



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Administration Committee

DATE: April 5, 2017

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4240, Revised - FY 2016-17 MTC Agency Budget Amendment

Attached for your review and referral to the Commission for approval is Resolution No. 4240, Revised, amending the MTC budget for FY 2016-17. The budget changes are detailed in Attachment A and include the following:

1. Asset Management: Add \$500,000 to the Transportation Asset Management project funded by StreetSaver<sup>®</sup> sales in the MTC operating budget (Attachment A).
2. Clipper Operations: Add \$2 million in revenue from SFMTA to fund operations (Attachment C).
3. Clipper Capital:
  - Add \$16.2 million in FTA funds passed through from Golden Gate Bridge Highway and Transportation District;
  - Reduce the Low Carbon Transit Operation Program revenue by \$2.5 million; and
  - Reduce the Clipper 1 capital budget by \$1.9 million to align revenue and expenses to actuals.

### **Recommendation**

Staff recommends that this Committee forward MTC Resolution No. 4240, Revised, to the Commission for approval.

A blue ink signature of Steve Heminger is written over a horizontal line. The signature is stylized and cursive.

Steve Heminger

Date: June 22, 2016  
W.I.: 1152  
Referred By: Administration  
Revised: 09/28/16-C  
01/25/17-C  
04/26/17-C

ABSTRACT

Resolution No. 4240, Revised

This resolution approves the Agency Budget for FY 2016-17.

This resolution was revised on September 28, 2016 for budget changes. The changes include the addition of \$1.2 million in revenue and expenses to the MTC operating budget and \$6.2 million to the Clipper 2 capital budget.

This resolution was revised on January 25, 2017 for budget changes. The changes include the addition of \$1.4 million in revenue and expenses to the MTC operating budget and \$847,000 to the grants budget. Prior fiscal year encumbrances of \$9 million were also brought forward to FY'2016-17.

This resolution was revised on April 26, 2017 for budget changes. The net changes include the addition of \$2 million in revenue and expenses to the Clipper operating budget and a reduction of \$.8 million to Clipper 1 capital budget and an addition of \$12.6 to Clipper 2 capital budget. An additional \$500,000 was included in MTC's operating budget funded by StreetSaver® sales.

Further discussion of the agency budget is contained in the MTC Executive Director's memoranda to the Administration Committee dated June 1, 2016, September 7, 2016, January 4, 2017, and April 5, 2017. A budget is attached as Attachments A, B and C.

Date: June 22, 2016  
W.I.: 1152  
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4240

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on April 27, 2016 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2016-17 with the adoption of MTC Resolution No. 4224; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2016-17; and

WHEREAS, the final draft MTC Agency Budget for FY 2016-17 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4224; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2016-17, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2016-17, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY 2016-17; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2016-17; and, be it further

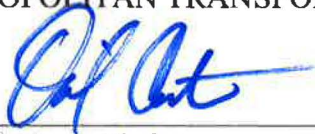
RESOLVED, that the Commission authorizes that the use of funds from the general fund for cash flow purposes, as an advance on authorized expenditures until the expenditures have been reimbursed; and, be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2016-17 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$500,000 liability reserve and establish a \$750,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from the requirements of or changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2016-17 without prior authorization of the Administration Committee; and, be it further.

RESOLVED, that the total of full time regular and project employees is established at 232 and will not be increased without approved increase to the appropriate FY 2016-17 budget and that the Executive Director or Designee is authorized to manage all contract, hourly or agency employees within the authorized FY 2016-17 budgets; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by  
the Metropolitan Transportation Commission  
at a regular meeting of the Commission  
held in San Francisco, California on June 22, 2016.

Date: June 22, 2016  
W.I.: 1152  
Referred by: Administration  
Revised: 09/28/16-C  
01/25/17-C  
04/26/17-C

Attachments A, B, C  
Resolution No. 4240, Revised

## **METROPOLITAN TRANSPORTATION COMMISSION**

### **AGENCY BUDGET**

**FY 2016-17**

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**METROPOLITAN TRANSPORTATION COMMISSION**

**BUDGET FY 2016-17**

Attachment A

**SUMMARY**

**PART 1: OPERATING REVENUE-EXPENSE SUMMARY**

	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue	\$25,896,270	\$25,896,270	0%	\$0
Other MTC Revenue	1,207,933	1,207,933	0%	0
Transfers from other Funds	18,238,661	18,238,661	0%	0
Local Revenue Grants	2,915,412	3,415,412	17%	500,000
<b>Total Operating Revenue</b>	<b>\$48,258,277</b>	<b>\$48,758,277</b>	1%	\$500,000
<b>Total Operating Expense</b>	<b>\$47,356,496</b>	<b>\$47,856,495</b>	1%	\$499,999
Operating Surplus (Shortfall)	\$901,781	\$901,782	0%	\$1
Total Operating Revenue - Prior Year	\$8,975,132	\$8,975,132	0%	\$0
Total Operating Expense - Prior Year	\$8,975,132	\$8,975,132	0%	\$0
Operating Surplus (Shortfall)- Prior year	\$0	\$0	0%	\$0
Total Operating Surplus (Shortfall)	\$901,781	\$901,782	0%	\$1

**PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY**

Total Annual Capital Revenue	\$0	\$0	0%	\$0
Total Annual Capital Expense	\$600,000	\$600,000	0%	\$0
Capital Surplus(Shortfall)	(\$600,000)	(\$600,000)	0%	\$0
<b>TOTAL FISCAL YEAR SURPLUS (SHORTFALL)</b>	<b>\$301,781</b>	<b>\$301,782</b>	0%	<b>\$1</b>

**PART3: CHANGES IN RESERVES**

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$301,781	\$301,782	0%	\$1
Current Year Ending Balance	\$0	\$0		



# REVENUE DETAIL

	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change % Inc./(Dec)	Change \$ Inc./(Dec)
<b>General Planning Revenue</b>				
FTA Section 5303	\$3,367,898	\$3,367,898	0%	\$0
FTA 5303 carryover FY'16	974,911	974,911	0%	0
FTA 5304	708,000	708,000	0%	0
Sustainable Transportation Planning Grant	150,000	150,000	0%	0
FHWA SP&R - SACOG	300,000	300,000	0%	0
FHWA 1/2 % PL	7,895,439	7,895,439	0%	0
FHWA PL carryover FY'16	22	22	0%	0
TDA (Planning/Administrative)	12,500,000	12,500,000	0%	0
<b>Subtotal: General Planning Revenue</b>	<b>\$25,896,270</b>	<b>\$25,896,270</b>	0%	\$0
<b>Other MTC Revenue</b>				
STIP-PPM	\$687,933	\$687,933	0%	\$0
HOV lane fines	500,000	500,000	0%	0
Interest	20,000	20,000	0%	0
<b>Subtotal: MTC Other Revenue</b>	<b>\$1,207,933</b>	<b>\$1,207,933</b>	0%	\$0
<b>Operating Transfers</b>				
BATA 1%	\$7,297,531	\$7,297,531	0%	\$0
Transfer BATA RM2	1,230,000	1,230,000	0%	0
BATA Reimbursements (Audit/misc. contracts)	862,550	862,550	0%	0
Express Lanes	0	0	0%	0
RAFC Management Services	74,640	74,640	0%	0
Service Authority Freeways Expressways (SAFE)	879,396	879,396	0%	0
Exchange Fund Transfer	0	0	0%	0
STA Transfer	2,922,602	2,922,602	0%	0
2% Transit Transfers	415,070	415,070	0%	0
Express Lanes - Overhead	617,063	617,063	0%	0
Grant Funded - Overhead	1,947,116	1,947,116	0%	0
Capital Programs - Overhead	1,992,693	1,992,693	0%	0
<b>Subtotal: Transfers from other funds</b>	<b>\$18,238,661</b>	<b>\$18,238,661</b>	0%	\$0
<b>MTC Total Planning Revenue</b>	<b>\$45,342,865</b>	<b>\$45,342,865</b>	0%	\$0
<b>Local Revenue Grants</b>				
Misc. Revenue (PMP Sales)	\$965,000	\$1,465,000	52%	\$500,000
ACTC	8,849	8,849	0%	0
MPO	90,000	90,000	0%	0
TFCA (Regional Rideshare), Spare the Air	1,000,000	1,000,000	0%	0
SCAG/SACOG/SANDAG	185,250	185,250	0%	0
BAAQMD	308,749	308,749	0%	0
Cities	357,564	357,564	0%	0
<b>Subtotal: Local Revenue Grants</b>	<b>\$2,915,412</b>	<b>\$3,415,412</b>	17%	\$500,000
<b>Total Current Year Revenue</b>	<b>\$48,258,277</b>	<b>\$48,758,277</b>	1%	\$500,000
<b>MTC Prior Year Project Revenue</b>				
<b>Prior Year Project Revenue - Federal/State</b>				
FTA 5303	1,904,520	1,904,520		
FHWA	685,870	685,870		
State Transit Assistance (STA)	408,672	408,672		
<b>Subtotal:</b>	<b>\$2,999,061</b>	<b>\$2,999,061</b>		
<b>Prior Year Project Revenue - Local</b>				
General Fund	3,027,933	3,027,933		
Transportation Funds for Clean Air (TFCA)	169,117	169,117		
Service Authority for Freeways/Expressways (SAFE)	1,449,834	1,449,834		
PTAP LM	143,953	143,953		
PPM	54,843	54,843		
RM2/BATA Reimb.	168,248	168,248		
SFMTA	504,585	504,585		
AB 664	0	0		
local Cities/Agencies	419,759	419,759		
2% Transit	37,798	37,798		
<b>Subtotal:</b>	<b>\$5,976,071</b>	<b>\$5,976,071</b>		
<b>Total Prior Year Project Revenue</b>	<b>\$8,975,132</b>	<b>\$8,975,132</b>		

**EXPENSE SUMMMARY  
BUDGET FY 2016-17**

	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change % Inc./(Dec)	Change \$ Inc./(Dec)
Operating Expense				
I. Salaries and Benefits	\$23,938,099	\$23,251,740	-3%	(\$686,359)
MTC Staff - Regular	\$21,832,166	\$21,145,807	-3%	(\$686,359)
OPEB	1,914,326	1,914,326	0%	0
Temporary Staff	168,258	168,258	0%	0
Project Based Staff & LGS	0	0	0%	0
Hourly /Interns	23,349	23,349	0%	0
II. Travel and Training	\$402,000	\$402,000	0%	\$0
III. Printing, Repro. & Graphics	\$134,100	\$134,100	0%	\$0
IV. Computer Services	\$1,404,500	\$1,404,500	0%	\$0
V. Commissioner Expense	\$70,000	\$70,000	0%	\$0
VI. Advisory Committees	\$15,000	\$15,000	0%	\$0
VII. General Operations	\$3,488,624	\$2,838,624	-19%	(\$650,000)
Subtotal Staff Cost	\$29,452,323	\$28,115,964	-1%	(\$1,336,358)
IX. Contractual Services	\$17,904,173	\$19,740,531	10%	\$1,836,358
<b>Total Operating Expense Current Year</b>	<b>\$47,356,496</b>	<b>\$47,856,495</b>	<b>1%</b>	<b>\$500,000</b>
IX. Contractual Services - Prior Year	\$8,975,132	\$8,975,132	0%	\$0
<b>Total Operating Expense</b>	<b>\$56,331,628</b>	<b>\$56,831,627</b>	<b>1%</b>	<b>\$500,000</b>



# CAPITAL PROJECTS

	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change % Inc./Dec)	Change \$ Inc./Dec)
Annual Transfer from Reserve to Capital	\$0	\$0	0%	\$0
Annual Capital Expense	\$600,000	\$600,000	0%	\$0
Hub Signage Program				
Revenue				
Prop. 1B	\$9,856,450	\$0		\$9,856,450
RM2	362,000	0		362,000
Real Time Sign - BART	0	0		0
Real Flap Sign - STA	1,633,045	0		1,633,045
	\$11,851,495	\$0		\$11,851,495
Expense				
Staff	\$1,351,745	\$0		\$1,351,745
Consultants	10,499,750	0		10,499,750
	\$11,851,495	\$0		\$11,851,495

**CONTRACTUAL SERVICES DETAIL**  
**New Contractual and Professional Services**

Work Element	Description/Purpose	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change \$ Inc./(Dec)
1111	<b>Support Commission Standing Committees</b>			
	Planning Programs - Other	\$100,000	\$100,000	\$0
	TOTAL	\$100,000	\$100,000	\$0
1112	<b>Implement Public Information Program</b>			
	LWV Monitor	\$25,000	\$25,000	\$0
	Photography services for MTC/BATA	60,000	60,000	0
	Design & Production Services	100,000	100,000	0
	On-call Facilitation and Outreach	35,000	35,000	0
	PDA Communication	0	0	0
	Digital Promotion & Analysis	20,000	20,000	0
	On call Video Services	25,000	25,000	0
	Bike to Work Program	0	0	0
	Climate Initiatives	25,000	25,000	0
	Awards Program	55,000	55,000	0
	MTC web integration/portal	100,000	100,000	0
	TOTAL	\$445,000	\$445,000	\$0
1121	<b>Plan Bay Area</b>			
	Develop Public Involvement	\$170,000	\$170,000	\$0
	Design of Draft PBA 2040 Report	0	0	0
	Economic Analysis Related to PBA	35,000	35,000	0
	Event Expenses	90,000	90,000	0
	CBO Outreach	50,000	50,000	0
	Public Opinion/Revenue Polls (2 total)	150,000	150,000	0
	Digital Tools/Visualization	40,000	40,000	0
	EIR Development	229,761	229,761	0
	CALCOG	30,000	30,000	0
	Express Lane Settlement	0	0	0
	TOTAL	\$794,761	\$794,761	\$0
1122	<b>Analyze Regional Data using GIS and Travel Models</b>			
	Travel Model Assistance	\$100,000	\$100,000	\$0
	Land use Model Research	150,000	150,000	0
	Travel Model Research	50,000	50,000	0
	Technical Support for Web Based Projects	100,000	100,000	0
	Leverage SHRP2 Investment	0	0	0
	Consolidated household travel	1,094,458	1,094,458	0
	Regional Transit on Board	0	0	0
	Freight Modeling Program	0	0	0
	Future Mobility Research Program	50,000	50,000	0
	TOTAL	\$1,544,458	\$1,544,458	\$0
1126	<b>Resiliency (Sea Level Rise/Adaption) Planning</b>			
	Sea Level/Adaption Planning	991,834	991,834	0
	TOTAL	\$991,834	\$991,834	\$0
1124	<b>Regional Goods Movement Plan</b>			
	HUD Follow up Efforts	\$0	\$0	\$0
	Mega Regional Goods Movement Study	450,000	450,000	0
	Zero Emission Freight Study	0	0	0
	TOTAL	\$450,000	\$450,000	\$0
1125	<b>Non-Motorized Transportation</b>			
	Bike Share Program - Operating Expenses	\$284,000	\$284,000	0
	Bike Share Program -Assets/Equipment	0	0	0
	TOTAL	\$284,000	\$284,000	\$0
1132	<b>Advocacy Coalitions</b>			
	Legislative advocates - Sacramento	\$115,000	\$115,000	\$0
	Mineta Transportation Institute	200,000	200,000	0
	Legislative advocates - Washington D.C.	263,100	263,100	0
	TOTAL	\$578,100	\$578,100	\$0
1152	<b>Agency Financial Management</b>			
	Financial Audit	\$400,000	\$400,000	\$0
	OPEB Actuary	20,000	20,000	0
	Financial System Upgrade	5,000	5,000	0
	TOTAL	\$425,000	\$425,000	\$0
1153	<b>Administrative Services</b>			
	Organizational and Compensation	\$60,000	\$60,000	\$0
	Ergonomics	55,000	55,000	0
	Move related Projects	0	0	0
	Internship Program	205,000	205,000	0
	TOTAL	\$320,000	\$320,000	\$0

**CONTRACTUAL SERVICES DETAIL**

Work Element	Description/Purpose	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change \$ Inc./Dec)
1161	<b>Information Technology Services</b>			
	Data Security Improvements	\$55,000	\$55,000	\$0
	Web/DB Application Development/Integration	50,000	50,000	0
	Network Assistance	0	0	0
	Enterprise data and process review	207,000	207,000	0
	Document scanning	0	0	0
	Move Assistance/Project Management	27,500	27,500	0
	<b>TOTAL</b>	<b>\$339,500</b>	<b>\$339,500</b>	<b>\$0</b>
1212	<b>Performance Measuring and Monitoring</b>			
	Vital Signs Website Development	\$150,000	\$150,000	\$0
	RTP Performance	0	0	0
	State of Good Repair Performance Analysis	0	0	0
	<b>TOTAL</b>	<b>\$150,000</b>	<b>\$150,000</b>	<b>\$0</b>
1222	<b>Regional Rideshare Program</b>			
	511 Ridesharing Program Operations	\$1,000,000	\$1,000,000	\$0
	Seamless Transit Map	150,000	150,000	0
	511 Program Marketing	150,000	150,000	0
	<b>TOTAL</b>	<b>\$1,300,000</b>	<b>\$1,300,000</b>	<b>\$0</b>
1223	<b>Operational Support for Regional Programs</b>			
	TMC Legacy Projects and Contingency	\$0	\$0	\$0
	Park N Ride	0	0	0
	ITS Architecture	0	0	0
	TMS Technical Advisor & Guidance Bench	0	0	0
	<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
1224	<b>Regional Traveler Information</b>			
	511 Traffic/Real Time Transit	\$995,000	\$995,000	\$0
	HSP:511 Real-Time	0	0	0
	511 Web Services	250,000	250,000	0
	511 Transit system	650,000	650,000	0
	511 ESRI License	0	0	0
	Trip planner License	0	0	0
	Connected Vehicle	0	0	0
	<b>TOTAL</b>	<b>\$1,895,000</b>	<b>\$1,895,000</b>	<b>\$0</b>
1228	<b>Regional Transportation Emergency Operation</b>			
	Global Fone NI Government	\$25,000	\$25,000	\$0
	Transit Emergency Response	0	0	0
	EOC Training & Support	75,000	75,000	0
	<b>TOTAL</b>	<b>\$100,000</b>	<b>\$100,000</b>	<b>\$0</b>
1229	<b>Regional Transportation Emergency Planning</b>			
	Ongoing Emergency Exercise Support	\$75,000	\$75,000	\$0
	Emergency Response Strategies	0	0	0
	Joint Operations at @ Beale Street	300,000	300,000	0
	Transit Service Contingency	667,000	667,000	0
	<b>TOTAL</b>	<b>\$1,042,000</b>	<b>\$1,042,000</b>	<b>\$0</b>
1233	<b>Transportation Asset Management</b>			
	Software Development and Maintenance	\$825,000	\$1,325,000	\$500,000
	Transit Capital Inventory	154,549	154,549	0
	Software Training Support	160,347	160,347	0
	TAM Plan Development and Performance	100,000	100,000	0
	PTAP Projects	239,299	239,299	0
	Quality Assurance Program	50,000	50,000	0
	<b>TOTAL</b>	<b>\$1,529,195</b>	<b>\$2,029,195</b>	<b>\$500,000</b>
1234	<b>Arterial and Transit Performance</b>			
	Program for Arterial System	\$118,265	\$118,265	\$0
1235	<b>Incident Management</b>			
	I-880 ICM Device Maint.	\$100,000	\$100,000	\$0
	Incident Management Task Force	100,000	100,000	0
	<b>TOTAL</b>	<b>\$200,000</b>	<b>\$200,000</b>	<b>\$0</b>
1237	<b>Freeway Performance</b>			
	Managed LANES Master Plan (Study)	\$0	\$0	\$0
	FPI Traffic Operations/Corridor Analysis	0	0	0
	Regional Dynamic Traffic Assignment Model	0	0	0
	Performance Monitoring & Tools	50,000	50,000	0
	Active Traffic Management Strategies	0	0	0
	<b>TOTAL</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$0</b>
1311	<b>Lifeline Planning</b>			
	Community - Based Transportation Plan Funding Ag.	\$269,013	\$269,013	\$0
	CBTP Grant Program	0	0	0
	Lifeline Cycle 3	747,589	747,589	0
	Coordinated Plan Update	60,000	60,000	0
	<b>TOTAL</b>	<b>\$1,076,602</b>	<b>\$1,076,602</b>	<b>\$0</b>

**CONTRACTUAL SERVICES DETAIL**

Work Element	Description/Purpose	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change \$ Inc./(Dec)
1413	<b>Climate Initiative</b>			
	EV Strategic Council	\$35,000	\$35,000	\$0
	Regional Transportation Sea Level Rise	0	0	0
	<b>TOTAL</b>	<b>\$35,000</b>	<b>\$35,000</b>	<b>\$0</b>
1512	<b>Federal TIP Development</b>			
	REMI Financial Forecast Model	\$0	\$0	\$0
	<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
1514	<b>Regional Assistance Programs</b>			
	TDA Claims/Fund Estimate on line Migration and Reporting	\$25,000	\$25,000	\$0
	Performance audits - TDA audit & RM2 Oversight	193,000	193,000	0
	<b>TOTAL</b>	<b>\$218,000</b>	<b>\$218,000</b>	<b>\$0</b>
1515	<b>Regional Assistance Programs</b>			
	FMS Developer	\$187,200	\$187,200	\$0
	FMS User Interface Upgrade	127,733	127,733	0
	<b>TOTAL</b>	<b>\$314,933</b>	<b>\$314,933</b>	<b>\$0</b>
1517	<b>Transit Sustainability</b>			
	Transit Sustainability Planning	\$601,224	\$601,224	\$0
	S RTP	540,000	540,000	0
	<b>TOTAL</b>	<b>\$1,141,224</b>	<b>\$1,141,224</b>	<b>\$0</b>
1519	<b>Core Capacity Transit</b>			
	Core Capacity Transit Study	\$50,000	\$50,000	\$0
	<b>TOTAL</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$0</b>
1611	<b>Transportation and Land Use Coordination</b>			
	ABAG - FHWA/FTA5303/TDA/Prop.84	\$1,336,358	\$2,672,716	\$1,336,358
	Housing Task Force	200,000	200,000	0
	Complete Streets Technical Assistance	0	0	0
	Bike/ Ped Counts Program	35,000	35,000	0
	Conference Sponsorship for Rail-Volution	0	0	0
	Parking Program	0	0	0
	<b>TOTAL</b>	<b>\$1,571,358</b>	<b>\$2,907,716</b>	<b>\$1,336,358</b>
1612	Climate Adaption Consulting (BARC)	\$89,942	\$89,942	\$0
106	<b>Legal Services</b>	\$750,000	\$750,000	\$0
101	<b>Encumbrances Contracts</b>	\$0	\$0	\$0
	<b>Total consultant contracts:</b>	<b>\$17,904,173</b>	<b>\$19,740,531</b>	<b>\$1,836,358</b>

		1	2	3 = (1-2)	4	5	6	7 = (3+4-5-6)
STP Grants		LTD Grant	LTD Actual	Balance	New Grant	staff budget	Consultant budget	Balance
		thru FY 2015	thru FY 2016	thru FY 2016	FY 2016-17	FY 2016-17	FY 2016-17	FY 2016-17
Grant # / Fund Source #	Project Description							
6084-146 1580	Station Area Planning	\$17,957,890	\$16,661,992	\$1,295,898	\$0		\$361,280	\$934,618
6084-175 1801	CMA Planning	42,479,000	38,020,113	\$4,458,887	9,150,000		8,368,190	5,240,697
6084-176 1803	511 Grant	32,500,000	25,092,496	\$7,407,504	-	-	2,380,800	5,026,704
6084-178 1805	Regional Streets and Roads	1,200,000	967,256	\$232,744	-	-	232,744	(0)
6084-179 1806	Pavement Management	6,000,000	4,538,970	\$1,461,030	-	-	-	1,461,030
6084-186 1812	OBAG Regional PDA	8,740,305	1,681,705	\$7,058,600	-	-	42,003	7,016,597
6084-187 1811	OBAG Regional PDA - ABAG	2,000,000	1,980,000	\$20,000	-	-	20,000	0
6084-193 1816	Arterial Operations	2,500,000	106,928	\$2,393,072	-	-	2,393,072	(0)
6084-203 1821	Arterial Operations				500,000	5,000	-	495,000
6084-198 1818	Regional Streets and Roads				1,500,000		1,500,000	-
6084-205 1822	Pavement Management				347,000		347,000	-
NEW	Incident Management				1,400,000	516,926		883,074
NEW	Freeway Performance Initiative				7,200,000		3,480,000	3,720,000
6084-199 1819	511 Traveler Information				8,750,000	1,294,178	7,424,800	31,022
		\$113,377,195	\$89,049,460	\$24,327,735	\$28,847,000	\$1,816,104	\$26,549,889	\$24,808,742

<b>CMAQ Grants</b>								
6084-160 1589	Arterial Operations	\$10,750,000	\$8,525,324	\$2,224,676	\$0	\$432,434	\$1,000,000	\$792,242
6084-164 1591	Climate Initiatives Program Public Outreach	7,393,432	6,776,139	\$617,293	1,388,000	30,000	1,809,829	165,464
6084-165 1592	Climate Initiatives Evaluation	3,600,000	2,924,933	\$675,067	-	-	103,350	571,717
6160-018 1596	Freeway Performance Initiative	8,608,000	4,769,944	\$3,838,056	-	1,331,774	1,200,000	1,306,282
6160-020 1800	Incident Management	7,300,000	2,603,203	\$4,696,797	-	-	3,583,000	1,113,797
6084-176 1804	511 Grant	16,270,000	13,152,639	\$3,117,361	-	822,994	-	2,294,368
6084-180 1809	Freeway Performance Corridor Studies	4,000,000	1,545,682	\$2,454,318	-	706,060	950,000	798,258
6084-188 1814	Regional Bicycle Program	1,725,000	234,858	\$1,490,142	-	-	-	1,490,142
NEW	Incident Management		-		10,840,000		10,840,000	-
		<b>\$59,646,432</b>	<b>\$40,532,722</b>	<b>\$19,113,710</b>	<b>\$12,228,000</b>	<b>\$3,323,262</b>	<b>\$19,486,179</b>	<b>\$8,532,269</b>

<b>FTA GRANTS</b>								
37-X076 1614	JARC	\$5,357,119	\$5,009,698	\$347,421	\$0	\$0	\$0	\$347,421
37-X104 1625	JARC	2,654,120	2,349,587	\$304,533	-	-	-	304,533
37-X133 1627	JARC	1,004,559	832,645	\$171,914	-	-	-	171,914
37-X164 1629	JARC	805,190	326,084	\$479,106	-	-	-	479,106
37-X177 1630	JARC	2,430,952	763,873	\$1,667,079	-	-	-	1,667,079
34-001 1631	FTA 5339 - Bus Purchases	10,506,277	840,438	\$9,665,839	-	-	9,665,839	-
34-0024 1633	FTA 5339	12,240,015	432,386	\$11,807,629	-	-	11,807,629	-
34-0032 1634	FTA 5339	9,590,718	-	\$9,590,718	-	-	3,476,843	6,113,875
57-X023 1623	New Freedom	1,545,232	1,411,545	\$133,687	-	-	-	133,687
57-X050 1626	New Freedom	3,748,859	3,701,442	\$47,417	-	-	-	47,417
57-X074 1628	New Freedom	2,793,517	2,611,794	\$181,723	-	-	26,000	155,723
57-X109 1632	New Freedom	1,383,631	619,791	\$763,840	-	-	-	763,840
CA79-1001-1668	TIGER	1,000,000	539,192	\$460,808	-	-	-	460,808
1635	FTA 5310				460,429	454,424	-	6,005
		<b>\$55,060,189</b>	<b>\$19,438,475</b>	<b>\$35,621,714</b>	<b>\$460,429</b>	<b>\$454,424</b>	<b>\$24,976,311</b>	<b>\$10,651,408</b>

<b>Other Grants</b>								
1110	HEPP Travel Model (Reobligated)	\$90,000	\$8,157	\$81,843	\$0	\$0	\$0	\$81,843
1112	FHWA - SHRP2	700,000	177,504	\$522,496	-	-	-	522,496
		<b>\$790,000</b>	<b>\$185,661</b>	<b>\$604,339</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$604,339</b>

<b>Total Federal Grants Budget</b>		<b>\$228,873,816</b>	<b>\$149,206,318</b>	<b>\$79,667,498</b>	<b>\$41,535,429</b>	<b>\$5,593,790</b>	<b>\$71,012,379</b>	<b>\$44,596,758</b>
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**CONTRACTUAL SERVICES DETAIL Federal Grants**

Work Element	Description/Purpose	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17	Change \$ Inc./(Dec)
1112	<b>Implement Public Information Program</b> Bike to Work Day	\$250,000 \$250,000	\$250,000 \$250,000	\$0 \$0
1122	<b>Analyze Regional Data using GIS and Travel Models</b> Travel Model TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1125	<b>Non-Motorized Transportation</b> Bike Share Program - Operating Expenses	\$0 \$0	\$0 \$0	\$0 \$0
1152	<b>Agency Financial Management</b> Project Audits TOTAL	\$100,000 \$100,000	\$100,000 \$100,000	\$0 \$0
1222	<b>Regional Rideshare Program</b> 511 Program Operations 511 Program Marketing Rideshare: Employer Services (CMAs) SB 1339 TOTAL	\$915,000 425,800 615,000 0 \$1,955,800	\$915,000 425,800 615,000 0 \$1,955,800	\$0 0 0 0 \$0
1223	<b>Operational Support for Regional Programs</b> ITS Architecture TOTAL	\$100,000 \$100,000	\$100,000 \$100,000	\$0 \$0
1224	<b>Regional Traveler Information</b> 511 Traffic Real Time Transit 511 Transit RG Connected Vehicles 511 Licenses TOTAL	\$3,588,800 3,820,000 0 0 241,000 \$7,649,800	\$3,588,800 3,820,000 0 0 241,000 \$7,649,800	\$0 0 0 0 0 \$0
1233	<b>Pavement Management System</b> Software Training Support P-TAP Projects TOTAL	\$232,744 1,847,000 \$2,079,744	\$232,744 1,847,000 \$2,079,744	\$0 0 \$0
1234	<b>Arterial and Transit Performance</b> Program for Arterial System Arterial Operations - Next Generation TOTAL	\$3,393,072 0 \$3,393,072	\$3,393,072 0 \$3,393,072	\$0 0 \$0
1235	<b>Incident Management</b> Incident Management Task Force I-880 ICM TOTAL	\$0 14,423,000 \$14,423,000	\$0 14,423,000 \$14,423,000	\$0 0 \$0
1237	<b>Freeway Performance</b> FPI Implementation and Ramp Metering Managed Lanes Implementation Plan Active Traffic Management Implementation Performance Monitoring & Tools Regional Dynamic Traffic Assignment Model FPI Traffic Operations/Corridor Analysis TOTAL	\$0 2,500,000 980,000 550,000 100,000 1,500,000 \$5,630,000	\$0 2,500,000 980,000 550,000 100,000 1,500,000 \$5,630,000	\$0 0 0 0 0 0 \$0
1310	<b>Implement Lifeline Transportation Program</b> Lifeline Planning TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1413	<b>Climate Initiative</b> Climate Initiative Outreach and Marketing program TOTAL	\$1,663,179 \$1,663,179	\$1,663,179 \$1,663,179	\$0 \$0
1512	<b>Federal TIP Development</b> Transit Capital Inventory Transit Operators TOTAL	\$0 24,950,311 \$24,950,311	\$0 24,950,311 \$24,950,311	\$0 0 \$0
1517	<b>Transit Sustainability</b> Transit Core Capacity Analysis TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1518	<b>New Freedom</b> New Freedom Projects TOTAL	\$26,000 \$26,000	\$26,000 \$26,000	\$0 \$0
1519	<b>Transit Core</b> Transit Core Study TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1611	<b>Transportation and Land Use Coordination</b> ABAG - STP BCDC STP CMAs - STP Access Public Lands near Transit PDA Implementation Studies SR 82 Study PDA Planning Grant TOTAL	\$360,000 259,822 7,350,000 0 16,000 0 203,642 \$8,345,822	\$720,000 259,822 7,350,000 0 16,000 0 407,284 \$8,753,106	\$360,000 0 0 0 0 0 203,642 \$563,642
1612	<b>Climate Adaption Consulting (BARC)</b>	\$38,368	\$38,368	\$0
	<b>Total Federal funded Consultants</b>	<b>\$70,605,096</b>	<b>\$71,012,380</b>	<b>\$563,642</b>

**Clipper Operating:**

	Amended 2 BUDGET FY 2016-17	Amended 3 BUDGET FY 2016-17		Change \$ Inc./Dec
Revenue:				
RM2	2,950,000	2,950,000	0%	0
STP	180,000	180,000	0%	0
STA	11,736,461	11,736,461	0%	0
Transit Operators	16,352,529	18,352,529	12%	2,000,000
Total clipper operating Revenue	\$31,218,990	\$33,218,990	6%	\$2,000,000
Expenses:				
Staff cost	1,875,537	1,875,537	0%	\$0
Travel & Other General Ops.	103,220	103,220	0%	0
Promotion/Outreach/Fare Inc.	2,950,000	2,950,000	0%	0
Clipper Operations	26,290,233	28,290,233	8%	2,000,000
Total clipper operating Expense	\$31,218,991	\$33,218,991	6%	\$2,000,000

**Clipper 1 Capital:**

	LTD Budget Thru FY2016-17	Adjusted LTD Budget	Amended 3 BUDGET FY 2016-17	LTD Budget Thru FY2016-17
Revenue:				
CMAQ	\$71,495,201	(\$2,791,366)	\$0	\$68,703,835
Card Sales	6,851,267	0	0	6,851,267
Low Carbon Transit Operatios (LCTOP)	3,500,000	59,290	0	3,559,290
ARRA	11,167,891	0	0	11,167,891
FTA	25,009,181	1,084,375	1,119,793	27,213,349
STP	39,035,448	(1,497,362)	0	37,538,086
STA	22,159,756	1,149,784	0	23,309,540
Prop 1B	1,000,000	(11,863)	0	988,137
SFMTA	8,005,421	0	0	8,005,421
GGGHTD	2,975,000	0	0	2,975,000
BART	725,000	0	0	725,000
MTC Exchange Fund	8,269,158	(695,280)	0	7,573,878
BATA	26,864,813	0	0	26,864,813
Transit Operators	13,857,000	0	0	13,857,000
WETA	603,707	0	0	603,707
Sales Tax	99,311	790,905	0	890,216
Total Clipper 1 capital Revenue	\$241,618,154	(\$1,911,517)	\$1,119,793	\$240,826,430
Expense:				
Staff Costs	\$10,307,040	0	0	\$10,307,040
Travel	3,208	0	0	3,208
Pilot Equipment Maintenance	3,093,834	0	0	3,093,834
Transit Agency Funded Projects	13,910,707	0	0	13,910,707
Design	54,690,574	0	0	54,690,574
Site Preparation	3,899,437	0	0	3,899,437
Construction	21,867,682	0	0	21,867,682
Consultants	23,469,903	1,119,793	24,589,696	
Engineering	7,953,061	0	0	7,953,061
Communications	1,583,000	0	0	1,583,000
Marketing	2,212,029	0	0	2,212,029
Financial Services	391,600	0	0	391,600
Equipment	49,226,873	0	0	49,226,873
Clipper Cards	15,140,095	0	0	15,140,095
Other	33,869,111	(\$1,911,517)	0	31,957,594
Total Clipper 1 Expense	\$241,618,154	(\$1,911,517)	\$1,119,793	\$240,826,430

**Clipper 2 Capital:**

	LTD Budget Thru FY2016-17	Amended 3 BUDGET FY 2016-17	LTD Budget Thru FY2016-17
Revenue:			
STP	\$4,569,554	\$0	4,569,554
FTA	\$0	\$10,078,133	10,078,133
Golden Gate	\$0	\$5,000,000	5,000,000
TCP - CMAQ Funds	\$2,684,772	\$0	2,684,772
Low Carbon Transit Operatios (LCTOP)	\$3,559,290	(\$2,459,290)	1,100,000
BATA	260,000	0	260,000
STA	1,047,841	\$0	1,047,841
Total Clipper 2 Revenue	\$12,121,457	\$12,618,843	\$24,740,300
Expense:			
Staff Costs	\$2,713,554	\$0	\$2,713,554
Equipment	\$6,591,903	\$0	\$6,591,903
Consultants	2,816,000	12,618,843	15,434,843
Total Clipper 2 Expense	\$12,121,457	\$12,618,843	\$24,740,300



Work Element	Description/Purpose	
1112	<b>Implement Public Information Program</b> Inverse Square Films	\$15,000 <b>\$15,000</b>
1121	<b>Plan Bay Area</b> Barbary Coast Cambridge Systematics Tschudin Consulting Group San Jose State University Ascent Environmental Inc TOTAL	\$45,317 \$19,461 \$148,508 \$250,000 \$320,000 <b>\$783,286</b>
1122	<b>Analyze Regional Data using GIS and Travel Models</b> Corey, Canapary ETC Institute Redhill Group Resource Systems Group Parsons Brinckerhoff TOTAL	\$184,650 \$2,650,999 \$573 \$249,029 \$140,974 <b>\$3,226,226</b>
1124	<b>Regional Goods Movement Plan</b> Cambridge Systematics TOTAL	\$70,000 <b>\$70,000</b>
1152	<b>Agency Financial Management</b> Pricewaterhouse Coopers TOTAL	\$249,244 <b>\$249,244</b>
1153	<b>Administrative Services</b> Koff & Associates PRN Ergonomics TOTAL	\$22,323 \$48,300 <b>\$70,623</b>
1212	<b>Performance Measuring and Monitoring</b> CH2m Hill Lowercase Productions TOTAL	\$24,165 \$76,687 <b>\$100,852</b>
1222	<b>Regional Rideshare Program</b> Parsons Brinckerhoff TOTAL	\$169,117 <b>\$169,117</b>
1223	<b>Operational Support for Regional Programs</b> Iteris, Inc. Delcan Kimley Horn URS TOTAL	\$65,808 \$122,263 \$10,559 \$150,000 <b>\$348,630</b>
1224	<b>Regional Traveler Information</b> Civic Resource Group Iteris Kimley Horn Faneuil Inc Caltrans TOTAL	\$130,581 \$231,791 \$7,889 \$11,102 \$4,720 <b>\$386,083</b>
1229	<b>Regional Transportation Emergency Planning</b> URS TOTAL	\$224,881 <b>\$224,881</b>
1233	<b>Pavement Management System</b> Adhara Systems Quality Engng Solutions DevMecca.com AMS Consulting JG3 Consulting Bellecci & Associates Capitol Asset & Pavement Harris & Associates Nichols Consulting CH2M Hill TOTAL	\$57 \$5,571 \$20 \$29,382 \$4,817 \$9,204 \$32,651 \$29,749 \$34,072 \$4,989 <b>\$150,512</b>
1234	<b>Arterial Operations Coordination</b> TJKM DKS Kimley Horn Iteris TOTAL	\$2,700 \$32,513 \$10 \$1,000 <b>\$36,223</b>
1235	<b>Incident Management</b> Iteris Kimley Horn TOTAL	\$4,600 \$2,000 <b>\$6,600</b>

1237	<b>Freeway Performance Initiative</b>	
	Audio Visual	\$143,980
	Kittleson	\$86,253
	Fehr Peers	\$219,830
	Cambridge	\$55,829
	URS	\$38,854
	CDM Smith	\$300,000
	Kimley Horn	\$125,000
	URS Corp	\$50,000
	<b>TOTAL</b>	<b>\$1,019,746</b>
1311	<b>Lifeline Planning</b>	
	Yeamans Consulting	\$45,750
	Nelson	\$94,833
	CH2M Hill	\$53,046
	<b>TOTAL</b>	<b>\$193,629</b>
1514	<b>Regional Assistance Programs</b>	
	Piertott & Associates	\$58,734
	<b>TOTAL</b>	<b>\$58,734</b>
1517	<b>Transit Sustainability</b>	
	Nelson Nygaard	\$6,840
	Sonoma County Transit	\$30,000
	ECCTA	\$30,000
	WCCTA	\$30,000
	Sonoma Cnty Transp. Authority	\$5,000
	LAVTA	\$9,703
	Union, City of	\$30,000
	Solano Transp. Authority	\$120,000
	West Contra Costa Transit	\$29,756
	CALTRAIN	\$42,857
	Vacaville, City of	\$10,000
	SAMTRANS	\$42,857
	<b>TOTAL</b>	<b>\$387,013</b>
1518	<b>New Freedom</b>	
	Nelson Nygard	\$5,000
	<b>TOTAL</b>	<b>\$5,000</b>
1519	<b>Transit Core</b>	
	Arup North America Ltd	\$409,388
	<b>TOTAL</b>	<b>\$409,388</b>
1611	<b>Transportation for Livable Communities</b>	
	Toole Design	\$52,991
	Santa Clara City of	\$60,000
	Placeworks	\$59,276
	<b>TOTAL</b>	<b>\$172,267</b>
1612	<b>BARC</b>	
	Consensus Building Institute	\$29,047
	Trust for Conservation Innovation	\$35,824
	<b>TOTAL</b>	<b>\$64,871</b>
1161	<b>Information Technology Services</b>	
	Informatix	\$157,500
	<b>TOTAL</b>	<b>\$157,500</b>
1998/1999	<b>Operating Expenses</b>	
	Customized	\$12,801
	PRN Ergonomic	\$955
	Dell Mktng	\$13,260
	Ceridian	\$56,750
	Ceridian	\$7,726
	Dynamic	\$4,800
	Partners for Progress	\$18,512
	Marcia Ruben	\$3,500
	Ruben Consulting	\$2,030
	CSI Compliance	\$34,709
	GovConnection	\$14,296
	AT&T	\$3,260
	Camden Hicks	\$3,148
	Ceridian	\$43,199
	DLT Solutions	\$30,833
	<b>TOTAL</b>	<b>\$249,780</b>
Fund 106	<b>Thomas Law Group</b>	
	Glynn & Finley	\$201,752
	Hanson Bridgett	\$100,225
	Myers Nave	\$27,801
	Schiff Hardin LLP	\$4,317
	Renne Sloan Holtzman Sakai LLP	\$16,386
	<b>TOTAL</b>	<b>\$69,446</b>
	<b>TOTAL</b>	<b>\$419,927</b>
	<b>Total Prior Year Contractual and Professional Services</b>	<b>\$8,975,132</b>