



METROPOLITAN  
TRANSPORTATION  
COMMISSION

**Agenda Item 2c**

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## *Memorandum*

TO: Operations Committee

DATE: October 27, 2017

FR: Deputy Executive Director, Operations

W.I.: 1231

RE: First Quarter MTC SAFE Financial Statements September 2017

Attached are the MTC SAFE financial statements for the first quarter ended September 30, 2017.

### **SAFE Program**

Total revenues of \$1.9 million are above budget at 29%, compared to the 25% of the budget year expired. The additional revenues are mainly the result of more vehicle registration fees collected during the first quarter. Total DMV registration fees collected for the three month period are \$1.7 million which makes up 89% of total revenues for the program. BATA's annual operating contribution of \$200,000 was transferred in July.

Year-to-date expenses of \$197,607 for the SAFE Program are below budget at 9%. Activities will ramp up later in the year as services are rendered for the encumbered contracts. Now that the FY 2016-17 audit is completed, funds totaling \$142,929 from last fiscal year are included in the budget as contract carryover encumbrances.

### **FSP Program**

Total expenses for the first quarter at \$1.4 million are 13% of the expenses budget for the FSP Program. Actual expenses came in below the budget mainly due to timing differences in the billing for the freeway tow services and shift in personnel assignment. Tow related expenses are \$1.3 million as of the end of the first quarter which is 91% of the total reported expenditure.

### **Capital Programs**

The total life-to-date budget for the Capital Programs is \$24 million. As of the end of the first quarter of FY 2017-18, life-to-date expenses for those programs plus encumbrances total \$17 million.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

A handwritten signature in blue ink, reading "Andrew B. Fremier", is positioned above a horizontal line.

Andrew B. Fremier

### **Attachment:**

- Attachment A: MTC Service Authority for Freeways and Expressways Financial Statements As of September 2017

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**MTC Service Authority for Freeways and Expressways**  
**Operating Budget**  
**As of September 2017**

	1	2	3	4	5	6
	FY 2017-18 Budget	Actual YTD	Budget Balance	% of Budget	% of Budget year Expired	Encumbrances
<b><i>SAFE Program</i></b>						
<b>REVENUE:</b>						
Vehicle Registration Fees	6,400,000	1,694,691	4,705,309	26.5%	25.0%	
BATA	200,000	200,000	-	100.0%	25.0%	
Interest Income	9,000	114	8,886	1.3%	25.0%	
<b>Total Revenue</b>	6,609,000	1,894,806	4,714,194	28.7%	25.0%	
<b>EXPENSE:</b>						
Salaries and Benefits	298,489	45,920	252,569	15.4%	25.0%	-
General Operations	911,174	28,607	882,567	3.1%	25.0%	11,256
Consultant Services	342,282	3,062	339,220	0.9%	25.0%	46,286
Callbox Operating Expense	702,001	120,018	581,983	17.1%	25.0%	452,028
<b>Total Expense</b>	2,253,946	197,607	2,056,339	8.8%	25.0%	509,570
<b>OPERATING/CAPITAL TRANSFERS In (Out):</b>						
MTC	(950,000)	(44,210)	(905,790)	4.7%	25.0%	-
FSP	(2,348,975)	(322,099)	(2,026,876)	13.7%	25.0%	-
Capital	(300,000)	(300,000)	-	100.0%	25.0%	-
Operating Reserve	(756,079)	(1,030,889)	274,810	136.3%	25.0%	-
<b>Total Transfers</b>	(4,355,054)	(1,697,198)	(2,657,856)	39.0%	25.0%	-
<b>NET Operating Revenue (Exp)</b>	-	-	-			

	1	2	3	4	5	6
	FY 2017-18 Budget	Actual YTD	Budget Balance	% of Budget (col 2/1)	% of Budget year Expired	Encumbrances
<b><i>FSP Program</i></b>						
<b>REVENUE:</b>						
Local Assistance Program (LAP)	6,689,600	554,910	6,134,690	8.3%	25.0%	
Surface Transportation Program (STP)	2,000,000	567,660	1,432,340	28.4%	25.0%	
Traffic Mitigation Program	100,000	-	100,000	0.0%	25.0%	
<b>Total Revenue</b>	8,789,600	1,122,570	7,667,030	12.8%	25.0%	
<b>EXPENSE:</b>						
Salaries and Benefits	464,212	96,712	367,500	20.8%	25.0%	
General Operations	277,363	240	277,123	0.1%	25.0%	75
Consultant Services	170,000	15,780	154,220	9.3%	25.0%	88,130
Freeway Serv Operating Expense	10,227,000	1,331,938	8,895,062	13.0%	25.0%	7,781,887
<b>Total Expense</b>	11,138,575	1,444,670	9,693,905	13.0%	25.0%	7,870,092
<b>TRANSFERS In (Out):</b>						
Transfers from Callbox	2,348,975	322,099	2,026,876	13.7%	25.0%	-
<b>Total Transfers</b>	2,348,975	322,099	2,026,876	13.7%	25.0%	-
<b>Ending Balance</b>	-	-	-			

**MTC Service Authority for Freeways and Expressways**  
**Capital Budget**  
**As of September 2017**

	1	2	3	4
<u>Capital Program</u>	LTD Budget			Project Balance
	Thru FY 2017-18	Actual LTD	Encumbrance	LTD
<u>Active Programs</u>				
<b>REVENUE:</b>				
1. CMAQ	6,810,390	6,873,991	-	(63,601)
Caltrans	-	0	-	(0)
Solano Transportation Authority	-	0	-	(0)
Miscellaneous	-	-	-	-
2. STP	1,556,260	826,138	-	730,122
<b>Total Revenue</b>	8,366,650	7,700,130	-	666,520
<b>EXPENSE:</b>				
6301-Bridge Callboxes	3,771,000	3,776,669	-	(5,669)
6303-Bay Area Camera Upgrade	9,464,583	8,803,108	170,672	490,803
6306-Data - AVL telecom system update	3,642,000	2,062,108	335,805	1,244,087
6314-Callbox Site Mitigation	1,650,000	1,373,812	76,359	199,829
6318-Connected Vehicles & Telematic	2,500,000	-	-	2,500,000
6319-Active OPS Mgt Program	3,200,000	268,856	419,144	2,512,000
<b>Total Expense</b>	24,227,583	16,284,553	1,001,980	6,941,050
<b>TRANSFERS In/(Out):</b>				
BATA	3,711,000	3,711,000	-	-
SAFE	12,149,933	12,149,933	-	-
<b>Total Transfer In/(Out)</b>	15,860,933	15,860,933	-	-
<b>Ending Balance</b>	-	7,276,510	-	-

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$200,000 and Under**

**Jul-Sep'17**

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California Highway Patrol

\$15,000

*Patrol Services*

# **PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**

**\$2,500 - \$200,000**

**Jul-Sep'17**

Float Art Design LLC		\$2,745
	<i>Supplies - Freeway Safety Patrol</i>	
Traffic and Parking Control Co, Inc.		\$2,995
	<i>Supplies - Freeway Safety Patrol</i>	
Minuteman Press		\$2,995
	<i>Freeway Service Patrol Supplies</i>	
Dakota Press		\$6,467
	<i>Supplies - Freeway Safety Patrol</i>	
Another Dancing Bear		\$2,995
	<i>Supplies - Freeway Safety Patrol</i>	
Sam's Signs		\$2,995
	<i>Supplies - Freeway Safety Patrol</i>	
Bob's Towing		\$96,000
	<i>Tow Service</i>	
Abacus Product Inc.		\$2,995
	<i>Supplies - Freeway Safety Patrol</i>	
Connections Communications Services		\$9,000
	<i>Communications Services</i>	
T-Mobile USA Inc.		\$32,000
	<i>Cellular Services for SAFE</i>	
Verizon Wireless		\$28,680
	<i>Wireless Data Service</i>	
Globafone		\$10,000
	<i>Cellular services for SAFE</i>	
AT&T		\$37,500
	<i>T-1 Service</i>	
Network Innovations Gov't Services		\$25,000
	<i>Communications Services</i>	
Morrison Ohara		\$2,765
	<i>Supplies - Freeway Safety Patrol</i>	
Symmetrical Designs		\$2,940
	<i>Website Maintenance</i>	

**Total All Counties**

Month	FY 13	FY 14	FY 15	FY 16	FY 17	FY 18
Jul	\$530,000	\$570,000	\$570,000	\$580,000	\$570,000	\$570,000
Aug	\$540,000	\$540,000	\$530,000	\$540,000	\$610,000	\$590,000
Sept	\$460,000	\$520,000	\$520,000	\$530,000	\$560,000	\$520,000
Oct	\$500,000	\$490,000	\$480,000	\$500,000	\$510,000	\$490,000
Nov	\$450,000	\$430,000	\$430,000	\$480,000	\$490,000	\$430,000
Dec	\$450,000	\$510,000	\$520,000	\$510,000	\$500,000	\$520,000
Jan	\$540,000	\$530,000	\$530,000	\$500,000	\$540,000	\$530,000
Feb	\$480,000	\$510,000	\$510,000	\$530,000	\$530,000	\$510,000
Mar	\$540,000	\$590,000	\$590,000	\$560,000	\$610,000	\$590,000
Apr	\$530,000	\$560,000	\$570,000	\$580,000	\$530,000	\$530,000
May	\$560,000	\$550,000	\$540,000	\$620,000	\$610,000	\$540,000
Jun	\$520,000	\$450,000	\$580,000	\$600,000	\$600,000	\$450,000

The chart displays monthly expenditures in thousands for fiscal years FY 13 through FY 18. The Y-axis represents the amount in thousands, ranging from \$0 to \$8,000. The X-axis shows the months from July to June. A red line is drawn across the chart, likely representing a trend or average. The data shows a general upward trend in expenditures over the six-year period, with FY 18 showing the highest values in most months.

Month	FY 13	FY 14	FY 15	FY 16	FY 17	FY 18
Jul	~\$500	~\$600	~\$700	~\$800	~\$900	~\$1,000
Aug	~\$1,000	~\$1,100	~\$1,200	~\$1,300	~\$1,400	~\$1,500
Sept	~\$1,500	~\$1,600	~\$1,700	~\$1,800	~\$1,900	~\$2,000
Oct	~\$2,000	~\$2,100	~\$2,200	~\$2,300	~\$2,400	~\$2,500
Nov	~\$2,500	~\$2,600	~\$2,700	~\$2,800	~\$2,900	~\$3,000
Dec	~\$3,000	~\$3,100	~\$3,200	~\$3,300	~\$3,400	~\$3,500
Jan	~\$3,500	~\$3,600	~\$3,700	~\$3,800	~\$3,900	~\$4,000
Feb	~\$4,000	~\$4,100	~\$4,200	~\$4,300	~\$4,400	~\$4,500
Mar	~\$4,500	~\$4,600	~\$4,700	~\$4,800	~\$4,900	~\$5,000
Apr	~\$5,000	~\$5,100	~\$5,200	~\$5,300	~\$5,400	~\$5,500
May	~\$5,500	~\$5,600	~\$5,700	~\$5,800	~\$5,900	~\$6,000
Jun	~\$6,000	~\$6,100	~\$6,200	~\$6,300	~\$6,400	~\$6,500