

Bay Area Toll Authority Oversight Committee

June 12, 2019

Agenda Item 4b

BATA Financial Statements for March 2019

- Subject:** Attached are the BATA financial statements for the nine-month period ending March 2019. Major financial highlights include:
- (1) **Revenues:** Total operating revenue is slightly ahead of the budget projections. Year-to-date (YTD) toll revenues is 1.8% below the projected budget at the end of the third quarter. Total interest revenue earned of \$38.5 million is 154% of the total budget as the result of a higher interest rate market. YTD toll violation revenue of \$18.6 million is slightly below the budget. YTD subsidy payments from the U.S. Government to offset the interest expense of the Build America Bonds is at \$54.6 million.
 - (2) **Expense:** Total operating expense of \$260 million is 29% of the approved budget. Operations and facility maintenance costs are running at 73% of the budget projections and are expected to be very close to budget by year-end. Fastrak® operations and maintenance costs have a bit more room at 50% YTD but year-end still looks close projecting to 97% of the \$51 million adopted budget. Debt service payments appear low, however, the major debt service payment will be made in April and bring costs much closer to budget.
 - (3) **Transfers to MTC and Association of Bay Area Governments (ABAG):** The budgeted annual 1% administration fee for MTC and operating contribution to the ABAG Estuary Partnership were transferred at the beginning of the fiscal year. The balance of the transfers are generally made on a drawdown basis.
 - (4) **Actions under Executive Director Contract signature authority:** please see Attachment A pages 2 and 3.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

Recommendation: None. This item provided as information only.

Attachments: Attachment A – BATA Financial Statements for period ending March 31, 2019



Therese W. McMillan

Agenda Item 4b Attachment A

BATA Operating Budget

As of March 2019

| | FY 2018-19 | Actual | Current Budget | % of Budget | year | | YTD Total |
|---------------------------------------------|--------------------|--------------------|-------------------------|--------------|--------------|-------------------|--------------------|
| | Budget | YTD | Balance Over/(Under) | (col 2/1) | Expired | Encumbrances | (Enc + YTD) |
| REVENUE: | | | | | | | |
| 1 RM 1 Toll Revenues | 603,709,547 | 442,132,209 | (161,577,338) | 73.2% | 75.0% | - | 442,132,209 |
| 2 RM 2 Toll Revenues | 130,989,803 | 95,883,987 | (35,105,816) | 73.2% | 75.0% | - | 95,883,987 |
| 3 Toll Violation Revenues | 25,000,000 | 18,622,484 | (6,377,516) | 74.5% | 75.0% | - | 18,622,484 |
| 4 Other Revenue | - | 301,546 | 301,546 | N/A | 75.0% | - | 301,546 |
| 5 Interest Income | 25,000,000 | 38,470,986 | 13,470,986 | 153.9% | 75.0% | - | 38,470,986 |
| 6 BAIFA Reimbursement | 670,000 | 395,172 | (274,828) | 59.0% | 75.0% | - | 395,172 |
| 7 GGB&HTD Fastrak Reimbursement | 6,900,000 | 3,749,097 | (3,150,903) | 54.3% | 75.0% | - | 3,749,097 |
| 8 SFO Fastrak Reimbursement | 463,000 | 188,756 | (274,244) | 40.8% | 75.0% | - | 188,756 |
| 9 Alameda CMA Reimbursement | 1,700,000 | 1,155,721 | (544,279) | 68.0% | 75.0% | - | 1,155,721 |
| 10 VTA 237 Express Lane Reimb. | 135,000 | 83,483 | (51,517) | 61.8% | 75.0% | - | 83,483 |
| 11 Rebate for Build America Bonds | 71,508,476 | 54,648,441 | (16,860,035) | 76.4% | 75.0% | - | 54,648,441 |
| 12 Caltrans Reimbursement | 9,000,000 | 9,343,752 | 343,752 | 103.8% | 75.0% | - | 9,343,752 |
| 13 BAHA Reimbursement | 15,300,000 | 15,300,000 | - | 100.0% | 75.0% | - | 15,300,000 |
| 14 Transbay Terminal Review Reimbursement | 510,000 | - | (510,000) | N/A | 75.0% | - | - |
| Total Revenue | 890,885,826 | 680,275,634 | (210,610,192) | 76.4% | 75.0% | - | 680,275,634 |
| EXPENSE: | | | | | | | |
| Caltrans Operations and Maintenance: | | | | | | | |
| 1 Toll Collection & Operations Services | 23,600,000 | 17,407,126 | (6,192,874) | 73.8% | 75.0% | - | 17,407,126 |
| 2 Toll & Bridge Facility Maint | 5,700,000 | 3,998,959 | (1,701,041) | 70.2% | 75.0% | - | 3,998,959 |
| Caltrans O & M Subtotal | 29,300,000 | 21,406,085 | (7,893,915) | 73.1% | 75.0% | - | 21,406,085 |
| Fastrak Operations and Maintenance: | | | | | | | |
| 3 RCSC Operations | 25,500,000 | 13,732,013 | (11,767,987) | 53.9% | 75.0% | 10,405,887 | 24,137,900 |
| 4 ATCAS Maintenance, IT equip | 5,575,000 | 1,154,946 | (4,420,054) | 20.7% | 75.0% | 3,764,687 | 4,919,633 |
| 5 Banking Costs | 15,900,000 | 8,540,107 | (7,359,893) | 53.7% | 75.0% | 8,869,535 | 17,409,642 |
| 6 Collection Exp./DMV Exp. | 4,000,000 | 2,164,029 | (1,835,971) | 54.1% | 75.0% | 1,140,825 | 3,304,854 |
| BATA O & M Subtotal | 50,975,000 | 25,591,095 | (25,383,905) | 50.2% | 75.0% | 24,180,934 | 49,772,029 |
| BATA Toll Bridge Administration: | | | | | | | |
| 7 Staff Costs - Salaries,Benefits & Temps | 10,592,473 | 7,254,186 | (3,338,287) | 68.5% | 75.0% | - | 7,254,186 |
| 8 Travel, Printing, Memberships & Other | 587,480 | 290,599 | (296,881) | 49.5% | 75.0% | 8,142 | 298,741 |
| 9 Audit/Accounting | 3,120,828 | 643,392 | (2,477,436) | 20.6% | 75.0% | 331,531 | 974,923 |
| 10 Misc. Toll Admin Operating Expenses | 2,650,000 | 668,209 | (1,981,791) | 25.2% | 75.0% | 1,550,000 | 2,218,209 |
| 11 Professional Fees | 3,095,000 | 1,338,501 | (1,756,499) | 43.2% | 75.0% | 941,357 | 2,279,858 |
| 12 RM3 Related Expense | 3,300,000 | 3,217,452 | (82,548) | 97.5% | 75.0% | - | 3,217,452 |
| 27 Transbay Terminal Review Pannel | 1,010,000 | 309,208 | (700,792) | 30.6% | 75.0% | 397,792 | 707,000 |
| 13 Other | 250,000 | - | (250,000) | 0.0% | 75.0% | 200,000 | 200,000 |
| Toll Bridge Admin Subtotal | 24,605,781 | 13,721,547 | (10,884,234) | 55.8% | 75.0% | 3,428,822 | 17,150,369 |
| Other/Transfers: | | | | | | | |
| 14 Transfers to MTC 1% Admin | 7,846,994 | 7,846,994 | - | 100.0% | 75.0% | - | 7,846,994 |
| 15 Transfers to MTC - Other | 752,372 | 273,498 | (478,874) | 36.4% | 75.0% | 115,295 | 388,793 |
| 16 Transfer from Legal Reserve | 4,360,740 | 436,247 | (3,924,493) | 10.0% | 75.0% | 2,149,493 | 2,585,740 |
| 17 Transbay Transit Terminal Maint | 5,026,046 | 5,003,708 | (22,338) | 99.6% | 75.0% | 22,338 | 5,026,046 |
| 18 Beale St Assessment | 2,200,000 | 1,433,995 | (766,005) | 65.2% | 75.0% | 477,998 | 1,911,993 |
| 19 Depreciation and Amortization | 6,110,000 | 6,277,160 | 167,160 | 102.7% | 75.0% | - | 6,277,160 |
| 20 RM2/Clipper Marketing | 6,500,000 | 1,730,691 | (4,769,309) | 26.6% | 75.0% | 1,233,134 | 2,963,825 |
| 21 RM2 Operating | 49,776,125 | 29,192,181 | (20,583,944) | 58.6% | 75.0% | 19,126,156 | 48,318,337 |
| 22 ABAG SFEP | 999,969 | 999,969 | - | 100.0% | 75.0% | - | 999,969 |
| Transfers | 83,572,246 | 53,194,443 | (30,377,803) | 63.7% | 75.0% | 23,124,414 | 76,318,857 |
| Debt Service: | | | | | | | |
| 23 Interest and principal payments | 557,086,153 | 136,401,883 | (420,684,270) | 24.5% | 75.0% | - | 136,401,883 |
| 24 Financing Costs | 14,020,400 | 9,881,839 | (4,138,561) | 70.5% | 75.0% | 1,552,315 | 11,434,154 |
| Total Debt Service | 571,106,553 | 146,283,722 | (424,822,831) | 25.6% | 75.0% | 1,552,315 | 147,836,037 |
| Transfer to Capital Fund In (Out): | | | | | | | |
| 25 Transfer to Capital Fund | (131,276,246) | - | (131,276,246) | 0.0% | 75.0% | - | - |
| 26 Furniture/Equip./Vehicle | (50,000) | - | (50,000) | 0.0% | 75.0% | - | - |
| Total Capital Reserve In (Out) | (131,326,246) | - | (131,326,246) | 0.0% | 75.0% | - | - |
| Total Expense & Transfers | 890,885,826 | 260,196,892 | (630,688,934) | 29.2% | 75.0% | 52,286,485 | 312,483,377 |
| Net | - | 420,078,742 | | | | | 367,792,257 |

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000**

| | | March'19 |
|-----------------------|-----------------------------------------|-----------------|
| John W. Fisher | <i>Transbay Terminal Review Service</i> | \$60,000 |
| Michael D. Engelhardt | <i>Transbay Terminal Review Service</i> | \$60,000 |

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

| | | February'19 |
|---------------------|-----------------------------|--------------------|
| CDW Government Inc | | \$23,226 |
| | <i>Computer Supplies</i> | |
| KIS Computer Center | | \$4,309 |
| | <i>Computer Maintenance</i> | |
| Agenda Managers Inc | | \$50,000 |
| | <i>Conference Organizer</i> | |

**Regional Measure 2 Operating Budget
As of March 2019 (\$000)**

**Agenda Item 4b
Attachment A**

| | Project Title | Total Budget | Allocation | Actual | Encumbrance | Balance Remaining |
|-----|--------------------------------------------------------------------|---------------------|-------------------|-----------------|--------------------|------------------------------|
| 1 | Richmond Bridge Express Bus | 2,474 | 2,474 | 1,237 | 1,237 | - |
| 2 | Napa Vine Service | 426 | 426 | 338 | 88 | - |
| 3 | Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges | 3,751 | 3,751 | 1,387 | 2,364 | - |
| 4 | Express Bus South - serving Carquinez and Benicia Bridges | 7,074 | 7,024 | 5,132 | 1,892 | 50 |
| 5 | Dumbarton Bus | 2,967 | 2,817 | 2,264 | 553 | 150 |
| 6 | WETA Ferry Operations | 16,500 | 16,500 | 11,542 | 4,958 | - |
| 7 | Owl Service - BART Corridor | 2,054 | 1,827 | 778 | 1,049 | 227 |
| 8 | MUNI Metro 3rd St | 2,500 | 2,500 | - | 2,500 | - |
| 9 | AC Enhanced Bus Service | 3,000 | 3,000 | 2,250 | 750 | - |
| 11 | Water Emergency Transportation Authority Regional Planning | 3,000 | 3,000 | 1,694 | 1,306 | - |
| 12 | Clipper Operations | 2,000 | 2,000 | - | 2,000 | - |
| 13 | Transbay Transit Center | 3,000 | 3,000 | 2,570 | 430 | - |
| | Subtotal for Operating Assistance Program | 48,746 | 48,319 | 29,192 | 19,127 | 427 |
| N/A | Clipper Marketing | 2,600 | - | 1,104 | - | 1,496 |
| N/A | Seamless Transit Map | 710 | - | 130 | 580 | - |
| N/A | Regional Resource Center | 178 | - | 114 | 64 | - |
| N/A | AC Transit Services | 500 | - | 382 | 118 | - |
| N/A | Transbay Service | 102 | - | - | 102 | - |
| N/A | Richmond Service Outreach | 200 | - | - | 200 | - |
| N/A | Solano Express | 50 | - | - | 50 | - |
| N/A | Route 29 | 20 | - | - | 20 | - |
| N/A | Bike to Work, Trails and Transit Week | 100 | - | - | 100 | - |
| | Total for Clipper and RM2 Marketing | 4,460 | - | 1,730 | 1,234 | 1,496 |
| | Total | \$53,206 | \$48,319 | \$30,922 | \$20,361 | \$1,923 |

Agenda Item 4b Attachment A

Regional Measure 2 Project Budget As of March 2019 (\$000) - Life to Date

| Program | Project Title | Total Budget | Actual | Encumbrance | Balance Remaining |
|---------|-----------------------------------------------------------------------------------------------|--------------|-------------|-------------|-------------------|
| 1 | BART/MUNI Direct Connection at Embarcadero & Civic Center Stations | \$3,000 | - | 1,500 | \$1,500 |
| 2 | SF MUNI Metro 3rd Street LRT Extension | 30,000 | 30,000 | - | - |
| 3 | MUNI Historic Streetcar Expansion (E-Line) | 10,000 | 10,000 | - | - |
| 4 | Dumbarton Commuter Rail Service ^{i,iv,xii} | 9,157 | 8,932 | 33 | 192 |
| 5 | Vallejo Ferry Intermodal Station ^v | 26,000 | 24,101 | 1,598 | 301 |
| 6 | Solano County Express Bus Intermodal Facilities ^{vi} | 12,251 | 12,222 | 30 | - |
| 7 | Solano County Corridor Improvements near I-80 / I-680 Interchange | 100,000 | 97,558 | 2,442 | - |
| 8 | I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge | 37,175 | 37,175 | - | - |
| 9 | Richmond Parkway Park & Ride ^{vii} | 3,850 | 887 | 686 | 2,277 |
| 10 | SMART Extension to Larkspur ^{ii,viii} | 56,500 | 53,214 | 3,286 | - |
| 11 | Greenbrae Interchange Improvement ^{ii,viii} | 43,500 | 28,677 | 14,823 | - |
| 12 | Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix} | 20,425 | 16,348 | 3,759 | 318 |
| 13 | Rail Extension to East Contra Costa/E-BART | 96,000 | 94,267 | 1,733.00 | - |
| 14 | Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi,x} | 35,950 | 35,950 | - | - |
| 15 | Central Contra Costa Bay Area Rapid Transit (BART) Crossover | 25,000 | 25,000 | - | - |
| 16 | Benicia-Martinez Bridge: New Span | 50,000 | 50,000 | - | - |
| 17 | Remaining Regional Express Bus North - Competitive Program Projects ^{v,x} | 18,799 | 18,771 | 28 | - |
| 18 | Clipper | 35,000 | 20,817 | 1,163 | 13,020 |
| 19 | Real-time transit information | 20,000 | 19,488 | 512 | - |
| 20 | Safe Routes to Transit | 22,500 | 19,607 | 2,893 | - |
| 21 | BART Tube Seismic Retrofit | 33,801 | 33,801 | - | - |
| 22 | Transbay Terminal/Downtown Extension | 150,000 | 149,561 | 439 | - |
| 23 | Oakland Airport Connector | 115,199 | 115,199 | - | - |
| 24 | AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) ^{vii} | 77,760 | 59,479 | 18,281 | - |
| 25 | Commute Ferry Service for Alameda/Oakland/Harbor Bay | 12,000 | 12,000 | - | - |
| 26 | Commute Ferry Service for Berkeley/Albany | 12,000 | 6,383 | 5,617 | - |
| 27 | Commute Ferry Service for South San Francisco | 12,000 | 11,998 | 2 | - |
| 28 | Water Transit Facility Imps., Spare Vessels and Environmental Review | 48,000 | 47,269 | 731 | - |
| 29 | Regional Express Bus South - Remaining Projects ^{iv,vii,xi} | 54,933 | 32,561 | 8,208 | 14,164 |
| 30 | I-880 North Safety Improvements ^{xi} | 12,300 | 12,087 | 213 | - |
| 31 | BART Warm Springs Extension ⁱ | 186,000 | 178,204 | 7,796 | - |
| 32 | I-580 (Tri Valley) Rapid Transit Corridor Improvements | 65,000 | 50,886 | 2,119 | 11,995 |
| 33 | Regional Rail Master Plan | 6,500 | 6,062 | 394 | 44 |
| 34 | Integrated Fare Structure Program | 1,500 | 900 | 600 | - |
| 35 | Transit Commute Benefits Promotion | 5,000 | 3,366 | 1,634 | - |
| 36 | Caldecott Tunnel Improvements ^{ix} | 45,075 | 45,074 | 1 | - |
| 37 | BART's Fixed Guideway Rehab | 64,000 | 24,000 | - | 40,000 |
| 38 | Regional Express Lane Network ⁱⁱⁱ | 4,825 | - | 4,825 | - |
| 39 | Modifications in I-80 and San Pablo ⁱⁱⁱ | 8,000 | 7,675 | 325 | - |
| 40 | Caltrain Electrification ^{viii,xii} | 20,000 | 19,991 | 9 | - |
| Total | | \$1,589,000 | \$1,419,510 | \$85,680 | \$83,811 |

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

| Res#3801 - Date 5/28/14 | | |
|--------------------------|---------------------------------------------------------------------------------------------------------------------------|---------------------------------------------------------------------------|
| Amount (\$000) | From | To |
| ^{iv} \$14,843 | Program 4: Dumbarton Commuter Rail Service program | Program 29: Regional Express Bus South program |
| ^v \$2,000 | Program 5: Vallejo Ferry Intermodal Station program | Program 17: Regional Express Bus North program |
| ^{vi} \$7,749 | Program 6: Solano County Express Bus program | Program 14: I-80/I-680 Capital Corridor Improvements program |
| ^{vii} \$12,760 | Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands. | Program 24: AC Transit Enhanced Bus program |
| ^{viii} \$20,000 | Program 11: Greenbrae Interchange Improvement | Program 10: SMART Extension to Larkspur |
| ^{ix} \$5,425 | Program 36: Caldecott Tunnel Improvements program | Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program |
| ^x \$3,202 | Program 17: Regional Express Bus North program | Program 14: I-80/I-680 Capital Corridor Improvements program |
| ^{xi} \$2,300 | Program 29: Regional Express Bus South program | Program 30: I-880 North Safety Improvements program |
| ^{xii} \$20,000 | Program 4: Dumbarton Commuter Rail Service program | Program 40: Caltrain Electrification program |

^{xiii} Increasing funding by \$13 million to the Clipper Project (18), per Res #3801 dated 12/21/16.

^{xiv} Increasing funding by \$21 million to the Regional Express Bus South Project (29), per Resolution #3801 dated 12/21/16.

^{xv} Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37), per Resolution #3801 dated 12/21/16.

Shaded projects are completed

Rehab Project Budget

As of March 2019 (\$000) - Life to Date

| Program # | Program | Total Budget | Total Expenses | Encumbrance | Balance Remaining |
|-----------|----------------------------------------------|------------------|------------------|---------------|-------------------|
| 6812 | Benicia-Martinez Bridge Rehab | 6,388 | 2,597 | - | 3,791 |
| 6813 | Carquinez Bridge Rehab | 34,782 | 34,467 | - | 315 |
| 6814 | Richmond-San Rafael Bridge Rehab | 88,712 | 55,151 | - | 33,561 |
| 6825 | San Francisco-Oakland Bay Bridge Rehab | 225,376 | 182,060 | - | 43,316 |
| 6826 | San Mateo-Hayward Bridge Rehab | 121,946 | 108,006 | 1 | 13,940 |
| 6827 | Dumbarton Bridge Rehab | 4,792 | 4,792 | - | - |
| 6828 | All Bridges Rehab | 91,557 | 90,901 | - | 656 |
| 6829 | Caltrans Reserve | 28 | 4 | - | 24 |
| 8030 | Completed/Defunded/Transferred Projects | 117,303 | 116,626 | - | 677 |
| 8033 | Minor Toll Plaza Rehab Projects | 4,580 | 2,677 | - | 1,903 |
| 8210 | New Benicia Bridge * | 1,715 | 502 | - | 1,213 |
| 8315 | Site Mitigation & Landscaping | 154 | 83 | - | 71 |
| 8615 | I-880/SR-92 Landscaping** | 6,640 | 5,530 | - | 1,110 |
| 8629 | Minor Bridge Rehab Projects | 159 | 45 | - | 114 |
| 8637 | Bay Trail Improvements | 115 | - | - | 115 |
| | TOTAL CALTRANS REHAB BUDGET | 704,247 | 603,441 | 1 | 100,806 |
| 8012 | All Electronic Tolling Study | 1,263 | 699 | 2 | 562 |
| 8528 | Bay Lights Maintenance | 640 | 252 | 68 | 320 |
| 8530 | Drainage Studies for the Bridge | 500 | 301 | 99 | 100 |
| 8531 | Benicia New Toll Plaza ORT | 4,153 | 4,153 | - | - |
| 8539 | SFOBB Eyebar Repair Review | 2,914 | 2,660 | 254 | - |
| 8540 | Regional Transportation Sea Level Rise Asset | 2,000 | 247 | - | 1,753 |
| 8594 | SFOBB West Span Pathway PSR | 12,300 | 11,322 | 706 | 272 |
| 8602 | Hybrid/ETC Lane Modifications | 874 | 874 | - | - |
| 8631 | Procure New Callboxes | 2,344 | 2,344 | - | - |
| 8900 | 2003 CSC Procurement | 12,358 | 11,046 | 3 | 1,309 |
| 8901 | ETC Transponder Procurement | 89,000 | 80,346 | 4,049 | 4,605 |
| 8902 | 2012 CSC Procurement | 20,750 | 18,900 | 1,279 | 571 |
| 8903 | ATCAS Lane Host Upgrades | 33,545 | 31,930 | 1,253 | 362 |
| 8904 | Fastrak Sign & Sign Structure Improvements | 29,510 | 29,343 | 72 | 95 |
| 8905 | Misc. Bridge Improvements | 33,464 | 8,015 | 757 | 24,692 |
| 8907 | Toll Plaza Capital Improvements | 26,448 | 18,964 | 3,293 | 4,191 |
| 8908 | Enterprise Computing HW/SW | 4,035 | 3,229 | 6 | 800 |
| 8909 | Gateway Park Planning | 27,975 | 16,479 | 988 | 10,508 |
| 8912 | ETC Transponder Tag Swap | 1,937 | 1,929 | - | 8 |
| 8913 | SFOBB Administration Building | 25,619 | 25,220 | - | 399 |
| 8914 | Violation Enforcement System Upgrade | 7,842 | 7,841 | - | - |
| 8916 | Bay Crossing Study | 540 | 540 | - | - |
| 8917 | IT Security Procedures & Policies | 750 | 425 | 202 | 123 |
| 8918 | Maintenance Complex | 531 | 491 | 36 | 4 |
| 8920 | Plaza and Canopy Improvements | 9,263 | 8,545 | 25 | 693 |
| 8921 | SFOBB Lane 17 & 18 Lane Reconfiguration | 3,575 | 1,664 | 43 | 1,868 |
| 8922 | Metering Lights Replacement | 9,680 | 1,758 | 2,337 | 5,585 |
| 8923 | Bridge Records Recordation and Storage | 500 | 55 | - | 445 |
| 8924 | Antioch Bridge Approach | 50,000 | 49,070 | 840 | 90 |
| 8926 | Bridge Modeling & Investigations | 5,801 | 893 | 57 | 4,851 |
| 8928 | BATA Program Contingency | 16,565 | 300 | - | 16,265 |
| 8930 | Richmond-San Rafael Bridge Rehab | 81,928 | 61,088 | 16,444 | 4,396 |
| 8933 | Plan Bay Area TMS | 9,000 | 5,420 | 3,019 | 561 |
| 8936 | Backhaul Connection Infrastructure | 1,000 | 765 | 91 | 144 |
| 8937 | Future CSC Procurement | 4,000 | 833 | 860 | 2,307 |
| 8938 | Misc. East Span Project Improvements | 12,084 | - | - | 12,084 |
| 8939 | Asset Management | 3,500 | 18 | 782 | 2,700 |
| 8940 | HOV Lane Enforcement | 1,300 | 192 | 1,108 | - |
| 8941 | CHP - COZEER/MAZEER | 200 | - | - | 200 |
| 8942 | Bridge Yard Capital Improvements | 500 | - | - | 500 |
| 8943 | Bike/Ped Access to East Span of SFOBB | 200 | - | - | 200 |
| 8944 | Dumbarton Approach and Transit Strategies | 3,000 | - | - | 3,000 |
| 8945 | Next Gen Clipper (C2) System | 9,600 | - | 9,600 | - |
| 8000-05 | Capital Program Audit | 8,300 | 6,913 | 340 | 1,047 |
| 8000-16 | SRA/RM1 Program Monitoring | 46,445 | 44,977 | 364 | 1,104 |
| | Total BATA REHAB BUDGET | 617,733 | 460,041 | 48,977 | 108,714 |
| | TOTAL REHAB BUDGET | 1,321,980 | 1,063,482 | 48,978 | 209,520 |

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Agenda Item 4b Attachment A

Seismic Capital Project Budget As of March 2019 (\$000) - Life to Date

| | Program | Base Budget | Current Budget*** | Total Expenses* | Encumbrance | Remaining Balance |
|------|-----------------------------------------------------------|---------------------|---------------------|---------------------|------------------|-------------------|
| 8103 | San Francisco-Oakland Bay Bridge East Span Repl | \$ 5,486,600 | \$ 6,519,801 | \$ 6,481,472 | \$ 38,329 | \$ - |
| 8109 | San Francisco-Oakland Bay Bridge West Span Retrofit | 307,900 | 305,316 | 305,316 | - | - |
| 8106 | San Francisco-Oakland Bay Bridge West Approach Repl | 429,000 | 452,550 | 450,386 | 2,164 | - |
| 8100 | Antioch Bridge Retrofit | - | 71,100 | 71,093 | 7 | - |
| 8122 | Dumbarton Bridge Retrofit | - | 112,400 | 112,354 | 46 | - |
| 8112 | Richmond-San Rafael Bridge Retrofit | 808,100 | 794,950 | 794,870 | 80 | - |
| 8115 | Benicia-Martinez Bridge Retrofit | 177,800 | 177,830 | 177,817 | 13 | - |
| 8118 | Carquinez Bridge Retrofit | 114,200 | 114,206 | 114,206 | - | - |
| 8121 | San Mateo-Hayward Bridge Retrofit | 163,500 | 163,412 | 163,412 | - | - |
| | Subtotal for Bay Area Bridges | 7,487,100 | 8,711,565 | 8,670,926 | 40,639 | - |
| 8128 | Misc Program Costs | 30,000 | 26,030 | 26,024 | 6 | - |
| 8729 | Program Contingency** | 989,000 | - | - | - | - |
| 8124 | Vincent Thomas Bridge Retrofit (non-BATA, for information | 58,500 | 58,420 | 58,411 | 9 | - |
| 8127 | San Diego-Coronado Bridge Retrofit (non BATA, for | 103,500 | 103,240 | 103,235 | 5 | - |
| | Subtotal for Other Bridges | 162,000 | 161,660 | 161,646 | 14 | - |
| | Total for Toll Bridge Seismic Retrofit Program | \$ 8,668,100 | \$ 8,899,255 | \$ 8,858,596 | \$ 40,659 | \$ - |

*Includes pre AB144 LTD expenses from Caltrans to April 2006
BATA expenses from May 2006 to current

3,709,068
5,149,528
8,858,596

** Contingency Allocation

| | |
|-----------------------------------------------------|-----------|
| Contingency per Budget | 989,000 |
| FY08 (Allocation) and Rescission | (203,920) |
| FY09 (Allocation) and Rescission | (44,790) |
| FY10 (Allocation) and Rescission | 139,400 |
| FY11 (Allocation) and Rescission | (577,670) |
| FY12 (Allocation) and Rescission | (15,520) |
| FY13 (Allocation) and Rescission | 32,637 |
| FY14 (Allocation) and Rescission | (130,000) |
| FY15 (Allocation) and Rescission | (103,800) |
| FY16 (Allocation) and Rescission | (12,731) |
| FY17 (Allocation) and Rescission | (33,200) |
| FY18 (Allocation) and Rescission | (24,671) |
| Allocate to SFOBB East Span 7/1/18 | (1,480) |
| Unallocate SFOBB West Approach 7/1/18 | 6,950 |
| Unallocate Richmond Bridge 7/1/18 | 250 |
| Unallocate Vincent Thomas 7/1/18 | 90 |
| Unallocate San Diego-Coronado 7/1/18 | 280 |
| Unallocate Program Indirects 7/1/18 | 3,970 |
| Unallocate SFOBB East Span 1/23/19 | 11,050 |
| Unallocate Richmond Bridge 1/23/19 | 16,900 |
| Transferre Program Contingency to toll Bridge Rehab | |
| Program 1/23/19 | (52,745) |
| Remaining Balance | - |

Shaded projects are completed

***Financial reflects budget update approved on 6/27/18

AB 1171 Project Budget

As of March 2019 (\$000) - Life to Date

| Project Title | Total Budget | Allocation | Actual | Encumbrance | Balance Remaining |
|-----------------------------------------------|---------------------|-------------------|------------------|--------------------|--------------------------|
| Doyle Drive Replacement | 80,000 | 80,000 | 80,000 | - | - |
| East Contra Costa BART Extension | 111,500 | 111,500 | 109,819 | 1,681 | - |
| Transbay Terminal/Downtown Extension: Phase 1 | 150,000 | 150,000 | 149,306 | 694 | - |
| Tri-Valley Transit Access Improve. To BART | 95,000 | 21,852 | 11,433 | 10,419 | 73,148 |
| Regional Express Lane Network | 2,800 | 2,800 | 2,800 | - | - |
| Fairfield/Vacaville Train Station | 9,000 | 9,000 | 9,000 | - | - |
| I80/680 Interchange | 100,000 | 100,000 | 98,698 | 1,302 | - |
| Other Corridor Improvement | 10,200 | 10,150 | 10,150 | - | 50 |
| VTa Mission/Warren/Truck Rail Facility | 6,500 | 6,500 | 5,811 | 689 | - |
| BART to Warm Spring Extension | 5,000 | 5,000 | 5,000 | - | - |
| Total | \$570,000 | \$496,802 | \$482,017 | \$14,785 | \$73,198 |

| | |
|--------------------------|-----------------|
| AB 1171 Program Budget: | \$570,000 |
| Approved Projects: | \$496,802 |
| AB 1171 Program Balance: | <u>\$73,198</u> |

Shaded projects are completed

Other Capital Projects

As of March 2019 (\$000) - Life to Date

| | | | | | Balance |
|------------|----------------------------------------------------|---------------------|------------------|--------------------|------------------|
| | Project Title | Total Budget | Actual | Encumbrance | Remaining |
| 849 | Express Lanes Capital | | | | |
| 6840 | Program Costs: Planning, Coordination & Management | 28,437 | 19,810 | 2,259 | 6,368 |
| 6841 | Centralized Toll System | 32,367 | 20,413 | 7,958 | 3,996 |
| 6842 | CC-680 Southern Segment Conversion | 54,043 | 52,348 | 1,671 | 24 |
| 6843 | Capitalized Start-up O&M | 16,000 | 4,790 | 1,598 | 9,612 |
| 6844 | ALA-880 Conversion | 139,098 | 96,277 | 27,069 | 15,752 |
| 6845 | CC-680 Northern Segment - Southbound Conversion | 53,623 | 7,145 | 46,478 | - |
| 6846 | SOL-80 West Conversion | 1,964 | 640 | 1,033 | 291 |
| 6847 | Program Contingency | 2,848 | - | - | 2,848 |
| 6849 | SOL-80 East Express Lane Conversion | 16,114 | 10,534 | 3,121 | 2,459 |
| 6851 | 84/Dumbarton Bridge | 323 | 323 | - | - |
| 6852 | 92/San Mateo Bridge | 369 | 369 | - | - |
| | Express Lanes Total | \$345,186 | \$212,649 | \$91,187 | \$41,350 |
| 847 | BATA Project Savings | | | | |
| 6953 | CCC - AC Transit | 83,000 | 18,434 | 28,299 | 36,267 |
| 6954 | CCC - Muni | 106,000 | - | 105,994 | 6 |
| 6955 | CCC - BART | 15,000 | - | - | 15,000 |
| 6956 | BART Rail Car Replacement | 46,000 | - | - | 46,000 |
| | BATA Project Savings Total | \$250,000 | \$18,434 | \$134,293 | \$97,273 |
| | Grand Total | \$595,186 | \$231,083 | \$225,480 | \$138,623 |



