Inc.		14,603	39,129	
Elite Automotion LLC		3,250	30	
KIS Computer Center		17,040	9,120	
SAGE Software			-	
Larry Cabrera		8,388	16,612	
<b>1011161 - Subtotal</b>	<b>2,118,821</b>	<b>137,342</b>	<b>527,120</b>	<b>1,454,358</b>
<b>Performance Measurement and Monitoring</b>	405,861			
Consultants			125,000	
Exygy, Inc.		72,684	207,055	
<b>1051212 - Subtotal</b>	<b>405,861</b>	<b>72,684</b>	<b>332,055</b>	<b>1,122</b>
<b>Regional Rideshare Program</b>	1,266,328			
Enterprise Rent-A-Car		115,238	405,600	
Parsons Brinkerhoff		83,341	9,374	
<b>1051222 - Subtotal</b>	<b>1,266,328</b>	<b>198,579</b>	<b>414,974</b>	<b>652,775</b>
<b>Support Regional Operations Program</b>	701,526			
Iteris Inc.		6,711	65,052	
Consultants			83,763	
Kimly Horn			394,000	
<b>1051223 - Subtotal</b>	<b>701,526</b>	<b>6,711</b>	<b>542,815</b>	<b>152,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Implement Regional Traveler Information Services</b>	635,472			
Civic Resource Group		9,766	23,978	
Consultants		24,433	2,900	
Iteris Inc.			139,909	
Kimly Horn & Associates			2,029	
<b>1051224 - Subtotal</b>	<b>635,472</b>	<b>34,199</b>	<b>168,816</b>	<b>432,457</b>
<b>Pavement Management Program (PMP)</b>	3,298,367			
AMS Consulting		6,524	27,645	
Bellecci & Associates		2,753	5,735	
CA State University, Chico			100,000	
Capitol Asset & Pavement		13,578	22,357	
Devmecca.Com		739,074	804,277	
Fugro Roadware, Inc.		172	26,370	
Harris & Associates		12,608	15,585	
Nichols		44,686	312,453	
Nichols Consulting			49,713	
Pavement Engineering Inc.		16,376	12,070	
Quality Engineering Solutions		4,331	20,731	
URS Corporation			55,151	
<b>1051233 - Subtotal</b>	<b>3,298,367</b>	<b>840,102</b>	<b>1,452,087</b>	<b>1,006,178</b>
<b>Arterial Operations</b>	1,871,672			
City of San Rafael		-	207,844	
City of Concord		-	588,854	
DKS Associates		4,313	10,435	
City of hayward		-	53,554	
Iteris, DBA, MMA		26,796	155,142	
Kimly Horn		4,637		
City of Pleasanton		-	47,644	
City of San Francisco		-	95,764	
<b>1051234 - Subtotal</b>	<b>1,871,672</b>	<b>35,746</b>	<b>1,159,237</b>	<b>676,689</b>
<b>Incident Management</b>	600,870			
Circlepoint			63,650	
Consultants			112,600	
City of Fremont			57,286	
Iteris, Inc.			124,000	
Kimly Horn		19,088	14,315	
<b>1051235 - Subtotal</b>	<b>600,870</b>	<b>19,088</b>	<b>371,851</b>	<b>209,931</b>
<b>Technologically -Based Operations &amp; Mobility</b>	2,000,000			
<b>1051238 - Subtotal</b>	<b>2,000,000</b>	<b>-</b>	<b>-</b>	<b>2,000,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Lifeline Transportation Program</b>	20,000			
<b>1051310 - Subtotal</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>20,000</b>
	6,875,828			
Carshoft Technology Corporation		93,173		
Consultants		5,500	4,000	
Cubic Trasnportation Systems		366,312	958,798	
Moore,Iacofano, Goltsman			188,000	
Resource Development Association		24,213	56,506	
Vertiba,LLC		484,281	838,642	
<b>1051311 - Subtotal</b>	<b>6,875,828</b>	<b>973,479</b>	<b>2,045,946</b>	<b>3,856,403</b>
<b>Climate Reslilience for People with Disabilitites</b>	308,601			
World Institute on Disability		37,819	270,782	
<b>1051313 - Subtotal</b>	<b>308,601</b>	<b>37,819</b>	<b>270,782</b>	<b>(0)</b>
<b>Climate Assessment Initiative</b>	285,000			
Nelson\Nygaard Consulting			75,000	
<b>1051413 - Subtotal</b>	<b>285,000</b>	<b>-</b>	<b>75,000</b>	<b>210,000</b>
<b>East Palo Alto &amp; Dumbarton Bridge Resilience Study</b>	161,648			
AECOM		8,634	153,014	
<b>1051415 - Subtotal</b>	<b>161,648</b>	<b>8,634</b>	<b>153,014</b>	<b>(0)</b>
<b>Regional Assistance Program</b>	274,000			
Pieriott & Associates, LLC			62,000	
<b>1051514 - Subtotal</b>	<b>274,000</b>	<b>-</b>	<b>62,000</b>	<b>212,000</b>
<b>State Programing, Monitoring and TIP Development</b>	187,200			
Consultants			187,200	
<b>1051515 - Subtotal</b>	<b>187,200</b>	<b>-</b>	<b>187,200</b>	<b>-</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Transit Sustainability Project</b>	6,550,056			
Arup North America Ltd.		2,546	1,138	
BART		42,857	-	
Golden Gate Bridge & Highway			42,857	
HDR Engineering Inc.		206,440	4,713,077	
Napa Valley Transportation Authority			30,000	
Nelson Nygard			6,840	
Parsons Brinckerhoff, Inc.		1,100	102,140	
Sonoma County Transit			30,000	
Sonoma County Transportation			32,538	
Sonoma County Transportation			30,000	
UCLA		10,039	11,628	
Water Transit Authority			30,000	
WestCat			30,000	
<b>1051517 - Subtotal</b>	<b>6,550,056</b>	<b>262,982</b>	<b>5,060,217</b>	<b>1,226,856</b>
<b>Transportation for Livable Communities Program</b>	607,242			
California Housing Partnership			12,750	
Consultants		516	36,194	
Fehr & Peers Associates			17,797	
Nelson Nygard		7,744	28,153	
Placeworks		10,891	29,042	
TJKM Transportation Consultant		2,414	18,824	
<b>1051611 - Subtotal</b>	<b>607,242</b>	<b>21,565</b>	<b>142,760</b>	<b>442,917</b>
<b>Climate Adaptation Consulting (BARC)</b>	194,384			
Consultants		6,331	8,669	
Hassell esign Ltd.			20,000	
San Francisco Estuary Institute		15,713	9,287	
Tom Leader Studion, Inc.			20,000	
<b>1051612- Subtotal</b>	<b>194,384</b>	<b>22,044</b>	<b>57,956</b>	<b>114,384</b>
<b>Road Maintenance and Rehabilitation</b>	285,445			
Consultants		9,074	50,926	
Hassell Design Ltd.		24,928	90,113	
State Coastal Conservancy		16,078	13,922	
Tom Leader Studio, Inc.		66,620	90,454	
<b>1051613- Subtotal</b>	<b>285,445</b>	<b>116,700</b>	<b>245,415</b>	<b>-</b>
<b>Connecting Housing and Transportation</b>	149,999			
Baird & Driskell Planning		20,000	3,500	
Community Outreach			6,000	
Consultants		14,505	25,001	
Translight LLC		10,607	70,386	
<b>1051615- Subtotal</b>	<b>149,999</b>	<b>45,112</b>	<b>104,887</b>	<b>(0)</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Regional Advance Mitigation Projects</b>	100,000			
Consultants		50,000		
<b>1051616- Subtotal</b>	<b>100,000</b>	<b>50,000</b>	<b>-</b>	<b>50,000</b>
<b>Technical Assistance Strategic Planning</b>	82,856			
Estolano Lesar Advisors		34,480	7,931	
<b>1051617- Subtotal</b>	<b>82,856</b>	<b>34,480</b>	<b>7,931</b>	<b>40,445</b>
<b>Affordable Mobility Pilot Program</b>	248,278			
TransForm		42,647	205,631	
<b>1051618- Subtotal</b>	<b>248,278</b>	<b>42,647</b>	<b>205,631</b>	<b>-</b>
<b>Legal</b>	1,098,750			
Davis Wright TR Legal Fees		10,044		
Glen & Finley LLP		36,340	15,973	
Hanson Bridgett		4,604	50,483	
Meyers Nave		585	32,298	
BEST BEST & Kreiger LLP		2,570	32,815	
Rene Public Law Group		82,362	309,705	
Farella Braun And Martel LLP		-	20,000	
<b>1060000 - Subtotal</b>	<b>1,098,750</b>	<b>136,505</b>	<b>461,273</b>	<b>500,972</b>
<b>Total Operating Contract Services</b>	<b>40,419,074</b>	<b>4,393,252</b>	<b>18,545,745</b>	<b>17,556,748</b>
<b>Bay Area Forward - Capital</b>				
<b>11051237 - Subtotal</b>	<b>19,156,767</b>	<b>2,851,220</b>	<b>2,121,388</b>	<b>14,184,159</b>
<b>Total Non-Federal Grant Funded</b>	<b>59,575,841</b>	<b>7,244,472</b>	<b>20,667,133</b>	<b>31,740,907</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Trails</b>		
East Bay Regional Park District		100,000
Petaluma Small Craft Center		7,590
<b>1051127 - Subtotal</b>	<b>-</b>	<b>107,590</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		
Consultants	25,000	
Enterprise Renta-A-Car	90,913	82,700
Parsons Brinkerhoff	441,931	2,036,507
Parsons Brinkerhoff	73,076	411,624
Sonoma County Transportation		28,038
Valley Transportation Authority		70,000
<b>1051222 - Subtotal</b>	<b>630,920</b>	<b>2,628,869</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates		351,663
<b>1051223 - Subtotal</b>	<b>-</b>	<b>351,663</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	339,042	144,192
Faneuil Inc.,	171,384	1,432,549
Iteris, Inc.	947,715	1,574,727
Kimley-Horn & Associates	5,648	573,724
<b>1051224 - Subtotal</b>	<b>1,463,789</b>	<b>3,725,192</b>
<b>Pavement Management Program (PMP)</b>		
AMS Consulting	50,356	213,375
Bellecci & Associates	21,247	44,265
Capitol Asset & Pavement Services	104,802	172,563
Fugro Roadware, Inc.		205,030
Harris & Associates	97,312	120,295
Nichols Consulting Engineers	80,541	461,146
Pavement Enginnering Inc.	126,394	93,160
Quality Engineering Solutions	33,429	160,009
<b>1051233 - Subtotal</b>	<b>514,081</b>	<b>1,469,843</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Arterial Operations Coordination</b>		
AC Transit		2,300,000
City of Emeryville		726,913
City of San Rafael		704,691
DKS Associates	33,289	106,473
City of Hayward	-	263,279
IBI Group		195,000
Iteris, DBA MMA	4,092	164,842
Kimly Horn	31,940	320,827
City of Pleasanton		233,791
City of San Jose		1,342,688
City of San Ramon		444,949
City of San Francisco		469,566
TJKM Transportation Consultant		23,406
Town of Los Gatos		643,662
City of Union City		618,916
<b>1051234 - Subtotal</b>	<b>69,321</b>	<b>8,559,003</b>
<b>Implement Incident Management Program</b>		
AC Transit	-	308,160
Consultants	7,448	182,552
MNS Engineers (former S&C)	174,938	1,183,767
Parsons Transportation Group		1,885,300
Sturgeon Electric California	1,755,320	11,603,770
URS Corporation	4,981	42,424
<b>1051235 - Subtotal</b>	<b>1,942,687</b>	<b>15,205,973</b>
<b>Lifeline Program</b>		
Contra Costa County		9,924
County of Contra Costa	3,251	26,470
Cycles of Change		751
San Mateo County Human Service		29,668
<b>1051310 - Subtotal</b>	<b>3,251</b>	<b>66,813</b>
<b>Implement Lifeline Transportation Program</b>		
Marin Transit		45,757
Peninsula Family Services	35,408	41,902
<b>1051311 - Subtotal</b>	<b>35,408</b>	<b>87,659</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Lifeline Planning</b>		
Alta Plannign & Design	92,828	1,815,016
Silicon Valley Bicycle Coalition	40,000	555,390
<b>1051413 - Subtotal</b>	<b>132,828</b>	<b>2,370,406</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
ECCTA		450,307
<b>1051512 - Subtotal</b>	<b>0</b>	<b>450,307</b>
<b>New Freedom - Non - Planning Funds</b>		
County Connection		30,000
Outreach & Escort Inc.	32,021	151,957
San Mateo County Transit District		67,578
<b>1051518 - Subtotal</b>	<b>32,021</b>	<b>249,535</b>
<b>Transportation for Livable Communities</b>		
Bay Conservation & Development	28,541	5,096
City of Berkeley		79,975
City & County of San Francisco		500,000
City of San Rafael		339,704
City of Santa Rosa		763,636
City of Union City		800,000
Consultant	75,204	138,093
City of El Cerrito		317,844
Fehr & Peers Associates	26,200	129,263
Nelson Niggard	80,133	390,993
City of Oakland		34,800
Placeworks	84,060	224,157
City and County of San Francisco		400,000
City of San Jose		437,119
Santa Clara VTA		2,100,000
City of Santa Clara		712,448
City of Sunnyvale		335,200
TJKM Transportation Consultant	27,440	145,290
City of Vacaville	25,518	324,482
Valley Transportation Authority		132,000
<b>1051611 - Subtotal</b>	<b>347,096</b>	<b>8,310,100</b>
Ninyo & Moore Geotechnical	8,046	
<b>1051615 - Subtotal</b>	<b>8,046</b>	<b>0</b>

DISBURSEMENT REPORT - (Funded by Federal Grants)  
As of December 2019 (50% of year)

Work Element/Consultant	Expended	Encumbered
Affordable Mobility Pilot Program		
Transform	151,202	729,057
1051618 - Subtotal	151,202	729,057
Fund 190 CMA PLANNING	2,556,148	5,306,054
Total Federal Grant Funded	7,886,798	49,618,107
Bay Area Forward - Capital		
11051237 - Subtotal	178,498	16,674,010
Total Federal Grant Funded	8,065,296	66,292,117

**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of December 2019 (50% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Capital Expenditures</b>	<b>540,000</b>	440	30,323	
<b>Subtotal</b>	<b>540,000</b>	<b>440</b>	<b>30,323</b>	<b>509,237</b>
 <b>Bay Bridge Forward</b>	 <b>54,256,682</b>			
California Engng. Contractors			26,154	
California Highway Patrol			686,231	
Caribou Public Relations		16,555	283,445	
CONSULTANTS (PO)		78,518	1,025,528	
CONTRA COSTA TRANSP AUTHORITY		8,369	13,978,047	
GRAY-BOWEN-SCOTT			80,000	
HDR Engineering, Inc.		25,105	176,376	
KIMLEY-HORN		63,136	489,150	
Kittelson & Associates			330,000	
Kittelson & Associates, Inc			125,000	
LUUM		143,000	192,000	
MTC STAFF COSTS		992,429	614,125	
O.C. Jones & Sons, Inc.		2,126,310	128,799	
Parsons Transportation Group			850,798	
Placeworks			45,711	
San Mateo County Transit Dist			27,390	
Steer Davis & Gleave Inc.		63,644	236,356	
Transp Mobility Solutions			41,961	
Wilson, Sparling & Associates			30,000	
WSP USA Inc.		501,017	318,983	
WSP USA, Inc.			100,000	
WSP, USA INC.		4,813	67,427	
<b>Subtotal</b>	<b>54,256,682</b>	<b>4,022,897</b>	<b>19,827,327</b>	<b>30,406,459</b>

## CLIPPER PROJECTS DISBURSEMENT REPORT

As of December 2019 (50% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	<b>39,950,473</b>			
AC Transit		102,000	142,800	
AT&T			246,951	
Caribou Public Relations		79,415		
CONSULTANTS (PO)		189,452	1,625,857	
CUBIC Transportation Systems		10,928,419	19,479,476	
Faneuil, Inc.		138,322		
MOORE, IACOFANO, GOLTSMAN		420,651	534,508	
MTC STAFF COSTS		668,224	577,452	
Nematode Holdings		148,534	123,534	
Resource Development Assoc.		45,078	54,923	
<b>320122116 Clipper Operating Expenses</b>	<b>\$39,950,473</b>	<b>\$12,720,095</b>	<b>\$22,785,501</b>	<b>\$4,444,877</b>

## Clipper I - Capital

**230,409,357**

Staff costs	13,935,135	
Auriga Corporation	12,293	
A T & T	90,557	
AC TRANSIT	397,683	
Acumen Building Enterprise	302,151	
Auriga Corporation	373,734	
BART	3,704,732	
Booz Allen Hamilton	22,619,642	
Caporicci & Larson	11,530	
Consultants	5,032,671	
Cornerstone Transp. Consulting	110,119	
Cubic Transportation Systems	94,622,714	9,948,491
D-S-P	10,000	
Elmwood Consulting	11,603	
Fleishman-Hillard Inc.	175,760	
Glynn & Finley, LLP	199,990	
Golden Gate BHTD	110,407	
Hanson Bridgett Marcus Vlahos	5,000	
Hothouse Interactive	13,104	
Intl. Programming & Systems	29,491	
Invoke Technologies	156,962	
Karen Antion Consulting	290,397	
Kennison Metal Fabrication	225,361	
Kimley-Horn and Associates	1,140,775	94,726



## CLIPPER PROJECTS DISBURSEMENT REPORT

As of December 2019 (50% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corridor Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		842,352		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		17,207,471	2,796,549	
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$230,409,357</b>	<b>\$211,929,919</b>	<b>\$12,839,766</b>	<b>\$5,639,673</b>
<b>Clipper II- Capital</b>	<b>219,003,300</b>			
CH2M Hill		505,489		
CUBIC		3,812,445		
IBI GROUP		3,571,045		
Consultants			652,155	
Invoke Technologies		396,338		
KPMG CONSULTING		245,435		
MTC STAFF COSTS		6,980,409	1,214,566	
Thompson Coburn LLP		616,235		
CUBIC		11,863,532	2,309,180	
IBI GROUP		273,198		
Invoke Technologies		52,448	147,552	
Thompson Coburn LLP		2,270		
VenTek Transit, Inc.			200,000	
<b>312 Clipper II - Total Expenses</b>	<b>\$219,003,300</b>	<b>\$28,318,845</b>	<b>\$4,523,453</b>	<b>\$186,161,002</b>

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-\$200,000**

**December, 2019**

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Carshoft Technology		18,720
	Avepoint Cloud Backup (Software License Renewal)	
Slack Technologies		70,080
	Software License Renewal	
Silicon Valley Leaders		5,000
	Passthru/Contrib-Other Agencies	
Assure Software Inc.		10,054
	Software License Renewal	
Citilabs		23,030
	Software License Renewal	
Explaroatory Inc.		9,480
	Software License Renewal	
Contact Monkey Inc.		8,253
	Software License Renewal	
Another Dancing Bear		3,000
	Printing & Reproduction	
Elsevier		3,793
	Library Acquisitions/Books	
Zoom Video Communications		2,998
	Software License Renewal	
Trifacta Inc.		10,800
	Software License Renewal	
Gartner Inc.		131,640
	Software License Renewal	
Kelley Jackson		2,627
	Employee Computer Purchase	
ARC Norcal		3,000
	Printing & Reproduction	
Logmein Inc.		2,500
	Software License Renewal	
Dennis H. Klein		2,000
	Software License Renewal	
Extensis Products Group		6,157
	Software License Renewal	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-200,000

Consultant	Purpose	December, 2019
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