

# Metropolitan Transportation Commission Administration Committee

February 12, 2020

Agenda Item 2c

## MTC Financial Statements for November 2019

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**Subject:** MTC Financial Statements for the five-month period ending November 30, 2019.

MTC's financial statements as of November 30, 2019 include the following major highlights:

(1) **Operating Balance:** For the five-month period ending November 30, 2019, we have collected \$29 million of revenue, recorded expenditures of \$18 million and have encumbered \$19 million of contracts.

(2) **Operating Income:** State Funding, Local funding Revenues and Transfers from other funds are below budget which is mainly due to the following:

**State Funding Revenue:**

**California State Transportation Agency (CalSTA)** – The \$4.7 million remaining balance under this grant is currently fully encumbered and since this grant will be expiring on June 30, 2021, the remaining balance will be expended prior to the expiration date.

**Local Funding Revenue:**

**Transportation Fund for Clean Air (TFCA)** - the funding agreement for TFCA does not get executed until the later part of the year.

**Pavement Management** - Revenues are part of the annual license fee and technical service plan on pavement management. The technical service plan is paid in one lump sum upon completion of work.

**Miscellaneous Revenues** - \$1.9 million of the budget is for revenues from cities which is used as a local match for our federal Surface Transportation Block Grants (STBG) and Congestion Mitigation and Air Quality (CMAQ) grants. The timing of grant funding reimbursement is based on STBG and CMAQ grant expenditures.

**Transfers from Other Funds:**

**BATA 1%** - Year-to-date transfers agree with actuals because the 1% Bay Area Toll Authority (BATA) administration fee was transferred at the beginning of the year.

**Transfers in STA** - Occur on reimbursement basis.

**Membership Dues** – The Association of Bay Area Governments (ABAG) membership dues surcharge transfer of \$530,000 will occur during the fiscal year when all dues are collected. Based on the timing of grant funded projects it is not unusual for revenues to be lower than projected.

(3) **Operating Expenditures:** Salaries and Benefits are slightly behind due to the timing differences of the Indirect Cost Allocation Plan (ICAP) rate approval. The ICAP will be updated in the December report. Contract expenses including encumbrances are \$22.7 million (56%). The timing of contract expenditures is more closely tied to project work which may trend over multiple fiscal years instead of a single fiscal year.

(4) **Federal Grants:** During the 2019-20 fiscal year we will be applying for 11 new grants and amending for the following STBG, CMAQ and State grants as follows:

- Seven STBG – totaling \$22.2 million;
- Five new CMAQ improvement grants totaling \$45.2 million; and
- Five new State Grants totaling \$ 6 million.

The combined \$238.9 million in active grants were expended as follows:

- \$3.6 million were spent under staff costs.
- \$72 million were expended and encumbered on various consultants that are detailed on pages 17 thru 20 under Grant Funded Consultants.
- There was one grant that was fully spent and will be closed out during the FY 2019-20.

The remaining balance is currently \$163.3 million.

(5) **Actions under Executive Director Signature Authority:** Please see Attachment A, pages 24 and 25 for relevant actions.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

**Issues:** None.

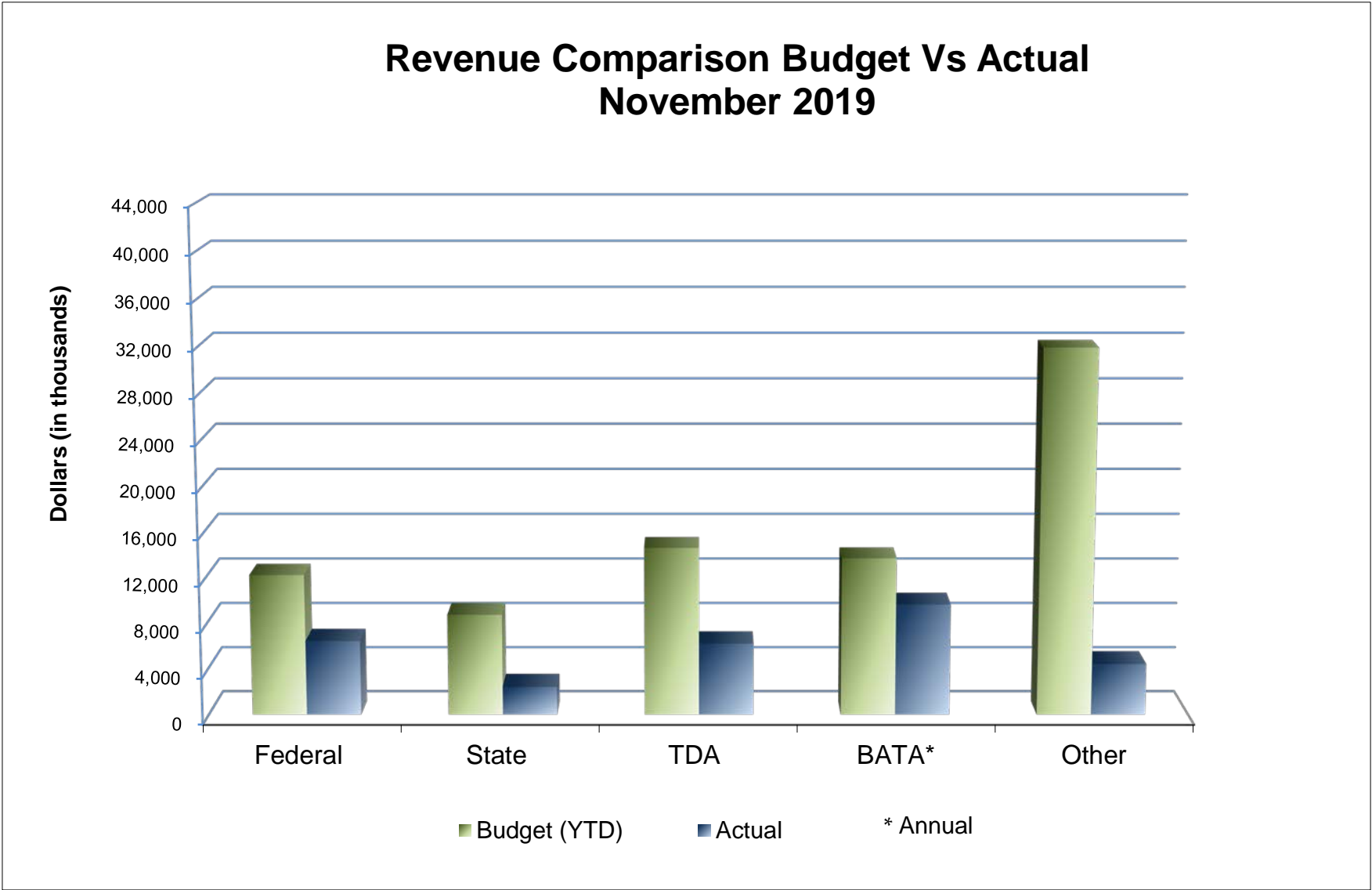
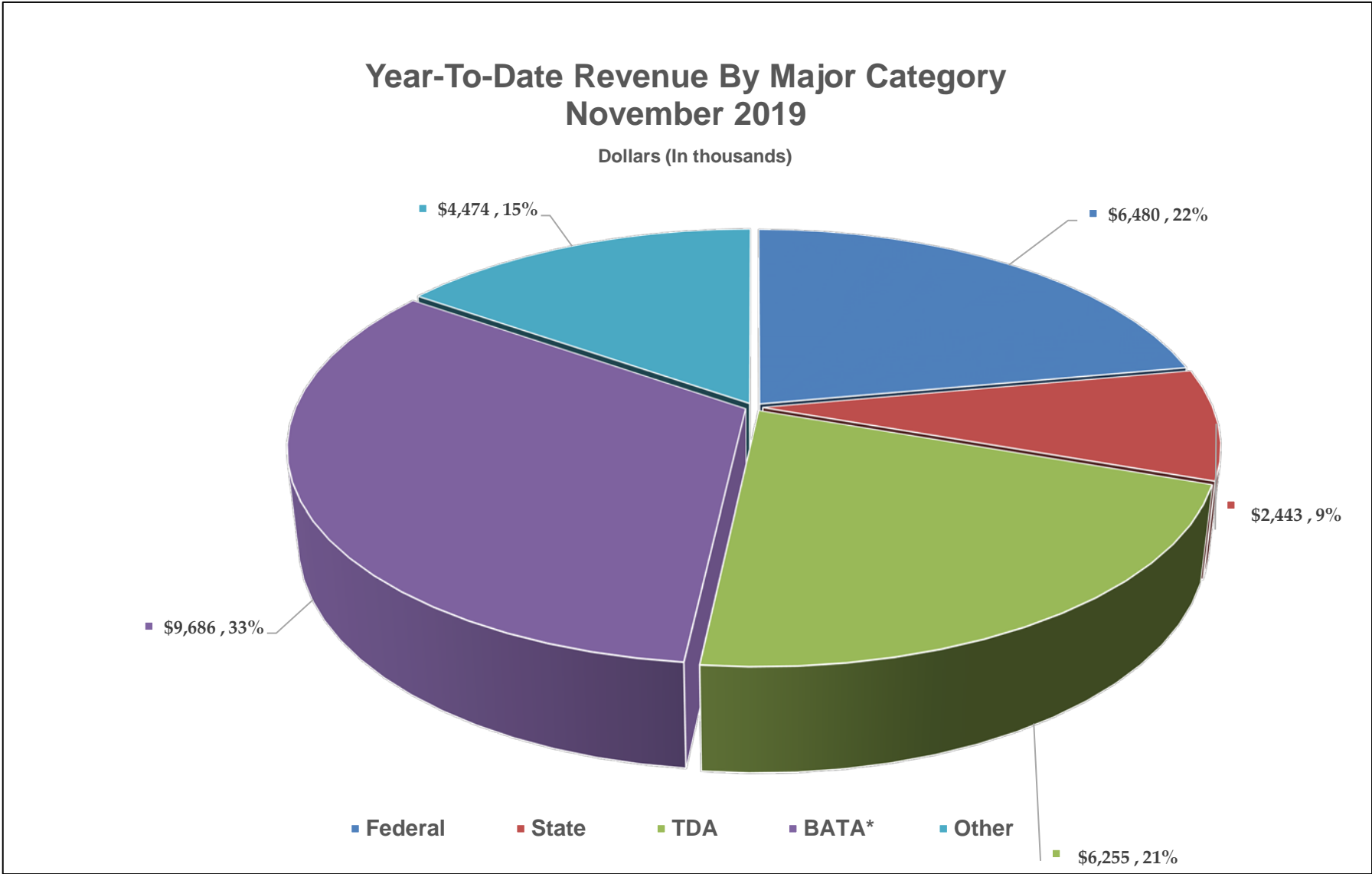
**Recommendation:** This item is provided for information only and no action is required of the Committee.

**Attachments:** Attachment A – Financial Statements for period ending November 30, 2019

  
Therese W. McMillan

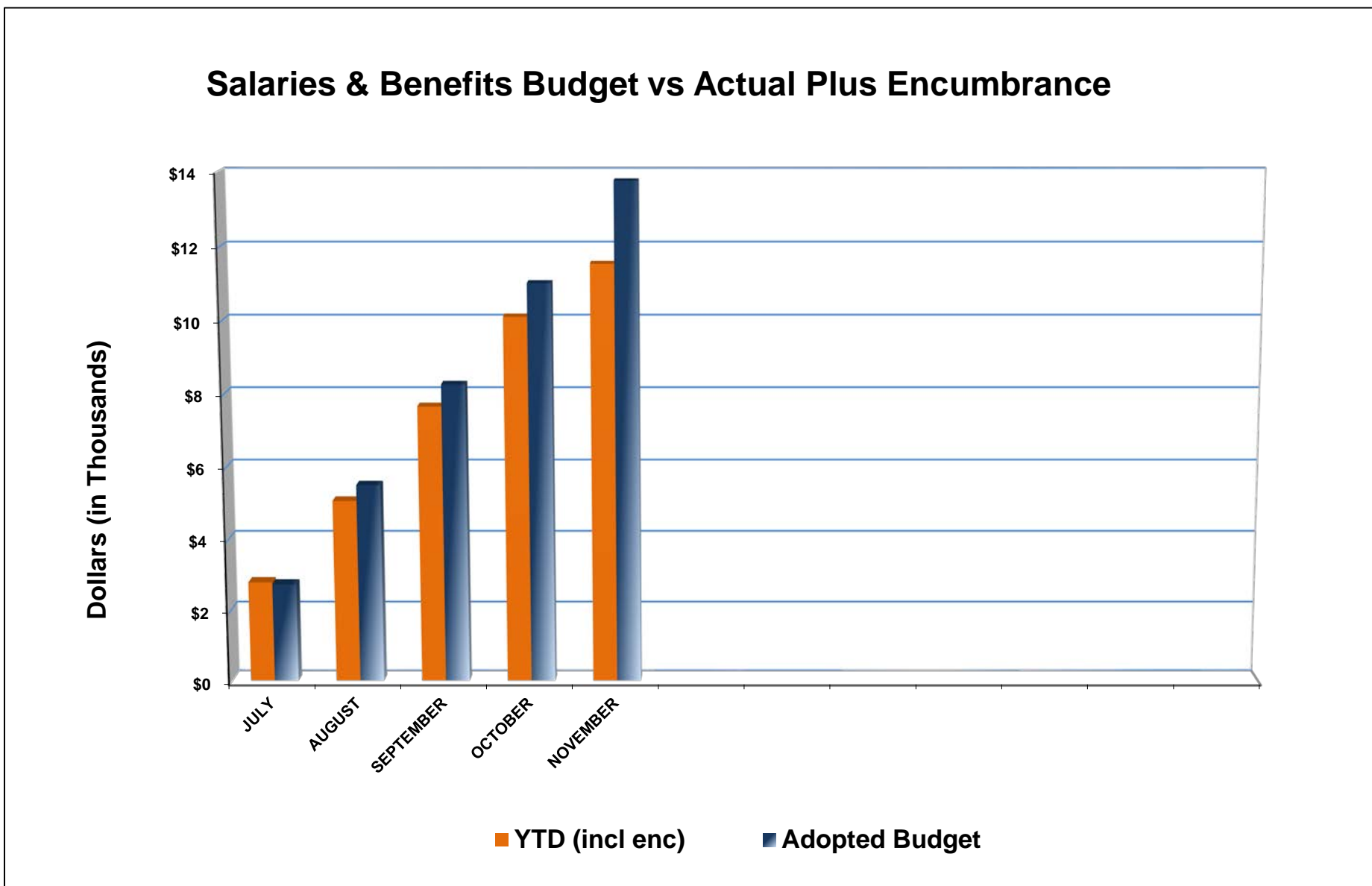
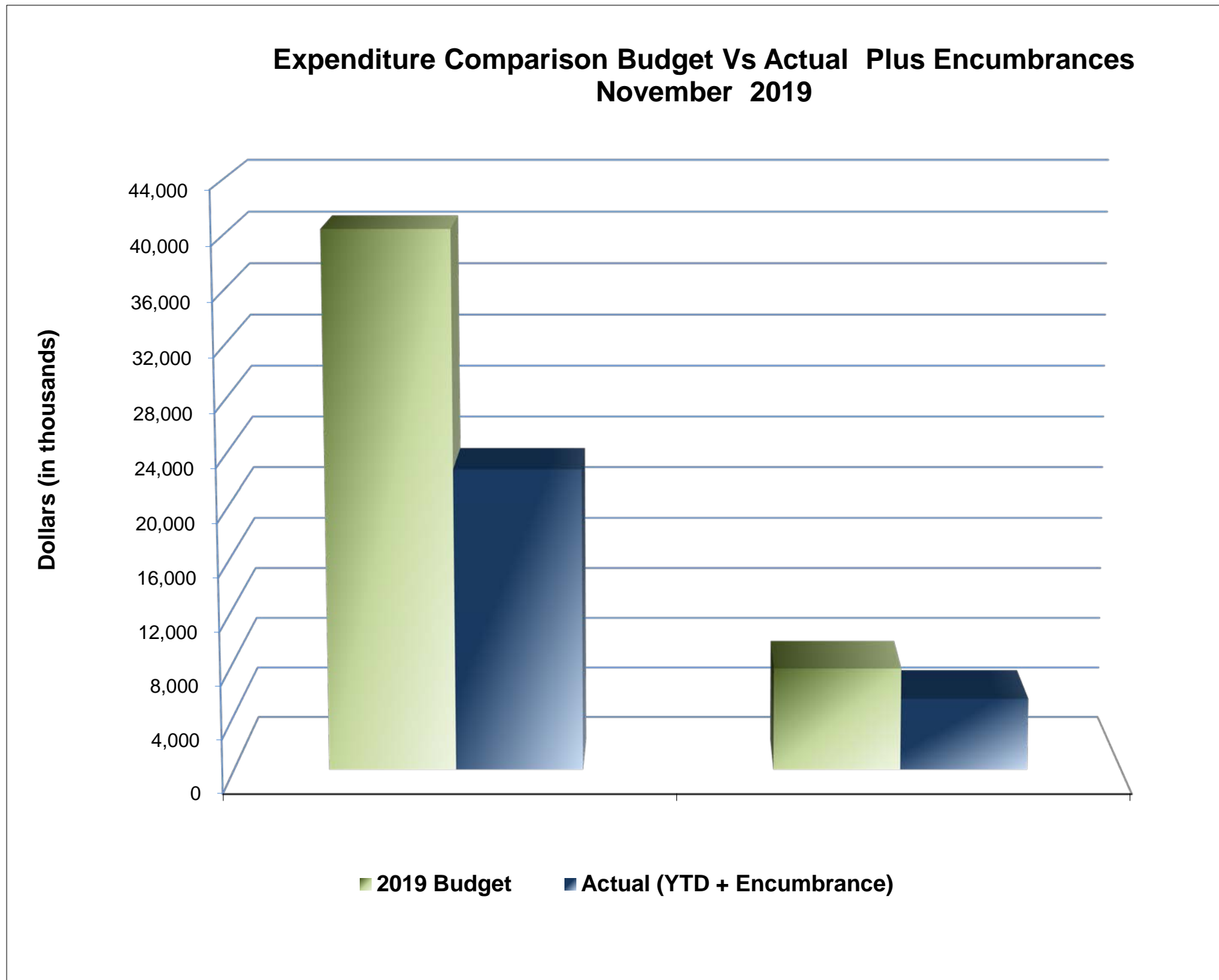
**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2019-20**  
 As of November 2019 (41.7% of year)

	1	2	3	4
Operating Revenue	FY 2019-20 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
<b>General Fund Revenue:</b>				
TDA	14,616,697	6,254,852	(8,361,845)	42.8%
Interest	115,000	295,123	180,123	256.6%
<b>General Fund Total</b>	<b>14,731,697</b>	<b>6,549,976</b>	<b>(8,181,721)</b>	<b>44.5%</b>
<b>Federal Planning Revenue:</b>				
FHWA - PL	8,256,122	4,076,858	(4,179,264)	49.4%
FHWA SP&R	16,165	-	(16,165)	0.0%
FTA 5303	3,980,686	2,402,661	(1,578,025)	60.4%
<b>Federal Planning Revenue Total</b>	<b>12,252,973</b>	<b>6,479,519</b>	<b>(5,773,454)</b>	<b>52.9%</b>
<b>State Funding Revenue:</b>				
Statewide Trans. Improvement Program (STIP)	701,377	603,993	(97,384)	86.1%
Senate Bill 1 (SB1)	3,155,484	1,632,382	(1,523,101)	51.7%
California State Transportation Agency (CalSTA)	4,923,200	206,440	(4,716,761)	4.2%
<b>State Funding Revenue Total</b>	<b>8,780,061</b>	<b>2,442,815</b>	<b>(6,337,246)</b>	<b>27.8%</b>
<b>Local Funding Revenue:</b>				
Transportation Fund for Clean Air (TFCA)	1,257,954	-	(1,257,954)	0.0%
High-Occupancy Vehicle Lane (HOV)	520,000	232,234	(287,766)	44.7%
Pavement Management	2,182,139	381,796	(1,800,343)	17.5%
BAAQMD	351,067	320,485	(30,582)	91.3%
Miscellaneous	2,945,462	221,066	(2,724,396)	7.5%
<b>Local Funding Revenue Total</b>	<b>7,256,621</b>	<b>1,155,580</b>	<b>(6,101,041)</b>	<b>15.9%</b>
<b>Transfers From Other Funds:</b>				
BATA 1%	8,096,994	8,096,994	-	100.0%
Transfer BATA	5,612,443	1,589,067	(4,023,376)	28.3%
SAFE	2,496,321	208,388	(2,287,933)	8.3%
2% Transit Transfers	293,204	10,039	(283,165)	3.4%
Transfers in - STA	8,435,828	15,088	(8,420,741)	0.2%
Bay Trail 2% Bridge Tolls & 5%	723,421	398,535	(324,886)	55.1%
Membership Dues	530,000	-	(530,000)	0.0%
Transfer from or (to) Reserve/Capital/O.H.	11,990,831	2,390,838	(9,599,993)	19.9%
<b>Transfers Total</b>	<b>38,179,043</b>	<b>12,708,950</b>	<b>(25,470,093)</b>	<b>33.3%</b>
<b>Total Operating Revenue</b>	<b>81,200,396</b>	<b>29,336,840</b>	<b>(51,863,555)</b>	<b>36.1%</b>



**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2019-20**  
**As of November 2019 (41.7% of year)**

	1	2	3	4	5
	FY 2019-20	Actual	Budget Balance	% of Budget	
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	33,038,439	11,285,197	(21,753,242)	34.2%	252,894
Travel & Training	590,419	141,542	(448,877)	24.0%	60,006
Commission Expense					
Commissioner Expense	150,000	38,658	(111,342)	25.8%	-
Advisory Committees	15,000	5,050	(9,950)	33.7%	-
Printing & Graphics	137,700	16,563	(121,138)	12.0%	49,556
Computer Services	3,506,550	1,596,621	(1,909,929)	45.5%	1,141,024
General Operations	3,310,988	1,142,777	(2,168,211)	34.5%	1,216,715
<b>Total operating</b>	<b>40,749,096</b>	<b>14,226,408</b>	<b>(26,522,688)</b>	<b>34.9%</b>	<b>2,720,196</b>
Contract Services	40,451,298	3,813,413	(36,637,884)	9.4%	18,878,993
<b>Total Operating Expenditures</b>	<b>81,200,396</b>	<b>18,039,821</b>	<b>(63,160,575)</b>	<b>22.2%</b>	<b>21,599,189</b>



**MTC CAPITAL BUDGETS**  
**As of November 2019 (41.7% of year)**

<u>Capital</u>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
Transfer from Reserves	\$540,000	\$0	\$0	\$540,000
Expense	\$540,000	\$0	\$30,323	\$509,677

**Bay Bridge Forward Project**

<b>Operating</b>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
STP	9,038,923	968,723	-	8,070,200
CMAQ	7,499,000	(46,716)	-	7,545,716
RM2 Capital	13,400,000	432,391	-	12,967,609
SAFE Capital	975,000	1,660	-	973,340
Local - Cities	2,802,151	1,405,833	-	1,396,318
<b>Revenue</b>	<b>\$33,715,074</b>	<b>\$2,761,890</b>	<b>\$0</b>	<b>\$30,953,184</b>
<b>Expense</b>	<b>\$33,715,074</b>	<b>\$2,761,890</b>	<b>\$20,852,764</b>	<b>\$10,100,420</b>



## LIFE TO DATE FEDERAL GRANT BUDGET

As of November 2019 (41.7% of year)

Fund Source	Project Description	Grant LTD Balance as of 7/1/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>STP GRANTS</b>								
6084-180 1809	FPI	29,391	-	29,391	-	23,004	6,387	-
6084-186 1812	OBAG Regional PDA	2,125,228	-	2,125,228	-	98,128	2,027,101	-
6084-198 1818	Pavement Management	3,177,288	-	3,177,288	-	81,798	1,378,476	1,717,014
6084-199 1819	511 Traveler Information	884,772	-	884,772	2,388	306,251	324,264	251,869
6084-201 1820	Freeway Performance Initiative	564,588	-	564,588	-	5,365	525,704	33,520
6084-205 1822	Pavement Management	1,024,493	-	1,024,493	-	(1,500)	524,978	501,015
6084-206 1826	CMA Planning	38,102,828	-	38,102,828	-	606,264	7,192,736	30,303,828
6084-207 1827	MTC Planning	6,695,747	35,000	6,730,747	344,190	28,541	5,096	6,352,920
6084-213 1833	511 Next Generation	8,306,241	-	8,306,241	51,200	883,746	3,267,105	4,104,190
6084-222 1835	Incident Management	3,897,606	-	3,897,606	261,007	-	-	3,636,599
6084-225 1836	TMC Asset	1,071,911	-	1,071,911	60,990	-	-	1,010,921
6084-228 1838	Freeway Performance -SR 84	29,484	-	29,484	-	2,101	27,383	-
6084-232 1839	PDA Planning & Implementation	7,884,154	-	7,884,154	89,872	168,721	4,975,720	2,649,842
6084-226-1841	Arterial Operations Management	11,293,748	2,000,000	13,293,748	1,303,946	59,079	623,108	11,307,614
6084-227-1842	Enhance Arterial: CAT1	6,457,757	3,915,000	10,372,757	-	35,422	7,949,712	2,387,624
6084-230 1843	Commuter Parking O&M	2,469,073	-	2,469,073	-	-	41,961	2,427,113
6084-231 1844	Freeway Performance - I880 Corridor	2,834,286	-	2,834,286	-	13,846	914,644	1,905,796
6084-233 1845	Freeway Performance - I 680 Corridor	13,992,875	-	13,992,875	-	8,369	13,978,047	6,459
6084-235 1846	Bay Area Forward - TMS	2,488,143	-	2,488,143	5,871	-	347,015	2,135,257
6084-241 1847	Shared Mobility	2,497,680	-	2,497,680	41,100	-	600,000	1,856,580
6084-250 1850	511 - Traveler Information Program	1,146,030	4,553,970	5,700,000	662,970	-	-	5,037,030
6084-244 1852	Connected Automated Vehicle Projects	2,500,000	3,200,000	5,700,000	-	-	-	5,700,000
New	Commute Challenge	-	2,500,000	2,500,000	-	-	-	2,500,000
New	Shared Use Mobility	-	6,000,000	6,000,000	-	-	-	6,000,000
<b>TOTAL</b>		<b>119,473,325</b>	<b>22,203,970</b>	<b>141,677,295</b>	<b>2,823,534</b>	<b>2,319,134</b>	<b>44,709,437</b>	<b>91,825,189</b>
<b>CMAQ GRANTS</b>								
6084-160 1589	Arterial Operations	194,743	-	194,743	-	-	-	194,743
6084-188 1814	Regional Bicycle Program	64,080	-	64,080	60,031	-	-	4,049
6084-202 1824	Climate Initiatives	595,390	-	595,390	-	20,000	575,390	-
6084-209 1825	Operate Car Pool Program	5,872,198	-	5,872,198	43,668	389,887	1,850,021	3,588,622
6084-211 1828	Commuter Benefits Implementation	990,601	-	990,601	103,594	77,044	161,486	648,477
6084-210-1829	Incident Management	18,412,548	-	18,412,548	-	1,942,687	15,205,973	1,263,888
6084-215 1830	Spare the Air Youth Program	1,919,076	-	1,919,076	-	57,555	1,850,289	11,232
6084-216 1831	Arterial/Transit Performance/Rideshare	3,516,256	-	3,516,256	-	15,261	338,469	3,162,526
6084-208 1832	Vanpool Program	1,922,613	-	1,922,613	-	90,913	82,700	1,749,000
6084-212 1834	Connected Vehicles/Shared Mobility - TM	2,370,163	-	2,370,163	233,590	-	-	2,136,573
6084-220 1837	I-880 ICM Central	1,115,752	-	1,115,752	4,463	-	-	1,111,288
6084-219 1840	Bay Area Forward - BBF West Grand TSP	998,400	-	998,400	-	380	-	998,020
6084-242 1848	Regional Car Sharing	1,200,411	-	1,200,411	3,529	-	-	1,196,882
6084-243 1849	Targeted Transportation Alternatives	325,000	-	325,000	4,052	-	-	320,949
6084-254 1851	FPI - US 101	500,000	2,500,000	3,000,000	-	-	297,000	2,703,000
New	FPI - I 580	-	5,000,000	5,000,000	-	-	-	5,000,000
New	FPI - SR-37/Other	-	18,000,000	18,000,000	-	-	-	18,000,000
New	I880 Central Segment Project Study	-	8,840,000	8,840,000	-	-	-	8,840,000
New	Climate Initiatives	-	10,875,000	10,875,000	-	-	-	10,875,000
<b>TOTAL</b>		<b>39,997,230</b>	<b>45,215,000</b>	<b>85,212,230</b>	<b>452,927</b>	<b>2,593,726</b>	<b>20,361,327</b>	<b>61,804,249</b>
<b>FTA GRANTS</b>								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	82,578	13
CA37-X133 1627	JARC	77,310	-	77,310	-	35,408	41,902	-
CA37-X164 1629	JARC	45,757	-	45,757	-	-	45,757	0
CA37-X177 1630	JARC	632,055	-	632,055	-	3,251	66,813	561,991
CA57-X109 1632	New Freedom	232,947	-	232,947	-	-	-	232,947
CA34-0024 1633	FTA 5339 - Bus Purchases	503,308	-	503,308	-	-	-	503,308
CA34-0032 1634	FTA 5339 - Bus Purchases	452,441	-	452,441	-	-	450,307	2,134
64AM18-00758 1636		336,189	-	336,189	203,315	-	-	132,875
<b>TOTAL</b>		<b>2,362,598</b>	<b>-</b>	<b>2,362,598</b>	<b>203,315</b>	<b>38,659</b>	<b>687,357</b>	<b>1,433,268</b>



LIFE TO DATE FEDERAL GRANT BUDGET  
As of November 2019 (41.7% of year)

Fund Source	Project Description	Grant LTD Balance as of 7/1/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>Other Federal and State Grants</b>								
SHA 6084-184	1112 FHWA - SHRP2	74,932	-	74,932	-	-	74,932	-
BF-99T455	1340 Environmental Protection Agency (EPA)	331,445	-	331,445	4,651	-	-	326,794
CA000007-01	1342 Environmental Protection Agency (EPA)	545,039	-	545,039	6,193	8,046	-	530,800
EMF2016	1372 Federal Emergency Management Agency	11,679	-	11,679	11,636	-	43	(0)
CARB	2404 California Air Resources Board	1,906,439	-	1,906,439	-	151,202	729,057	1,026,180
14 -003	2800 Coastal Conservancy	363,387	-	363,387	-	-	100,000	263,387
10-092	2801 Coastal Conservancy	267,809	-	267,809	51,108	-	7,590	209,111
New	SSARP Planning Grant	-	500,000	500,000	-	-	-	500,000
New	State Coastal Conservancy Prop 68	-	3,000,000	3,000,000	-	-	-	3,000,000
New	State Coastal Conservancy Prop 69	-	2,000,000	2,000,000	-	-	-	2,000,000
New	Federal Emergency Management Agency	-	300,000	300,000	-	-	-	300,000
New	USGS National Grant	-	75,000	75,000	-	-	-	75,000
<b>TOTAL</b>		<b>3,500,731</b>	<b>5,875,000</b>	<b>9,375,731</b>	<b>73,588</b>	<b>159,248</b>	<b>911,622</b>	<b>8,231,272</b>
<b>Total Federal Grants Budget</b>		<b>165,333,884</b>	<b>73,293,970</b>	<b>238,627,854</b>	<b>3,553,364</b>	<b>5,110,767</b>	<b>66,669,744</b>	<b>163,293,978</b>

EMF2016	1372	Federal Emergency Management Agency	- Grant is fully spent and will be closed out in FY 2019-20
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**CLIPPER OPERATING BUDGET**  
**As of November 2019 (41.7% of year)**

<b>Clipper Operating</b>	<b>Total FY 2018-19 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	3,469,614	897,551	-	2,572,063
STA	9,747,119	3,629,426	-	6,117,693
Clipper Escheatment	2,960,359	-	-	2,960,359
Transit Operators	23,773,381	5,169,265	-	18,604,116
<b>Revenue</b>	<b>\$39,950,473</b>	<b>\$9,696,242</b>	<b>\$0</b>	<b>\$30,254,231</b>
<b>Expense</b>	<b>\$39,950,473</b>	<b>\$9,723,809</b>	<b>\$25,681,373</b>	<b>\$4,545,291</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
**As of November 2019 (41.7% of year)**

<b>Clipper I - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	66,669,515	66,903,705	-	(234,190)
Card Sales	17,951,267	14,906,020	-	3,045,247
Cap and Trade (LCTOP)	7,777,971	7,316,352	-	461,619
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,642,559	-	(9,569,994)
STP	31,790,753	34,369,615	-	(2,578,862)
STA	21,946,540	21,675,912	-	270,628
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,725,816	-	3,138,997
Transit Operators	10,279,437	1,932,383	-	8,347,054
WETA	603,707	618,862	-	-
Sales Tax	890,216	890,216	-	-
<b>Revenue</b>	<b>\$230,409,357</b>	<b>\$222,125,916</b>	<b>\$0</b>	<b>\$8,283,441</b>
<b>Expense</b>	<b>\$230,409,357</b>	<b>\$210,999,160</b>	<b>\$13,510,837</b>	<b>\$5,899,360</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
**As of November 2019 (41.7% of year)**

<b>Clipper II - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
STP	11,912,911	7,958,388	-	3,954,523
FTA	133,903,689	10,617,415	-	123,286,274
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	500,000	-	3,500,000
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	8,008,306	-	(5,597,465)
<b>Revenue</b>	<b>\$220,599,324</b>	<b>\$27,343,912</b>	<b>\$10,238,007</b>	<b>\$193,255,412</b>
<b>Expense</b>	<b>\$220,599,324</b>	<b>\$21,663,536</b>	<b>\$10,238,007</b>	<b>\$188,697,781</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
<b>Support to the Commission</b>					
	Consultants	200,000		35,188	
<b>1051111 - Subtotal</b>		<b>200,000</b>	<b>-</b>	<b>35,188</b>	<b>164,812</b>
<b>Implement Public Information Program</b>		2,481,457			
	Alta Planning and Design			15,000	
	Circlepoint		47,455	29,197	
	Consultants		194,312	1,728,445	
	Craft & Commerce, LLP		17,934	63,323	
	League f Women Voters			25,000	
<b>1051112 - Subtotal</b>		<b>2,481,457</b>	<b>259,701</b>	<b>1,860,965</b>	<b>360,791</b>
<b>Regional Transportation Plan</b>		1,640,144			
	AECOM		25,740	19,260	
	AECOM Technical Services			12,500	
	Arup North America Ltd.			15,000	
	Consultants		92,891	299,429	
	Craft & Commerce, LLP			30,000	
	Economic & Planning Systems			29,970	
	EMC Research			110,000	
	Exygy, Inc.		71,395	13,184	
	Urban Institute			87,025	
<b>1051121 - Subtotal</b>		<b>1,640,144</b>	<b>190,026</b>	<b>616,368</b>	<b>833,750</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		2,115,306			
	Consultants			18,257	
	Corey, Canapary & Galanis		18,712	278,357	
	ETC Institute		54,106	25,232	
	Oakland Analytics		17,685	57,315	
	Parsons Brinkerhoff, Inc.			11,374	
	Redhill Group, Inc.		24,098	31,402	
	Resource Systems Group			205,093	
	RSG, Inc.		37,439	261,947	
	WSP USA Inc.			100,040	
<b>1051122 - Subtotal</b>		<b>2,115,306</b>	<b>152,040</b>	<b>989,017</b>	<b>974,249</b>
<b>Airport/Seaport/Freight Planning</b>		66,870			
	Cambridge Systematics			66,870	
<b>1051124 - Subtotal</b>		<b>66,870</b>	<b>-</b>	<b>66,870</b>	<b>-</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
<b>Active Transportation Planning</b>		685,355			
	Consultants			135,230	
<b>1051125- Subtotal</b>		<b>685,355</b>	<b>-</b>	<b>135,230</b>	<b>550,125</b>
<b>Resiliency (Sea Level Rise/Adaptation) PL</b>		2,406			
	AECOM				
	Bay Conservation & Development		2,406		
<b>1051126 - Subtotal</b>		<b>2,406</b>	<b>2,406</b>	<b>-</b>	<b>0</b>
<b>Regional Trails</b>		90,000			
<b>1051127 - Subtotal</b>		<b>90,000</b>	<b>-</b>	<b>-</b>	<b>90,000</b>
<b>Resilience and Hazards Planning</b>		88,196			
	Consultants		1,151	6,755	
	Rutherford & Chekene		13,001	67,289	
<b>1051128 - Subtotal</b>		<b>88,196</b>	<b>14,152</b>	<b>74,044</b>	<b>0</b>
<b>Regional Research and Economic</b>		140,375			
	Bay Area Council Economics Ins.			10,000	
	Consultants		3,500	16,500	
<b>1051129 - Subtotal</b>		<b>140,375</b>	<b>3,500</b>	<b>26,500</b>	<b>110,375</b>
<b>Advocate Legislative Programs</b>		475,000			
	Carter, Welch & Associates		25,273		
	Government Relations		100,000		
<b>1051132- Subtotal</b>		<b>475,000</b>	<b>125,273</b>	<b>-</b>	<b>349,727</b>
<b>Agency Financial Management</b>		863,302			
	Milliman Actuarial		690		
	Superion LLC		560		
	SunGard Bi-Tech Inc.			1,194	
	Gray CPA Consulting			3,000	
	PWC		273,404	200,000	
<b>1011152 - Subtotal</b>		<b>863,302</b>	<b>274,654</b>	<b>204,194</b>	<b>384,454</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Administrative Services</b>	1,049,359			
Koff & Associates			98,229	
Management Partners		2,384	49,716	
Carl Warren & Co.			87,050	
Pathways for High School		118,151	10,242	
Keenan & Associates			30,333	
San Jose State University			100,000	
Perfromance Based Ergonomics		10,329	14,667	
The Solis Group			21,852	
<b>1011153 - Subtotal</b>	<b>1,049,359</b>	<b>130,863</b>	<b>412,090</b>	<b>506,406</b>
<b>Information Technology Services</b>	2,118,821			
Informatix, Inc.		23,496	22,044	
Visual Strategies		17,701	226,792	
Management Partners			74,536	
Ruben Marcia			5,653	
Insight Public		35,824		
SSP Data		1,863	122,584	
Core BTS Inc.		21,917	39,318	
Elite Automotion LLC		3,250	30	
KIS Computer Center		11,550	12,960	
SAGE Software			1,145	
Larry Cabrera		8,388	16,612	
<b>1011161 - Subtotal</b>	<b>2,118,821</b>	<b>123,988</b>	<b>521,673</b>	<b>1,473,159</b>
<b>Performance Measurement and Monitoring</b>	405,861			
Consultants			125,000	
Exygy, Inc.		72,684	207,055	
<b>1051212 - Subtotal</b>	<b>405,861</b>	<b>72,684</b>	<b>332,055</b>	<b>1,122</b>
<b>Regional Rideshare Program</b>	1,266,328			
Enterprise Rent-A-Car		115,238	405,600	
Parsons Brinkerhoff		83,341	9,374	
<b>1051222 - Subtotal</b>	<b>1,266,328</b>	<b>198,579</b>	<b>414,974</b>	<b>652,775</b>
<b>Support Regional Operations Program</b>	701,526			
Iteris Inc.		6,711	65,052	
Consultants			83,763	
Kimly Horn			394,000	
<b>1051223 - Subtotal</b>	<b>701,526</b>	<b>6,711</b>	<b>542,815</b>	<b>152,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Implement Regional Traveler Information Services</b>	635,472			
Civic Resource Group		9,557	23,978	
Consultants		24,333	2,900	
Iteris Inc.			139,909	
Kimly Horn & Associates			2,029	
<b>1051224 - Subtotal</b>	<b>635,472</b>	<b>33,890</b>	<b>168,816</b>	<b>432,766</b>
<b>Pavement Management Program (PMP)</b>	3,298,367			
AMS Consulting			34,169	
Bellecci & Associates		2,753	5,735	
CA State University, Chico			100,000	
Capitol Asset & Pavement			35,936	
Devmecca.Com		590,854	952,497	
Fugro Roadware, Inc.		172	26,370	
Harris & Associates			28,193	
Nichols		36,093	321,046	
Nichols Consulting			49,713	
Pavement Engineering Inc.		3,859	24,587	
Quality Engineering Solutions		1,973	23,089	
URS Corporation			55,151	
<b>1051233 - Subtotal</b>	<b>3,298,367</b>	<b>635,703</b>	<b>1,656,486</b>	<b>1,006,178</b>
<b>Arterial Operations</b>	1,871,672			
City of San Rafael		-	207,844	
City of Concord		-	588,854	
DKS Associates		3,692	11,086	
City of hayward		-	53,554	
Iteris, DBA, MMA		26,796	155,142	
Kimly Horn		4,637		
City of Pleasanton		-	47,644	
City of San Francisco		-	95,764	
<b>1051234 - Subtotal</b>	<b>1,871,672</b>	<b>35,125</b>	<b>1,159,888</b>	<b>676,659</b>
<b>Incident Management</b>	600,870			
Circlepoint			63,650	
Consultants			112,600	
City of Fremont			57,286	
Iteris, Inc.			124,000	
Kimly Horn		9,264	24,140	
<b>1051235 - Subtotal</b>	<b>600,870</b>	<b>9,264</b>	<b>381,676</b>	<b>209,930</b>
<b>Technologically -Based Operations &amp; Mobility</b>	2,000,000			
<b>1051238 - Subtotal</b>	<b>2,000,000</b>	<b>-</b>	<b>-</b>	<b>2,000,000</b>
<b>Lifeline Transportation Program</b>	20,000			
<b>1051310 - Subtotal</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>20,000</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
	6,875,828			
Carshoft Technology Corporation		93,173		
Consultants			9,500	
Cubic Trasnportation Systems		366,312	958,798	
Moore,Iacofano, Goltsman			188,000	
Resource Development Association		24,213	56,506	
Vertiba,LLC		421,393	901,529	
<b>1051311 - Subtotal</b>	<b>6,875,828</b>	<b>905,091</b>	<b>2,114,333</b>	<b>3,856,404</b>
<b>Climate Reslilience for People with Disabilitites</b>	308,601			
World Institute on Disability		37,819	270,782	
<b>1051313 - Subtotal</b>	<b>308,601</b>	<b>37,819</b>	<b>270,782</b>	<b>(0)</b>
<b>Climate Assessment Initiative</b>	285,000			
Nelson \ Nygaard Consulting			75,000	
<b>1051413 - Subtotal</b>	<b>285,000</b>	<b>-</b>	<b>75,000</b>	<b>210,000</b>
<b>East Palo Alto &amp; Dumbarton Bridge Resilience Study</b>	161,648			
AECOM		8,634	153,014	
<b>1051415 - Subtotal</b>	<b>161,648</b>	<b>8,634</b>	<b>153,014</b>	<b>(0)</b>
<b>Regional Assistance Program</b>	274,000			
Pieriott & Associates, LLC			62,000	
<b>1051514 - Subtotal</b>	<b>274,000</b>	<b>-</b>	<b>62,000</b>	<b>212,000</b>
<b>State Programing, Monitoring and TIP Development</b>	187,200			
Consultants			187,200	
<b>1051515 - Subtotal</b>	<b>187,200</b>	<b>-</b>	<b>187,200</b>	<b>-</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Transit Sustainability Project</b>	6,550,056			
Arup North America Ltd.			3,684	
BART			42,857	
Golden Gate Bridge & Highway			42,857	
HDR Engineering Inc.		206,440	4,713,077	
Napa Valley Transportation Authority			30,000	
Nelson Nygard			6,840	
Parsons Brinckerhoff, Inc.		1,100	102,140	
Sonoma County Transit			30,000	
Sonoma County Transportation			32,538	
Sonoma County Transportation			30,000	
UCLA		10,039	11,628	
Water Transit Authority			30,000	
WestCat			30,000	
<b>1051517 - Subtotal</b>	<b>6,550,056</b>	<b>217,579</b>	<b>5,105,620</b>	<b>1,226,857</b>
<b>Transportation for Livable Communities Program</b>	607,242			
California Housing Partnership			12,750	
Consultants		516	36,194	
Fehr & Peers Associates			17,797	
Nelson Nygard		7,744	26,077	
Placeworks		8,492	26,535	
TJKM Transportation Consultant		505	20,733	
<b>1051611 - Subtotal</b>	<b>607,242</b>	<b>17,257</b>	<b>140,086</b>	<b>449,899</b>
<b>Climate Adaptation Consulting (BARC)</b>	194,384			
Consultants		6,331	8,669	
Hassell esign Ltd.			20,000	
San Francisco Estuary Institute		11,573	13,427	
Tom Leader Studion, Inc.			20,000	
<b>1051612- Subtotal</b>	<b>194,384</b>	<b>17,904</b>	<b>62,096</b>	<b>114,384</b>
<b>Road Maintenance and Rehabilitation</b>	285,445			
Consultants		9,074	50,926	
Hassell Design Ltd.		24,928	90,113	
State Coastal Conservancy		16,078	13,922	
Tom Leader Studio, Inc.		14,855	142,218	
<b>1051613- Subtotal</b>	<b>285,445</b>	<b>64,935</b>	<b>297,179</b>	<b>-</b>
<b>Connecting Housing and Transportation</b>	149,999			
Baird & Driskell Planning			23,500	
Community Outreach			6,000	
Consultants		14,505	25,001	
Translght LLC		10,607	70,386	
<b>1051615- Subtotal</b>	<b>149,999</b>	<b>25,112</b>	<b>124,887</b>	<b>(0)</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
<b>Regional Advance Mitigation Projects</b>		100,000			
	Consultants		50,000		
<b>1051616- Subtotal</b>		<b>100,000</b>	<b>50,000</b>	<b>-</b>	<b>50,000</b>
<b>Technical Assistance Strategic Planning</b>		82,856			
	Estolano Lesar Advisors		28,277	14,135	
<b>1051617- Subtotal</b>		<b>82,856</b>	<b>28,277</b>	<b>14,135</b>	<b>40,444</b>
<b>Affordable Mobility Pilot Program</b>		248,278			
	TransForm		42,647	205,632	
<b>1051618- Subtotal</b>		<b>248,278</b>	<b>42,647</b>	<b>205,632</b>	<b>(1)</b>
<b>General Operations c/o</b>		225,325			
<b>1011998 &amp; 1011999</b>		<b>225,325</b>	<b>-</b>	<b>-</b>	<b>225,325</b>
<b>Legal</b>		1,098,750			
	Davis Wright TR Legal Fees		10,044		
	Glen & Finley LLP		20,470	19,778	
	Hanson Bridgett		3,208	51,880	
	Meyers Nave		325	32,558	
	BEST BEST & Kreiger LLP		2,570	33,123	
	Rene Public Law Group		92,982	310,842	
	Farella Braun And Martel LLP		-	20,000	
<b>1060000 - Subtotal</b>		<b>1,098,750</b>	<b>129,599</b>	<b>468,180</b>	<b>500,971</b>
<b>Total Operating Contract Services</b>		<b>40,451,297</b>	<b>3,813,413</b>	<b>18,878,993</b>	<b>17,835,560</b>
<b>Bay Area Forward - Capital</b>					
<b>11051237 - Subtotal</b>		<b>19,156,767</b>	<b>1,824,845</b>	<b>2,587,762</b>	<b>14,744,160</b>
<b>Total Non-Federal Grant Funded</b>		<b>59,608,064</b>	<b>5,638,258</b>	<b>21,466,755</b>	<b>32,579,720</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants		50,082
San Francisco Transportation Authority		24,850
<b>1051122 - Subtotal</b>	<b>-</b>	<b>74,932</b>
<b>Regional Trails</b>		
East Bay Regional Park District		100,000
Petaluma Small Craft Center		7,590
<b>1051127 - Subtotal</b>	<b>-</b>	<b>107,590</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		
Consultants	25,000	
Enterprise Renta-A-Car	90,913	82,700
Parsons Brinkerhoff	441,931	2,036,507
Parsons Brinkerhoff		484,700
Sonoma County Transportation		28,038
Valley Transportation Authority		70,000
<b>1051222 - Subtotal</b>	<b>557,844</b>	<b>2,701,945</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates		351,663
<b>1051223 - Subtotal</b>	<b>-</b>	<b>351,663</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	300,604	182,630
Faneuil Inc.,	171,384	437,549
Iteris, Inc.	712,362	1,810,080
Kimley-Horn & Associates	5,648	573,724
<b>1051224 - Subtotal</b>	<b>1,189,998</b>	<b>3,003,983</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Pavement Management Program (PMP)</b>		
AMS Consulting		263,731
Bellecci & Associates	21,247	44,265
Capitol Asset & Pavement Services		277,364
Fugro Roadware, Inc.		204,858
Harris & Associates		217,607
Nichols Consulting Engineers	14,214	527,473
Pavement Engineering Inc.	29,781	189,773
Quality Engineering Solutions	15,227	178,211
<b>1051233 - Subtotal</b>	<b>80,469</b>	<b>1,903,282</b>
<b>Arterial Operations Coordination</b>		
AC Transit		2,300,000
City of Emeryville		726,913
City of San Rafael		704,691
DKS Associates	28,497	95,294
City of Hayward	-	263,279
IBI Group		195,000
Iteris, DBA MMA	4,092	164,842
Kimly Horn	31,940	320,827
City of Pleasanton		233,791
City of San Jose		1,342,688
City of San Ramon		444,949
City of San Francisco		469,566
TJKM Transportation Consultant		23,406
Town of Los Gatos		643,662
City of Union City		618,916
<b>1051234 - Subtotal</b>	<b>64,529</b>	<b>8,547,824</b>
<b>Implement Incident Management Program</b>		
AC Transit	-	308,160
Consultants	7,448	182,552
MNS Engineers (former S&C)	174,938	1,183,767
Parsons Transportation Group		1,885,300
Sturgeon Electric California	1,755,320	11,603,770
URS Corporation	4,981	42,424
<b>1051235 - Subtotal</b>	<b>1,942,687</b>	<b>15,205,973</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Lifeline Program</b>		
Contra Costa County		9,924
County of Contra Costa	3,251	26,470
Cycles of Change		751
San Mateo County Human Service		29,668
<b>1051310 - Subtotal</b>	<b>3,251</b>	<b>66,813</b>
<b>Implement Lifeline Transportation Program</b>		
Marin Transit		45,757
Peninsula Family Services	35,408	41,902
<b>1051311 - Subtotal</b>	<b>35,408</b>	<b>87,659</b>
<b>Lifeline Planning</b>		
Alta Plannign & Design	57,555	1,850,289
Silicon Valley Bicycle Coalition	20,000	575,390
<b>1051413 - Subtotal</b>	<b>77,555</b>	<b>2,425,679</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
ECCTA		450,307
<b>1051512 - Subtotal</b>	<b>0</b>	<b>450,307</b>
<b>New Freedom - Non - Planning Funds</b>		
County Connection		15,000
Outreach & Escort Inc.		183,977
San Mateo County Transit District		67,578
<b>1051518 - Subtotal</b>	<b>-</b>	<b>266,555</b>
<b>Transportation for Livable Communities</b>		
Bay Conservation & Development	28,541	5,096
City of Berkeley		79,975
City & County of San Francisco		500,000
City of San Rafael		339,704
City of Santa Rosa		763,636
City of Union City		800,000
Consultant	75,204	119,993
City of El Cerrito		317,844
Fehr & Peers Associates	18,100	137,363
Nelson Niggard	59,773	413,429
City of Oakland		34,800
Placeworks	75,547	194,805
City and County of San Francisco		400,000

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of November 2019 (41.7% of year)**

<b>Work Element/Consultant</b>	<b>Expended</b>	<b>Encumbered</b>
City of San Jose		437,119
Santa Clara VTA		1,512,447
City of Sunnyvale		335,200
TJKM Transportation Consultant	12,707	160,023
City of Vacaville	25,518	324,482
Valley Transportation Authority		132,000
<b>1051611 - Subtotal</b>	<b>295,390</b>	<b>7,007,916</b>
Ninyo & Moore Geotechnical	8,046	
<b>1051615 - Subtotal</b>	<b>8,046</b>	<b>0</b>
<b>Affordable Mobility Pilot Program</b>		
Transform	151,202	729,057
<b>1051618 - Subtotal</b>	<b>151,202</b>	<b>729,057</b>
<b>Fund 190 CMA PLANNING</b>	<b>606,090</b>	<b>6,984,313</b>
<b>Total Federal Grant Funded</b>	<b>5,012,469</b>	<b>49,915,534</b>
<b>Bay Area Forward - Capital</b>		
<b>11051237 - Subtotal</b>	<b>98,298</b>	<b>16,754,210</b>
<b>Total Federal Grant Funded</b>	<b>5,110,767</b>	<b>66,669,744</b>



**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Capital Expenditures</b>	<b>540,000</b>		30,323	
<b>Subtotal</b>	<b>540,000</b>	<b>-</b>	<b>30,323</b>	<b>509,677</b>
 <b>Bay Bridge Forward</b>	 <b>33,715,074</b>			
Staff Costs		838,746		
California Engng. Contractors			26,154	
California Highway Patrol			26,154	
Caribou Public Relations			686,231	
CONSULTANTS (PO)			1,784,638	
CONTRA COSTA TRANSP AUTHORITY		1,688	432,650	
GRAY-BOWEN-SCOTT		8,369	13,978,047	
HDR Engineering, Inc.		0	80,000	
KIMLEY-HORN		25,105	176,376	
Kittelson & Associates		63,136	489,150	
Kittelson & Associates, Inc		0	330,000	
LUUM		0	125,000	
MTC STAFF COSTS		143,000	-143,000	
O.C. Jones & Sons, Inc.		1,249,455	1,005,654	
Parsons Transportation Group		0	850,798	
Placeworks		0	45,711	
San Mateo County Transit Dist		0	27,390	
Steer Davis & Gleave Inc.		0	300,000	
Transp Mobility Solutions		0	41,961	
Wilson, Sparling & Associates		0	30,000	
WSP USA Inc.		428,152	391,848	
WSP USA, Inc.		0	100,000	
WSP, USA INC.		4,239	68,001	
<b>Subtotal</b>	<b>33,715,074</b>	<b>2,761,890</b>	<b>20,852,764</b>	<b>10,100,420</b>

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of November 2019 (41.7% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>39,950,473</b>			
AC Transit		\$61,200	\$142,800	
AT&T			\$246,951	
Caribou Public Relations		\$74,243		
CONSULTANTS (PO)		\$159,540		
CUBIC Transportation Systems		\$8,186,711	\$23,856,404	
Faneuil, Inc.		\$138,322		
MOORE, IACOFANO, GOLTSMAN		\$367,127	\$534,508	
MTC STAFF COSTS		\$567,809	\$677,867	
Nematode Holdings		\$123,778	\$169,741	
Resource Development Assoc.		\$45,078	\$53,103	
<b>320122116 Clipper Operating Expenses</b>	<b>\$39,950,473</b>	<b>\$9,723,809</b>	<b>\$25,681,373</b>	<b>\$4,545,291</b>
<b>Clipper I - Capital</b>	<b>230,409,357</b>			
Staff costs		13,842,343		
Auriga Corporation		12,293		
A T & T		90,557		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
Auriga Corporation		373,734		
BART		3,704,732		
Booz Allen Hamilton		22,619,642		
Caporicci & Larson		11,530		
Consultants		5,019,714		
Cornerstone Transp. Consulting		110,119		
CH2M Hill			1,312,551	
Cubic Transportation Systems		94,622,714	8,724,563	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		110,407		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		1,082,499	94,726	
KPMG consulting		1,127,033		
Local Government Services		915,517		

## CLIPPER PROJECTS DISBURSEMENT REPORT

As of November 2019 (41.7% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corridor Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		842,352		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,440,738	3,378,997	
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$230,409,357</b>	<b>\$210,999,160</b>	<b>\$13,510,837</b>	<b>\$5,899,360</b>
<b>Clipper II- Capital</b>	<b>220,599,324</b>			
CH2M Hill		505,489		
CUBIC		4,254,595		
IBI GROUP		3,571,045		
Invoke Technologies		343,890		
KPMG CONSULTING		245,435		
MTC STAFF COSTS		6,786,047	1,408,928	
Thompson Coburn LLP		613,965		
CUBIC		5,040,519		
IBI GROUP		273,198	8,456,161	
Invoke Technologies		27,082	172,918	
Thompson Coburn LLP		2,270		
VenTek Transit, Inc.		0	200,000	
<b>312 Clipper II - Total Expenses</b>	<b>\$220,599,324</b>	<b>\$21,663,536</b>	<b>\$10,238,007</b>	<b>\$188,697,781</b>

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-\$200,000**

		<b>November, 2019</b>
SHI		4,677
	Software License Renewal	
Noah Berger		25,000
	Printing and Reproductions	
Insight Public Sector		11,685
	Copier and Maintenance	
Gabriele Muselli Catering		7,595
	Catering	
Doc Point Solutions		6,555
	Software License Renewal	
SSP Data		50,000
	Technology Consultant	
Insight Public Sector		15,510
	Software License Renewal	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-200,000

Consultant	Purpose	November, 2019
None this month		