

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

March 6, 2019

Agenda Item 3a

**MTC Resolution Nos. 4334, Revised and 4335, Revised**

**Subject:** Allocation of approximately \$57 million of Transportation Development Act (TDA) and State Transit Assistance (STA) funds to SamTrans in support of transit operations.

**Background:** TDA and STA funds are apportioned annually to operators or counties through the MTC Fund Estimate in support of their transit operations and capital projects. This month, SamTrans is requesting \$56.5 million in TDA and STA funds based on amounts available in the FY2018-19 MTC Fund Estimate (Resolution 4322, Revised). Most of the funds will be allocated by resolution while \$0.9 million in Lifeline and RM2 operating will be allocated by Executive Director's Delegated Authority. Of the total SamTrans request, \$9.0 million will be passed through to Caltrain in support of its transit operations. SamTrans claims STA funds on behalf of Caltrain since it is one of the Joint Powers Authority agencies and its managing partner.

Excluding the pass-through funds, TDA and STA funds comprise 30% of SamTrans' \$161 million operating budget. The operating budget increased by 6.5% compared to last year's operating budget. Almost half of the budget increase is due to increased cost of insurance.

Some initiatives SamTrans has or will undertake in the current fiscal year include:

- 1) Pilot on-demand service in Pacifica at the end of April;
- 2) Adopted an express bus study with plans to implement two routes in the summer;
- 3) Adopted a fare policy and is considering a \$0.25 fare increase on the adult base fare;
- 4) Received its first two electric buses and will receive six more.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 4334, Revised and 4335, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 4334, Revised and 4335, Revised

Date: June 27, 2018  
W.I.: 1514  
Referred by: PAC  
Revised: 07/25/18-C 09/26/18-C  
10/24/18-C 11/28/18-C  
12/19/18-C 03/27/19-C

ABSTRACT

Resolution No. 4334, Revised

This resolution approves the allocation of fiscal year 2018-19 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to County Connection (CCCTA), AC Transit, and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on July 25, 2018 to allocate funds to the Livermore Amador Valley Transit Authority (LAVTA), San Francisco Municipal Transportation Agency (SFMTA), Sonoma County Transit, Vacaville, and WestCAT (WCCTA).

This resolution was revised on September 26, 2018 to allocate funds to Eastern Contra County Transit District (ECCTA, aka Tri Delta Transit), Golden Gate Bridge, Highway, and Transportation District (GGBTD), Napa Valley Transportation Authority (NVTA), and Solano County Transit (SolTrans).

This resolution was revised on October 24, 2018 to allocate funds to Marin Transit and Santa Rosa.

This resolution was revised on November 28, 2018 to allocate funds to VTA.

This resolution was revised on December 19, 2018 to allocate funds to AC Transit, Fairfield, and Union City.

This resolution was revised on March 27, 2019 to allocate funds to SamTrans.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 13, 2018, July 11, 2018, September 12, 2018, October 10, 2018, November 14, 2018, December 12, 2018, and March 6, 2019.

Date: June 27, 2018  
W.I.: 1514  
Referred by: PAC

Re: Allocation of Fiscal Year 2018-19 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4334

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2018-19 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2018-19 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code

Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2018-19 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

  
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Jake Mackenzie, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on June 27, 2018.

Date: June 27, 2018  
 Referred by: PAC  
 Revised: 07/25/18-C 09/26/18-C  
 10/24/18-C 11/28/18-C  
 12/19/18-C 03/27/19-C

Attachment A  
 MTC Resolution No. 4334  
 Page 1 of 2

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2018-19

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

| Claimant  | Project Description | Allocation Amount  | Alloc. Code | Approval Date | Apportionment Area      | Note |
|---|---------------------|--------------------|-------------|---------------|-------------------------|------|
| <b>5801 - 99233.7, 99275 Community Transit Service - Operations</b> |                     |                    |             |               |                         |      |
| AC Transit  | Transit Operations  | 3,805,829          | 01          | 06/27/18      | Alameda County          |      |
| SamTrans  | Transit Operating   | 2,090,825          | 28          | 03/27/19      | San Mateo County        |      |
|   | <b>Subtotal</b>     | <b>5,896,654</b>   |             |               |                         |      |
| <b>5802 - 99260A Transit - Operations</b>                           |                     |                    |             |               |                         |      |
| VTA   | Transit Operations  | 94,688,913         | 02          | 06/27/18      | VTA                     |      |
| VTA   | Transit Operations  | 4,983,627          | 03          | 06/27/18      | Santa Clara County      | 1    |
| CCCTA   | Transit Operations  | 17,985,379         | 04          | 06/27/18      | CCCTA                   |      |
| AC Transit  | Transit Operations  | 51,143,012         | 05          | 06/27/18      | AC Transit Alameda D1   |      |
| AC Transit  | Transit Operations  | 13,464,678         | 06          | 06/27/18      | AC Transit Alameda D2   |      |
| AC Transit  | Transit Operations  | 6,953,146          | 07          | 06/27/18      | AC Transit Contra Costa |      |
| LAVTA   | Transit Operations  | 9,107,101          | 09          | 07/25/18      | LAVTA                   |      |
| WCCTA   | Transit Operations  | 2,974,384          | 10          | 07/25/18      | WCCTA                   |      |
| Sonoma County   | Transit Operations  | 6,514,056          | 11          | 07/25/18      | Sonoma County           |      |
| Sonoma County   | Transit Operations  | 217,974            | 11          | 07/25/18      | Petaluma                |      |
| Vacaville   | Transit Operations  | 1,305,807          | 12          | 07/25/18      | Vacaville               |      |
| SFMTA   | Transit Operations  | 2,308,135          | 13          | 07/25/18      | San Francisco County    | 1    |
| SFMTA   | Transit Operations  | 43,854,568         | 14          | 07/25/18      | SFMTA                   |      |
| ECCTA   | Transit Operations  | 7,549,319          | 17          | 09/26/18      | ECCTA                   |      |
| SolTrans  | Transit Operations  | 4,519,689          | 18          | 09/26/18      | Vallejo/Benicia         |      |
| NVTA  | Transit Operations  | 3,472,705          | 19          | 09/26/18      | NVTA                    |      |
| GGBHTD  | Transit Operations  | 7,760,055          | 20          | 09/26/18      | GGBHTD (Marin)          |      |
| GGBHTD  | Transit Operations  | 6,003,623          | 21          | 09/26/18      | GGBHTD (Sonoma)         |      |
| Marin Transit   | Transit Operations  | 5,109,399          | 23          | 10/24/18      | Marin Transit           |      |
| Santa Rosa  | Transit Operations  | 6,170,000          | 24          | 10/24/18      | Santa Rosa              |      |
| VTA   | Transit Operations  | 3,559,443          | 02          | 11/28/18      | VTA                     |      |
| VTA   | Transit Operations  | 187,339            | 03          | 11/28/18      | Santa Clara County      | 1    |
| Fairfield   | Transit Operations  | 1,253,301          | 25          | 12/19/18      | Fairfield               |      |
| Fairfield   | Transit Operations  | 1,011,532          | 25          | 12/19/18      | Suisun City             |      |
| Union City  | Transit Operations  | 2,021,063          | 26          | 12/19/18      | Union City              |      |
| AC Transit  | Transit Operations  | 491,136            | 05          | 12/19/18      | AC Transit Alameda D1   |      |
| AC Transit  | Transit Operations  | 129,014            | 06          | 12/19/18      | AC Transit Alameda D2   |      |
| AC Transit  | Transit Operations  | 56,776             | 07          | 12/19/18      | AC Transit Contra Costa |      |
| SamTrans  | Transit Operations  | 39,725,672         | 29          | 03/27/19      | Samtrans                |      |
|   | <b>Subtotal</b>     | <b>344,520,846</b> |             |               |                         |      |
| <b>5803 - 99260A Transit - Capital</b>                              |                     |                    |             |               |                         |      |
| CCCTA   | Transit Capital     | 2,558,316          | 08          | 06/27/18      | CCCTA                   |      |
| Sonoma County   | Transit Capital     | 1,089,888          | 16          | 07/25/18      | Sonoma County           |      |
|   | <b>Subtotal</b>     | <b>3,648,204</b>   |             |               |                         |      |

**5807 - 99400C General Public - Operating**

|               |                   |                  |    |          |               |
|---------------|-------------------|------------------|----|----------|---------------|
| Sonoma County | Transit Operating | 1,643,653        | 15 | 07/25/18 | Sonoma County |
| Sonoma County | Transit Operating | 43,595           | 15 | 07/25/18 | Petaluma      |
|               | <b>Subtotal</b>   | <b>1,687,248</b> |    |          |               |

**5812 - 99400D Planning & Admin - Operating**

|      |                             |                  |    |          |      |
|------|-----------------------------|------------------|----|----------|------|
| NVTA | Planning and Administration | 4,444,231        | 22 | 09/26/18 | NVTA |
|      | <b>Subtotal</b>             | <b>4,444,231</b> |    |          |      |

**5809 - 99400C Elderly & Handicapped - Operations**

|           |                    |                  |    |          |           |
|-----------|--------------------|------------------|----|----------|-----------|
| Fairfield | Transit Operations | 1,171,243        | 27 | 12/19/18 | Fairfield |
|           | <b>Subtotal</b>    | <b>1,171,243</b> |    |          |           |

**TOTAL 361,368,426**

**Note:**

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: June 27, 2018  
Referred by: PAC

Attachment B  
Resolution No. 4334  
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2018-19  
TRANSPORTATION DEVELOPMENT ACT  
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8  
FUNDS TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

**Transportation Development Act Article 4 Funds**

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

**Transportation Development Act Article 4.5 Funds**

**Public Utilities Code § 99275**

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.



**Transportation Development Act Article 8 Transit Funds**

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date: June 27, 2018  
W.I.: 1514  
Referred by: PAC  
Revised: 07/25/18-C 09/26/18-C  
10/24/18-C 11/28/18-C  
12/19/18-C 01/23/19-C  
03/27/19-C

## ABSTRACT

### Resolution No. 4335, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2018-19.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on July 25, 2018 to allocate funds to Livermore Amador Valley Transit Authority (LAVTA), San Francisco Municipal Transportation Agency (SFMTA), Sonoma County Transit, and WestCAT (WCCTA).

This resolution was revised on September 26, 2018 to allocate funds to AC Transit, Eastern Contra County Transit District (ECCTA, aka Tri Delta Transit), Golden Gate Bridge, Highway, and Transportation District (GGBTD), and Napa Valley Transportation Authority (NVTA).

This resolution was revised on October 24, 2018 to allocate funds to Santa Rosa.

This resolution was revised on November 28, 2018 to allocate funds to VTA.

This resolution was revised on December 19, 2018 to allocate funds to AC Transit, BART, CCCTA, LAVTA, and Solano Transportation Authority (Solano TA).

This resolution was revised on January 23, 2019 to allocate funds to MTC and VTA.

This resolution was revised on March 27, 2019 to allocate funds to SamTrans.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 13, 2018, July 11, 2018, September 12, 2018, October 10, 2018, November 14, 2018, December 12, 2018, January 9, 2019, and March 6, 2019.

Date: June 27, 2018  
W.I.: 1514  
Referred by: PAC

Re: Allocation of Fiscal Year 2018-19 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4335

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2018-19 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2018-19 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, MTC Resolution Nos. 4304 and 4321, and Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); and

WHEREAS, the California State Legislature is currently considering revisions to the TDA, which may change the administration of STA funds; now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

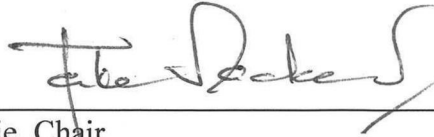
RESOLVED, that MTC approves the allocation of fiscal year 2018-19 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution;

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan; and, be it further

RESOLVED, this resolution incorporates any revisions to the TDA, either by statute or regulation, made hereafter.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in dark ink, appearing to read "Jake Mackenzie", is written over a horizontal line.

Jake Mackenzie, Chair

The above resolution was approved by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in San Francisco, California, on June 27, 2018.

Date: June 27, 2018  
 Referred by: PAC  
 Revised: 07/25/18-C 09/26/18-C  
 10/24/18-C 11/28/18-C  
 12/19/18-C 01/23/19-C  
 03/27/19-C

Attachment A  
 MTC Resolution No. 4335  
 Page 1 of 2

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS  
 DURING FISCAL YEAR 2018-19

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,  
 the Transit Coordination Implementation Plan.

| Claimant  | Project Description              | Allocation<br>Amount | Alloc.<br>Code | Approval Date | Apportionment Area  |
|---|----------------------------------|----------------------|----------------|---------------|---------------------|
| <b>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</b> |                                  |                      |                |               |                     |
| LAVTA   | Transit Operations               | 1,077,176            | 08             | 07/25/18      | LAVTA               |
|   | <b>Subtotal</b>                  | <b>1,077,176</b>     |                |               |                     |
| <b>5820 - 6730A Operations - Population-based Lifeline</b>                              |                                  |                      |                |               |                     |
| AC Transit  | Cycle 5: Preserve service in CoC | 1,026,000            | 13             | 09/26/18      | Alameda County      |
|   | <b>Subtotal</b>                  | <b>1,026,000</b>     |                |               |                     |
| <b>5820 - 6730A Operating Costs - Revenue-based</b>                                     |                                  |                      |                |               |                     |
| VTA   | Transit Operations               | 22,849,419           | 01             | 06/27/18      | VTA                 |
| AC Transit  | Transit Operations               | 16,618,328           | 02             | 06/27/18      | AC Transit          |
| WCCTA   | Transit Operations               | 2,526,931            | 09             | 07/25/18      | BART                |
| SFMTA   | Transit Operations               | 50,121,811           | 10             | 07/25/18      | SFMTA               |
| ECCTA   | Transit Operations               | 2,685,749            | 14             | 09/26/18      | BART                |
| GGBHTD  | Transit Operations               | 3,051,151            | 15             | 09/26/18      | GGBHTD              |
| VTA   | Transit Operations               | 6,694,679            | 01             | 11/28/18      | VTA                 |
| BART  | Transit Operations               | 32,542,747           | 20             | 12/19/18      | BART                |
| AC Transit  | Transit Operations               | 3,614,559            | 02             | 12/19/18      | AC Transit          |
| SamTrans  | Transit Operations               | 5,669,191            | 23             | 03/27/19      | SamTrans            |
| SamTrans  | Transit Operations               | 8,989,853            | 24             | 03/27/19      | Caltrain            |
|   | <b>Subtotal</b>                  | <b>155,364,418</b>   |                |               |                     |
| <b>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</b>        |                                  |                      |                |               |                     |
| MTC   | Clipper Operations               | 8,500,000            | 03             | 06/27/18      | MTC                 |
|   | <b>Subtotal</b>                  | <b>8,500,000</b>     |                |               |                     |
| <b>5820 - 6730A Operating Costs - County Block Grant</b>                                |                                  |                      |                |               |                     |
| AC Transit  | Transit Operations               | 4,255,033            | 04             | 06/27/18      | Alameda County      |
| AC Transit  | Transit Operations               | 1,203,390            | 05             | 06/27/18      | Contra Costa County |
| CCCTA   | Transit Operations               | 3,942,065            | 06             | 06/27/18      | Contra Costa County |
| LAVTA   | Transit Operations               | 1,433,960            | 11             | 07/25/18      | Alameda County      |
| Sonoma County   | Transit Operations               | 2,541,674            | 12             | 07/25/18      | Sonoma County       |
| ECCTA   | Transit Operations               | 2,512,726            | 16             | 09/26/18      | Contra Costa County |
| NVTA  | Transit Operations               | 1,313,035            | 17             | 09/26/18      | Napa County         |
| GGBHTD  | Transit Operations               | 1,147,207            | 18             | 09/26/18      | Marin County        |
| Santa Rosa  | Transit Operations               | 2,017,022            | 19             | 10/24/18      | Sonoma County       |
| AC Transit  | Transit Operations               | 847,281              | 04             | 12/19/18      | Alameda County      |
| AC Transit  | Transit Operations               | 239,625              | 05             | 12/19/18      | Contra Costa County |
| CCCTA   | Transit Operations               | 784,964              | 06             | 12/19/18      | Contra Costa County |
| LAVTA   | Transit Operations               | 285,537              | 11             | 12/19/18      | Alameda County      |
|   | <b>Subtotal</b>                  | <b>22,523,519</b>    |                |               |                     |

**5822 - 6731C Paratransit - Operating - County Block Grant**

|     |                    |                  |    |          |                    |
|-----|--------------------|------------------|----|----------|--------------------|
| VTA | Transit Operations | 5,300,829        | 07 | 06/27/18 | Santa Clara County |
| VTA | Transit Operations | 1,055,526        | 07 | 01/23/19 | Santa Clara County |
|     | <b>Subtotal</b>    | <b>6,356,355</b> |    |          |                    |

**5828 - 6731B Planning and Admin - Population-based Small Operator/Northern Counties**

|           |                    |                  |    |          |               |
|-----------|--------------------|------------------|----|----------|---------------|
| Solano TA | Planning and Admin | 2,249,994        | 21 | 12/19/18 | Solano County |
|           | <b>Subtotal</b>    | <b>2,249,994</b> |    |          |               |

**5821 - 6730B Capital Costs**

|     |                     |                  |    |          |             |
|-----|---------------------|------------------|----|----------|-------------|
| MTC | Means-based Project | 2,125,004        | 22 | 01/23/19 | Means-based |
|     | <b>Subtotal</b>     | <b>2,125,004</b> |    |          |             |

**TOTAL 199,222,466**

Date: June 27, 2018  
Referred by: PAC

Attachment B  
Resolution No. 4335  
Page 1 of 2

ALLOCATION OF FISCAL YEAR 2018-19 STATE TRANSIT ASSISTANCE FUNDS  
TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Fixing America's Surface Transportation (FAST) Act, as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and



6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or area wide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.