METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: January 2, 2019

FR: Deputy Executive Director, Operations

RE: MTC Financial Statements for November 2018

Attached please find MTC financial statements for the five-month period ending November 30, 2018. Major financial highlights include:

(1) **Operating Income**: Total operating income for the five-month period is slightly below projections at 38% with 42% of the budget year expired. Year-to-date numbers are skewed because the 1% Bay Area Toll Authority (BATA) administration fee is transferred at the beginning of the year. Transportation Development Act (TDA) revenue is the other major MTC revenue source and is running over budget projection.

(2) **Operating Expenditures**: Total operating expenditures, excluding contracts, are on target at 41% for the five-month period, or 42% of the budget year. Contract services including encumbrances totaling $12 million are slightly under budget at 39% which is not unusual since most of the contracts are tied to projects and will run over multiple years.

(3) **Federal Grants**: There are nine new grants in the FY 2018-19 budget that MTC will be applying for in the near future. There are five grants that have been completed and will be closed out in FY 2018-19.

If there are any questions, please contact Arleicka Conley at (415) 778-6796.

Andrew B. Fremier

J:\COMMITTE\Administration\2019 by Month\01 Jan'2019 Administration Committee\2c_1-Financial Statement-November'2018_CoverMemo.docx

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2018-19
As of November 2018 (42% of year)

	1	2	3	4
Operating Revenue	**FY 2018-19 Total Budget**	**Actual Revenue**	**Budget Balance Over/(Under)**	**% of Budget (col 2/1)**
General Fund Revenue:				
TDA	13,528,282	6,301,210	(7,227,072)	46.6%
Interest	40,000	262,467	222,467	656.2%
General Fund Total	**13,568,282**	**6,563,677**	**(7,004,605)**	**48.4%**
Federal Planning Revenue:				
FHWA - PL	8,392,923	2,949,727	(5,443,196)	35.1%
FHWA - SP&R	220,000	-	(220,000)	0.0%
FTA 5303	3,914,358	2,693,334	(1,221,024)	68.8%
FTA 5304	984,577	62,790	(921,787)	6.4%
FTA Total	**13,511,858**	**5,705,851**	**(7,806,007)**	**42.2%**
State Funding Revenue:				
STIP	709,044	167,670	(541,374)	23.6%
State Funds	6,000,000	-	(6,000,000)	0.0%
SB1 Awarded Grant - Climate Reslience	406,000	-	(406,000)	0.0%
Senate Bill 1 (SB1)	2,836,455	1,368,493	(1,467,962)	48.2%
State Revenue Total	**9,951,499**	**1,536,163**	**(8,415,336)**	**15.4%**
Local Funding Revenue:				
TFCA	1,063,534	-	(1,063,534)	0.0%
HOV	520,000	236,836	(283,164)	45.5%
Pavement Management	1,847,670	530,475	(1,317,195)	28.7%
BAAQMD	759,134	126,191	(632,943)	16.6%
Miscellaneous	2,127,585	44,478	(2,083,107)	2.1%
Local Total	**6,317,923**	**937,980**	**(5,379,943)**	**14.8%**
Transfers:				
BATA 1%	7,806,994	7,846,994	40,000	100.5%
Transfer BATA	2,460,309	1,660,835	(799,474)	67.5%
SAFE	2,197,815	1,016,018	(1,181,797)	46.2%
2% Transit Transfers	324,000	-	(324,000)	0.0%
Transfers in - STA	1,443,823	106,028	(1,337,795)	7.3%
Bay Trail 2% Bridge Tolls & 5%	723,421	97,236	(626,185)	13.4%
Membership Dues	527,010	-	(527,010)	0.0%
Transfer from or (to) Reserve/Capital	10,870,033	966,189	(9,903,844)	8.9%
Transfers Total	**26,353,405**	**11,693,300**	**(14,660,105)**	**44.4%**
Total Operating Revenue	**69,702,966**	**26,436,971**	**(43,265,996)**	**37.9%**

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2018-19
As of November 2018 (42% of year)

	1	2	3	4	5
	FY 2018-19	Actual	Budget Balance	% of Budget	
Operating Expenditures	**Total Budget**	**Expense**	**Over/(Under)**	**(col 2/1)**	**Encumbrance**
Salaries & Benefits	30,172,295	13,021,198	(17,151,097)	43.2%	65,075
Travel & Training	590,419	181,460	(408,959)	30.7%	100,018
Commission Expense					
Commissioner Expense	150,000	61,904	(88,096)	41.3%	-
Advisory Committees	15,000	6,200	(8,800)	41.3%	-
Printing & Graphics	156,900	7,259	(149,641)	4.6%	10,727
Computer Services	3,291,900	1,503,815	(1,788,085)	45.7%	961,085
General Operations	4,199,059	1,139,700	(3,059,359)	27.1%	1,367,914
Total operating	**38,575,573**	**15,921,536**	**(22,654,037)**	**41.3%**	**2,504,819**
	-				
Contract Services	31,127,391	2,034,266	(29,093,125)	6.5%	10,004,692
	-				
Total Operating Expenditures	**69,702,964**	**17,955,802**	**(51,747,162)**	**25.8%**	**12,509,511**

MTC CAPITAL BUDGETS
As of November 2018 (42% of year)

Capital	Total Budget	Actual	Encumbrance	Balance
Transfer from Reserves	**$130,000**	**$0**	**$0**	**$130,000**
Expense	**$130,000**	**$2,150**	**$89,747**	**$38,103**

Bay Bridge Forward Project

Operating	Total Budget	Actual	Encumbrance	Balance
STP	18,577,561	1,144,034	-	17,433,527
CMAQ	2,246,858	304,853	-	1,942,005
RM2 Capital	16,236,064	981,645	-	15,254,419
SAFE Capital	2,607,843	14,252	-	2,593,591
Local - Cities	3,901,346	4,530	-	3,896,816
Revenue	**$43,569,672**	**$2,449,313**	**$0**	**$41,120,359**
Expense	**$43,569,672**	**$2,351,780**	**$5,788,597**	**$35,429,295**

Hub Signage Program

Capital	LTD Total Budget	LTD Actual	Encumbrance	LTD Balance
Prop 1B	9,729,204	9,729,204	-	-
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	3,002,624	569,561	-	2,433,063
Revenue	**$13,093,828**	**$10,457,651**	**$0**	**$2,636,177**
Expense	**$13,093,828**	**$10,461,227**	**$0**	**$2,632,601**

LIFE TO DATE FEDERAL GRANT BUDGET

As of November 2018 (42% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2018	New Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
STP GRANTS								
6084-175 1801	MTC Regional Planning	93,755	-	93,755	-	-	-	93,755
6084-176 1803	511 Grant	1,671,742	-	1,671,742	(12,338)	843,539	828,204	12,338
6084-179 1806	Pavement Management	60,657	-	60,657	-	-	26,471	34,186
6084-180 1809	FPI	1,003,949	-	1,003,949	-	68,479	765,527	169,943
6084-186 1812	OBAG Regional PDA	4,481,243	-	4,481,243	-	255,297	4,225,946	-
6084-193 1816	Arterial Operations	820,610	-	820,610	-	-	169,238	651,371
6084-198 1818	Pavement Management	4,347,454	-	4,347,454	-	699	1,332,554	3,014,201
6084-199 1819	511 Traveler Information	2,086,970	-	2,086,970	2,488	222,305	1,678,760	183,417
6084-201 1820	Freeway Performance Initiative	861,795	-	861,795	-	162,167	650,099	49,529
6084-205 1822	Pavement Management	1,334,614	-	1,334,614	-	8,621	200,000	1,125,993
6160-027 1823	Incident Management	223,589	-	223,589	144,334	-	-	79,256
6084-206 1826	CMA Planning	31,790,707	16,716,000	48,506,707	-	-	18,181,707	30,325,000
6084-207 1827	MTC Planning	8,757,362	35,000	8,792,362	136,134	-	266,000	8,390,228
6084-208 1832	Vanpool Program	2,000,000	-	2,000,000	1,000	-	250,000	1,749,000
6084-213 1833	511 Next Generation	11,109,378	-	11,109,378	707,267	-	3,984,997	6,417,114
6084-212 1834	Connected Vehicles/Shared Mobility	2,861,080	-	2,861,080	203,663	-	-	2,657,417
6084-225 1835	Incident Management	4,160,000	-	4,160,000	61,232	-	-	4,098,768
6084-225 1836	System Travel Demand	1,150,000	-	1,150,000	11,475	-	-	1,138,525
6084-228 1838	Freeway Performance -SR I880 - US101	1,000,000	-	1,000,000	-	141,211	58,789	800,000
6084-232 1839	PDA Planning & Implementation	8,300,433	-	8,300,433	-	-	1,790,355	6,510,078
6084-226-1841	Arterial Operations	4,250,000	-	4,250,000	1,032,814	97,534	-	3,119,652
6084-227-1842	Enhance Arterial: CAT1	1,000,000	-	1,000,000	-	65,284	934,716	0
6084-230 1843	Commuter Parking O&M	997,113	-	997,113	-	-	70,000	927,113
6084-231 1844	Freeway Performance - I880 Corridor	995,796	-	995,796	-	-	5,796	990,000
6084-233 1845	Freeway Performance - I 680 Corridor	8,000,000	-	8,000,000	-	1,000	-	7,999,000
6084-235 1846	Bay Area Forward	2,500,000	-	2,500,000	8,108	-	-	2,491,892
	TOTAL	**105,858,248**	**16,751,000**	**122,609,248**	**2,296,178**	**1,866,135**	**35,419,160**	**83,027,774**
CMAQ GRANTS								
6084-160 1589	Arterial Operations	408,143	179,276	587,419	188,094	-	191,168	208,157
6160-018 1596	Freeway Performance	379,046	-	379,046	-	115,759	166,190	97,097
6084-176 1804	511 Grant	213,009	-	213,009	-	152,965	60,044	-
6084-188 1814	Regional Bicycle Program	80,654	-	80,654	-	-	-	80,654
6084-202 1824	Climate Initiatives	795,390	-	795,390	-	-	200,000	595,390
6084-209 1825	Operate Car Pool Program	7,408,497	-	7,408,497	87,039	224,007	1,303,488	5,793,963
6084-211 1828	Commuter Benefits Implementation	1,270,570	-	1,270,570	33,971	29,947	188,089	1,018,563
6084-210-1829	Incident Management	14,264,278	-	14,264,278	-	-	2,093,077	12,171,201
6084-215 1830	Spare the Air Youth Program	2,344,724	-	2,344,724	-	64,618	2,268,874	11,232
6084-216 1831	Arterial/Transit Performance/Rideshare	4,538,023	-	4,538,023	-	-	546,710	3,991,313
6084-220 1837	Field Equipment Devices O&M	1,142,000		1,142,000	14,235			1,127,765
6084-219 1840	Bay Area Forward	1,000,000	-	1,000,000	-	1,000	-	999,000
New	Climate Initiatives	-	12,000,000	12,000,000	-	-	-	12,000,000
New	I880 Central Segment Project Study		8,840,000	8,840,000				8,840,000
New	Bay Bridge Forward		820,000	820,000				820,000
New	West Grand Ave Transit Signal Priority		1,000,000	1,000,000				1,000,000
	TOTAL	**33,844,334**	**22,839,276**	**56,683,610**	**323,339**	**588,296**	**7,017,641**	**48,754,335**
FTA GRANTS								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	13	82,578
CA37-X104 1625	JARC	20,062	-	20,062	-	-	20,061	(0)
CA37-X133 1627	JARC	130,193	-	130,193	-	-	-	130,193
CA37-X164 1629	JARC	89,496	-	89,496	-	-	89,496	0
CA37-X177 1630	JARC	745,275	-	745,275	-	-	183,284	561,991
CA34-X001 1631	FTA 5339 - Bus Purchases	231,591	-	231,591	-	-	231,591	-
CA57-X109 1632	New Freedom	346,512	-	346,512	-	-	246,256	100,256
CA34-0024 1633	FTA 5339 - Bus Purchases	1,171,281	-	1,171,281	-	-	893,992	277,289
CA34-0032 1634	FTA 5339 - Bus Purchases	725,458	-	725,458	-	-	452,441	273,017
	TOTAL	**3,542,459**	**-**	**3,542,459**	**-**	**-**	**2,117,134**	**1,425,324**

LIFE TO DATE FEDERAL GRANT BUDGET

As of November 2018 (42% of year)

Fund Source		Project Description	Grant LTD Balance as of 6/30/2018	New Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
SHA 6084-184	1112	FHWA - SHRP2	101,989	-	101,989	10,000	-	84,343	7,646
G16AP00018	1310	USGS National Grant - G16AP000018	90,000	-	90,000				90,000
G16AP00172	1312	USGS National Grant - G16AC00172	14,841	8,147	22,987	11,841	3,000	-	8,147
G15AP00118	1313	USGS National Grant - G15AC00118	1,986	-	1,986	1,299	-	-	688
G17AC00239	1315	USGS National Grant - G17AC00136	1,132	-	1,132	-	-	-	1,132
G140CG0318P	1316	USGS National Grant - G140G0318P0151	16,540	-	16,540	15,795	-	-	746
BF-99T455	1340	Environmental Protection Agency (EPA)	424,345	-	424,345	-	17,400	273,700	133,245
CA000007-01	1342	Environmental Protection Agency (EPA)	600,000	-	600,000	11,673	-	442,600	145,727
EMF2016	1372	Federal Emergency Management Agency	193,641	8,788	202,429	56,494	7,650	43	138,242
CARB	2404	California Air Respoirces Board	2,500,000	-	2,500,000	-	78,780	1,145,040	1,276,180
14 -003	2800	Coastal Conservancy	341,395	-	341,395	-	-	100,000	241,395
10-092	2801	Coastal Conservancy	434,949	-	434,949	69,477	12,240	70,472	282,760
TSFF 2017	5005	The San Francisco Foundation	19,992	-	19,992	-	-	-	19,992
North Bay	5007	Rockefeller Philanthropy Advisors	12,150	-	12,150	1,039	7,050	100	3,961
New		FEMA	-	300,000	300,000	-	-	-	300,000
New		USGS National Grant	-	75,000	75,000	-	-	-	75,000
		TOTAL	**4,752,960**	**391,935**	**5,144,895**	**177,617**	**126,120**	**2,116,297**	**2,724,861**
		Total Federal Grants Budget	**147,998,001**	**39,982,211**	**187,980,212**	**2,797,132**	**2,580,551**	**46,670,231**	**135,932,294**

G16AP00172	1312	USGS National Grant - G16AC00172	Will be closed out in FY2018-19
G15AP00118	1313	USGS National Grant - G15AC00118	Will be closed out in FY2018-19
G17AC00239	1315	USGS National Grant - G17AC00136	Will be closed out in FY2018-19
G140C0318P	1316	USGS National Grant - G140G0318	Will be closed out in FY2018-19
North Bay	5007	Rockefeller Philanthropy Advisors	Will be closed out in FY2018-19

CLIPPER OPERATING BUDGET
As of November 2018 (42% of year)

Clipper Operating	Total FY 2018-19 Budget	Actual	Encumbrance	Balance
RM2	5,088,195	456,304	-	4,631,891
STA	10,609,531	2,549,791	-	8,059,740
Inactive Accounts	3,996,255	-	-	3,996,255
Transit Operators	19,648,000	3,510,515	-	16,137,485
Revenue	**$39,341,980**	**$6,516,610**	**$0**	**$32,825,370**
Expense	**$39,341,980**	**$6,517,101**	**$24,885,720**	**$7,939,159**

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of November 2018 (42% of year)

Clipper I - Capital	LTD Budget Thru FY 2018-19	Actual	Encumbrance	Project Balance L-T-D
CMAQ	66,669,515	66,735,660	-	(66,145)
Card Sales	12,951,267	10,907,338	-	2,043,929
Cap and Trade (LCTOP)	7,777,971	7,816,352	-	(38,381)
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,241,633	-	(9,169,068)
STP	31,790,753	32,820,882	-	(1,030,129)
STA	21,946,540	20,904,092	-	1,042,448
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,657,436	-	3,207,377
Transit Operators	10,279,437	2,128,305	-	8,151,132
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
Revenue	**$225,409,357**	**$215,850,097**	**$0**	**$9,559,260**
Expense	**$225,409,357**	**$205,386,146**	**$13,587,448**	**$6,435,763**

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of November 2018 (42% of year)

Clipper II - Capital	LTD Budget Thru FY 2018-19	Actual	Encumbrance	Project Balance L-T-D
STP	10,316,887	5,857,592	-	4,459,295
FTA	133,903,689	868,956	-	133,034,733
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	-	-	4,000,000
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	2,344,270	-	66,571
Revenue	**$219,003,300**	**$9,330,619**	**$0**	**$209,672,681**
Expense	**$219,003,300**	**$9,330,833**	**$1,372,450**	**$208,300,017**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	160,500			
Consultants		2,418	7,583	
1051111 - Subtotal	**160,500**	**2,418**	**7,583**	**150,499**
Implement Public Information Program	2,114,919			
Consultants		143,217	271,353	
Craft & Commerce, LLP		34,596	65,404	
			25,000	
1051112 - Subtotal	**2,114,919**	**177,813**	**361,757**	**1,575,349**
Regional Transportation Plan	1,577,241			
Consultants		72,659	114,644	
Economic & Planning Systems		31,830	13,395	
EMC Research			150,000	
Exygy, Inc.			150,000	
Trust for Conservation Innovation			75,000	
Urban Institute			150,000	
1051121 - Subtotal	**1,577,241**	**104,489**	**653,039**	**819,713**
Analyze Regional Data using GIS & Travel Models	2,420,359			
Consultants		15,500	100,292	
Corey, Canapary & Galanis		26,342	33,830	
ETC Institute		73,440	228,541	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.			95,787	
Resource Systems Group		31,191	259,301	
RSG, Inc.		765	306,931	
WSP USA Inc.			90,065	
1051122 - Subtotal	**2,420,359**	**147,238**	**1,126,121**	**1,147,000**
Airport/Seaport/Freight Planning	660,853			
Cambridge Systematics			200,000	
The Tioga Group, Inc.			330,853	
1051124 - Subtotal	**660,853**	**-**	**530,853**	**130,000**
Active Transportation Planning	545,000			
Consultants			75,000	
1051125- Subtotal	**545,000**	**-**	**75,000**	**470,000**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Resiliency (Sea Level Rise/Adaptation) PL		660,674			
	AECOM			400,637	
	Bay Conservation & Development		87,292	167,625	
	1051126 - Subtotal	**660,674**	**87,292**	**568,262**	**5,120**
Regional Trails		10,000			
	East Bay Regional Park District				
	Petaluma Small craft Center				
	1051127 - Subtotal	**10,000**	**-**	**-**	**10,000**
Resilience and Hazards Planning		118,667			
	Consultants		18,340	41,197	
	1051128 - Subtotal	**118,667**	**18,340**	**41,197**	**59,130**
Regional Research and Economic		400,000			
	Consultants				
	1051129 - Subtotal	**400,000**	**-**	**-**	**400,000**
Advocate Legislative Programs		571,045			
	Carter, Welch & Associates		28,627	19,085	
	Consultants		31,119	27,926	
	Government Relations		97,333	194,667	
	1051132- Subtotal	**571,045**	**157,079**	**241,678**	**172,288**
Agency Financial Management		745,679			
	SunGard Bi-Tech Inc.		855	2,969	
	PWC		254,672	17,232	
	1011152 - Subtotal	**745,679**	**255,527**	**20,202**	**469,950**
Administrative Services		879,407			
	Koff & Associates			101,394	
	Management Partners		31,996	48,517	
	Carl Warren & Co.			91,100	
	Pathways for High School		124,410	10,590	
	The Solis Group		7,500	167,500	
	Civic Edge		5,100	16,325	
	1011153 - Subtotal	**879,407**	**169,006**	**435,426**	**274,975**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Information Technology Services	652,581			
Agreeya Solutions		(87,056)	287,056	
Management Partners Inc.		9,662	78,167	
Informatix, Inc.			109,796	
SSP Data		4,265	85,690	
1011161 - Subtotal	**652,581**	**(73,129)**	**560,709**	**165,001**
Performance Measurement and Monitoring	348,698			
ARUP North America Ltd.			25,000	
Consultants		23,051	648	
Exygy, Inc.			150,000	
1051212 - Subtotal	**348,698**	**23,051**	**175,648**	**149,999**
Regional Rideshare Program	1,083,694			
Enterprise Rent-A-Car			750,000	
Parsons Brinkerhoff			333,068	
1051222 - Subtotal	**1,083,694**	**-**	**1,083,068**	**626**
Support Regional Operations Program	254,874			
Iteris Inc.			34,031	
Consultants			83,763	
1051223 - Subtotal	**254,874**	**-**	**117,794**	**137,080**
Implement Regional Traveler Information Services				
Regional Traveler Information	542,407			
Civic Resource Group		58,788	112,672	
Iteris, Inc.		50,502	215,803	
Kimley-Horn & Associates			2,029	
1051224 - Subtotal	**542,407**	**109,290**	**330,504**	**102,613**
Emergency Response Planning	55,151			
URS Corporation			55,151	
1051229 - Subtotal	**55,151**	**-**	**55,151**	**0**
Pavement Management Program (PMP)	2,433,243			
AMS Consulting			25,704	
Bellecci & Associates			9,176	
Capitol Asset & Pavement Services			29,662	
Consultants		11,391	69,396	
DevMecca, LLC		460,898	1,056,242	
Fugro Roadware, Inc.			19,614	
Harris & Associates			23,330	
Nichols Consulting			29,856	
Pavement Engineering Inc.			18,891	
Quality Engineering Solutions			19,843	
1051233 - Subtotal	**2,433,243**	**472,289**	**1,301,715**	**659,239**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Arterial Operations		764,560			
	Consultants				
	DKS Associates		6,369	3,074	
	ITERIS, DBA, MMA			136,647	
	ITERIS, Inc.			1,000	
	Kimley-Horn And Associates		2,091	11,274	
	City of South San Francisco			95,764	
	TJKM Transportation			3,411	
	1051234 - Subtotal	**764,560**	**8,460**	**251,170**	**504,930**
Incident Management		841,300			
	Circlepoint			34,300	
	Consultants			15,453	
	ITERIS, Inc.			175,000	
	Kimley-Horn			199,218	
	1051235 - Subtotal	**841,300**	**-**	**423,971**	**417,329**
Implement Lifeline Transportation Programs		723,000			
	1051311 - Subtotal	**723,000**	**-**	**-**	**723,000**
Road Maintenance and Rehabiliation		300,000			
	1051415 - Subtotal	**300,000**	**-**	**-**	**300,000**
Climate Reslilience for People with Disabilitites		501,000			
	1051313 - Subtotal	**501,000**	**-**	**-**	**501,000**
Climate Assessment Initiative		85,000			
	Consultants			50,000	
	1051413 - Subtotal	**85,000**	**-**	**50,000**	**35,000**
Regional Assistance Program		250,734			
	Pieriott & Associates, LLC		34,000	28,000	
	1051514 - Subtotal	**250,734**	**34,000**	**28,000**	**188,734**
State Programing, Monitoring and TIP Development		187,200			
	Consultants		-	187,200	
	1051515 - Subtotal	**187,200**	**-**	**187,200**	**-**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transit Sustainability Project	7,241,604			
Arup North America Ltd.		28,278	146,641	
Consultants			5,000	
Nelson Nygaard			6,840	
Parsons Brinckerhoff, Inc.		48,868	165,191	
1051517 - Subtotal	**7,241,604**	**77,146**	**323,672**	**6,840,786**
Transportation for Livable Communities Program	149,904			
Consultants		26,250	50,427	
FEHR & PEERS Assocaites			7,963	
Placeworks			5,264	
City of Santa Clara			60,000	
1051611 - Subtotal	**149,904**	**26,250**	**123,654**	**-**
Climate Adaptation Consulting (BARC)	105,530			
Consultants		14,081		
1051612- Subtotal	**105,530**	**14,081**	**-**	**91,449**
Road Maintenance and Rehabilitation	487,474			
Consultants				
1051613- Subtotal	**487,474**	**-**	**-**	**487,474**
Connecting Housing and Transportation	453,532			
Consultants		75,000	41,250	
Community Outreach		6,000	12,000	
Monument Impact			6,000	
Transllght LLC		9,224	134,058	
1051615- Subtotal	**453,532**	**90,224**	**193,308**	**170,000**
Regional Advance Mitigation Projects	50,000			
Consultants				
1051616- Subtotal	**50,000**	**-**	**-**	**50,000**
Technical Assistance Strategic Planning	100,000			
Estolano Lesar Advisors			100,000	
1051617- Subtotal	**100,000**	**-**	**100,000**	**-**
Affordable Mobility Pilot Program	601,600			
TransForm		22,220	322,960	
1051618- Subtotal	**601,600**	**22,220**	**322,960**	**256,420**

DISBURSEMENT REPORT (Non- Federal Funded)
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Diordon Cencept Plan	500,000			
1051619- Subtotal	**500,000**	**-**	**-**	**500,000**
General Operations c/o				
1011998 & 1011999	**223,964**	**-**	**-**	**223,964**
Legal	1,146,994			
Hanson and Bridgett		30,070	11,190	
Glynn and Finley		77,406	1,334	
Meyers Nave		1,430	38,570	
Best Best & & Krieger LLP		2,272	37,728	
Renne Sloan Holtzman Sakalili			226,226	
1060000 - Subtotal	**1,146,994**	**111,178**	**315,048**	**720,768**
Total Operating Contract Services	**30,948,391**	**2,034,266**	**10,004,692**	**18,909,439**
Bay Area Forward - Capital				
11051237 - Subtotal	**20,826,724**	**995,897**	**4,584,046**	**15,246,781**

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of November 2018 (42% of year)

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants		59,493
San Francisco Transportation Authority		24,850
1051122 - Subtotal	**-**	**84,343**
Regional Trails		
East Bay Regional Park District	12,240	154,666
Petaluma Small Craft Center		15,806
1051127 - Subtotal	**12,240**	**170,472**
Resilience and Hazards Planning		
Arietta Chakos	7,050	100
Consultants	10,650	43
1051128 - Subtotal	**17,700**	**143**
Analyze Regional Data using GIS & Travel Models		
Consultants		70,000
Enterprise Rent-A-Car		250,000
Parsons Brinkerhoff	253,954	1,644,622
Sonoma County Transportation		28,038
1051222 - Subtotal	**253,954**	**1,992,660**
Support Regional Traveler Information Services		
Kimley-Horn and Associates	7,708	4,648
1051223 - Subtotal	**7,708**	**4,648**
Regional Traffic Information Services		
Civic Resource Group	599,003	1,367,848
Faneuil, Inc.	53,496	1,191,277
Iteris, Inc.	389,792	3,125,479
Kimley-Horn & Associates		590,479
1051224 - Subtotal	**1,042,291**	**6,275,083**

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of November 2018 (42% of year)

Work Element/Consultant	Expended	Encumbered
Pavement Management Program (PMP)		
AMS Consulting		198,396
Bellecci & Associates		70,824
Capitol Asset & Pavement Services		228,939
Consultants	9,320	200,000
Fugro Roadware Inc.		151,386
Harris & Associates		180,070
Nichols Consulting Engineers		230,444
Pavement engineering Inc.		145,809
Quality Engineering Solutions		153,157
1051233 - Subtotal	**9,320**	**1,559,025**
Arterial Operations Coordination		
Consultants		119,137
DKS ASSOCIATES	49,150	223,456
Iteris, DBA MMA		95,320
Iteris, Inc.		23,618
Kimly Horn	16,134	216,635
Kimley-Horn & Associates		31,111
City of San Ramon		444,949
City of Union City		300,812
City of Walnut Creek		413,224
1051234 - Subtotal	**65,284**	**1,868,262**
Implement Incident Management Program		
AC Transit		308,160
Consultants	168,809	21,191
MNS Engineering, Inc. (Former S&C)		1,684,917
URS Corporation		100,000
1051235 - Subtotal	**168,809**	**2,114,268**
Lifeline Program		
County of Contra Costa		49,417
County of Contra Costa		49,822
Cycles of Change		64,872
San Mateo County Human Service		39,234
1051310 - Subtotal	**-**	**203,345**
Implement Lifeline Transportation Program		
Marin Transit		89,496
1051311 - Subtotal	**-**	**89,496**

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of November 2018 (42% of year)

Work Element/Consultant	Expended	Encumbered
Lifeline Planning		
Alta Planning and Design	64,618	2,268,874
Silicon Valley Bicycle Coalition		200,000
1051413 - Subtotal	**64,618**	**2,468,874**
Federal Programming, Monitoring and TIP Development		
City of Santa Rosa		231,591
County Connection		13
ECCTA		1,344,299
Valley Transportation Authority		2,134
1051512 - Subtotal	**0**	**1,578,037**
New Freedom - Non - Planning Funds		
Outreach & Escort Inc.		246,256
1051518 - Subtotal	**-**	**246,256**
Transportation for Livable Communities		
Bay Conservation & Development		266,000
City of Berkeley		340,417
City of Santa Rosa		800,000
Community Design and Architecture	50,358	
Consultant		389,000
Dyett & Bhatia		4,815
City of El Cerrito		202,510
Fehr & Peers Associates	4,575	206,102
Nelson Niggard	41,000	641,396
City of Oakland		459,800
Placeworks		280,000
City and County of San Francisco		700,000
City of San Jose		683,802
Santa Clara VTA	88,964	761,036
City of Sunnyvale	70,400	335,200
TJKM Transportation Consultant		200,000
City of Walnut Creek		12,225
1051611 - Subtotal	**255,297**	**6,282,303**
Connecting Housing and Transportation		
Ninyo and Moore	17,400	716,300
1051615 - Subtotal	**17,400**	**716,300**

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of November 2018 (42% of year)

Work Element/Consultant	Expended	Encumbered
Affordable Mobility Pilot Program		
Transform	78,780	1,145,040
1051618 - Subtotal	**78,780**	**1,145,040**
Fund 190 CMA PLANNING	**-**	**18,181,708**
Total Federal Grant Funded	**1,993,401**	**44,980,263**
Bay Area Forward - Capital		
11051237 - Subtotal	**587,150**	**1,689,973**
Total Federal Grant Funded	**2,580,551**	**46,670,231**

CAPITAL PROJECTS DISBURSEMENT REPORT
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	**130,000**		91,897	
Subtotal	**130,000**	**-**	**91,897**	**38,103**
Bay Bridge Forward	**43,569,672**			
MTC Staff Costs		768,732		
Audio Visual Innovations Inc.			5,135	
California Engng. Contractors		450,816	1,599,964	
California Highway Patrol			575,000	
Consultants (PO)		240,023	144,558	
FEHR & PEERS ASSOCIATES		23,663	7,594	
HDR Engineering, Inc.		325,902	241,620	
Kimley Horn Associates		115,759	25,276	
Kimley Horn		24,292	905,747	
Kittelson & Associates			125,000	
Kittelson & Associates, Inc			125,000	
Parsons Transportation Group			227,796	
Placeworks			50,711	
Transp Mobility Solutions			5,376	
UC Regents		349,787	290,230	
Wilson, Sparling & Associates			30,000	
WSP USA Inc.		11,222	1,271,174	
WSP USA Inc.		41,584	158,416	
1051237 - Subtotal	**43,569,672**	**2,351,780**	**5,788,597**	**35,429,295**
Hub Signage Program	**13,093,828**			
Staff Costs		1,474,838		
Consultants		1,451,014		
Kimly-Horn and Associates		792,395		
BART		4,760,658		
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
3322650,2651,2652,2654 & 2655 Subtotal	**13,093,828**	**10,461,227**	**-**	**2,632,601**

CLIPPER PROJECTS DISBURSEMENT REPORT
As of November 2018 (42% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	**39,341,980**			
AC Transit		61,200	193,600	
Caribou Public Relations		48,963	111,113	
CONSULTANTS (PO)		82,817	1,654,818	
CUBIC		40,158	80,314	
Cubic Transportation Systems		5,083,357	20,999,115	
Faneuil, Inc.		101,414	305,738	
MOORE, IACOFANO, GOLTSMAN		237,675	398,246	
MTC STAFF COSTS		602,761	877,317	
Nematode Holdings		120,695	203,521	
Resource Development Assoc.		119,496	80,504	
Synapse Strategies		18,566	-18,566	
320122116 Clipper Operating Expenses	**$39,341,980**	**$6,517,101**	**$24,885,720**	**$7,939,159**
Clipper I - Capital	**225,409,357**			
Staff costs		13,246,555	1,484,737	
Auriga Corporation		12,293		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,777,924	817,561	
Booz Allen Hamilton		13,544,126		
Caporicci & Larson		11,530		
Consultants		3,075,146	7,278,861	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		91,905,393	3,796,573	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		

CLIPPER PROJECTS DISBURSEMENT REPORT
As of November 2018 (42% of year)

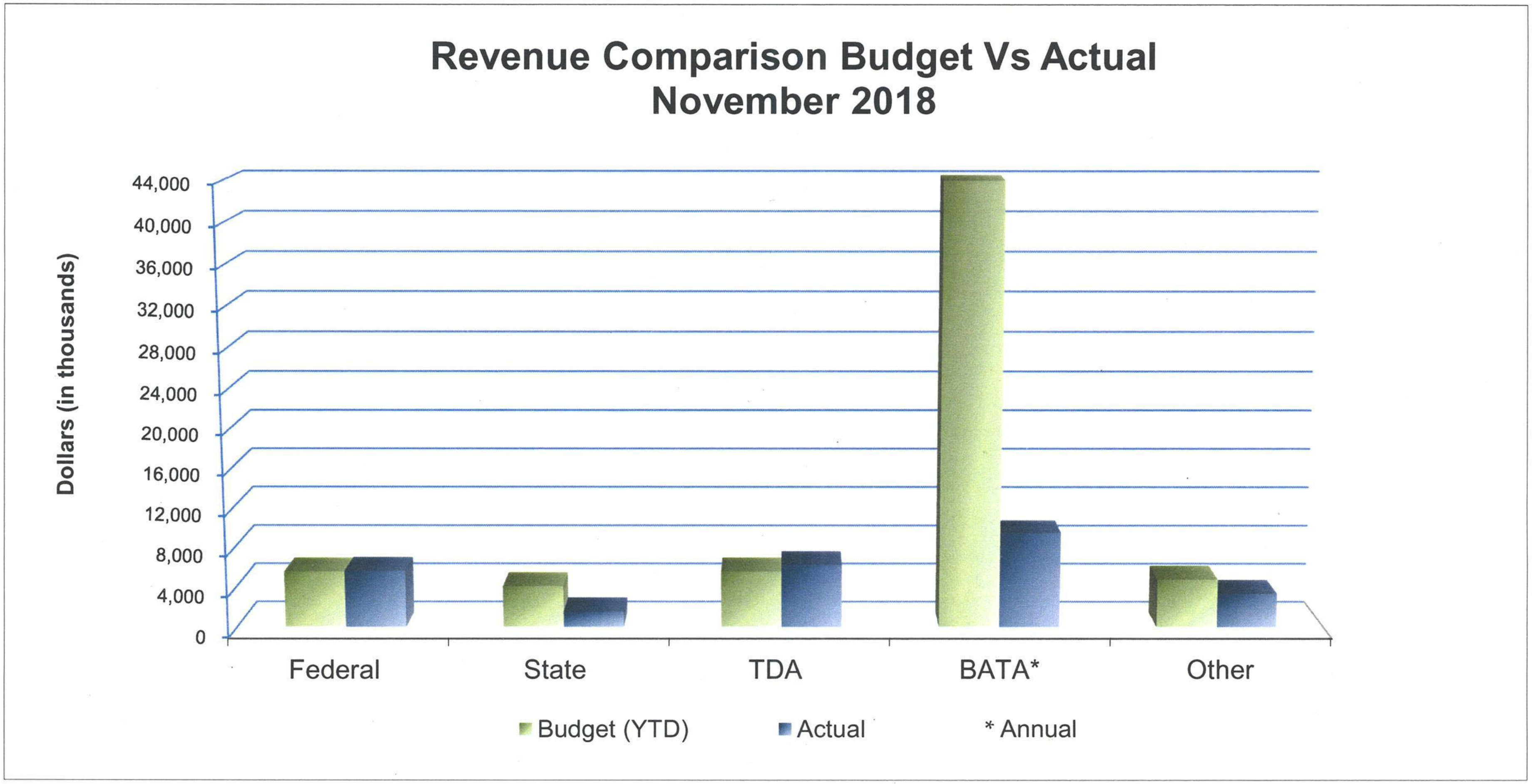
Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		687,571		
Kimley-Horn and Associates		337,390	179,681	
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500	30,035	
VenTek Transit, Inc.		791,939		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,490,943		
310 Clipper Capital I - Total Expenses	**$225,409,357**	**$205,386,146**	**$13,587,448**	**$6,435,763**
Clipper II- Capital	**219,003,300**			
Staff Costs		5,227,806		
IBI Group		1,761,548	523,723	
Invoke Technologies		59,695	140,305	
Consultants		1,419,852	708,422	
KPMG Consulting		261,447		
Thompson Coburn LLP		510,549		
CH2M Hill Clipper Consultants		63,617		
Invoke Technologies		26,319		
312 Clipper II - Total Expenses	**$219,003,300**	**$9,330,833**	**$1,372,450**	**$208,300,017**

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
$2,500-$200,000

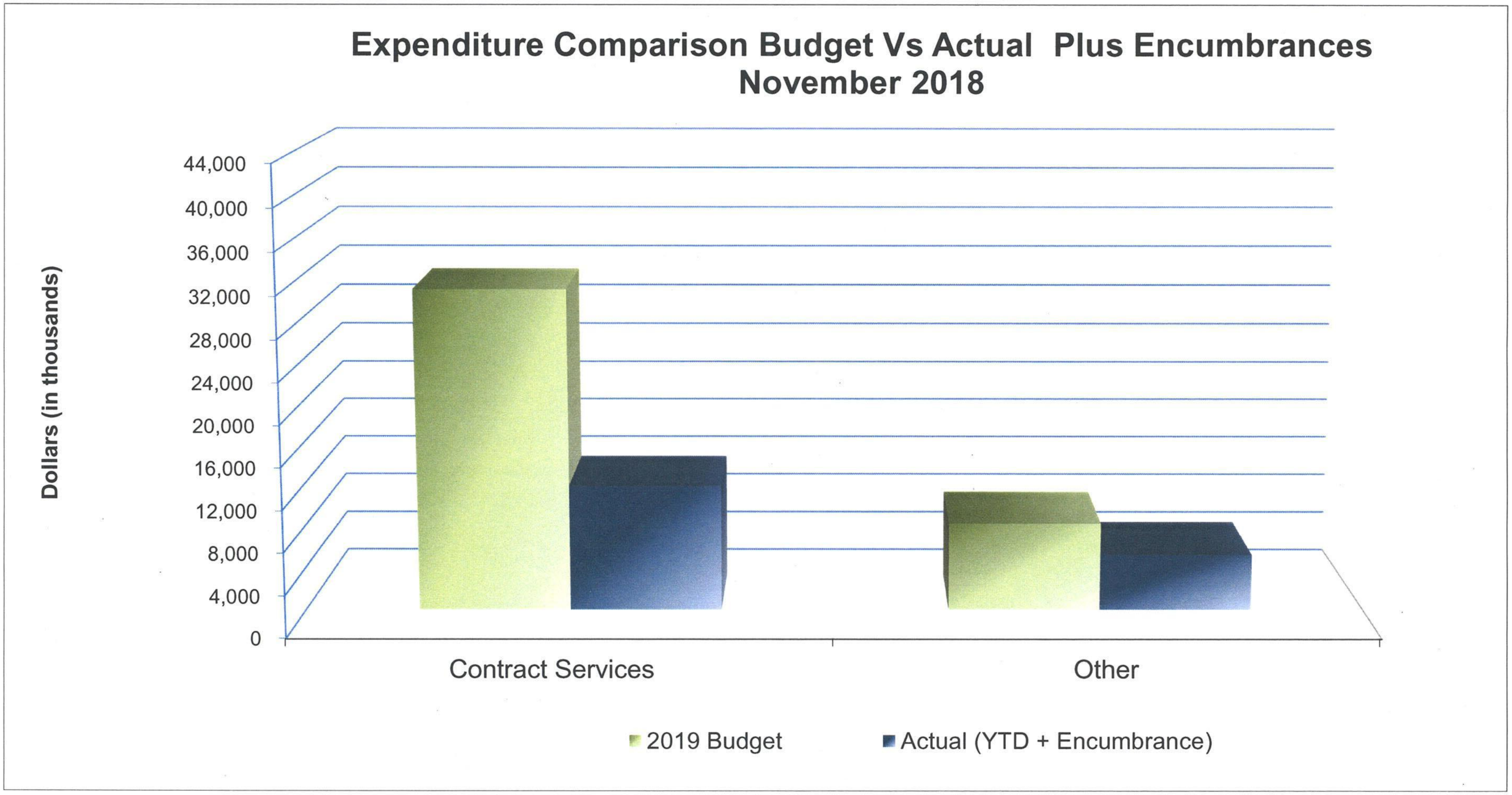
		November, 2018
Iron Mountain Off Site Storage		$7,077
	Offsite Media Tape Storage	
PG&E		$24,475
	PG&E Electric Utility Service	
Unicom Government Inc.		$40,470
	Software License Renewal	
Everbridge Inc.		$7,992
	Hosted Services	
Carshoft Technology		$53,431
	Software License Renewal	
Carshoft Technology		$75,019
	Software License Renewal	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
$2,500-200,000

Consultant	Purpose	November, 2018
Estolano Lesar Advisory	Technical Assistance Assessment Consultant	$100,000


Revenue Comparison Budget Vs Actual
November 2018
Dollars (in thousands)
44,000
40,000
36,000
32,000
28,000
24,000
20,000
16,000
12,000
8,000
4,000
0
Federal
State
TDA
BATA*
Other
Budget (YTD)
Actual
* Annual

* Annual


Expenditure Comparison Budget Vs Actual Plus Encumbrances
November 2018
Dollars (in thousands)
44,000
40,000
36,000
32,000
28,000
24,000
20,000
16,000
12,000
8,000
4,000
0
Contract Services
Other
2019 Budget
Actual (YTD + Encumbrance)

Salaries & Benefits Budget vs Actual Plus Encumbrance