



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Bay Area Metro Center  
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WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Administration Committee

DATE: November 2, 2016

FR: Executive Director

RE: MTC Financial Statements for September 2016

Attached please find MTC financial statements for the three month period ending September 30, 2016. Major highlights of the three-month period include:

- (1) **Operating Income:** Total operating income for the three months is trending higher at 30% with 25% of the budget year expired.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.3 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 22% for the three month period, or 25% of the budget year. Contract services are well below budget at 6% which is not unusual since most of the contracts will run over multiple years.

**Federal Grants:** There are three new grants in the FY 2016-17 budget that MTC will be applying for in the near future. They relate to Incident Management and 511 Traveler Information Programs.

If there are any questions, please contact Eva Sun at (415) 778-6795.



Steve Heminger

**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
**As of September (25% of year)**

	1	2	3	4	5	6
Operating Revenue	FY 2016-17 Adopted Budget	FY 2016-17 Amended Budget	FY 2016-17 Total Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 4/3)
<b>General Fund Revenue:</b>						
TDA	12,500,000	-	12,500,000	3,343,322	(9,156,678)	26.7%
Interest	20,000	-	20,000	3,577	(16,423)	17.9%
General Fund Total	12,520,000	-	12,520,000	3,346,899	(9,173,101)	26.7%
<b>Federal Planning Revenue:</b>						
FHWA	7,895,439	-	7,895,439	322,203	(7,573,236)	4.1%
FHWA - SP&R Partnership PL Grant	300,000	-	300,000	-	(300,000)	0.0%
FTA	3,367,898	-	3,367,898	1,730,503	(1,637,395)	51.4%
FTA 5304	-	708,000	708,000	-	(708,000)	0.0%
Federal Planning Revenue Total	11,563,337	708,000	12,271,337	2,052,706	(10,218,631)	16.7%
<b>State Funding Revenue:</b>						
STIP	687,933	-	687,933	29,437	(658,496)	4.3%
State Revenue Total	687,933	-	687,933	29,437	(658,496)	4.3%
<b>Local Funding Revenue:</b>						
TFCA	1,000,000	-	1,000,000	-	(1,000,000)	0.0%
ABAG	100,178	-	100,178	-	(100,178)	0.0%
HOV	500,000	-	500,000	133,661	(366,339)	26.7%
Pavement Management	965,000	-	965,000	277,407	(687,593)	28.7%
BAAQMD	308,749	-	308,749	13,696	(295,053)	4.4%
Misc	293,190	-	293,190	147,507	(145,683)	50.3%
Local Funding Total	3,167,117	-	3,167,117	572,271	(2,594,846)	18.1%
<b>Transfers:</b>						
BATA Reimbursement	1,738,550	354,000	2,092,550	181,307	(1,911,243)	8.7%
RAFC	74,640	-	74,640	53,327	(21,314)	71.4%
SAFE	879,396	-	879,396	7,985	(871,411)	0.9%
BATA 1%	7,297,531	-	7,297,531	7,297,531	-	100.0%
2% Transit Transfers	415,070	-	415,070	3,389	(411,681)	0.8%
Transfers in - STA	2,922,602	-	2,922,602	117,270	(2,805,332)	4.0%
Transfer from or (to) Reserve/Capital	3,645,773	(23,855)	3,621,918	292,173	(3,329,745)	8.1%
Transfers Total	16,973,562	330,145	17,303,707	7,952,982	(9,350,725)	46.0%
<b>Total Operating Revenue</b>	<b>44,911,950</b>	<b>1,038,145</b>	<b>45,950,095</b>	<b>13,954,294</b>	<b>(31,995,800)</b>	<b>30.4%</b>

**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2016-17**  
**As of September (25% of year)**

	1	2	3	4	5	6	7
Operating Expenditures	FY 2016-17 Adopted Budget	FY 2016-17 Amended Budget	FY 2016-17 Total Budget	Actual Expense	Budget Balance Over/(Under)	% of Budget (col 4/3)	Encumbrance
Salaries & Benefits	24,010,714	5,096	24,015,810	5,598,856	(18,416,954)	23.3%	269,403
Travel & Training	402,000	-	402,000	42,733	(359,267)	10.6%	75,287
Commission Expense							
Commissioner Expense	70,000	-	70,000	5,293	(64,707)	7.6%	-
Advisory Committees	15,000	-	15,000	3,600	(11,400)	0.0%	-
Printing & Graphics	134,100	-	134,100	3,449	(130,651)	2.6%	32,971
Computer Services	1,404,500	-	1,404,500	459,720	(944,780)	32.7%	551,970
General Operations	3,488,624	-	3,488,624	236,229	(3,252,395)	6.8%	707,332
<b>Total operating</b>	<b>29,524,938</b>	<b>5,096</b>	<b>29,530,034</b>	<b>6,349,880</b>	<b>(23,180,154)</b>	<b>21.5%</b>	<b>1,636,964</b>
Contract Services	15,387,012	1,033,049	16,420,061	1,027,046	(15,393,015)	6.3%	4,599,521
<b>Total Operating Expenditures</b>	<b>44,911,950</b>	<b>1,038,145</b>	<b>45,950,095</b>	<b>7,376,925</b>	<b>(38,573,170)</b>	<b>16.1%</b>	<b>6,236,485</b>

**MTC CAPITAL BUDGETS**  
**As of September (25% of year)**

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$0	\$0	\$0	\$0
Expense	\$600,000	\$37,711	\$82,075	\$480,214

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,512	-	203,488
STA	1,333,045	473,541	-	859,504
Real Time Sign - BART	300,000	-	-	300,000
Revenue	\$11,851,495	\$10,488,503	\$1,061,240	\$301,752
Expense	\$11,851,495	\$9,030,372	\$1,061,240	\$1,759,883

**Life to Date Federal Grants Budget**

**As of September (25% of year)**

<b>Fund Source</b>		<b>Grant LTD Balance</b>	<b>New Grants</b>	<b>Total Grants</b>	<b>Staff Actual</b>	<b>Consultant Actual</b>	<b>Encumbrances</b>	<b>Remaining Balance</b>
<b>STP Grants</b>								
1580	Station Area Planning	\$1,295,898	-	\$1,295,898	-	-	872,392	\$423,505
1801	CMA Planning	4,458,887	9,150,000	13,608,887	14,572	-	144,834	13,449,481
1803	511 Grant	7,407,504	-	7,407,504	-	61,628	2,775,903	4,569,973
1805	Regional Streets and Roads	232,744	-	232,744	-	-	-	232,744
1806	Pavement Management	1,461,030	-	1,461,030	-	31,465	1,376,656	52,909
1811	PDA Planning (ABAG)	20,073	-	20,073	-	-	-	20,073
1812	Regional PDA Planning	7,058,600	-	7,058,600	-	35,839	6,243,198	779,563
1816	Arterial Operations	2,393,072	-	2,393,072	-	13,470	1,368,378	1,011,224
1818	Pavement Management	-	1,500,000	1,500,000	-	-	-	1,500,000
New	Incident Management	-	1,400,000	1,400,000	-	-	-	1,400,000
1819	Freeway Performance Initiative	-	7,200,000	7,200,000	89,953	-	-	7,110,047
New	511 Traveler Information	-	9,030,000	9,030,000	-	-	-	9,030,000
<b>Total STP Grants</b>		<b>\$24,327,808</b>	<b>\$28,280,000</b>	<b>\$52,607,808</b>	<b>\$104,525</b>	<b>\$142,402</b>	<b>\$12,781,361</b>	<b>\$39,579,519</b>
<b>CMAQ Grants</b>								
1589	Arterial Operations (PASS Program)	\$2,224,676	-	\$2,224,676	68,576	5,142	670,793	\$1,480,165
1591	Climate Initiatives Program Public Outreach	617,293	1,388,000	2,005,293	-	16,856	578,818	1,409,619
1592	Climate Initiatives Evaluation	675,067	-	675,067	-	17,415	-	657,652
1596	Freeway Performance Initiative	3,838,056	-	3,838,056	273,662	3,406	1,155,283	2,405,705
1800	Incident Management	4,696,797	-	4,696,797	49,831	-	289,791	4,357,175
1804	511 Grant	3,117,361	-	3,117,361	272,596	600,207	421,478	1,823,080
1809	FPI Corridor Studies	2,454,318	-	2,454,318	64,046	4,176	261,775	2,124,321
1814	Regional Bicycle Sharing Program	1,490,142	-	1,490,142	-	-	195,819	1,294,323
New	Incident Management	-	10,840,000	10,840,000	-	-	-	10,840,000
<b>Total CMAQ Grants</b>		<b>\$19,113,710</b>	<b>\$12,228,000</b>	<b>\$31,341,710</b>	<b>\$728,711</b>	<b>647,202</b>	<b>\$3,573,757</b>	<b>\$26,392,040</b>
<b>FTA GRANTS</b>								
1614	JARC	\$347,421	-	\$347,421	-	-	-	\$347,421
1623	New Freedom	133,687	-	133,687	-	-	51,109	82,578
1625	JARC	304,533	-	304,533	-	-	-	304,533
1626	New Freedom	47,417	-	47,417	-	-	-	47,417
1627	JARC	171,914	-	171,914	-	-	83,182	88,732
1628	New Freedom	181,723	-	181,723	-	-	175,046	6,677
1629	JARC	479,106	-	479,106	-	-	479,106	-
1630	JARC	1,667,079	-	1,667,079	-	-	1,311,479	355,600
1631	FTA 5339	9,665,839	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	763,840	-	763,840	-	-	216,943	546,897
1633	FTA 5339	11,807,629	-	11,807,629	-	-	-	11,807,629
1634	FTA 5339	9,590,718	-	9,590,718	-	-	197,701	9,393,017
1668	TIGER (FTA)	460,808	-	460,808	-	45,341	415,468	-
<b>Total FTA Grants</b>		<b>\$35,621,714</b>	<b>-</b>	<b>\$35,621,714</b>	<b>-</b>	<b>45,341</b>	<b>\$2,930,035</b>	<b>\$32,646,339</b>
<b>HPP/VPP GRANTS</b>								
<b>Other Grants</b>								
1110	HEPP Travel Model	\$81,843	-	\$81,843	-	-	81,843	-
1112	SHRP2L Travel Analysis	\$522,496	-	\$522,496	-	2,450	449,768	70,278
<b>Total Other Grants</b>		<b>\$604,339</b>	<b>-</b>	<b>\$604,339</b>	<b>-</b>	<b>\$2,450</b>	<b>\$531,612</b>	<b>\$70,278</b>
<b>Total Federal Grants Budget</b>								
		<b>\$79,667,571</b>	<b>\$40,508,000</b>	<b>\$120,175,571</b>	<b>\$833,236</b>	<b>\$837,395</b>	<b>\$19,816,764</b>	<b>\$98,688,177</b>

**CLIPPER OPERATING BUDGET**  
As of September (25% of year)

<b>Clipper Operating</b>	<b>Total FY 2016-17 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	2,950,000	193,800	-	2,756,200
STA	11,378,757	1,787,826	-	9,590,931
Transit Operators	15,801,500	2,938,829	-	12,862,671
<b>Revenue</b>	<b>\$30,130,257</b>	<b>\$4,920,455</b>	<b>-</b>	<b>\$25,209,802</b>
<b>Expense</b>	<b>\$30,130,257</b>	<b>\$4,898,114</b>	<b>\$25,232,143</b>	<b>\$0</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
As of September (25% of year)

<b>Clipper I - Capital</b>	<b>LTD Budget Thru FY 2016-17</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	71,495,201	64,375,391	-	7,119,810
Card Sales	6,851,267	6,017,564	-	833,703
Cap and Trade	3,500,000	3,559,290	-	(59,290)
ARRA	11,167,891	11,167,891	-	-
FTA	25,009,181	21,626,711	-	3,382,470
STP	39,035,448	25,518,267	-	13,517,181
STA	22,159,756	19,388,097	-	2,771,659
Prop 1B	1,000,000	988,137	-	11,863
SFMTA	8,005,421	3,175,743	-	4,829,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	8,269,158	7,573,878	-	695,280
BATA	26,864,813	24,381,544	-	2,483,269
Transit Operators	13,857,000	692,853	-	13,164,147
WETA	603,707	603,707	-	-
Sales Tax	99,311	890,216	-	(790,905)
<b>Revenue</b>	<b>\$241,618,154</b>	<b>\$193,010,175</b>	<b>-</b>	<b>\$48,607,979</b>
<b>Expense</b>	<b>\$241,618,154</b>	<b>\$184,923,061</b>	<b>\$17,979,553</b>	<b>\$38,715,540</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
As of September (25% of year)

<b>Clipper II - Capital</b>	<b>LTD Budget Thru FY 2016-17</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
STP	4,569,554	2,668,220	-	1,901,334
TCP CMAQ Funds	2,684,772	-	-	-
Low Carbon Transit Operations (LCTOP)	3,559,290	-	-	-
BATA	260,000	259,802	-	198
STA	1,047,841	749,967	-	297,874
<b>Revenue</b>	<b>\$12,121,457</b>	<b>\$3,677,989</b>	<b>-</b>	<b>\$2,199,406</b>
<b>Expense</b>	<b>\$12,121,457</b>	<b>\$3,690,344</b>	<b>\$782,234</b>	<b>\$7,648,879</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	100,000			
<b>1051111 - Subtotal</b>	<b>100,000</b>	<b>-</b>	<b>-</b>	<b>100,000</b>
Implement Public Information Program	445,000			
Consultants		18,646	156,296	
<b>1051112 - Subtotal</b>	<b>445,000</b>	<b>18,646</b>	<b>156,296</b>	<b>270,058</b>
Regional Transportation Plan	764,761			
Ascent Environmental, Inc.		-	549,764	
Consultants		28,392	38,097	
Tschudin Consulting Group		7,310	141,198	
<b>1051121 - Subtotal</b>	<b>764,761</b>	<b>35,702</b>	<b>729,059</b>	<b>0</b>
Analyze Regional Data using GIS & Travel Models	475,000			
Consultants		11,760	-	
Corey, Canapary & Galanis		58,520	-	
ETC Institute		248,100	-	
Parsons Brinckerhoff, Inc.		-	140,974	
Redhill Group, Inc.		-	473	
RSG Inc.		14,850	-	
<b>1051122 - Subtotal</b>	<b>475,000</b>	<b>333,230</b>	<b>141,447</b>	<b>323</b>
Resilience (Sea Level Rise/Adaptation) Planning	991,834			
<b>1051126 - Subtotal</b>	<b>991,834</b>	<b>-</b>	<b>-</b>	<b>991,834</b>
Airport/Seaport/Freight Planning	450,000			
Cambridget Systematics			70,000	
<b>1051124 - Subtotal</b>	<b>450,000</b>	<b>-</b>	<b>70,000</b>	<b>380,000</b>
Non-Motorized Transportation Activities	284,000			
<b>1051125 - Subtotal</b>	<b>284,000</b>	<b>-</b>	<b>-</b>	<b>284,000</b>
Advocate Legislative Programs	578,100			
Carter, Wetch & Associates		19,456	-	
Government Relations		21,925	-	
<b>1051132 - Subtotal</b>	<b>578,100</b>	<b>41,381</b>	<b>-</b>	<b>536,719</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Agency Financial Management</b>	425,000			
Public Financial Managment			250,000	
Sungard Public Sector		1,000	-	
PWC		66,500	107,500	
<b>1011152 - Subtotal</b>	<b>425,000</b>	<b>67,500</b>	<b>357,500</b>	<b>(0)</b>
<b>Administrative Services</b>	320,000			
Pathways for High School		93,915	27,955	
PRN Ergonomics		48,300	-	
Consultants		-	3,360	
Carl Warren & Co.		-	14,250	
Cushman & Wakefield of California		-	20,000	
Koff & Associates		5,550	53,413	
<b>1011153 - Subtotal</b>	<b>320,000</b>	<b>147,765</b>	<b>118,978</b>	<b>53,257</b>
<b>Information Technology Services</b>	339,500			
Agreeya Solutions		14,480	185,520	
Visual Strategies		11,500	23,900	
Informatix, Inc.		5,540	-	
Nexlevel IT Inc.		1,320	-	
Pee;e Technologies			49,167	
<b>1011161 - Subtotal</b>	<b>339,500</b>	<b>32,840</b>	<b>258,587</b>	<b>48,074</b>
<b>Performance Measurement and Monitoring</b>	150,000			
CH2M Hill		-	24,165	
Consultants		949	75,738	
<b>1051212 - Subtotal</b>	<b>150,000</b>	<b>949</b>	<b>99,903</b>	<b>49,148</b>
<b>Regional Rideshare Program</b>	1,300,000			
<b>1051222 - Subtotal</b>	<b>1,300,000</b>	<b>-</b>	<b>-</b>	<b>1,300,000</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Regional Traveler Information</b>	1,895,000			
Civic Resource Group		-	290,415	
Consultants		4,720		
Faneuil Inc.		-	11,102	
Iteris Inc.		168	231,623	
Kimley-Horn & Associates		-	43,216	
SAIC		3,097	-	
<b>1051224 - Subtotal</b>	<b>1,895,000</b>	<b>7,985</b>	<b>576,356</b>	<b>1,310,659</b>
<b>Emergency Response Operations</b>	100,000			
Consultants				
<b>1051228 - Subtotal</b>	<b>100,000</b>	<b>-</b>	<b>-</b>	<b>100,000</b>
<b>Emergency Response Planning</b>	1,042,000			
URS Corporation		18,617	206,265	
<b>1051229 - Subtotal</b>	<b>1,042,000</b>	<b>18,617</b>	<b>206,265</b>	<b>817,119</b>
<b>Pavement Management Program (PMP)</b>	1,379,688			
Adhara Systems, Inc.		3,175	28,579	
AMS Consulting LLC		-	29,382	
Bellecci & Associates		-	11,243	
Capitol Asset & Pavement Services		-	32,651	
CH2M Hill		4,989	-	
DevMecca, LLC		222,893	602,128	
DevMecca, LLC		-	27	
Harros & Associates		-	33,054	
JG3 Consulting LLC		172	4,989	
Nicholas Consulting Engineers		172	33,448	
Quality Engineering Solutions		557	5,014	
<b>1051233 - Subtotal</b>	<b>1,379,688</b>	<b>231,958</b>	<b>780,515</b>	<b>367,215</b>
<b>Arterial Operations</b>				
DKS Associates			-	
Iteris Inc.			-	
Kimley-Horn And Associates			-	
TJKM Transportation		-	-	
<b>1051234 - Subtotal</b>	<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Incident Management</b>	200,000			
Iteris Inc.			4,600	
Kimley-Horn & Associates			2,000	
<b>1051235 - Subtotal</b>	<b>200,000</b>	<b>-</b>	<b>6,600</b>	<b>193,400</b>
<b>Freeway Performance Initiative</b>	50,000			
Cambridge Systematics			50,000	
<b>1051237 - Subtotal</b>	<b>50,000</b>	<b>-</b>	<b>50,000</b>	<b>-</b>
<b>Implement Lifeline Transportation Programs</b>	1,076,602			
Consultants		58,750		
Nelson/Nygard			109,440	
<b>1051311 - Subtotal</b>	<b>1,076,602</b>	<b>58,750</b>	<b>109,440</b>	<b>908,412</b>
<b>Climate Assessment Initiative</b>	35,000			
Consultants			35,000	
<b>1051413 - Subtotal</b>	<b>35,000</b>	<b>-</b>	<b>35,000</b>	<b>-</b>
<b>Regional Assistance Program</b>	218,000			
Pieriott & Associates, LLC			126,734	
<b>1051514 - Subtotal</b>	<b>218,000</b>	<b>-</b>	<b>126,734</b>	<b>91,266</b>
<b>State Programing, Monitoring and TIP Development</b>	314,933			
<b>1051515 - Subtotal</b>	<b>314,933</b>	<b>-</b>	<b>-</b>	<b>314,933</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of September (25% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
<b>Transit Sustainability Project</b>		1,055,070			
	City of Union City			30,000	
	Consultants			115,470	
	ECCTA			30,000	
	LAVTA			9,703	
	Solano Transportation Authority			120,000	
	Sonoma County Transit			5,000	
	City of Vacaville			10,000	
	Westcat			30,000	
<b>1051517 - Subtotal</b>		<b>1,055,070</b>	<b>-</b>	<b>350,173</b>	<b>704,897</b>
<b>New Freedom</b>					
	Nelson/Nygard			0	
<b>1051518 - Subtotal</b>		<b>-</b>	<b>-</b>	<b>-</b>	<b>-</b>
<b>Transit Core Capacity Study</b>					
	Arup North America Ltd.		-	(0)	
<b>1051519 - Subtotal</b>		<b>-</b>	<b>-</b>	<b>(0)</b>	<b>0</b>
<b>Transportation for Livable Communities Program</b>		1,571,358			
	Consultants		10,780	20,667	
	Placeworks		-	59,956	
	City of Santa Clara		-	60,000	
	Toole Design Group		-	52,991	
<b>1051611 - Subtotal</b>		<b>1,571,358</b>	<b>10,780</b>	<b>193,614</b>	<b>1,366,964</b>
<b>Climate Adaptation Consulting (BARC)</b>		109,215			
	Consultants		18,022	17,802	
<b>1051612- Subtotal</b>		<b>109,215</b>	<b>18,022</b>	<b>17,802</b>	<b>73,391</b>
<b>Legal</b>		750,000			
	Hanson and Bridgett		6,059	21,742	
	Glynn and Finley		450	99,775	
	Schiff Hardin LLP		(3,587)	16,386	
	Meyers Nave			7,904	
	Renne Sloan Holtzman Sakalili			69,446	
<b>1060000 - Subtotal</b>		<b>750,000</b>	<b>2,922</b>	<b>215,253</b>	<b>531,825</b>
<b>Total Operating Contract Services</b>		<b>16,420,061</b>	<b>1,027,046</b>	<b>4,599,521</b>	<b>10,793,494</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of September (25% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants	2,450	339,002
San Francisco Transportation Authority		192,610
<b>1051122 - Subtotal</b>	<b>2,450</b>	<b>531,612</b>
Kimley-Horn and Associates	172,951	
<b>1051222 - Subtotal</b>	<b>172,951</b>	<b>-</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates	-	125,684
<b>1051223 - Subtotal</b>	<b>-</b>	<b>125,684</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	444,111	1,534,434
Consultants	36,430	
Faneuil, Inc.		85,688
Iteris, Inc.	1,297	1,339,412
Kimley-Horn & Associates	23,901	292,160
<b>1051224 - Subtotal</b>	<b>505,739</b>	<b>3,251,694</b>
<b>Pavement Management Program (PMP)</b>		
Adhara Sysems, Inc.	24,510	220,586
AMS Consulting LLC		226,779
Bellecci & Assocaites		86,776
Capitol Asset & Pavement Services		252,017
Harris & Associates		255,126
JG3 Consulting	1,328	38,511
Nichols Consulting Engieners	1,328	258,162
Quality Engineering Solutions	4,300	38,699
<b>1051233 - Subtotal</b>	<b>31,466</b>	<b>1,376,656</b>
<b>Arterial Operations</b>		
Consultants		140,000
County of Santa Clara		567,000
DKS Associates		88,796
DKS Associates	13,470	83,440
City of Fremont		577,938
Iteris Inc.		433,622
Kimly-Horn and Associates		289,039
Kimly-Horn and Associates	5,142	44,358
Valley Tranportation Authority		156,697
<b>1051234 - Subtotal</b>	<b>18,612</b>	<b>2,380,890</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of September (25% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Implement Incident Management Program</b>		
URS Corporation		289,791
<b>1051235 - Subtotal</b>	<b>-</b>	<b>289,791</b>
<b>Freeway Performance Initiative</b>		
Atkins		187,949
Audio Visual Innovations Inc.		5,280
Cambridge Systematics	4,176	50,158
Dowling Associates		194,592
Fehr & Peers Associates		50,584
Kimly Horn Associates	3,406	436,827
Kittelson & Associates		149,949
<b>1051237 - Subtotal</b>	<b>7,582</b>	<b>1,075,339</b>
<b>Lifeline Program</b>		
City of Alameda		120,753
Central Contra Costa Transit		122,795
County of Contra Costa		105,684
Cycles of Change		203,336
Outreach		435,557
City of Richmond		65,000
San Mateo County Human Sevice		56,066
<b>1051310 - Subtotal</b>	<b>-</b>	<b>1,109,191</b>
<b>Implement Lifeline Transportation Program</b>		
Daly City		41,461
Marin Transit		288,435
Outreach		190,671
Peninsula Family Services		202,288
Peninsula Family Services		41,721
<b>1051311 - Subtotal</b>	<b>-</b>	<b>764,576</b>
<b>Lifeline Planning</b>		
Alta Planning and Design		250,780
Civic Resource Group		653
ICF Consulting	17,415	239,241
Toole Design Group		195,820
<b>1051413 - Subtotal</b>	<b>17,415</b>	<b>686,494</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
County Connection		51,109
Consultants		197,701
<b>1051512 - Subtotal</b>	<b>-</b>	<b>248,810</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of September (25% of year)**

Work Element/Consultant	Expended	Encumbered
<b>New Freedom - Non - Planning Funds</b>		
Alameda County CMA		26,774
Center for Independent Living		161,211
City of Alameda		148,272
Consultants		90
Sonoma County Human Services Department		55,642
<b>1051518 - Subtotal</b>	<b>-</b>	<b>391,989</b>
<b>Transit Core Capacity Study</b>		
Arup North America Ltd.	45,341	415,468
<b>1051519 - Subtotal</b>	<b>45,341</b>	<b>415,468</b>
<b>Transportation for Livable Communities</b>		
City of Alameda		250,000
Bay Conservation & Development Community		144,834
City of Berkely		571,103
City of Sunnyvale		265,702
Community Design and Architecture		193,514
Consultants (PO)	6,100	127,599
Dyett & Bhatia	6,420	
Fehr & Peers Associates	20,140	161,876
Nelson Nygaard		441,622
City of Oakland		632,300
Placeworks	3,179	
City of Richmond		222,080
San Francisco Transportation Authority		196,000
City and County of San Francisco		736,000
City of San Jose		1,363,782
City of San Leandro		440,000
Santa Clara VTA		140,385
City of Santa Clara, Caltrain		850,000
City of Sunnyvale		530,600
City of Walnut Creek		12,225
<b>1051611 - Subtotal</b>	<b>35,839</b>	<b>7,279,622</b>
<b>Fund 190 CMA PLANNING</b>	<b>-</b>	<b>-</b>
<b>Total Federal Grant Funded</b>	<b>837,395</b>	<b>19,816,764</b>

**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of September (25% of year)**

Work Element/Consultant		Budgeted	Expended	Encumbered	Balance
Capital Expenditures		600,000	37,711	82,072	
Subtotal		\$600,000	\$37,711	\$82,072	\$480,217
Hub Signage Program		11,851,495			
	Staff Costs		1,288,902		
	Consultants		972,148	10,235	
	Kimly-Horn and Associates		621,388	10,969	
	BART		4,168,144	1,034,311	
	Wilbur Smith Associates		100,850		
	City of Santa Rosa		89,424		
	Jacobs Carter Burgess		481,201		
	Fluoresco Lighting		448,201		
	Solari Corporation		188,388	5,000	
	Nematode Holdings, LLC		223,996	373	
	NCPTA		133,860		
	Ghirardelli Association		313,870	352	
3322650,2651,2652,2654 & 2655 Subtotal		\$11,851,495	\$9,030,372	\$1,061,240	\$1,759,883
Capital Projects Total		\$12,451,495	\$9,068,083	\$1,143,312	\$2,240,101

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>30,130,257</b>			
Staff Costs		235,817		
AC Transit		40,800	234,400	
AT&T				
Caribou Public Relations		5,975	194,025	
Consultants		21,349		
Cubic Transportation systems		4,447,465	24,225,568	
Moore, Iacofano, Goltsman			11,121	
Nematode Holdings LLC		65,722	219,174	
Resource Development Association		42,319	137,681	
Synapse Strategies		38,667	210,173	
<b>320122116 Clipper Operating Expenses</b>	<b>\$30,130,257</b>	<b>\$4,898,114</b>	<b>\$25,232,143</b>	<b>-</b>
<b>Clipper I - Capital</b>	<b>241,618,154</b>			
Staff costs		10,309,726		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		361,441	21,959	
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237		
Booz Allen Hamilton		12,611,565		
Caporicci & Larson		11,530		
Consultants		1,593,433	162,736	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		77,019,626	15,883,234	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251	52,286	
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		



**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of September (25% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	943,360	
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,235,535		
VenTek Transit, Inc.		411,586	915,979	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		17,415,638		
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$241,618,154</b>	<b>\$184,923,061</b>	<b>\$17,979,553</b>	<b>\$38,715,540</b>
<b>Clipper II- Capital</b>	<b>12,121,457</b>			
Staff Costs		1,579,384		
IBI Group		1,569,952	30,048	
Thompson Coburn LLP			198,014	
Consultants		29,428		
CH2M Hill Clipper Consultants		461,903	533,193	
Invoke Technologies		49,678	20,978	
<b>312 Clipper II - Total Expenses</b>	<b>\$12,121,457</b>	<b>\$3,690,344</b>	<b>\$782,234</b>	<b>\$7,648,879</b>

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

		As of September, 2016
Dakota Press		\$3,263
	<i>Printing and Reproductions</i>	
Stacey Carter		\$2,828
	<i>Artwork Rental - Three Months</i>	
Canon Financial Services		\$65,160
	<i>Lease Agreement for Printers</i>	
Canon Solutions		\$33,216
	<i>Copier Maintenance Usage</i>	
Nexlevel IT Inc.		\$12,788
	<i>Consultant - Development of IT Roadmap</i>	
Modern Express Courier		\$6,400
	<i>Mail pick-up from 101 8th Street - Metro Center Building</i>	
Transit Talent.Com		\$2,500
	<i>Personnel Recruitment Advertisements</i>	
Planetizen		\$2,500
	<i>Personnel Recruitment Advertisements</i>	
Softlink America Inc.		\$11,258
	<i>Library Software License Renewal</i>	
Ceridian Employer Services		\$29,000
	<i>FY 16/17 Payroll Services</i>	
Caseware International		\$9,600
	<i>Government Reporting License Renewal</i>	
KIS Computer Center		\$100,000
	<i>Staff Augmentation</i>	

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-200,000**

<b>Consultant</b>	<b>Purpose</b>	<b>As of September, 2016</b>
None this Month		

OPERATING INCOME  
Budget 2016-2017  
As of September, 2016

	1	4		
	Original FY 16/17 Budget	Revenue Received Actual		
Federal	\$2,891	\$2,053	11,563,337	2,052,706
State	\$172	\$29	687,933	29,437
TDA	\$3,125	\$3,343	12,500,000	3,343,322
BATA*	\$7,732	\$7,479	9,036,081	7,478,838
Other	\$2,781	\$1,050	11,124,599	1,049,992
Total	\$16,701	\$13,954	44,911,950	13,954,294
				13,954,294
				13,954,294

OPERATING EXPENDITURES  
MTC OPERATING BUDGET FOR FY 2016-2017  
As of September 2016

	1	4	\$24,010,714	
	Original FY 16/17 Budget	Actual	\$0 lgs \$24,010,714 staff	
Contract Services	\$15,387	\$5,627	\$15,387,012	5,626,566
Other	\$5,514	\$2,119	\$5,514,224	2,118,585
TOTAL OPERATING	\$20,901	\$7,745	\$20,901,236	\$7,745,151