



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Agenda Item: 2c

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## *Memorandum*

TO: Administration Committee

DATE: December 2, 2015

FR: Executive Director

RE: MTC Financial Statements for October 2015

Attached please find MTC financial statements for the four-month period ending October 31, 2015. Major highlights of the four-month period include:

- (1) **Operating Income:** Overall operating income for the four months is trending slightly lower at 25% with 33% of the budget year expired. Revenue from interest is trending ahead of budget at 39%.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.1 million.
- (3) **Operating Expenditures:** Total operating costs, excluding contracts, are at 30% for the four month period, or 33% of the budget year. Contract services are well below budget at 5% which is not unusual since most are tied to grants or will run over multiple years. Computer Services are trending over budget at 57% due to the fact that computer license renewals and purchase orders are paid and encumbered at the beginning of the year.
- (4) **Federal Grants:** There are four new grants in the FY 2015-16 budget that MTC will be applying for in the near future. There are two grants that are completed and will be closed out this fiscal year.

If there are any questions, please contact Eva Sun at (510) 817-5795.

Steve Heminger

Attachment

**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2015-16**  
 As of October 2015 33.3% of year)

	1	2	3	4
Operating Revenue	FY 2015-16 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
<b>General Fund Revenue:</b>				
TDA	12,300,000	4,102,656	(8,197,344)	33.4%
Interest	20,000	7,864	(12,136)	39.3%
General Fund Total	12,320,000	4,110,520	(8,209,480)	33.4%
<b>Federal Planning Revenue:</b>				
FHWA	8,078,052	2,660,860	(5,417,192)	32.9%
Sustainable Transportation Planning Grant	300,000	-	(300,000)	0.0%
FTA	5,186,702	838,278	(4,348,424)	16.2%
	13,564,754	3,499,138	(10,065,616)	25.8%
<b>State Funding Revenue:</b>				
STIP	1,217,084	59,940	(1,157,144)	4.9%
State Revenue Total	1,217,084	59,940	(1,157,144)	4.9%
<b>Local Funding Revenue:</b>				
TFCA	1,281,532	202,824	(1,078,708)	15.8%
HOV	490,000	116,998	(373,002)	23.9%
Pavement Management	974,730	292,471	(682,259)	30.0%
Misc	1,260,141	82,812	(1,177,329)	6.6%
Local Total	4,006,403	695,106	(3,311,297)	17.3%
<b>Transfers:</b>				
BATA Reimbursement	4,014,203	420,456	(3,593,747)	10.5%
RAFC	531,769	174,207	(357,562)	32.8%
SAFE	3,967,540	264,700	(3,702,840)	6.7%
BATA 1%	7,087,000	7,087,103	103	100.0%
AB 664				
2% Transit Transfers	513,509	9,166	(504,343)	1.8%
Transfers in - STA	3,379,327	76,617	(3,302,710)	2.3%
Exchange Fund Transfer	10,000,000	-	(10,000,000)	0.0%
Transfer from or (to) Reserve/Capital	7,913,991	739,730	(7,174,261)	9.3%
Transfers Total	37,458,864	8,771,979	(28,686,885)	23.4%
	-			
<b>Total Operating Revenue</b>	<b>68,567,105</b>	<b>17,136,683</b>	<b>(51,430,422)</b>	<b>25.0%</b>

**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2015-16**  
As of October 2015 33.3% of year)

	1	2	3	4	5
<b>Operating Expenditures</b>	<b>FY 2015-16 Adopted Budget</b>	<b>Actual Expense</b>	<b>Budget Balance Over/(Under)</b>	<b>% of Budget (col 2/1)</b>	<b>Encumbrance</b>
Salaries & Benefits	23,015,039	7,084,364	(15,930,675)	30.8%	2,846,534
Travel & Training	402,000	109,876	(292,124)	27.3%	29,481
Commission Expense					
Commissioner Expense	70,000	12,533	(57,467)	17.9%	-
Advisory Committees	15,000	6,600	(8,400)	44.0%	-
Printing & Graphics	134,100	12,855	(121,245)	9.6%	51,233
Computer Services	1,404,500	805,255	(599,245)	57.3%	694,921
General Operations	3,726,156	490,361	(3,235,795)	13.2%	792,702
<b>Total operating</b>	<b>28,766,795</b>	<b>8,521,844</b>	<b>(20,244,951)</b>	<b>29.6%</b>	<b>4,414,871</b>
Contract Services	39,800,310	1,912,650	(37,887,660)	4.8%	9,196,885
<b>Total Operating Expenditures</b>	<b>68,567,105</b>	<b>10,434,494</b>	<b>(58,132,611)</b>	<b>15.2%</b>	<b>13,611,757</b>

**MTC CAPITAL BUDGETS**  
**As of October 2015 33.3% of year)**

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$1,543,000	-	-	\$1,543,000
Expense	\$1,543,000	\$16,631	\$38,873	\$1,487,497

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Revenue (Prop 1B)	9,856,450	9,856,450	-	-
Real Time Sign - STA	567,968	415,522	-	152,446
RM2	362,000	158,512	-	203,488
Revenue	\$10,786,418	\$10,430,485	-	\$355,933
Expense	\$10,786,418	\$8,657,288	1,686,529	\$442,601

**Life to Date Federal Grants Budget**  
**As of October 2015 33.3% of year)**

Fund Source	Project Description	FY 2015-16 Grant LTD Balance	FY 2015-16 New Grants	FY 2015-16 Amendments	FY 2015-16 Total Grants	FY 2015-16 Staff Actual	FY 2015-16 Consultant Actual	FY 2015-16 Encumbrances Includes LGS	Grant Remaining Balance
<b>STP Grants</b>									
1580	Station Area Planning	\$2,159,406	-	-	\$2,159,406	-	-	1,735,901	\$423,505
1590	Performance Monitoring	209,014	-	-	209,014	-	-	207,141	1,873
1595	Ramp Metering, TOS and FPI Projects	410,412	-	-	410,412	410,412	-	-	-
1801	CMA Planning	15,586,750	-	-	15,586,750	64,193	-	13,656,866	1,865,691
1803	511 Grant	19,542,056	-	-	19,542,056	147,113	1,578,761	6,479,506	11,336,676
1805	Regional Streets and Roads	625,775	-	-	625,775	34,410	23,476	197,849	370,040
1806	Pavement Management	2,658,236	-	-	2,658,236	-	37,569	1,257,683	1,362,984
1811	PDA Planning (ABAG)	680,000	-	-	680,000	-	-	330,000	350,000
1812	Regional PDA Planning	8,124,570	-	-	8,124,570	-	118,207	6,368,863	1,637,500
1816	Arterial Operations	2,500,000	-	-	2,500,000	1,000	-	-	2,499,000
<b>Total STP Grants</b>		<b>\$52,496,219</b>	<b>-</b>	<b>-</b>	<b>\$52,496,219</b>	<b>\$657,128</b>	<b>\$1,758,013</b>	<b>\$30,233,809</b>	<b>\$19,847,269</b>
<b>CMAQ Grants</b>									
1589	Arterial Operations (PASS Program)	3,562,507	-	-	3,562,507	249,331	133,551	773,191	2,406,434
1591	Climate Initiatives Program Public Outreach	2,045,710	-	-	2,045,710	-	122,812	808,320	1,114,578
1592	Climate Initiatives Evaluation	1,055,254	-	-	1,055,254	35,310	50,572	866,022	103,350
1596	Freeway Performance Initiative	5,386,636	-	-	5,386,636	-	158,698	1,096,824	4,131,114
1800	Incident Management	5,068,154	-	-	5,068,154	110,100	-	522,943	4,435,112
1804	511 Grant	5,975,971	-	-	5,975,971	708,002	80,469	4,400,439	787,061
1809	FPI Corridor Studies	3,162,712	-	-	3,162,712	141,710	-	337,771	2,683,230
1814	Regional Bicycle Sharing Program	1,556,747	-	-	1,556,747	-	726	261,699	1,294,322
New	Incident Management	-	10,840,000	-	10,840,000	-	-	-	10,840,000
New	Climate Initiatives Cycle 2	-	7,000,000	-	7,000,000	-	-	-	7,000,000
<b>Total CMAQ Grants</b>		<b>\$27,813,691</b>	<b>\$17,840,000</b>	<b>-</b>	<b>\$45,653,691</b>	<b>\$1,244,452</b>	<b>\$546,828</b>	<b>\$9,067,210</b>	<b>\$34,795,201</b>
<b>FTA GRANTS</b>									
1613	JARC*	\$4,549	-	-	\$4,549	-	4,549	-	-
1614	JARC	347,421	-	-	347,421	-	-	-	347,421
1623	New Freedom	126,594	-	-	126,594	-	-	44,016	82,578
1624	New Freedom	7,093	-	-	7,093	-	-	-	7,093
1625	JARC	304,533	-	-	304,533	-	-	35,000	269,533
1626	New Freedom	195,925	-	-	195,925	-	-	191,761	4,164
1627	JARC	287,182	-	-	287,182	-	-	198,450	88,732
1628	New Freedom	293,611	-	-	293,611	-	-	293,608	3
1629	JARC	682,419	-	-	682,419	12,324	-	289,835	380,260
1630	JARC	2,154,267	-	-	2,154,267	-	-	1,788,667	365,600
1631	FTA 5339	9,665,839	-	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	957,838	-	-	957,838	-	11,908	399,033	546,898
1633	FTA 5339	12,240,015	11,565,979	-	23,805,994	-	-	-	23,805,994
1668	TIGER (FTA)	887,860	-	-	887,860	-	135,716	252,144	500,000
<b>Total FTA Grants</b>		<b>\$28,155,146</b>	<b>\$11,565,979</b>	<b>-</b>	<b>\$39,721,125</b>	<b>\$12,324</b>	<b>\$152,173</b>	<b>\$3,492,513</b>	<b>\$36,064,114</b>
<b>HPP/VPP GRANTS</b>									
1739	VPPL Value Pricing	\$119,276	-	-	\$119,276	-	-	\$119,276	-
<b>Total HPP/VPP Grants</b>		<b>119,276</b>	<b>-</b>	<b>-</b>	<b>119,276</b>	<b>-</b>	<b>-</b>	<b>119,276</b>	<b>-</b>
<b>Other Grants</b>									
1110	Travel Model Research MTC/SFCTA	-	90,000	-	90,000	-	-	-	90,000
1111	FHWA - Climate Change Adaptation Study *	105	-	-	105	-	-	-	105
1112	SHRP2L Travel Analysis	658,056	-	-	658,056	-	-	372,858	285,198
New	Climate Initiatives Cycle 2	-	7,713,000	-	7,713,000	-	-	-	7,713,000
<b>Total Other Grants</b>		<b>658,161</b>	<b>7,803,000</b>	<b>-</b>	<b>8,461,161</b>	<b>-</b>	<b>-</b>	<b>372,858</b>	<b>8,088,303</b>
<b>Total Federal Grants Budget</b>		<b>\$109,242,493</b>	<b>\$37,208,979</b>	<b>-</b>	<b>146,451,472</b>	<b>\$1,913,904</b>	<b>\$2,457,016</b>	<b>\$43,285,666</b>	<b>\$98,794,886</b>

1613 JARC\* Project is completed and grant will be closed out in FY15/16

1111 FHWA - Climate Change Adaptation Study \* Project is completed and grant will be closed out in FY15/16

**CLIPPER OPERATING BUDGET**  
As of October 2015 33.3% of year)

<b>Clipper Operating</b>	<b>Total FY2015-16 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	2,894,656	343,810	-	2,550,846
STA	18,476,425	1,971,924	-	16,504,501
Transit Operators	18,321,937	2,928,130	-	15,393,807
<b>Revenue</b>	<b>\$39,693,018</b>	<b>\$5,243,863</b>	<b>-</b>	<b>34,449,155</b>
<b>Expense</b>	<b>\$39,693,018</b>	<b>\$5,243,863</b>	<b>\$25,446,331</b>	<b>\$9,002,824</b>

**CLIPPER CAPITAL BUDGET (Life to Date)**  
As of October 2015 33.3% of year)

<b>Clipper Capital</b>	<b>LTD Budget Thru FY 2015-16</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	81,400,236	59,330,325	-	22,069,911
Card Sales	4,851,267	4,342,380	-	508,887
ARRA	11,167,891	11,167,891	-	-
FTA	25,245,557	21,527,838	-	3,717,719
STP	45,097,543	22,689,234	-	22,408,309
STA	23,328,722	20,521,148	-	2,807,574
Prop 1B	1,000,000	988,137	-	11,863
SFMTA	3,905,421	3,067,541	-	837,880
GGBHTD	2,975,000	2,638,123	-	336,877
BART	791,462	412,762	-	378,700
MTC Exchange Fund	8,269,158	7,572,638	-	696,520
BATA	27,124,813	24,316,582	-	2,808,231
Transit Operators	11,824,918	657,776	-	11,167,142
WETA	2,151,364	603,707	-	1,547,657
Sales Tax	99,311	890,216	-	(790,905)
<b>Revenue</b>	<b>\$249,232,663</b>	<b>\$180,726,298</b>	<b>-</b>	<b>68,506,365</b>
<b>Expense</b>	<b>\$249,232,663</b>	<b>\$176,383,918</b>	<b>\$18,960,733</b>	<b>\$53,888,012</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2015 33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Support to the Commission</b>	129,625			
<b>1051111 - Subtotal</b>	<b>129,625</b>	<b>-</b>	<b>-</b>	<b>129,625</b>
<b>Implement Public Information Program</b>	465,000			
Consultants		115,744	116,068	
<b>1051112 - Subtotal</b>	<b>465,000</b>	<b>115,744</b>	<b>116,068</b>	<b>233,188</b>
<b>Regional Transportation Plan</b>				
Bay Area Economic Council	1,401,482			
Consultants		38,500	44,275	
		17,498	204,916	
<b>1051121 - Subtotal</b>	<b>1,401,482</b>	<b>55,998</b>	<b>249,191</b>	<b>1,096,293</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>	3,416,655			
Corey, Canapary & Galanis			318,410	
ETC Institute		21,486	223,769	
Parsons Brinckerhoff, Inc.			142,151	
Redhill Group, Inc.			25,243	
Synthicity, LLC		118,724	97,276	
<b>1051122 - Subtotal</b>	<b>3,416,655</b>	<b>140,210</b>	<b>806,849</b>	<b>2,469,596</b>
<b>Airport/Seaport/Freight Planning</b>	945,199			
Alameda County Transportation			137,654	
Cambridget Systematics			174,920	
Consultants			32,625	
<b>1051124 - Subtotal</b>	<b>945,199</b>	<b>-</b>	<b>345,199</b>	<b>600,000</b>
<b>Advocate Legislative Programs</b>	514,000			
Carter, Wetch & Associates		23,067		
Government Relations		87,700		
<b>1051132 - Subtotal</b>	<b>514,000</b>	<b>110,767</b>	<b>-</b>	<b>403,233</b>
<b>Agency Financial Management</b>	619,422			
Sungard Opublic Professional		6,283	114,585	
PWC		198,360		
<b>1011152 - Subtotal</b>	<b>619,422</b>	<b>204,643</b>	<b>114,585</b>	<b>300,194</b>
<b>Administrative Services</b>	591,253			
Pathways for High School		129,043	12,752	
Koff & Associates		557	9,433	
Carl Warren & Company		5,075	36,800	
Cushman & Wakefield of California			20,000	
ABAG Compnesation Study			2,875	
<b>1011153 - Subtotal</b>	<b>591,253</b>	<b>134,676</b>	<b>81,859</b>	<b>374,718</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Information Technology Services</b>	753,871			
DLT Solutions		13,857		
Share Squared Inc.			103,871	
Contoural Inc File Share Migration		28,922	47,318	
Nexlevel IT Inc. Development		7,343	71,858	
<b>1011161 - Subtotal</b>	<b>753,871</b>	<b>50,122</b>	<b>223,046</b>	<b>480,703</b>
<b>Performance Measurement and Monitoring</b>	326,025			
Consultants		26,025		
<b>1051212 - Subtotal</b>	<b>326,025</b>	<b>26,025</b>	<b>-</b>	<b>300,000</b>
<b>Regional Rideshare Program</b>	1,283,626			
Parsons Brinkerhoff		134,986	1,070,025	
<b>1051222 - Subtotal</b>	<b>1,283,626</b>	<b>134,986</b>	<b>1,070,025</b>	<b>78,615</b>
<b>Operational Support for Regional Programs</b>	539,958			
Atkins			84	
Cambridge Systematics			52	
Consultants			122,263	
Iteris Inc.			200,000	
Kimley-Horn			10,559	
<b>1051223 - Subtotal</b>	<b>539,958</b>	<b>-</b>	<b>332,958</b>	<b>207,000</b>
<b>Regional Traveler Information</b>	4,317,565			
Civic Resource Group		25,852	1,321,020	
Consultants		664		
Iteris Inc.			150,000	
Kimley-Horn & Associates		1,875	19,396	
SAIC		87,199	532,508	
SAIC (bd Systems Inc)		49,713	568,292	
<b>1051224 - Subtotal</b>	<b>4,317,565</b>	<b>165,303</b>	<b>2,591,216</b>	<b>1,561,046</b>
<b>Emergency Response Operations</b>	125,000			
Consultants				
<b>1051228 - Subtotal</b>	<b>125,000</b>	<b>-</b>	<b>-</b>	<b>125,000</b>
<b>Emergency Response Planning</b>	1,915,247			
Consultants		8,346	33,282	
URS Corporation		19,913	19,706	
<b>1051229 - Subtotal</b>	<b>1,915,247</b>	<b>28,259</b>	<b>52,988</b>	<b>1,834,000</b>
<b>Pavement Management Program (PMP)</b>	1,691,899			
Adhara Systems, Inc.			103,908	
AMS Consulting LLC			31,967	
Bellecci & Associates			2,039	
CA State University, Chico			100,000	
Capitol Asset & Pavement Services			32,024	
DevMecca, LLC		291,610	133,407	
Harros & Associates		13,329	40,822	
JG3 Consulting LLC		1,388	5,058	
Nicholas Consulting Engineers		3,042	65,857	
Quality Engineering Solutions			6,916	
<b>1051233 - Subtotal</b>	<b>1,691,899</b>	<b>309,369</b>	<b>521,998</b>	<b>860,532</b>



**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2015 33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Arterial Operations</b>	<b>319,433</b>			
Consultants		7,075	5,590	
Iteris Inc.		55,924	250,844	
<b>1051234 - Subtotal</b>	<b>319,433</b>	<b>62,999</b>	<b>256,434</b>	<b>-</b>
<b>Incident Management</b>	<b>140,000</b>			
Iteris Inc.		24,000	33,000	
Kimley-Horn & Associates			40,000	
<b>1051235 - Subtotal</b>	<b>140,000</b>	<b>24,000</b>	<b>73,000</b>	<b>43,000</b>
<b>Freeway Performance Initiative</b>	<b>1,132,479</b>			
Cambridge Systematics			81,205	
Consultants			100,000	
Feher & Peers Associates			1,859	
Kittelson & Associates			1,346	
URS Corporation		48,000	67,790	
<b>1051237 - Subtotal</b>	<b>1,132,479</b>	<b>48,000</b>	<b>252,200</b>	<b>832,279</b>
<b>Implement Lifeline Transportation Programs</b>	<b>1,548,940</b>			
CH2M Hill			199,940	
<b>1051311 - Subtotal</b>	<b>1,548,940</b>	<b>-</b>	<b>199,940</b>	<b>1,349,000</b>
<b>Climate Assessment Initiative</b>	<b>115,000</b>			
Consultants		36,900		
<b>1051413 - Subtotal</b>	<b>115,000</b>	<b>36,900</b>	<b>-</b>	<b>78,100</b>
<b>Federal TIP Development</b>	<b>211,865</b>			
CH2M Hill		67,055	90,261	
<b>1051512 - Subtotal</b>	<b>211,865</b>	<b>67,055</b>	<b>90,261</b>	<b>54,549</b>
<b>Regional Assistance Program</b>	<b>268,047</b>			
Pieriott & Associates, LLC				
<b>1051514 - Subtotal</b>	<b>268,047</b>	<b>-</b>	<b>-</b>	<b>268,047</b>
<b>Regional Assistance Program</b>	<b>125,000</b>			
Consultants				
<b>1051515 - Subtotal</b>	<b>125,000</b>	<b>-</b>	<b>-</b>	<b>125,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2015 33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Transit Sustainability Project</b>	2,776,043			
AC Transit			40,000	
ARUP North America Ltd			6,525	
City of Union City			30,000	
Consultants		10,015	129,905	
ECCTA			30,000	
LAVTA			30,000	
Moore, Iacofano, Goltsman			20,629	
Nelson Nygaard		22,396	77,604	
Peninsula Corridor Joint Powers			40,000	
Sonoma County Transit			30,000	
Sonoma County Transportation			20,000	
City of Vacaville			10,000	
Water Transit Authority			30,000	
Westcat			30,000	
<b>1051517 - Subtotal</b>	<b>2,776,043</b>	<b>32,411</b>	<b>524,663</b>	<b>2,218,969</b>
<b>New Freedom</b>	5,000			
<b>1051518 - Subtotal</b>	<b>5,000</b>	<b>-</b>	<b>-</b>	<b>5,000</b>
<b>Transit Core Capacity Study</b>	381,335			
Arup North America Ltd.		129,191	245,619	
<b>1051519 - Subtotal</b>	<b>381,335</b>	<b>129,191</b>	<b>245,619</b>	<b>6,525</b>
<b>Transportation for Livable Communities Program</b>	12,521,176			
ABAG			858,046	
Consultants		24,470		
Toole Design Group		94	52,991	
<b>1051611 - Subtotal</b>	<b>12,521,176</b>	<b>24,564</b>	<b>911,037</b>	<b>11,585,575</b>
Liability Reserve	1,220,165			
Hanson and Bridgett		6,571	22,606	
Glynn and Finley		4,858	115,143	
<b>1060000 - Subtotal</b>	<b>1,220,165</b>	<b>11,428</b>	<b>137,749</b>	<b>1,070,988</b>
<b>Total Operating Contract Services</b>	<b>39,800,310</b>	<b>1,912,650</b>	<b>9,196,885</b>	<b>28,684,250</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2015 33.3% of year**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants		89,934
San Francisco Transportation Authority		282,925
<b>1051122 - Subtotal</b>	<b>-</b>	<b>372,859</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		
City & County of San Francisco		3,686
Parsons Brinkerhoff	380,029	4,155,875
<b>1051222 - Subtotal</b>	<b>380,029</b>	<b>4,159,561</b>
<b>Support Regional Traveler Information Services</b>		
Atkins		647
Kimley-Horn and Associates	45,759	401,633
<b>1051223 - Subtotal</b>	<b>45,759</b>	<b>402,280</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	199,534	1,393,100
Consultants	5,123	
Kimley-Horn & Associates	14,468	108,468
SAIC	677,352	1,911,290
SAIC (bd Systems Inc)	383,706	2,926,748
<b>1051224 - Subtotal</b>	<b>1,280,183</b>	<b>6,339,606</b>
<b>Pavement Management Program (PMP)</b>		
Adhara Sysems, Inc.		199,193
AMS Consulting LLC		246,733
Bellecci & Assocaites		15,740
Capitol Asset & Pavement Services		247,177
Harris & Associates	26,857	231,992
JG3 Consulting	10,712	39,042
Nichols Consulting Engieners	23,476	475,656
		53,384
<b>1051233 - Subtotal</b>	<b>61,045</b>	<b>1,508,917</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Arterial Operations</b>		
Advantec Consulting Engineers		70,313
City of Concord	41,076	1,124
DKS Associates	25,895	215,060
Iteris Inc.	45,590	172,545
Kimly-Horn and Associates	8,610	18,435
TJKM Transportation	12,380	209,920
TJKM Transportation Consultant		1,137
Valley Transportation Authority		208,337
<b>1051234 - Subtotal</b>	<b>133,551</b>	<b>896,871</b>
<b>Implement Incident Management Program</b>		
Caltrans		108,043
URS Corporation		414,900
<b>1051235 - Subtotal</b>	<b>-</b>	<b>522,943</b>
<b>Freeway Performance Initiative</b>		
Audio Visual Innovations Inc.		250,000
Cambridge Systematics		217,475
Fehr & Peers Associates	2,603	546,683
Kimly Horn Associates	131,785	197,867
Kittelson & Associates	24,310	193,937
URS Corporation		27,437
<b>1051237 - Subtotal</b>	<b>158,698</b>	<b>1,433,399</b>
<b>Lifeline Program</b>		
City of Alameda		187,957
Central Contra Costa Transit		129,500
County of Contra Costa		109,948
Cycles of Change		287,719
Outreach		548,173
City of Richmond		115,000
San Leandro Transportation Management Organization		60,911
San Mateo County Human Service		60,000
Senior Helpline Services		96,000
<b>1051310 - Subtotal</b>	<b>-</b>	<b>1,595,208</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2015 33.3% of year**

Work Element/Consultant	Expended	Encumbered
<b>Implement Lifeline Transportation Program</b>		
City of San Leandro		35,000
Daly City		95,860
Marin Transit		289,835
Peninsula Family Services		392,049
<b>1051311 - Subtotal</b>	<b>-</b>	<b>812,744</b>
<b>Lifeline Planning</b>		
Climate Initiative		
Alta Planning and Design	67,065	714,109
CA Centr for Sustainable Energy		10,075
Civic Resource Group		653
Consultants	9,005	2,996
ICF Consulting	50,572	759,544
O'Rorke Inc.		5,605
Toole Design Group	726	261,699
<b>1051413 - Subtotal</b>	<b>127,368</b>	<b>1,754,681</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
AC Transit		41,314
CH2M Hill	4,549	
County Connection		44,016
Lighthouse for the Blind		54,447
Outreach & Escort, Inc.		54,155
<b>1051512 - Subtotal</b>	<b>4,549</b>	<b>193,932</b>
<b>Transit Sustainability Planning</b>		
Arup North America Ltd.	6,525	
<b>1051517 - Subtotal</b>	<b>6,525</b>	<b>-</b>
<b>New Freedom - Non - Planning Funds</b>		
Alameda County		26,774
Center for Independent Living		318,535
City of Alameda		148,272
City of Richmond		20,088
City of San Leandro		37,646
Consultants		8,655
Peninsula Jewish Community Center		6,673
Senior Helpline Services	11,909	
Sonoma County Human Services Department		71,843
<b>1051518 - Subtotal</b>	<b>11,909</b>	<b>638,486</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Transit Core Capacity Study</b>		
Arup North America Ltd.	129,191	252,144
<b>1051519 - Subtotal</b>	<b>129,191</b>	<b>252,144</b>
<b>Transportation for Livable Communities</b>		
AECOM Technical Services	5,200	18,800
City of Alameda		250,000
County of Alameda		209,004
Association of Bay Area Government		679,500
Bay Conservation and Development Community		524,094
City of Berkely		750,000
CDM Smith Inc.		119,276
City of Santa Clara, Caltrain		850,000
City of Sunnyvale		265,702
Consultants (PO)	1,320	141,680
Dyett & Bhatia		16,050
Fehr & Peers Associates	50,247	353,019
Nelson Nygaard	48,240	775,209
City of Oakland		750,000
Placeworks		60,000
City of San Jose		222,080
City of Rhonert Park		448,000
San Francisco Transporation Authority		300,000
City and County of San Francisco		96,000
City of San Jose		1,390,305
City of San Leandro		440,000
Santa Clara VTA		182,890
City of Sunnyvale	13,200	573,800
City of Walnut Creek		12,225
<b>1051611 - Subtotal</b>	<b>118,207</b>	<b>9,427,634</b>
<b>Fund 190 CMA PLANNING</b>	<b>-</b>	<b>12,783,271</b>
<b>Total Federal Grant Funded</b>	<b>2,457,016</b>	<b>43,094,530</b>

**CAPITAL PROJECTS DISBURSEMENT REPORT**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Capital Expenditures</b>	<b>1,543,000</b>	16,631	38,873	
<b>Subtotal</b>	<b>1,543,000</b>	<b>16,631</b>	<b>38,873</b>	<b>1,487,496</b>
<b>Hub Signage Program</b>	<b>10,786,418</b>			
Staff Costs		1,198,224		
Consultants		1,124,616	373	
Kimly-Horn and Associates		599,195		
BART		3,803,394	1,526,920	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424	137,576	
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Parsons Brinkerhoff		188,388		
Nematode Holdings, LLC		223,996		
NCPTA		133,860		
Ghirardelli Association		265,939	21,660	
<b>3322650,2651,2652,2654 &amp; 2655 Subtotal</b>	<b>10,786,418</b>	<b>8,657,288</b>	<b>1,686,529</b>	<b>442,601</b>
<b>Capital Projects Total</b>	<b>12,329,418</b>	<b>8,673,919</b>	<b>1,725,402</b>	<b>1,930,097</b>

**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>39,693,018</b>			
Staff Costs		505,540		
AC Transit		40,800	204,000	
Auriga Corporation		24,493	110,920	
Caribou Public Relations		3,250	121,750	
Consultants		201,406	792,163	
Cubic Transportation systems		4,300,242	23,420,630	
Moore, Iacofano, Goltsman		65,700	484,300	
Nematode Holdings LLC		52,500	162,500	
Synapse Strategies		49,932	150,068	
<b>320122116</b>	<b>39,693,018</b>	<b>5,243,863</b>	<b>25,446,331</b>	<b>9,002,824</b>
<b>Clipper Capital</b>	<b>249,232,663</b>			
Staff costs		10,014,179		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		315,692	80,000	
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237		
Booz Allen Hamilton		11,539,320	1,356,351	
CH2M Hill		387,036		
Caporicci & Larson		11,530		
Consultants		560,053	3,177,861	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		67,327,969	12,277,448	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
IBI Group		470,615	529,385	
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	121,373	



**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of October 2015 33.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480	9,520	
Solutions for Transit		182,013	17,988	
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,235,535		
Water Emergency Transportation Authority		60,000		
RM2 Capital construction		20,646,877	1,390,807	
<b>Grand Total</b>	<b>249,232,663</b>	<b>176,383,918</b>	<b>18,960,733</b>	<b>53,888,012</b>

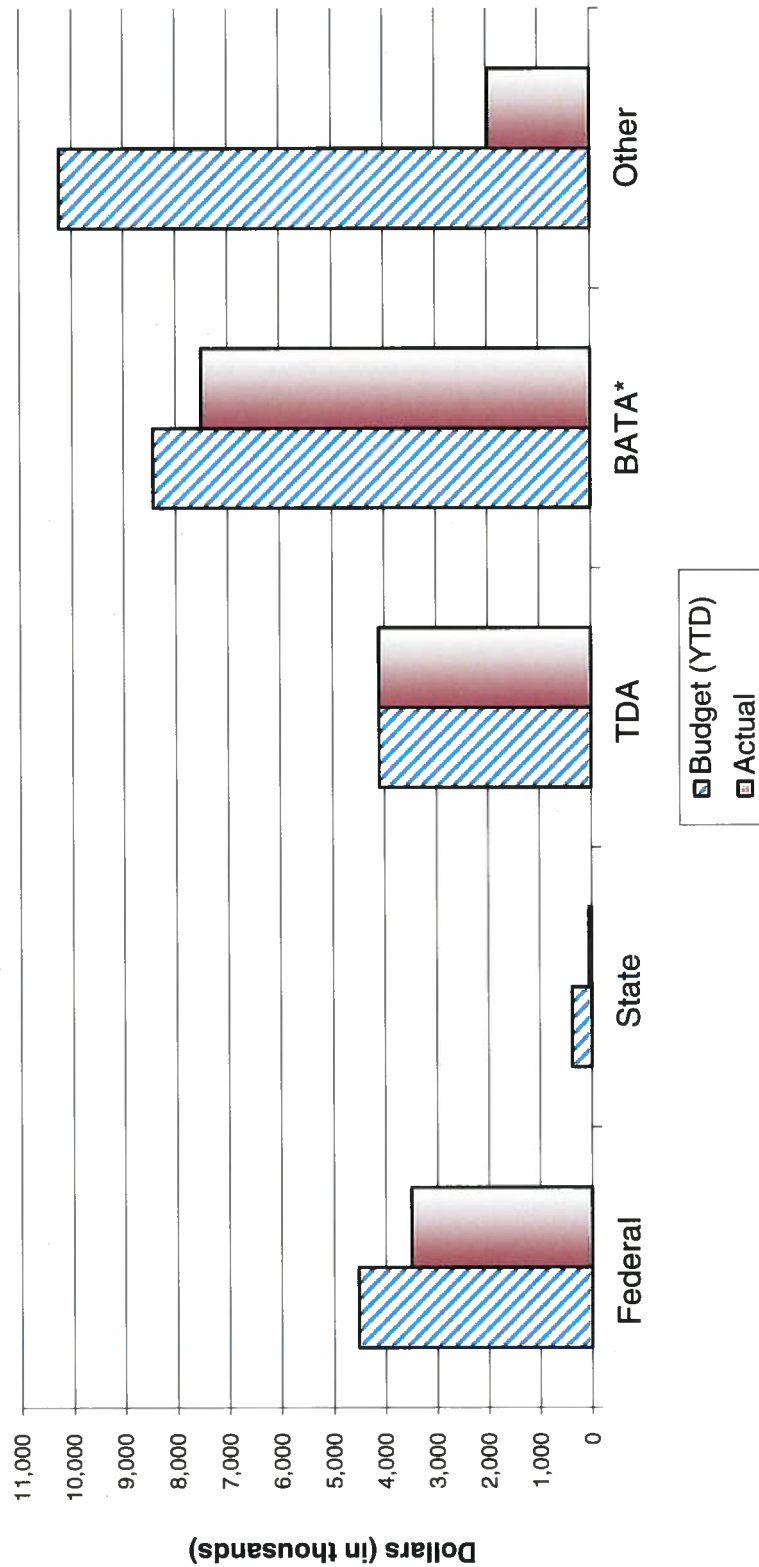
# **PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**

		As of October, 2015
American Management Association		\$24,464
	Group Training	
Koff and Associates		\$2,875
	ABAG Compensation Study	
Ceridian HCM Inc.		\$99,949
	Ceritidan System Updgrade	
Lyme Computer System		\$24,172
	Asure Space Deployment Software License Renewal	
Carahsoft Technology		\$57,708
	Enterprise Cloud Software License Renewal	
Govconnection		\$3,421
	Computer Hardware Purchase	
California Department of Transportation		\$21,821
	Monthly Lease Payment for ABAG/MTC Parking Lot thru June 2016	
Susan H. Kelly		\$6,000
	Graphic Recording Assistance	

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-100,000**

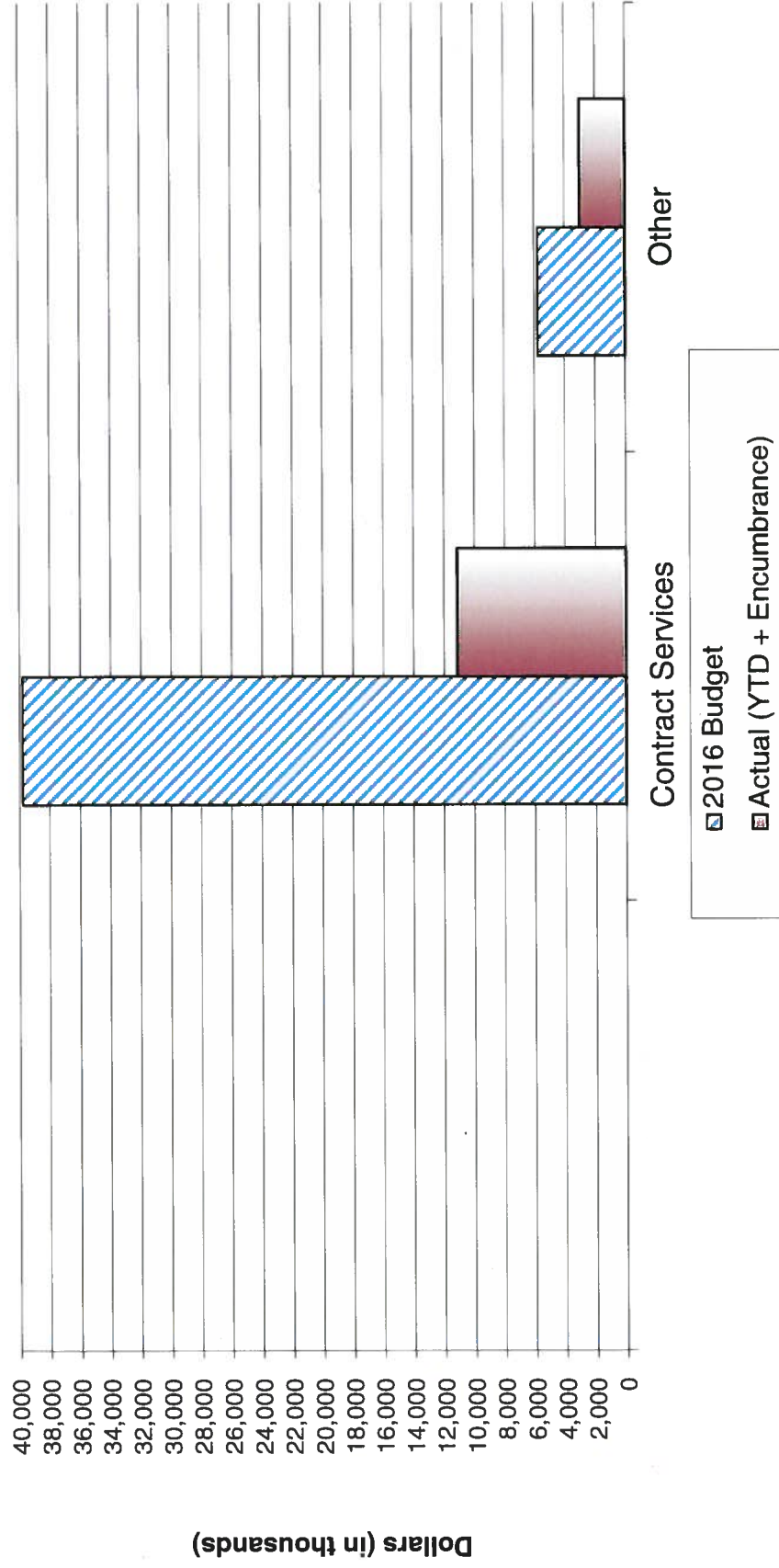
<u>Consultant</u>	<u>Purpose</u>	<u>As of October, 2015</u>
None this month		

**Chart 1: Revenue Comparison between Budget and Actual  
October 2015**



\* Annual

**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances  
October 2015**



### Budget vs Actual Plus Encumbrance Salaries & Benefits

