



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
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Agenda Item 2c

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

RE: MTC Financial Statements for March 2016

Attached please find MTC financial statements for the nine-month period ending March 31, 2016. Major highlights of the nine-month period include:

(1) **Operating Income:** Overall operating income for the nine months is trending lower at 46% with 75% of the budget year expired.

(2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.1 million.

(3) **Operating Expenditures:** Total operating costs, excluding contracts, are at 68% for the nine month period, or 75% of the budget year. Contract services are well below budget at 18% which is not unusual since most are tied to grants or will run over multiple years. Computer Services are trending over budget at 82% due to the fact that computer license renewals and purchase orders are paid and encumbered at the beginning of the year.

(4) **Federal Grants:** There are five grants that are completed and will be closed out this fiscal year.

If there are any questions, please contact Brian Mayhew at (510) 817-5731.



Steve Heminger

Attachment

J:\COMMITTEE\Administration\2016 by Month\05_May'2016_Admin\2c_Financial Statement-March
'2016.docx

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2015-16
As of March 2016 (75% of year)

| | 1 | 2 | 3 | 4 |
|---|--------------------------------------|---------------------------|--|----------------------------------|
| Operating Revenue | FY 2015-16 Adopted Budget | Actual Revenue | Budget Balance Over/(Under) | % of Budget (col 2/1) |
| General Fund Revenue: | | | | |
| TDA | 12,300,000 | 9,750,830 | (2,549,170) | 79.3% |
| Interest | 20,000 | 28,764 | 8,764 | 143.8% |
| General Fund Total | 12,320,000 | 9,779,594 | (2,540,406) | 79.4% |
| Federal Planning Revenue: | | | | |
| FHWA | 8,078,052 | 5,892,187 | (2,185,865) | 72.9% |
| Sustainable Transportation Planning Grant | 300,000 | - | (300,000) | 0.0% |
| FHWA SP&R - SACOG | 150,000 | - | (150,000) | 0.0% |
| FTA | 5,186,702 | 1,882,595 | (3,304,107) | 36.3% |
| | 13,714,754 | 7,774,782 | (5,939,972) | 56.7% |
| State Funding Revenue: | | | | |
| STIP | 1,217,084 | 246,669 | (970,415) | 20.3% |
| State Revenue Total | 1,217,084 | 246,669 | (970,415) | 20.3% |
| Local Funding Revenue: | | | | |
| TFCA | 1,281,532 | 660,719 | (620,813) | 51.6% |
| ABAG | 137,500 | - | (137,500) | 0.0% |
| HOV | 490,000 | 314,256 | (175,744) | 64.1% |
| Pavement Management | 1,374,730 | 1,150,309 | (224,421) | 83.7% |
| BAAQMD | 500,000 | - | (500,000) | 0.0% |
| Motivate | 696,898 | 696,898 | - | 100.0% |
| Misc | 1,260,141 | 227,974 | (1,032,167) | 18.1% |
| Local Total | 5,740,801 | 3,050,156 | (2,690,645) | 53.1% |
| Transfers: | | | | |
| BATA Reimbursement | 4,014,203 | 967,301 | (3,046,902) | 24.1% |
| RAFC | 531,769 | 398,918 | (132,851) | 75.0% |
| SAFE | 3,967,540 | 739,637 | (3,227,903) | 18.6% |
| BATA 1% | 7,087,000 | 7,087,000 | - | 100.0% |
| AB 664 | 51,525 | 51,525 | - | 100.0% |
| 2% Transit Transfers | 513,509 | 22,784 | (490,725) | 4.4% |
| Transfers in - STA | 3,379,327 | 626,578 | (2,752,749) | 18.5% |
| Exchange Fund Transfer | 10,000,000 | - | (10,000,000) | 0.0% |
| Transfer from or (to) Reserve/Capital | 7,940,099 | 1,592,823 | (6,347,276) | 20.1% |
| Transfers Total | 37,484,972 | 11,486,566 | (25,998,406) | 30.6% |
| Total Operating Revenue | 70,477,611 | 32,337,767 | (38,139,844) | 45.9% |

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2015-16
As of March 2016 (75% of year)

| | 1 | 2 | 3 | 4 | 5 |
|-------------------------------------|--|---------------------------|--|----------------------------------|--------------------|
| Operating Expenditures | FY 2015-16 Adopted Budget | Actual Expense | Budget Balance Over/(Under) | % of Budget (col 2/1) | Encumbrance |
| Salaries & Benefits | 22,865,039 | 16,413,357 | (6,451,682) | 71.8% | 1,663,494 |
| Travel & Training | 402,000 | 240,893 | (161,107) | 59.9% | 41,950 |
| Commission Expense | | | | | |
| Commissioner Expense | 70,000 | 57,760 | (12,240) | 82.5% | - |
| Advisory Committees | 15,000 | 12,650 | (2,350) | 84.3% | - |
| Printing & Graphics | 134,100 | 40,582 | (93,519) | 30.3% | 49,019 |
| Computer Services | 1,505,700 | 1,229,452 | (276,248) | 81.7% | 259,896 |
| General Operations | 3,726,156 | 1,513,560 | (2,212,596) | 40.6% | 748,446 |
| Total operating | 28,717,995 | 19,508,254 | (9,209,741) | 67.9% | 2,762,805 |
| Contract Services | 41,759,616 | 7,517,111 | (34,242,505) | 18.0% | 10,811,860 |
| Total Operating Expenditures | 70,477,611 | 27,025,365 | (43,452,246) | 38.3% | 13,574,665 |

MTC CAPITAL BUDGETS
As of March 2016 (75% of year)

| <u>Capital</u> | <u>Total Budget</u> | <u>Actual</u> | <u>Encumbrance</u> | <u>Balance</u> |
|------------------------|-------------------------|---------------|--------------------|----------------|
| Transfer from Reserves | \$1,543,000 | - | - | \$1,543,000 |
| Expense | \$1,543,000 | \$40,331 | \$431,011 | \$1,071,658 |

| <u>Hub Signage Program</u> | <u>LTD Total Budget</u> | <u>LTD Actual</u> | <u>Encumbrance</u> | <u>LTD Balance</u> |
|----------------------------|-----------------------------|---------------------|--------------------|--------------------|
| Revenue (Prop 1B) | 9,856,450 | 9,856,450 | - | - |
| Real Time Sign - STA | 567,968 | 462,744 | - | 105,224 |
| RM2 | 362,000 | 111,290 | - | 250,710 |
| Revenue | \$10,786,418 | \$10,430,485 | - | \$355,933 |
| Expense | \$10,786,418 | \$8,888,317 | 1,195,628 | \$702,473 |

Life to Date Federal Grants Budget
As of March 2016 (75% of year)

| Fund Source | Project Description | FY 2015-16 Grant LTD Balance | FY 2015-16 New Grants | FY 2015-16 Amendments | FY 2015-16 Total Grants | FY 2015-16 Staff Actual | FY 2015-16 Consultant Actual | FY 2015-16 Encumbrances Includes LGS | Grant Remaining Balance |
|------------------------------------|---|---------------------------------|--------------------------|--------------------------|----------------------------|-------------------------------|------------------------------------|--|-------------------------------|
| STP Grants | | | | | | | | | |
| 1580 | Station Area Planning | \$2,159,406 | - | - | \$2,159,406 | - | 249,285 | 1,486,616 | \$423,505 |
| 1590 | Performance Monitoring* | 209,014 | - | - | \$209,014 | - | - | - | 209,014 |
| 1595 | Ramp Metering, TOS and FPI Projects*** | 410,412 | - | - | \$410,412 | 410,412 | - | - | - |
| 1801 | CMA Planning | 15,586,750 | - | - | 15,586,750 | 566,429 | 4,465,402 | 9,605,001 | 949,919 |
| 1803 | 511 Grant | 19,542,056 | - | - | 19,542,056 | 1,034,421 | 6,481,640 | 8,354,676 | 3,671,319 |
| 1805 | Regional Streets and Roads | 625,775 | - | - | 625,775 | 34,410 | 125,670 | 139,835 | 325,860 |
| 1806 | Pavement Management | 2,658,236 | - | - | 2,658,236 | - | 697,325 | 651,311 | 1,309,601 |
| 1811 | PDA Planning (ABAG) | 680,000 | - | - | 680,000 | - | 430,213 | 229,787 | 20,000 |
| 1812 | Regional PDA Planning | 8,124,570 | - | - | 8,124,570 | - | 880,747 | 5,845,510 | 1,398,313 |
| 1816 | Arterial Operations | 2,500,000 | - | - | 2,500,000 | 1,000 | - | 1,340,000 | 1,159,000 |
| Total STP Grants | | \$52,496,219 | - | - | \$52,496,219 | \$2,046,672 | \$13,330,281 | \$27,652,736 | \$9,466,530 |
| CMAQ Grants | | | | | | | | | |
| 1589 | Arterial Operations (PASS Program) | 3,562,507 | - | - | 3,562,507 | 523,437 | 514,184 | 498,778 | 2,026,108 |
| 1591 | Climate Initiatives Program Public Outreach | 2,045,710 | - | - | 2,045,710 | 10,884 | 399,680 | 813,316 | 821,830 |
| 1592 | Climate Initiatives Evaluation | 1,055,254 | - | - | 1,055,254 | 42,613 | 158,279 | 751,012 | 103,350 |
| 1596 | Freeway Performance Initiative | 5,386,636 | - | - | 5,386,636 | 466,585 | 408,901 | 1,191,919 | 3,319,232 |
| 1800 | Incident Management | 5,068,154 | - | - | 5,068,154 | 276,585 | (25,000) | 547,943 | 4,268,627 |
| 1804 | 511 Grant | 5,975,971 | - | - | 5,975,971 | 785,519 | 720,911 | 3,131,433 | 1,338,108 |
| 1809 | FPI Corridor Studies | 3,162,712 | - | - | 3,162,712 | 374,675 | 61,988 | 533,602 | 2,192,447 |
| 1814 | Regional Bicycle Sharing Program | 1,556,747 | - | - | 1,556,747 | - | 41,436 | 220,989 | 1,294,322 |
| New | Incident Management*** | \$0 | 10,840,000 | - | \$10,840,000 | - | - | - | 10,840,000 |
| New | Climate Initiatives Cycle 2*** | \$0 | 7,000,000 | - | \$7,000,000 | - | - | - | 7,000,000 |
| Total CMAQ Grants | | \$27,813,691 | \$17,840,000 | - | \$45,653,691 | \$2,480,297 | \$2,280,378 | \$7,688,991 | \$33,204,024 |
| FTA GRANTS | | | | | | | | | |
| 1613 | JARC** | 4,549 | - | - | 4,549 | - | 4,549 | - | - |
| 1614 | JARC | 347,421 | - | - | 347,421 | - | - | - | 347,421 |
| 1623 | New Freedom | 126,594 | - | - | 126,594 | - | (7,093) | 51,108 | 82,579 |
| 1624 | New Freedom** | 7,093 | - | - | 7,093 | - | 7,093 | - | - |
| 1625 | JARC | 304,533 | - | - | 304,533 | - | - | 35,000 | 269,533 |
| 1626 | New Freedom | 195,925 | - | - | 195,925 | - | 44,728 | 147,033 | 4,164 |
| 1627 | JARC | 287,182 | - | - | 287,182 | - | 16,285 | 182,165 | 88,732 |
| 1628 | New Freedom | 293,611 | - | - | 293,611 | - | 74,243 | 219,366 | 3 |
| 1629 | JARC | 682,419 | - | - | 682,419 | 15,526 | 72,139 | 594,754 | - |
| 1630 | JARC | 2,154,267 | - | - | 2,154,267 | - | 117,337 | 1,681,330 | 355,600 |
| 1631 | FTA 5339 | 9,665,839 | - | - | 9,665,839 | - | - | - | 9,665,839 |
| 1632 | New Freedom | 957,838 | - | - | 957,838 | - | 163,431 | 247,510 | 546,897 |
| 1633 | FTA 5339 | 12,240,015 | 11,565,979 | - | 23,805,994 | - | - | 432,386 | 23,373,608 |
| 1634 | FTA 5339 | 9,590,718 | - | - | 9,590,718 | - | - | - | 9,590,718 |
| 1668 | TIGER (FTA) | 887,860 | - | - | 887,860 | - | 301,587 | 586,273 | - |
| Total FTA Grants | | \$37,745,864 | \$11,565,979 | - | \$49,311,843 | \$15,526 | \$794,300 | \$4,176,924 | \$44,325,093 |
| HPP/VPP GRANTS | | | | | | | | | |
| 1739 | VPPL Value Pricing** | 119,276 | - | - | 119,276 | - | 118,506 | - | 770 |
| Total HPP/VPP Grants | | 119,276 | - | - | 119,276 | - | 118,506 | - | 770 |
| Other Grants | | | | | | | | | |
| 1110 | Travel Model Research MTC/SFCTA | - | 90,000 | - | 90,000 | - | 4,830 | 85,170 | - |
| 1111 | BHWA - Climate Change Adaptation Study** | 105 | - | - | 105 | - | - | - | 105 |
| 1112 | SHRP2L Travel Analysis | 658,056 | - | - | 658,056 | - | 7,700 | 528,678 | 121,678 |
| Total Other Grants | | 658,161 | 90,000 | - | 748,161 | - | 12,530 | 613,848 | 121,783 |
| Total Federal Grants Budget | | \$118,833,211 | \$29,495,979 | - | 148,329,190 | \$4,542,495 | \$16,535,995 | \$40,132,500 | \$87,118,200 |

* Grant is expired and closed out this Fiscal Year.

** Project is completed and grant will be closed out this Fiscal Year.

*** Will apply in FY 2016-17.

**** Grant will be closed out when the CMAQ portion (1596) is fully spent.

CLIPPER OPERATING BUDGET
As of March 2016 (75% of year)

| Total FY2015-16 | | | | |
|-------------------|---------------------|---------------------|---------------------|--------------------|
| Clipper Operating | Budget | Actual | Encumbrance | Balance |
| RM2 | 2,894,656 | 1,275,050 | - | 1,619,606 |
| STA | 18,476,425 | 5,839,551 | - | 12,636,874 |
| Transit Operators | 18,321,937 | 9,935,981 | - | 8,385,956 |
| Revenue | \$39,693,018 | \$17,050,581 | - | 22,642,437 |
| Expense | \$39,693,018 | \$17,050,581 | \$15,660,236 | \$6,982,201 |

CLIPPER CAPITAL BUDGET (Life to Date)
As of March 2016 (75% of year)

| Clipper Capital | LTD Budget Thru FY 2015-16 | Actual | Encumbrance | Project Balance L-T-D |
|-------------------|-------------------------------|----------------------|---------------------|-----------------------------|
| CMAQ | 71,495,201 | 60,283,918 | - | 11,211,283 |
| Card Sales | 4,851,267 | 4,342,380 | - | 508,887 |
| ARRA | 11,167,891 | 11,167,891 | - | - |
| FTA | 25,009,181 | 21,626,711 | - | 3,382,470 |
| STP | 43,605,002 | 26,139,526 | - | 17,465,476 |
| STA | 21,207,597 | 22,668,478 | - | (1,460,881) |
| Prop 1B | 1,000,000 | 988,137 | - | 11,863 |
| SFMTA | 8,005,421 | 3,136,075 | - | 4,869,346 |
| GGBHTD | 2,975,000 | 2,638,123 | - | 336,877 |
| BART | 725,000 | 412,762 | - | 312,238 |
| MTC Exchange Fund | 8,269,158 | 7,572,638 | - | 696,520 |
| BATA | 27,124,813 | 24,324,692 | - | 2,800,121 |
| Transit Operators | 11,807,000 | 657,776 | - | 11,149,224 |
| WETA | 603,707 | 603,707 | - | 0 |
| Sales Tax | 99,311 | 890,216 | - | (790,905) |
| Revenue | \$237,945,549 | 187,453,030 | - | 50,492,519 |
| Expense | \$237,945,549 | \$182,839,353 | \$18,970,289 | \$36,135,907 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|----------------|------------------|------------------|
| Support to the Commission | 54,625 | | | |
| 1051111 - Subtotal | 54,625 | - | - | 54,625 |
| Implement Public Information Program | 465,000 | | | |
| Consultants | | 204,673 | 172,139 | |
| 1051112 - Subtotal | 465,000 | 204,673 | 172,139 | 88,188 |
| Regional Transportation Plan | 1,551,482 | | | |
| Bay Area Economic Council | | 82,775 | - | |
| Cambridge Systematics | | | 75,000 | |
| Consultants | | 81,764 | 483,123 | |
| Tschudin Consulting Group | | | 200,000 | |
| 1051121 - Subtotal | 1,551,482 | 164,539 | 758,123 | 628,820 |
| Analyze Regional Data using GIS & Travel Models | 3,549,693 | | | |
| Consultants | | | 50,000 | |
| Corey, Canapary & Galanis | | 133,760 | 184,650 | |
| ETC Institute | | 21,667 | 1,223,588 | |
| Parsons Brinckerhoff, Inc. | | | 142,151 | |
| Redhill Group, Inc. | | 24,701 | 542 | |
| Synthicity, LLC | | 118,724 | 97,276 | |
| 1051122 - Subtotal | 3,549,693 | 298,852 | 1,698,207 | 1,552,634 |
| Airport/Seaport/Freight Planning | 945,199 | | | |
| Alameda County Transportation | | 70,797 | 66,857 | |
| Cambridget Systematics | | 29,250 | 145,670 | |
| Consultants | | 9,926 | 22,698 | |
| 1051124 - Subtotal | 945,199 | 109,973 | 235,225 | 600,001 |
| Non-Motorized Transportation Activities | 1,196,898 | | | |
| Bay Area Motivate, LLC | | 100,000 | | |
| Consultants | | 696,898 | | |
| 1051125 - Subtotal | 1,196,898 | 796,898 | - | 400,000 |
| Advocate Legislative Programs | 514,000 | | | |
| Carter, Wetch & Associates | | 46,133 | 23,067 | |
| Government Relations | | 175,400 | 87,700 | |
| 1051132 - Subtotal | 514,000 | 221,533 | 110,767 | 181,700 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|------------------|------------------|----------------|
| Agency Financial Management | 619,422 | | | |
| Sungard Opublic Professional | | 21,858 | 100,210 | |
| PWC | | 222,248 | | |
| 1011152 - Subtotal | 619,422 | 244,106 | 100,210 | 275,106 |
| Administrative Services | 591,253 | | | |
| Pathways for High School | | 129,043 | 12,752 | |
| Koff & Associates | | 7,667 | 2,323 | |
| Carl Warren & Company | | 5,075 | 16,800 | |
| Cushman & Walefield of California | | | 20,000 | |
| PRN Ergonomic Services | | 13,170 | 21,830 | |
| 1011153 - Subtotal | 591,253 | 154,956 | 73,704 | 362,593 |
| Information Technology Services | 753,871 | | | |
| DLT Solutions | | 13,857 | | |
| Share Squared Inc. | | | 103,871 | |
| Contoural Inc File Share Migration | | 33,857 | 42,383 | |
| Peelle Technologies | | 833 | 49,167 | |
| Nexlevel IT Inc. Development | | 56,843 | 22,358 | |
| KIS Computer Center | | | 18,700 | |
| 1011161 - Subtotal | 753,871 | 105,391 | 236,478 | 412,002 |
| Performance Measurement and Monitoring | 325,651 | | | |
| CH2M Hill | | 35,532 | 39,468 | |
| Consultants | | 71,403 | 51,630 | |
| | | | 40,000 | |
| 1051212 - Subtotal | 325,651 | 106,935 | 131,098 | 87,618 |
| Regional Rideshare Program | 1,283,626 | | | |
| Parsons Brinkerhoff | | 486,994 | 768,017 | |
| 1051222 - Subtotal | 1,283,626 | 486,994 | 768,017 | 28,615 |
| Operational Support for Regional Programs | 539,958 | | | |
| Atkins | | | 84 | |
| Cambridge Systematics | | | 52 | |
| Consultants | | | 122,263 | |
| Iteris Inc. | | 66,827 | 133,173 | |
| Kimley-Horn | | | 10,559 | |
| Kimly-Horn and Associates | | | 277 | |
| 1051223 - Subtotal | 539,958 | 66,827 | 266,408 | 206,723 |
| Regional Traveler Information | 4,308,701 | | | |
| Civic Resource Group | | 588,875 | 757,997 | |
| Consultants | | 664 | 10,516 | |
| Faneuil, Inc. | | | 22,587 | |
| Iteris Inc. | | 111,584 | 274,492 | |
| Kimley-Horn & Associates | | 5,086 | 16,185 | |
| SAIC | | 320,745 | 920,195 | |
| SAIC (bd Systems Inc) | | 200,769 | 441,675 | |
| 1051224 - Subtotal | 4,308,701 | 1,227,723 | 2,443,647 | 637,331 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|----------------|----------------|------------------|
| Emergency Response Operations | 125,000 | | | |
| Consultants | | | | |
| 1051228 - Subtotal | 125,000 | - | - | 125,000 |
| Emergency Response Planning | 1,915,247 | | | |
| Consultants | | 19,760 | 21,869 | |
| URS Corporation | | 105,557 | 334,062 | |
| 1051229 - Subtotal | 1,915,247 | 125,317 | 355,931 | 1,433,999 |
| Pavement Management Program (PMP) | 2,091,899 | | | |
| Adhara Systems, Inc. | | 13,794 | 90,114 | |
| AMS Consulting LLC | | 13,150 | 18,817 | |
| Bellecci & Associates | | | 2,039 | |
| CA State University, Chico | | | 100,000 | |
| Capitol Asset & Pavement Services | | 17,220 | 14,804 | |
| CH2M Hill | | 95,529 | 61,787 | |
| Consultants | | | 5,724 | |
| DevMecca, LLC | | 472,348 | 27,653 | |
| DevMecca, LLC | | 291,610 | 133,407 | |
| Harros & Associates | | 32,345 | 21,807 | |
| JG3 Consulting LLC | | 3,595 | 2,851 | |
| Nicholas Consulting Engineers | | 32,916 | 35,983 | |
| Quality Engineering Solutions | | 3,458 | 3,458 | |
| 1051233 - Subtotal | 2,091,899 | 975,965 | 518,444 | 597,490 |
| Arterial Operations | 319,433 | | | |
| Consultants | | 7,075 | 5,590 | |
| DKS Associates | | | 21,150 | |
| Iteris Inc. | | 89,815 | 39,406 | |
| TJKM Transportation | | | 34,800 | |
| 1051234 - Subtotal | 319,433 | 96,890 | 100,946 | 121,597 |
| Incident Management | 140,000 | | | |
| Iteris Inc. | | 39,400 | 17,600 | |
| Kimley-Horn & Associates | | 10,000 | 30,000 | |
| 1051235 - Subtotal | 140,000 | 49,400 | 47,600 | 43,000 |
| Freeway Performance Initiative | 1,132,479 | | | |
| Cambridge Systematics | | 25,376 | 55,829 | |
| Consultants | | 2,994 | 97,006 | |
| Feher & Peers Associates | | 11,708 | 140,151 | |
| Kittelson & Associates | | | 1,346 | |
| URS Corporation | | 73,500 | 42,290 | |
| 1051237 - Subtotal | 1,132,479 | 113,578 | 336,622 | 682,279 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|------------------|----------------|----------------|------------------|
| Implement Lifeline Transportation Programs | 1,548,940 | | | |
| CH2M Hill | | 80,736 | 119,204 | |
| Consultants | | | 60,000 | |
| 1051311 - Subtotal | 1,548,940 | 80,736 | 179,204 | 1,289,000 |
| Climate Assessment Initiative | 115,000 | | | |
| Consultants | | 2,561 | 32,439 | |
| 1051413 - Subtotal | 115,000 | 2,561 | 32,439 | 80,000 |
| Federal TIP Development | 211,865 | | | |
| CH2M Hill | | | | |
| 1051512 - Subtotal | 211,865 | - | - | 211,865 |
| Regional Assistance Program | 268,047 | | | |
| Pieriott & Associates, LLC | | 107,071 | 150,000 | |
| 1051514 - Subtotal | 268,047 | 107,071 | 150,000 | 10,976 |
| State Programing, Monitoring and TIP Development | 125,000 | | | |
| Consultants | | 93,600 | | |
| 1051515 - Subtotal | 125,000 | 93,600 | - | 31,400 |
| Transit Sustainability Project | 2,013,374 | | | |
| AC Transit | | | 40,000 | |
| ARUP North America Ltd | | | 6,525 | |
| City of Union City | | | 30,000 | |
| Consultants | | 40,735 | 119,185 | |
| ECCTA | | | 30,000 | |
| LAVTA | | | 30,000 | |
| Moore, Iacofano, Goltsman | | 10,631 | 10,123 | |
| Nelson Nygaard | | 93,160 | 6,840 | |
| Peninsula Corridor Joint Powers | | | 40,000 | |
| Solano Transportation Authority | | | 120,000 | |
| Sonoma County Transit | | | 30,000 | |
| Sonoma County Transportation | | | 20,000 | |
| City of Vacaville | | | 10,000 | |
| Water Transit Authority | | | 30,000 | |
| Westcat | | | 30,000 | |
| 1051517 - Subtotal | 2,013,374 | 144,526 | 552,673 | 1,316,175 |
| New Freedom | 5,000 | | | |
| 1051518 - Subtotal | 5,000 | - | - | 5,000 |
| Transit Core Capacity Study | 1,144,004 | | | |
| Arup North America Ltd. | | 295,062 | 579,748 | |
| 1051519 - Subtotal | 1,144,004 | 295,062 | 579,748 | 269,194 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|-------------------|------------------|-------------------|-------------------|
| Transportation for Livable Communities Program | 12,684,784 | | | |
| ABAG | | 1,120,837 | 595,255 | |
| Consultants | | 62,000 | 8,000 | |
| Toole Design Group | | 94 | 52,991 | |
| 1051611 - Subtotal | 12,684,784 | 1,182,931 | 656,246 | 10,845,607 |
| Liability Reserve | 1,220,165 | | | |
| Hanson and Bridgett | | 36,134 | 86,992 | |
| Glynn and Finley | | 6,365 | 113,568 | |
| Schiff Hardin LLP | | - | 25,000 | |
| Renne Sloan Holtzman Sakalili | | 17,575 | 82,425 | |
| 1060000 - Subtotal | 1,220,165 | 60,074 | 307,985 | 852,107 |
| Total Operating Contract Services | 41,759,616 | 7,517,111 | 10,811,860 | 23,430,645 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|------------------|------------------|
| Regional Transportation Plan | | |
| Consultants | 12,530 | 338,623 |
| San Francisco Transportation Authority | | 282,925 |
| 1051122 - Subtotal | 12,530 | 621,548 |
| Analyze Regional Data using GIS & Travel Models | | |
| City & County of San Francisco | 34,105 | 39,574 |
| Contra Costa Transportation Authority | 40,106 | 29,901 |
| Parsons Brinkerhoff | 1,537,269 | 2,948,635 |
| San Mateo Association of Governments | 35,000 | 35,000 |
| Solano Transportation Authority | 127,989 | 112,011 |
| 1051222 - Subtotal | 1,774,469 | 3,165,121 |
| Support Regional Traveler Information Services | | |
| Atkins | | 647 |
| Kimley-Horn and Associates | 187,951 | 257,300 |
| | | 2,141 |
| 1051223 - Subtotal | 187,951 | 260,088 |
| Regional Traffic Information Services | | |
| Civic Resource Group | 1,120,148 | 472,486 |
| Consultants | 5,123 | 81,158 |
| Faneuil, Inc. | | 174,335 |
| Iteris, Inc. | 95,642 | 1,726,489 |
| Kimley-Horn & Associates | 39,259 | 83,677 |
| SAIC | 2,484,644 | 3,829,242 |
| SAIC (bd Systems Inc) | 1,563,100 | 1,747,353 |
| 1051224 - Subtotal | 5,307,916 | 8,114,740 |
| Pavement Management Program (PMP) | | |
| Adhara Sysems, Inc. | 106,466 | 92,727 |
| AMS Consulting LLC | 101,500 | 145,233 |
| Bellecci & Associates | | 15,740 |
| Capitol Asset & Pavement Services | 132,910 | 114,267 |
| CH2M Hill | 4,549 | |
| Consultants | | 44,180 |
| Harris & Associates | 173,625 | 85,223 |
| JG3 Consulting | 27,745 | 22,009 |
| Nichols Consulting Engineers | 254,056 | 245,076 |
| Quality Engineering Solutions | 26,692 | 26,692 |
| 1051233 - Subtotal | 827,543 | 791,147 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|-----------------|------------------|
| Arterial Operations | | |
| Advantec Consulting Engineers | | 70,313 |
| City of Concord | 41,076 | 1,124 |
| Consultants | | 140,000 |
| County of Santa Clara | | 600,000 |
| DKS Associates | 87,085 | 132,720 |
| City of Fremont | | 600,000 |
| Iteris Inc. | 191,835 | 203,847 |
| Kimly-Horn and Associates | 113,990 | 114,010 |
| | 8,610 | 18,435 |
| TJKM Transportation | 106,475 | 81,025 |
| TJKM Transportation Consultant | 10,143 | 95 |
| Valley Transportation Authority | 43,480 | 164,857 |
| 1051234 - Subtotal | 602,694 | 2,126,426 |
| Implement Incident Management Program | | |
| Caltrans | (25,000) | 133,043 |
| URS Corporation | | 414,900 |
| 1051235 - Subtotal | (25,000) | 547,943 |
| Freeway Performance Initiative | | |
| Audio Visual Innovations Inc. | | 195,297 |
| Cambridge Systematics | 5,248 | 212,227 |
| Fehr & Peers Associates | 130,737 | 268,550 |
| Kimly Horn Associates | 178,095 | 551,557 |
| Kittelson & Associates | 68,298 | 149,949 |
| URS Corporation | | 27,437 |
| 1051237 - Subtotal | 382,378 | 1,405,017 |
| Lifeline Program | | |
| City of Alameda | | 187,957 |
| Central Contra Costa Transit | | 129,500 |
| County of Contra Costa | | 109,948 |
| Cycles of Change | 69,732 | 227,987 |
| Outreach | | 548,173 |
| City of Richmond | 25,000 | 90,000 |
| San Leandro Transportation Management Organization | | 60,911 |
| San Mateo County Human Service | 407 | 59,593 |
| Senior Helpline Services | 44,728 | 51,272 |
| 1051310 - Subtotal | 139,867 | 1,465,341 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|----------------|------------------|
| Implement Lifeline Transportation Program | | |
| City of San Leandro | | 35,000 |
| Daly City | | 95,860 |
| Marin Transit | 1,400 | 288,435 |
| Outreach | 70,739 | 306,319 |
| Peninsula Family Services | 22,199 | 267,260 |
| Peninsula Family Services | 16,285 | 86,305 |
| 1051311 - Subtotal | 110,623 | 1,079,179 |
| Lifeline Planning | | |
| Alta Planning and Design | 249,556 | 531,618 |
| Bay Area Bicycle Coalition | 40,000 | 110,000 |
| CA Center for Sustainable Energy | 33,333 | 76,741 |
| Civic Resource Group | | 653 |
| Consultants | 9,005 | 2,996 |
| ICF Consulting | 158,279 | 651,837 |
| O'Rorke Inc. | | 5,605 |
| Toole Design Group | 41,436 | 220,989 |
| 1051413 - Subtotal | 531,609 | 1,600,439 |
| Federal Programming, Monitoring and TIP Development | | |
| AC Transit | | 41,314 |
| County Connection | | 51,109 |
| Lighthouse for the Blind | | 54,447 |
| Outreach & Escort, Inc. | 54,155 | |
| Sonoma County Transit | | 432,386 |
| 1051512 - Subtotal | 54,155 | 579,256 |
| Transit Sustainability Planning | | |
| Arup North America Ltd. | 6,525 | |
| 1051517 - Subtotal | 6,525 | - |
| New Freedom - Non - Planning Funds | | |
| Alameda County | | 26,774 |
| Center for Independent Living | 133,984 | 184,550 |
| City of Alameda | | 148,272 |
| City of Richmond | 20,088 | |
| City of San Leandro | | 37,646 |
| Consultants | 8,565 | 90 |
| Peninsula Jewish Community Center | | 6,673 |
| Senior Helpline Services | 11,909 | |
| Sonoma County Human Services Department | 8973 | 62,870 |
| 1051518 - Subtotal | 183,519 | 466,875 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

| Work Element/Consultant | Expended | Encumbered |
|---|-------------------|-------------------|
| Transit Core Capacity Study | | |
| Arup North America Ltd. | 295,062 | 586,273 |
| 1051519 - Subtotal | 295,062 | 586,273 |
| Transportation for Livable Communities | | |
| AECOM Technical Services | 24,000 | |
| City of Alameda | | 250,000 |
| County of Alameda | 206,780 | 2,224 |
| Association of Bay Area Government | 884,648 | 474,352 |
| Bay Conservation and Development Community | 92,444 | 431,649 |
| City of Berkely | 178,898 | 571,103 |
| CDM Smith Inc. | 118,506 | |
| City of Santa Clara, Caltrain | | 850,000 |
| City of Sunnyvale | | 265,702 |
| Community Design and Architecture | 4,041 | 235,146 |
| Consultants (PO) | 3,020 | 139,980 |
| Dyett & Bhatia | | 16,050 |
| Fehr & Peers Associates | 168,731 | 234,535 |
| Nelson Nygaard | 269,898 | 553,551 |
| City of Oakland | 117,700 | 632,300 |
| Placeworks | 58,060 | 1,940 |
| City of San Jose | | 222,080 |
| City of Rhonert Park | | 448,000 |
| San Francisco Transporation Authority | | 300,000 |
| City and County of San Francisco | | 96,000 |
| City of San Jose | | 1,390,305 |
| City of San Leandro | | 440,000 |
| Santa Clara VTA | 42,505 | 140,385 |
| City of Sunnyvale | 56,400 | 530,600 |
| City of Walnut Creek | | 12,225 |
| 1051611 - Subtotal | 2,225,631 | 8,238,127 |
| Fund 190 CMA PLANNING | 3,918,522 | 8,857,048 |
| Total Federal Grant Funded | 16,535,995 | 39,904,568 |

CAPITAL PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|-------------------|------------------|-------------------|------------------|
| Capital Expenditures | 1,543,000 | 40,331 | 431,011 | |
| Subtotal | 1,543,000 | 40,331 | 431,011 | 1,071,658 |
| Hub Signage Program | 10,786,418 | | | |
| Staff Costs | | 1,271,235 | | |
| Consultants | | 1,096,609 | 101,234 | |
| Kimly-Horn and Associates | | 602,845 | 21,350 | |
| BART | | 3,985,769 | 1,044,546 | |
| Wilbur Smith Associates | | 100,850 | | |
| City of Santa Rosa | | 89,424 | | |
| Jacobs Carter Burgess | | 481,201 | | |
| Fluoresco Lighting | | 448,201 | | |
| Parsons Brinkerhoff | | 188,388 | | |
| Nematode Holdings, LLC | | 223,996 | | |
| NCPTA | | 133,860 | | |
| Ghirardelli Association | | 265,939 | 28,498 | |
| 3322650,2651,2652,2654 & 2655 Subtotal | 10,786,418 | 8,888,317 | 1,195,628 | 702,473 |
| Capital Projects Total | 12,329,418 | 8,928,647 | 1,626,639 | 1,774,132 |

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--------------------------------|--------------------|-------------------|-------------------|------------------|
| Clipper Operating | 39,693,018 | | | |
| Staff Costs | | 1,129,869 | | |
| AC Transit | | 122,400 | 122,400 | |
| Auriga Corporation | | 116,566 | 18,847 | |
| Caribou Public Relations | | 64,893 | 75,107 | |
| Consultants | | 675,891 | 334,770 | |
| Cubic Transportation systems | | 14,329,138 | 14,755,936 | |
| Moore, Iacofano, Goltsman | | 333,519 | 216,481 | |
| Nematode Holdings LLC | | 140,000 | 75,000 | |
| Synapse Strategies | | 138,305 | 61,695 | |
| 320122116 | 39,693,018 | 17,050,581 | 15,660,236 | 6,982,201 |
| Clipper Capital | 237,945,549 | | | |
| Staff costs | | 10,988,431 | | |
| A T & T | | 77,112 | | |
| AC TRANSIT | | 397,683 | | |
| Acumen Building Enterprise | | 302,151 | | |
| AT&T | | 13,445 | | |
| Auriga Corporation | | 315,692 | 80,000 | |
| BART | | 2,574,547 | | |
| BART | | 1,130,185 | | |
| Booz Allen Hamilton | | 8,082,237 | | |
| Booz Allen Hamilton | | 11,854,588 | 916,830 | |
| CH2M Hill | | 454,624 | 535,730 | |
| Caporicci & Larson | | 11,530 | | |
| Consultants | | 4,348,249 | 177,402 | |
| Cornerstone Transp. Consulting | | 110,119 | | |
| Cubic Transportation Systems | | 71,583,792 | 14,137,124 | |
| D-S-P | | 10,000 | | |
| Elmwood Consulting | | 11,603 | | |
| Fleishman-Hillard Inc. | | 175,760 | | |
| Glynn & Finley, LLP | | 199,990 | | |
| Golden Gate BHTD | | 46,347 | | |
| Golden Gate BHTD | | 38,790 | | |
| Golden Gate Transit District | | 25,270 | | |
| Hanson Bridgett Marcus Vlahos | | 5,000 | | |
| Hothouse Interactive | | 13,104 | | |
| IBI Group | | 856,071 | 656,810 | |
| Intl. Programming & Systems | | 29,491 | | |
| Invoke Technologies | | 156,962 | | |
| Karen Antion Consulting | | 290,397 | | |
| Kennison Metal Fabrication | | 225,361 | | |
| Kimley-Horn and Associates | | 667,251 | | |
| Kimley-Horn and Associates | | 337,390 | | |
| KPMG consulting | | 1,127,033 | | |
| Local Government Services | | 915,517 | | |
| Macias, Gini and Company | | 47,190 | | |
| Moore, Iacofano, Goltsman | | 128,627 | 121,373 | |

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|--------------------|--------------------|-------------------|-------------------|
| MOTOROLA (PHASE I) | | 2,166,458 | | |
| MOTOROLA (PHASE II) | | 37,511,848 | | |
| PB CONSULT | | 193,500 | | |
| Peninsula Corr. Joint Powers | | 2,079,685 | | |
| Pricewaterhouse Coopers | | 40,000 | | |
| Samtrans | | 149,013 | | |
| San Francisco Muni | | 579,882 | | |
| Santa Clara VTA | | 1,636,101 | | |
| SBC/MCI | | 1,128 | | |
| SF Muni | | 431,580 | | |
| Shiralian Management Group | | 83,160 | | |
| Synapse Strategies | | 437,245 | | |
| Solano County Transit | | 165,480 | 9,520 | |
| Solutions for Transit | | 182,013 | 7,988 | |
| Thompson Coburn LLP | | 19,459 | | |
| Valley Transportation Authority | | 2,235,535 | | |
| VenTek Transit, Inc. | | | 1,327,565 | |
| Water Emergency Transportation Authority | | 127,867 | | |
| RM2 Capital construction | | 17,247,862 | 999,947 | |
| Grand Total | 237,945,549 | 182,839,353 | 18,970,289 | 36,135,907 |

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$100,000

| | | As of March, 2016 |
|-----------------------|--|--------------------------|
| McCain Inc. | | \$24,763 |
| | <i>GPS Clocks ued to establish a common time source at traffic signals</i> | |
| EPLUS Technology Inc. | | \$11,917 |
| | <i>Computer License Renewal</i> | |
| DLT Solutions LLC | | \$72,153 |
| | <i>System Center Configuration Manager - Computer Capital</i> | |
| Bonfire Interactive | | \$18,750 |
| | <i>Computer License Renewal</i> | |
| Chargon Electric Inc. | | \$20,000 |
| | <i>Electrical Maintenance</i> | |
| County of Ventura | | \$54,600 |
| | <i>Computer License Renewal</i> | |
| Costar Group Inc. | | \$14,735 |
| | <i>Computer License Renewal</i> | |
| Grey Wall Software | | \$24,400 |
| | <i>Computer License Renewal</i> | |

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-100,000

| Consultant | Purpose | As of March, 2016 |
|--------------------|--------------------------------------|--------------------------|
| Yeamans Consulting | Means Based Study Project Consultant | \$60,000 |

Budget vs Actual Plus Encumbrance Salaries & Benefits

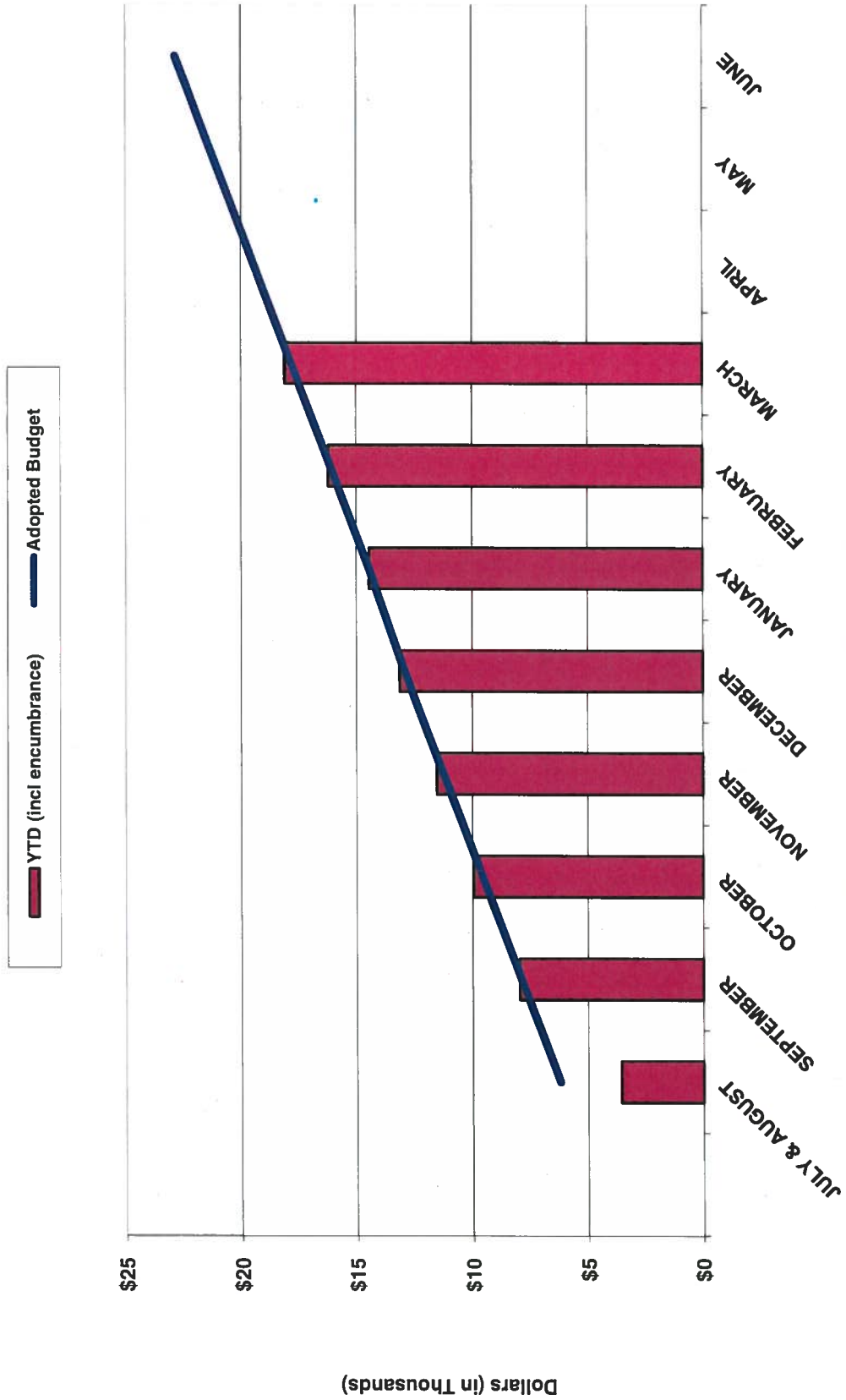
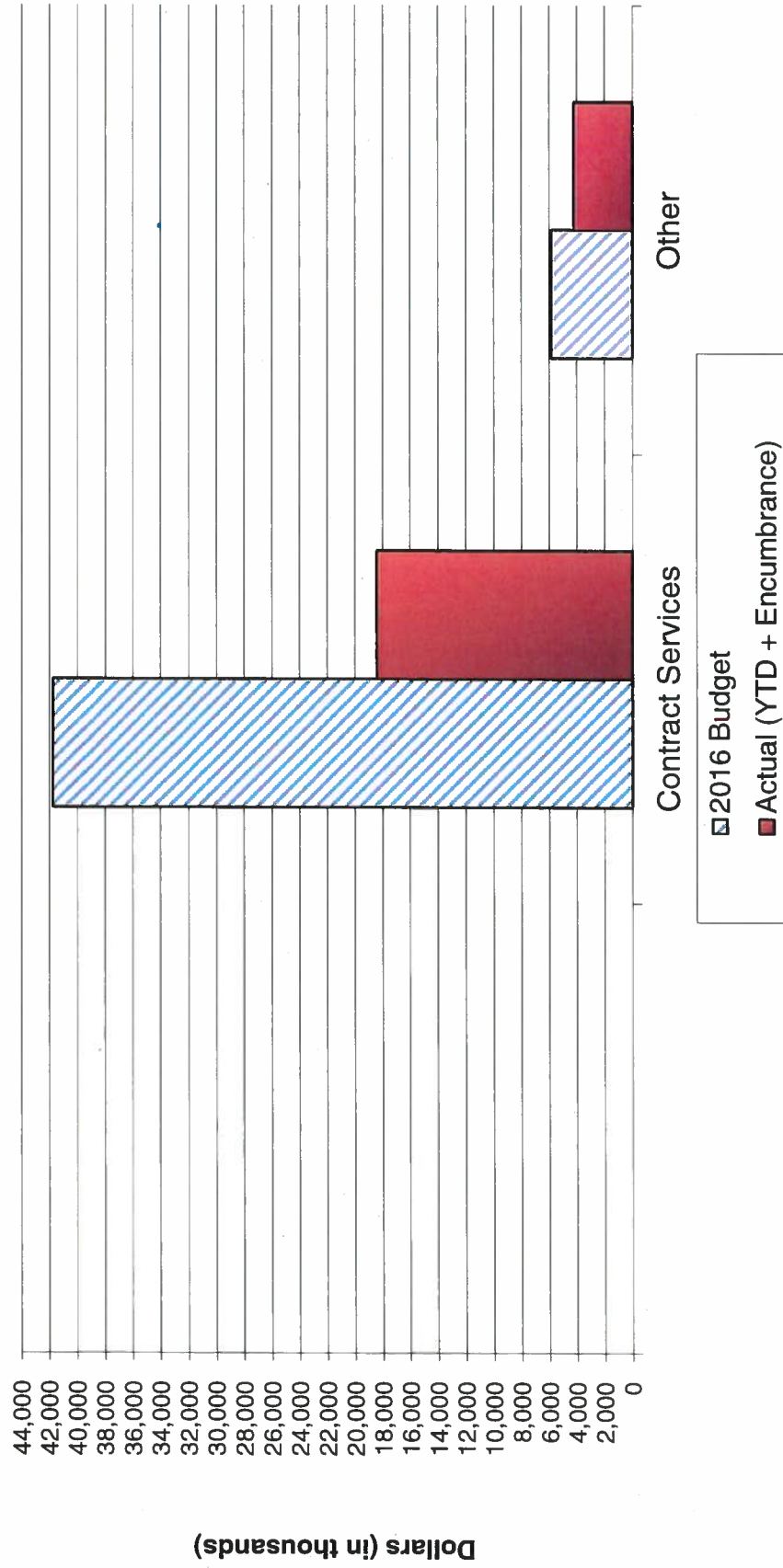
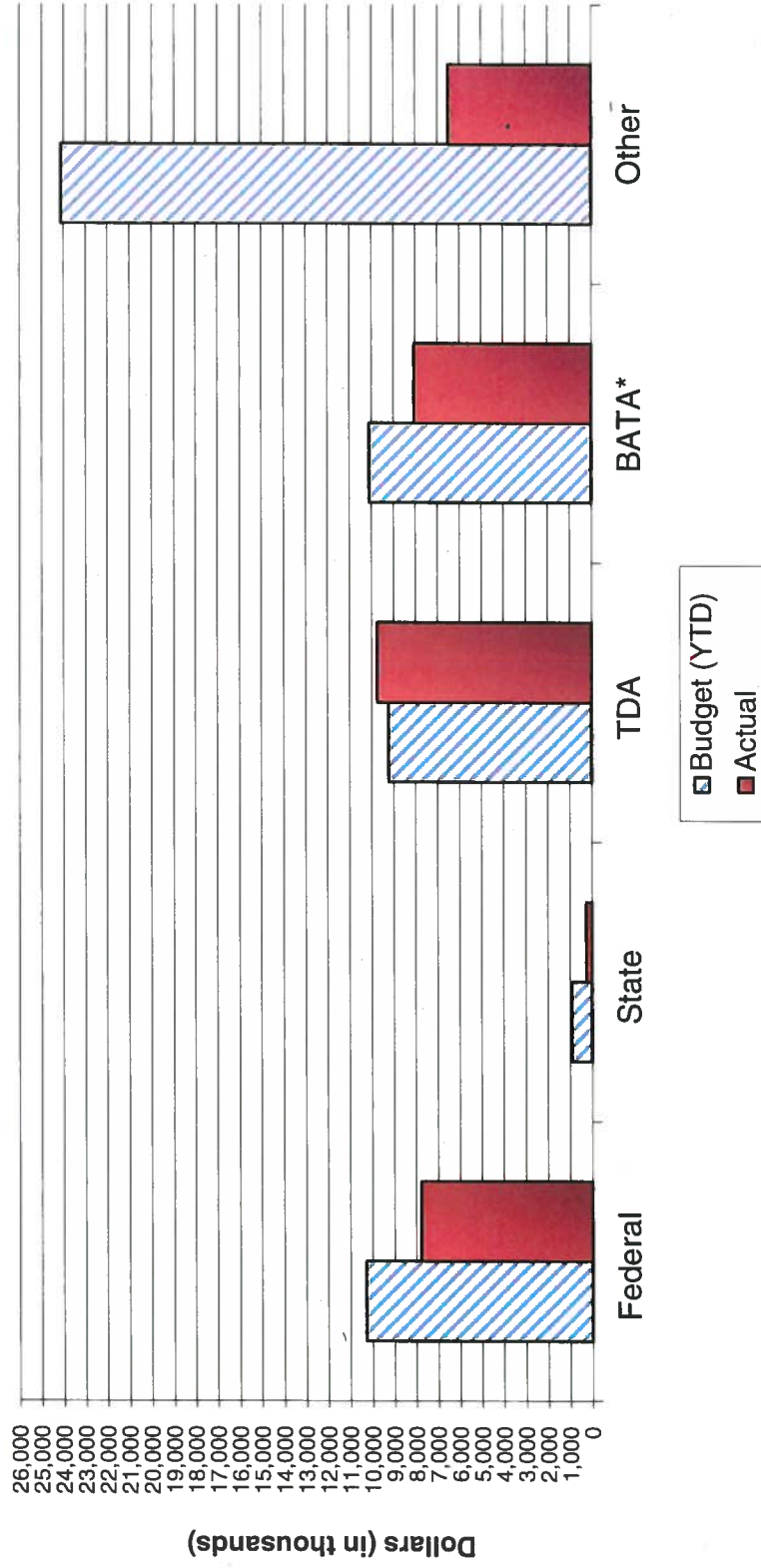


Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
March 2016



**Chart 1: Revenue Comparison between Budget and Actual
March 2016**



* Annual