



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Bay Area Metro Center  
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San Francisco, CA 94105  
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WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Administration Committee

DATE: May 3, 2017

FR: Executive Director

RE: MTC Financial Statements for March 2017

Attached please find MTC financial statements for the nine month period ending March 31, 2017.

Major highlights of the nine month period include:

- (1) **Operating Income:** Total operating income for the nine months is trending at 60% with 75% of the budget year elapsed.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.3 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 65% for the nine month period, or 75% of the budget year. Contract services are well below budget at 30% which is typical since most of the contracts span multiple years.

**Federal Grants:** Nine new grants are included in the FY 2016-17 budget. MTC will be applying for the remaining new grant for Incident Management this fiscal year. One Priority Development Area Planning Grant has been fully spent and will be closed out in FY 2016-17.

Adopted budget numbers have been adjusted to include contract encumbrances from the prior fiscal year.

If there are any questions, please contact Eva Sun at (415) 778-6795.

A blue ink signature of Steve Heminger, consisting of a stylized 'S' followed by a horizontal line and a large loop.

Steve Heminger

**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
**As of March, 2017 (75% of year)**

	3	4	5	6
	FY 2016-17	Actual	Budget Balance	% of Budget
Operating Revenue	Total Budget	Revenue	Over/(Under)	(col 4/3)
<b>General Fund Revenue:</b>				
TDA	12,500,000	10,180,277	(2,319,723)	81.4%
Interest	20,000	29,829	9,829	149.1%
General Fund Total	12,520,000	10,210,106	(2,309,894)	81.6%
<b>Federal Planning Revenue:</b>				
FHWA	8,581,331	6,612,996	(1,968,334)	77.1%
FHWA - SP&R Partnership PL Grant	300,000	24,949	(275,051)	8.3%
Sustainable Transportation Planning Grant	150,000	-	-	0.0%
FTA	6,247,329	3,297,379	(2,949,950)	52.8%
FTA 5304	708,000	-	(708,000)	0.0%
Federal Planning Revenue Total	15,986,659	9,935,325	(5,901,335)	62.1%
<b>State Funding Revenue:</b>				
STIP	742,776	377,198	(365,578)	50.8%
State Revenue Total	742,776	377,198	(365,578)	50.8%
<b>Local Funding Revenue:</b>				
TFCA	1,169,117	156,523	(1,012,594)	13.4%
ABAG	-	21,719	21,719	0.0%
HOV	500,000	314,036	(185,964)	0.0%
Pavement Management	1,465,000	873,382	(591,618)	59.6%
PTAP Local Match	143,953	78,171	(65,782)	54.3%
BAAQMD	308,749	15,475	(293,274)	5.0%
Misc	1,566,006	1,168,922	(397,084)	74.6%
Local Funding Total	5,152,826	2,628,228	(2,524,597)	51.0%
<b>Transfers:</b>				
BATA Reimbursement	2,260,798	664,690	(1,596,108)	29.4%
RAFC	74,640	247,589	172,949	331.7%
SAFE	2,329,230	84,126	(2,245,104)	3.6%
BATA 1%	7,297,531	7,297,531	-	100.0%
2% Transit Transfers	452,868	23,789	(429,079)	5.3%
Transfers in - STA	3,331,274	457,779	(2,873,495)	13.7%
Transfer from or (to) Reserve/Capital	6,683,025	1,395,515	(5,287,509)	20.9%
Transfers Total	22,429,366	10,171,019	(12,258,347)	45.3%
<b>Total Operating Revenue</b>	<b>56,831,627</b>	<b>33,321,876</b>	<b>(23,359,751)</b>	<b>58.6%</b>

**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
**As of March, 2017 (75% of year)**

	3	4	5	6	7
	FY 2016-17	Actual	Budget Balance	% of Budget	
<b>Operating Expenditures</b>	<b>Total Budget</b>	<b>Expense</b>	<b>Over/(Under)</b>	<b>(col 4/3)</b>	<b>Encumbrance</b>
Salaries & Benefits	23,251,740	15,446,692	(7,805,048)	66.4%	144,082
Travel & Training	402,000	242,713	(159,287)	60.4%	55,279
Commission Expense					
Commissioner Expense	70,000	64,351	(5,649)	91.9%	-
Advisory Committees	15,000	11,000	(4,000)	73.3%	-
Printing & Graphics	134,100	41,343	(92,757)	30.8%	21,545
Computer Services	1,404,500	1,443,577	39,077	102.8%	-
General Operations	2,838,624	929,772	(1,908,852)	32.8%	497,091
<b>Total operating</b>	<b>28,115,964</b>	<b>18,179,448</b>	<b>(9,936,516)</b>	<b>64.7%</b>	<b>717,997</b>
Contract Services	28,715,663	8,632,731	(20,082,932)	30.1%	9,403,252
<b>Total Operating Expenditures</b>	<b>56,831,627</b>	<b>26,812,179</b>	<b>(30,019,448)</b>	<b>47.2%</b>	<b>10,121,248</b>

**MTC CAPITAL BUDGETS**  
As of March, 2017 (75% of year)

<u>Capital</u>	Total Budget	Actual	Encumbrance	Balance
Transfer from Reserves	\$0	\$0	\$0	\$0
Expense	\$674,032	\$66,145	\$576,354	\$31,534

<u>Hub Signage Program</u>	LTD Total Budget	LTD Actual	Encumbrance	LTD Balance
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,512	-	203,488
STA	1,333,045	538,465	-	794,580
Real Time Sign - BART	300,000	-	-	300,000
Revenue	\$11,851,495	\$10,553,427	\$0	\$1,298,068
Expense	\$11,851,495	\$9,189,495	\$962,105	\$1,699,895

**Life to Date Federal Grants Budget**

**As of March, 2017 (75% of year)**

<b>Fund Source</b>		<b>Grant LTD Balance</b>	<b>New Grants</b>	<b>Total Grants</b>	<b>Staff Actual</b>	<b>Consultant Actual</b>	<b>Encumbrances</b>	<b>Remaining Balance</b>
<b>STP Grants</b>								
1580	Station Area Planning	\$1,295,898	-	\$1,295,898	-	487,782	384,610	\$423,505
1801	CMA Planning	4,458,887	9,150,000	13,608,887	132,072	5,350,877	6,877,605	1,248,334
1803	511 Grant	7,407,504	-	7,407,504	6,309	1,978,106	4,240,078	1,183,011
1805	Regional Streets and Roads	232,744	-	232,744	-	182,792	49,952	-
1806	Pavement Management	1,461,030	-	1,461,030	-	603,353	752,677	105,000
1811	PDA Planning*	20,073	-	20,073	-	20,073	-	-
1812	Regional PDA Planning	7,058,600	-	7,058,600	-	657,190	5,620,733	780,677
1816	Arterial Operations	2,393,072	-	2,393,072	-	651,654	1,165,194	576,224
1818	Pavement Management	-	1,500,000	1,500,000	-	-	699	1,499,301
1819	511 Traveler Information	-	9,030,000	9,030,000	1,290,621	23,742	437,020	7,278,617
1820	Freeway Performance Initiative	-	7,200,000	7,200,000	1,000	5,785	85,676	7,107,539
1821	Arterial Operations	-	500,000	500,000	1,000	-	-	499,000
1822	Regional Streets and Roads	-	347,000	347,000	-	-	-	347,000
1823	Incident Management	-	1,400,000	1,400,000	-	-	-	1,400,000
<b>Total STP Grants</b>		<b>\$24,327,808</b>	<b>\$29,127,000</b>	<b>\$53,454,808</b>	<b>\$1,431,001</b>	<b>\$9,961,354</b>	<b>\$19,614,244</b>	<b>\$22,448,208</b>
<b>CMAQ Grants</b>								
1589	Arterial Operations (PASS Program)	\$2,224,676	-	\$2,224,676	287,612	560,701	528,945	\$847,419
1591	Climate Initiatives Program Public Outreach	617,293	-	617,293	20,762	130,123	466,408	-
1592	Climate Initiatives Evaluation	675,067	-	675,067	-	112,797	104,618	457,652
1596	Freeway Performance Initiative	3,838,056	-	3,838,056	1,332,135	354,693	1,553,455	597,773
1800	Incident Management	4,696,797	-	4,696,797	114,607	-	401,161	4,181,029
1804	511 Grant	3,117,361	-	3,117,361	385,089	1,471,360	926,646	334,267
1809	FPI Corridor Studies	2,454,318	-	2,454,318	180,707	98,593	309,928	1,865,089
New	Incident Management	-	10,840,000	10,840,000	-	-	-	10,840,000
1814	Regional Bicycle Sharing Program	1,490,142	-	1,490,142	-	24,525	171,295	1,294,322
1824	Climate Initiatives Program Public Education	-	1,388,000	1,388,000	-	-	-	1,388,000
<b>Total CMAQ Grants</b>		<b>\$19,113,710</b>	<b>\$12,228,000</b>	<b>\$31,341,710</b>	<b>2,320,912</b>	<b>\$2,752,792</b>	<b>\$4,462,455</b>	<b>\$21,805,551</b>
<b>FTA GRANTS</b>								
1614	JARC	\$347,421	-	\$347,421	-	-	-	\$347,421
1623	New Freedom	133,687	-	133,687	-	2,963	24,947	105,777
1625	JARC	304,533	-	304,533	-	-	-	304,533
1626	New Freedom	47,417	-	47,417	-	-	-	47,417
1627	JARC	171,914	-	171,914	-	41,721	41,461	88,732
1628	New Freedom	181,723	-	181,723	-	-	175,046	6,677
1629	JARC	479,106	-	479,106	-	193,831	285,275	-
1630	JARC	1,667,079	-	1,699,819	-	233,691	1,110,528	355,600
1631	FTA 5339	9,665,839	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	763,840	-	699,100	-	206,922	392,011	100,166
1633	FTA 5339	11,807,629	-	11,807,629	-	-	-	11,807,629
1634	FTA 5339	9,590,718	-	9,393,017	-	-	-	9,393,017
1635	FTA 5310	460,429	-	460,429	451,480	-	-	8,949
1668	TIGER (FTA)	460,808	-	460,808	-	318,244	142,564	-
<b>Total FTA Grants</b>		<b>\$36,082,143</b>	<b>-</b>	<b>\$35,852,442</b>	<b>451,480</b>	<b>997,373</b>	<b>\$2,171,833</b>	<b>\$32,231,755</b>
<b>HPP/VPP GRANTS</b>								
<b>Other Grants</b>								
1110	HEPP Travel Model	\$81,843	-	\$81,843	-	3,395	78,449	-
1112	SHRP2L Travel Analysis	\$522,496	-	\$522,496	-	64,832	421,786	35,878
<b>Total Other Grants</b>		<b>\$604,339</b>	<b>-</b>	<b>\$604,339</b>	<b>-</b>	<b>\$68,227</b>	<b>\$500,235</b>	<b>\$35,878</b>
<b>Total Federal Grants Budget</b>		<b>\$80,128,000</b>	<b>\$41,355,000</b>	<b>\$121,253,299</b>	<b>\$4,203,394</b>	<b>\$13,779,747</b>	<b>\$26,748,767</b>	<b>\$76,521,392</b>

1811 PDA Planning\*

This grant is fully spent. Will be closed out in FY16/17

**CLIPPER OPERATING BUDGET**  
As of March, 2017 (75% of year)

Clipper Operating	Total FY 2016-17 Budget	Actual	Encumbrance	Balance
RM2	2,950,000	1,326,446	-	1,623,554
STP	180,000	-	-	180,000
STA	11,736,461	6,854,817	-	4,881,644
Transit Operators	18,352,529	10,660,856	-	7,691,673
<b>Revenue</b>	<b>\$33,218,989</b>	<b>\$18,842,118</b>	<b>\$0</b>	<b>\$14,376,871</b>
<b>Expense</b>	<b>\$33,218,989</b>	<b>\$18,842,118</b>	<b>\$14,080,980</b>	<b>\$295,891</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
As of March, 2017 (75% of year)

Clipper I - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
CMAQ	68,703,835	65,298,402	-	3,405,433
Card Sales	6,851,267	6,017,564	-	833,703
Cap and Trade	3,559,290	3,559,290	-	-
ARRA	11,167,891	11,167,891	-	-
FTA	27,213,349	21,893,876	-	5,319,473
STP	37,538,086	27,188,359	-	10,349,727
STA	23,309,540	19,732,417	-	3,577,123
Prop 1B	988,137	988,137	-	-
SFMTA	8,005,421	3,211,570	-	4,793,851
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	24,345,284	-	2,519,529
Transit Operators	13,857,000	657,776	-	13,199,224
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
<b>Revenue</b>	<b>\$240,826,430</b>	<b>\$196,215,510</b>	<b>-</b>	<b>\$44,610,921</b>
<b>Expense</b>	<b>\$240,826,430</b>	<b>\$188,943,892</b>	<b>\$19,209,914</b>	<b>\$32,672,624</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
As of March, 2017 (75% of year)

Clipper II - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
STP	4,569,554	3,852,869	-	716,685
FTA	10,078,133	-	-	10,078,133
Golden Gate - Pass through	5,000,000	-	-	5,000,000
TCP CMAQ Funds	2,684,772	-	-	2,684,772
Low Carbon Transit Operations (LCTOP)	1,100,000	-	-	1,100,000
BATA	260,000	259,802	-	198
STA	1,047,841	1,006,144	-	41,697
<b>Revenue</b>	<b>\$24,740,300</b>	<b>\$5,118,816</b>	<b>-</b>	<b>\$19,621,484</b>
<b>Expense</b>	<b>\$24,740,300</b>	<b>\$5,118,816</b>	<b>\$2,305,601</b>	<b>\$17,315,883</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	20,000			
1051111 - Subtotal	20,000	-	-	20,000
Implement Public Information Program	510,000			
Consultants		169,852	89,996	
1051112 - Subtotal	510,000	169,852	89,996	250,152
Regional Transportation Plan	1,608,047			
Ascent Environmental, Inc.		92,591	457,170	
Cambridge Systematics		9,921	9,509	
Consultants		252,153	513,350	
Tschudin Consulting Group		61,705	86,803	
1051121 - Subtotal	1,608,047	416,370	1,066,832	124,845
Analyze Regional Data using GIS & Travel Models	4,770,684			
Consultants		136,660	110,616	
Corey, Canapary & Galanis		97,069	87,581	
ETC Institute		1,926,109	947,844	
Parsons Brinckerhoff, Inc.			85,784	
Redhill Group, Inc.			573	
RSG Inc.		14,850	190,150	
1051122 - Subtotal	4,770,684	2,174,688	1,422,548	1,173,448
Resilience (Sea Level Rise/Adaptation) Planning	991,834			
1051126 - Subtotal	991,834	-	-	991,834
Airport/Seaport/Freight Planning	520,000			
Cambridget Systematics		55,000	15,000	
The Tioga Group, Inc.		12,475	137,525	
1051124 - Subtotal	520,000	67,475	152,525	300,000
Non-Motorized Transportation Activities	284,000			
Consultants		137,970		
1051125 - Subtotal	284,000	137,970	-	146,030

**DISBURSEMENT REPORT (Non- Federal Funded)**  
As of March, 2017 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Advocate Legislative Programs</b>	578,100			
Carter, Wetch & Associates		53,541	16,560	
Government Relations		175,400	87,700	
<b>1051132 - Subtotal</b>	<b>578,100</b>	<b>228,941</b>	<b>104,260</b>	<b>244,899</b>
<b>Agency Financial Management</b>	674,244			
Public Financial Management		238,176	11,824	
Sungard Public Sector		7,322	6,798	
Milliman		568		
PWC		267,118	7,126	
<b>1011152 - Subtotal</b>	<b>674,244</b>	<b>513,184</b>	<b>25,748</b>	<b>135,312</b>
<b>Administrative Services</b>	390,623			
Pathways for High School		93,915	27,955	
PRN Ergonomics		48,300		
CSI Compliance		15,000	2,920	
Koff & Associates		34,830	27,493	
<b>1011153 - Subtotal</b>	<b>390,623</b>	<b>192,045</b>	<b>58,368</b>	<b>140,209</b>
<b>Information Technology Services</b>	497,000			
Visual Strategies		69,000	9,150	
Informatix, Inc.		81,611	175,355	
Nexlevel IT Inc.		1,320	11,468	
<b>1011161 - Subtotal</b>	<b>497,000</b>	<b>151,931</b>	<b>195,973</b>	<b>149,097</b>
<b>Performance Measurement and Monitoring</b>	250,852			
CH2M Hill		7,473	16,692	
Consultants		63,238	138,449	
<b>1051212 - Subtotal</b>	<b>250,852</b>	<b>70,711</b>	<b>155,141</b>	<b>25,000</b>
<b>Regional Rideshare Program</b>	1,469,117			
Parsons Brinkerhoff		170,593	990,137	
<b>1051222 - Subtotal</b>	<b>1,469,117</b>	<b>170,593</b>	<b>990,137</b>	<b>308,387</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Operational Support for Regional Programs</b>	348,630			
Consultants		38,500	83,763	
Iteris Inc.		17,432	48,376	
Kimley-Horn			10,559	
<b>1051223 - Subtotal</b>	<b>348,630</b>	<b>55,932</b>	<b>142,698</b>	<b>150,000</b>
<b>Regional Traveler Information</b>	2,281,083			
Civic Resource Group		26,044	275,775	
Consultants		4,720		
Software License Renewal		7,310		
Faneuil Inc.			96,012	
Iteris Inc.		562,967	348,280	
Kimley-Horn & Associates		4,213	39,004	
SAIC		3,097		
<b>1051224 - Subtotal</b>	<b>2,281,083</b>	<b>608,351</b>	<b>759,071</b>	<b>913,662</b>
<b>Emergency Response Operations</b>	100,000			
Software License Renewals		18,300		
<b>1051228 - Subtotal</b>	<b>100,000</b>	<b>18,300</b>	<b>-</b>	<b>81,700</b>
<b>Emergency Response Planning</b>	1,266,881			
URS Corporation		109,688	150,194	
<b>1051229 - Subtotal</b>	<b>1,266,881</b>	<b>109,688</b>	<b>150,194</b>	<b>1,006,999</b>
<b>Pavement Management Program (PMP)</b>	2,179,707			
Adhara Systems, Inc.		13,498	18,314	
AMS Consulting LLC		9,417	19,964	
CA State University, Chico			50,000	
Bellecci & Associates			9,204	
Capitol Asset & Pavement Services		14,293	18,359	
CH2M Hill		4,989		
Consultants		23,683	6,562	
DevMecca, LLC		822,863	2,158	
Harris & Associates		16,029	13,720	
JG3 Consulting LLC		2,237	2,581	
Nicholas Consulting Engineers		20,653	11,849	
Quality Engineering Solutions		2,044	3,527	
<b>1051233 - Subtotal</b>	<b>2,179,707</b>	<b>929,706</b>	<b>156,238</b>	<b>1,093,763</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Arterial Operations</b>	154,488			
DKS Associates		22,074	20,859	
Iteris Inc.		18,481	10,949	
Kimley-Horn And Associates		22,797	24,739	
TJKM Transportation		15,590	18,999	
<b>1051234 - Subtotal</b>	<b>154,488</b>	<b>78,942</b>	<b>75,546</b>	<b>-</b>
<b>Incident Management</b>	206,600			
Iteris Inc.		4,600		
Kimley-Horn & Associates			2,000	
<b>1051235 - Subtotal</b>	<b>206,600</b>	<b>4,600</b>	<b>2,000</b>	<b>200,000</b>
<b>Freeway Performance Initiative</b>	1,069,746			
Audio Visual Innovations Inc.			143,980	
Cambridge Systematics			55,829	
Consultants			467,000	
FEHR & PEERS Associates		5,250	214,580	
Kettelson & Associates			1,346	
URS Corporation				
<b>1051237 - Subtotal</b>	<b>1,069,746</b>	<b>5,250</b>	<b>882,735</b>	<b>181,761</b>
<b>Implement Lifeline Transportation Programs</b>	1,270,231			
CH2M Hill		38,332	14,714	
Consultants		89,500	76,250	
Nelson/Nygard		39,547	55,286	
<b>1051311 - Subtotal</b>	<b>1,270,231</b>	<b>167,379</b>	<b>146,250</b>	<b>956,602</b>
<b>Climate Assessment Initiative</b>	35,000			
Bike Share Staffing - City of Berkely		5,871	89,129	
<b>1051413 - Subtotal</b>	<b>35,000</b>	<b>5,871</b>	<b>89,129</b>	<b>(60,000)</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Regional Assistance Program</b>	276,734			
Pieriott & Associates, LLC		25,000	33,734	
<b>1051514 - Subtotal</b>	<b>276,734</b>	<b>25,000</b>	<b>33,734</b>	<b>218,000</b>
<b>State Programing, Monitoring and TIP Development</b>	314,933			
Consultants		118,012	161,988	
<b>1051515 - Subtotal</b>	<b>314,933</b>	<b>118,012</b>	<b>161,988</b>	<b>34,933</b>
<b>Transit Sustainability Project</b>	1,528,481			
Bay Area Rapid Transit			42,857	
City of Union City			30,000	
Consultants		25,536	156,674	
ECCTA			30,000	
LAVTA			9,703	
Napa Valley Transportation Authority		19,919	10,081	
Nelson Nygaard			6,840	
Solano Transportation Authority		90,000	30,000	
Sonoma County Transit			30,000	
Sonoma County Transportation			5,000	
City of Vacaville			10,000	
Westcat		30,000		
<b>1051517 - Subtotal</b>	<b>1,528,481</b>	<b>165,455</b>	<b>361,155</b>	<b>1,001,871</b>
<b>New Freedom</b>	5,000			
Nelson/Nygaard		5,000		
<b>1051518 - Subtotal</b>	<b>5,000</b>	<b>5,000</b>	<b>-</b>	<b>-</b>
<b>Transit Core Capacity Study</b>	459,388			
Arup North America Ltd.		318,244	141,144	
<b>1051519 - Subtotal</b>	<b>459,388</b>	<b>318,244</b>	<b>141,144</b>	<b>-</b>
<b>Transportation for Livable Communities Program</b>	3,079,983			
Arup North America, LTD.			27,500	
Association of Bay Area Government		1,279,033	1,393,683	
Consultants		71,000	50,447	
Placeworks		16,918	42,358	
City of Santa Clara			60,000	
Toole Design Group		-	52,991	
<b>1051611 - Subtotal</b>	<b>3,079,983</b>	<b>1,366,951</b>	<b>1,626,979</b>	<b>86,053</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of March, 2017 (75% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Climate Adaptation Consulting (BARC)</b>	<b>154,813</b>			
Consultants		50,273	14,599	
<b>1051612- Subtotal</b>	<b>154,813</b>	<b>50,273</b>	<b>14,599</b>	<b>89,941</b>
<b>Legal</b>	<b>1,169,927</b>			
Hanson and Bridgett		18,550	65,251	
Orrick Herrington		138,908	111,092	
Glynn and Finley		17,623	107,602	
Meyers Nave		5,320	28,996	
Schiff Hardin LLP		-	16,386	
Renne Sloan Holtzman Sakalili		508	68,939	
<b>1060000 - Subtotal</b>	<b>1,169,927</b>	<b>180,909</b>	<b>398,266</b>	<b>590,752</b>
	<b>249,780</b>			
Ceridian		8,343		
Dell Marketing		110,290		
Gov Delivery Inc.		6,475		
<b>1011998/1999 - Subtotal</b>	<b>249,780</b>	<b>125,109</b>	<b>-</b>	<b>124,672</b>
<b>Total Operating Contract Services</b>	<b>28,715,663</b>	<b>8,632,731</b>	<b>9,403,252</b>	<b>10,679,922</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants	36,215	311,837
San Francisco Transportation Authority	32,012	188,398
<b>1051122 - Subtotal</b>	<b>68,227</b>	<b>500,235</b>
Parsons Brinkerhoff	647,495	1,820,663
Alameda County Transportation authority		70,000
Consultants		70,000
Solano Transportation Authority	23,742	216,258
<b>1051222 - Subtotal</b>	<b>671,237</b>	<b>2,176,921</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates	10,028	115,656
<b>1051223 - Subtotal</b>	<b>10,028</b>	<b>115,656</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	1,075,728	971,257
Consultants	36,430	
Faneuil, Inc.		741,060
Iteris, Inc.	1,685,955	1,382,477
Kimley-Horn & Associates	32,515	259,645
SAIC	23,901	
<b>1051224 - Subtotal</b>	<b>2,854,529</b>	<b>3,354,439</b>
<b>Pavement Management Program (PMP)</b>		
Adhara Sysems, Inc.	104,187	141,352
AMS Consulting LLC	72,688	154,091
Bellecci & Assocaites		71,036
Capitol Asset & Pavement Services	110,316	141,700
Consultants	182,792	50,651
Harris & Associates	123,717	105,896
JG3 Consulting	17,263	19,919
Nichols Consulting Engieners	159,408	91,456
Quality Engineering Solutions	15,773	27,226
<b>1051233 - Subtotal</b>	<b>786,144</b>	<b>803,327</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Arterial Operations</b>		
Consultants		140,000
County of Santa Clara	567,000	-
DKS Associates	179,801	239,015
DKS Associates	13,470	83,440
City of Fremont	15,484	562,454
Iteris Inc.	181,995	224,197
Kimly-Horn and Associates	41,200	1,008,800
Kimly-Horn and Associates	124,685	150,734
LAVTA	8,500	191,500
TJKM Transportation	111,010	160,801
TJKM Transportation	6,000	136,000
Valley Transportation Authority	68,400	88,297
<b>1051234 - Subtotal</b>	<b>1,317,545</b>	<b>2,985,238</b>
<b>Implement Incident Management Program</b>		
URS Corporation		401,161
<b>1051235 - Subtotal</b>	<b>-</b>	<b>401,161</b>
<b>Freeway Performance Initiative</b>		
Audio Visual Innovations Inc.		5,280
Cambridge Systematics	25,548	28,786
Consultants		50,000
Fehr & Peers Associates	5,250	45,334
Kimly Horn Associates	150,708	289,525
Kittelson & Associates	130,335	19,614
Parsons Brinckerhoff, Inc.	5,785	85,676
Transportation Mobility Solutions	36,255	133,745
<b>1051237 - Subtotal</b>	<b>353,881</b>	<b>657,960</b>
<b>Lifeline Program</b>		
City of Alameda	24,875	95,878
Central Contra Costa Transit	-6,705	6,705
County of Contra Costa	18,820	209,658
Cycles of Change	30,218	144,354
Outreach	112,616	435,557
City of Richmond		13,889
San Mateo County Human Sevice	1,311	54,755
<b>1051310 - Subtotal</b>	<b>181,135</b>	<b>960,796</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Implement Lifeline Transportation Program</b>		
Daly City		41,461
Marin Transit	3,160	285,275
Outreach	190,671	0
Peninsula Family Services	52,557	149,732
Peninsula Family Services	41,721	
<b>1051311 - Subtotal</b>	<b>288,109</b>	<b>476,468</b>
<b>Lifeline Planning</b>		
Alta Planning and Design	95,382	355,397
Civic Resource Group		653
ICF Consulting	84,953	171,703
Toole Design Group	24,525	171,295
<b>1051413 - Subtotal</b>	<b>204,860</b>	<b>699,048</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
County Connection	2,963	24,947
<b>1051512 - Subtotal</b>	<b>2,963</b>	<b>24,947</b>
<b>New Freedom - Non - Planning Funds</b>		
Alameda County CMA		26,774
Center for Independent Living	2,351	109,327
City of Alameda		148,272
Consultants		90
Outreach & Escort Inc.	200,475	246,256
Sonoma County Human Services Department	4,096	36,338
<b>1051518 - Subtotal</b>	<b>206,922</b>	<b>567,057</b>
<b>Transit Core Capacity Study</b>		
Arup North America Ltd.	318,244	142,564
<b>1051519 - Subtotal</b>	<b>318,244</b>	<b>142,564</b>



**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Transportation for Livable Communities</b>		
City of Alameda	220,000	30,000
Association of Bay Area Government	538,567	201,507
Bay Conservation & Development Community	198,407	206,427
City of Berkely		571,103
City of Sunnyvale	265,702	0
Community Design and Architecture	78,226	176,101
Consultants (PO)	12,500	52,600
Dyett & Bhatia	6,420	9,630
Fehr & Peers Associates	50,231	112,808
Nelson Nygaard	104,813	336,809
City of Oakland	172,500	459,800
City of Richmond	222,080	
San Francisco Transportation Authority		196,000
City and County of San Francisco		736,000
City of San Jose		1,363,782
City of San Leandro		440,000
Santa Clara VTA		140,385
City of Santa Clara, Caltrain		850,000
City of Sunnyvale	12,500	518,100
City of Walnut Creek		12,225
<b>1051611 - Subtotal</b>	<b>1,881,946</b>	<b>6,413,277</b>
<b>Fund 190 CMA PLANNING</b>	<b>4,633,977</b>	<b>6,469,672</b>
<b>Total Federal Grant Funded</b>	<b>13,779,747</b>	<b>26,748,767</b>

**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Capital Expenditures</b>	<b>674,032</b>	<b>66,145</b>	<b>576,354</b>	
<b>Subtotal</b>	<b>\$674,032</b>	<b>\$66,145</b>	<b>\$576,354</b>	<b>\$31,534</b>
<b>Hub Signage Program</b>	<b>11,851,495</b>			
Staff Costs		1,353,826		
Consultants		969,990	10,897	
Kimly-Horn and Associates		621,388	861	
BART		4,262,343	950,346	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		223,996		
NCPTA		133,860		
Ghirardelli Association		316,028		
<b>3322650,2651,2652,2654 &amp; 2655 Subtotal</b>	<b>\$11,851,495</b>	<b>\$9,189,495</b>	<b>\$962,105</b>	<b>\$1,699,895</b>
<b>Capital Projects Total</b>	<b>\$12,525,527</b>	<b>\$9,255,640</b>	<b>\$1,538,459</b>	<b>\$1,731,428</b>

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>33,218,989</b>			
Staff Costs		1,308,966	566,701	
AC Transit		142,800	107,000	
Caribou Public Relations		81,557	118,443	
Consultants		565,401	559,614	
Cubic Transportation systems		15,970,910	12,538,861	
Moore, Iacofano, Goltsman		316,032	233,968	
Nematode Holdings LLC		180,973	98,923	
Resource Development Association		121,750	58,250	
Synapse Strategies		153,729	95,111	
<b>320122116 Clipper Operating Expenses</b>	<b>\$33,218,989</b>	<b>\$18,842,118</b>	<b>\$14,376,871</b>	<b>\$0</b>
<b>Clipper I - Capital</b>	<b>240,826,430</b>			
Staff costs		10,989,679	308,522	
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237	1,062,538	
Booz Allen Hamilton		13,095,586		
Caporicci & Larson		11,530		
Consultants		1,646,322	5,962	
CH2M Hill Inc.				
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		79,638,703	15,236,756	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	121,373	

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of March, 2017 (75% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013	7,988	
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,263,000	32,535	
VenTek Transit, Inc.		679,399	737,926	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		17,292,958	1,696,314	
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$240,826,430</b>	<b>\$188,943,892</b>	<b>\$19,209,914</b>	<b>\$32,672,624</b>

**Clipper II- Capital** **24,740,300**

Staff Costs		2,179,417		
IBI Group		1,886,275	1,491,607	
Consultants		341,284	31,060	
Thompson Coburn LLP		200,259	249,741	
CH2M Hill Clipper Consultants		461,903	533,193	
Invoke Technologies		49,678		
<b>312 Clipper II - Total Expenses</b>	<b>\$24,740,300</b>	<b>\$5,118,816</b>	<b>\$2,305,601</b>	<b>\$17,315,883</b>

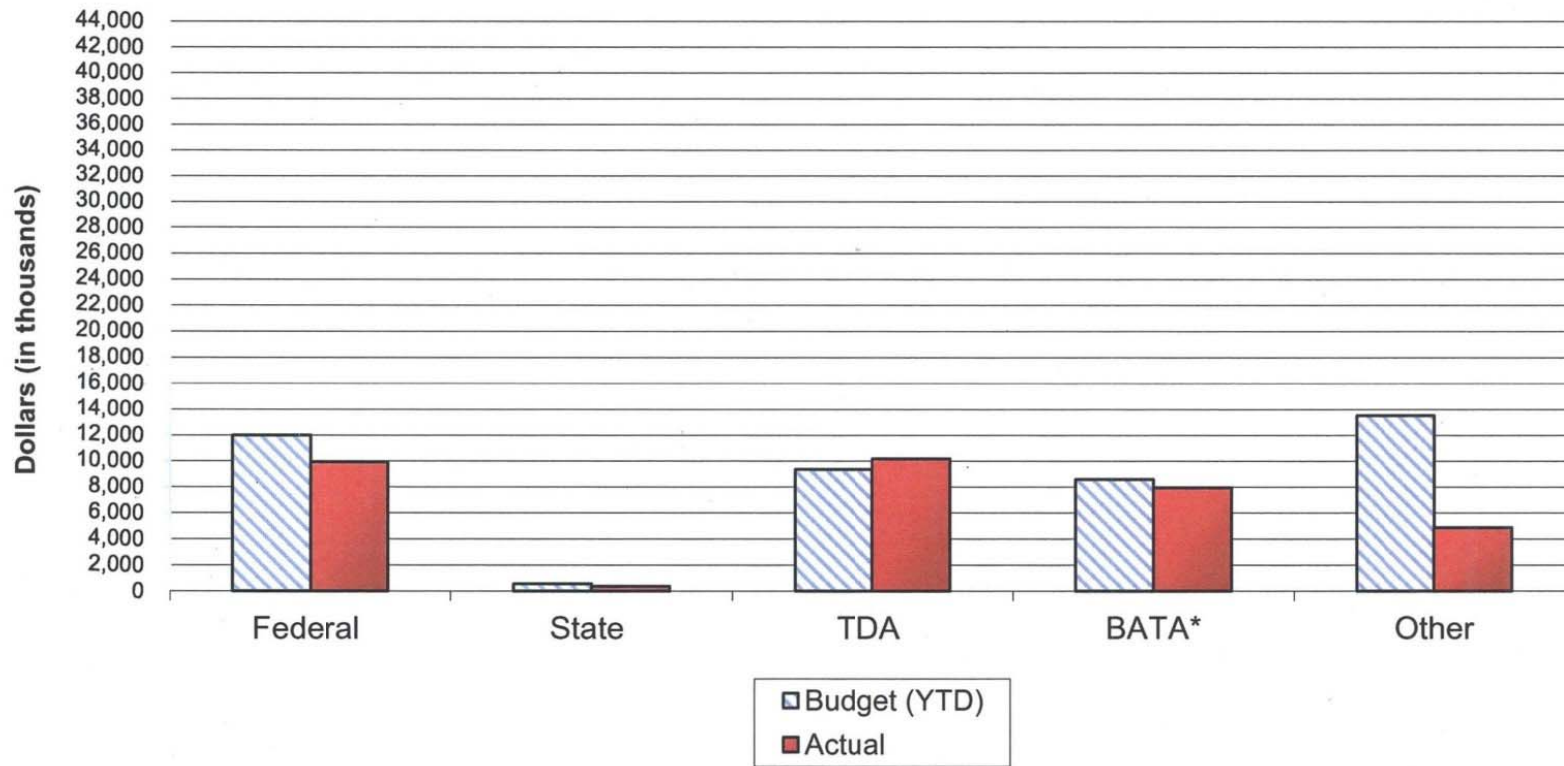
**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

		<b>As of March, 2017</b>
ESRI Inc.		\$17,500
	<i>Software License Renewal</i>	
AD Club		\$2,500
	<i>Personnel Recruiting Advertisement</i>	
GOV Delivery Inc.		\$13,766
	<i>Software License Renewal</i>	
Ruben Consulting Group		\$35,000
	<i>Employee Coaching and Development</i>	
Federal Staffing Solutions Inc.		\$80,000
	<i>FMS User Interface Consultant</i>	
Siskind Susser PC		\$3,200
	<i>Personnel Recruiting</i>	
ESRI Inc.		\$4,500
	<i>Software License Renewal for GIS</i>	
Martin Edward Klimek		\$2,700
	<i>Photograph, Printing and Reproduction</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-200,000

<u>Consultant</u>	<u>Purpose</u>	<u>As of March, 2017</u>
None this Month		

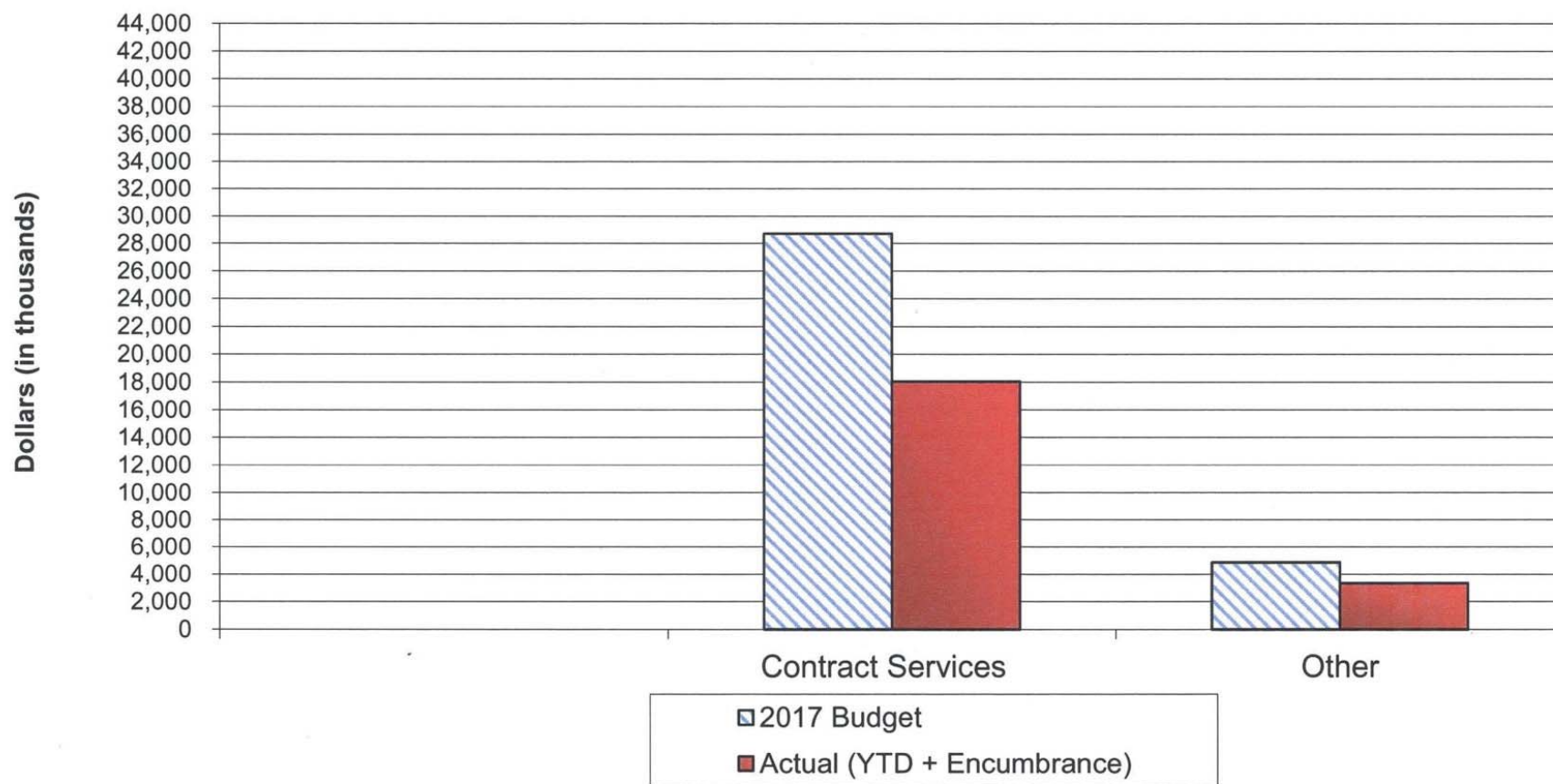
**Chart 1: Revenue Comparison between Budget and Actual  
March 2017**



\* Annual



**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances  
March 2017**



### Budget vs Actual Plus Encumbrance Salaries & Benefits

