



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: November 1, 2017

FR: Executive Director

RE: MTC Financial Statements for September 2017

Attached please find MTC financial statements for the two-month period ending September 30, 2017. Major highlights of the three month period include:

- (1) **Operating Income:** Total operating income for the three months is higher at 27% with 25% of the budget year expired mainly due to the 1% BATA administration fee transfer at the beginning of the year.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.5 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 21% for the three months period, or 25% of the budget year. Contract services are well below budget at 6% which is not unusual since most of the contracts will run over multiple years.

Federal Grants: There are sixteen new grants in the FY 2017-18 budget that MTC will be applying for in the near future. There are two new grants in the FY 2017-18 that have been awarded.

If there are any questions, please contact Eva Sun at (415) 778-6795.

A blue ink signature of Steve Heminger is written over a horizontal line. The signature is stylized, with a large 'S' and 'H'.

Steve Heminger

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2017-18
As of September (25% of year)

	1	2	3	4
Operating Revenue	FY 2017-18 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
← TDA	13,250,000	3,428,204	(9,821,796)	25.9%
← Interest	30,000	3,577	(26,423)	11.9%
General Fund Total	13,280,000	3,431,781	(9,848,219)	25.8%
Federal Planning Revenue:				
FHWA	8,116,008	629,135	(7,486,873)	7.8%
FTA 5304	89,377	4,196	(85,181)	4.7%
FTA 5303	3,711,289	2,183,778	(1,527,512)	58.8%
	11,916,674	2,817,108	(9,099,566)	23.6%
State Funding Revenue:				
STIP	657,708	88,462	(569,246)	13.5%
Regional Coastal Conservancy	1,172,376	35,149	(1,137,227)	0.0%
State Revenue Total	1,830,084	123,611	(1,706,473)	6.8%
Local Funding Revenue:				
TFCA	870,000	-	(870,000)	0.0%
ABAG	-	9,655	9,655	0.0%
HOV	500,000	47,984	(452,016)	9.6%
Bay Trail 5%	230,500	-	(230,500)	0.0%
Pavement Management	1,400,000	360,253	(1,039,747)	25.7%
BAAQMD	223,005	41,647	(181,358)	18.7%
Miscellaneous	1,722,213	67,077	(1,655,136)	3.9%
Local Total	4,945,718	526,615	(4,419,103)	10.6%
Transfers:				
BATA 1%	7,494,251	7,494,251	-	100.0%
Transfer BATA RM2	1,605,898	118,611	(1,487,287)	7.4%
SAFE	1,808,340	85,537	(1,722,803)	4.7%
2% Transit Transfers	408,000	-	(408,000)	0.0%
Transfers in - STA	2,113,088	46,838	(2,066,250)	2.2%
Bay Trail 2% Bridge Tolls	492,500	59,968	(432,532)	12.2%
Membership Dues	782,401	-	(782,401)	0.0%
Transfer from or (to) Reserve/Capital	8,984,111	351,687	(8,632,424)	3.9%
Transfers Total	23,688,590	8,156,892	(15,531,698)	34.4%
Total Operating Revenue	55,661,065	15,056,007	(40,605,058)	27.0%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2016-17
As of September (25% of year)

	1	2	3	4	5
Operating Expenditures	FY 2017-18 Adopted Budget	Actual Expense	Budget Balance Over/(Under)	% of Budget (col 2/1)	Encumbrance
Salaries & Benefits	28,716,163	6,902,466	(21,813,697)	24.0%	419,003
Travel & Training	590,419	58,688	(531,731)	9.9%	73,329
Commission Expense					
Commissioner Expense	150,000	6,722	(143,278)	4.5%	-
Advisory Committees	15,000	3,050	(11,950)	20.3%	-
Printing & Graphics	150,200	6,161	(144,039)	4.1%	36,930
Computer Services	2,584,907	515,127	(2,069,780)	19.9%	1,035,565
General Operations	4,291,500	188,959	(4,102,541)	4.4%	1,606,125
Total operating	36,498,189	7,681,173	(28,817,016)	21.0%	3,170,952
Contract Services	19,162,876	1,055,615	(18,107,261)	5.5%	7,968,771
Total Operating Expenditures	55,661,065	8,736,788	(46,924,277)	15.7%	11,139,723

MTC CAPITAL BUDGETS
As of September (25% of year)

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$276,156	\$0	\$0	\$0
Expense	\$276,156	\$12,795	\$209,981	\$53,380

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,512	-	203,488
Real Flag Sign - STA	2,743,624	561,562	-	2,182,062
Revenue	\$12,962,074	\$10,576,525	\$682,418	\$1,703,131
Expense	\$12,962,074	\$9,504,701	\$682,418	\$2,774,955

LIFE TO DATE FEDERAL GRANT BUDGET
As of September (25% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2017	New Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
STP GRANTS								
6084-146 1580	Station Area Planning	474,045	-	474,045	-	-	155,929	318,116
6084-175 1801	MTC Regional Planning	3,479,604	-	3,479,604	34,797	900	2,781,116	662,791
6084-176 1803	511 Grant	3,773,067	-	3,773,067	-	427,908	2,317,219	1,027,939
6084-179 1806	Pavement Management	96,175	-	96,175	-	-	61,990	34,186
6084-186 1812	OBAG Regional PDA	5,732,653	-	5,732,653	-	4,500	4,859,069	869,084
6084-193 1816	Arterial Operations	1,183,673	-	1,183,673	-	-	809,592	374,081
6084-198 1818	Pavement Management	1,495,705	-	1,495,705	-	42,317	1,205,769	247,619
6084-199 1819	511 Traveler Information	6,891,032	-	6,891,032	318,340	185,541	1,998,756	4,388,395
6084- 201 1820	Freeway Performance Initiative	3,454,981	-	3,454,981	280,329	17,165	630,277	2,527,210
6084- 203 1821	Arterial Operations	499,000	-	499,000	110,415	-	-	388,585
6084- 205 1822	Pavement Management	130,150	-	130,150	-	-	13,635	116,515
6160- 027 1823	Incident Management	516,000	-	516,000	68,246	-	-	447,754
6084- 206 1826	CMA Planning	39,016,000	-	39,016,000	-	-	-	39,016,000
6084- 207 1827	MTC Planning	9,555,000	-	9,555,000	-	-	-	9,555,000
NEW	PDA Planning & Implementation	-	18,500,000	18,500,000	-	-	-	18,500,000
NEW	Freeway Performance	-	43,000,000	43,000,000	-	-	-	43,000,000
NEW	Arterial/Transit Performance	-	18,000,000	18,000,000	-	-	-	18,000,000
NEW	Connected Vehicles/Shared Mobility	-	5,000,000	5,000,000	-	-	-	5,000,000
NEW	Field Equipment Devices O&M	-	19,000,000	19,000,000	-	-	-	19,000,000
NEW	Bay Area Forward	-	2,500,000	2,500,000	-	-	-	2,500,000
TOTAL		\$76,297,086	\$106,000,000	\$182,297,086	\$812,127	\$678,331	\$14,833,353	\$165,973,275
CMAQ GRANTS								
6084-160 1589	Arterial Operations	982,998	-	982,998	-	39,687	909,866	33,445
6084-164 1591	Climate Initiatives	334,612	-	334,612	-	-	334,612	-
6160-018 1596	Freeway Performance	1,255,737	-	1,255,737	90,075	-	1,142,040	23,622
6160-020 1800	Incident Management	743,337	-	743,337	-	-	97,282	646,055
6084-176 1804	511 Grant	528,652	-	528,652	-	7,062	521,590	-
6084-180 1809	Freeway Performance Corridor Studies	1,949,896	-	1,949,896	-	30,596	278,695	1,640,605
6084-188 1814	Regional Bicycle Program	1,411,018	-	1,411,018	-	-	-	1,411,018
6084-202 1824	Climate Initiatives	1,100,000	-	1,100,000	-	-	484,765	615,235
6084-209 1825	Operate Car Pool Program	8,000,000	-	8,000,000	25,076	-	-	7,974,924
6084-211-1828	Commuter Benefits Program	-	1,111,000	1,111,000	-	-	157,902	953,098
6084-215-1830	Spare the Air Youth Program	-	2,463,000	2,463,000	-	-	-	2,463,000
NEW	Climate Initiatives	-	22,000,000	22,000,000	-	-	-	22,000,000
NEW	Rideshare Implementation	-	720,000	720,000	-	-	-	720,000
NEW	Capital Bike Share	-	2,000,000	2,000,000	-	-	-	2,000,000
NEW	Carpool Program	-	7,280,000	7,280,000	-	-	-	7,280,000
NEW	Vanpool Program	-	2,000,000	2,000,000	-	-	-	2,000,000
NEW	Commuter Benefits Implementation	-	674,000	674,000	-	-	-	674,000
NEW	511 Next Generation	-	37,215,000	37,215,000	-	-	-	37,215,000
NEW	Bay Area Forward	-	5,820,000	5,820,000	-	-	-	5,820,000
NEW	Incident Management	-	14,278,000	14,278,000	-	-	-	14,278,000
NEW	Incident Management	-	13,000,000	13,000,000	-	-	-	13,000,000
TOTAL		\$16,306,249	\$108,561,000	\$124,867,249	\$115,151	\$77,345	\$3,926,752	\$120,748,002
FTA GRANTS								
CA57-X023 1623	New Freedom	107,525	-	107,525	-	419	17,485	89,622
CA37-X104 1625	JARC	270,799	-	270,799	-	31,941	238,857	1
CA37-X133 1627	JARC	130,193	-	130,193	-	-	41,461	88,732
CA37-X164 1629	JARC	281,348	-	281,348	-	-	268,202	13,145
CA37-X177 1630	JARC	1,061,717	-	1,061,717	-	-	538,899	522,818
CA57-X050 1626	New Freedom	47,417	-	47,417	-	-	-	47,417
CA57-X074 1628	New Freedom	33,451	-	33,451	-	-	-	33,451
CA57-X109 1632	New Freedom	419,219	-	419,219	-	-	306,362	112,857
CA34-0024 1633	FTA 5339 - Bus Purchases	4,898,890	-	4,898,890	-	-	-	4,898,890
CA34-0032 1634	FTA 5339 - Bus Purchases	2,518,280	-	2,518,280	-	-	-	2,518,280
CA34-X001 1631	FTA 5339 - Bus Purchases	9,665,839	-	9,665,839	-	-	-	9,665,839
CA16-X065 1635	FTA 5310	171,756	692,000	863,756	101,586	-	-	762,169
CA79-1001-1668	TIGER	17,340	-	17,340	-	-	-	17,340
TOTAL		\$17,272,105	\$692,000	20,315,773	101,586	32,360	1,411,266	18,770,560
SHA 6084-184 1112	FHWA - SHRP2	402,528	-	402,528	-	-	339,261	63,266
G16AC00318 1311	USGS National Grant - G16AC00318	13,678	-	13,678	12,751	-	-	926
G16AP00172 1312	USGS National Grant - G16AC00172	42,031	-	42,031	1,873	-	-	40,158
G15AP00118 1313	USGS National Grant - G15AC00118	12,801	-	12,801	-	-	-	12,801
G17AC00135 1314	USGS National Grant - G17AC00239	50,000	-	50,000	-	-	-	50,000
G17AC00239 1315	USGS National Grant - G17AC00136	-	-	50,000	4,076	-	-	45,924
BF-99T455 1340	Environmental Protection Agency (EPA)	531,563	-	531,563	9,073	-	370,000	152,490
CA000007-01 1342	Environmental Protection Agency (EPA)	-	-	600,000	-	-	-	600,000
2016CA00010 1370	Federal Emergency Management Agency	33,857	-	33,857	23,482	-	-	10,376
EMF2016 1372	Federal Emergency Management Agency	299,221	-	299,221	-	-	-	299,221
TOTAL		1,385,678	-	2,035,678	51,255	-	709,261	1,275,162
Total Federal Grants Budget		\$111,261,117	\$215,253,000	\$329,515,786	\$1,080,119	\$788,036	\$20,880,632	\$306,766,998

CLIPPER OPERATING BUDGET
As of September (25% of year)

Clipper Operating	Total FY 2017-18 Budget	Actual	Encumbrance	Balance
RM2	2,800,000	107,752	-	2,692,248
STA	11,079,286	1,025,627	-	10,053,659
Transit Operators	18,506,000	1,520,628	-	16,985,372
Revenue	\$32,385,286	\$2,654,007	\$0	\$29,731,279
Expense	\$32,385,286	\$2,654,007	\$28,991,018	\$740,261

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of September (25% of year)

Clipper I - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
CMAQ	68,703,835	66,354,351	-	2,349,484
Card Sales	8,851,267	4,925,328	-	3,925,939
Cap and Trade (LCTOP)	3,559,290	4,677,971	-	(1,118,681)
ARRA	11,167,891	11,167,891	-	-
FTA	27,213,349	22,419,864	-	4,793,485
STP	37,538,086	28,062,235	-	9,475,851
STA	22,946,540	19,985,977	-	2,960,563
Prop 1B	988,137	988,137	-	-
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,644,646	-	3,220,167
Transit Operators	14,357,000	3,845,214	-	10,511,786
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$242,963,430	\$201,404,044	\$0	\$41,559,386
Expense	\$242,963,430	\$194,701,500	\$18,978,854	\$29,283,076

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of September (25% of year)

Clipper II - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
STP	4,569,554	4,326,293	-	243,262
FTA	10,078,133	182,439	-	9,895,694
TCP - CMAQ Funds	2,684,772	-	-	2,684,772
Golden Gate pass Through	5,000,000	-	-	5,000,000
Low Carbon Transit Operations (LCTOP)	1,100,000	-	-	1,100,000
BATA	260,000	259,802	-	198
STA	1,410,841	1,235,954	-	174,887
Revenue	\$25,103,300	\$6,004,487	\$0	\$19,098,813
Expense	\$25,103,300	\$6,004,487	\$2,283,554	\$16,815,258

DISBURSEMENT REPORT (Non- Federal Funded)
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	150,000		42,195	
1051111 - Subtotal	150,000	-	42,195	107,805
Implement Public Information Program	1,074,679			
Consultants		3,912	501,933	
1051112 - Subtotal	1,074,679	3,912	501,933	568,834
Regional Transportation Plan	550,000			
Ascent Environmental, Inc.		66,311	47,375	
Cambridge Systematics			1,103	
Consultants		19,310	200,736	
Tscudin Consulting Group		27,628	21,180	
1051121 - Subtotal	550,000	113,249	270,394	166,357
Analyze Regional Data using GIS & Travel N	2,211,625			
Consultants		17,475	150,535	
Corey, Canapary & Galanis		46,322	94,109	
ETC Institute		279,690	494,603	
Parsons Brincherhoff, Inc.			45,454	
Redhill Group, Inc.			188,578	
Resource Systems Group			341,039	
RSG, Inc.		47,133	181,374	
WSP USA Inc.			100,000	
1051122 - Subtotal	2,211,625	390,620	1,595,692	225,313
Airport/Seaport/Freight Planning	345,853			
Cambridge Systematics		15,000		
The Tioga Group, Inc.			330,853	
1051124 - Subtotal	345,853	15,000	330,853	(0)
Resiliency (Sea Level Rise/Adaptation) PL	598,216			
AECOM			83,000	
Bay Conservation & Development			515,216	
1051126 - Subtotal	598,216	-	598,216	(0)
Regional Trails	1,068,087			
City of American Canyon			9,399	
City of Vallejo			125,000	
Consultants		50		
GreenInfo Network		7,535		
Petaluma Small Craft Center		24,373	18,514	
Sonoma County Regional Parks			52,555	
1051127 - Subtotal	1,068,087	31,958	205,468	830,661

DISBURSEMENT REPORT (Non- Federal Funded)
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Regional Research and Economic Consultants	228,089		14,000	
1051129 - Subtotal	228,089	-	14,000	214,089
Advocate Legislative Programs	488,362			
Carter, Wetch & Associates		10,598	15,898	
Consultants			200,000	
Government Relations		43,850	88,010	
1051132- Subtotal	488,362	54,448	303,908	130,006
Agency Financial Management	457,000			
Sungard Bi-Tech Inc.			4,489	
Gray and Associates CPA's			2,000	
PWC		167,731	130,551	
Milliman			25,000	
1011152 - Subtotal	457,000	167,731	162,040	127,229
Administrative Services	726,000			
Koff & Associates		8,910	8,160	
Pathways for High School		104,783	44,573	
Carl Warren & Co.			46,700	
Performance Based Ergonomics		8,937	9,063	
CSI Compliance			10,000	
1011153 - Subtotal	726,000	122,630	118,495	484,875
Information Technology Services	415,000			
Informatix, Inc.		1,060	130,639	
SSP Data			55,831	
Dell Computer Corporation			30,993	
Center for Creative Leadership		2,100	16,000	
1011161 - Subtotal	415,000	3,160	233,463	178,377
Performance Measurement and Monitoring	200,000			
CH2M Hill			16,692	
Consultants			151,914	
1051212 - Subtotal	200,000	-	168,606	31,394
Regional Rideshare Program	870,000			
Parsons Brinkerhoff		588	5,314	
1051222 - Subtotal	870,000	588	5,314	864,098

DISBURSEMENT REPORT (Non- Federal Funded)
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support Regional Operations Program	142,698			
Iteris Inc.			48,376	
Kimley-Horn			10,559	
1051223 - Subtotal	142,698	-	58,935	83,763
Implement Regional Traveler Information Services				
Regional Traveler Information	810,000			
Civic Resource Group		54,773	81,828	
Consultants			40,000	
Faneuil, Inc.			11,102	
Iteris, Inc.		40,592	420,740	
Kimley-Horn & Associates			25,754	
1051224 - Subtotal	810,000	95,365	579,424	135,211
Emergency Response Planning	1,350,000			
URS Corporation			102,305	
1051229 - Subtotal	1,350,000	-	102,305	1,247,695
Pavement Management Program (PMP)	1,783,199			
Bellecci & Associates			4,602	
Capitol Asset & Pavement Services		3,062	30,992	
Consultants			85,620	
DevMecca, LLC			923	
Fugro Roadware, Inc.			19,728	
Harros & Associates			29,707	
Nichols Consulting			31,198	
Pavement Engineering Inc.		2,420	23,502	
Quality Engineering Solutions			24,431	
1051233 - Subtotal	1,783,199	5,482	250,703	1,527,014
Arterial Operations				
Consultants	150,000		200	
DKS Associates		2,238	27,224	
Iteris Inc.		1,419	1,000	
Kimley-Horn And Associates		655	12,771	
TJKM Transportation		809	3,411	
1051234 - Subtotal	150,000	5,121	44,606	100,273
Incident Management	650,000			
1051235 - Subtotal	650,000	-	-	650,000

DISBURSEMENT REPORT (Non- Federal Funded)
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Freeway Performance Initiative	1,110,000			
Audio Visual Innovations Inc.			97,944	
Cambridge Systematics			15,565	
Consultants			265,194	
Dowling Associates				
FEHR & PEERS Associates			50,186	
Kimly-Horn			120,000	
Kettelson & Associates			1,346	
URS Corporation			88,854	
1051237 - Subtotal	1,110,000	-	639,089	470,911
Implement Lifeline Transportation Programs	1,022,529			
CH2M Hill			4,142	
Consultants			4,650	
Nelson/Nygaard			11,390	
TransForm			205,000	
1051311 - Subtotal	1,022,529	-	225,182	797,347
Climate Assessment Initiative	35,000			
Consultants			17,818	
1051413 - Subtotal	35,000	-	17,818	17,182
Regional Assistance Program	218,000			
Pieriott & Associates, LLC			174,000	
1051514 - Subtotal	218,000	-	174,000	44,000
State Programing, Monitoring and TIP Devel	200,000			
1051515 - Subtotal	200,000	-	-	200,000
Transit Sustainability Project	908,000			
City of Union City			30,000	
Consultants			24,068	
ECCTA			30,000	
Golden Gate Transit District			14,036	
LAVTA			9,703	
Napa Valley Transportation Authority			5,081	
Nelson Nygaard			6,840	
Parsons Brinckerhoff, Inc.			290,700	
Sonoma County Transit			30,000	
City of Vacaville			10,000	
1051517 - Subtotal	908,000	-	450,428	457,572

DISBURSEMENT REPORT (Non- Federal Funded)
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transportation for Livable Communities Pro	583,539			
Consultants		25,260	334,105	
Placeworks			5,264	
City of Santa Clara			60,000	
Toole Design Group			47,431	
1051611 - Subtotal	583,539	25,260	446,800	111,479
Climate Adaptation Consulting (BARC)	56,000			
Consultants		4,746	3,797	
1051612- Subtotal	56,000	4,746	3,797	47,457
Connecting Housing and Transportation	14,000			
Consultants			14,000	
1051615- Subtotal	14,000	-	14,000	-
ABAG Finance	47,000			
Consultants			-	
1011750- Subtotal	47,000	-	-	47,000
Legal	700,000			
Hanson and Bridgett			106,748	
Glynn and Finley			144,744	
Meyers Nave		3,543	55,014	
Schiff Hardin LLP			16,386	
Orrick Herrington			43,890	
Renne Sloan Holtzman Sakalili		12,803	44,326	
1060000 - Subtotal	700,000	16,345	411,107	272,548
Total Operating Contract Services	19,162,875	1,055,615	7,968,771	10,138,489

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September (25% of year)

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants		196,987
San Francisco Transportation Authority		142,274
1051122 - Subtotal	-	339,261
Analyze Regional Data using GIS & Travel Models		
Alameda County Transportation Authority		70,000
Consultants		70,000
Contra Costa Transportation Authority		38,625
Parsons Brinkerhoff	4,541	1,486,460
San Mateo Association of Govrnments		70,000
Solano Transportation Authority		101,846
Sonoma County Trasnportation		46,427
Transportation Authority of Marin		70,000
1051222 - Subtotal	4,541	1,953,358
Support Regional Traveler Information Services		
Kimley-Horn and Associates	7,062	81,187
1051223 - Subtotal	7,062	81,187
Regional Traffic Information Services		
Civic Resource Group	408,048	80,933
Consultants	-	
Faneuil, Inc.	-	327,371
Iteris, Inc.	200,861	1,975,277
Kimley-Horn & Associates	-	398,777
1051224 - Subtotal	608,909	2,782,358

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September (25% of year)

Work Element/Consultant	Expended	Encumbered
Pavement Management Program (PMP)		
Bellecci & Assocaites	-	35,518
Capitol Asset & Pavement Services	23,638	239,208
Consultants		14,334
Fugro Roadware Inc.		152,272
Harris & Associates		229,293
JG3 Consulting		
Nichols Consulting Engieners		240,802
Pavement engineering Inc.	18,681	181,398
Quality Engineering Solutions		188,569
1051233 - Subtotal	42,319	1,281,394
Arterial Operations Coordination		
Consultants		131,879
DKS ASSOCIATES	20,142	153,170
City of Fremont		200,228
Ieris, DBA MMA		242,710
Iteris, Inc.	11,172	131,054
Kimly Horn		221,510
Kimley-Horn & Associates	3,497	282,694
LAVTA		85,000
TJKM Transportation Consultant	4,876	403,511
URS Corporation		97,282
1051234 - Subtotal	39,687	1,949,038
Freeway Performance Initiative		
Audio Visual Innovations Inc.		5,280
Consultants		250,000
Kimley-Horn & Associates		1,069,013
Kittelsohn & Associates		91,754
Parsons Brinckerhoff, Inc.	6,851	60,591
Placeworks	10,314	89,686
Transportation Mobility Solutions	30,596	122,409
URS Corporation		200,000
Wilson, Sparling & Associates		30,000
1051237 - Subtotal	47,761	1,918,733

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September (25% of year)

Work Element/Consultant	Expended	Encumbered
Lifeline Program		
City of Alameda		24,688
County of Contra Costa		168,074
Cycles of Change	10,588	147,719
Outreach		167,814
City of Richmond		13,889
San Leandro Transportation Management	21,354	160,056
San Mateo County Human Service		49,323
1051310 - Subtotal	31,942	731,563
Implement Lifeline Transportation Program		
Daly City		41,461
Outreach		268,202
Peninsula Family Services		46,193
1051311 - Subtotal	-	355,856
Lifeline Planning		
Alta Planning and Design		182,145
Civic Resource Group		653
Consultants		84,765
ICF Consulting		130,379
Silicon Valley Bicycle Coalition		400,000
1051413 - Subtotal	0	797,942
Federal Programming, Monitoring and TIP Development		
County Connection	419	17,485
1051512 - Subtotal	419	17,485
New Freedom - Non - Planning Funds		
Center for Independent Living		49,532
Outrech & Escort Inc.		246,256
Sonoma County Human Services Department		10,574
1051518 - Subtotal	-	306,362

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of September (25% of year)

Work Element/Consultant	Expended	Encumbered
Transportation for Livable Communities		
Bay Conservation & Development Community		1,204
City of Berkely		340,417
Community Design and Architecture		71,158
Dyett & Bhatia		9,630
Fehr & Peers Associates		34,126
Nelson Nygaard		255,556
City of Oakland		459,800
San Francisco Transporation Authority		736,000
City of San Jose		1,363,782
City of San Leandro		261,000
Santa Clara VTA		107,704
City of Santa Clara		850,000
City of Sunnyvale	4,500	513,600
City of Walnut Creek		12,225
1051611 - Subtotal	4,500	5,016,202
Priority Development Area (PDA)		
Consultants	900	1,988
1051612 - Subtotal	900	1,988
Connecting Housing and Transportation		
Ninyo and Moore		370,000
1051615 - Subtotal	0	370,000
Fund 190 CMA PLANNING	-	2,977,905
Total Federal Grant Funded	788,036	20,880,632

CAPITAL PROJECTS DISBURSEMENT REPORT
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	276,156	12,795	209,981	
Subtotal	276,156	12,795	209,981	53,380
 Hub Signage Program	 12,962,074			
Staff Costs		1,387,382		
Consultants		984,295		
Kimly-Horn and Associates		792,395	10,969	
BART		4,358,308	671,449	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
3322650,2651,2652,2654 & 2655 Subtotal	12,962,074	9,504,701	682,418	2,774,955
Capital Projects Total	13,238,230	9,517,496	892,400	2,828,335

CLIPPER PROJECTS DISBURSEMENT REPORT
As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	32,385,286			
Staff Costs		208,453	1,109,598	
AC Transit			254,800	
Caribou Public Relations			79,917	
Faneuil, Inc.			410,770	
Consultants		1,342	663,918	
Cubic Transportation systems		2,336,460	25,456,329	
Moore, Iacofano, Goltsman			584,244	
Nematode Holdings LLC		66,062	213,132	
Synapse Strategies		41,690	218,310	
320122116 Clipper Operating Expenses	\$32,385,286	\$2,654,007	\$28,991,018	\$740,261
Clipper I - Capital	242,963,430			
Staff costs		11,382,016		
Auriga Corporation		12,293		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237	670,425	
Booz Allen Hamilton		13,544,126		
Caporicci & Larson		11,530		
Consultants		1,636,060	17,757	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		84,810,048	14,367,326	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		

CLIPPER PROJECTS DISBURSEMENT REPORT

As of September (25% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251	17,757	
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101	32,535	
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,263,000	32,535	
VenTek Transit, Inc.		1,036,972	380,353	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,678,740	3,460,167	
310 Clipper Capital I - Total Expenses	\$242,963,430	\$194,701,500	\$18,978,854	\$29,283,076

Clipper II- Capital 25,103,300

Staff Costs		2,643,042		
IBI Group		2,229,282	1,340,886	
Consultants		296,059	202,083	
Thompson Coburn LLP		240,469	110,322	
CH2M Hill Clipper Consultants		500,819	494,277	
Invoke Technologies		94,816	135,986	
312 Clipper II - Total Expenses	\$25,103,300	\$6,004,487	\$2,283,554	\$16,815,258

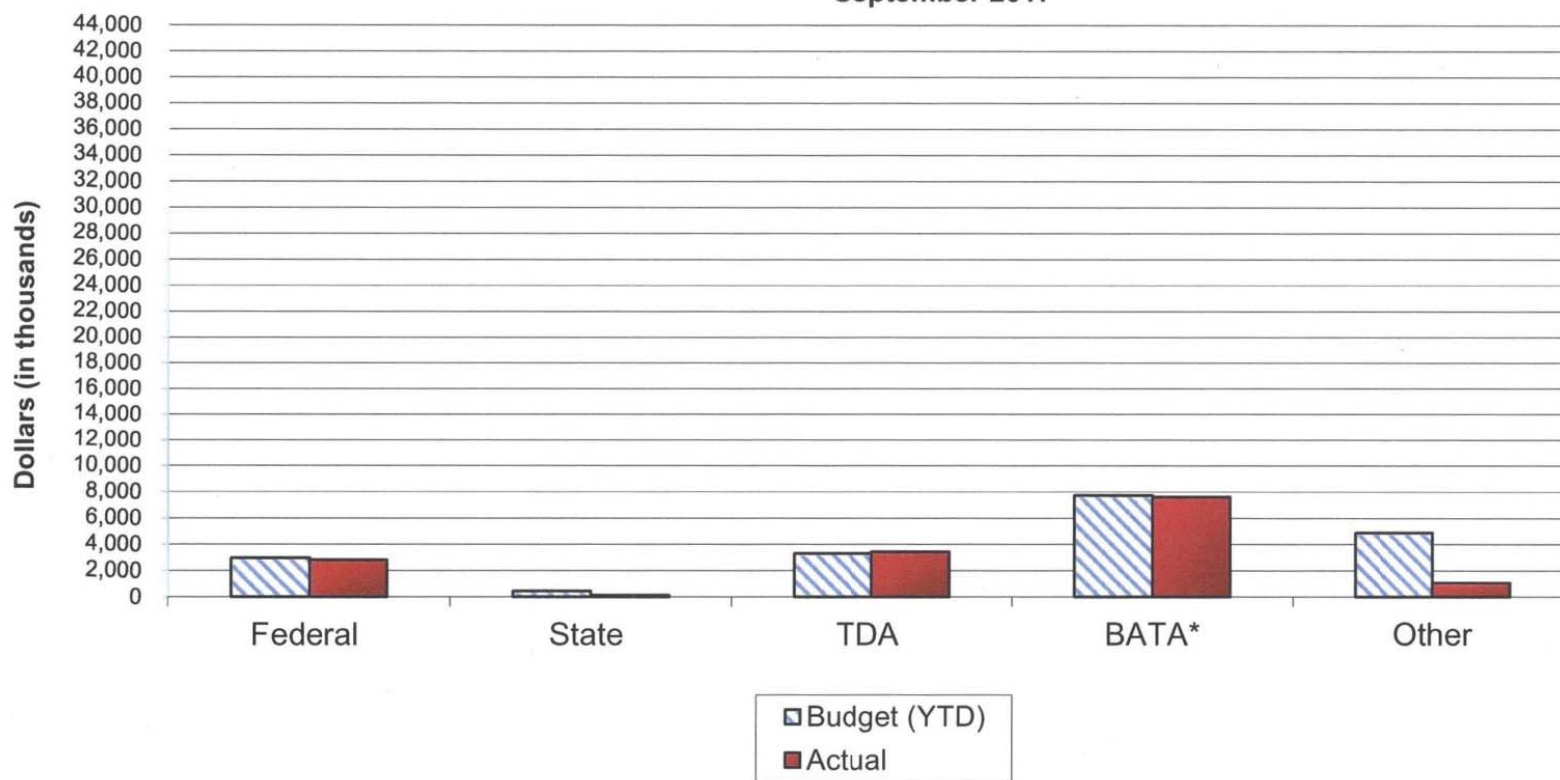
**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

		As of September 2017
O'Reilly Media Inc.		\$3,192
	<i>Software License Renewal</i>	
Noah Berger		\$10,000
	<i>Photography Consultant</i>	
Kingmond Young		\$10,000
	<i>Photography Consultant</i>	
Paul Kuroda		\$5,000
	<i>Photography Consultant</i>	
PR Newswire Association LLC		\$7,300
	<i>FY17/18 Press Release Wire Coverage</i>	
Regional Economic Models Inc.		\$34,044
	<i>Software License Renewal</i>	
CDW Government Inc.		\$4,647
	<i>Software License Renewal</i>	
J.T. Litho Printing Services		\$3,000
	<i>Printing and Reproduction</i>	
Ceridian Employer Services		\$32,500
	<i>FY17/18 Payroll Services</i>	
Non- Profit Housing Agency		\$3,000
	<i>Sponsorship - Non Profit Housing Conference</i>	
AAA Business Supplies		\$20,000
	<i>FY17/18 Open Account for Ergonomic Supplies</i>	
SHI		\$27,584
	<i>Oracle Financials License Renewal</i>	
Protiviti Government		\$35,000
	<i>Temporary Support Staff</i>	
Ceridian HCM Inc.		\$99,949
	<i>Ceridian Upgrade to Dayforce</i>	
Accel Employment Services		\$25,000
	<i>Temporary Support Staff</i>	
Coast Litho		\$3,000
	<i>Printing and Reproduction</i>	
B&H Photo Video		\$11,999
	<i>Sony Camcorder with 110MM Zoom Lens</i>	
Govconnection		\$20,994
	<i>Computer Hardware - Surface Book</i>	
Roth Staffing Company		\$51,750
	<i>Temporary Support Staff</i>	
Cubic Transportation		\$19,125
	<i>Clipper Cards - Transit Tickets</i>	
Ceridian HCM Inc.		\$13,500
	<i>Software License Renewal</i>	
Regional Economic Models Inc.		\$22,956
	<i>Software License Renewal</i>	
Agreeya Solutions Inc.		\$21,000
	<i>FY17/18 Help Desk Temporary Support Staff</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

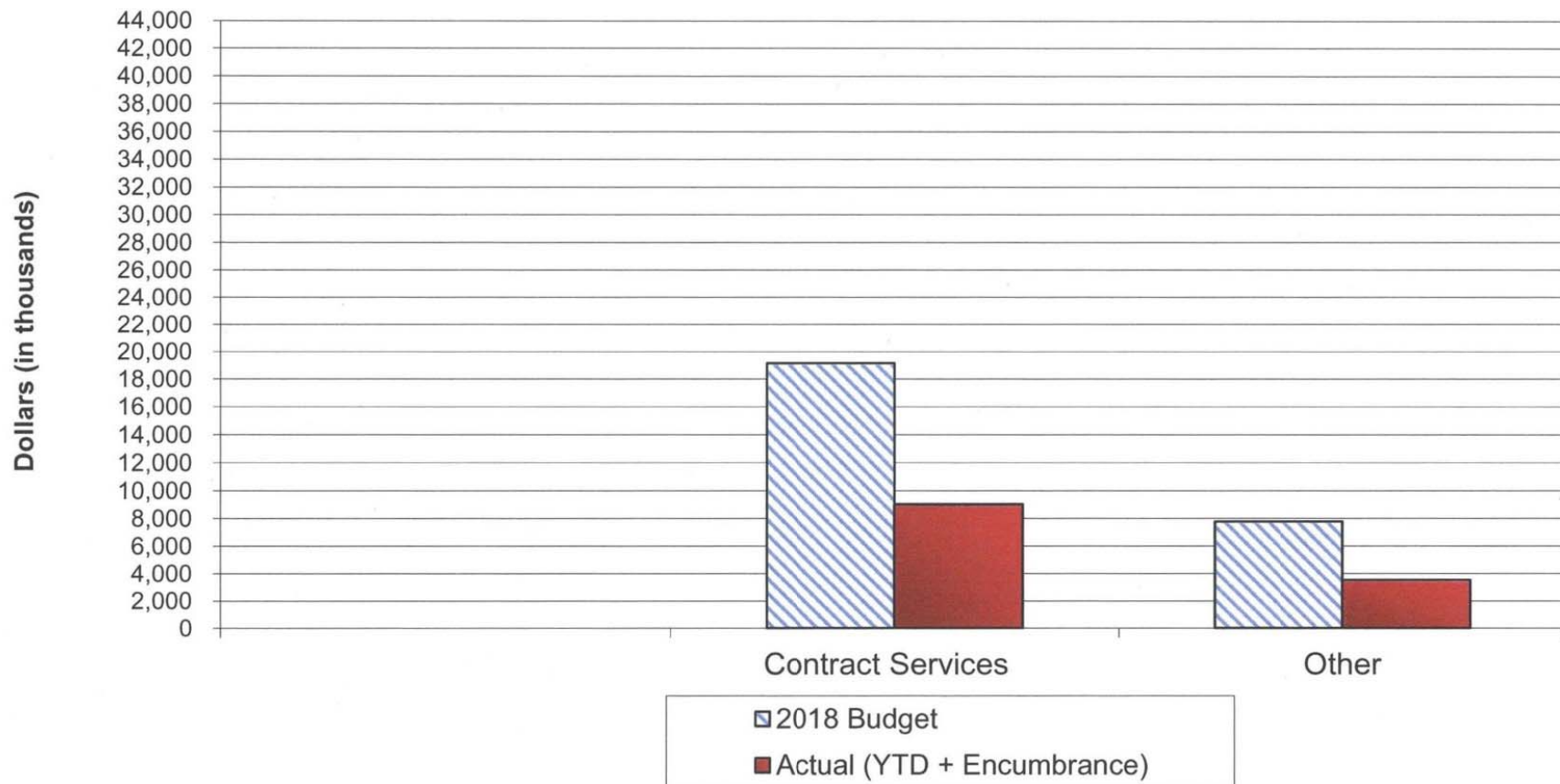
Consultant	Purpose	As of September 2017
Ariel Rubissow Okamoto	BARC - Climate and Science Writing Services	\$10,000
Petaluma Small Craft Center	Water Transit - Sponsorship	\$42,887

**Chart 1: Revenue Comparison between Budget and Actual
September 2017**



* Annual

**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
September 2017**



Budget vs Actual Plus Encumbrance Salaries & Benefits

