

**Metropolitan Transportation Commission
Administration Committee**

December 11, 2019

Agenda Item 2c

MTC Financial Statements for September 2019

Subject: MTC Financial Statements for the three-month period ending September 30, 2019.

MTC's financial statements as of September 30, 2019 include the following major highlights:

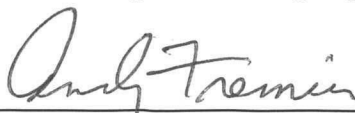
- (1) **Operating Balance:** For the three-month period ending September 30, 2019, we have collected \$18.3 million of revenue and have recorded expenditures of \$8.5 million and encumbered \$2.8 million.
- (2) **Operating Income:** Total operating income for the three month period is slightly below projections at 22.6%, mainly due to the Federal, State and Local Revenues which are below budget; since it is only three months of the year and our revenues are based on reimbursement, this is not unusual. However, the total transfers are above budget, and this is due to the 1% BATA administration fee (\$8.1 million) transferred at the beginning of the year.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are slightly below budget at 21% for the third month, or 25% of the fiscal year. Computer Services are slightly ahead of budget since these include consultant contracts and license renewals that run over multiple years.
- (4) **Federal Grants:** The Federal grant budget is now at \$168 million, and during the 2019-20 fiscal year we will be applying for new and amending seven Surface Transportation Block Grants – most commonly known as (STP) – totaling \$22.2 million. We will also apply for five new Congestion Mitigation and Air Quality (CMAQ) improvement grants totaling \$45.2 million. During the month of September, we expended and encumbered \$65.5 million and currently our remaining balance is \$175.7 million.
- (5) **Actions under Executive Director Signature Authority:** Please see Attachment A, pages 23 and 24 for relevant actions.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

Issues: None.

Recommendation: This item is provided for information only and no action is required of the Committee.

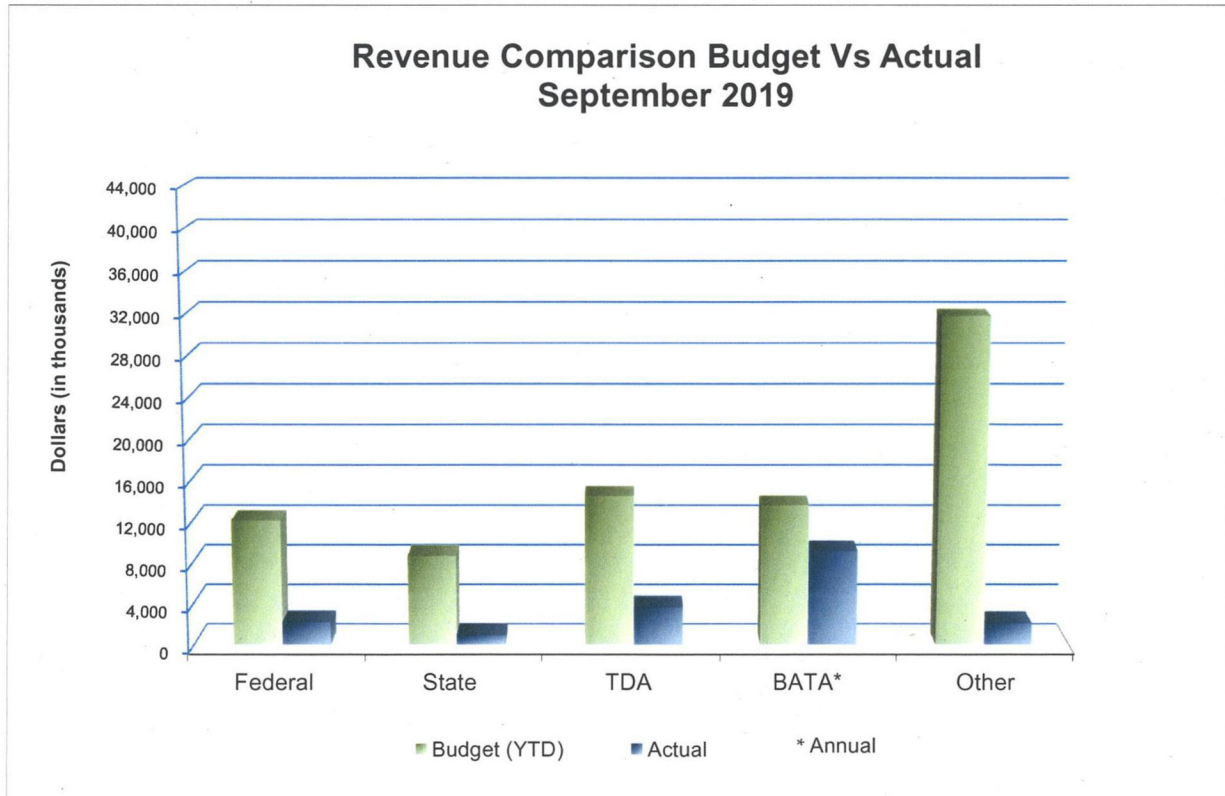
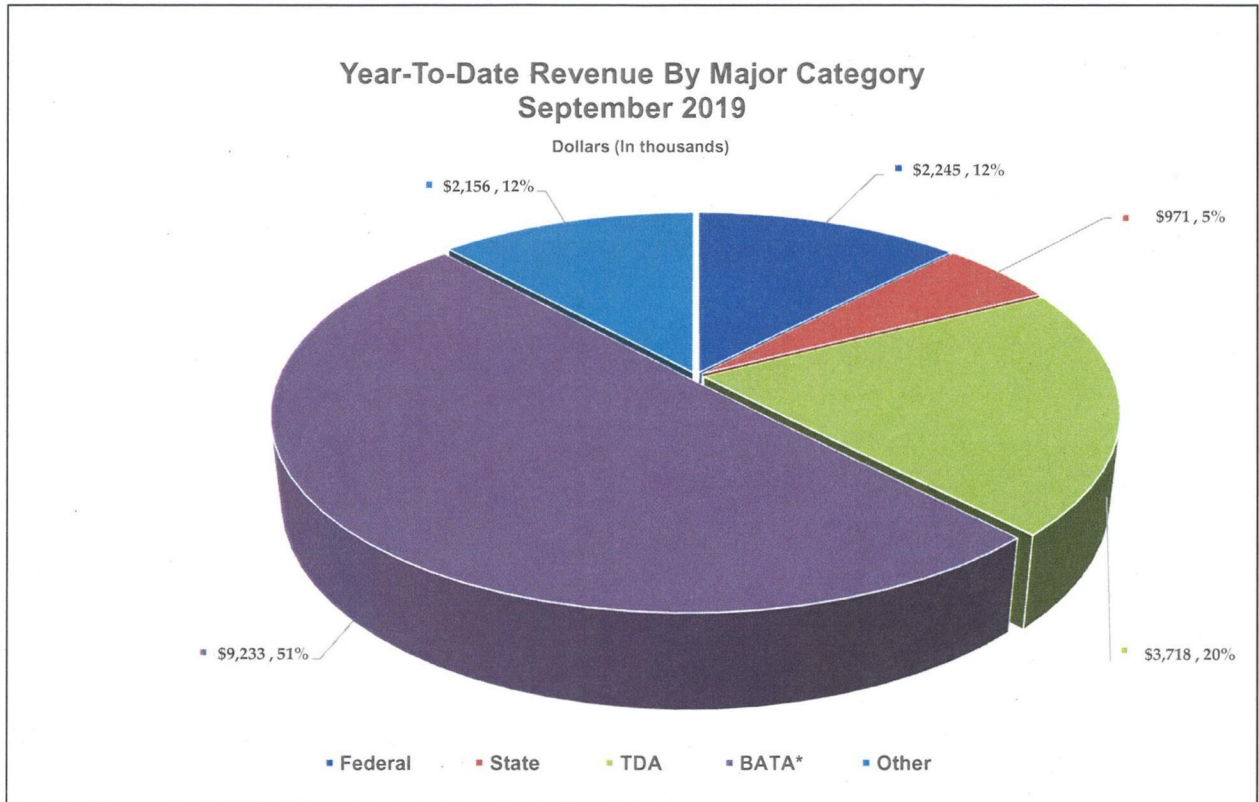
Attachments: Attachment A – Financial Statements for period ending September 30, 2019



Andrew B. Tremier

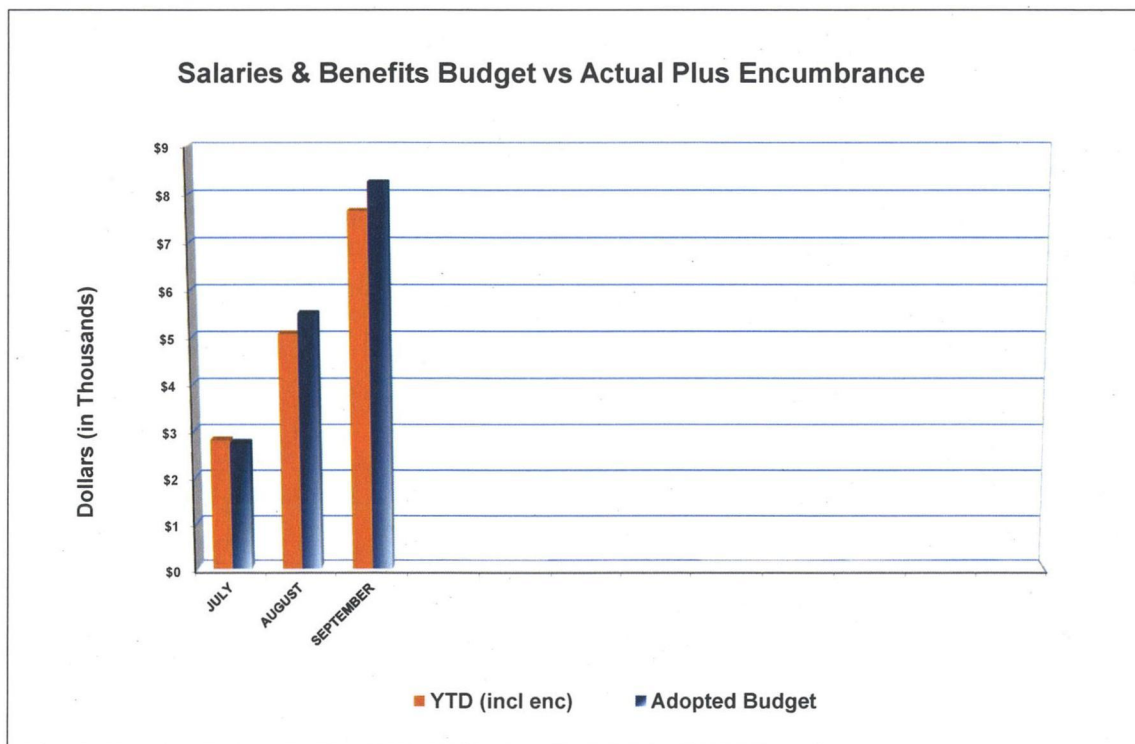
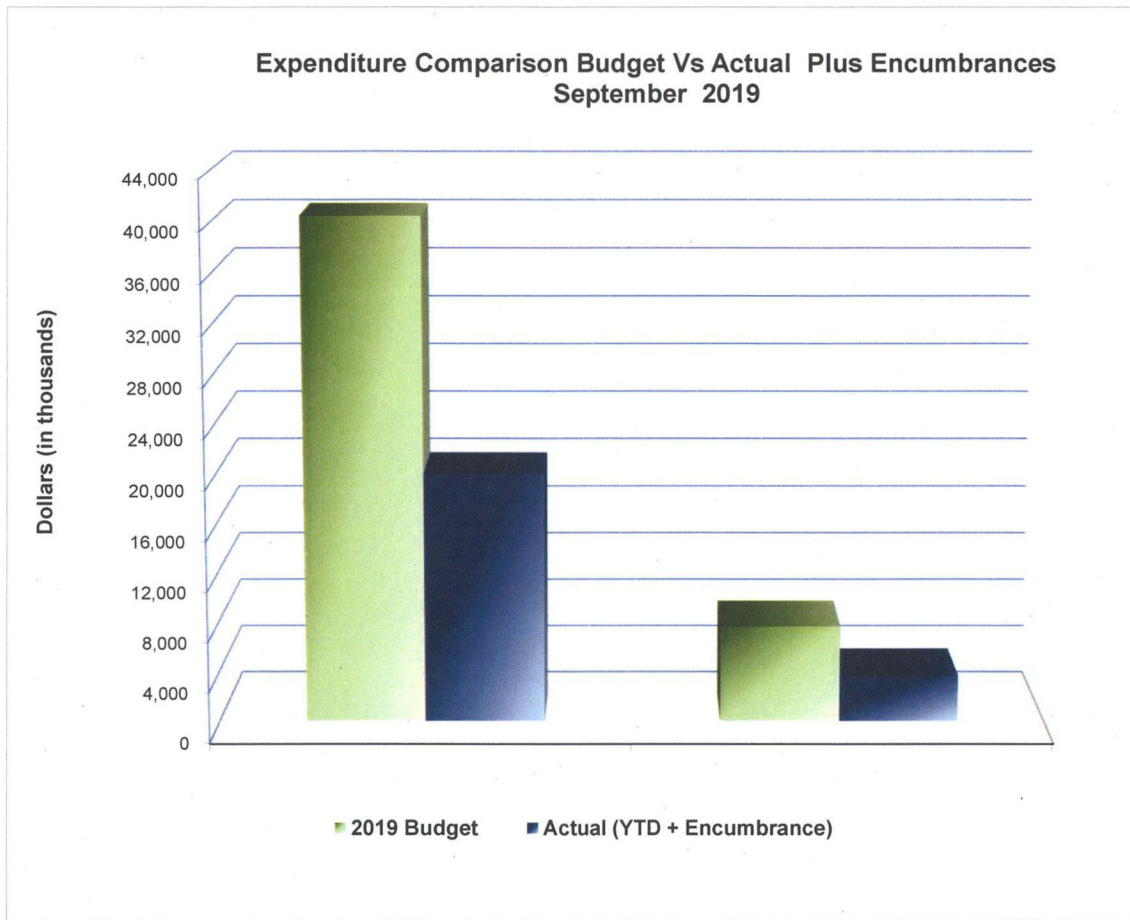
**OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2019-20
As of September 2019 (25% of year)**

	1	2	3	4
Operating Revenue	FY 2019-20 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
TDA	14,616,697	3,717,741	(10,898,956)	25.4%
Interest	115,000	196,503	81,503	170.9%
General Fund Total	14,731,697	3,914,243	(10,817,454)	26.6%
Federal Planning Revenue:				
FHWA - PL	8,256,122	711,790	(7,544,333)	8.6%
FHWA SP&R	16,165	-	(16,165)	0.0%
FTA 5303	3,980,686	1,533,195	(2,447,491)	38.5%
Federal Planning Revenue Total	12,252,973	2,244,984	(10,007,989)	18.3%
State Funding Revenue:				
STIP	701,377	216,196	(485,181)	30.8%
Senate Bill 1 (SB1)	3,155,484	754,307	(2,401,177)	23.9%
California State Transportation Agency (CalSTA)	4,923,200	-	(4,923,200)	0.0%
State Funding Revenue Total	8,780,061	970,503	(7,809,558)	11.1%
Local Funding Revenue:				
TFCA	1,257,954	-	(1,257,954)	0.0%
HOV	520,000	73,950	(446,050)	14.2%
Pavement Management	2,182,139	135,895	(2,046,244)	6.2%
BAAQMD	351,067	105,047	(246,020)	29.9%
Miscellaneous	2,945,462	14,564	(2,930,898)	0.5%
Local Funding Revenue Total	7,256,621	329,456	(6,927,165)	4.5%
Transfers From Other Funds:				
BATA 1%	8,096,994	8,096,994	-	100.0%
Transfer BATA	5,612,443	1,135,546	(4,476,897)	20.2%
SAFE	2,496,321	26,018	(2,470,304)	1.0%
2% Transit Transfers	293,204	10,039	(283,165)	3.4%
Transfers in - STA	8,435,828	4,600	(8,431,228)	0.1%
Bay Trail 2% Bridge Tolls & 5%	723,421	113,975	(609,446)	15.8%
Membership Dues	530,000	-	(530,000)	0.0%
Transfer from or (to) Reserve/Capital/O.H.	11,990,831	1,475,269	(10,515,562)	12.3%
Transfers Total	38,179,043	10,862,441	(27,316,602)	28.5%
Total Operating Revenue	81,200,396	18,321,627	(62,878,768)	22.6%



OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2019-20
As of September 2019 (25% of year)

	1	2	3	4	5
	FY 2019-20	Actual	Budget Balance	% of Budget	
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	33,038,439	7,275,879	(25,762,560)	22.0%	382,175
Travel & Training	590,419	104,470	(485,949)	17.7%	51,729
Commission Expense					
Commissioner Expense	150,000	7,998	(142,002)	5.3%	-
Advisory Committees	15,000	2,450	(12,550)	16.3%	-
Printing & Graphics	137,700	2,748	(134,952)	2.0%	29,371
Computer Services	3,506,550	923,128	(2,583,422)	26.3%	1,501,500
General Operations	3,310,988	232,590	(3,078,398)	7.0%	831,280
Total operating	40,749,096	8,549,263	(32,199,833)	21.0%	2,796,055
Contract Services	40,451,298	1,714,506	(38,736,791)	4.2%	18,355,177
Total Operating Expenditures	81,200,396	10,263,769	(70,936,626)	12.6%	21,151,233



**MTC CAPITAL BUDGETS
As of September 2019 (25% of year)**

<u>Capital</u>	Total Budget	Actual	Encumbrance	Balance
Transfer from Reserves	\$540,000	\$0	\$0	\$540,000
Expense	\$540,000	\$0	\$30,323	\$509,677

Bay Bridge Forward Project

Operating	Total Budget	Actual	Encumbrance	Balance
STP	9,038,923	316,869	-	8,722,054
CMAQ	7,499,000	380	-	7,498,620
RM2 Capital	13,400,000	101,281	-	13,298,719
SAFE Capital	975,000	1,068	-	973,932
Local - Cities	2,802,151	453,273	-	2,348,878
Revenue	\$33,715,074	\$872,871	\$0	\$32,842,203
Expense	\$33,715,074	\$872,871	\$21,804,055	\$11,038,147

Hub Signage Program

Capital	LTD Total Budget	LTD Actual	Encumbrance	LTD Balance
Prop 1B	9,729,204	9,729,204	-	-
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	3,106,789	574,561	-	2,532,228
Revenue	\$13,197,993	\$10,462,651	\$0	\$2,735,342
Expense	\$13,197,993	\$10,459,977	\$0	\$2,738,016

Agenda Item 2c Attachment A

LIFE TO DATE FEDERAL GRANT BUDGET

As of September 2019 (25% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
STP GRANTS								
6084-180 1809	FPI	29,391	-	29,391	-	16,341	13,050	-
6084-186 1812	OBAG Regional PDA	2,125,228	-	2,125,228	-	8,808	2,116,421	(0)
6084-198 1818	Pavement Management	3,177,288	-	3,177,288	-	66,256	1,394,018	1,717,014
6084-199 1819	511 Traveler Information	964,772	-	964,772	-	-	820,515	144,257
6084-201 1820	Freeway Performance Initiative	564,588	-	564,588	-	1,688	529,381	33,520
6084-205 1822	Pavement Management	1,025,993	-	1,025,993	-	-	523,478	502,515
6084-206 1826	CMA Planning	42,359,089	-	42,359,089	-	-	-	42,359,089
6084-207 1827	MTC Planning	6,695,747	35,000	6,730,747	107,869	-	33,637	6,589,240
6084-213 1833	511 Next Generation	8,306,241	-	8,306,241	35,200	-	3,977,363	4,293,678
6084-222 1835	Incident Management	3,897,606	-	3,897,606	85,159	-	-	3,812,447
6084-225 1836	TMC Asset	1,071,911	-	1,071,911	18,665	-	-	1,053,246
6084-228 1838	Freeway Performance -SR 84	114,359	-	114,359	-	2,101	27,383	84,874
6084-232 1839	PDA Planning & Implementation	8,007,554	-	8,007,554	-	52,367	7,955,188	(0)
6084-226-1841	Arterial Operations Management	9,293,749	2,000,000	11,293,749	443,194	3,665	678,522	10,168,368
6084-227-1842	Enhance Arterial: CAT1	6,474,482	3,915,000	10,389,482	-	14,923	7,970,211	2,404,349
6084-230 1843	Commuter Parking O&M	2,469,073	-	2,469,073	-	-	41,961	2,427,113
6084-231 1844	Freeway Performance - I880 Corridor	2,834,286	-	2,834,286	-	-	1,225,490	1,608,796
6084-233 1845	Freeway Performance - I 680 Corridor	13,992,875	-	13,992,875	-	-	13,986,416	6,459
6084-235 1846	Bay Area Forward - TMS	2,488,143	-	2,488,143	-	-	-	2,488,143
6084-241 1847	Shared Mobility	2,497,680	-	2,497,680	15,983	-	600,000	1,881,696
6084-250 1850	511 - Traveler Information Program	1,146,030	4,553,970	5,700,000	205,926	-	-	5,494,074
6084-244 1852	Connected Automated Vehicle Projects	2,500,000	3,200,000	5,700,000	-	-	-	5,700,000
New	Commute Challenge	-	2,500,000	2,500,000	-	-	-	2,500,000
New	Shared Use Mobility	-	6,000,000	6,000,000	-	-	-	6,000,000
TOTAL		122,036,086	22,203,970	144,240,056	911,996	166,148	41,893,034	101,268,877
CMAQ GRANTS								
6084-160 1589	Arterial Operations	194,743	-	194,743	-	-	-	194,743
6084-188 1814	Regional Bicycle Program	64,080	-	64,080	-	-	-	64,080
6084-202 1824	Climate Initiatives	600,000	-	600,000	-	-	595,390	4,610
6084-209 1825	Operate Car Pool Program	5,872,198	-	5,872,198	-	123,576	2,116,332	3,632,290
6084-211 1828	Commuter Benefits Implementation	990,601	-	990,601	24,397	12,301	226,229	727,674
6084-210-1829	Incident Management	18,412,548	-	18,412,548	-	567,981	14,505,379	3,339,188
6084-215 1830	Spare the Air Youth Program	1,919,076	-	1,919,076	-	43,855	1,875,221	0
6084-216 1831	Arterial/Transit Performance/Rideshare	3,499,531	-	3,499,531	-	15,261	227,806	3,256,464
6084-208 1832	Vanpool Program	1,922,613	-	1,922,613	-	12,513	161,100	1,749,000
6084-212 1834	Connected Vehicles/Shared Mobility - TM	2,370,163	-	2,370,163	85,017	-	-	2,285,146
6084-220 1837	I-880 ICM Central	1,115,752	-	1,115,752	2,849	-	-	1,112,902
6084-219 1840	Bay Area Forward - BBF West Grand TSP	998,400	-	998,400	-	380	100,000	898,020
6084-242 1848	Regional Car Sharing	1,200,411	-	1,200,411	752	-	-	1,199,659
6084-243 1849	Targeted Transportation Alternatives	325,000	-	325,000	1,238	-	-	323,762
6084-254 1851	FPI - US 101	500,000	2,500,000	3,000,000	-	-	-	3,000,000
New	FPI - I 580	-	5,000,000	5,000,000	-	-	-	5,000,000
New	FPI - SR-37/Other	-	18,000,000	18,000,000	-	-	-	18,000,000
New	I880 Central Segment Project Study	-	8,840,000	8,840,000	-	-	-	8,840,000
New	Climate Initiatives	-	10,875,000	10,875,000	-	-	-	10,875,000
TOTAL		39,985,115	45,215,000	85,200,115	114,253	775,867	19,807,456	64,502,539
FTA GRANTS								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	82,578	13
CA37-X133 1627	JARC	77,310	-	77,310	-	23,832	53,478	-
CA37-X164 1629	JARC	45,757	-	45,757	-	-	45,757	0
CA37-X177 1630	JARC	653,387	-	653,387	-	-	70,064	583,323
CA57-X109 1632	New Freedom	232,947	-	232,947	-	-	-	232,947
CA34-0024 1633	FTA 5339 - Bus Purchases	503,308	-	503,308	-	-	-	503,308
CA34-0032 1634	FTA 5339 - Bus Purchases	452,441	-	452,441	-	-	450,307	2,134
64AM18-00758 1636		336,189	-	336,189	-	-	-	336,189
TOTAL		2,383,930	-	2,383,930	-	23,832	702,184	1,657,914

LIFE TO DATE FEDERAL GRANT BUDGET
As of September 2019 (25% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
Other Federal and State Grants								
SHA 6084-184 1112	FHWA - SHRP2	74,932	-	74,932	-	-	74,932	-
BF-99T455 1340	Environmental Protection Agency (EPA)	331,445	-	331,445	2,969	-	-	328,476
CA000007-01 1342	Environmental Protection Agency (EPA)	545,039	-	545,039	2,969	-	-	542,071
EMF2016 1372	Federal Emergency Management Agency	11,679	-	11,679	7,781	-	43	3,855
CARB 2404	California Air Resources Board	1,906,439	-	1,906,439	-	-	880,259	1,026,180
14 -003 2800	Coastal Conservancy	363,387	-	363,387	-	-	100,000	263,387
10-092 2801	Coastal Conservancy	267,809	-	267,809	20,514	-	7,590	239,705
New	SSARP Planning Grant	-	500,000	500,000	-	-	-	500,000
New	State Coastal Conservancy Prop 68	-	3,000,000	3,000,000	-	-	-	3,000,000
New	State Coastal Conservancy Prop 69	-	2,000,000	2,000,000	-	-	-	2,000,000
New	Federal Emergency Management Agency	-	300,000	300,000	-	-	-	300,000
New	USGS National Grant	-	75,000	75,000	-	-	-	75,000
TOTAL		3,500,731	5,875,000	9,375,731	34,233	-	1,062,824	8,278,674
Total Federal Grants Budget		167,905,862	73,293,970	241,199,832	1,060,482	965,848	63,465,499	175,708,004

CLIPPER OPERATING BUDGET
As of September 2019 (25% of year)

Clipper Operating	Total FY 2018-19 Budget	Actual	Encumbrance	Balance
RM2	3,000,000	306,444	-	2,693,556
STA	9,645,579	1,168,921	-	8,476,658
Clipper Escheatment	2,960,359	-	-	2,960,359
Transit Operators	22,057,500	1,669,399	-	20,388,101
Revenue	\$37,663,438	\$3,144,765	\$0	\$34,518,673
Expense	\$37,663,438	\$3,088,142	\$31,222,086	\$3,353,210

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of September 2019 (25% of year)

Clipper I - Capital	LTD Budget Thru FY 2018-19	Actual	Encumbrance	Project Balance L-T-D
CMAQ	66,669,515	66,903,705	-	(234,190)
Card Sales	17,951,267	14,906,020	-	3,045,247
Cap and Trade (LCTOP)	7,777,971	7,316,352	-	461,619
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,327,416	-	(9,254,851)
STP	31,790,753	34,095,725	-	(2,304,972)
STA	21,946,540	21,675,912	-	270,628
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,725,816	-	3,138,997
Transit Operators	10,279,437	1,932,383	-	8,347,054
WETA	603,707	618,862	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$230,409,357	\$221,536,883	\$0	\$8,872,474
Expense	\$230,409,357	\$211,037,043	\$13,961,871	\$5,410,442

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of September 2019 (25% of year)

Clipper II - Capital	LTD Budget Thru FY 2018-19	Actual	Encumbrance	Project Balance L-T-D
STP	10,316,887	7,176,068	-	3,140,819
FTA	133,903,689	5,176,332	-	128,727,357
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	500,000	-	3,500,000

Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	2,766,601	-	(355,760)
Revenue	\$219,003,300	\$15,878,803	\$16,608,406	\$203,124,497
Expense	\$219,003,300	\$15,453,716	\$16,608,406	\$186,941,179

**DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission				
Consultants	200,000		35,188	
1051111 - Subtotal	200,000	-	35,188	164,812
Implement Public Information Program	2,481,457			
Alta Planning and Design			15,000	
Circlepoint		38,092	38,560	
Consultants		70,199	1,847,558	
Craft & Commerce, LLP		9,934	71,323	
League f Women Voters			25,000	
1051112 - Subtotal	2,481,457	118,225	1,997,441	365,791
Regional Transportation Plan	1,640,144			
Craft & Commerce, LLP			30,000	
Consultants		41,446	219,764	
Economic & Planning Systems			29,970	
EMC Research			110,000	
Exygy, Inc.		46,300	13,279	
Urban Institute			52,641	
1051121 - Subtotal	1,640,144	87,746	455,654	1,096,745
Analyze Regional Data using GIS & Travel Models	2,115,306			
Consultants			18,257	
Corey, Canapary & Galanis			297,068	
ETC Institute			79,338	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.			55,500	
Resource Systems Group			205,093	
RSG, Inc.			299,386	
WSP USA Inc.			100,040	
1051122 - Subtotal	2,115,306	-	1,066,056	1,049,250
Airport/Seaport/Freight Planning	66,870			
Cambridge Systematics			66,870	
1051124 - Subtotal	66,870	-	66,870	(0)
Active Transportation Planning	685,355			
Consultants			135,230	
1051125- Subtotal	685,355	-	135,230	550,125
Resiliency (Sea Level Rise/Adaptation) PL	2,406			
1051126 - Subtotal	2,406	-	-	2,406
Regional Trails	90,000			
1051127 - Subtotal	90,000	-	-	90,000

**DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Resilience and Hazards Planning	88,196			
Consultants		401	7,506	
Rutherford & Chekene		645	79,645	
1051128 - Subtotal	88,196	1,046	87,151	(0)
Regional Research and Economic	140,375			
Bay Area Council Economics Ins.			10,000	
Consultants		3,500	16,500	
1051129 - Subtotal	140,375	3,500	26,500	110,375
Advocate Legislative Programs	475,000			
Carter, Welch & Associates		6,318		
Government Relations		50,000		
1051132- Subtotal	475,000	56,318	-	418,682
Agency Financial Management	863,302			
SunGard Bi-Tech Inc.			1,754	
PWC		192,618	80,764	
1011152 - Subtotal	863,302	192,618	82,518	588,166
Administrative Services	1,049,359			
Koff & Associates			98,229	
Management Partners		814	53,791	
Carl Warren & Co.			87,050	
Pathways for High School		122,756	10,242	
Keenan & Associates			30,333	
San Jose State University			100,000	
Perfromance Based Ergonomics			17,559	
The Solis Group			21,852	
Civic Edge			325	
1011153 - Subtotal	1,049,359	123,570	419,382	506,407
Information Technology Services	2,118,821			
Informatix, Inc.			45,539	
Visual Strategies		4,999	245,001	
Management Partners			74,536	
Ruben Marcia			5,653	
SSP Data			73,092	
Core BTS Inc.			63,591	
Elite Automotion LLC			3,280	
KIS Computer Center			18,000	
Larry Cabrera			25,000	
1011161 - Subtotal	2,118,821	4,999	553,692	1,560,129
Performance Measurement and Monitoring	405,861			
Consultants			125,000	
Exygy, Inc.			279,739	
1051212 - Subtotal	405,861	-	404,739	1,123

DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Regional Rideshare Program		1,266,328			
	Enterprise Rent-A-Car		37,538	483,300	
	Parsons Brinkerhoff		56,050	36,665	
1051222 - Subtotal		1,266,328	93,588	519,965	652,775
Support Regional Operations Program		701,526			
	Iteris Inc.		6,711	65,052	
	Consultants			83,763	
1051223 - Subtotal		701,526	6,711	148,815	546,000
Implement Regional Traveler Information Services		635,472			
	Civic Resource Group			33,534	
	Consultants		24,333	154,067	
	Iteris Inc.			139,909	
	Kimly Horn & Associates			2,029	
1051224 - Subtotal		635,472	24,333	329,539	281,600
Pavement Management Program (PMP)		3,298,367			
	AMS Consulting			34,169	
	Bellecci & Associates		2,753	5,735	
	CA State University, Chico			100,000	
	Capitol Asset & Pavement			35,936	
	Devmecca.Com		289,285		
	Fugro Roadware, Inc.			26,542	
	Harris & Associates			28,193	
	Nichols Consulting			357,139	
	Nichols Consulting			49,713	
	Pavement Engineering Inc.		3,859	24,587	
	Quality Engineering Solutions		1,973	23,089	
	URS Corporation			55,151	
1051233 - Subtotal		3,298,367	297,869	740,253	2,260,245
Arterial Operations		1,871,672			
	City of San Rafael		-	207,844	
	City of Concord		-	588,854	
	DKS Associates		1,566	13,181	
	City of hayward		-	53,554	
	Iteris, DBA, MMA		26,266	141,335	
	Kimly Horn		4,637		
	City of Pleasanton		-	47,644	
	City of San Francisco		-	95,764	
1051234 - Subtotal		1,871,672	32,469	1,148,176	691,027
Incident Management		600,870			
	Circlepoint			63,650	
	Consultants			112,600	
	City of Fremont			57,286	
	Iteris, Inc.			124,000	
	Kimly Horn			33,403	
1051235 - Subtotal		600,870	-	390,939	209,931
Technologically -Based Operations & Mobility		2,000,000			
1051238 - Subtotal		2,000,000	-	-	2,000,000

**DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Lifeline Transportation Program	20,000			
1051310 - Subtotal	20,000	-	-	20,000
	6,875,828			
Carshoft Technology Corporation		93,173		
Consultants			9,500	
Cubic Trasnportation Systems			1,325,110	
Moore,Iacofano, Goltsman			188,000	
Resource Development Association		4,600	76,119	
Vertiba,LLC		228,642	1,094,281	
1051311 - Subtotal	6,875,828	326,414	2,693,010	3,856,404
Climate Resilience for People with Disabilitites	308,601			
World Institute on Disability			308,601	
1051313 - Subtotal	308,601	-	308,601	-
Climate Assessment Initiative	285,000			
Nelson\Nygaard Consulting			75,000	
1051413 - Subtotal	285,000	-	75,000	210,000
East Palo Alto & Dumbarton Bridge Resilience Study	161,648			
AECOM			161,648	
1051415 - Subtotal	161,648	-	161,648	(0)
Regional Assistance Program	274,000			
Pieriott & Associates, LLC			62,000	
1051514 - Subtotal	274,000	-	62,000	212,000
State Programing, Monitoring and TIP Development	187,200			
1051515 - Subtotal	187,200	-	-	187,200
Transit Sustainability Project	6,550,056			
Arup North America Ltd.			3,684	
BART			42,857	
Golden Gate Bridge & Highway			42,857	
HDR Engineering Inc.		183,473	4,736,044	
Nelson Nygaard			30,000	
Nelson Nygard			6,840	
Parsons Brinckerhoff, Inc.		1,100	102,140	
Sonoma County Transit			30,000	
Sonoma County Transportation			32,538	
Sonoma County Transportation			30,000	
UCLA		10,039	11,628	
Water Transit Authority			30,000	
WestCat			30,000	
1051517 - Subtotal	6,550,056	194,611	5,128,587	1,226,857

DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transportation for Livable Communities Program	607,242			
California Housing Partnership			12,750	
Consultants			36,710	
Fehr & Peers Associates			19,873	
Nelson Nygard		1,945	28,875	
Placeworks		5,210	34,905	
TJKM Transportation Consultant		505	14,004	
1051611 - Subtotal	607,242	7,660	147,117	452,465
Climate Adaptation Consulting (BARC)	194,384			
Consultants			15,000	
Hassell Design Ltd.			20,000	
San Francisco Estuary Institute			25,000	
Tom Leader Studio, Inc.			20,000	
1051612- Subtotal	194,384	-	80,000	114,384
Road Maintenance and Rehabilitation	285,445			
Consultants		2,860		
Hassell Design Ltd.		11,659	103,381	
Tom Leader Studio, Inc.		14,856	142,218	
1051613- Subtotal	285,445	29,375	245,599	10,471
Connecting Housing and Transportation	149,999			
Baird & Driskell Planning			23,500	
Community Outreach			6,000	
TransLight LLC			80,994	
1051615- Subtotal	149,999	-	110,494	39,505
Regional Advance Mitigation Projects	100,000			
Consultants		50,000		
1051616- Subtotal	100,000	50,000	-	50,000
Technical Assistance Strategic Planning	82,856			
Estolano Lesar Advisors		20,053	22,359	
1051617- Subtotal	82,856	20,053	22,359	40,445
Affordable Mobility Pilot Program	248,278			
TransForm			248,278	
1051618- Subtotal	248,278	-	248,278	(0)
General Operations c/o	225,325			
1011998 & 1011999	225,325	-	-	225,325

**DISBURSEMENT REPORT (Non- Federal Funded)
As of September 2019 (25% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Legal		1,098,750			
	Davis Wright TR Legal Fees		10,044		
	Glen & Finley LLP		12,270	40,043	
	Hanson Bridgett		812	53,463	
	Meyers Nave		325	32,558	
	BEST BEST & Kreiger LLP		1,576	33,809	
	Rene Public Law Group		18,375	284,504	
	Farella Braun And Martel LLP		-	20,000	
1060000 - Subtotal		1,098,750	43,402	464,377	590,971
Total Operating Contract Services		40,451,297	1,714,506	18,355,177	20,381,613
Bay Area Forward - Capital					
11051237 - Subtotal		19,156,767	541,227	3,781,381	14,834,159
Total Non-Federal Grant Funded		59,608,064	2,255,734	22,136,558	35,215,773

**DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September 2019 (25% of year)**

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants		50,082
San Francisco Transportation Authority		24,850
1051122 - Subtotal	-	74,932
Regional Trails		
East Bay Regional Park District		100,000
Petaluma Small Craft Center		7,590
1051127 - Subtotal	-	107,590
Analyze Regional Data using GIS & Travel Models		
Enterprise Renta-A-Car	12,513	161,100
Parsons Brinkerhoff	135,877	2,342,561
Parsons Brinkerhoff		484,700
Sonoma County Transportation		28,038
Valley Transportation Authority		70,000
1051222 - Subtotal	148,390	3,086,399
Support Regional Traveler Information Services		
Kimley-Horn and Associates		4,648
1051223 - Subtotal	-	4,648
Regional Traffic Information Services		
Civic Resource Group		483,234
Faneuil Inc.,		608,934
Iteris, Inc.		2,522,441
Kimley-Horn & Associates		579,371
1051224 - Subtotal	-	4,193,980

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September 2019 (25% of year)

Work Element/Consultant	Expended	Encumbered
Pavement Management Program (PMP)		
AMS Consulting		263,731
Bellecci & Associates	21,247	44,265
Capitol Asset & Pavement Services		277,364
Fugro Roadware, Inc.		204,858
Harris & Associates		217,607
Nichols Consulting Engineers		541,687
Pavement Engineering Inc.	29,781	189,773
Quality Engineering Solutions	15,227	178,211
1051233 - Subtotal	66,255	1,917,496
Arterial Operations Coordination		
AC Transit		2,300,000
City of Emeryville		726,913
City of San Rafael		704,691
DKS Associates	12,090	111,701
City of Hayward	-	263,279
IBI Group		195,000
Iteris, DBA MMA		58,272
Kimly Horn	18,094	334,673
City of Pleasanton		233,791
City of San Jose		1,342,688
City of San Ramon		444,949
City of San Francisco		469,566
TJKM Transportation Consultant		23,406
Town of Los Gatos		643,662
City of Union City		618,916
1051234 - Subtotal	30,184	8,471,507
Implement Incident Management Program		
AC Transit	-	308,160
Consultants	7,448	182,552
MNS Engineers (former S&C)	55,708	1,302,997
Sturgeon Electric California	499,845	12,859,245
URS Corporation	4,981	42,424
1051235 - Subtotal	567,982	14,695,378

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September 2019 (25% of year)

Work Element/Consultant	Expended	Encumbered
Lifeline Program		
Contra Costa County		9,924
County of Contra Costa		29,721
Cycles of Change		751
San Mateo County Human Service		29,668
1051310 - Subtotal	-	70,064
Implement Lifeline Transportation Program		
Marin Transit		45,757
Peninsula Family Services	23,832	53,478
1051311 - Subtotal	23,832	99,235
Lifeline Planning		
Alta Plannign & Design	43,855	1,863,989
Silicon Valley Bicycle Coalition		595,390
1051413 - Subtotal	43,855	2,459,379
Federal Programming, Monitoring and TIP Development		
ECCTA		450,307
1051512 - Subtotal	0	450,307
New Freedom - Non - Planning Funds		
County Connection		15,000
Outreach & Escort Inc.		183,977
San Mateo County Transit District		67,578
1051518 - Subtotal	-	266,555
Transportation for Livable Communities		
Bay Conservation & Development		33,637
City of Berkeley		335,200
City & County of San Francisco		3,369,216
City of San Rafael		376,600
City of Santa Rosa		763,636
City of Union City		800,000
Community Design and Architecture		3,000
Consultant		213,297
City of El Cerrito		317,844
Fehr & Peers Associates		181,041
Nelson Niggard	15,016	458,187
City of Oakland		34,800
Placeworks	33,453	276,169
City and County of San Francisco		400,000

**DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September 2019 (25% of year)**

Work Element/Consultant	Expended	Encumbered
City of San Jose		437,119
Santa Clara VTA		712,447
City of Sunnyvale		335,200
TJKM Transportation Consultant	12,707	230,001
City of Vacaville		350,000
Valley Transportation Authority		132,000
1051611 - Subtotal	61,176	9,759,394
Affordable Mobility Pilot Program		
Transform		880,259
1051618 - Subtotal	0	880,259
Fund 190 CMA PLANNING		
	-	-
Total Federal Grant Funded	941,674	46,537,166
Bay Area Forward - Capital		
11051237 - Subtotal	24,175	16,928,333
Total Federal Grant Funded	965,848	63,465,499

**CAPITAL PROJECTS DISBURSEMENT REPORT
As of September 2019 (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	540,000		30,323	
Subtotal	540,000	-	30,323	509,677
Bay Bridge Forward	33,715,074			
California Engng. Contractors			26,154	
California Highway Patrol			686,231	
Caribou Public Relations			300,000	
CONSULTANTS (PO)		2,438	431,900	
CONTRA COSTA TRANSP AUTHORITY			13,986,416	
GRAY-BOWEN-SCOTT			80,000	
HDR Engineering, Inc.		18,442	183,040	
KIMLEY-HORN		4,045	548,241	
Kittelson & Associates			330,000	
Kittelson & Associates, Inc			125,000	
MTC STAFF COSTS		306,719	1,105,092	
O.C. Jones & Sons, Inc.		439,946	1,815,163	
Parsons Transportation Group			850,798	
Placeworks			45,711	
San Mateo County Transit Dist			27,390	
Steer Davis & Gleave Inc.			300,000	
Transp Mobility Solutions			41,961	
Wilson, Sparling & Associates			30,000	
WSP USA Inc.		101,281	718,719	
WSP USA, Inc.			100,000	
WSP, USA INC.			72,240	
Subtotal	33,715,074	872,871	21,804,055	11,038,147
Hub Signage Program	13,197,993			
Staff Costs		1,474,838		
Consultants		1,449,765		
Kimly-Horn and Associates		792,395		
BART		4,760,658		
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
3322650,2651,2652,2654 & 2655 Subtotal	13,197,993	10,459,977	-	2,738,016

CLIPPER PROJECTS DISBURSEMENT REPORT

As of September 2019 (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	37,663,438			
AC Transit		20,400	224,400	
CONSULTANTS (PO)		4,937	2,027,860	
Cubic Transportation Systems		2,581,599	27,631,901	
MTC STAFF COSTS		198,086	1,047,590	
Faneuil, Inc.		34,581		
Moore, Iacofano, Golstman		166,806		
Nematode Holdings		71,529	200,539	
Resource Development Assoc.		10,204	89,796	
320122116 Clipper Operating Expenses	\$37,663,438	\$3,088,142	\$31,222,086	\$3,353,210
Clipper I - Capital	230,409,357			
Staff costs		13,165,736		
Auriga Corporation		12,293		
A T & T		90,557		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
Auriga Corporation		373,734		
BART		3,704,732		
Booz Allen Hamilton		22,427,060		
Caporicci & Larson		11,530		
Consultants		5,978,144	325,400	
Cornerstone Transp. Consulting		110,119		
CH2M Hill			1,312,551	
Cubic Transportation Systems		94,576,630	8,943,865	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		110,407		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		1,077,224	1,059	
KPMG consulting		1,127,033		
Local Government Services		915,517		

CLIPPER PROJECTS DISBURSEMENT REPORT
As of September 2019 (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		842,352		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,440,738	3,378,997	
310 Clipper Capital I - Total Expenses	\$230,409,357	\$211,037,043	\$13,961,871	\$5,410,442
Clipper II- Capital	219,003,300			
CH2M Hill		505,489	539,511	
CONSULTANTS (PO)		316,686	294,432	
CUBIC		4,254,595	14,172,712	
IBI GROUP		3,571,045	1,419,093	
Invoke Technologies		353,426	46,574	
KPMG CONSULTING		245,435	50	
MTC STAFF COSTS		5,593,074		
Thompson Coburn LLP		613,965	136,034	
312 Clipper II - Total Expenses	\$219,003,300	\$15,453,716	\$16,608,406	\$186,941,179

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

		September, 2019
CORE BTS Inc.		38,591
	FY2019-20 Purchasing Systems Consultant	
PR Newswire Associates		20,000
	FY2019-20 Annual Subscription	
Soft link America Inc.		15,368
	FY2019-20 Quest Internet Service	
Quest Media & Supplies		24,800
	FY 2019-20 Quest Internet Service	
SHI		30,000
	Computer Consultant	
EBIX Inc.		17,000
	FT 2019-20 Annual Insurance	
Easter Seals Inc.		5,500
	Travel and Training	
Onna Technologies		61,736
	Staff Training	
Survey Monkey LLC		13,000
	FY2019-20 Survey Monkey System Software	
Asana Inc.		4,860
	Software License Renewal	
Elite Automation LLC		3,280
	Computer Consultant	
KIS Computer Center		18,000
	Computer Consultant	
AD Club		24,000
	Personnel Recruitment	
Carshoft Technology		26,760
	FY2019-20 DocuSign Hosted Services	
ARC Norcal		3,000
	Printing and Reproductions	
Larry Cabrera		25,000
	IT Consultant for Digital Agenda Routing Process	
Government Jobs.Com		6,796
	Personnel Recruiting	

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000**

Consultant	Purpose	September, 2019
Kimley Horn and Associates	On-Call Transportation Engineering	\$50,000
Nelson Nygaard Consultants	Future Mobility Research	\$75,000