

Metropolitan Transportation Commission
Policy Advisory Council Equity & Access Subcommittee

June 12, 2019

Agenda Item 4

Federal Transit Administration (FTA) Section 5310 FY 2018 & 19 Call for Projects

Subject: Caltrans announcement of pending FTA Section 5310 FY 2018 & 19 call for projects.

Background: The Section 5310 Formula Grants for the Enhanced Mobility of Seniors and Individuals with Disabilities Program (5310) provides a mix of capital and operating grants to private nonprofit corporations and public agencies to provide safe, efficient, and coordinated transportation services for seniors and individuals with disabilities for whom public transportation is otherwise unavailable, insufficient, or inappropriate. Caltrans is the designated recipient for funds apportioned to the Bay Area's Large Urbanized Areas (UZAs), and for the State's Small Urbanized and Rural Areas. As authorized by the Fixing America's Surface Transportation (FAST) Act, Caltrans is expected to release the FY 2018 and FY 2019 call for projects in July 2019.

There are two project categories in the 5310 Program: 1) Traditional and 2) Expanded. The 5310 Program requires that at least 55 percent of available funding must be set aside for "traditional" 5310 projects. Eligible traditional 5310 projects include mobility management, vehicles, and other equipment (communications equipment, computer hardware, and wheelchair restraints). In addition to the required traditional projects, up to 45 percent of funds may be utilized for projects that were formerly eligible under the FTA's New Freedom Program (also referred to as the "Expanded" projects): additional public transportation projects that exceed the ADA minimum requirements, improve access to fixed-route service and decrease reliance by individuals with disabilities on ADA-complementary paratransit service, or provide alternatives to public transportation that assist seniors and individuals with disabilities with transportation, including mobility management. See Attachment A for more information on project eligibility.

Under this program, Caltrans has two funding categories: Large UZA and Small Urbanized and Rural Areas. Applicants in the Bay Area's Small Urbanized or Rural Areas will compete in the statewide competitive process. Applicants in the Bay Area's Large UZAs will compete within the UZA where the project is located. See Attachment A for more information on programming targets for the Bay Area.

Application Process and Schedule

Caltrans utilizes an electronic application process for the 5310 Program. For this call for projects, all applications must be submitted through the Caltrans Electronic Grants Management BlackCat System. The general schedule for this cycle is:

July / August 2019	Caltrans issues Call for Projects and holds Applicant Workshop/Webinars
September 2019	Applications are due
December 2019	Draft project list submitted to the California Transportation Commission
January 2020	Final project list announced
Spring 2020	Successful Applicant Webinar; Grant submitted to FTA; Standard Agreement process initiated; Procurement process begins

Applicants are highly encouraged to review the Bay Area's Coordinated Public Transit-Human Services Transportation Plan, and think about proposed projects and coordination opportunities prior to the Caltrans release of the call for projects.

Additional information can be found:

Caltrans Section 5310 Webpage:

<http://dot.ca.gov/hq/MassTrans/5310.html>

Coordinated Public Transit-Human Services Transportation Plan:

http://mtc.ca.gov/sites/default/files/Coord_Plan_Update.pdf

Issues: None

Recommendation: None

Attachments: Attachment A: FTA Section 5310 Program: Eligible Activities and Funding Availability
Attachment B: SF Bay Area Urbanized Areas Map
Attachment C: Caltrans 5310 Program Fact Sheet

FTA Section 5310 Program

Eligible Activities

The following list of eligible activities is excerpted from Federal Transit Administration (FTA) Circular C 9070.1G, the *Enhanced Mobility of Seniors and Individuals with Disabilities Program Guidance and Application Instructions*. Applicants are encouraged to develop innovative solutions to meet the needs of individuals with disabilities in their communities, considering the transportation needs, solutions, and strategies for enhanced coordination in the Bay Area's Coordinated Public Transit—Human Services Transportation Plan.

Traditional Section 5310 Projects	
Vehicles	Acquisition of expansion or replacement buses and/or vans
Equipment	<ul style="list-style-type: none"> (1) Radios and communication equipment; (2) computer hardware and software; (3) wheelchair restraints; (4) transit-related intelligent transportation systems (ITS) [must be included in the Bay Area Intelligent Transportation Systems (ITS) Architecture (http://www.mtc.ca.gov/planning/ITS/)]; (5) dispatch systems.
Support for Mobility Management and Coordination <i>(Eligible under both Traditional and Expanded Section 5310 Projects)</i>	<ul style="list-style-type: none"> (1) The promotion, enhancement, and facilitation of access to transportation services, including the integration and coordination of services for individuals with disabilities, seniors, and low-income individuals; (2) Support for short-term management activities to plan and implement coordinated services; (3) The support of state and local coordination policy bodies and councils; (4) The operation of transportation brokerages to coordinate providers, funding agencies, and passengers; (5) The development and operation of one-stop transportation traveler call centers to coordinate transportation information on all travel modes and to manage eligibility requirements and arrangements for customers among supporting programs; (6) Operational planning for the acquisition of intelligent transportation technologies to help plan and operate coordinated systems inclusive of geographic information systems (GIS) mapping, global positioning system technology, coordinated vehicle scheduling, dispatching and monitoring technologies, as well as technologies to track costs and billing in a coordinated system, and single smart customer payment systems; and (7) Funding to support the administrative costs of sharing services provided to clients along with other seniors and/or individuals with disabilities and the coordinated usage of vehicles with other nonprofits.

Expanded Section 5310 Projects	
Public Transportation Projects that Exceed the Requirements of the ADA	<p>(1) Expansion of paratransit service parameters beyond the three-fourths mile required by the ADA;</p> <p>(2) Expansion of current hours of operation for ADA paratransit services that are beyond those provided on the fixed-route services;</p> <p>(3) The incremental cost of providing same day service;</p> <p>(4) The incremental cost of making door-to-door service available to all eligible ADA paratransit riders, but not on a case-by-case basis for individual riders in an otherwise curb-to-curb system;</p> <p>(5) Enhancement of the level of service by providing escorts or assisting riders through the door of their destination;</p> <p>(6) Feeder services. Accessible “feeder” service (transit service that provides access) to commuter rail, commuter bus, intercity rail, and intercity bus stations, for which complementary paratransit service is not required under the ADA.</p>
Public Transportation Projects to Improve Accessibility	<p>Training programs for individual users on awareness, knowledge, and skills of public and alternative transportation options available in their communities. This includes travel instruction and travel training services.</p>
Public Transportation Alternatives that Assist Seniors and Individuals with Disabilities with Transportation	<p>(1) Supporting the administration and expenses related to voucher programs for transportation services offered by human service providers. This activity is intended to support and supplement existing transportation services by expanding the number of providers available or the number of passengers receiving transportation services. Vouchers can be used as an administrative mechanism for payment of alternative transportation services to supplement available public transportation. The Section 5310 program can provide vouchers to seniors and individuals with disabilities to purchase rides, including: (a) mileage reimbursement as part of a volunteer driver program; (b) a taxi trip; or (c) trips provided by a human service agency. Providers of transportation can then submit the voucher for reimbursement to the recipient for payment based on predetermined rates or contractual arrangements. Transit passes or vouchers for use on existing fixed-route or ADA complementary paratransit service are not eligible.</p> <p>(2) Supporting volunteer driver and aide programs. Volunteer driver programs are eligible and include support for costs associated with the administration, management of driver recruitment, safety, background checks, scheduling, coordination with passengers, other related support functions, mileage reimbursement, and insurance associated with volunteer driver programs. The costs of enhancements to increase capacity of volunteer driver programs are also eligible.</p>

Funding – Large Urbanized Areas and Small Urbanized/Rural Areas

Under this program, Caltrans has two funding categories: Large Urbanized Areas (UZAs) and Small Urbanized and Rural Areas. Applicants in the Bay Area's Small Urbanized or Rural Areas will compete in the statewide competitive process. Applicants in the Bay Area's Large UZAs will compete within the UZA where the project is located. If you are unsure if your project is in a Large UZA or in the Small Urbanized and Rural Areas, please see the attached map (Attachment B).

Approximately \$14M is available statewide to projects in Small Urbanized and Rural Areas. Approximately \$9M is available for projects in the Bay Area Large UZAs. Caltrans has not issued fund estimates for the Bay Area Large UZAs. MTC has estimated the two-year programming target for the Bay Area's Large UZAs based on the FY 2018 and FY 2019 Federal 5310 apportionments, shown in Table 1; these figures are subject to change and are presented here for planning purposes.

Table 1: 5310 Bay Area Large UZA – Two-Year Funding Estimated for FYs 18 & 19

Bay Area Large UZAs	Traditional Projects Programming Targets (FY 18 & 19)	Expanded Projects Programming Targets (FY 18 & 19)	Caltrans and MTC Program Oversight (FY 18 & 19)	Total Two-Year Programming Target by UZA (FY 18 & 19)
Antioch UZA	\$262,326	\$166,935	\$47,696	\$476,956
Concord UZA	\$587,796	\$374,052	\$106,872	\$1,068,720
San Francisco--Oakland UZA	\$3,000,895	\$1,909,660	\$545,617	\$5,456,172
San Jose UZA	\$1,284,381	\$817,334	\$233,524	\$2,335,239
Santa Rosa UZA	\$311,104	\$197,975	\$56,564	\$565,643
Totals	\$5,446,502	\$3,465,956	\$990,273	\$9,902,730

Notes: UZA = Urbanized Area

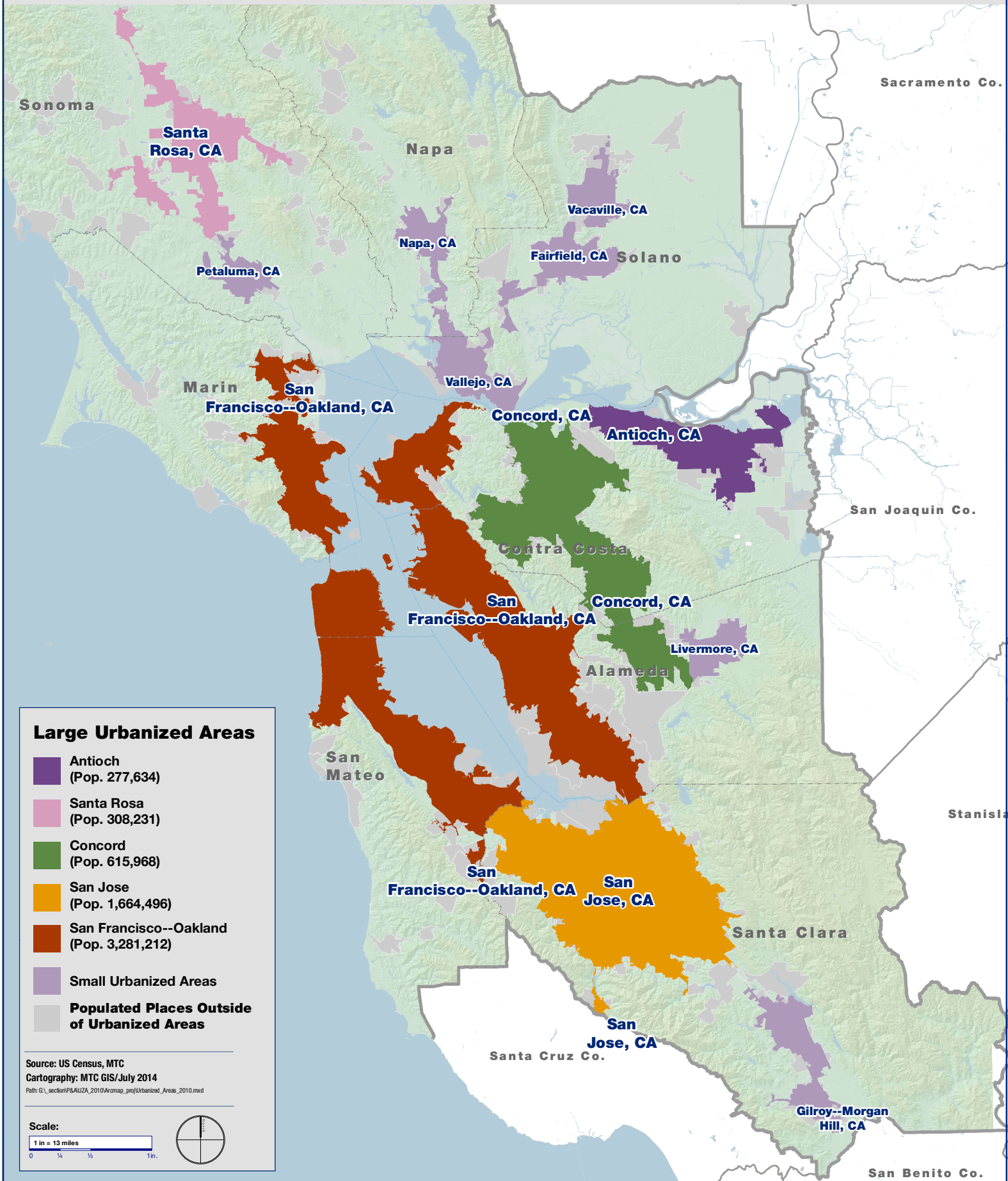
SF Bay Area Urbanized Areas



Agenda Item 4 Attachment B
Metropolitan Transportation Commission
Planning, Financing and Coordinating
Transportation for the nine-county
San Francisco Bay Area

Analytical Services

Geographic Information Systems





FTA Section 5310 Enhanced Mobility of Seniors and Individuals with Disabilities, Federal Fiscal Years 2018 & 2019

PROGRAM FACT SHEET & TIMELINE

Program Purpose:

- To improve mobility for seniors and individuals with disabilities by removing barriers to transportation services and expanding the transportation mobility options available.

Funding:

- Approximately **\$19 Million** in Federal Grant funds for Large Urbanized Areas and **\$14 Million** for Small Urban and Rural communities.
- This is a competitive grant process.
- The Federal Transit Administration (FTA) mandates that at least 55% of funding is used for Traditional Projects.
- FTA mandates that no more than 45% of funding be used for Expanded Projects.

Eligible Applicants:

- Private non-profit corporations (Traditional and Expanded Projects)
- Public Agencies where no non-profits provide service (Traditional Projects)
- Public Agencies approved by the State to coordinate services (Traditional Projects)
- Public Agencies (Expanded Projects)

Eligible Capital Expenses (Traditional):

- Accessible vans and buses
- Mobile radios and communication equipment
- Computer hardware and software

Vehicle Replacement (Traditional) Projects:

- Vehicle(s) must be in active service
- A replacement bus or van must meet or exceed useful life at the time of application.

Service Expansion Vehicle (Traditional) Projects:

- Services to additional persons; or
- Expanding the service area or hour; or
- Increasing the number and/or frequency of trips

Examples of Eligible Mobility Management (Expanded)

Projects: (but not limited to)

- Planning, development, implementation of coordinated transportation services
- Travel training/trip planning

Examples of Eligible Operating Assistance (Expanded)

Projects: (but not limited to)

- Expansion of hours/service of paratransit service beyond the requirement of the American with Disabilities Act (ADA)
- Enhancement of services (same day; etc.)
- New or expansion of Volunteer Driver Programs

Program Requirements:

- Grantees are responsible for the proper use, operating costs, and maintenance of all project equipment.
- Grantees certify that they will comply with State and Federal requirements.
- Grantees must certify that all projects are ready for implementation at time of application.

Information:

- See Caltrans Division of Rail and Mass Transportation (DRMT) Section 5310 webpage at: www.dot.ca.gov/drmt/fed5310.html
- Applications are submitted through BlackCat at: <http://cadot.blackcatgrants.com>
- Call for projects expected on **July 1 2019.**



5310 PROGRAM TIMELINE

Spring 2019:

- MPO/RTPA/Sub-Recipient Survey
- Townhall Meeting

July/August 2019:

- Call for Projects
- Grant Application Workshops/Webinar

September/October 2019:

- Applications close on BlackCat Electronic Grant Management (EGM) system
- MPO/RTPA score applications
- The State Review Committee (SRC) verifies MPO/RTPA scores

October 2019:

- MPOs/RTPAs verify regional prioritized lists on BlackCat to be submitted to the Federal Transit Administration (FTA) by Caltrans

November 2019:

- Regional scores are merged into a statewide-prioritized list of projects

December 2019:

- Submit draft list to the California Transportation Commission (CTC)
- CTC distributes public draft of the Program of Projects (POP)

January 2020:

- CTC conducts staff level conference for the SRC to hear any filed appeals
- CTC conducts public hearing to adopt final POP
- Final POP distributed publicly
- Projects are programmed in the Federal Transportation Improvement Program (FTIP)

Spring 2020:

- Schedule Successful Applicant Webinar, verify new agency information
- After verification that all projects are programmed, approved POP submitted to FTA for funding approval
- After FTA's final approval, Standard Agreement process initiated
- Procurement process begins

Program Note:

FTA Section 5310 vehicles are purchased by Caltrans using a State procurement process. Upon Caltrans approval, public agencies may follow their own local procurement process. However, the grantee must comply with State and Federal procurement procedures when purchasing with local funds. Upon project completion, the grantee request reimbursement from Caltrans for the Federal Share.

** Timeline dates are subject to change.*