

# Metropolitan Transportation Commission Programming and Allocations Committee

December 9, 2015

Item Number 2f

## Resolution No. 4186, Revised, 4187, Revised and 4188, Revised

**Subject:** Transit Operating Allocations to Golden Gate Transit and BART.

**Background:** This month's proposed actions continue the annual transit operating fund allocation process for FY2015-16. The allocation requests are consistent with the adopted MTC Fund Estimate (Resolution 4177, Revised). Golden Gate Transit and BART have requested a combined total of \$39.6 million in FY2015-16 Transportation Develop Act (TDA), State Transit Assistance (STA), and Regional Measure 2 (RM2) Operating funds to support their transit operations.

Golden Gate Transit and BART have requested allocations of TDA and STA funds that exceed the \$1 million delegated authority limit. Allocation requests that are less than \$1 million are approved separately through the Executive Director's Delegated Authority process. Below is a summary of their requests by funding type:

| <b>Transit Operator/<br/>Claimant</b> | <b>RM2 Op.<br/>Resolution<br/>No. 4186</b> | <b>TDA<br/>Resolution<br/>No. 4187</b> | <b>STA<br/>Resolution<br/>No. 4188</b> | <b>Total</b>        |
|---------------------------------------|--|--|--|---------------------|
| Golden Gate Transit                   | \$ 2,175,863                               | \$17,812,022                           | \$ 4,464,825                           | <b>\$24,452,708</b> |
| BART                                  | -  | -                                      | \$15,179,275                           | <b>\$15,179,275</b> |
| <b>Total</b>                          | <b>\$ 2,175,863</b>                        | <b>\$17,812,022</b>                    | <b>\$19,644,100</b>                    | <b>\$39,631,983</b> |

Information regarding the operating budgets of the above operators is provided in Attachment A. Historically, and again this year, Golden Gate Transit has passed through funding to Marin Transit. The operators share the TDA and STA Population-based funds identified for Marin County. Starting next fiscal year, Marin Transit will claim their share of these funds directly from MTC.

**Issues:** Unfortunately, both Golden Gate and BART continue to follow the trend identified in our transit sustainability analysis: operating costs are growing much faster than hours of service provided. In the case of Golden Gate, costs are projected to grow nearly 15 times faster than service hours in FY 2015-16.

**Recommendation:** Refer MTC Resolution Nos. 4186, Revised, 4187, Revised and 4188, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 4186, Revised, 4187, Revised and 4188, Revised

## Attachment A - Transit Operator Budget Summary

| Operator                   | FY2014-15 Operating Budget | FY2015-16 Operating Budget | % Change | Revenue Vehicle Hours % Increase | FY2015-16 Operating Request*                                | Allocation Request as a % of Operating Budget | Highlight of FY2015-16 Budgets   |
|----------------------------|----------------------------|----------------------------|----------|----------------------------------|---|---|--|
| <b>Golden Gate Transit</b> | \$ 85,630,711              | \$ 98,664,571              | 15.2%    | 0.2%                             | \$ 20,143,697 and \$ 5,028,595 (Marin Transit pass through) | 20.4% (Golden Gate only)                      | <ul style="list-style-type: none"> <li>Increases in wages, medical and pension contributions account for most of the increase in cost. 3% cost of living pay increase starting with the July 1, 2015 pay period.</li> <li>Professional service decreased by an amount similar to the increase in the fuel budget. The cost of services decreased \$1.5 M due to projects completed in FY2014-15 and reduced needs for service in FY2015-16. The fuel budget increased \$2 M due to unexpectedly low fuel costs in FY2014-15.</li> <li>Bridge tolls are a significant source of transit operating funding for GGBHTD. A toll increase of \$0.25 was introduced July 1, 2015 with three more increases of a like amount planned annually through July 1, 2018. GGBHTD will consider adopting another five-year fare program. Under the current five-year fare program, fares increased 5% annually beginning in July 2011 and the last planned fare increase took effect on July 1, 2015.</li> </ul> |
| <b>BART</b>                | \$599,081,444              | \$656,131,526              | 9.52%    | 6.3%                             | \$ 15,980,299   | 2.4%  | <ul style="list-style-type: none"> <li>BART is adding 30 train cars during peak service and 16 more train trips each weekday.</li> <li>BART is increasing train maintenance to allow for more train cars in service and is increasing station maintenance in response to their customer survey results. Cost is \$4.8 million.</li> <li>BART will increase fares 3.4% in January; last increase was 2 years prior. Revenue will be directed toward their "Big 3" capital needs—additional rail cars, train control, and expanded maintenance complex.</li> <li>Warm Spring Extension will open and operating costs will be \$12.2 million (22% of the budget increase) for 6 months.</li> <li>Wages are increasing 3.7%. Fringe benefit costs are increasing 12%, two-thirds of which is due to increasing costs and one-third to additional staff.</li> </ul>   |

\* The allocation request includes funds that will be allocated through Executive Director's Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

Date: June 24, 2015  
W.I.: 1255  
Referred by: PAC  
Revised: 07/22/15-C  
12/16/15-C

ABSTRACT

Resolution No. 4186, Revised

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2015-16.

This resolution allocates funds to the Water Emergency Transportation Authority (WETA) and AC Transit.

This resolution was revised on July 22, 2015 to allocate funds to the San Francisco Municipal Transportation Agency (SFMTA).

This resolution was revised on December 16, 2015 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD).

Discussion of the allocations made under this resolution are contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2015, July 8, 2015, and December 9, 2015.

Date: June 24, 2015  
W.I.: 1255  
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2015-16

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION No. 4186

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636, Revised; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsors.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on June 24, 2015.

Date: June 24, 2015  
W.I.: 1255  
Referred by: PAC  
Revised: 07/22/15-C  
12/16/15-C

Attachment A  
MTC Resolution No. 4186  
Page 1 of 1

FY 2015-16 ALLOCATION OF REGIONAL MEASURE 2 FUNDS  
FOR TRANSIT OPERATIONS AND PLANNING

Funding for each route is limited to the amount identified in the FY2015-16 RM2 Operating Program (MTC Resolution 4185).  
All routes are required to meet performance standards identified in MTC's RM2 Policies and Procedures (MTC Resolution 3636).

| <b>Claimant</b> | <b>Project Description</b>  | <b>Allocation Amount</b> | <b>Allocation Code</b> | <b>Approval Date</b> | <b>Project Number</b> | <b>Farebox Requirement</b>               |
|-----------------|-----------------------------|--------------------------|------------------------|----------------------|-----------------------|--|
| WETA            | Planning and Administration | 3,000,000                | 01                     | 06/24/15             | 11                    | n.a.                                     |
| WETA            | Ferry Operating             | 15,300,000               | 02                     | 06/24/15             | 6                     | 40% Peak service,<br>30% All Day Service |
| AC Transit      | Express Bus Service         | 5,427,904                | 03                     | 06/24/15             | 4                     | 30% Peak,<br>20% All Day                 |
| AC Transit      | Dumbarton Bus               | 2,466,976                | 04                     | 06/24/15             | 5                     | 30% Peak,<br>20% All Day                 |
| AC Transit      | Owl Bus Service             | 1,333,623                | 05                     | 06/24/15             | 7                     | 10%                                      |
| AC Transit      | Enhanced/Rapid Bus Service  | 3,000,000                | 06                     | 06/24/15             | 9                     | n.a.                                     |
| SFMTA           | Metro 3rd Street Extension  | 2,500,000                | 07                     | 07/22/15             | 8                     | n.a.                                     |
| GGBHTD          | Route 40/42                 | 2,175,863                | 08                     | 12/16/15             | 1                     | 20% All Day                              |
| <b>Total</b>    |                             | <b>35,204,366</b>        |                        |                      |                       |  |

Date: June 24, 2015  
Referred by: PAC  
Revised: 07/22/15-C 08/26/15-DA  
09/23/15-C 10/28/15-C  
11/18/15-C 12/16/15-C

### ABSTRACT

#### Resolution No. 4187, Revised

This resolution approves the allocation of fiscal year 2015-16 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA), LAVTA, NCTPA, Tri Delta Transit (ECCTA), Petaluma, and WestCAT.

This resolution was revised on July 22, 2015 to allocate funds to Napa County Transportation and Planning Agency (NCTPA), San Francisco Municipal Transportation Agency (SFMTA), Solano County Transit (SolTrans), Sonoma County Transit, Tri Delta Transit (ECCTA), and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on August 26, 2015 to both allocate and rescind funds from County Connection (CCCTA).

This resolution was revised on September 23, 2015 to allocate funds to Fairfield and Suisun Transit (FAST).

This resolution was revised on October 28, 2015 to allocate funds to San Mateo County Transit District (SamTrans), and Union City.

This resolution was revised on November 18, 2015 to allocate funds to the City of Petaluma.

This resolution was revised on December 16, 2015 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2015, July 8, 2015, September 9, 2015, October 14, 2015, November 4, 2015, and December 9, 2015.



Date: June 24, 2015  
Referred by: PAC

Re: Allocation of Fiscal Year 2015-16 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4187

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2015-16 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2015-16 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2015-16 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 24, 2015.

Date: June 25, 2014  
 Referred by: PAC  
 Revised: 07/22/15-C 08/26/15-DA  
 09/23/15-C 10/28/15-C  
 11/18/15-C 12/16/15-C

Attachment A  
 MTC Resolution No. 4187  
 Page 1 of 2

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2015-16

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

| Claimant  | Project Description | Allocation Amount  | Alloc. Code | Approval Date | Apportionment Area/Footnotes | Note |
|---|---------------------|--------------------|-------------|---------------|------------------------------|------|
| <b>5801 - 99233.7, 99275 Community Transit Service - Operations</b> |                     |                    |             |               |                              |      |
| SamTrans  | Transit Operating   | 1,771,554          | 23          | 10/28/15      | San Mateo County             |      |
|   | <b>Subtotal</b>     | <b>1,771,554</b>   |             |               |                              |      |
| <b>5802 - 99260A Transit - Operations</b>                           |                     |                    |             |               |                              |      |
| LAVTA   | Transit Operating   | 9,476,888          | 01          | 06/24/15      | LAVTA                        |      |
| CCCTA   | Transit Operating   | 17,978,531         | 02          | 06/24/15      | CCCTA                        |      |
| WCCTA   | Transit Operating   | 2,474,911          | 03          | 06/24/15      | WCCTA                        |      |
| AC Transit  | Transit Operating   | 42,419,679         | 04          | 06/24/15      | AC Transit Alameda D1        |      |
| AC Transit  | Transit Operating   | 11,315,000         | 05          | 06/24/15      | AC Transit Alameda D2        |      |
| AC Transit  | Transit Operating   | 6,254,093          | 06          | 06/24/15      | AC Transit Contra Costa      |      |
| AC Transit  | Transit Operating   | 3,161,732          | 07          | 06/24/15      | Alameda County               | 1    |
| ECCTA   | Transit Operating   | 9,729,397          | 08          | 07/22/15      | ECCTA                        |      |
| SFMTA   | Transit Operating   | 43,280,753         | 10          | 07/22/15      | SFMTA                        |      |
| SFMTA   | Transit Operating   | 2,278,290          | 11          | 07/22/15      | San Francisco County         | 1    |
| VTA   | Transit Operating   | 91,430,754         | 12          | 07/22/15      | VTA                          |      |
| VTA   | Transit Operating   | 4,812,145          | 13          | 07/22/15      | Santa Clara County           | 1    |
| NCTPA   | Transit Operating   | 4,452,969          | 14          | 07/22/15      | NCTPA                        |      |
| SolTrans  | Transit Operating   | 3,896,195          | 15          | 07/22/15      | Vallejo/Benicia              |      |
| Sonoma County   | Transit Operating   | 7,188,143          | 16          | 07/22/15      | Sonoma County                |      |
| Sonoma County   | Transit Operating   | 216,445            | 16          | 07/22/15      | Petaluma                     |      |
| CCCTA   | Transit Operating   | (568,378)          | 02          | 08/26/15-DA   | CCCTA                        |      |
| FAST  | Transit Operating   | 1,425,348          | 21          | 09/23/15      | Fairfield                    |      |
| FAST  | Transit Operating   | 923,878            | 21          | 09/23/15      | Suisun City                  |      |
| SamTrans  | Transit Operating   | 32,212,723         | 24          | 10/28/15      | SamTrans                     |      |
| Union City  | Transit Operating   | 2,416,816          | 25          | 10/28/15      | Union City                   |      |
| Petaluma  | Transit Operating   | 1,243,007          | 26          | 11/18/15      | Petaluma                     |      |
| GGBHTD  | Transit Operating   | 12,381,914         | 27          | 12/16/15      | GGBHTD (Marin)               |      |
| GGBHTD  | Transit Operating   | 5,430,108          | 28          | 12/16/15      | GGBHTD (Sonoma)              |      |
|   | <b>Subtotal</b>     | <b>315,831,341</b> |             |               |                              |      |

**5803 - 99260A Transit - Capital**

|          |                 |                  |    |             |                 |
|----------|-----------------|------------------|----|-------------|-----------------|
| CCCTA    | Transit Capital | 1,304,000        | 09 | 06/24/15    | CCCTA           |
| SolTrans | Transit Capital | 1,841,204        | 17 | 07/22/15    | Vallejo/Benicia |
| NCTPA    | Transit Capital | 4,054,800        | 18 | 07/22/15    | NCTPA           |
| CCCTA    | Transit Capital | 790,000          | 09 | 08/26/15-DA | CCCTA           |
|          | <b>Subtotal</b> | <b>7,990,004</b> |    |             |                 |

**5807 - 99400C General Public - Operating**

|               |                   |                  |    |          |               |
|---------------|-------------------|------------------|----|----------|---------------|
| Sonoma County | Transit Operating | 1,252,084        | 19 | 07/22/15 | Sonoma County |
| Sonoma County | Transit Operating | 43,289           | 19 | 07/22/15 | Petaluma      |
| Fairfield     | Transit Operating | 1,244,880        | 22 | 09/23/15 | Fairfield     |
|               | <b>Subtotal</b>   | <b>2,540,253</b> |    |          |               |

**5812 - 99400D Planning & Admin - Operating**

|       |                             |                  |    |          |       |
|-------|-----------------------------|------------------|----|----------|-------|
| NCTPA | Planning and Administration | 1,822,850        | 20 | 07/22/15 | NCTPA |
|       | <b>Subtotal</b>             | <b>1,822,850</b> |    |          |       |

**TOTAL 329,956,002**

**Note:**

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: June 24, 2015  
Referred by: PAC  
Revised: 07/22/15-C

Attachment B  
Resolution No. 4187  
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2015-16  
TRANSPORTATION DEVELOPMENT ACT  
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8  
FUNDS TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

**Transportation Development Act Article 4 Funds**

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

6. As allowed by to Public Utilities Code § 99268.12, the farebox recovery for TDA 4 service operated by Napa County Transportation and Planning Agency (NCTPA), the farebox is set at 15% commencing with FY2015-16. NCTPA qualifies for a reduced farebox due its high proportion of riders that receive a discount fare.

### **Transportation Development Act Article 4.5 Funds**

#### **Public Utilities Code § 99275**

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

**Transportation Development Act Article 8 Transit Funds**

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date: June 24, 2015  
Referred by: PAC  
Revised: 07/22/15-C 10/28/15-C  
12/16/15-C

## ABSTRACT

### Resolution No. 4188, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2015-16.

This resolution allocates funds to AC Transit, County Connection (CCCTA), MTC, and WestCAT (WCCTA).

This resolution was revised on July 22, 2015 to allocate funds to San Francisco Municipal Transportation Agency (SFMTA), Tri Delta Transit (ECCTA), and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on October 28, 2015 to allocate funds to San Mateo County Transit District (SamTrans).

This resolution was revised on December 16, 2015 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD) and San Francisco Bay Area Rapid Transit (BART).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2015, July 8, 2015, October 14, 2015, and December 9, 2015.



Date: June 24, 2015  
Referred by: PAC

Re: Allocation of Fiscal Year 2015-16 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4188

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2015-16 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2015-16 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6754, MTC Resolution Nos. 3837 and 4184, and Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

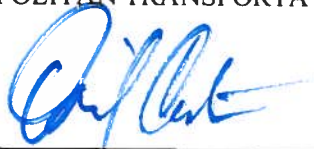
RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2015-16 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 24, 2015.

Date: June 24, 2015  
 Referred by: PAC  
 Revised: 07/22/15-C 10/28/15-C  
 12/16/15-C

Attachment A  
 MTC Resolution No. 4188  
 Page 1 of 2

# ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS DURING FISCAL YEAR 2015-16

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,  
 the Transit Coordination Implementation Plan.

| Claimant  | Project Description            | Allocation<br>Amount | Alloc.<br>Code | Approval Date | Apportionment Area   |
|---|--------------------------------|----------------------|----------------|---------------|----------------------|
| <b>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</b> |                                |                      |                |               |                      |
| CCCTA   | Transit Operations             | 2,004,761            | 01             | 06/24/15      | CCCTA                |
| ECCTA   | Transit Operations             | 1,159,791            | 09             | 07/22/15      | ECCTA                |
| GGBHTD  | Transit Operations             | 1,094,305            | 17             | 12/16/15      | Marin                |
|   | <b>Subtotal</b>                | <b>4,258,857</b>     |                |               |                      |
| <b>5820 - 6730A Operating Costs - Population-based Regional Paratransit</b>             |                                |                      |                |               |                      |
| VTA   | Paratransit Operations         | 1,175,189            | 10             | 07/22/15      | Santa Clara County   |
|   | <b>Subtotal</b>                | <b>1,175,189</b>     |                |               |                      |
| <b>5820 - 6730A Operations - Population-based Lifeline</b>                              |                                |                      |                |               |                      |
| AC Transit  | Cycle 4: Preserve Operations   | 3,583,129            | 02             | 06/24/15      | Alameda County       |
| AC Transit  | Cycle 4: Preserve Operations   | 1,740,785            | 03             | 06/24/15      | Alameda County       |
| AC Transit  | Cycle 4: Preserve Operations   | 1,423,905            | 04             | 06/24/15      | Contra Costa County  |
| SFMTA   | Cycle 4: Expand Late Night Tra | 3,511,930            | 11             | 07/22/15      | San Francisco County |
|   | <b>Subtotal</b>                | <b>10,259,749</b>    |                |               |                      |
| <b>5820 - 6730A Operating Costs - Revenue-based</b>                                     |                                |                      |                |               |                      |
| WCCTA   | Transit Operations             | 2,145,258            | 05             | 06/24/15      | BART                 |
| AC Transit  | Transit Operations             | 8,045,389            | 06             | 06/24/15      | AC Transit           |
| ECCTA   | Transit Operations             | 2,404,790            | 12             | 07/22/15      | BART                 |
| VTA   | Transit Operations             | 11,263,409           | 13             | 07/22/15      | VTA                  |
| SFMTA   | Transit Operations             | 36,379,697           | 14             | 07/22/15      | SFMTA                |
| SamTrans  | Transit Operations             | 3,681,392            | 15             | 10/28/15      | SamTrans             |
| SamTrans  | Transit Operations             | 5,046,388            | 16             | 10/28/15      | Caltrain             |
| GGBHTD  | Transit Operations             | 3,370,520            | 18             | 12/16/15      | GGBHTD               |
| BART  | Transit Operations             | 15,179,275           | 19             | 12/16/15      | BART                 |
|   | <b>Subtotal</b>                | <b>87,516,118</b>    |                |               |                      |

***5820 - 6730A Operating Costs - Population-based MTC Regional Coordination***

|     |                    |                   |    |          |     |
|-----|--------------------|-------------------|----|----------|-----|
| MTC | Transit Operations | 11,450,000        | 07 | 06/24/15 | MTC |
|     | <b>Subtotal</b>    | <b>11,450,000</b> |    |          |     |

***5821 - 6730B Capital Costs - Population-based MTC Coordination***

|     |                 |                  |    |          |     |
|-----|-----------------|------------------|----|----------|-----|
| MTC | Transit Capital | 2,560,000        | 08 | 06/24/15 | MTC |
|     | <b>Subtotal</b> | <b>2,560,000</b> |    |          |     |

**TOTAL 117,219,913**

Date: June 24, 2015  
Referred by: PAC

Attachment B  
Resolution No. 4188  
Page 1 of 2

ALLOCATION OF FISCAL YEAR 2015-16 STATE TRANSIT ASSISTANCE FUNDS  
TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Moving Ahead for Progress in the 21<sup>st</sup> Century Act (MAP-21), as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or areawide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.