

**Bay Area Toll Authority
Oversight Committee**

October 9, 2019

Agenda Item 4b

BATA Financial Statements for July 2019

Subject: Attached are the BATA financial statements for the one-month period ending July 2019. Major financial highlights include:

- (1) **Revenues:** Total operating revenue of \$70.6 million is 5% below the projected budget after the first month of the FY 2019-20. Revenue coming in below the budget estimate is normal for this time of the fiscal year given the timing of revenue accruals and receivable collections.

Toll revenue of \$63 million after the first month is slightly ahead of budget. Total interest revenue of \$4 million is in line with the budget. Year-to-date (YTD) toll violation revenues of \$2.5 million is 1.5 percentage point above the projected violation revenue budget.

- (2) **Expense:** Total operating expense of \$24 million is 2.7% of the total approved budget and is where it is expected to be relative to the early part of the operating year. As we get closer to midyear, contract and debt service costs will kick in giving us a more accurate expense picture.

- (3) **Transfers to MTC:** The budgeted annual 1% administration fee and the additional administration fee for MTC were transferred at the beginning of the fiscal year. Majority of the transfers are generally made on a drawdown basis.

- (4) **Actions under Executive Director Contract signature authority:** please see Attachment A page 2 to 4.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

Recommendation: None. This item provided as information only.

Attachments: Attachment A – BATA Financial Statements for period ending July 31, 2019



Therese W. McMillan

BATA Operating Budget

As of July 2019

	FY 2019-20	Actual	Current Budget	% of Budget	year		YTD Total
	Budget	YTD	Balance Over/(Under)	(col 2/1)	Expired Encumbrances	(Enc + YTD)	
REVENUE:							
1 RM 1 Toll Revenues	603,709,547	52,052,993	(551,656,554)	8.6%	8.3%	-	52,052,993
2 RM 2 Toll Revenues	130,989,803	11,267,503	(119,722,300)	8.6%	8.3%	-	11,267,503
3 Toll Violation Revenues	25,000,000	2,451,192	(22,548,808)	9.8%	8.3%	-	2,451,192
4 Other Revenue	-	393,350	393,350	N/A	8.3%	-	393,350
5 Interest Income	50,000,000	4,211,954	(45,788,046)	8.4%	8.3%	-	4,211,954
6 BAIFA Reimbursement	780,000	54,217	(725,783)	7.0%	8.3%	-	54,217
7 GGB&HTD Fastrak Reimbursement	7,200,000	-	(7,200,000)	0.0%	8.3%	-	-
8 SFO Fastrak Reimbursement	463,000	7,391	(455,609)	1.6%	8.3%	-	7,391
9 Alameda CMA Reimbursement	1,700,000	144,997	(1,555,003)	8.5%	8.3%	-	144,997
10 VTA 237 Express Lane Reimb.	660,000	11,282	(648,718)	1.7%	8.3%	-	11,282
11 Rebate for Build America Bonds	71,713,641	-	(71,713,641)	0.0%	8.3%	-	-
12 BAHA Reimbursement	2,000,000	-	(2,000,000)	0.0%	8.3%	-	-
13 Transbay Terminal Review Reimbursement	600,000	-	(600,000)	0.0%	8.3%	-	-
14 EBRPD Reimbursement	1,361,459	-	(1,361,459)	N/A	8.3%	-	-
Total Revenue	896,177,450	70,594,879	(825,582,571)	7.9%	8.3%	-	70,594,879
EXPENSE:							
Caltrans Operations and Maintenance:							
1 Toll Collection & Operations Services	24,000,000	1,903,588	(22,096,412)	7.9%	8.3%	-	1,903,588
2 Toll & Bridge Facility Maint	5,700,000	334,503	(5,365,497)	5.9%	8.3%	-	334,503
Caltrans O & M Subtotal	29,700,000	2,238,091	(27,461,909)	7.5%	8.3%	-	2,238,091
Fastrak Operations and Maintenance:							
3 RCSC Operations	27,000,000	-	(27,000,000)	0.0%	8.3%	-	-
4 ATCAS Maintenance, IT equip	5,650,000	25,918	(5,624,082)	0.5%	8.3%	701,472	727,390
5 Banking Costs	16,900,000	-	(16,900,000)	0.0%	8.3%	21,905	21,905
6 Collection Exp./DMV Exp.	4,200,000	159,228	(4,040,772)	3.8%	8.3%	1,400,000	1,559,228
BATA O & M Subtotal	53,750,000	185,146	(53,564,854)	0.3%	8.3%	2,123,377	2,308,523
BATA Toll Bridge Administration:							
7 Staff Costs - Salaries,Benefits & Temps	11,908,744	467,930	(11,440,814)	3.9%	8.3%	-	467,930
8 Travel, Printing, Memberships & Other	627,965	7,337	(620,628)	1.2%	8.3%	3,619	10,956
9 Audit/Accounting	2,350,000	161,503	(2,188,497)	6.9%	8.3%	984,636	1,146,139
10 Misc. Toll Admin Operating Expenses	1,175,000	155,000	(1,020,000)	13.2%	8.3%	227,600	382,600
11 Professional Fees	4,140,000	26,342	(4,113,658)	0.6%	8.3%	711,315	737,657
12 Other	1,000,000	-	(1,000,000)	0.0%	8.3%	-	-
Toll Bridge Admin Subtotal	21,201,709	818,112	(20,383,597)	3.9%	8.3%	1,927,170	2,745,282
Other/Transfers:							
13 Transfers to MTC 1% Admin	8,096,994	8,096,994	-	100.0%	8.3%	-	8,096,994
14 Transfers to MTC - Additional Admin	6,991,519	6,991,519	-	100.0%	8.3%	-	6,991,519
15 Transfers to MTC - Other	1,320,500	902,527	(417,973)	68.3%	8.3%	9,858	912,385
16 Transfer from Legal Reserve	2,600,000	-	(2,600,000)	0.0%	8.3%	2,280,110	2,280,110
17 Transbay Transit Terminal Maint	5,201,958	-	(5,201,958)	0.0%	8.3%	5,201,958	5,201,958
18 Beale St Assessment	1,800,000	-	(1,800,000)	0.0%	8.3%	-	-
19 Depreciation and Amortization	5,050,000	-	(5,050,000)	0.0%	8.3%	-	-
20 RM2/Clipper Marketing	6,940,000	-	(6,940,000)	0.0%	8.3%	1,637,180	1,637,180
21 RM2 Operating	49,776,125	5,012,273	(44,763,852)	10.1%	8.3%	37,557,585	42,569,858
22 ABA6 SFEP	1,105,475	-	(1,105,475)	0.0%	8.3%	-	-
23 BARC for IG Contract	1,000,000	-	(1,000,000)	0.0%	8.3%	-	-
Transfers	89,882,571	21,003,313	(68,879,258)	23.4%	8.3%	46,686,691	67,690,004
Debt Service:							
24 Interest and principal payments	607,490,461	-	(607,490,461)	0.0%	8.3%	-	-
25 Financing Costs	14,073,400	-	(14,073,400)	0.0%	8.3%	3,473,400	3,473,400
Total Debt Service	621,563,861	-	(621,563,861)	0.0%	8.3%	3,473,400	3,473,400
Transfer to Capital Fund In (Out):							
26 Transfer to Capital Fund	(80,029,309)	-	(80,029,309)	0.0%	8.3%	-	-
27 Furniture/Equip./Vehicle	(50,000)	-	(50,000)	0.0%	8.3%	-	-
Total Capital Reserve In (Out)	(80,079,309)	-	(80,079,309)	0.0%	8.3%	-	-
Total Expense & Transfers	896,177,450	24,244,662	(871,932,788)	2.7%	8.3%	54,210,638	78,455,300
Net	-	46,350,217	-	-	-	-	(7,860,421)

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

		July'19
Carter Wetch and Associate		\$12,385
	<i>Legislation Advisory Service</i>	
Bloomberg Finance LP		\$30,000
	<i>Financial Information and Research Service</i>	
Sperry Capital Inc		\$50,000
	<i>Financial Advisory</i>	
SymPro Inc		\$32,000
	<i>Financial Software Licensing Fee</i>	
Interactive Data		\$3,000
	<i>Data Service for Financial Software</i>	

CONTRACTS REQUIRED UNDER BOND ISSUANCE DOCUMENTS

		July'19
Sumitomo Mitsui Bank		\$3,100
	<i>Letter of Credit Draw Fee</i>	
Bank of America		\$8,000
	<i>Letter of Credit Draw Fee</i>	
Bank of Tokyo		\$3,000
	<i>Letter of Credit Draw Fee</i>	
Bank of America		\$45,000
	<i>Agent Fee</i>	
Bank of New York		\$80,500
	<i>Financing Fee</i>	
Union Bank		\$193,000
	<i>Financing Fee</i>	
Union Bank		\$120,000
	<i>Sweep Fee</i>	
Bond Logistix LLC		\$126,500
	<i>Financing Fee</i>	
Fitch Inc.		\$75,000
	<i>Financing Fee</i>	
JP Morgan		\$63,000
	<i>Financing Fee</i>	
Standard & Poors		\$70,000
	<i>Financing Fee</i>	
Merrill Lynch Pierce		\$83,000
	<i>Remarketing Fee</i>	
Citigroup		\$85,500
	<i>Remarketing Fee</i>	
Barclays Capital		\$42,500
	<i>Remarketing Fee</i>	
Morgan Stanley		\$42,500
	<i>Remarketing Fee</i>	
Goldman Sachs		\$22,500
	<i>Remarketing Fee</i>	

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

		July'19
CDW Government Inc		\$20,000
	<i>Computer Supplies</i>	
Copymat		\$3,238
	<i>Printing Service</i>	
East Bay Bicycle Coalition		\$5,000
	<i>Sponsorship</i>	
American Society of civil Engineers		\$2,500
	<i>Sponsorship</i>	
Southern California Bronze Company		\$6,700
	<i>Supplies</i>	
Cogent Communications Inc		\$55,000
	<i>Data Telecommunications Service</i>	
SSP Date		\$152,781
	<i>Computer Software License</i>	
SSP Date		\$60,480
	<i>Computer Maintenance Service</i>	
SSP Date		\$17,160
	<i>Computer Software License</i>	
Superion LLC		\$100,000
	<i>Financial Software Maintenance Service</i>	

**Regional Measure 2 Operating Budget
As of July 2019 (\$000)**

						Balance
	Project Title	Total Budget	Allocation	Actual	Encumbrance	Over/(Und)
RM2 Operating Assistance Program						
1	Richmond Bridge Express Bus	2,474	-	-	-	(2,474)
2	Napa Vine Service	426	-	-	-	(426)
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,751	781	-	781	(2,970)
4	Express Bus South - serving Carquinez and Benicia Bridges	7,074	7,074	-	7,074	-
5	Dumbarton Bus	3,017	3,017	-	3,017	-
6	WETA Ferry Operations	16,500	16,500	2,750	13,750	-
7	Owl Service - BART Corridor	2,004	1,698	-	1,698	(306)
8	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
9	AC Enhanced Bus Service	3,000	3,000	-	3,000	-
11	Water Emergency Transportation Authority Regional Planning	3,000	3,000	500	2,500	-
12	Clipper Operations	2,000	2,000	-	2,000	-
13	Transbay Transit Center	3,000	3,000	1,762	1,238	-
Total RM2 Operating Assistance Program		48,746	42,570	5,012	37,558	(6,176)
RM2 Marketing Assistance Program						
N/A	Clipper Marketing	3,000	-	-	-	(3,000)
N/A	511 Real Time Transit	110	-	-	110	-
N/A	Seamless Transit Map	780	-	-	780	-
N/A	Regional Resource Center	200	-	-	197	(3)
N/A	AC Transit Services	500	-	-	500	-
N/A	Bike to Work, Trails and Transit Week	50	-	-	50	-
N/A	Carpool Incentive Program	2,000	-	-	-	(2,000)
N/A	New or Expanded Transit Services	300	-	-	-	(300)
Total RM2 Marketing Assistance Program		6,940	-	-	1,637	(5,303)
Total		\$55,686	\$42,570	\$5,012	\$39,195	(\$11,479)

Rehab Project Budget

As of July 2019 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance Remaining
6811	Antioch Bridge Rehab	70	-	-	70
6812	Benicia-Martinez Bridge Rehab	6,988	3,767	-	3,221
6813	Carquinez Bridge Rehab	34,656	34,466	-	190
6814	Richmond-San Rafael Bridge Rehab	123,330	59,725	-	63,605
6825	San Francisco-Oakland Bay Bridge Rehab	239,870	192,972	-	46,898
6826	San Mateo-Hayward Bridge Rehab	120,987	108,055	1	12,932
6827	Dumbarton Bridge Rehab	5,132	4,792	-	340
6828	All Bridges Rehab	130,946	94,715	-	36,231
6829	Caltrans Reserve	6,028	4	-	6,024
8030	Completed/Defunded/Transferred Projects	117,303	116,626	-	677
8033	Minor Toll Plaza Rehab Projects	4,580	2,677	-	1,903
8210	New Benicia Bridge *	1,715	695	-	1,020
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,640	5,539	-	1,101
8629	Minor Bridge Rehab Projects	1,159	45	-	1,114
TOTAL CALTRANS REHAB BUDGET		799,558	624,161	1	175,397
8012	All Electronic Tolling	5,963	699	2	5,262
8528	Bay Lights Maintenance	800	310	10	480
8530	Drainage Studies for the Bridge	500	319	81	100
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebare Repair Review	2,914	2,660	254	-
8540	Regional Transportation Sea Level Rise Asset	2,000	391	-	1,609
8594	SFOBB West Span Pathway PSR	12,300	11,342	686	272
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	2003 CSC Procurement	12,358	11,046	3	1,309
8901	ETC Transponder Procurement	99,500	82,507	10,255	6,738
8902	2012 CSC Procurement	23,450	19,452	807	3,191
8903	ATCAS Lane Host Upgrades	33,545	32,057	1,286	202
8904	Fastrak Sign & Sign Structure Improvements	29,510	29,343	72	95
8905	Misc. Bridge Improvements	23,914	8,318	2,200	13,396
8907	Toll Plaza Capital Improvements	28,833	20,086	5,172	3,575
8908	Enterprise Computing HW/SW	4,835	3,238	15	1,582
8909	Gateway Park Planning	27,975	16,888	881	10,206
8912	ETC Transponder Tag Swap	1,937	1,929	-	8
8913	SFOBB Administration Building	25,319	25,220	-	99
8914	Violation Enforcement System Upgrade	7,842	7,841	-	-
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	1,300	443	184	673
8918	Maintenance Complex	531	491	36	4
8920	Plaza and Canopy Improvements	9,263	8,545	25	693
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	1,775	1,664	43	68
8922	Metering Lights Replacement	11,180	2,249	3,096	5,835
8923	Bridge Records Recordation and Storage	500	55	-	445
8924	Antioch Bridge Approach	50,000	49,070	840	90
8926	Bridge Modeling & Investigations	5,801	893	57	4,851
8928	BATA Program Contingency	16,565	300	-	16,265
8930	Richmond-San Rafael Bridge Rehab	83,428	66,233	8,928	8,267
8933	Plan Bay Area TMS	9,000	6,236	2,204	560
8936	Backhaul Connection Infrastructure	1,000	768	88	144
8937	Future CSC Procurement	34,000	1,188	505	32,307
8938	Misc. East Span Project Improvements	12,084	-	-	12,084
8939	Asset Management	4,500	493	1,507	2,500
8940	HOV Lane Enforcement	6,600	578	822	5,200
8941	CHP - COZEPP/MAZEPP	300	-	-	300
8942	Bridge Yard Capital Improvements	500	-	-	500
8943	Bike/Ped Access to East Span of SFOBB	1,200	-	-	1,200
8944	Dumbarton Approach and Transit Strategies	17,000	-	-	17,000
8945	Next Gen Clipper (C2) System	9,600	-	9,600	-
8946	I-680/I-80/ISR-12 Interchange	7,200	-	-	7,200
8947	SR-37 Evaluation	8,000	-	6,000	2,000
8000-05	Capital Program Audit	8,300	7,040	513	747
8000-16	SRA/RM1 Program Monitoring	46,445	45,114	427	904
Total BATA REHAB BUDGET		697,478	472,917	56,599	167,961
TOTAL REHAB BUDGET		1,497,036	1,097,078	56,600	343,358

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Regional Measure 2 Project Budget

As of July 2019 (\$000) - Life to Date

Program	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	-	1,500	\$1,500
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	-	-
4	Dumbarton Commuter Rail Service ^{i,iv,xii}	9,157	8,932	33	192
5	Vallejo Ferry Intermodal Station ^v	26,000	24,101	1,598	301
6	Solano County Express Bus Intermodal Facilities ^{vi}	12,251	12,222	30	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	97,626	2,374	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	-	-
9	Richmond Parkway Park & Ride ^{vii}	3,850	946	627	2,277
10	SMART Extension to Larkspur ^{ii,viii}	56,500	54,930	1,570	-
11	Greenbrae Interchange Improvement ^{ii,viii}	43,500	31,641	11,859	-
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix}	20,425	16,877	3,230	318
13	Rail Extension to East Contra Costa/E-BART	96,000	94,298	1,702.00	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi,x}	35,950	35,950	-	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects ^{v,x}	18,799	18,771	28	-
18	Clipper	35,000	20,817	1,163	13,020
19	Real-time transit information	20,000	19,558	442	-
20	Safe Routes to Transit	22,500	19,575	2,925	-
21	BART Tube Seismic Retrofit	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	149,894	106	-
23	Oakland Airport Connector	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) ^{vii}	77,760	64,225	13,535	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	12,000	-	-
26	Commute Ferry Service for Berkeley/Albany	12,000	7,886	4,114	-
27	Commute Ferry Service for South San Francisco	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	47,269	731	-
29	Regional Express Bus South - Remaining Projects ^{iv,vii,xi}	54,933	33,751	9,318	11,864
30	I-880 North Safety Improvements ^{xi}	12,300	12,088	212	-
31	BART Warm Springs Extension ⁱ	186,000	181,416	4,584	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	50,894	9,111	4,995
33	Regional Rail Master Plan	6,500	6,062	394	44
34	Integrated Fare Structure Program	1,500	900	600	-
35	Transit Commute Benefits Promotion	5,000	3,366	1,634	-
36	Caldecott Tunnel Improvements ^{ix}	45,075	45,074	1	-
37	BART's Fixed Guideway Rehab	64,000	24,000	-	40,000
38	Regional Express Lane Network ⁱⁱⁱ	4,825	-	4,825	-
39	Modifications in I-80 and San Pablo ⁱⁱⁱ	8,000	7,730	270	-
40	Caltrain Electrification ^{viii,xii}	20,000	19,991	9	-
Total		\$1,589,000	\$1,435,963	\$78,527	\$74,511

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
^{iv} \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^v \$2,000	Program 5: Vallejo Ferry Intermodal Station program	Program 17: Regional Express Bus North program
^{vi} \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{vii} \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands.	Program 24: AC Transit Enhanced Bus program
^{viii} \$20,000	Program 11: Greenbrae Interchange Improvement	Program 10: SMART Extension to Larkspur
^{ix} \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
^x \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{xi} \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
^{xii} \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

^{xiii} Increasing funding by \$13 million to the Clipper Project (18), per Res #3801 dated 12/21/16.

^{xiv} Increasing funding by \$21 million to the Regional Express Bus South Project (29), per Resolution #3801 dated 12/21/16.

^{xv} Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37), per Resolution #3801 dated 12/21/16.

Shaded projects are completed

Seismic Capital Project Budget
As of July 2019 (\$000) - Life to Date

	Program	Base Budget	Current Budget***	Total Expenses*	Encumbrance	Remaining Balance
8103	San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,519,801	\$ 6,501,256	\$ 18,545	\$ -
8109	San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
8106	San Francisco-Oakland Bay Bridge West Approach Repl	429,000	452,550	450,387	2,163	-
8100	Antioch Bridge Retrofit	-	71,100	71,093	7	-
8122	Dumbarton Bridge Retrofit	-	112,400	112,354	46	-
8112	Richmond-San Rafael Bridge Retrofit	808,100	794,950	794,870	80	-
8115	Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
8118	Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
8121	San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
	Subtotal for Bay Area Bridges	7,487,100	8,711,565	8,690,711	20,854	-
8128	Misc Program Costs	30,000	26,030	26,024	6	-
8729	Program Contingency**	989,000	-	-	-	-
8124	Vincent Thomas Bridge Retrofit (non-BATA, for information	58,500	58,420	58,411	9	-
8127	San Diego-Coronado Bridge Retrofit (non BATA, for	103,500	103,240	103,235	5	-
	Subtotal for Other Bridges	162,000	161,660	161,646	14	-
	Total for Toll Bridge Seismic Retrofit Program	\$ 8,668,100	\$ 8,899,255	\$ 8,878,381	\$ 20,874	\$ -

*Includes pre AB144 LTD expenses from Caltrans to April 2006
BATA expenses from May 2006 to current

3,709,068
5,169,313
8,878,381

**** Contingency Allocation**

Contingency per Budget	989,000
FY08 (Allocation) and Rescission	(203,920)
FY09 (Allocation) and Rescission	(44,790)
FY10 (Allocation) and Rescission	139,400
FY11 (Allocation) and Rescission	(577,670)
FY12 (Allocation) and Rescission	(15,520)
FY13 (Allocation) and Rescission	32,637
FY14 (Allocation) and Rescission	(130,000)
FY15 (Allocation) and Rescission	(103,800)
FY16 (Allocation) and Rescission	(12,731)
FY17 (Allocation) and Rescission	(33,200)
FY18 (Allocation) and Rescission	(24,671)
FY19 (Allocation) and Rescission	(14,735)
Remaining Balance	<u>-</u>

Shaded projects are completed

***Financial reflects budget update approved on 6/27/18

AB 1171 Project Budget

As of July 2019 (\$000) - Life to Date (Unaudited)

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	80,000	-	-
East Contra Costa BART Extension	111,500	111,500	109,895	1,605	-
Transbay Terminal/Downtown Extension: Phase 1	150,000	150,000	149,343	657	-
Tri-Valley Transit Access Improve. To BART	95,000	21,852	12,971	8,881	73,148
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	9,000	-	-
I80/680 Interchange	100,000	100,000	98,770	1,230	-
Other Corridor Improvement	10,200	10,150	10,150	-	50
VTa Mission/Warren/Truck Rail Facility	6,500	6,500	5,811	689	-
BART to Warm Spring Extension	5,000	5,000	5,000	-	-
Total	\$570,000	\$496,802	\$483,740	\$13,062	\$73,198

Note: AB 1171 is a discretionary funding source passed by the Legislature and signed by the Governor in October 2001. AB 1171 (Dutra) extends the \$1 seismic surcharge on the seven state-owned Bay Area toll bridges for up to 30 years to finance retrofit work. Project list is included in MTC Resolution #3434.

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$496,802
AB 1171 Program Balance:	\$73,198

Shaded projects are completed

Other Capital Projects

As of July 2019 (\$000) - Life to Date

	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
849	Express Lanes Capital				
6840	Program Costs: Planning, Coordination & Management	19,810	20,218	-	(408)
6841	Centralized Toll System	20,413	20,926	-	(513)
6842	CC-680 Southern Segment Conversion	52,348	52,348	-	-
6843	Capitalized Start-up O&M	4,790	4,853	-	(63)
6844	ALA-880 Conversion	96,277	101,830	-	(5,553)
6845	CC-680 Northern Segment - Southbound Conversion	7,145	16,955	-	(9,810)
6846	SOL-80 West Conversion	637	637	-	-
6849	SOL-80 East Express Lane Conversion	10,537	10,964	-	(427)
6851	84/Dumbarton Bridge	323	323	-	-
6852	92/San Mateo Bridge	369	369	-	-
	Express Lanes Total	\$ 212,649	\$ 229,423	\$ -	\$ (16,774) *
847	BATA Project Savings				
6953	CCC - AC Transit	83,000	21,339	25,393	36,268
6954	CCC - Muni	106,000	31,895	74,099	6 **
6955	CCC - BART	15,000	-	-	15,000
6956	BART Rail Car Replacement	46,000	-	-	46,000 **
	BATA Project Savings Total	\$ 250,000	\$ 53,234	\$ 99,492	\$ 97,274
	Grand Total	\$ 462,649	\$ 282,657	\$ 99,492	\$ 80,500

* The BATA Express Lanes (EL) Capital Fund is closed out on 6/30/2019, the remaining balance of the \$345 million budget funded by BATA/SAFE are rebudgeted in BAIFA EL Capital Fund in FY 2019-20. The LTD budget in BATA EL Capital Fund budget will be amended to tie off with the LTD actual recorded in such fund as of 6/30/19 after the FY 2018-19 Yearend audit is completed.

** Pending budget revision (MTC Res#4123) per R Jaques 2/12/19

