



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Administration Committee

DATE: March 1, 2017

FR: Executive Director

RE: MTC Financial Statements for January 2017

Attached please find MTC financial statements for the seven month period ending January 31, 2017.

Major highlights of the seven month period include:

- (1) **Operating Income:** Total operating income for the seven months is trending at 48% with 58% of the budget year expired.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.3 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 54% for the seven month period, or 58% of the budget year. Contract services are well below budget at 22% which is typical since most of the contracts span multiple years.

**Federal Grants:** There are eight new grants in the FY 2016-17 budget and seven have been awarded. MTC will be applying for the remaining new grant for Incident Management this fiscal year. One Priority Development Area Planning Grant has been fully spent and will be closed out in FY 2016-17.

Adopted budget numbers have been adjusted to include contract encumbrances from the prior year.

If there are any questions, please contact Eva Sun at (415) 778-6795.

A blue ink signature of Steve Heminger is written over a horizontal line.

Steve Heminger

**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
As of January, 2017 (58.3% of year)

	1	3	4	5	6
Operating Revenue	FY 2016-17 Adopted Budget	FY 2016-17 Total Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 4/3)
<b>General Fund Revenue:</b>					
TDA	12,500,000	12,500,000	7,608,174	(4,891,826)	60.9%
Interest	20,000	20,000	3,612	(16,388)	18.1%
General Fund Total	12,520,000	12,520,000	7,611,786	(4,908,214)	60.8%
<b>Federal Planning Revenue:</b>					
FHWA	8,581,309	8,581,331	5,346,484	(3,234,847)	62.3%
FHWA - SP&R Partnership PL Grant	300,000	300,000	19,227	(280,773)	6.4%
Sustainable Transportation Planning Grant	-	150,000	-	-	0.0%
FTA	5,272,418	6,247,329	2,532,613	(3,714,715)	40.5%
FTA 5304	708,000	708,000	-	(708,000)	0.0%
Federal Planning Revenue Total	14,861,726	15,986,659	7,898,324	(7,938,335)	49.4%
<b>State Funding Revenue:</b>					
STIP	742,776	742,776	258,065	(484,711)	34.7%
State Revenue Total	742,776	742,776	258,065	(484,711)	34.7%
<b>Local Funding Revenue:</b>					
TFCA	1,169,117	1,169,117	16,500	(1,152,617)	1.4%
ABAG	100,178	-	20,480	20,480	0.0%
HOV	500,000	500,000	220,742	(279,258)	0.0%
Pavement Management	965,000	965,000	727,187	(237,813)	75.4%
PTAP Local Match	143,953	143,953	42,166	(101,787)	29.3%
BAAQMD	308,749	308,749	15,475	(293,274)	5.0%
Misc	1,217,533	1,566,006	608,742	(957,265)	38.9%
Local Funding Total	4,404,531	4,652,826	1,651,292	(3,001,534)	35.5%
<b>Transfers:</b>					
BATA Reimbursement	1,906,798	2,260,798	543,820	(1,716,978)	24.1%
RAFC	74,640	74,640	203,089	128,449	272.1%
SAFE	2,329,230	2,329,230	68,461	(2,260,769)	2.9%
BATA 1%	7,297,531	7,297,531	7,297,531	-	100.0%
2% Transit Transfers	452,868	452,868	12,758	(440,110)	2.8%
Transfers in - STA	3,331,274	3,331,274	258,619	(3,072,655)	7.8%
Transfer from or (to) Reserve/Capital	6,593,510	6,683,025	1,041,771	(5,641,254)	15.6%
Transfers Total	21,985,851	22,429,366	9,426,048	(13,003,318)	42.0%
<b>Total Operating Revenue</b>	<b>54,514,885</b>	<b>56,331,627</b>	<b>26,845,515</b>	<b>(29,336,112)</b>	<b>47.7%</b>

**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
**As of January, 2017 (58.3% of year)**

	1	4	5	6	7
	FY 2016-17	Actual	Budget	% of Budget	
Operating Expenditures	Adopted	Expense	Balance	(col 4/3)	Encumbrance
	Budget		Over/(Under)		
Salaries & Benefits	24,010,714	13,136,261	(10,133,659)	56.5%	82,373
Travel & Training	402,000	151,606	(250,394)	37.7%	50,935
Commission Expense					
Commissioner Expense	70,000	49,616	(20,384)	70.9%	-
Advisory Committees	15,000	8,950	(6,050)	59.7%	-
Printing & Graphics	134,100	25,771	(108,329)	19.2%	32,761
Computer Services	1,404,500	1,236,696	(167,804)	88.1%	167,805
General Operations	3,488,624	802,868	(2,685,756)	23.0%	574,919
<b>Total operating</b>	<b>29,524,938</b>	<b>15,411,767</b>	<b>(13,372,377)</b>	<b>53.5%</b>	<b>908,793</b>
Contract Services	24,989,948	6,008,297	(21,539,186)	21.8%	11,077,735
<b>Total Operating Expenditures</b>	<b>54,514,886</b>	<b>21,420,065</b>	<b>(34,911,563)</b>	<b>38.0%</b>	<b>11,986,528</b>

**MTC CAPITAL BUDGETS**  
**As of January, 2017 (58.3% of year)**

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$0	\$0	\$0	\$0
Expense	\$674,032	\$91,755	\$537,357	\$44,921

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,512	-	203,488
STA	1,333,045	526,809	-	806,236
Real Time Sign - BART	300,000	-	-	300,000
Revenue	\$11,851,495	\$10,541,771	\$0	\$1,309,724
Expense	\$11,851,495	\$9,093,874	\$1,047,260	\$1,710,361

**Life to Date Federal Grants Budget**

**As of January, 2017 (58.3% of year)**

<b>Fund Source</b>		<b>Grant LTD Balance</b>	<b>New Grants</b>	<b>Total Grants</b>	<b>Staff Actual</b>	<b>Consultant Actual</b>	<b>Encumbrances</b>	<b>Remaining Balance</b>
<b>STP Grants</b>								
1580	Station Area Planning	\$1,295,898	-	\$1,295,898	-	-	872,392	\$423,505
1801	CMA Planning	4,458,887	9,150,000	13,608,887	100,572	2,756,733	9,577,138	1,174,443
1803	511 Grant	7,407,504	-	7,407,504	6,309	1,015,391	5,202,794	1,183,011
1805	Regional Streets and Roads	232,744	-	232,744	-	162,518	70,226	-
1806	Pavement Management	1,461,030	-	1,461,030	-	325,453	1,030,576	105,000
1811	PDA Planning (ABAG)*	20,073	-	20,073	-	20,073	-	-
1812	Regional PDA Planning	7,058,600	-	7,058,600	-	643,073	5,574,037	841,490
1816	Arterial Operations	2,393,072	-	2,393,072	-	28,954	1,552,894	811,224
1818	Pavement Management	-	1,500,000	1,500,000	-	-	699	1,499,301
1819	511 Traveler Information	-	9,030,000	9,030,000	818,398	-	380,000	7,831,602
1820	Freeway Performance Initiative	-	7,200,000	7,200,000	-	-	91,461	7,108,539
1821	Arterial Operations	-	500,000	500,000	-	-	-	500,000
1822	Regional Streets and Roads	-	347,000	347,000	-	-	-	347,000
1823	Incident Management	-	1,400,000	1,400,000	-	-	-	1,400,000
<b>Total STP Grants</b>		<b>\$24,327,808</b>	<b>\$29,127,000</b>	<b>\$53,454,808</b>	<b>\$925,279</b>	<b>\$4,952,196</b>	<b>\$24,352,216</b>	<b>\$23,225,116</b>
<b>CMAQ Grants</b>								
1589	Arterial Operations (PASS Program)	\$2,224,676	-	\$2,224,676	228,522	188,375	901,271	\$906,508
1591	Climate Initiatives Program Public Outreach	617,293	-	617,293	-	98,151	519,142	-
1592	Climate Initiatives Evaluation	675,067	-	675,067	-	112,797	104,618	457,652
1596	Freeway Performance Initiative	3,838,056	-	3,838,056	1,027,829	150,708	1,675,440	984,079
1800	Incident Management	4,696,797	-	4,696,797	93,548	-	401,161	4,202,088
1804	511 Grant	3,117,361	-	3,117,361	399,304	1,417,034	980,971	320,052
1809	FPI Corridor Studies	2,454,318	-	2,454,318	150,237	43,351	515,170	1,745,559
New	Incident Management	-	10,840,000	10,840,000	-	-	-	10,840,000
1814	Regional Bicycle Sharing Program	1,490,142	-	1,490,142	-	4,083	191,737	1,294,322
1824	Climate Initiatives Program Public Education	-	1,388,000	1,388,000	-	-	-	1,388,000
<b>Total CMAQ Grants</b>		<b>\$19,113,710</b>	<b>\$12,228,000</b>	<b>\$31,341,710</b>	<b>\$1,899,440</b>	<b>\$2,014,500</b>	<b>\$5,289,510</b>	<b>\$22,138,260</b>
<b>FTA GRANTS</b>								
1614	JARC	\$347,421	-	\$347,421	-	-	-	\$347,421
1623	New Freedom	133,687	-	133,687	-	-	27,910	105,777
1625	JARC	304,533	-	304,533	-	-	-	304,533
1626	New Freedom	47,417	-	47,417	-	-	-	47,417
1627	JARC	171,914	-	171,914	-	41,721	41,461	88,732
1628	New Freedom	181,723	-	181,723	-	-	175,046	6,677
1629	JARC	479,106	-	479,106	-	119,684	359,422	-
1630	JARC	1,667,079	-	1,699,819	-	204,659	1,139,560	355,600
1631	FTA 5339	9,665,839	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	763,840	-	699,100	-	206,922	392,011	100,166
1633	FTA 5339	11,807,629	-	11,807,629	-	-	-	11,807,629
1634	FTA 5339	9,590,718	-	9,393,017	-	-	-	9,393,017
1635	FTA 55310	460,429	-	460,429	313,169	-	-	147,260
1668	TIGER (FTA)	460,808	-	460,808	-	170,675	290,134	-
<b>Total FTA Grants</b>		<b>\$36,082,143</b>	<b>-</b>	<b>\$35,852,442</b>	<b>313,169</b>	<b>743,661</b>	<b>\$2,425,544</b>	<b>\$32,370,067</b>
<b>HPP/VPP GRANTS</b>								
<b>Other Grants</b>								
1110	HEPP Travel Model	\$81,843	-	\$81,843	-	-	81,843	-
1112	SHRP2L Travel Analysis	\$522,496	-	\$522,496	-	24,168	434,650	63,678
<b>Total Other Grants</b>		<b>\$604,339</b>	<b>\$0</b>	<b>\$604,339</b>	<b>\$0</b>	<b>\$24,168</b>	<b>\$516,494</b>	<b>\$63,678</b>
<b>Total Federal Grants Budget</b>		<b>\$80,128,000</b>	<b>\$41,355,000</b>	<b>\$121,253,299</b>	<b>\$3,137,888</b>	<b>\$7,734,525</b>	<b>\$32,583,765</b>	<b>\$77,797,120</b>

1811 PDA Planning (ABAG)\*

This grant is fully spent. Will be closed out in FY16/17

**CLIPPER OPERATING BUDGET**  
As of January, 2017 (58.3% of year)

Clipper Operating	Total FY 2016-17 Budget	Actual	Encumbrance	Balance
RM2	2,950,000	1,072,893	-	1,877,107
STP	180,000	-	-	180,000
STA	11,736,461	4,947,785	-	6,788,676
Transit Operators	16,352,529	7,642,694	-	8,709,834
<b>Revenue</b>	<b>\$31,218,989</b>	<b>\$13,663,373</b>	<b>-</b>	<b>\$17,555,616</b>
<b>Expense</b>	<b>\$31,218,989</b>	<b>\$13,575,174</b>	<b>\$19,650,259</b>	<b>(\$0)</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
As of January, 2017 (58.3% of year)

Clipper I - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
CMAQ	71,495,201	64,764,064	-	6,731,137
Card Sales	6,851,267	6,017,564	-	833,703
Cap and Trade	3,500,000	3,559,290	-	(59,290)
ARRA	11,167,891	11,167,891	-	-
FTA	25,009,181	21,748,655	-	3,260,526
STP	39,035,448	27,101,403	-	11,934,045
STA	22,159,756	19,665,459	-	2,494,297
Prop 1B	1,000,000	988,137	-	11,863
SFMTA	8,005,421	3,175,743	-	4,829,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	8,269,158	7,573,878	-	695,280
BATA	26,864,813	23,624,298	-	3,240,515
Transit Operators	13,857,000	657,776	-	13,199,224
WETA	603,707	603,707	-	-
Sales Tax	99,311	890,216	-	(790,905)
<b>Revenue</b>	<b>\$241,618,154</b>	<b>\$194,588,966</b>	<b>-</b>	<b>\$47,029,188</b>
<b>Expense</b>	<b>\$241,618,154</b>	<b>\$186,672,589</b>	<b>\$22,506,723</b>	<b>\$32,438,842</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
As of January, 2017 (58.3% of year)

Clipper II - Capital	LTD Budget Thru FY 2016-17	Actual	Encumbrance	Project Balance L-T-D
STP	4,569,554	3,441,401	-	1,128,153
TCP CMAQ Funds	2,684,772	-	-	-
Low Carbon Transit Operations (LCTOP)	3,559,290	-	-	-
BATA	260,000	259,802	-	198
STA	1,047,841	897,502	-	150,339
<b>Revenue</b>	<b>\$12,121,457</b>	<b>\$4,598,705</b>	<b>-</b>	<b>\$1,278,690</b>
<b>Expense</b>	<b>\$12,121,457</b>	<b>\$4,598,705</b>	<b>\$2,635,679</b>	<b>\$4,887,073</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Support to the Commission</b>	70,000			
<b>1051111 - Subtotal</b>	<b>70,000</b>	<b>-</b>	<b>-</b>	<b>70,000</b>
<b>Implement Public Information Program</b>	460,000			
Consultants		114,430	55,418	
<b>1051112 - Subtotal</b>	<b>460,000</b>	<b>114,430</b>	<b>55,418</b>	<b>290,152</b>
<b>Regional Transportation Plan</b>	1,608,047			
Ascent Environmental, Inc.		92,591	457,170	
Consultants		178,684	546,819	
Tschudin Consulting Group		28,165	120,343	
<b>1051121 - Subtotal</b>	<b>1,608,047</b>	<b>299,440</b>	<b>1,124,332</b>	<b>184,275</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>	4,770,684			
Consultants		93,539	153,737	
Corey, Canapary & Galanis		58,520	126,130	
ETC Institute		969,756	1,804,198	
Parsons Brinckerhoff, Inc.		-	140,974	
Redhill Group, Inc.		-	573	
RSG Inc.		14,850	190,150	
<b>1051122 - Subtotal</b>	<b>4,770,684</b>	<b>1,136,665</b>	<b>2,415,762</b>	<b>1,218,256</b>
<b>Resilience (Sea Level Rise/Adaptation) Planning</b>	991,834			
<b>1051126 - Subtotal</b>	<b>991,834</b>	<b>-</b>	<b>-</b>	<b>991,834</b>
<b>Airport/Seaport/Freight Planning</b>	520,000			
Cambridget Systematics		55,000	15,000	
The Tioga Group, Inc.		28,840	421,160	
<b>1051124 - Subtotal</b>	<b>520,000</b>	<b>83,840</b>	<b>436,160</b>	<b>-</b>
<b>Non-Motorized Transportation Activities</b>	284,000			
Consultants		137,970		
<b>1051125 - Subtotal</b>	<b>284,000</b>	<b>137,970</b>	<b>-</b>	<b>146,030</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Advocate Legislative Programs</b>	578,100			
Carter, Wetch & Associates		42,501	27,600	
Government Relations		109,625	153,475	
<b>1051132 - Subtotal</b>	<b>578,100</b>	<b>152,126</b>	<b>181,075</b>	<b>244,899</b>
<b>Agency Financial Management</b>	674,244			
Public Financial Managment		238,176	11,824	
Sungard Public Sector		5,895	8,225	
Milliman		568		
PWC		249,244		
<b>1011152 - Subtotal</b>	<b>674,244</b>	<b>493,883</b>	<b>20,049</b>	<b>160,312</b>
<b>Administrative Services</b>	390,623			
Pathways for High School		93,915		
PRN Ergonomics		48,300		
CSI Compliance			17,920	
Koff & Associates		30,270	32,053	
<b>1011153 - Subtotal</b>	<b>390,623</b>	<b>172,485</b>	<b>49,973</b>	<b>168,165</b>
<b>Information Technology Services</b>	497,000			
Visual Strategies		57,500	20,650	
Informatix, Inc.		63,676	193,290	
Nexlevel IT Inc.		1,320	11,468	
<b>1011161 - Subtotal</b>	<b>497,000</b>	<b>122,496</b>	<b>225,407</b>	<b>149,097</b>
<b>Performance Measurement and Monitoring</b>	250,852			
CH2M Hill		-	24,165	
Consultants		32,899	168,788	
<b>1051212 - Subtotal</b>	<b>250,852</b>	<b>32,899</b>	<b>192,953</b>	<b>25,000</b>
<b>Regional Rideshare Program</b>	1,469,117			
Parsons Brinkerhoff		16,500	1,144,229	
<b>1051222 - Subtotal</b>	<b>1,469,117</b>	<b>16,500</b>	<b>1,144,229</b>	<b>308,388</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Operational Support for Regional Programs</b>	348,630			
Consultants		38,500	83,763	
Iteris Inc.		2,624	63,184	
Kimley-Horn			10,559	
<b>1051223 - Subtotal</b>	<b>348,630</b>	<b>41,124</b>	<b>157,506</b>	<b>150,000</b>
<b>Regional Traveler Information</b>	2,281,083			
Civic Resource Group		5,020	296,799	
Consultants		4,720		
Software License Renewal		7,310		
Faneuil Inc.		-	96,012	
Iteris Inc.		390,624	520,623	
Kimley-Horn & Associates		2,978	40,238	
SAIC		3,097	-	
<b>1051224 - Subtotal</b>	<b>2,281,083</b>	<b>413,749</b>	<b>953,672</b>	<b>913,663</b>
<b>Emergency Response Operations</b>	100,000			
Software License Renewals		18,300		
<b>1051228 - Subtotal</b>	<b>100,000</b>	<b>18,300</b>	<b>-</b>	<b>81,700</b>
<b>Emergency Response Planning</b>	1,266,881			
URS Corporation		79,105	180,777	
<b>1051229 - Subtotal</b>	<b>1,266,881</b>	<b>79,105</b>	<b>180,777</b>	<b>1,006,999</b>
<b>Pavement Management Program (PMP)</b>	1,679,707			
Adhara Systems, Inc.		10,057	21,755	
AMS Consulting LLC		3,052	26,330	
CA State University, Chico			50,000	
Bellecci & Associates		-	9,204	
Capitol Asset & Pavement Services		8,558	24,094	
CH2M Hill		4,989	-	
Consultants		21,056	9,189	
DevMecca, LLC		605,900	219,120	
Harris & Associates		13,222	16,527	
JG3 Consulting LLC		2,237	2,581	
Nicholas Consulting Engineers		2,997	29,505	
Quality Engineering Solutions		2,044	3,527	
<b>1051233 - Subtotal</b>	<b>1,679,707</b>	<b>674,112</b>	<b>411,832</b>	<b>593,763</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Arterial Operations</b>	154,488			
DKS Associates				
DKS Associates		6,408	27,224	
Iteris Inc.		2,844	26,586	
Kimley-Horn And Associates		5,888	41,648	
TJKM Transportation		14,104	20,485	
<b>1051234 - Subtotal</b>	<b>154,488</b>	<b>29,244</b>	<b>115,943</b>	<b>9,301</b>
<b>Incident Management</b>	206,600			
Iteris Inc.		4,600		
Kimley-Horn & Associates			2,000	
<b>1051235 - Subtotal</b>	<b>206,600</b>	<b>4,600</b>	<b>2,000</b>	<b>200,000</b>
<b>Freeway Performance Initiative</b>	1,069,746			
Audio Visual Innovations Inc.			143,980	
Cambridge Systematics			55,829	
Consultants			488,461	
FEHR & PEERS Associates			219,830	
Kettelson & Associates			1,346	
URS Corporation			88,854	
<b>1051237 - Subtotal</b>	<b>1,069,746</b>	<b>-</b>	<b>998,300</b>	<b>71,446</b>
<b>Implement Lifeline Transportation Programs</b>	1,270,231			
Consultants		81,775	15,975	
Nelson/Nygard		26,858	67,975	
<b>1051311 - Subtotal</b>	<b>1,270,231</b>	<b>108,633</b>	<b>83,950</b>	<b>1,077,648</b>
<b>Climate Assessment Initiative</b>	35,000			
Bike Share Staffing - City of Berkely		5,871	89,129	
<b>1051413 - Subtotal</b>	<b>35,000</b>	<b>5,871</b>	<b>89,129</b>	<b>(60,000)</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Regional Assistance Program</b>	276,734			
Pieriott & Associates, LLC		10,000	48,734	
<b>1051514 - Subtotal</b>	<b>276,734</b>	<b>10,000</b>	<b>48,734</b>	<b>218,000</b>
<b>State Programing, Monitoring and TIP Development</b>	314,933			
Consultants		75,296	124,704	
<b>1051515 - Subtotal</b>	<b>314,933</b>	<b>75,296</b>	<b>124,704</b>	<b>114,933</b>
<b>Transit Sustainability Project</b>	1,528,481			
City of Union City			30,000	
Consultants		6,006	105,171	
ECCTA			30,000	
LAVTA			9,703	
Napa Valley Transportation Authority		19,919	10,081	
Nelson Nygaard			6,840	
Solano Transportation Authority			120,000	
Sonoma County Transit			30,000	
Sonoma County Transportation			5,000	
City of Vacaville			10,000	
Westcat			30,000	
<b>1051517 - Subtotal</b>	<b>1,528,481</b>	<b>25,925</b>	<b>386,795</b>	<b>1,115,761</b>
<b>New Freedom</b>	5,000			
Nelson/Nygard		5,000		
<b>1051518 - Subtotal</b>	<b>5,000</b>	<b>5,000</b>	<b>-</b>	<b>-</b>
<b>Transit Core Capacity Study</b>	459,388			
Arup North America Ltd.		170,675	288,713	
<b>1051519 - Subtotal</b>	<b>459,388</b>	<b>170,675</b>	<b>288,713</b>	<b>-</b>
<b>Transportation for Livable Communities Program</b>	2,411,804			
Arup North America, LTD.			27,500	
Association of Bay Area Government		1,279,033	725,504	
Consultants		10,000	111,447	
Placeworks		3,179	56,097	
Toole Design Group		-	52,991	
<b>1051611 - Subtotal</b>	<b>2,411,804</b>	<b>1,292,212</b>	<b>973,539</b>	<b>146,053</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Climate Adaptation Consulting (BARC)		154,813			
	Consultants		50,175	14,696	
<b>1051612- Subtotal</b>		<b>154,813</b>	<b>50,175</b>	<b>14,696</b>	<b>89,942</b>
Legal		1,169,927			
	Hanson and Bridgett		17,356	66,445	
	Orrick Herrington		108,745	141,255	
	Glynn and Finley		16,928	108,297	
	Meyers Nave		4,059	258	
	Schiff Hardin LLP		-	16,386	
	Renne Sloan Holtzman Sakalili			69,446	
<b>1060000 - Subtotal</b>		<b>1,169,927</b>	<b>147,086</b>	<b>402,088</b>	<b>620,752</b>
		249,780			
	Ceridian		5,669		
	Dell Marketing		83,573		
	Gov Delivery Inc.		5,214		
<b>1011998/1999 - Subtotal</b>		<b>249,780</b>	<b>94,456</b>	<b>-</b>	<b>155,324</b>
<b>Total Operating Contract Services</b>		<b>27,547,483</b>	<b>6,008,297</b>	<b>11,077,735</b>	<b>10,461,693</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants	24,168	323,884
San Francisco Transportation Authority		192,610
<b>1051122 - Subtotal</b>	<b>24,168</b>	<b>516,494</b>
Parsons Brinkerhoff	589,952	1,818,205
Alameda County Transportation authority		70,000
Consultants		70,000
Solano Transportation Authority		240,000
<b>1051222 - Subtotal</b>	<b>589,952</b>	<b>2,198,205</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates	-	125,684
<b>1051223 - Subtotal</b>	<b>-</b>	<b>125,684</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	913,458	1,133,527
Consultants	36,430	
Faneuil, Inc.		741,060
Iteris, Inc.	895,036	2,173,397
Kimley-Horn & Associates	22,987	269,172
SAIC	23,901	
<b>1051224 - Subtotal</b>	<b>1,891,812</b>	<b>4,317,156</b>
<b>Pavement Management Program (PMP)</b>		
Adhara Syssems, Inc.	77,628	167,911
AMS Consulting LLC	23,555	203,225
Bellecci & Assocaites		71,036
Capitol Asset & Pavement Services	66,051	185,965
Consultants	162,518	70,925
Harris & Associates	102,050	127,563
JG3 Consulting	17,263	19,919
Nichols Consulting Engieners	23,133	227,731
Quality Engineering Solutions	15,773	27,226
<b>1051233 - Subtotal</b>	<b>487,971</b>	<b>1,101,501</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Arterial Operations</b>		
Consultants		140,000
County of Santa Clara		567,000
DKS Associates	38,807	255,009
DKS Associates	13,470	83,440
City of Fremont	15,484	562,454
Iteris Inc.	50,336	355,856
Kimly-Horn and Associates		1,050,000
Kimly-Horn and Associates	28,604	246,815
LAVTA		200,000
TJKM Transportation	98,626	173,185
TJKM Transportation		100,000
Valley Transportation Authority		156,697
<b>1051234 - Subtotal</b>	<b>245,327</b>	<b>3,890,456</b>
<b>Implement Incident Management Program</b>		
URS Corporation		401,161
<b>1051235 - Subtotal</b>	<b>-</b>	<b>401,161</b>
<b>Freeway Performance Initiative</b>		
Audio Visual Innovations Inc.		5,280
Cambridge Systematics	15,353	38,981
Consultants		50,000
Fehr & Peers Associates		50,584
Kimly Horn Associates	150,708	289,525
Kittelson & Associates		149,949
		91,461
Transportation Mobility Solutions		170,000
<b>1051237 - Subtotal</b>	<b>166,061</b>	<b>845,780</b>
<b>Lifeline Program</b>		
City of Alameda	24,875	95,878
Central Contra Costa Transit	-6,705	6,705
County of Contra Costa	18,820	209,658
Cycles of Change	30,218	144,354
Outreach	112,616	435,557
City of Richmond		13,889
San Mateo County Human Sevice		56,066
<b>1051310 - Subtotal</b>	<b>179,824</b>	<b>962,107</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**

As of January, 2017 (58.3% of year)

Work Element/Consultant	Expended	Encumbered
<b>Implement Lifeline Transportation Program</b>		
Daly City		41,461
Marin Transit		288,435
Outreach	119,684	70,987
Peninsula Family Services	24,836	177,453
Peninsula Family Services	41,721	
<b>1051311 - Subtotal</b>	<b>186,241</b>	<b>578,336</b>
<b>Lifeline Planning</b>		
Alta Planning and Design	95,382	355,397
Civic Resource Group		653
ICF Consulting	66,226	190,430
Toole Design Group	4,083	191,737
<b>1051413 - Subtotal</b>	<b>165,691</b>	<b>738,217</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
County Connection		27,910
<b>1051512 - Subtotal</b>	<b>-</b>	<b>27,910</b>
<b>New Freedom - Non - Planning Funds</b>		
Alameda County CMA		26,774
Center for Independent Living	2,351	109,327
City of Alameda		148,272
Consultants		90
Outreach & Escort Inc.	200,475	246,256
Sonoma County Human Services Department	4,096	36,338
<b>1051518 - Subtotal</b>	<b>206,922</b>	<b>567,057</b>
<b>Transit Core Capacity Study</b>		
Arup North America Ltd.	170,675	290,134
<b>1051519 - Subtotal</b>	<b>170,675</b>	<b>290,134</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Transportation for Livable Communities</b>		
City of Alameda	220,000	30,000
Association of Bay Area Government	538,567	306,896
Bay Conservation & Development Community		404,834
City of Berkely		571,103
City of Sunnyvale		265,702
Community Design and Architecture	78,226	115,288
Consultants (PO)	6,700	58,400
Dyett & Bhatia	6,420	9,630
Fehr & Peers Associates	41,915	121,125
Nelson Nygaard	104,813	336,809
City of Oakland	172,500	459,800
City of Richmond		222,080
San Francisco Transporation Authority		196,000
City and County of San Francisco		736,000
City of San Jose		1,363,782
City of San Leandro		440,000
Santa Clara VTA		140,385
City of Santa Clara, Caltrain		850,000
City of Sunnyvale	12,500	518,100
City of Walnut Creek		12,225
<b>1051611 - Subtotal</b>	<b>1,181,641</b>	<b>7,158,159</b>
<b>Fund 190 CMA PLANNING</b>	<b>2,238,240</b>	<b>8,865,407</b>
<b>Total Federal Grant Funded</b>	<b>7,734,525</b>	<b>32,583,765</b>



**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Capital Expenditures		674,032	91,755	537,357	
	Subtotal	\$674,032	\$91,755	\$537,357	\$44,921
Hub Signage Program		11,851,495			
	Staff Costs		1,342,169		
	Consultants		969,990	11,736	
	Kimly-Horn and Associates		621,388	861	
	BART		4,178,379	1,034,311	
	Wilbur Smith Associates		100,850		
	City of Santa Rosa		89,424		
	Jacobs Carter Burgess		481,201		
	Fluoresco Lighting		448,201		
	Solari Corporation		188,388		
	Nematode Holdings, LLC		223,996		
	NCPTA		133,860		
	Ghirardelli Association		316,028	352	
	3322650,2651,2652,2654 & 2655 Subtotal	\$11,851,495	\$9,093,874	\$1,047,260	\$1,710,361
	Capital Projects Total	\$12,525,527	\$9,185,628	\$1,584,617	\$1,755,282

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>31,218,989</b>			
Staff Costs		1,015,057		
AC Transit		102,000	147,800	
AT&T			330,078	
Caribou Public Relations		33,939	166,061	
Consultants		462,429	903,671	
Cubic Transportation systems		11,403,169	17,402,493	
Moore, Iacofano, Goltsman		185,854	364,146	
Nematode Holdings LLC		159,065	120,831	
Resource Development Association		94,983	85,018	
Synapse Strategies		118,678	130,162	
<b>320122116 Clipper Operating Expenses</b>	<b>\$31,218,989</b>	<b>\$13,575,174</b>	<b>\$19,650,259</b>	<b>(\$0)</b>
<b>Clipper I - Capital</b>	<b>241,618,154</b>			
Staff costs		10,803,361		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237	1,100,610	
Booz Allen Hamilton		13,057,514		
Caporicci & Larson		11,530		
Consultants		1,634,919	447,683	
CH2M Hill Inc.				
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		78,591,618	16,335,766	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	121,373	

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of January, 2017 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013	7,988	
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,235,535	60,000	
VenTek Transit, Inc.		411,586	1,005,739	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,599,811	3,427,564	
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$241,618,154</b>	<b>\$186,672,589</b>	<b>\$22,506,723</b>	<b>\$32,438,842</b>
 <b>Clipper II- Capital</b>	 <b>12,121,457</b>			
Staff Costs		2,049,844		
IBI Group		1,886,275	1,713,724	
Thompson Coburn LLP				
Consultants		151,005	388,762	
CH2M Hill Clipper Consultants		461,903	533,193	
Invoke Technologies		49,678		
<b>312 Clipper II - Total Expenses</b>	<b>\$12,121,457</b>	<b>\$4,598,705</b>	<b>\$2,635,679</b>	<b>\$4,887,073</b>

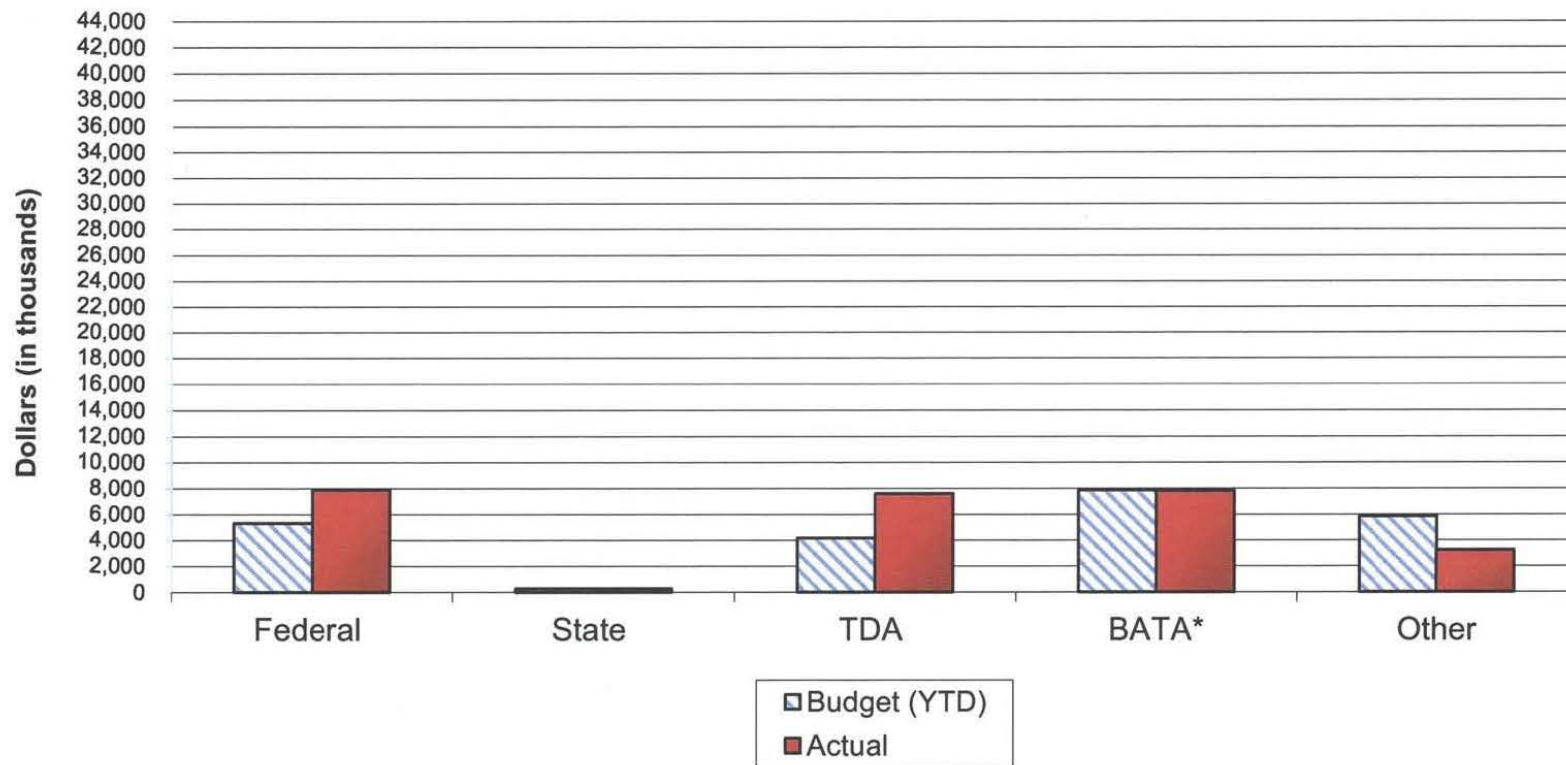
**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

		<b>As of January, 2017</b>
CDW Government Inc.		\$3,735
	<i>Computer Hardware</i>	
Everbridge Inc.		\$10,392
	<i>Mass Notification System</i>	
Hogue and Associates		\$9,034
	<i>Labor to Hang Art Rails</i>	
Global Learning Systems		\$4,626
	<i>Software License Renewal</i>	
Carahsoft Technology		\$38,792
	<i>Cloud Enterprise - Hosted Services</i>	
CDW Government Inc.		\$39,632
	<i>Computer Hardware</i>	
Linda Roth Associate		\$8,100
	<i>California Transportation Congressional Reception on Capital Hill</i>	
CDW Government Inc.		\$5,862
	<i>Computer Hardware</i>	
CSI Compliance		\$15,000
	<i>Software License Renewal</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-200,000

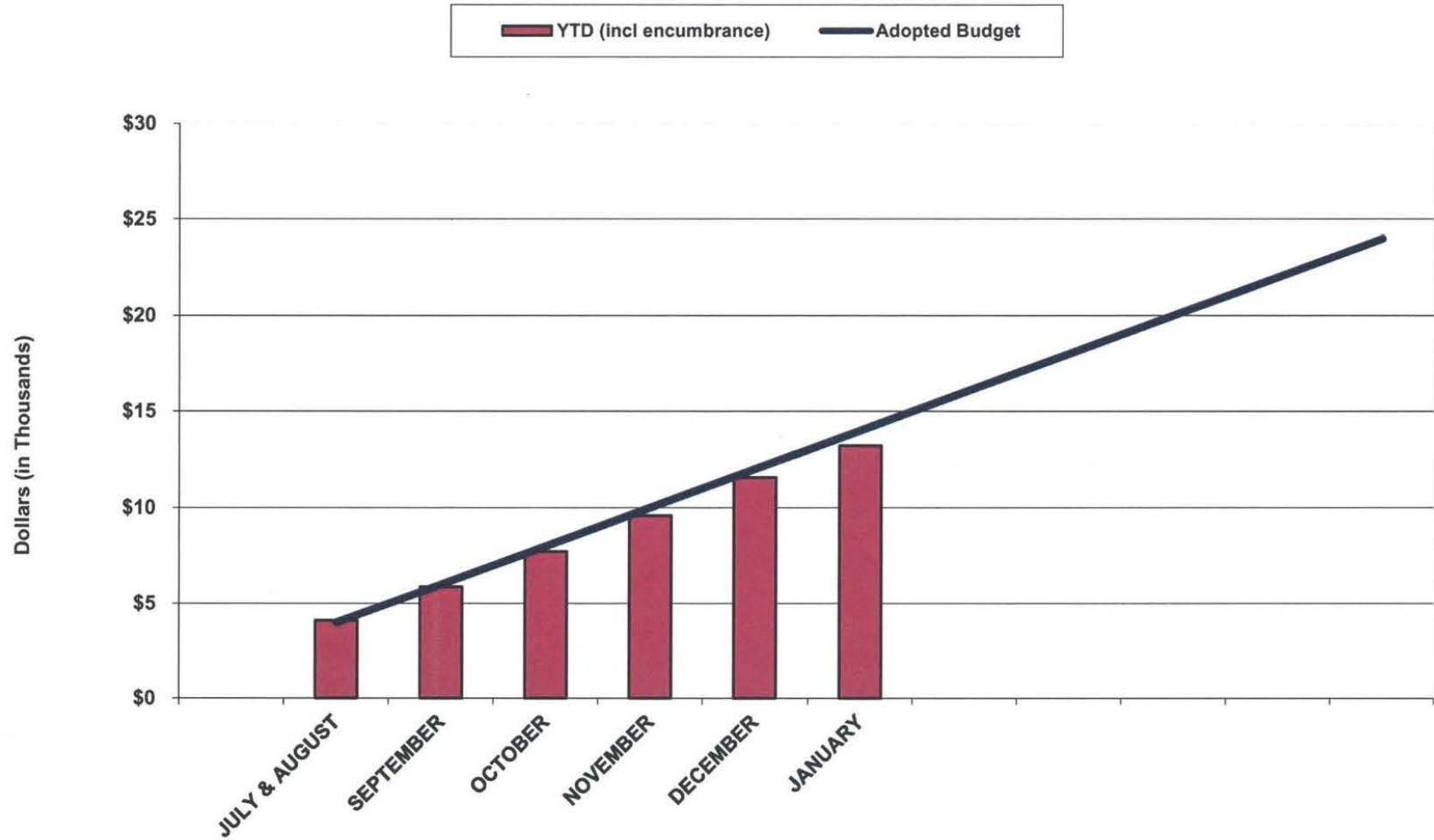
Consultant	Purpose	As of January, 2017
None for This Month		

**Chart 1: Revenue Comparison between Budget and Actual  
January 2017**



\* Annual

### Budget vs Actual Plus Encumbrance Salaries & Benefits



**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances  
January 2017**

