



METROPOLITAN
TRANSPORTATION
COMMISSION

Joseph P. Bort MetroCenter
101 Eighth Street
Oakland, CA 94607-4700
TEL 510.817.5700
TDD/TTY 510.817.5769
FAX 510.817.5848
E-MAIL info@mtc.ca.gov
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: October 28, 2015

FR: Executive Director

W.I.: 1152

RE: MTC Resolution No. 4183, Revised – FY 2015-16 Overall Work Program (OWP) Amendment

Staff requests that the Committee refer Resolution No. 4183, Revised, which amends the OWP in order to include carryover of approximately \$2.7 million in unspent federal planning funds from FY 2014-15 and to implement a reduction of \$195,196 based on the FHWA PL and FTA 5303 final allocation, to the Commission for approval. The net result is an increase of \$2.5 million in federal planning funds as outlined below.

The carryover balances were finalized in the MTC audit and must be amended into the FY 2015-16 OWP in order to incorporate the funds into MTC's budget.

The breakdown of the Federal planning funds is as follows:

	<u>Carryover Funds</u>
FHWA PL Funds (Toll Credit for a match)	\$ 732,350
FTA 5303 Funds (Toll Credit for a match)	<u>1,934,123</u>
Total Planning Carryover funds	<u>\$2,666,473</u>

	<u>Final FY2014-15 Allocations</u>
FHWA	(\$200,702)
FTA 5303	<u>5,506</u>
Total FY 2014-2015 Final Allocation	<u>(\$195,196)</u>

Total OWP Revised	<u>\$2,471,277</u>
-------------------	--------------------

After approval of the amended OWP by the federal funding authorities and Caltrans, \$1.3 million will be reallocated to fund the Transit Sustainability planning projects and \$1.2 million will be reallocated to fund the Regional Transit on Board Travel Survey project. The related amendment to the MTC FY 2015-16 Agency budget is also on this Committee's agenda this month (Agenda Item 4) for referral to the Commission for approval.

Recommendation:

Staff recommends that the Administration Committee refer MTC Resolution No. 4183, Revised to the Commission for approval.


Steve Heminger

Date: April 22, 2015
W.I.: 1152
Referred by: Administration Committee
Revised: 11/18/2015-C

ABSTRACT

Resolution No. 4183, Revised

This resolution approves MTC's Overall Work Program (OWP) for transportation planning activities in the nine-county San Francisco Bay Area for FY 2015-16, certifies that the planning process of the Metropolitan Transportation Commission is in conformance with the applicable joint metropolitan transportation planning and programming regulations of the U.S. Department of Transportation, and authorizes MTC's Executive Director to apply for and execute agreements with the U.S. Department of Transportation for grants to aid in the financing of the OWP.

Further discussion of the OWP is contained in the Executive Director's memorandum dated April 1, 2015.

Attachment C to the resolution was revised on November 18, 2015 to carry over unspent federal planning funds from FY 2014-15 (FHWA PL and FTA 5303) and to include the FY 2014-2015 final federal planning funding allocation adjustments.

Date: April 22, 2015
W.I.: 1152
Referred by: Administration Committee

Re: Overall Work Program for Fiscal Years 2015-16, Certification of Compliance with Requirements of Federal Metropolitan Transportation Planning and Programming Regulations, and Authorization to Apply for and Execute Agreements for Federal Grants.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4183

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC is also the designated Metropolitan Planning Organization (MPO) for the Bay Area and is charged with carrying out the metropolitan transportation planning and programming process required to maintain the region's eligibility for federal funds for transportation planning, capital improvements, and operations; and

WHEREAS, MTC has articulated goals and objectives for the region's transportation system through its current Regional Transportation Plan (RTP) entitled the Transportation 2035 Plan, which was adopted in April 2009, and the plan update entitled Plan Bay Area, which was adopted in July 2013; and

WHEREAS, MTC has developed, in cooperation with the State of California and with publicly-owned operators of mass transportation services, a work program for carrying out continuing, comprehensive, and cooperative transportation planning; and

WHEREAS, an Overall Work Program (OWP) for planning activities in the Bay Area for FY 2015-16 has been prepared by MTC, the Association of Bay Area Governments, and the California Department of Transportation; and

WHEREAS, the OWP for Fiscal Year 2015-16 includes MTC's unified work program for the fiscal year to achieve the goals and objectives in MTC's RTP; and

WHEREAS, MTC's Administration Committee has reviewed and recommended adoption of the OWP for FY 2015-16; and

WHEREAS, 23 CFR 450.334 requires that the designated MPO certify each year that the planning process is being conducted in conformance with the applicable requirements; and

WHEREAS, MTC desires to apply for and execute one or more agreements with the United States Department of Transportation (DOT) for a grant(s) to aid in the financing of MTC's unified work program for fiscal year 2015-16; now, therefore, be it

RESOLVED, that MTC does hereby adopt the FY 2015-16 OWP and proposed budget therein, attached hereto as Attachment A to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC certifies that MTC's planning process is addressing the major issues in the metropolitan area and will be conducted in accordance with 23 CFR 450.334 and the Moving Ahead for Progress in the 21st Century Act (MAP-21) and applicable requirements that are set forth in Attachment B to this Resolution and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC's Administration Committee shall monitor, direct, and update the OWP as necessary during Fiscal Year 2015-16 and shall incorporate any amendments into appropriate supplements to the OWP; and it be further

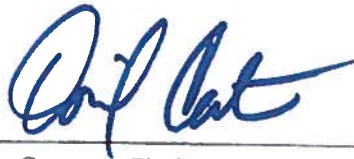
RESOLVED, that the Executive Director or his designee is authorized to apply for and execute any agreements with DOT for grants to aid in the financing of MTC's unified work program included in Attachment A to this Resolution and to execute any subsequent amendments to such agreement(s) consistent with Attachment C to this Resolution; and be it further

RESOLVED, that the Executive Director or his designee is authorized to execute and file with such application assurances or other documentation requested by

DOT of MTC's compliance with applicable federal statutory and regulatory requirements;
and be it further

RESOLVED, that the Executive Director or his designee is authorized to make administrative changes to the grant application(s) so long as such changes do not affect the total amount of the grant or scope of work.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in blue ink, appearing to read "Dave Cortese", is written over a horizontal line.

Dave Cortese, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on April 22, 2015

Date: April 22, 2015
W.I.: 1152
Referred by: Admin

Attachment A
Resolution No. 4183
Page 1 of 1

Attachment A is the FY 2015-16 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC library.

Attachment B
Resolution No. 4183
Page 1 of 1

In accordance with 23 CFR 450.334 and 450.218, and the Moving Ahead for Progress in the 21st Century Act (MAP-21), Metropolitan Transportation Commission ("MTC"), the Metropolitan Planning Organization for the San Francisco Bay Area, hereby certifies that the transportation planning process is addressing the major issues in the metropolitan planning area, and is being conducted in accordance with all applicable requirements, including:

- (1) 23 U.S.C. 134 and 135, 49 U.S.C. 5303 and 5304, and Part 40 of Subchapter E of Chapter 1 of Title 23 of the Code of Federal Regulations;
- (2) In nonattainment and maintenance areas, sections 174 and 176 (c) and (d) of the Clean Air Act, as amended (42 U.S.C. 7504, 7506 (c) and (d)) and 40 CFR part 93;
- (3) Title VI of the Civil Rights Act of 1964, as amended (42 U.S.C. 2000d-1) and 49 CFR part 21;
- (4) 49 U.S.C. 5332, prohibiting discrimination on the basis of race, color, creed, national origin, sex, or age in employment or business opportunity;
- (5) Section 1101(b) of SAFETEA-LU (Pub.L. 109-59) and 49 CFR part 26 regarding the involvement of disadvantaged business enterprises in USDOT funded projects;
- (6) 23 CFR part 230, regarding the implementation of an equal employment opportunity program on Federal and Federal-aid highway construction contracts;
- (7) The provisions of the Americans with Disabilities Act of 1990 (42 U.S.C. 12101 et seq.) and 49 CFR parts 27, 37, and 38;
- (8) The Older Americans Act, as amended (42 U.S.C. 6101), prohibiting discrimination on the basis of age in programs or activities receiving Federal financial assistance;
- (9) Section 324 of title 23 U.S.C. regarding the prohibition of discrimination based on gender; and
- (10) Section 504 of the Rehabilitation Act of 1973 (29 U.S.C. 794) and 49 CFR part 27 regarding discrimination against individuals with disabilities.

Date: April 22, 2015
W.I.: 1152
Referred by: Admin
Revised: 11/18/15-C

Attachment C
Resolution No. 4183
Page 1 of 1

Attachment C includes all amendments and supplements to the FY2015-16 Overall Work Program for Planning Activities in the San Francisco Bay Area. Copies are on file at the MTC offices.

OWP Amendment No. 16-01 adds planning carryover funds for FHWA PL of \$732,350 and FTA 5303 of \$1,934,473, also to include a reduction of \$200,702 in FHWA PL and an increase of \$5,503 in FTA 5303 funds due to the FY 2014-15 Final allocation adjustments.