

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6h

## MTC Resolution No. 4216

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**Subject:** FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17

**Background:** The Federal Transit Administration (FTA) Rural Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in rural areas.

MTC annually develops a regional Program of Projects for submittal to Caltrans. Caltrans then submits a statewide program to FTA for approval. MTC's objective is to program capital and operating funds to maintain needed transit services in the rural areas of the MTC region.

### **Funding availability**

Caltrans has instructed MTC to prepare the FY2015-16 regional program of projects under the assumption that the amount of funds available in FY2015-16 will be equal to the amount of funds available in FY2014-15. Furthermore, in order to prepare a two-year program, MTC assumed that funding will remain level in FY2016-17. The estimated amount of Rural Area funds available for the MTC region is approximately \$1.6 million in each fiscal year, or \$3.2 million over the two-year period.

These estimates are for programming purposes only, and were developed prior to the enactment of the FAST Act federal reauthorization, which increased Section 5311 authorizations by approximately two percent in FY2015-16 and another two percent in FY2016-17. The increased authorizations are expected to result in increased Section 5311 funds for the region. Should the actual regional apportionments be higher (or lower) than the amount estimated to be available, project sponsors will be notified and adjustments may be made in order to financially constrain the program.

### **Programming Process**

MTC Resolution No. 4036, the FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria, states that the region's Section 5311 funds will be distributed to transit operators using a formula system based on rural area population and rural area route miles.

Consistent with the Funding Objectives and Criteria, MTC requires recipients to give the highest priority to the replacement of capital equipment. If recipients request funds for operations, they are required to submit documentation explaining why the funds are not needed for capital replacement. Furthermore, if an operator does not want to participate in the Section 5311 program (e.g., if the operator's 5311 share is so small that the administrative effort required to apply for and report on the funds outweighs the benefits to the operator), then they are able to opt out of the program.

Per the request of the Solano Transportation Authority (STA), MTC provides a target programming amount for all of Solano County, and STA works with the Solano County transit operators to determine individual shares.

**Recommended Program of Projects**

MTC conducted a call for projects in November 2015. Some eligible operators did elect to opt out. Most operators who applied for funds are requesting operating rather than capital support, and submitted the required justification.

The following table lists the amounts recommended for Commission adoption for the two-year program of projects:

Sponsoring Agency	Project Title	Purpose	5311 Amount (\$)		
			FY16	FY17	Two-Year Total
LAVTA	Routes 2, 11, 12, 20	Operating	43,683	43,683	87,366
Marin Transit	West Marin Stagecoach	Operating	210,793	210,793	421,586
NCTPA	Northern Napa Co.	Operating	211,771	211,771	423,542
SamTrans	Coastside, Rt. 17	Operating	156,796	156,796	313,592
Solano County					
Dixon	Readi-Ride	Operating	204,090	240,590	444,680
Fairfield	Route 30	Operating	100,000	100,000	200,000
Rio Vista	Delta Breeze	Operating	105,000	68,500	173,500
Sonoma County Transit	Vehicle Replacements	Capital	485,124	485,124	970,248
VTA	Route 68	Operating	80,450	80,450	160,900
Total			1,597,707	1,597,707	3,195,414

**Issues:** None.

**Recommendation:** Refer MTC Resolution No. 4216 to the Commission for approval.

**Attachments:** MTC Resolution No. 4216

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4216

This resolution adopts the FY2015-16 and FY2016-17 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2015-16 and  
FY2016-17

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheet dated January 13, 2016.

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2015-16 and FY2016-17  
Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4216

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, a FY2015-16

and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2015-16 and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2015-16 and FY2016-17 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 27, 2016.

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2015-16 and FY2016-17**

**FY2015-16 Funding Available:**

Estimated Apportionments	\$	1,597,707
Prior Year Carryover:	\$	-
<b>Total Funding Available:</b>	<b>\$</b>	<b>1,597,707</b>

**FY 2015-16 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 204,090	\$ 381,206	\$ 585,296
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 229,003	\$ 329,003
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 43,683	\$ 35,267	\$ 78,950
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 210,793	\$ 170,181	\$ 380,974
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 211,771	\$ 170,971	\$ 382,742
Rio Vista	Operating Assistance (Delta Breeze)	\$ 105,000	\$ 290,700	\$ 395,700
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 156,796	\$ 126,587	\$ 283,383
Sonoma County Transit	Vehicle Replacements	\$ 485,124	\$ 62,853	\$ 547,977
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 80,450</u>	<u>\$ 64,950</u>	<u>\$ 145,400</u>
Total Programming		\$ 1,597,707	\$ 1,531,718	\$ 3,129,425
Total Available		<u>\$ 1,597,707</u>		
Available for Carryover		\$ -		

**FY2016-17 Funding Available:**

Estimated Apportionments:	\$	1,597,707
Prior Year Carryover:	\$	-
<b>Total Funding Available:</b>	<b>\$</b>	<b>1,597,707</b>

**FY 2016-17 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 240,590	\$ 344,706	\$ 585,296
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 235,874	\$ 335,874
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 43,683	\$ 35,267	\$ 78,950
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 210,793	\$ 170,181	\$ 380,974
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 211,771	\$ 170,971	\$ 382,742
Rio Vista	Operating Assistance (Delta Breeze)	\$ 68,500	\$ 152,803	\$ 221,303
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 156,796	\$ 126,587	\$ 283,383
Sonoma County Transit	Vehicle Replacements	\$ 485,124	\$ 62,853	\$ 547,977
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 80,450</u>	<u>\$ 64,950</u>	<u>\$ 145,400</u>
Total Programming		\$ 1,597,707	\$ 1,364,192	\$ 2,961,899
Total Available		<u>\$ 1,597,707</u>		
Available for Carryover		\$ -		