



**METROPOLITAN
TRANSPORTATION
COMMISSION**

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: February 6, 2019

FR: Executive Director

RE: MTC Resolution No. 4329, Revised – FY 2018-19 Overall Work Program (OWP) Amendment No. 19-02

Staff requests that the Committee refer Resolution No. 4329, Revised, which amends the OWP in order to include carryover of approximately \$4.1 million in unspent federal and Senate Bill 1 (SB 1) planning funds from FY 2017-18 and an additional \$64,013 of SB1 funds awarded to FY 2018-19, to the Commission for approval.

The breakdown of the \$4.1 million of federal and SB1 planning funds and proposed reallocation to support planning focus areas is as follows:

Planning carryover Funds	Amounts	Proposed Reallocation
FHWA PL Funds	178,491	94,086 Regional on Board Travel Survey
		84,405 Public Information Program
		178,491
FTA 5303 Funds	1,497,160	825,556 Transit Sustainability Planning
		417,055 Regional on Board Travel Survey
		254,549 Transportation Asset Management
		1,497,160
FTA 5304	509,226	509,226 A Resilient Transportation System
		509,226
FHWA SP&R Partnership Planning	220,569	220,569 Regional Goods Movement
		220,569
SB1 Funds	1,671,312	656,798 Connecting Housing & Transportation - CASA
		307,950 Local & Regional Climate Change
		290,038 Regional Transportation Plan
		200,000 Resiliency Study
		200,000 Transportation and Land use Coordination
		16,526 Integrate BAM resilience - Staffing
		1,671,312
Total Planning Carryover Funds	4,076,758	4,076,758

The carryover balances were finalized in the MTC audit and must be amended into the FY 2018-19 OWP in order to incorporate the funds into MTC's budget.

A memorandum and proposed resolution amending these funds into the operating budget can be found under agenda item 3a.

Recommendation

Staff recommends that the Administration Committee refer MTC Resolution No. 4329, Revised, to the Commission for approval.



Steve Heminger

AF:bm
Attachments

Date: April 25, 2018
W.I.: 1152
Referred by: Administration Committee
Revised: 09/26/18-C
02/27/19-C

ABSTRACT

MTC Resolution No. 4329, Revised

This resolution approves MTC's Overall Work Program (OWP) for transportation planning activities in the nine-county San Francisco Bay Area for FY 2018-19, certifies that the planning process of the Metropolitan Transportation Commission is in conformance with the applicable joint metropolitan transportation planning and programming regulations of the U.S. Department of Transportation, and authorizes MTC's Executive Director to apply for and execute agreements with the U.S. Department of Transportation for grants to aid in the financing of the OWP.

Attachment C to the resolution was revised on September 26, 2018 to add two new grant awards: \$500,000 for Diridon Integrated Station Concept Plan funded by FTA 5304 and \$406,000 for Sustainable Communities and Climate Resilience for People with Disabilities funded by SB1 Sustainable Communities Grant.

Attachment C to the resolution was revised on February 27, 2019 to include \$4,076,758 of unspent carryover federal and state planning funds for FY 2017-18 and \$64,013 of SB1 final allocation.

Further discussion of the OWP is contained in the Executive Director's memoranda dated April 4, 2018, September 5, 2018, and February 6, 2019.

Re: Overall Work Program for Fiscal Year 2018-19, Certification of Compliance with Requirements of Federal Metropolitan Transportation Planning and Programming Regulations, and Authorization to Apply for and Execute Agreements for Federal Grants.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4329

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC is also the designated Metropolitan Planning Organization (MPO) for the Bay Area and is charged with carrying out the metropolitan transportation planning and programming process required to maintain the region's eligibility for federal funds for transportation planning, capital improvements, and operations; and

WHEREAS, MTC has articulated goals and objectives for the region's transportation system through its current Regional Transportation Plan (RTP)/Sustainable Communities Strategy (SCS) entitled Plan Bay Area 2040, which was adopted in July 2017; and

WHEREAS, MTC has developed, in cooperation with the State of California and with publicly-owned operators of mass transportation services, a work program for carrying out continuing, comprehensive, and cooperative transportation planning; and

WHEREAS, an Overall Work Program (OWP) for planning activities in the Bay Area for FY 2018-19 has been prepared by MTC, the Association of Bay Area Governments, and the California Department of Transportation; and

WHEREAS, the OWP for Fiscal Year 2018-19 includes MTC's unified work program for the fiscal year to achieve the goals and objectives in MTC's RTP; and

WHEREAS, MTC's Administration Committee has reviewed and recommended adoption of the OWP for FY 2018-19; and

WHEREAS, 23 CFR 450.334 requires that the designated MPO certify each year that the planning process is being conducted in conformance with the applicable requirements; and

WHEREAS, MTC desires to apply for and execute one or more agreements with the United States Department of Transportation (DOT) for a grant(s) to aid in the financing of MTC's unified work program for fiscal year 2018-19; now, therefore, be it

RESOLVED, that MTC does hereby adopt the FY 2018-19 OWP and proposed budget therein, attached hereto as Attachment A to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC certifies that MTC's planning process is addressing the major issues in the metropolitan area and will be conducted in accordance with 23 CFR 450.334 and the Fixing America's Surface Transportation Act (FAST Act) and applicable requirements that are set forth in Attachment B to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC's Administration Committee shall monitor, direct, and update the OWP as necessary during Fiscal Year 2018-19 and shall incorporate any amendments into appropriate supplements to the OWP; and be it further

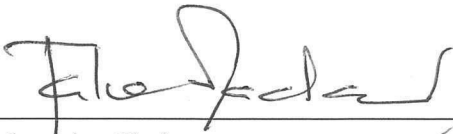
RESOLVED, that the Executive Director or his designee is authorized to apply for and execute any agreements with DOT for grants to aid in the financing of MTC's unified work program included in Attachment A to this Resolution and to execute any subsequent amendments to such agreement(s) consistent with Attachment C to this Resolution; and be it further

RESOLVED, that the Executive Director or his designee is authorized to execute and file with such application assurances or other documentation requested by

DOT of MTC's compliance with applicable federal statutory and regulatory requirements;
and be it further

RESOLVED, that the Executive Director or his designee is authorized to make administrative changes to the grant application(s) so long as such changes do not affect the total amount of the grant or scope of work.

METROPOLITAN TRANSPORTATION COMMISSION



Jake Mackenzie, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in San Francisco, California on April 25, 2018

Date: April 25, 2018
W.I.: 1152
Referred by: Admin

Attachment A
Resolution No. 4329
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Attachment A is the FY 2018-19 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC library.

Attachment B
Resolution No. 4329
Page 1 of 1

In accordance with 23 CFR 450.334 and 450.218, and the Fixing America's Surface Transportation Act (the "FAST Act"), Metropolitan Transportation Commission ("MTC"), the Metropolitan Planning Organization for the San Francisco Bay Area, hereby certifies that the transportation planning process is addressing the major issues in the metropolitan planning area, and is being conducted in accordance with all applicable requirements, including:

- (1) 23 U.S.C. 134 and 135, 49 U.S.C. 5303 and 5304, and Part 450 of Subchapter E of Chapter 1 of Title 23 of the Code of Federal Regulations;
- (2) In nonattainment and maintenance areas, sections 174 and 176 (c) and (d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506 (c) and (d)) and 40 CFR part 93;
- (3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-1) and 49 CFR part 21;
- (4) 49 U.S.C. 5332, prohibiting discrimination on the basis of race, color, creed, national origin, sex, or age in employment or business opportunity;
- (5) Section 1101(b) of the FAST Act (Pub.L. 114-94) and 49 CFR part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
- (6) 23 CFR part 230, regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
- (7) The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and 49 CFR parts 27, 37, and 38;
- (8) The Older Americans Act, as amended (42 U.S.C. 6101), prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;
- (9) Section 324 of title 23 U.S.C. regarding the prohibition of discrimination based on gender; and
- (10) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 CFR part 27 regarding discrimination against individuals with disabilities.

Date: April 25, 2018
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Revised: 09/26/18-C
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Attachment C
Resolution No. 4329
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Attachment C includes all amendments and supplements to the FY 2018-19 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC offices.

OWP Amendment No 19-01 adds a new \$500,000 FTA 5304 grant for Diridon Integrated Station Concept Plan and a new \$406,000 SB1 Sustainable Communities Grant for Sustainable Communities and Climate Resilience for People with Disabilities.

OWP Amendment No. 19-02 adds \$4,076,758 of unspent federal and state planning funds from FY 2017-18 and \$64,013 of SB1 final allocation.