



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
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TEL 415.778.6700
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Memorandum

TO: Administration Committee

DATE: December 6, 2017

FR: Executive Director

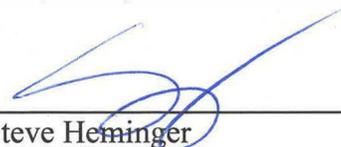
RE: MTC Financial Statements for October 2017

Attached please find MTC financial statements for the four-month period ending October 31, 2017. Major highlights of the four-month period include:

- (1) **Operating Income:** Total operating income for the four months is on target at 32% with 33.3% of the budget year expired mainly due to the 1% BATA administration fee transfer at the beginning of the year.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.5 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 29% for the four months period, or 33.3% of the budget year. Contract services are well below budget at 7% which is not unusual since most of the contracts will run over multiple years.
- (4) **Carry Over:** Prior year carryover balances of \$6.8 million have been included in the FY 2017-18 Budgets.

Federal Grants: There are sixteen new grants in the FY 2017-18 budget that MTC will be applying for in the near future. There are two new grants in the FY 2017-18 that have been awarded and three grants have been fully spent and will be closed out this year.

If there are any questions, please contact Eva Sun at (415) 778-6795.



Steve Heminger

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2017-18
As of October (33.3% of year)

	1	2	3	4
Operating Revenue	FY 2017-18 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
TDA	13,250,000	4,339,475	(8,910,525)	32.8%
Interest	30,000	3,577	(26,423)	11.9%
General Fund Total	13,280,000	4,343,052	(8,936,948)	32.7%
Federal Planning Revenue:				
FHWA - PL	7,895,683	2,441,736	(5,453,947)	30.9%
FHWA - SP&R	342,200	-	(342,200)	0.0%
FTA 5304	432,768	7,318	(425,451)	1.7%
FTA 5303	4,686,934	2,840,972	(1,845,962)	60.6%
FTA Total	13,357,584	5,290,026	(8,067,559)	39.6%
State Funding Revenue:				
STIP	-	145,022	(519,890)	21.8%
Regional Coastal Conservancy	1,172,376	137,282	(1,035,094)	0.0%
State Revenue Total	1,837,288	282,303	(1,554,985)	15.4%
Local Funding Revenue:				
TFCA	870,000	-	(870,000)	0.0%
HOV	500,000	94,047	(405,953)	18.8%
Bay Trail 5%	230,500	-	(230,500)	0.0%
PTAP LM	2,780	5,483	2,703	197.2%
Pavement Management	1,564,494	514,912	(1,049,582)	32.9%
BAAQMD	308,005	90,786	(217,219)	29.5%
Miscellaneous	2,109,831	151,499	(1,958,331)	7.2%
Local Total	5,585,609	856,726	(4,728,882)	15.3%
Transfers:				
BATA 1%	7,494,251	7,494,251	-	100.0%
Transfer BATA RM2	1,940,075	120,172	(1,819,903)	6.2%
SAFE	2,372,469	149,992	(2,222,477)	6.3%
2% Transit Transfers	408,000	-	(408,000)	0.0%
Transfers in - STA	2,426,513	47,051	(2,379,462)	1.9%
Bay Trail 2% Bridge Tolls	492,500	162,557	(329,943)	33.0%
Membership Dues	782,401	-	(782,401)	0.0%
Transfer from or (to) Reserve/Capital	10,705,123	679,947	(10,025,176)	6.4%
Transfers Total	26,621,332	8,653,970	(17,967,362)	32.5%
Total Operating Revenue	60,681,812	19,426,077	(41,255,736)	32.0%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2016-17
As of October (33.3% of year)

	1	2	3	4	5
	FY 2017-18	Actual	Budget Balance	% of Budget	
Operating Expenditures	Adopted Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	28,716,163	8,911,144	(19,805,019)	31.0%	417,715
Travel & Training	590,419	119,943	(470,476)	20.3%	44,963
Commission Expense					
Commissioner Expense	150,000	13,563	(136,437)	9.0%	-
Advisory Committees	15,000	4,200	(10,800)	28.0%	-
Printing & Graphics	150,200	15,002	(135,198)	10.0%	32,653
Computer Services	2,584,907	764,365	(1,820,542)	29.6%	877,771
General Operations	4,291,500	856,310	(3,435,190)	20.0%	1,406,351
Total operating	36,498,189	10,684,527	(25,813,662)	29.3%	2,779,453
Contract Services	24,183,623	1,799,437	(22,384,186)	7.4%	8,798,738
Total Operating Expenditures	60,681,812	12,483,964	(48,197,848)	20.6%	11,578,191

MTC CAPITAL BUDGETS
As of October (33.3% of year)

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$276,156	\$0	\$0	\$0
Expense	\$276,156	\$26,264	\$197,532	\$52,360

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	2,743,624	561,562	-	2,182,062
Revenue	\$12,962,074	\$10,576,898	\$677,202	\$1,707,974
Expense	\$12,962,074	\$9,624,954	\$677,202	\$2,659,918

LIFE TO DATE FEDERAL GRANT BUDGET
As of October (33.3% of year)

Fund Source	Project Description	Grant LTD			Staff Actual	Consultant Actual	Enc.	Remaining Balance
		Balance as of 6/30/2017	New Grants	Total Grants				
STP GRANTS								
6084-146 1580	Station Area Planning	474,045	-	474,045	-	-	155,929	318,116
6084-175 1801	MTC Regional Planning	3,479,604	-	3,479,604	75,057	2,760	2,779,256	622,532
6084-176 1803	511 Grant	3,773,067	-	3,773,067	-	429,552	2,315,575	1,027,939
6084-179 1806	Pavement Management	96,175	-	96,175	-	-	61,990	34,186
6084-186 1812	OBAG Regional PDA	5,732,653	-	5,732,653	-	168,734	4,694,835	869,084
6084-193 1816	Arterial Operations	1,183,673	-	1,183,673	-	1,658	873,679	308,336
6084-198 1818	Pavement Management	1,495,705	-	1,495,705	-	42,317	1,205,769	247,619
6084-199 1819	511 Traveler Information	6,891,032	-	6,891,032	613,001	185,541	1,861,393	4,231,097
6084-201 1820	Freeway Performance Initiative	3,454,981	-	3,454,981	625,247	25,410	784,033	2,020,292
6084-203 1821	Arterial Operations	499,000	-	499,000	225,324	-	-	273,677
6084-205 1822	Pavement Management	130,150	-	130,150	-	-	13,635	116,515
6160-027 1823	Incident Management	516,000	-	516,000	104,784	-	-	411,216
6084-206 1826	CMA Planning	39,016,000	-	39,016,000	-	-	3,070,000	35,946,000
6084-207 1827	MTC Planning	9,555,000	-	9,555,000	-	-	-	9,555,000
NEW	PDA Planning & Implementation	-	18,500,000	18,500,000	-	-	-	18,500,000
NEW	Freeway Performance	-	43,000,000	43,000,000	-	-	-	43,000,000
NEW	Arterial/Transit Performance	-	18,000,000	18,000,000	-	-	-	18,000,000
NEW	Connected Vehicles/Shared Mobility	-	5,000,000	5,000,000	-	-	-	5,000,000
NEW	Field Equipment Devices O&M	-	19,000,000	19,000,000	-	-	-	19,000,000
NEW	Bay Area Forward	-	2,500,000	2,500,000	-	-	-	2,500,000
	TOTAL	\$76,297,086	\$106,000,000	\$182,297,086	\$1,643,412	\$855,972	\$17,816,094	\$161,981,608
CMAQ GRANTS								
6084-160 1589	Arterial Operations	982,998	-	982,998	-	39,687	909,886	33,425
6084-164 1591	Climate Initiatives	334,612	-	334,612	-	-	334,612	-
6160-018 1596	Freeway Performance	1,255,737	-	1,255,737	90,075	86,830	1,055,210	23,622
6160-020 1800	Incident Management	743,337	-	743,337	62,298	-	97,282	583,757
6084-176 1804	511 Grant	528,652	-	528,652	-	13,493	515,159	-
6084-180 1809	Freeway Performance Corridor Studies	1,949,896	-	1,949,896	-	38,896	270,395	1,640,605
6084-188 1814	Regional Bicycle Program	1,411,018	-	1,411,018	-	-	-	1,411,018
6084-202 1824	Climate Initiatives	1,100,000	-	1,100,000	-	5,713	479,052	615,235
6084-209 1825	Operate Car Pool Program	8,000,000	-	8,000,000	52,557	-	-	7,947,443
6084-211-1828	Commuter Benefits Program	-	1,111,000	1,111,000	-	-	157,902	953,098
6084-215-1830	Spare the Air Youth Program	-	2,463,000	2,463,000	-	-	2,451,768	11,232
NEW	Climate Initiatives	-	22,000,000	22,000,000	-	-	-	22,000,000
NEW	Rideshare Implementation	-	720,000	720,000	-	-	-	720,000
NEW	Capital Bike Share	-	2,000,000	2,000,000	-	-	-	2,000,000
NEW	Carpool Program	-	7,280,000	7,280,000	-	-	-	7,280,000
NEW	Vanpool Program	-	2,000,000	2,000,000	-	-	-	2,000,000
NEW	Commuter Benefits Implementation	-	674,000	674,000	-	-	-	674,000
NEW	511 Next Generation	-	37,215,000	37,215,000	-	-	-	37,215,000
NEW	Bay Area Forward	-	5,820,000	5,820,000	-	-	-	5,820,000
NEW	Incident Management	-	14,278,000	14,278,000	-	-	-	14,278,000
NEW	Incident Management	-	13,000,000	13,000,000	-	-	-	13,000,000
	TOTAL	\$16,306,249	\$108,561,000	\$124,867,249	\$204,929	\$184,618	\$6,271,266	\$118,206,435
FTA GRANTS								
CA57-X023 1623	New Freedom	107,525	-	107,525	-	419	17,485	89,622
CA37-X104 1625	JARC	270,799	-	270,799	-	31,941	238,857	1
CA57-X050 1626	New Freedom	47,417	-	47,417	-	-	-	47,417
CA37-X133 1627	JARC	130,193	-	130,193	-	-	41,461	88,732
CA57-X074 1628	New Freedom	33,451	-	33,451	-	-	26,774	6,677
CA37-X164 1629	JARC	281,348	-	281,348	-	-	268,202	13,145
CA37-X177 1630	JARC	1,061,717	-	1,061,717	-	-	538,899	522,818
CA34-X001 1631	FTA 5339 - Bus Purchases	9,665,839	-	9,665,839	-	-	-	9,665,839
CA57-X109 1632	New Freedom	419,219	-	419,219	-	-	306,362	112,857
CA34-0024 1633	FTA 5339 - Bus Purchases	4,898,890	-	4,898,890	-	-	-	4,898,890
CA34-0032 1634	FTA 5339 - Bus Purchases	2,518,280	-	2,518,280	-	-	-	2,518,280
CA16-X065 1635	FTA 5310	171,756	692,000	863,756	151,923	-	-	711,832
CA79-1001-1668	TIGER *	17,340	-	17,340	-	-	-	17,340
	TOTAL	\$7,606,266	\$692,000	20,315,773	151,923	32,360	1,438,040	18,693,449
SHA 6084-184 1112	FHWA - SHRP2	402,528	-	402,528	-	8,050	346,461	48,016
G16AC00318 1311	USGS National Grant - G16AC00318*	13,678	-	13,678	13,678	-	-	-
G16AP00172 1312	USGS National Grant - G16AC00172	42,031	-	42,031	2,991	-	-	39,040
G15AP00118 1313	USGS National Grant - G15AC00118	12,801	-	12,801	-	3,106	5,688	4,007
G17AC00135 1314	USGS National Grant - G17AC00239	50,000	-	50,000	303	-	-	49,697
G17AC00239 1315	USGS National Grant - G17AC00136	-	-	50,000	20,174	-	-	29,826
BF-99T455 1340	Environmental Protection Agency (EPA)	531,563	-	531,563	16,849	11,000	359,000	144,714
CA000007-01 1342	Environmental Protection Agency (EPA)	-	-	600,000	-	-	-	600,000
2016CA00010 1370	Federal Emergency Management Agency *	33,857	-	33,857	33,857	-	-	-
EMF2016 1372	Federal Emergency Management Agency	299,221	-	299,221	174	-	-	299,047
	TOTAL	1,385,678	-	2,035,678	88,026	22,156	711,149	1,214,347
Total Federal Grants Budget		\$101,595,278	\$215,253,000	\$329,515,786	\$2,088,290	\$1,095,106	\$26,236,549	\$300,095,840

CA-79-1001 1668 TIGER * - The project under this grant is completed and the grant will be closed out in FY17/18
2016CA00010 1370 Federal Emergency Management Agency * - Grant will be closed out in FY17/18
G16AC00318 1311 USGS National Grant - G16AC00318 - Grant will be closed out in FY17/18

CLIPPER OPERATING BUDGET
As of October (33.3% of year)

Clipper Operating	Total FY 2017-18			
	Budget	Actual	Encumbrance	Balance
RM2	3,102,913	438,222	-	2,664,691
STA	11,418,402	2,229,828	-	9,188,574
Transit Operators	19,183,922	3,185,256	-	15,998,667
Revenue	\$33,705,237	\$5,853,307	\$0	\$27,851,931
Expense	\$33,705,237	\$5,853,307	\$27,327,926	\$524,004

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of October (33.3% of year)

Clipper I - Capital	LTD Budget			Project
	Thru FY 2016-17	Actual	Encumbrance	Balance L-T-D
CMAQ	68,703,835	66,483,921	-	2,219,914
Card Sales	8,851,267	4,925,328	-	3,925,939
Cap and Trade (LCTOP)	3,559,290	4,677,971	-	(1,118,681)
ARRA	11,167,891	11,167,891	-	-
FTA	27,213,349	22,619,230	-	4,594,119
STP	37,538,086	28,180,648	-	9,357,438
STA	22,946,540	20,051,161	-	2,895,379
Prop 1B	988,137	988,137	-	-
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,644,646	-	3,220,167
Transit Operators	14,357,000	3,845,214	-	10,511,786
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$242,963,430	\$201,916,577	\$0	\$41,046,853
Expense	\$242,963,430	\$196,524,030	\$17,294,271	\$29,145,129

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of October (33.3% of year)

Clipper II - Capital	LTD Budget			Project
	Thru FY 2016-17	Actual	Encumbrance	Balance L-T-D
STP	4,569,554	4,397,921	-	171,633
FTA	10,078,133	360,792	-	9,717,341
TCP - CMAQ Funds	2,684,772	-	-	2,684,772
Golden Gate pass Through	5,000,000	-	-	5,000,000
Low Carbon Transit Operations (LCTOP)	1,100,000	-	-	1,100,000
BATA	260,000	259,802	-	198
STA	1,410,841	1,318,622	-	92,219
Revenue	\$25,103,300	\$6,337,138	\$0	\$18,766,162
Expense	\$25,103,300	\$6,337,138	\$2,173,845	\$16,592,317

DISBURSEMENT REPORT (Non- Federal Funded)
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	150,000		42,195	
1051111 - Subtotal	150,000	-	42,195	107,805
Implement Public Information Program	1,082,679			
Consultants		20,467	555,377	
1051112 - Subtotal	1,082,679	20,467	555,377	506,835
Regional Transportation Plan	920,745			
Ascent Environmental, Inc.		113,686		
Cambridge Systematics			1,103	
Consultants		100,712	119,333	
Tscudin Consulting Group		28,326	20,481	
1051121 - Subtotal	920,745	242,724	140,917	537,104
Analyze Regional Data using GIS & Travel M	3,504,801			
Consultants		71,482	96,528	
Corey, Canapary & Galanis		46,322	94,109	
ETC Institue		417,280	357,013	
Parsons Brincherhoff, Inc.			45,454	
Redhill Group, Inc.			188,578	
Resource Systems Group			341,039	
RSG, Inc.		48,262	180,245	
WSP USA Inc.			100,000	
1051122 - Subtotal	3,504,801	583,346	1,402,966	1,518,489
Airport/Seaport/Freight Planning	345,853			
Cambridge Systematics		15,000		
The Tioga Group, Inc.			330,853	
1051124 - Subtotal	345,853	15,000	330,853	(0)
Resiliency (Sea Level Rise/Adaptation) PL	598,216			
AECOM			415,000	
Bay Conservation & Development			515,216	
1051126 - Subtotal	598,216	-	930,216	(332,000)
Regional Trails	1,068,087			
City of American Canyon		27,506		
City of Vallejo		11,786	113,214	
Consultants		50	9,447	
Greeninfo Network		9,535		
Petaluma Small Craft Center		24,373	18,514	
Sonoma County Regional Parks		12,862	39,693	
1051127 - Subtotal	1,068,087	86,112	180,868	801,107

DISBURSEMENT REPORT (Non- Federal Funded)
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Regional Research and Economic	228,089			
Consultants			14,000	
1051129 - Subtotal	228,089	-	14,000	214,089
Advocate Legislative Programs	488,362			
Carter, Wetch & Associates		21,197	5,299	
Consultants			146,006	
Government Relations		65,775	250,085	
1051132- Subtotal	488,362	86,972	401,390	-
Agency Financial Management	835,551			
Public Financial Management				
Sungard Bi-Tech Inc.			4,489	
Gray and Associates CPA's			2,000	
PWC		167,731	73,425	
Milliman			25,000	
1011152 - Subtotal	835,551	167,731	104,914	562,906
Administrative Services	862,593			
Koff & Associates		69,665	8,160	
Pathways for High School		104,783	44,573	
Carl Warren & Co.			46,700	
Performance Based Ergonomics		10,285	7,715	
CSI Compliance			10,000	
1011153 - Subtotal	862,593	184,733	117,147	560,713
Information Technology Services	674,726	12,795		
Informatix, Inc.		1,060	130,639	
SSP Data		2,400	55,831	
Dell Computer Corporation			30,993	
Center for Creative Leadership		17,932	168	
1011161 - Subtotal	674,726	34,187	217,631	422,908
Performance Measurement and Monitoring	200,000			
CH2M Hill			16,692	
Consultants		54,358	78,646	
1051212 - Subtotal	200,000	54,358	95,338	50,304

DISBURSEMENT REPORT (Non- Federal Funded)
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Regional Rideshare Program	951,300			
Parsons Brinkerhoff		588	5,902	
1051222 - Subtotal	951,300	588	5,902	944,810
Support Regional Operations Program	142,698			
Iteris Inc.		14,345	34,031	
Kimley-Horn			10,559	
1051223 - Subtotal	142,698	14,345	44,590	83,763
Implement Regional Traveler Information Services				
Regional Traveler Information	1,426,799			
Civic Resource Group		54,773	81,828	
Consultants			40,000	
Faneuil, Inc.			11,102	
Iteris, Inc.		40,592	558,103	
Kimley-Horn & Associates		213	25,754	
1051224 - Subtotal	1,426,799	95,578	716,787	614,434
Emergency Response Planning	1,452,305			
URS Corporation		22,661	79,645	
1051229 - Subtotal	1,452,305	22,661	79,645	1,349,999
Pavement Management Program (PMP)	1,950,472			
Bellecci & Associates			4,602	
Capitol Asset & Pavement Services		3,062	30,992	
Consultants			85,620	
DevMecca, LLC			600,923	
Fugro Roadware, Inc.			19,728	
Harris & Associates			93,057	
Nichols Consulting			31,198	
Pavement Engineering Inc.		2,420	23,502	
Quality Engineering Solutions			24,431	
1051233 - Subtotal	1,950,472	5,482	914,053	1,030,937
Arterial Operations				
Consultants	201,286		200	
DKS Associates		2,238	27,224	
Iteris Inc.		1,419	1000	
Kimley-Horn And Associates		655	12,771	
TJKM Transportation		809	3,411	
1051234 - Subtotal	201,286	5,121	44,606	151,559

DISBURSEMENT REPORT (Non- Federal Funded)
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Incident Management	650,000			
Consultants			7,000	
Iteris, Inc.			175,000	
1051235 - Subtotal	650,000	-	182,000	468,000
Freeway Performance Initiative	1,612,553			
Audio Visual Innovations Inc.			97,944	
Cambridge Systematics			8,420	
Consultants			265,194	
FEHR & PEERS Associates			50,186	
Kimly-Horn		26,300	93,700	
Kettelson & Associates			1,346	
URS Corporation			88,854	
1051237 - Subtotal	1,612,553	26,300	605,644	980,609
Implement Lifeline Transportation Programs	1,236,321			
CH2M Hill			24,142	
Consultants			4,650	
Nelson/Nygaard			11,390	
TransForm			205,000	
1051311 - Subtotal	1,236,321	-	245,182	991,139
Climate Assessment Initiative	35,000			
Consultants			17,818	
1051413 - Subtotal	35,000	-	17,818	17,182
Regional Assistance Program	218,000			
Pierlott & Associates, LLC			174,000	
1051514 - Subtotal	218,000	-	174,000	44,000
State Programing, Monitoring and TIP Develo	200,000			
Consultants			35,652	
1051515 - Subtotal	200,000	-	35,652	164,348

DISBURSEMENT REPORT (Non- Federal Funded)
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transit Sustainability Project	1,288,428			
City of Union City			30,000	
Consultants			24,068	
ECCTA			30,000	
Golden Gate Transit District			14,036	
LAVTA			9,703	
Napa Valley Transportation Authority			5,081	
Nelson Nygaard			6,840	
Parsons Brinckerhoff, Inc.			290,700	
Sonoma County Transit			30,000	
City of Vacaville			10,000	
1051517 - Subtotal	1,288,428	-	450,428	838,000
Transportation for Livable Communities Progr	583,539			
Consultants		110,952	248,413	
Placeworks			5,264	
City of Santa Clara			60,000	
Toole Design Group			47,431	
1051611 - Subtotal	583,539	110,952	361,108	111,479
Climate Adaptation Consulting (BARC)	85,431			
Consultants		10,939	(2,395)	
1051612- Subtotal	85,431	10,939	(2,395)	76,887
Connecting Housing and Transportation	14,000			
Consultants			14,000	
1051615- Subtotal	14,000	-	14,000	-
ABAG Finance	47,000			
Consultants			-	
1011750- Subtotal	47,000	-	-	47,000
Legal	1,128,790			
Hanson and Bridgett		1,022	103,042	
Glynn and Finley		12,300	135,303	
Meyers Nave		5,607	49,407	
Schiff Hardin LLP			16,386	
Orrick Herrington			43,890	
Renne Sloan Holtzman Sakalili		12,911	27,878	
1060000 - Subtotal	1,128,790	31,841	375,906	721,044
Total Operating Contract Services	24,183,623	1,799,437	8,798,738	13,585,449

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October (33.3% of year)

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants	8,050	204,431
San Francisco Transportation Authority		142,274
1051122 - Subtotal	8,050	346,705
Resilience and Hazards Planning		
Consultants	3,106	5,688
1051128 - Subtotal	3,106	5,688
Resilience and Hazards Planning		
Consultants		14,000
1051129 - Subtotal	-	14,000
Analyze Regional Data using GIS & Travel Models		
Alameda County Transportation Authority		70,000
Consultants		70,000
Contra Costa Transportation Authority		38,625
Parsons Brinkerhoff	4,541	1,486,460
San Mateo Association of Governments		70,000
Solano Transportation Authority		101,846
Sonoma County Transportation Authority of Marin		46,427
Transportation Authority of Marin		70,000
1051222 - Subtotal	4,541	1,953,358
Support Regional Traveler Information Services		
Kimley-Horn and Associates	13,493	74,756
1051223 - Subtotal	13,493	74,756

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October (33.3% of year)

Work Element/Consultant	Expended	Encumbered
Regional Traffic Information Services		
Civic Resource Group	408,048	80,933
Faneuil, Inc.	-	327,371
Iteris, Inc.	200,861	1,837,914
Kimley-Horn & Associates	1,644	397,133
1051224 - Subtotal	610,553	2,643,351
Pavement Management Program (PMP)		
Bellecci & Associates	-	35,518
Capitol Asset & Pavement Services	23,638	239,208
Consultants		14,334
Fugro Roadware Inc.		152,272
Harris & Associates		229,293
JG3 Consulting		
Nichols Consulting Engineers		240,802
Pavement engineering Inc.	18,680	181,398
Quality Engineering Solutions		188,569
1051233 - Subtotal	42,318	1,281,394
Arterial Operations Coordination		
Consultants		131,879
DKS ASSOCIATES	20,142	380,915
City of Fremont		200,228
Ieris, DBA MMA		242,710
Iteris, Inc.	19,472	122,754
Kimly Horn	1,658	219,853
Kimley-Horn & Associates	3,497	282,694
LAVTA		85,000
TJKM Transportation Consultant	4,876	403,511
URS Corporation		97,282
1051234 - Subtotal	49,645	2,166,826

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October (33.3% of year)

Work Element/Consultant	Expended	Encumbered
Freeway Performance Initiative		
Audio Visual Innovations Inc.		5,280
Consultants		250,000
FEHR & PEERS Associates		200,000
Kimley-Horn & Associates	86,830	982,183
Kittelson & Associates		91,754
Parsons Brinckerhoff, Inc.	6,851	60,591
Placeworks	18,559	81,442
Transportation Mobility Solutions	30,596	122,409
URS Corporation		200,000
Wilson, Sparling & Associates		30,000
1051237 - Subtotal	142,836	2,023,659
Lifeline Program		
City of Alameda		24,688
County of Contra Costa		168,074
Cycles of Change	10,588	147,719
Outreach		167,814
City of Richmond		13,889
San Leandro Transportation Management	21,354	160,056
San Mateo County Human Service		49,323
1051310 - Subtotal	31,942	731,563
Implement Lifeline Transportation Program		
Daly City		41,461
Outreach		268,202
Peninsula Family Services		46,193
1051311 - Subtotal	-	355,856
Lifeline Planning		
Alta Planning and Design		2,633,913
Civic Resource Group		653
Consultants	5,713	79,052
ICF Consulting		130,379
Silicon Valley Bicycle Coalition		400,000
1051413 - Subtotal	5,713	3,243,997

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October (33.3% of year)

Work Element/Consultant	Expended	Encumbered
Federal Programming, Monitoring and TIP Development		
County Connection	419	17,485
1051512 - Subtotal	419	17,485
New Freedom - Non - Planning Funds		
Center for Independent Living		76,306
Outrech & Escort Inc.		246,256
Sonoma County Human Services Department		10,574
1051518 - Subtotal	-	333,136
Transportation for Livable Communities		
Bay Conservation & Development Community		1,204
City of Berkely		340,417
Community Design and Architecture	33,800	37,358
Dyett & Bhatia		9,630
Fehr & Peers Associates	22,434	11,692
Nelson Nygaard		255,556
City of Oakland		459,800
San Francisco Transportation Authority		736,000
City of San Jose		1,363,782
City of San Leandro		261,000
Santa Clara VTA		107,704
City of Santa Clara		850,000
City of Sunnyvale	112,500	405,600
City of Walnut Creek		12,225
1051611 - Subtotal	168,734	4,851,968
Priority Development Area (PDA)		
Consultants	2,760	128
1051612 - Subtotal	2,760	128
Connecting Housing and Transportation		
Consultants		14,000
Ninyo and Moore	11,000	359,000
1051615 - Subtotal	11,000	373,000
Fund 190 CMA PLANNING	-	5,819,679
Total Federal Grant Funded	1,095,106	26,236,549

CAPITAL PROJECTS DISBURSEMENT REPORT
As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	276,156	26,264	197,532	
Subtotal	276,156	26,264	197,532	52,360
 Hub Signage Program	 12,962,074			
Staff Costs		1,408,834		
Consultants		981,915		
Kimly-Horn and Associates		792,395	10,969	
BART		4,459,489	666,233	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
3322650,2651,2652,2654 & 2655 Subtotal	12,962,074	9,624,954	677,202	2,659,918
Capital Projects Total	13,238,230	9,651,218	874,734	2,712,278

CLIPPER PROJECTS DISBURSEMENT REPORT

As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	33,705,237			
Staff Costs		481,918	911,533	
AC Transit		40,800	214,000	
Caribou Public Relations		41,738	215,546	
Faneuil, Inc.			410,770	
Consultants		63,347	360,356	
Cubic Transportation systems		4,879,718	24,238,070	
Moore, Iacofano, Goltsman		165,813	418,431	
Nematode Holdings LLC		87,970	191,223	
Resource Development Association		30,800	169,200	
Synapse Strategies		61,203	198,797	
320122116 Clipper Operating Expenses	\$33,705,237	\$5,853,307	\$27,327,926	\$524,004
Clipper I - Capital	242,963,430			
Staff costs		11,519,963		
Auriga Corporation		12,293		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237	670,425	
Booz Allen Hamilton		13,544,126		
Caporicci & Larson		11,530		
Consultants		2,434,785	17,757	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		85,695,907	12,733,034	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		

CLIPPER PROJECTS DISBURSEMENT REPORT

As of October (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,263,000	32,535	
VenTek Transit, Inc.		1,036,972	380,353	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,678,740	3,460,167	
310 Clipper Capital I - Total Expenses	\$242,963,430	\$196,524,030	\$17,294,271	\$29,145,129
Clipper II- Capital	25,103,300			
Staff Costs		2,865,984		
IBI Group		2,259,115	1,340,886	
Consultants		331,926	143,450	
KPMG Consulting		56,550		
Thompson Coburn LLP		291,545	59,246	
CH2M Hill Clipper Consultants		500,819	494,277	
Invoke Technologies		31,199	135,986	
312 Clipper II - Total Expenses	\$25,103,300	\$6,337,138	\$2,173,845	\$16,592,317

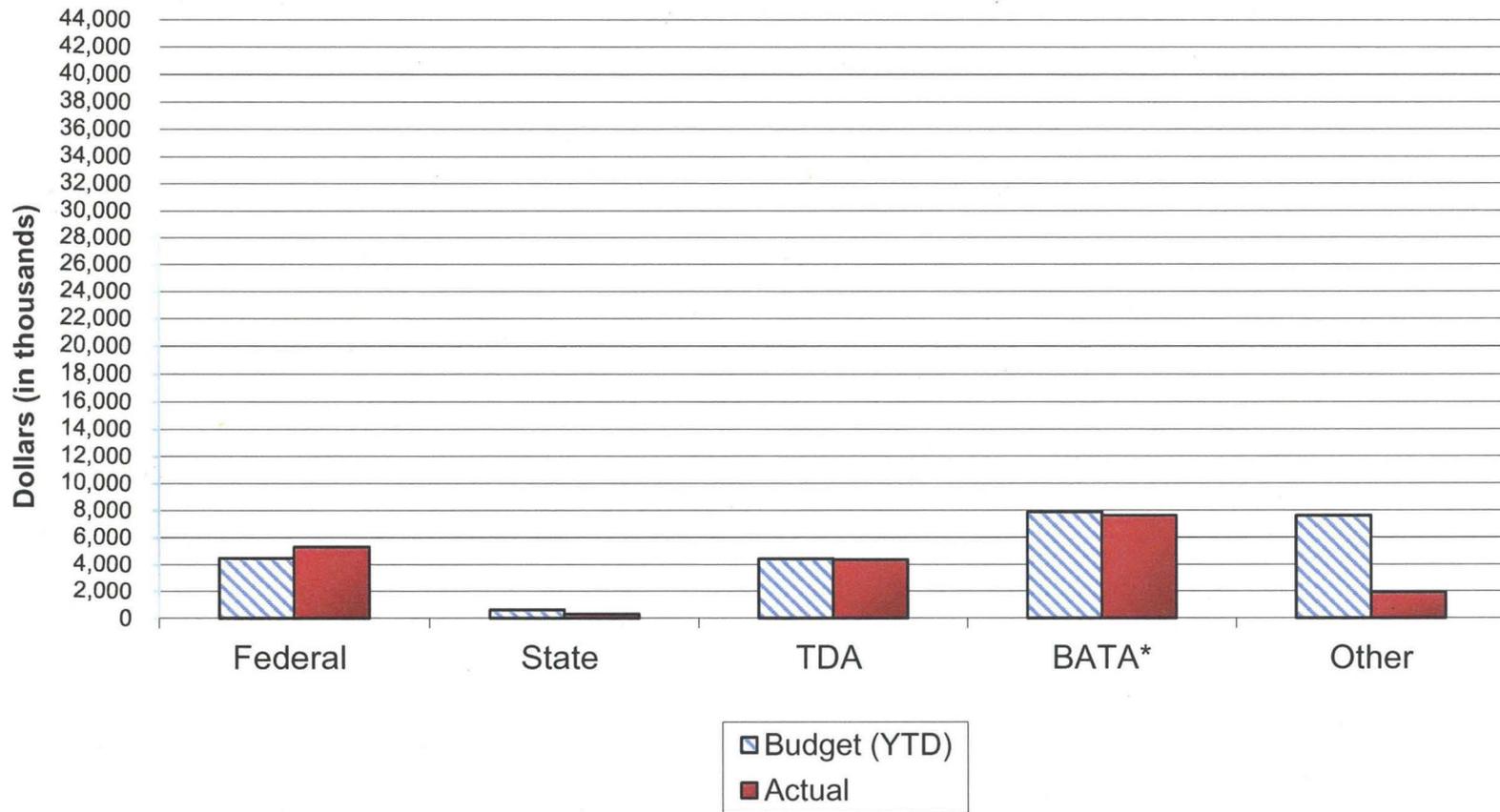
**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

		As of October 2017
Agreeya Solutions Inc.		\$200,000
	<i>FMS Developer - Temporary Staff</i>	
AT&T		\$50,000
	<i>Voice and Data Communication Services</i>	
Government Jobs.Com		\$4,735
	<i>Insight Enterprise Software Renewal</i>	
Smartsheet.com Inc.		\$978
	<i>Software License Renewal</i>	
PCM Sales Inc.		\$5,400
	<i>Software License Renewal</i>	
Insight Public Sector		\$135,600
	<i>Computer Maintenance Services</i>	
Asure Software Inc.		\$30,000
	<i>Software License Renewal</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

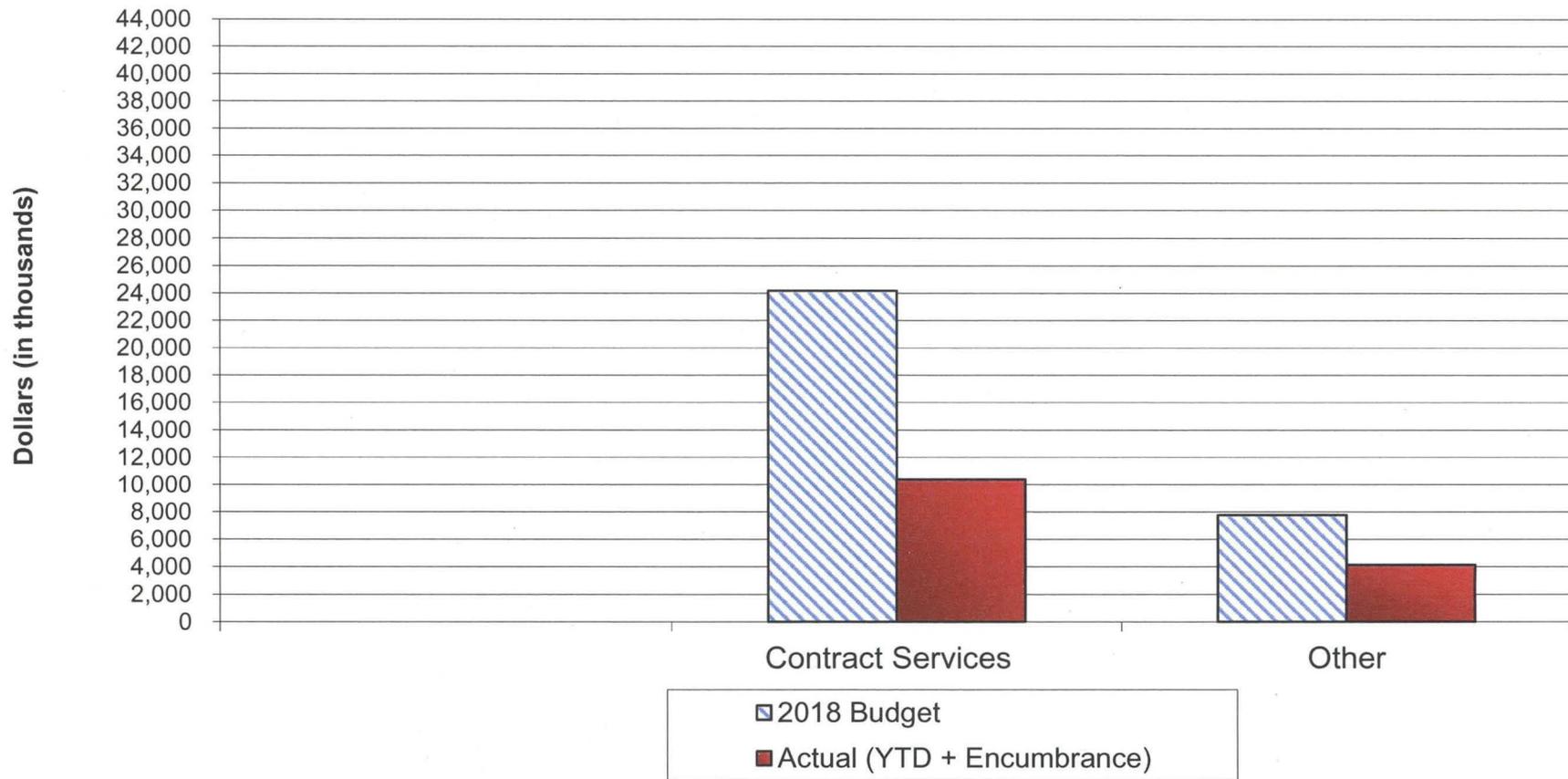
<u>Consultant</u>	<u>Purpose</u>	<u>As of October 2017</u>
Hope A Seligson	Hazards Estimation Services	\$8,794

Chart 1: Revenue Comparison between Budget and Actual
October 2017



* Annual

**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
October 2017**



Budget vs Actual Plus Encumbrance Salaries & Benefits

■ YTD (incl encumbrance) — Adopted Budget

