



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
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San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: February 28, 2018

FR: Executive Director

RE: MTC Financial Statements for January 2018

Attached please find MTC financial statements for the seven-month period ending January 2018. Major highlights of the seven-month period include:

- (1) **Operating Income:** Total operating income for the seven months is below target at 46% with 58% of the budget year expired. Sales tax (TDA) and federal planning funds are running slightly ahead of budget.
- (2) **Transfers:** Transfers are at 38% of budget while the annual 1% BATA administration fee of \$7.5 million is complete for FY 2017-18.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 55% through January. Computer services are slightly running ahead of budget. Overall, we still expect operating expenditures to end the year within budget.
- (4) **Carry Over:** Prior year carryover balances of \$6.8 million for contract services have been included in the FY 2017-18 budgets.

Federal Grants: There are eleven new grants in the FY 2017-18 budget that MTC will be applying for in the near future. There are five new grants in the FY 2017-18 budget that have been awarded and four grants have been fully spent and will be closed out this year.

If there are any questions, please contact Sonia Elsonbaty at (415) 778-5298.

A blue ink signature of Steve Heminger is written over a horizontal line. The signature is stylized and appears to be 'Steve Heminger'.

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2017-18
As of January 58.3% of year)

	1	2	3	4
Operating Revenue	FY 2017-18 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
TDA	13,250,000	7,870,873	(5,379,127)	59.4%
Interest	30,000	16,568	(13,432)	55.2%
General Fund Total	13,280,000	7,887,441	(5,392,559)	59.4%
Federal Planning Revenue:				
FHWA - PL	7,739,524	5,177,695	(2,561,829)	66.9%
FHWA - SP&R	342,200	-	(342,200)	0.0%
FTA 5304	841,241	77,244	(763,997)	9.2%
FTA 5303	5,395,626	3,206,688	(2,188,938)	59.4%
FTA Total	14,318,590	8,461,627	(5,856,963)	59.1%
State Funding Revenue:				
STIP	664,912	244,575	(420,337)	36.8%
Regional Coastal Conservancy	1,172,376	240,882	(931,494)	0.0%
Senate Bill 1 (SB1)	517,618	416,865	(100,753)	0.0%
State Revenue Total	2,354,906	902,322	(1,452,584)	38.3%
Local Funding Revenue:				
TFCA	870,000	-	(870,000)	0.0%
HOV	500,000	238,602	(261,398)	47.7%
Bay Trail 5%	230,500	-	(230,500)	0.0%
PTAP LM	2,780	38,100	35,321	1370.7%
Pavement Management	1,564,494	641,743	(922,750)	41.0%
BAAQMD	818,605	156,448	(662,157)	19.1%
Miscellaneous	2,109,831	373,925	(1,735,905)	17.7%
Local Total	6,096,209	1,448,819	(4,647,390)	23.8%
Transfers:				
BATA 1%	7,494,251	7,494,251	-	100.0%
Transfer BATA RM2	1,940,075	831,673	(1,108,402)	42.9%
SAFE	2,372,469	263,289	(2,109,180)	11.1%
2% Transit Transfers	408,000	-	(408,000)	0.0%
Transfers in - STA	2,766,936	113,795	(2,653,141)	4.1%
Bay Trail 2% Bridge Tolls	492,500	437,567	(54,933)	88.8%
Membership Dues	782,401	-	(782,401)	0.0%
Transfer from or (to) Reserve/Capital	11,218,079	1,188,200	(10,029,879)	10.6%
Transfers Total	27,474,711	10,328,775	(17,145,936)	37.6%
Total Operating Revenue	63,524,416	29,028,984	(34,495,432)	45.7%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2016-17
As of January 58.3% of year)

	1	2	3	4	5
	FY 2017-18	Actual	Budget Balance	% of Budget	
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	28,716,163	16,826,783	(11,889,380)	58.6%	-
Travel & Training	590,419	273,677	(316,742)	46.4%	54,226
Commission Expense					
Commissioner Expense	150,000	41,550	(108,450)	27.7%	-
Advisory Committees	15,000	10,850	(4,150)	72.3%	-
Printing & Graphics	150,200	37,303	(112,897)	24.8%	18,616
Computer Services	2,584,907	1,590,591	(994,316)	61.5%	885,926
General Operations	4,291,500	1,373,188	(2,918,312)	32.0%	1,084,128
Total operating	36,498,189	20,153,942	(16,344,247)	55.2%	2,042,896
Contract Services	27,026,227	4,348,246	(22,677,981)	16.1%	7,940,740
Total Operating Expenditures	63,524,416	24,502,188	(39,022,228)	38.6%	9,983,636

MTC CAPITAL BUDGETS
As of January 58.3% of year)

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$276,156	\$0	\$0	\$0
Expense	\$276,156	\$45,413	\$105,726	\$125,017

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,729,204	9,729,204	-	0
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	2,743,624	567,812	-	2,175,812
Revenue	\$12,834,828	\$10,455,901	\$570,268	\$1,808,659
Expense	\$12,834,828	\$9,751,025	\$570,268	\$2,513,535

LIFE TO DATE FEDERAL GRANT BUDGET

As of January 58.3% of year)

Fund Source	Project Description	Grant LTD		New Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
		Balance as of 6/30/2017							
STP GRANTS									
6084-146 1580	Station Area Planning	474,045	-	474,045	-	-	-	155,929	318,116
6084-175 1801	MTC Regional Planning	3,479,604	-	3,479,604	146,089	982,595	1,799,421	551,500	
6084-176 1803	511 Grant	3,773,067	-	3,773,067	40,192	882,685	2,012,853	837,337	
6084-179 1806	Pavement Management	96,175	-	96,175	-	14,207	47,782	34,186	
6084-186 1812	OBAG Regional PDA	5,732,653	-	5,732,653	-	483,455	4,380,114	869,084	
6084-193 1816	Arterial Operations	1,183,673	-	1,183,673	-	85,178	510,463	588,032	
6084-198 1818	Pavement Management	1,495,705	5,500,000	6,995,705	-	279,866	1,301,979	5,413,861	
6084-199 1819	511 Traveler Information	6,891,032	-	6,891,032	1,036,674	1,035,899	1,011,035	3,807,424	
6084-201 1820	Freeway Performance Initiative	3,454,981	-	3,454,981	1,185,715	58,564	750,878	1,459,824	
6084-203 1821	Arterial Operations	499,000	-	499,000	419,604	-	-	79,396	
6084-205 1822	Pavement Management	130,150	-	130,150	-	13,233	402	116,515	
6160-027 1823	Incident Management	516,000	-	516,000	106,936	-	-	409,064	
6084-206 1826	CMA Planning	39,016,000	-	39,016,000	-	862,481	4,996,519	33,157,000	
6084-207 1827	MTC Planning	9,555,000	-	9,555,000	8,853	-	-	9,546,147	
6084-212 1834	Connected Vehicles/Shared Mobility	-	5,000,000	5,000,000	-	-	-	5,000,000	
NEW	PDA Planning & Implementation	-	18,500,000	18,500,000	-	-	-	18,500,000	
NEW	Freeway Performance	-	43,000,000	43,000,000	-	-	-	43,000,000	
NEW	Field Equipment Devices O&M	-	19,000,000	19,000,000	-	-	-	19,000,000	
NEW	Bay Area Forward	-	2,500,000	2,500,000	-	-	-	2,500,000	
TOTAL		\$76,297,086	\$93,500,000	\$169,797,086	\$2,944,063	\$4,698,162	\$16,967,376	\$145,187,485	
CMAQ GRANTS									
6084-160 1589	Arterial Operations	982,998	-	982,998	-	39,687	909,886	33,425	
6084-164 1591	Climate Initiatives	334,612	-	334,612	-	24,797	309,815	-	
6160-018 1596	Freeway Performance	1,255,737	-	1,255,737	90,075	286,356	855,684	23,622	
6160-020 1800	Incident Management	743,337	-	743,337	302,659	-	247,282	193,396	
6084-176 1804	511 Grant	528,652	-	528,652	-	259,069	269,583	-	
6084-180 1809	Freeway Performance Corridor Studies	1,949,896	-	1,949,896	-	130,332	801,406	1,018,157	
6084-188 1814	Regional Bicycle Program	1,411,018	(1,405,364)	5,654	-	-	-	5,654	
6084-202 1824	Climate Initiatives	1,100,000	-	1,100,000	-	99,871	384,895	615,235	
6084-209 1825	Operate Car Pool Program	8,000,000	-	8,000,000	54,957	-	986,718	6,958,325	
6084-211 1828	Commuter Benefits Program	-	1,111,000	1,111,000	-	-	157,902	953,098	
6084-210-1829	Incident Management	-	14,278,000	14,278,000	-	-	-	14,278,000	
6084-215 1830	Spare the Air Youth Program	-	2,463,000	2,463,000	-	-	2,451,768	11,232	
6084-216 1831	Arterial/Transit Performance	-	18,000,000	18,000,000	-	-	460,120	17,539,880	
6084-208 1832	Vanpool Program	-	2,000,000	2,000,000	-	-	-	2,000,000	
6084-213 1833	511 Next Generation	-	37,215,000	37,215,000	-	-	-	37,215,000	
NEW	Climate Initiatives	-	22,000,000	22,000,000	-	-	-	22,000,000	
NEW	Rideshare Implementation	-	720,000	720,000	-	-	-	720,000	
NEW	Capital Bike Share	-	2,000,000	2,000,000	-	-	-	2,000,000	
NEW	Carpool Program	-	7,280,000	7,280,000	-	-	-	7,280,000	
NEW	Commuter Benefits Implementation	-	674,000	674,000	-	-	-	674,000	
NEW	Bay Area Forward	-	5,820,000	5,820,000	-	-	-	5,820,000	
NEW	Incident Management	-	13,000,000	13,000,000	-	-	-	13,000,000	
TOTAL		\$16,306,249	\$125,155,636	\$141,461,885	\$447,690	\$840,111	\$7,835,059	\$132,339,025	
FTA GRANTS									
CA57-X023 1623	New Freedom	107,525	-	107,525	-	419	17,485	89,622	
CA37-X104 1625	JARC	270,799	-	270,799	-	78,994	191,804	-	
CA57-X050 1626	New Freedom	47,417	-	47,417	-	-	-	47,417	
CA37-X133 1627	JARC	130,193	-	130,193	-	-	41,461	88,732	
CA57-X074 1628	New Freedom	33,451	-	33,451	-	-	26,774	6,677	
CA37-X164 1629	JARC	281,348	-	281,348	-	-	268,202	13,145	
CA37-X177 1630	JARC	1,061,717	-	1,061,717	-	57,495	481,404	522,818	
CA34-X001 1631	FTA 5339 - Bus Purchases	9,665,839	-	9,665,839	-	-	-	9,665,839	
CA57-X109 1632	New Freedom	419,219	-	419,219	-	-	306,362	112,857	
CA34-0024 1633	FTA 5339 - Bus Purchases	4,898,890	-	4,898,890	-	-	-	4,898,890	
CA34-0032 1634	FTA 5339 - Bus Purchases	2,518,280	-	2,518,280	-	-	-	2,518,280	
CA16-X065 1635	FTA 5310	171,756	692,000	863,756	171,756	-	-	692,000	
CA79-1001-1668	TIGER *	17,340	-	17,340	-	-	-	17,340	
TOTAL		\$7,606,266	\$692,000	20,315,773	171,756	136,907	1,333,493	18,673,616	

LIFE TO DATE FEDERAL GRANT BUDGET

As of January 58.3% of year)

Fund Source	Project Description	Grant LTD			Staff	Actual	Consultant Actual	Enc.	Remaining Balance
		Balance as of 6/30/2017	New Grants	Total Grants					
SHA 6084-184	1112 FHWA - SHRP2	402,528	-	402,528	-	-	45,232	310,479	46,816
G16AC00318	1311 USGS National Grant - G16AC00318*	13,678	-	13,678	13,678	-	-	-	-
G16AP00172	1312 USGS National Grant - G16AC00172	42,031	-	42,031	17,349	-	-	-	24,682
G15AP00118	1313 USGS National Grant - G15AC00118	12,801	-	12,801	2,056	8,759	35	1,951	
G17AC00135	1314 USGS National Grant - G17AC00239	50,000	-	50,000	12,487	9,450	11,100	16,963	
G17AC00239	1315 USGS National Grant - G17AC00136	-	-	50,000	41,039	-	-	8,961	
BF-99T455	1340 Environmental Protection Agency (EPA)	531,563	-	531,563	20,606	14,245	355,755	140,957	
CA000007-01	1342 Environmental Protection Agency (EPA)	-	-	600,000	-	-	-	600,000	
2016CA00010	1370 Federal Emergency Management Agency	33,857	-	33,857	33,857	-	-	-	
EMF2016	1372 Federal Emergency Management Agency	299,221	-	299,221	18,219	13,350	42,050	225,602	
14 -003	2800 Coastal Conservancy	726,931	-	726,931	-	51,665	175,889	499,376	
10-092	2801 Coastal Conservancy	472,455	-	472,455	57,841	106,634	148,109	159,871	
07-053	2802 Coastal Conservancy	207,975	-	207,975	-	21,884	5,622	180,469	
TSFF 2017	5005 The San Francisco Foundation	19,992	-	19,992	-	-	-	19,992	
North Bay	5006 Marin Municipal Water District*	8,700	-	8,700	8,700	-	-	-	
North Bay	5007 Rockefeller Philantropy Advisors	150,000	-	150,000	-	-	-	150,000	
TOTAL		2,971,731	-	3,621,731	225,831	271,219	1,049,040	2,075,642	
Total Federal Grants Budget		\$103,181,331	\$219,347,636	\$335,196,475	\$3,789,340	\$5,946,399	\$27,184,967	\$298,275,767	

CA-79-1001	1668	TIGER * - The project under this grant is completed and the grant will be closed out in FY17/18
2016CA00010	1370	Federal Emergency Management Agency * - Grant will be closed out in FY17/18
G16AC00318	1311	USGS National Grant* - G16AC00318 - Grant will be closed out in FY17/18
North Bay	5006	Marin Municipal Water District - Grant will be closed out in FY17/18

CLIPPER OPERATING BUDGET
As of January 58.3% of year)

Clipper Operating	Total FY 2017-18 Budget	Actual	Encumbrance	Balance
RM2	3,102,913	984,537	-	2,118,376
STA	11,418,402	6,306,837	-	5,111,565
Transit Operators	19,183,922	9,035,773	-	10,148,149
Revenue	\$33,705,237	\$16,327,148	\$0	\$17,378,089
Expense	\$33,705,237	\$16,327,148	\$17,109,129	\$268,960

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of January 58.3% of year)

Clipper I - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
CMAQ	68,703,835	66,592,608	-	2,111,227
Card Sales	8,851,267	7,776,595	-	1,074,672
Cap and Trade (LCTOP)	4,677,971	4,677,971	-	-
ARRA	11,167,891	11,167,891	-	-
FTA	27,213,349	22,957,016	-	4,256,333
STP	37,538,086	29,853,095	-	7,684,991
STA	22,946,540	20,336,006	-	2,610,534
Prop 1B	1,115,383	988,137	-	127,246
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	484,901	-	240,099
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,656,848	-	3,207,965
Transit Operators	14,357,000	1,116,412	-	13,240,588
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$244,209,357	\$204,527,148	\$0	\$39,682,209
Expense	\$244,209,357	\$199,229,583	\$14,887,332	\$30,092,442

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of January 58.3% of year)

Clipper II - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
STP	7,254,326	4,615,594	-	2,638,732
FTA	10,078,133	625,165	-	9,452,968
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	1,410,841	1,507,596	-	(96,755)
Revenue	\$24,003,300	\$7,008,156	\$0	\$16,995,144
Expense	\$24,003,300	\$7,008,157	\$2,033,293	\$14,961,850

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	170,000			
Consultants			42,195	
1051111 - Subtotal	170,000	-	42,195	127,805
Implement Public Information Program	1,082,679			
Consultants		125,615	460,229	
1051112 - Subtotal	1,082,679	125,615	460,229	496,835
Regional Transportation Plan	1,341,885			
Ascent Environmental, Inc.		113,686		
Cambridge Systematics			2,966	
Consultants		146,390	73,656	
Tscudin Consulting Group		28,326	20,481	
1051121 - Subtotal	1,341,885	288,402	97,103	956,380
Analyze Regional Data using GIS & Travel M	3,825,901			
Consultants		150,972	142,038	
Corey, Canapary & Galanis		64,106	76,325	
ETC Institute		844,851		
Parsons Brincherhoff, Inc.			45,454	
Redhill Group, Inc.			188,578	
Resource Systems Group			341,039	
RSG, Inc.		74,930	153,576	
WSP USA Inc.			100,000	
1051122 - Subtotal	3,825,901	1,134,859	1,047,010	1,644,032
Airport/Seaport/Freight Planning	345,853			
Cambridge Systematics		15,000		
The Tioga Group, Inc.			330,853	
1051124 - Subtotal	345,853	15,000	330,853	(0)
Resiliency (Sea Level Rise/Adaptation) PL	1,006,689			
AECOM			415,000	
Bay Conservation & Development		96,765	418,450	
1051126 - Subtotal	1,006,689	96,765	833,450	76,474

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Regional Trails	1,098,087			
City of American Canyon		21,884	5,622	
City of Antioch			31,835	
City of Vallejo		37,378	87,625	
Consultants		50	2,070	
East Bay Regional Park District		71,074	147,759	
Greeninfo Network		11,188		
Petaluma Small Craft Center		24,373	18,514	
Consultants		18,038	23,942	
Sonoma County Regional Parks		14,291	38,264	
1051127 - Subtotal	1,098,087	198,276	355,631	544,180
Resilience and Hazards Planning	100,000			
Consultants				
1051128 - Subtotal	100,000	-	-	100,000
Regional Research and Economic	228,089			
Consultants			14,000	
1051129 - Subtotal	228,089	-	14,000	-
Advocate Legislative Programs	488,362			
Carter, Wetch & Associates		26,496	-	
Consultants		8,342	137,664	
Government Relations		133,700	182,160	
1051132- Subtotal	488,362	168,538	319,824	-
Agency Financial Management	835,551			
Milliman Actuarial Servies		20,000		
Sungard Bi-Tech Inc.			4,489	
Gray and Associates CPA's			12,000	
PWC		241,188		
Milliman			5,000	
1011152 - Subtotal	835,551	261,188	21,489	552,874

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Administrative Services	862,593			
Koff & Associates		89,979	8,846	
Management Partners			20,000	
Pathways for High School		104,783	44,573	
Carl Warren & Co.			45,100	
Performance Based Ergonomics		13,814	12,846	
Consultants			2,939	
Marcia Ruben			12,000	
CSI Compliance		7,500	2,500	
1011153 - Subtotal	862,593	216,076	148,804	497,713
Information Technology Services	674,726	12,795		
Informatix, Inc.		1,060	130,639	
SSP Data		4,613	53,618	
Dell Computer Corporation		29,822	1,171	
Communications Strategies			8,200	
Center for Creative Leadership		17,932	168	
1011161 - Subtotal	674,726	66,221	193,796	414,708
ABAG Finance	47,000			
Consultants			-	
1011750- Subtotal	47,000	-	-	47,000
Performance Measurement and Monitoring	200,000			
CH2M Hill			16,697	
Consultants		91,901	41,102	
1051212 - Subtotal	200,000	91,901	57,799	50,300
Regional Rideshare Program	951,300			
Parsons Brinkerhoff		1,871	165,343	
1051222 - Subtotal	951,300	1,871	165,343	784,086
Support Regional Operations Program	142,698			
Iteris Inc.		14,345	34,031	
Kimley-Horn			10,559	
1051223 - Subtotal	142,698	14,345	44,590	83,763

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Implement Regional Traveler Information Services				
Regional Traveler Information	1,426,799			
Civic Resource Group		112,280	101,680	
Consultants		30,000	67,127	
Faneuil, Inc.		12,272	26,551	
Iteris, Inc.		113,842	521,282	
Kimley-Horn & Associates		213	25,541	
1051224 - Subtotal	1,426,799	268,607	742,181	416,011
Emergency Response Planning				
URS Corporation	1,452,305	128,928	73,378	
1051229 - Subtotal	1,452,305	128,928	73,378	1,249,999
Pavement Management Program (PMP)				
AMS Consulting	2,205,021	1,755	30,017	
Bellecci & Associates		1,841	2,761	
Capitol Asset & Pavement Services		9,532	24,523	
Consultants		1,714	83,906	
DevMecca, LLC		795,705	430,217	
Fugro Roadware, Inc.		1,973	17,756	
Harris & Associates		7,550	89,330	
Nichols Consulting		6,882	31,963	
Pavement Engineering Inc.		5,758	20,164	
Quality Engineering Solutions		2,810	21,621	
1051233 - Subtotal	2,205,021	835,520	752,258	617,243
Arterial Operations				
DKS Associates	201,286	2,238	200	
Iteris Inc.		1,419	1,000	
Kimley-Horn And Associates		655	12,771	
TJKM Transportation		809	3,411	
1051234 - Subtotal	201,286	5,121	17,382	178,783

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Incident Management	650,000			
Consultants			7,000	
Iteris, Inc.			175,000	
1051235 - Subtotal	650,000	-	182,000	468,000
Freeway Performance Initiative	1,612,553			
Audio Visual Innovations Inc.			97,944	
Cambridge Systematics			55,584	
Consultants		36,557	228,637	
FEHR & PEERS Associates			50,186	
Kimly-Horn		49,500	70,500	
Kettelson & Associates			1,346	
URS Corporation			88,854	
1051237 - Subtotal	1,612,553	86,057	593,051	933,445
Implement Lifeline Transportation Programs	1,236,321			
CH2M Hill		14,142	10,000	
Consultants			4,650	
Nelson/Nygaard		11,390	-	
TransForm		55,000	150,000	
1051311 - Subtotal	1,236,321	80,532	164,650	991,139
Climate Assessment Initiative	35,000			
Consultants		10,234	24,766	
1051413 - Subtotal	35,000	10,234	24,766	-
Regional Assistance Program	218,000			
Pieriott & Associates, LLC		25,000	149,000	
1051514 - Subtotal	218,000	25,000	149,000	44,000
State Programing, Monitoring and TIP Develo	200,000			
Consultants			35,652	
1051515 - Subtotal	200,000	-	35,652	164,348

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transit Sustainability Project	1,455,312			
City of Union City			30,000	
Consultants			24,068	
ECCTA			30,000	
Golden Gate Transit District			14,036	
LAVTA			9,703	
Napa Valley Transportation Authority			5,081	
Nelson Nygaard			6,840	
Parsons Brinckerhoff, Inc.			290,700	
Sonoma County Transit			30,000	
City of Vacaville			10,000	
1051517 - Subtotal	1,455,312	-	450,428	1,004,884
Transportation for Livable Communities Prog	333,539			
Consultants		166,842	54,002	
Placeworks			5,264	
City of Santa Clara			60,000	
Toole Design Group			47,431	
1051611 - Subtotal	333,539	166,842	166,697	0
Climate Adaptation Consulting (BARC)	85,431			
Consultants		16,874		
1051612- Subtotal	85,431	16,874	-	68,557
Connecting Housing and Transportation	604,423			
Consultants			135,092	249,273
1051615- Subtotal	604,423	-	135,092	469,331
Regional Advance Mitigation Projects	56,478			
Consultants				
1051616- Subtotal	56,478	-	-	56,478
Technical Assistance Strategic Planning	112,956			
Consultants				
1051617- Subtotal	112,956	-	-	112,956

DISBURSEMENT REPORT (Non- Federal Funded)
As of January 58.3% of year)

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Affordable Mobility Pilot Program		610,600			
Consultants					
1051618- Subtotal		610,600	-	-	610,600
Legal		1,128,790			
	Hanson and Bridgett		7,660	100,766	
	Glynn and Finley		15,820	131,358	
	Meyers Nave		7,431	47,583	
	Schiff Hardin LLP			16,386	
	Orrick Herrington			-	
	Renne Sloan Holtzman Sakalili		14,563	25,995	
1060000 - Subtotal		1,128,790	45,474	322,088	761,228
Total Operating Contract Services		27,026,227	4,348,246	7,940,740	14,523,152

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of January 58.3% of year)

Work Element/Consultant		Expended	Encumbered
Regional Transportation Plan			
	Consultants	45,232	168,205
	San Francisco Transportation Authority		142,274
1051122 - Subtotal		45,232	310,479
Regional Trails			
	City of American Canyon	21,884	5,622
	City of Antioch		31,835
	City of Vallejo	37,375	87,625
	East Bay Regional Park Distric	71,074	147,759
	GreenInfo Network	11,188	
	Petaluma Small Craft Center	24,373	18,514
	Sonoma County Regional Parks	14,291	38,264
1051127 - Subtotal		180,183	329,620
Resilience and Hazards Planning			
	Consultants	31,559	53,185
1051128 - Subtotal		31,559	53,185
Analyze Regional Data using GIS & Travel Models			
	Alameda County Transportation Authority	18,329	51,671
	Consultants		70,000
	Contra Costa Transportation Authority		38,625
	Parsons Brinkerhoff	470,754	2,356,636
	San Mateo Association of Govrnments		70,000
	Solano Transportation Authority	51,950	49,896
	Sonoma County Trasnportation	3,701	42,726
	Transportation Authority of Marin	23,800	46,200
1051222 - Subtotal		568,534	2,725,754

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of January 58.3% of year)

Work Element/Consultant	Expended	Encumbered
Support Regional Traveler Information Services		
Kimley-Horn and Associates	19,042	69,207
1051223 - Subtotal	19,042	69,207
Regional Traffic Information Services		
Civic Resource Group	791,762	
Faneuil, Inc.	94,719	204,931
Iteris, Inc.	723,351	841,103
Kimley-Horn & Associates	1,644	397,133
1051224 - Subtotal	1,611,476	1,443,167
Pavement Management Program (PMP)		
AMS Consulting	13,545	231,683
Bellecci & Associates	14,207	21,311
Capitol Asset & Pavement Services	73,568	189,278
Consultants	13,233	1,101
Fugro Roadware Inc.	15,227	137,044
Harris & Associates	58,275	200,527
JG3 Consulting		
Nichols Consulting Engineers	53,118	246,704
Pavement engineering Inc.	44,442	155,636
Quality Engineering Solutions	21,690	166,879
1051233 - Subtotal	307,305	1,350,163
Arterial Operations Coordination		
Consultants		131,879
DKS ASSOCIATES	52,662	537,619
City of Fremont		200,228
Ieris, DBA MMA		242,710
Iteris, Inc.	19,472	122,754
Kimly Horn	1,658	211,053
Kimley-Horn & Associates	3,497	282,694
LAVTA		85,000
TJKM Transportation Consultant	55,876	352,511
URS Corporation		97,282
1051234 - Subtotal	133,165	2,263,730

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of January 58.3% of year)

Work Element/Consultant	Expended	Encumbered
Implement Incident Management Program		
Circlepoint		150,000
1051235 - Subtotal	-	150,000
 Freeway Performance Initiative		
Audio Visual Innovations Inc.		5,280
Cambridge Systematics	49,027	8,421
Consultants	5,513	244,488
FEHR & PEERS Associates		200,000
HDR Engineering, Inc.		565,000
Kimley-Horn & Associates	278,296	790,717
Kittelson & Associates	8,060	83,694
Parsons Brinckerhoff, Inc.	6,851	60,591
Placeworks	28,075	71,926
Transportation Mobility Solutions	91,131	61,874
URS Corporation		200,000
Wilson, Sparling & Associates		30,000
1051237 - Subtotal	466,953	2,321,991
 Lifeline Program		
City of Alameda		24,688
County of Contra Costa	9,106	158,968
Cycles of Change	10,588	147,719
Outreach		167,814
City of Richmond		13,889
San Leandro Transportation Management	68,406	113,003
San Mateo County Human Sevice	2,195	47,127
1051310 - Subtotal	90,295	673,208
 Implement Lifeline Transportation Program		
Daly City		41,461
Outreach		268,202
Peninsula Family Services	46,193	
1051311 - Subtotal	46,193	309,663

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of January 58.3% of year)

Work Element/Consultant		Expended	Encumbered
Lifeline Planning			
	Alta Planning and Design		2,633,913
	Civic Resource Group		653
	Consultants	59,871	24,895
	ICF Consulting	3,399	126,980
	Silicon Valley Bicycle Coalition	40,000	360,000
1051413 - Subtotal		103,270	3,146,441
Federal Programming, Monitoring and TIP Development			
	County Connection	419	17,485
1051512 - Subtotal		419	17,485
New Freedom - Non - Planning Funds			
	Center for Independent Living		76,306
	Outrech & Escort Inc.		246,256
	Sonoma County Human Services Department		10,574
1051518 - Subtotal		-	333,136
Transportation for Livable Communities			
	Bay Conservation & Development Community	43783	223,421
	City of Berkely		340,417
	Community Design and Architecture	33,800	37,358
	Dyett & Bhatia		9,630
	Fehr & Peers Associates	30,594	3,532
	Nelson Nygaard		255,556
	City of Oakland		459,800
	San Francisco Transporation Authority		736,000
	City of San Jose	102,560	1,261,222
	City of San Leandro	204,000	57,000
	Santa Clara VTA		107,704
	City of Santa Clara		850,000
	City of Sunnyvale	112,500	405,600
	City of Walnut Creek		12,225
1051611 - Subtotal		527,237	4,759,465
Priority Development Area (PDA)			
	Consultants	2,880	8
1051612 - Subtotal		2,880	8

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of January 58.3% of year)

Work Element/Consultant	Expended	Encumbered
Connecting Housing and Transportation		
Ninyo and Moore	14,245	355,755
1051615 - Subtotal	14,245	355,755
Fund 190 CMA PLANNING	1,798,411	6,572,510
Total Federal Grant Funded	5,946,399	27,184,967

CAPITAL PROJECTS DISBURSEMENT REPORT
As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	276,156	27,038	124,100	
Subtotal	276,156	27,038	124,100	125,017
 Hub Signage Program	 12,834,828			
Staff Costs		1,430,239		
Consultants		981,915	8,701	
Kimly-Horn and Associates		792,395		
BART		4,564,155	561,567	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
3322650,2651,2652,2654 & 2655 Subtotal	12,834,828	9,751,025	570,268	2,513,535
Capital Projects Total	13,110,984	9,778,064	694,368	2,638,552

CLIPPER PROJECTS DISBURSEMENT REPORT

As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	33,705,237			
Staff Costs		517,308	675,323	
AC Transit		122,400	132,400	
Caribou Public Relations		47,062	232,855	
Faneuil, Inc.		171,154	239,616	
Consultants		194,014	143,272	
Cubic Transportation systems		14,559,608	15,077,828	
Moore, Iacofano, Goltsman		377,142	207,102	
Nematode Holdings LLC		153,695	125,498	
Resource Development Association		63,881	136,119	
Synapse Strategies		120,884	139,116	
320122116 Clipper Operating Expenses	\$33,705,237	\$16,327,148	\$17,109,129	\$268,960
Clipper I - Capital	244,209,357			
Staff costs		11,775,969	597,881	
Auriga Corporation		12,293		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,287,712	1,307,773	
Booz Allen Hamilton		13,544,126		
Caporicci & Larson		11,530		
Consultants		3,173,925	347,313	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		87,186,137	12,223,977	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		

CLIPPER PROJECTS DISBURSEMENT REPORT

As of January 58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500	30,035	
VenTek Transit, Inc.		1,036,972	380,353	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,690,943		
310 Clipper Capital I - Total Expenses	\$244,209,357	\$199,229,583	\$14,887,332	\$30,092,442
Clipper II- Capital	24,003,300			
Staff Costs		3,196,450		
IBI Group		2,426,427	1,173,574	
Consultants		331,927	365,442	
KPMG Consulting		115,015		
Thompson Coburn LLP		370,107		
CH2M Hill Clipper Consultants		500,819	494,277	
Invoke Technologies		67,412		
312 Clipper II - Total Expenses	\$24,003,300	\$7,008,157	\$2,033,293	\$14,961,850

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

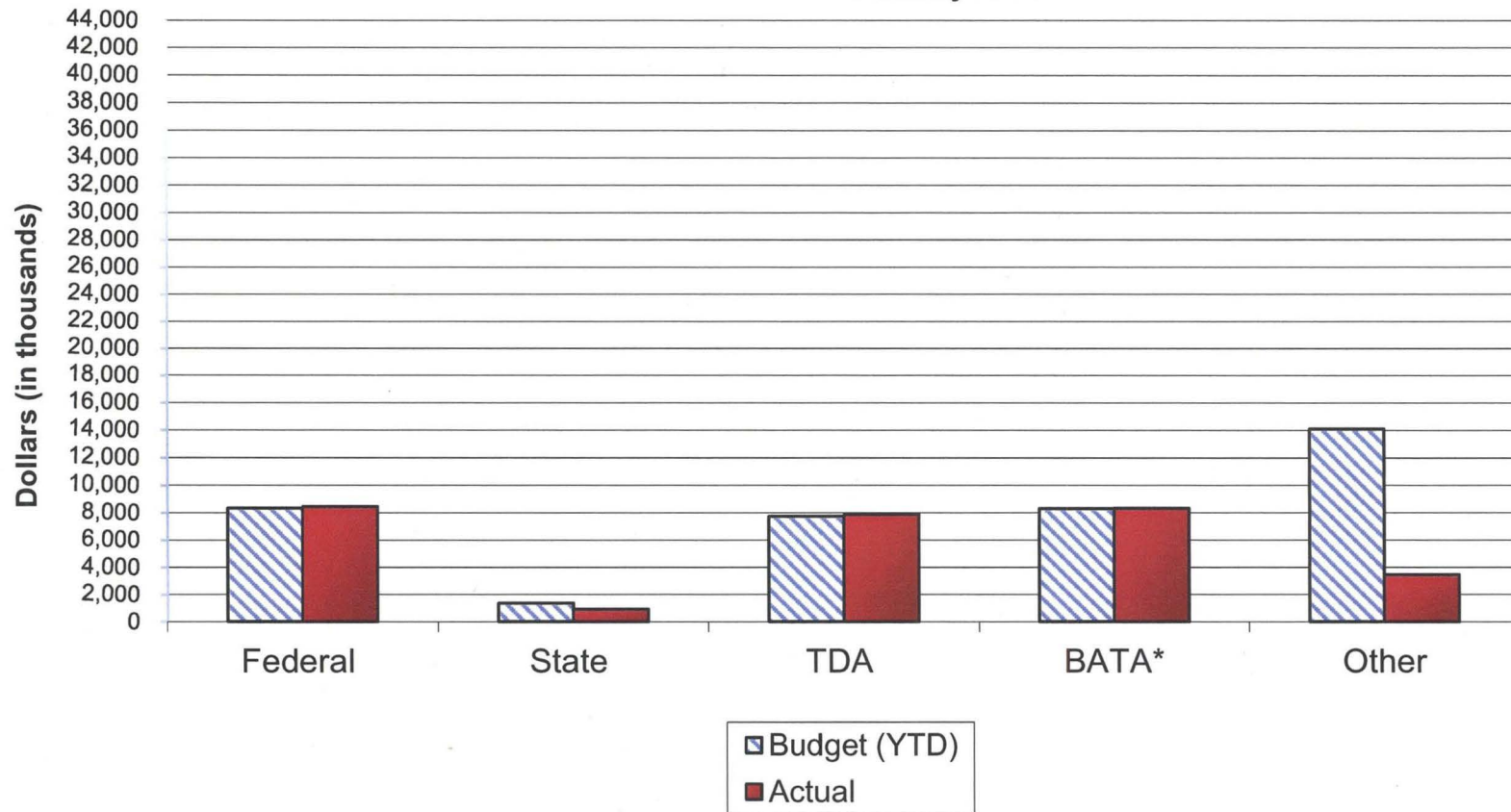
As of January 2018

United Development		\$60,800
	<i>Software License Renewal</i>	
Communication Strategies		\$8,200
	<i>Telecom Consulting Services</i>	
Cubic Transportation		\$3,600
	<i>Promotional Pre loaded Clipper Cards</i>	
Transource Services		\$64,700
	<i>Computer Hardware</i>	
Gray CPA Consulting		\$10,000
	<i>Caseware File Setup - Technical Support and Training</i>	
Insight Public Sector		\$29,274
	<i>Software License Renewal</i>	
Bay Area Air Quality		\$35,000
	<i>BAAQM Management Consultant</i>	
Asana Inc.		\$20,925
	<i>Software License Renewal</i>	
Downtown Auto Center		\$3,000
	<i>Vehicle Maintenance</i>	
Howroyd-Wright Employment Agency		\$52,500
	<i>Temporary Employment Agency</i>	
Linda Roth Associates Inc.		\$8,100
	<i>California Transportation Congressional Reception on Capital Hill</i>	
Extensis Products		\$3,360
	<i>Software License Renewal</i>	
Extra Space Storage		\$10,572
	<i>Storage Rental</i>	
Marcia T. Ruben		\$12,000
	<i>Redesign Organization and Responsibilities Consultant</i>	
Costa Group Inc.		\$22,742
	<i>Software License Renewal</i>	
Trifacta Inc.		\$10,000
	<i>Software License Renewal</i>	
Ralph Anderson		\$63,640
	<i>Personnel Recruitment</i>	
SHI		\$11,115
	<i>Software License Renewal</i>	
Hillfrog		\$28,000
	<i>Washington DC Transportation - Legislative Event</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

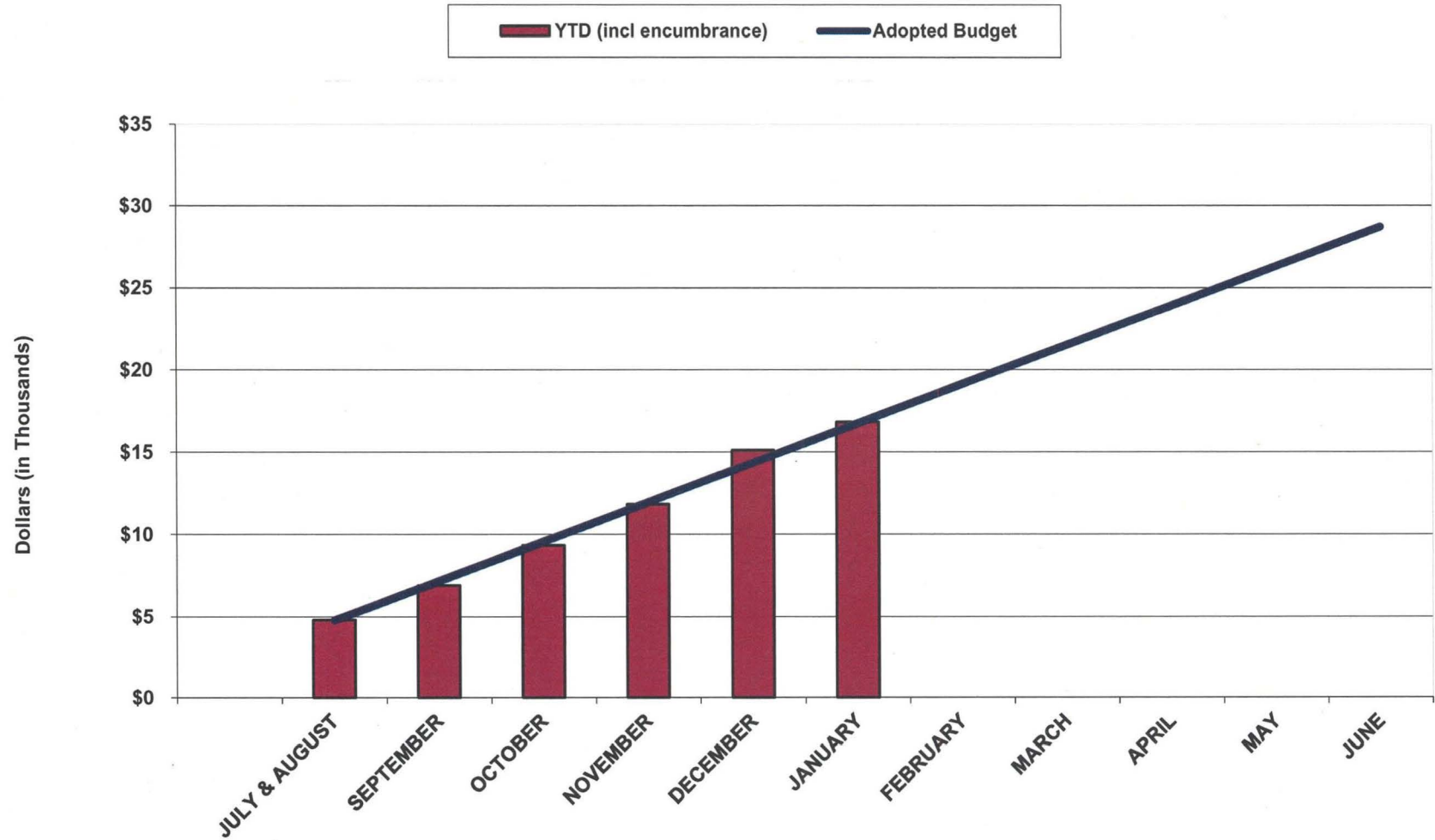
<u>Consultant</u>	<u>Purpose</u>	<u>As of January 2018</u>
None this month		

**Chart 1: Revenue Comparison between Budget and Actual
January 2018**



* Annual

Budget vs Actual Plus Encumbrance Salaries & Benefits



**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
January 2018**

