

Metropolitan Transportation Commission Programming and Allocations Committee

June 14, 2017

Agenda Item 2d

MTC Resolution Nos. 4216, Revised, and 4292

Subject: FTA Section 5311 Rural Area Program of Projects for FY2017-18 and revisions to the Program for FY2016-17 based on a revised regional apportionment.

Background: The Federal Transit Administration (FTA) Rural Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in rural areas. MTC annually develops a regional Program of Projects for submittal to Caltrans. Caltrans then submits a statewide program to FTA for approval. MTC's objective is to program capital and operating funds to maintain needed transit services in the rural areas of the MTC region.

Funding Availability

Caltrans has provided FY2016-17 and FY2017-18 fund estimates for the 5311 program based on the partial-year apportionment amounts for FY2016-17 and FAST Act Authorization for FY2017-18. The estimated amount of Rural Area funds available for the MTC region is approximately \$1.5 million in each fiscal year, or \$3.1 million over the two-year period. These estimates were developed based on the partial-year apportionments for FY2016-17. Should the actual regional apportionments be higher (or lower) than the amount estimated to be available, project sponsors will be notified and adjustments may be made in order to financially constrain the program.

Programming Process

MTC Resolution No. 4036, the FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria, states that the region's Section 5311 funds will be distributed to transit operators using a formula based on rural area population and rural area route miles. Consistent with the Funding Objectives and Criteria, MTC requires recipients to give the highest priority to the replacement of capital equipment. If recipients request funds for operations, they are required to submit documentation explaining why the funds are not needed for capital replacement. Furthermore, if an operator does not want to participate in the Section 5311 program (e.g., if the operator's 5311 share is so small that the administrative effort required to apply for and report on the funds outweighs the benefits to the operator), then they are able to opt out of the program, and their share of the funds is then redistributed to other eligible operators.

Per the request of the Solano Transportation Authority (STA), MTC provides a target programming amount for all of Solano County, and STA works with the Solano County transit operators to determine individual shares.

Recommended Program of Projects

MTC conducted a call for projects in April and May of 2017. Some eligible operators did elect to opt out. Most operators who applied for funds are requesting operating rather than capital support, and submitted the required justification. Attachment A lists the amounts recommended for the FY2017-18 program of projects.

Revisions to the FY2016-17 Program

The FY2016-17 program of projects was approved by the Commission in January 2016, and was based on Caltrans' estimates of apportionments based on the FY2015-16 amounts. Since that time, Caltrans has updated their estimates and asked that MTC develop a revised program using the new, lower, Caltrans amounts.

To revise the FY2016-17 program, staff coordinated with the operators who had funding in that year to confirm project amounts. Due to service changes, LAVTA now requested not to pursue 5311 funds for FY2016-17. The proposed revisions, which reflect a reduction of roughly \$70,000 in total, are summarized in Attachment A.

Issues: None.

Recommendation: Refer MTC Resolution Nos. 4216, Revised, and 4292 to the Commission for approval.

Attachments: Attachment A – Program Summaries
MTC Resolution Nos. 4216, Revised, and 4292.

Program Summaries

Proposed FY2017-18 Program of Projects

Sponsoring Agency	Project Title	Purpose	5311 Amount (\$)
County Connection	Rural Contra Costa County	Operating	50,412
Marin Transit	West Marin Stagecoach	Operating	207,226
NVTA	Northern Napa County	Operating	206,567
SamTrans	Coastside, Rt. 17	Operating	152,613
<i>Solano County</i>			
Dixon	Readi-Ride	Operating	298,122
Fairfield	Route 30	Operating	100,000
Sonoma County Transit	Vehicle Replacements	Capital	472,790
VTA	Route 68	Operating	78,824
<i>Total</i>			<i>1,566,554</i>

Proposed FY2016-17 Program of Projects

Sponsoring Agency	Project Title	Purpose	Previously Approved 5311 Amount (\$)	Proposed Revised 5311 Amount (\$)
LAVTA	Routes 2, 11, 12, 20	Operating	43,683	0
Marin Transit	West Marin Stagecoach	Operating	210,793	206,437
NVTA	Northern Napa County	Operating	211,771	208,403
SamTrans	Coastside, Rt. 17	Operating	156,796	154,508
<i>Solano County</i>				
Dixon	Readi-Ride	Operating	240,590	237,107
Fairfield	Route 30	Operating	100,000	100,000
Rio Vista	Delta Breeze	Operating	68,500	68,500
Sonoma County Transit	Vehicle Replacements	Capital	485,124	477,666
VTA	Route 68	Operating	80,450	78,952
<i>Total</i>			<i>1,597,707</i>	<i>1,531,573</i>

Date: January 27, 2016
W.I.: 1512
Referred By: PAC
Revised: 04/27/16-C
06/28/16-C

ABSTRACT

Resolution No. 4216, Revised

This resolution adopts the FY2015-16 and FY2016-17 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2015-16 and
FY2016-17

This resolution was amended by Commission Action on April 27, 2016 to revise the FY2015-16 program based on a revised regional apportionment received from Caltrans.

This resolution was amended by Commission Action on June 28, 2017 to revise the FY2016-17 program based on a revised regional apportionment from Caltrans.

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheets dated January 13, 2016, April 13, 2016, and June 14, 2017.

Date: January 27, 2016
W.I.: 1512
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2015-16 and FY2016-17
Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4216

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, a FY2015-16 and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects for the San

and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2015-16 and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2015-16 and FY2016-17 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION



Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 27, 2016.

Federal Transit Administration
Section 5311 Rural Area Formula Program
FY2015-16 and FY2016-17

FY2015-16 Funding Available:

Estimated Apportionments	\$ 1,516,380
Prior Year Carryover:	\$ -
Total Funding Available:	\$ 1,516,380

FY 2015-16 Programming:

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 183,266	\$ 381,206	\$ 564,472
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 229,003	\$ 329,003
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 41,460	\$ 35,267	\$ 76,727
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 200,063	\$ 170,181	\$ 370,244
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 200,991	\$ 170,971	\$ 371,962
Rio Vista	Operating Assistance (Delta Breeze)	\$ 105,000	\$ 290,700	\$ 395,700
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 148,815	\$ 126,587	\$ 275,402
Sonoma County Transit	Vehicle Replacements	\$ 460,430	\$ 62,853	\$ 523,283
VTA	Operating Assistance (Rt. 68)	\$ 76,355	\$ 64,950	\$ 141,305
Total Programming		\$ 1,516,380	\$ 1,531,718	\$ 3,048,098
Total Available		\$ 1,516,380		
Available for Carryover		\$ -		

FY2016-17 Funding Available:

Estimated Apportionments:	\$ 1,531,573
Prior Year Carryover:	\$ -
Total Funding Available:	\$ 1,531,573

FY 2016-17 Programming:

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 237,107	\$ 191,425	\$ 428,532
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 80,734	\$ 180,734
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ -	\$ -	\$ -
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 206,437	\$ 166,664	\$ 373,101
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 208,403	\$ 168,252	\$ 376,655
Rio Vista	Operating Assistance (Delta Breeze)	\$ 68,500	\$ 55,303	\$ 123,803
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 154,508	\$ 124,740	\$ 279,248
Sonoma County Transit	Vehicle Replacements	\$ 477,666	\$ 61,887	\$ 539,553
VTA	Operating Assistance (Rt. 68)	\$ 78,952	\$ 63,741	\$ 142,693
Total Programming		\$ 1,531,573	\$ 912,746	\$ 2,444,319
Total Available		\$ 1,531,573		
Available for Carryover		\$ -		

Date: June 28, 2017
W.I.: 1512
Referred By: PAC

ABSTRACT

Resolution No. 4292

This resolution adopts the FY2017-18 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2017-18

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheet dated June 14, 2017.

Date: June 28, 2017
W.I.: 1512
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2017-18 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4292

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, a FY2017-18 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2017-18 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2017-18 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION
COMMISSION

Jake Mackenzie, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission held in
San Francisco, California, on June 28, 2017.

Federal Transit Administration
Section 5311 Rural Area Formula Program
FY2017-18

FY2017-18 Funding Available:

Estimated Apportionments	#####
Prior Year Carryover:	\$ -
Total Funding Available:	#####

FY 2017-18 Programming:

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311</u> <u>Program</u>	<u>Local</u> <u>Match</u>	<u>Total</u> <u>Project Cost</u>
County Connection	Operating Assistance (Rural Contra Costa County)	\$ 50,412	\$ 40,700	\$ 91,112
Dixon	Operating Assistance (Readi-Ride)	\$ 298,122	\$ 240,685	\$ 538,807
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 80,734	\$ 180,734
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 207,226	\$ 167,301	\$ 374,527
NVTA	Operating Assistance (Northern Napa Co.)	\$ 206,567	\$ 166,769	\$ 373,336
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 152,613	\$ 123,210	\$ 275,823
Sonoma County Transit	Vehicle Replacements	\$ 472,790	\$ 61,255	\$ 534,045
VTA	Operating Assistance (Rt. 68)	\$ 78,824	\$ 63,638	\$ 142,462
Total Programming		\$ 1,566,554	\$ 944,292	\$ 2,510,846
Total Available		\$ 1,566,554		
Available for Carryover		\$ -		