

# Association of Bay Area Governments

## Finance Committee

November 16, 2023

Agenda Item 9.a.

### Proposed FY 2023-24 Operating Budget Amendment

#### Subject

Report on Resolution No. 11-2023, Revised, authorizing the Association of Bay Area Governments (ABAG) Proposed Fiscal Year (FY) 2023-24 Operating Budget Amendment for referral to the ABAG Executive Board

#### Background:

On June 16, 2023, the ABAG General Assembly adopted ABAG Resolution No. 11-2023, approving the FY 2023-24 ABAG Operating Budget and Work Plan. According to Article XI.B (5) of the ABAG Bylaws, the Executive Board has authority to amend the Budget to meet changing conditions or to accept new or additional grant or other revenue.

The proposed ABAG FY 2023-24 Operating Budget Amendment both reduces expected carryover balances as grant expenditures in FY 2022-23 were higher than expected and adds new grant funding as expected grant awards are being realized. Staff is not recommending amendments for the ABAG Finance Authority for Nonprofit Corporations (FAN) and ABAG POWER Publicly Owned Energy Resources (POWER) FY 2023-24 budgets.

The combined ABAG revenue budget, including ABAG grants, is \$98.3 million, which is an overall increase of \$13.4 million, or 15.7% from the approved budget. The summarized revenue detail includes:

|                      | FY 2023-24<br>Approved<br>(millions) | FY 2023-24<br>Amendment 1<br>(millions) | Change \$     | Change %     |
|----------------------|--------------------------------------|---|---------------|--------------|
| Revenue              |                                      |   |               |              |
| Administration       | \$ 3.3                               | \$ 3.3                                  | \$0.0         | 0.0%         |
| ABAG Grants          | 16.4                                 | 16.0                                    | (\$0.4)       | -2.4%        |
| BayREN-Energy        | 36.6                                 | 37.2                                    | \$0.6         | 1.7%         |
| SFEP                 | 28.4                                 | 41.5                                    | \$13.1        | 46.3%        |
| Bay Trail Non-Profit | 0.2                                  | 0.2                                     | \$0.0         | 0.0%         |
| <b>Total</b>         | <b>\$ 84.9</b>                       | <b>\$ 98.3</b>                          | <b>\$13.4</b> | <b>15.7%</b> |

Amendments to the operating revenue include:

- No changes to the ABAG Administration budget
- ABAG Grants reduced by \$0.4 million. This includes an \$8.4 million reduction in Regional Early Action Planning (REAP) funds implemented in FY 2022-23 and an increase of \$8 million in REAP 2.0 funds for the housing technical assistance program.

## Association of Bay Area Governments

### Finance Committee

---

November 16, 2023

Agenda Item 9.a.

---

#### Proposed FY 2023-24 Operating Budget Amendment

---

- BayREN's budget increased by \$0.6 million to fund two new positions that will help develop and manage two programs approved by the CPUC in BayREN's 2024-2031 Business Plan.
- SFEP's budget increased by \$13.1 million. This includes \$9.8 million from a new Department of Water Resources Proposition 1 grant, \$1.0 million in Water Quality Improvement funds, \$0.6 million in new Santa Clara Valley Water District and San Mateo Resource Conservation District grants, among others.
- No change proposed to Bay Trail Non-Profit revenue. There is an \$88,400 increase in expenses to raise public awareness funded by additional transfers from fund balance.

#### Recommended Action:

The ABAG Finance Committee is requested to accept the report and to refer ABAG Resolution No. 11-2023, Revised, to the ABAG Executive Board for adoption.

#### Attachments:

- A. Proposed FY 2023-24 Operating Budget Amendment
- B. Resolution No. 11-2023 Revised
- C. Presentation: Proposed FY 2023-24 ABAG Operating Budget Amendment

#### Reviewed:

Andrew B. Fremier

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**ABAG ADMINISTRATION BUDGET – PROPOSED AMENDMENT No. 1**

|   | <b>FY 2023-24<br/>APPROVED</b> | <b>ACTUALS AS OF<br/>9/30/2023</b> | <b>FY 2023-24<br/>Amendment No. 1</b> | <b>DIFFERENCE \$<br/>INCREASE/(DECREASE)</b> | <b>DIFFERENCE %<br/>INCREASE/(DECREASE)</b> |
|---|--------------------------------|------------------------------------|---------------------------------------|--|---|
| <b>REVENUES</b>   |                                |                                    |                                       |  |   |
| Membership Dues   | \$ 2,850,655                   | \$ 2,850,654                       | \$ 2,850,655                          | \$ -   | 0%  |
| Interest Revenue  | 3,680                          | -                                  | 3,680                                 | -  | 0%  |
| Other Revenue   | 480,257                        | 12,351                             | 480,257                               | -  | 0%  |
| <b>TOTAL REVENUES</b>                                   | <b>\$ 3,334,592</b>            | <b>\$ 2,863,005</b>                | <b>\$ 3,334,592</b>                   | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>EXPENSES</b>   |                                |                                    |                                       |  |   |
| Other Post-Employment Benefits (OPEB)                   | 479,579                        | 406,432                            | 479,579                               | -  | 0%  |
| Public Employees' Retirement System (PERS)              | 1,135,360                      | -                                  | 1,135,360                             | -  | 0%  |
| <b>Total Retirement Expenses</b>                        | <b>1,614,939</b>               | <b>406,432</b>                     | <b>1,614,939</b>                      | <b>-</b>                                     | <b>0%</b>                                   |
| Memberships   | 45,000                         | -                                  | 45,000                                | -  | 0%  |
| Consultants   | 299,800                        | 20,520                             | 299,800                               | -  | 0%  |
| Legal Service   | 131,100                        | -                                  | 131,100                               | -  | 0%  |
| Audit   | 175,500                        | 32,063                             | 175,500                               | -  | 0%  |
| <b>Total Contractual Services</b>                       | <b>651,400</b>                 | <b>52,583</b>                      | <b>651,400</b>                        | <b>-</b>                                     | <b>0%</b>                                   |
| Travel  | 2,000                          | 27                                 | 2,000                                 | -  | 0%  |
| Meals   | 7,500                          | -                                  | 7,500                                 | -  | 0%  |
| Conference/Training and Fees                            | 10,000                         | -                                  | 10,000                                | -  | 0%  |
| Beale Assessments                                       | 221,556                        | 102,095                            | 221,556                               | -  | 0%  |
| Storage Rental  | 4,500                          | 1,969                              | 4,500                                 | -  | 0%  |
| Committee/Board Member Stipend                          | 120,000                        | 6,450                              | 120,000                               | -  | 0%  |
| Bank Service Charges                                    | 3,000                          | -                                  | 3,000                                 | -  | 0%  |
| Insurance   | 259,252                        | 1,125                              | 259,252                               | -  | 0%  |
| Miscellaneous   | 200,006                        | 56,036                             | 200,006                               | -  | 0%  |
| <b>Total General Operating Expenses</b>                 | <b>827,814</b>                 | <b>167,702</b>                     | <b>827,814</b>                        | <b>-</b>                                     | <b>0%</b>                                   |
| <b>TOTAL EXPENSES</b>                                   | <b>\$ 3,094,153</b>            | <b>\$ 626,717</b>                  | <b>\$ 3,094,153</b>                   | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)<br/>BEFORE TRANSFERS</b> | <b>\$ 240,439</b>              | <b>\$ 2,236,288</b>                | <b>\$ 240,439</b>                     | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>TRANSFERS</b>  |                                |                                    |                                       |  |   |
| <b>Transfers In</b>                                     |                                |                                    |                                       |  |   |
| San Francisco Estuary Partnership (SFEP)                | \$ -                           | \$ -                               | \$ -                                  | \$ -   | 0%  |
| Bay Area Regional Network (BayREN)                      | -                              | -                                  | -                                     | -  | 0%  |
| <b>Total Transfers In</b>                               | <b>-</b>                       | <b>-</b>                           | <b>-</b>                              | <b>-</b>                                     | <b>0%</b>                                   |
| <b>Transfers (Out)</b>                                  |                                |                                    |                                       |  |   |
| Bay Area Regional Collaborative (BARC)                  | (188,374)                      | (6,199)                            | (188,374)                             | -  | 0%  |
| <b>Total Transfers (Out)</b>                            | <b>(188,374)</b>               | <b>(6,199)</b>                     | <b>(188,374)</b>                      | <b>-</b>                                     | <b>0%</b>                                   |
| <b>TOTAL TRANSFERS</b>                                  | <b>\$ (188,374)</b>            | <b>\$ (6,199)</b>                  | <b>\$ (188,374)</b>                   | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)</b>                      | <b>\$ 52,065</b>               | <b>\$ 2,230,089</b>                | <b>\$ 52,065</b>                      | <b>\$ -</b>                                  | <b>0%</b>                                   |

ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET

Attachment A

ABAG ADMINISTRATION CONTRACTUAL SERVICES SUMMARY - PROPOSED AMENDMENT No. 1

| WORK ELEMENT                      | WORK ELEMENT DESCRIPTION AND CONTRACTUAL SERVICES          | FY 2023-24 APPROVED | FY 2023-24 Amendment No. 1 | CHANGE \$ INCREASE/(DECREASE) | CHANGE \$ INCREASE/(DECREASE) |
|-----------------------------------|--|---------------------|----------------------------|-------------------------------|-------------------------------|
| 1132                              | <b>MTC Advocate Legislative Programs</b>                   |                     |                            |                               |                               |
|                                   | General Assembly Logistics                                 | \$ 24,000           | \$ 24,000                  | \$ -                          | 0%                            |
|                                   | <b>TOTAL</b>   | <b>\$ 24,000</b>    | <b>\$ 24,000</b>           | <b>\$ -</b>                   | <b>0%</b>                     |
| 1150                              | <b>MTC Executive Office</b>                                |                     |                            |                               |                               |
|                                   | California Association of Councils of Governments (CALCOG) | \$ 30,000           | \$ 30,000                  | \$ -                          | 0%                            |
|                                   | National Association of Regional Councils (NARC)           | 15,000              | 15,000                     | -                             | 0%                            |
| <b>TOTAL</b>                      | <b>\$ 45,000</b>   | <b>\$ 45,000</b>    | <b>\$ -</b>                | <b>0%</b>                     |                               |
| 1151                              | <b>MTC Legal Management</b>                                |                     |                            |                               |                               |
|                                   | Legal Service  | \$ 104,900          | \$ 104,900                 | \$ -                          | 0%                            |
|                                   | General Governance   | 26,200              | 26,200                     | -                             | 0%                            |
| <b>TOTAL</b>                      | <b>\$ 131,100</b>  | <b>\$ 131,100</b>   | <b>\$ -</b>                | <b>0%</b>                     |                               |
| 1152                              | <b>MTC Financial Management</b>                            |                     |                            |                               |                               |
|                                   | Tax Filing   | \$ 10,000           | \$ 10,000                  | \$ -                          | 0%                            |
|                                   | Other Post-Employment Benefits Actuary Report              | 11,800              | 11,800                     | -                             | 0%                            |
|                                   | CaseWare Consulting Services                               | 2,000               | 2,000                      | -                             | 0%                            |
|                                   | Audit Services   | 175,500             | 175,500                    | -                             | 0%                            |
| <b>TOTAL</b>                      | <b>\$ 199,300</b>  | <b>\$ 199,300</b>   | <b>\$ -</b>                | <b>0%</b>                     |                               |
| 1161                              | <b>MTC Information Technology Services</b>                 |                     |                            |                               |                               |
|                                   | Website operations, maintenance, enhancement, and hosting  | \$ 200,000          | \$ 200,000                 | \$ -                          | 0%                            |
|                                   | Website Refresh and Redesign                               | 50,000              | 50,000                     | -                             | 0%                            |
|                                   | Domain Registrations                                       | 2,000               | 2,000                      | -                             | 0%                            |
| <b>TOTAL</b>                      | <b>\$ 252,000</b>  | <b>\$ 252,000</b>   | <b>\$ -</b>                | <b>0%</b>                     |                               |
| <b>TOTAL CONTRACTUAL SERVICES</b> |  | <b>\$ 651,400</b>   | <b>\$ 651,400</b>          | <b>\$ -</b>                   | <b>0%</b>                     |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**ABAG ADMINISTRATION GRANT SUMMARY — PROPOSED AMENDMENT No. 1**

| <b>FUND SOURCE</b> | <b>GRANT NAME/<br/>AWARD NUMBER</b>       | <b>EXPIRATION DATE</b> | <b>GRANT AWARD AMOUNT</b> | <b>LIFE-TO-DATE (LTD)<br/>ACTUALS As Of<br/>6/30/2023</b> | <b>FY 2023-24<br/>NEW GRANTS</b> | <b>FY 2023-24<br/>STAFF<br/>BUDGET</b> | <b>FY 2023-24<br/>CONSULTANT<br/>BUDGET</b> | <b>PROJECTED<br/>REMAINING GRANT<br/>BALANCE</b> |
|--------------------|---|------------------------|---------------------------|---|----------------------------------|--|---|--|
| <b>2310</b>        | Regional Early Action Planning (REAP)     | 12/31/2023             | \$ 23,966,861             | \$ 18,042,779   | \$ -                             | \$ -                                   | \$ 5,924,082                                | \$ -   |
| <b>RP20</b>        | Regional Early Action Planning (REAP) 2.0 | 6/30/2026              |                           |   | 8,000,000                        | -                                      | 8,000,000                                   | -  |
| <b>2800</b>        | Coastal Conservancy 14-003                | 12/31/2041             | 1,021,992                 | 584,851   | -                                | -                                      | 347,731                                     | 89,410   |
| <b>2809</b>        | Coastal Conservancy 19-086                | 02/28/2024             | 445,000                   | 23,180  | -                                | -                                      | 421,820                                     | -  |
| <b>2811</b>        | Coastal Conservancy 19-134                | 01/31/2024             | 1,098,250                 | 51,521  | -                                | -                                      | 1,046,728                                   | -  |
| <b>2812</b>        | Coastal Conservancy 19-147                | 01/31/2024             | 450,000                   | 163,446   | -                                | -                                      | 286,554                                     | -  |
| <b>TOTAL</b>       |   |                        | \$ 26,982,103             | \$ 18,865,776   | \$ 8,000,000                     | \$ -                                   | \$ 16,026,915                               | \$ 89,410  |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**BAY AREA REGIONAL ENERGY NETWORK (BAYREN) BUDGET – PROPOSED AMENDMENT No. 1**

|   | <b>FY 2023-24<br/>APPROVED</b> | <b>ACTUALS AS OF<br/>9/30/2023</b> | <b>FY 2023-24<br/>Amendment No. 1</b> | <b>DIFFERENCE \$<br/>INCREASE/(DECREASE)</b> | <b>DIFFERENCE %<br/>INCREASE/(DECREASE)</b> |
|---|--------------------------------|------------------------------------|---------------------------------------|--|---|
| <b>REVENUES</b>   |                                |                                    |                                       |  |   |
| California Public Utilities Commission (CPUC) Grant     | \$ 36,564,040                  | \$ 7,511,852                       | \$ 37,196,902                         | \$ 632,862                                   | 2%  |
| Other operating Revenue                                 | -                              | 8,953                              | -                                     | -  | 0%  |
| <b>TOTAL REVENUES</b>                                   | <b>\$ 36,564,040</b>           | <b>\$ 7,520,804</b>                | <b>\$ 37,196,902</b>                  | <b>\$ 632,862</b>                            | <b>2%</b>                                   |
| <b>EXPENSES</b>   |                                |                                    |                                       |  |   |
| Single Family Incentive                                 | \$ 5,000,000                   | \$ 3,321,699                       | \$ 5,000,000                          | \$ -   | 0%  |
| Multi Family Incentive                                  | 5,000,000                      | 1,500,000                          | 5,000,000                             | -  | 0%  |
| Green Labeling Incentive                                | 600,000                        | 316,200                            | 600,000                               | -  | 0%  |
| Commercial Incentives                                   | 2,500,000                      | 148,331                            | 2,500,000                             | -  | 0%  |
| Refrigerant Replacement Incentive                       | 2,500,000                      | -                                  | 2,500,000                             | -  | 0%  |
| <b>Total Incentives</b>                                 | <b>15,600,000</b>              | <b>5,286,230</b>                   | <b>15,600,000</b>                     | <b>-</b>                                     | <b>0%</b>                                   |
| Travel  | \$ 7,500                       | \$ -                               | \$ 7,500                              | \$ -   | 0%  |
| Conference/Training and Fees                            | 7,500                          | -                                  | 7,500                                 | -  | 0%  |
| Meals   | 7,500                          | 340                                | 7,500                                 | -  | 0%  |
| Advertising/Public Awareness                            | 300,000                        | 1,744                              | 300,000                               | -  | 0%  |
| Memberships   | 15,000                         | 3,333                              | 15,000                                | -  | 0%  |
| Consultant/Professional Fees                            | 18,682,480                     | 1,892,307                          | 18,682,480                            | -  | 0%  |
| Miscellaneous   | 5,000                          | 1,203                              | 5,000                                 | -  | 0%  |
| <b>Total General Operating Expenses</b>                 | <b>19,024,980</b>              | <b>1,898,927</b>                   | <b>19,024,980</b>                     | <b>-</b>                                     | <b>0%</b>                                   |
| <b>TOTAL EXPENSES</b>                                   | <b>\$ 34,624,980</b>           | <b>\$ 7,185,157</b>                | <b>\$ 34,624,980</b>                  | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)<br/>BEFORE TRANSFERS</b> | <b>\$ 1,939,060</b>            | <b>\$ 335,647</b>                  | <b>\$ 2,571,922</b>                   | <b>\$ 632,862</b>                            |   |
| <b>Transfers (Out)</b>                                  |                                |                                    |                                       |  |   |
| Staff Cost  | \$ (1,292,707)                 | \$ (335,340)                       | \$ (1,714,614)                        | \$ (421,908)                                 | 33%   |
| MTC Overhead  | (646,353)                      | -                                  | (857,307)                             | (210,954)                                    | 33%   |
| ABAG Admin  | -                              | -                                  | -                                     | -  | 0%  |
| <b>Total Transfers (Out)</b>                            | <b>(1,939,060)</b>             | <b>(335,340)</b>                   | <b>(2,571,922)</b>                    | <b>(632,862)</b>                             | <b>33%</b>                                  |
| <b>TOTAL TRANSFERS</b>                                  | <b>\$ (1,939,060)</b>          | <b>\$ (335,340)</b>                | <b>\$ (2,571,922)</b>                 | <b>\$ (632,862)</b>                          | <b>33%</b>                                  |
| <b>OPERATING SURPLUS/(DEFICIT)</b>                      | <b>\$ -</b>                    | <b>\$ 307</b>                      | <b>\$ -</b>                           | <b>\$ -</b>                                  |   |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**SAN FRANCISCO ESTUARY PARTNERSHIP (SFEP) BUDGET – PROPOSED AMENDMENT No. 1**

|   | <b>FY 2023-24<br/>APPROVED</b> | <b>ACTUALS AS OF<br/>9/30/2023</b> | <b>FY 2023-24<br/>Amendment No. 1</b> | <b>DIFFERENCE \$<br/>INCREASE/(DECREASE)</b> | <b>DIFFERENCE %<br/>INCREASE/(DECREASE)</b> |
|---|--------------------------------|------------------------------------|---------------------------------------|--|---|
| <b>REVENUES</b>   |                                |                                    |                                       |  |   |
| Federal/State Grants and Local Funding                  | \$ 28,350,454                  | \$ 668,795                         | \$ 41,478,413                         | \$ 13,127,959                                | 46%   |
| <b>TOTAL REVENUES</b>                                   | <b>\$ 28,350,454</b>           | <b>\$ 668,795</b>                  | <b>\$ 41,478,413</b>                  | <b>\$ 13,127,959</b>                         | <b>46%</b>                                  |
| <b>EXPENSES</b>   |                                |                                    |                                       |  |   |
| Travel  | \$ -                           | \$ 1,601                           | \$ -                                  | \$ -   | 0%  |
| Conference/Training and Fees                            | -                              | 5,536                              | -                                     | -  | 0%  |
| Memberships   | -                              | 90                                 | -                                     | -  |   |
| Consultant/Professional Fees                            | 5,171,455                      | 147,639                            | 6,217,733                             | 1,046,278                                    | 20%   |
| Passthrough/Contributions Other Agencies                | 20,429,047                     | 27                                 | 32,474,305                            | 12,045,258                                   | 59%   |
| Committee Member Stipend                                | -                              | 3,200                              | -                                     | -  | 0%  |
| Miscellaneous   | -                              | 1,868                              | -                                     | -  | 0%  |
| <b>TOTAL EXPENSES</b>                                   | <b>\$ 25,600,502</b>           | <b>\$ 159,961</b>                  | <b>\$ 38,692,038</b>                  | <b>\$ 13,091,536</b>                         | <b>51%</b>                                  |
| <b>OPERATING SURPLUS/(DEFICIT)<br/>BEFORE TRANSFERS</b> |                                |                                    |                                       |  |   |
|   | \$ 2,749,952                   | \$ 508,834                         | \$ 2,786,374                          | \$ 36,423                                    |   |
| <b>TRANSFERS (OUT)</b>                                  |                                |                                    |                                       |  |   |
| Staff Cost  | \$ (2,084,542)                 | \$ (508,834)                       | \$ (2,108,824)                        | \$ (24,282)                                  | 1%  |
| MTC Overhead  | (665,409)                      | -                                  | (677,550)                             | (12,141)                                     | 2%  |
| SFEP Conference Budget                                  | -                              | -                                  | -                                     | -  | 0%  |
| ABAG Admin  | -                              | -                                  | -                                     | -  | 0%  |
| <b>Total Transfers (Out)</b>                            | <b>(2,749,952)</b>             | <b>(508,834)</b>                   | <b>(2,786,374)</b>                    | <b>(36,423)</b>                              | <b>1%</b>                                   |
| <b>TOTAL TRANSFERS</b>                                  | <b>\$ (2,749,952)</b>          | <b>\$ (508,834)</b>                | <b>\$ (2,786,374)</b>                 | <b>\$ (36,423)</b>                           | <b>1%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)</b>                      | <b>\$ -</b>                    | <b>\$ -</b>                        | <b>\$ -</b>                           | <b>\$ -</b>                                  |   |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**SAN FRANCISCO ESTUARY PARTNERSHIP (SFEP) GRANT SUMMARY – PROPOSED AMENDMENT No. 1**

| FUND SOURCE | GRANT NAME/<br>AWARD NUMBER   | EXPIRATION DATE | GRANT AWARD AMOUNT   | Life-To-Date as of 6/30/2023 | FY 2023-24 NEW GRANTS | FY 2023-24 STAFF BUDGET | FY 2023-24 CONSULTANT BUDGET | PROJECTED REMAINING GRANT BALANCE |
|-------------|---|-----------------|----------------------|------------------------------|-----------------------|-------------------------|------------------------------|-----------------------------------|
| 1339        | U.S. Environmental Protection Agency (EPA) 99T53101   | 09/30/2023      | \$ 1,667,683         | \$ 1,384,849                 | \$ -                  | \$ 10,067               | \$ 272,767                   | \$ -                              |
| 1343        | U.S. Environmental Protection Agency (EPA) 99T59901   | 09/30/2024      | 4,047,500            | 3,839,752                    | 850,000               | 498,567                 | 559,181                      | -                                 |
| 1345        | U.S. Environmental Protection Agency (EPA) 99T87701   | 6/30/2024       | 1,481,109            | 1,123,828                    | -                     | 151,810                 | 205,470                      | -                                 |
| 1347        | U.S. Environmental Protection Agency (EPA) 98T20401   | 12/31/2024      | 1,891,409            | 479,795                      | -                     | 180,845                 | 1,230,769                    | -                                 |
| 1348        | U.S. Environmental Protection Agency (EPA) 98T29701   | 08/31/2024      | 569,366              | 210,443                      | -                     | 116,964                 | 225,000                      | 16,959                            |
| 1349        | U.S. Environmental Protection Agency (EPA) - Bipartisan Infrastructure Law Year 1                     | 12/31/2025      | 909,800              | 49,745                       | 909,800               | 522,134                 | 800,000                      | 447,720                           |
| BIL2        | U.S. Environmental Protection Agency (EPA) - Bipartisan Infrastructure Law Year 2                     | 12/31/2026      | 909,800              |                              |                       | -                       | 505,800                      | 404,000                           |
| BIL3        | U.S. Environmental Protection Agency (EPA) - Bipartisan Infrastructure Law Year 3                     | 12/31/2027      | 909,800              |                              |                       | -                       | 227,450                      | 682,350                           |
| 1395        | U.S. Environmental Protection Agency (EPA) - State Water Resources Control Board/State Revolving Fund | 09/30/2024      | 1,000,000            | 848,762                      | -                     | -                       | 151,238                      | -                                 |
| 1397        | Water Quality Improvement Fund  | 12/31/2026      | 64,100               |                              | 64,100                | -                       | 64,100                       | 64,100                            |
| 1398        | New SRF SOTER 1 (2023-25)   | 12/30/2025      | 1,000,000            |                              |                       | 27,446                  | 300,000                      | 672,554                           |
| 1350        | Water Quality Improvement Fund 2022 - Breaking Ground   | 12/31/2026      | -                    | 6,632                        | 4,329,459             | 266,691                 | 3,000,000                    | 1,056,136                         |
|             | <b>TOTAL</b>  |                 | <b>\$ 14,450,567</b> | <b>\$ 7,943,805</b>          | <b>\$ 6,153,359</b>   | <b>\$ 1,774,525</b>     | <b>\$ 7,541,775</b>          | <b>\$ 3,343,820</b>               |

|      |   |            |                      |                      |             |                   |                      |                      |
|------|---|------------|----------------------|----------------------|-------------|-------------------|----------------------|----------------------|
| 2907 | Department of Water Resources (DWR) 4600011486            | 12/31/2024 | \$ 21,469,025        | \$ 12,566,834        | \$ -        | \$ 87,826         | \$ 8,400,000         | \$ 414,365           |
| 2913 | Department of Water Resources (DWR) 4600013248            | 06/30/2026 | 4,827,000            | 4,827,000            |             | -                 | -                    | -                    |
| 2914 | Department of Water Resources (DWR) Proposition 1         | 03/31/2025 | 22,750,000           | 9,090,608            | -           | 148,722           | 9,500,000            | 4,010,670            |
| 2915 | Department of Water Resources (DWR) 4600014794            | 03/01/2026 | 5,000,000            | 944,696              | -           | 192,352           | 1,881,244            | 1,981,709            |
| 2916 | Department of Water Resources (DWR) Proposition 1 Round 2 | 12/31/2027 | 32,214,479           |                      | -           | -                 | 9,800,000            | 22,414,479           |
| DSC  | New Delta Stewardship Council 2023-2026                   | 06/30/2026 | 728,757              | -                    |             | 166,963           | 322,000              | \$ 239,793           |
|      | <b>TOTAL</b>  |            | <b>\$ 86,989,261</b> | <b>\$ 27,429,138</b> | <b>\$ -</b> | <b>\$ 595,863</b> | <b>\$ 29,903,244</b> | <b>\$ 29,061,016</b> |

|      |  |            |                   |                  |             |                   |                   |             |
|------|--|------------|-------------------|------------------|-------------|-------------------|-------------------|-------------|
| 1396 | Department of Interior - Clean Vessel Act 2023 | 12/31/2023 | \$ 309,473        | \$ 48,949        | \$ -        | \$ 110,524        | \$ 150,000        | \$ -        |
|      | <b>TOTAL</b>                                   |            | <b>\$ 309,473</b> | <b>\$ 48,949</b> | <b>\$ -</b> | <b>\$ 110,524</b> | <b>\$ 150,000</b> | <b>\$ -</b> |

|       |   |            |                     |                     |             |                   |                     |                     |
|-------|---|------------|---------------------|---------------------|-------------|-------------------|---------------------|---------------------|
| 5013  | City of Palo Alto   | 12/31/2023 | \$ 168,000          | \$ 167,981          | \$ -        | \$ -              | \$ 19               | \$ -                |
| 5016  | Santa Clara Valley Water District (SCVWD)   | 09/30/2023 | 569,796             | 436,928             |             | 132,868           | -                   | -                   |
| 5019  | Friends of the San Francisco Estuary  | 09/30/2025 | 297,000             | 218                 | -           | -                 | 125,000             | 171,782             |
| 5020  | Santa Clara Valley Water District (SCVWD)   | 09/30/2025 | 660,963             |                     | -           | 172,595           | 322,000             | 166,368             |
| SMRCD | IRWM Prop 1 Round 2 - Indirect Coverage/San Mateo Resource Conservation District                          | 12/31/2027 | 440,000             | -                   |             | -                 | 150,000             | \$ 290,000          |
| 3575  | Bay Area Toll Authority (BATA) Funding for ABAG P014785 - San Pablo Avenue Green Stormwater Spine Project | N/A        | 2,859,998           | 1,501,826           |             | -                 | 500,000             | 858,172             |
|       | <b>TOTAL</b>  |            | <b>\$ 4,995,757</b> | <b>\$ 2,106,954</b> | <b>\$ -</b> | <b>\$ 305,462</b> | <b>\$ 1,097,019</b> | <b>\$ 1,486,322</b> |

|              |  |  |                       |                      |                     |                     |                      |                      |
|--------------|--|--|-----------------------|----------------------|---------------------|---------------------|----------------------|----------------------|
| <b>TOTAL</b> |  |  | <b>\$ 106,745,058</b> | <b>\$ 37,528,846</b> | <b>\$ 6,153,359</b> | <b>\$ 2,786,374</b> | <b>\$ 38,692,038</b> | <b>\$ 33,891,158</b> |
|--------------|--|--|-----------------------|----------------------|---------------------|---------------------|----------------------|----------------------|

|  |  |     |      |              |      |            |      |      |
|--|--|-----|------|--------------|------|------------|------|------|
|  | Bay Area Toll Authority (BATA) Transfer for Overhead | N/A | \$ - | \$ 1,497,417 | \$ - | \$ 376,862 | \$ - | \$ - |
|--|--|-----|------|--------------|------|------------|------|------|

| FUND SOURCE | GRANTS APPLIED FOR BUT NOT AWARDED<br>(THESE GRANTS ARE INCLUDED IN THE BUDGET AS INFORMATION ONLY) | EXPIRATION DATE | GRANT AWARD AMOUNT | Life-To-Date as of 6/30/2023 | FY 2023-24 UNAWARDED NEW GRANTS | FY 2023-24 STAFF BUDGET | FY 2023-24 CONSULTANT BUDGET | PROJECTED REMAINING GRANT BALANCE |
|-------------|---|-----------------|--------------------|------------------------------|---------------------------------|-------------------------|------------------------------|-----------------------------------|
| XXXX        | New EPA Wetland Program Development Grant   | N/A             | \$ -               | \$ -                         | \$ 1,000,000                    | \$ -                    | \$ 500,000                   | \$ 500,000                        |
| XXXX        | New SRF SOTER 2 (2024-26)   | N/A             | -                  | -                            | 1,000,000                       | -                       | 250,000                      | 750,000                           |
| XXXX        | Water Quality Improvement Fund 2023   | N/A             | -                  | -                            | 3,000,000                       | -                       | 500,000                      | 2,500,000                         |
| XXXX        | Other New Grants  | N/A             | -                  | -                            | 500,000                         | -                       | 500,000                      | -                                 |
|             | <b>TOTAL GRANTS APPLIED FOR AND UNAWARDED (INFORMATION ONLY)</b>                                    |                 | <b>\$ -</b>        | <b>\$ -</b>                  | <b>\$ 5,500,000</b>             | <b>\$ -</b>             | <b>\$ 1,750,000</b>          | <b>\$ 3,750,000</b>               |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**SAN FRANCISCO ESTUARY PARTNERSHIP (SFEP) CONFERENCE AND PROGRAMS BUDGET – PROPOSED AMENDMENT No. 1**

|                                    | <b>FY 2023-24<br/>APPROVED</b> | <b>ACTUALS AS OF<br/>9/30/2023</b> | <b>FY 2023-24<br/>Amendment No. 1</b> | <b>DIFFERENCE \$<br/>INCREASE/(DECREASE)</b> | <b>DIFFERENCE %<br/>INCREASE/(DECREASE)</b> |
|------------------------------------|--------------------------------|------------------------------------|---------------------------------------|--|---|
| <b>REVENUES</b>                    |                                |                                    |                                       |  |   |
| Other Revenue                      | \$ 450,000                     | \$ 24,500                          | \$ 510,000                            | \$ 60,000                                    | 13%   |
| <b>TOTAL REVENUES</b>              | <b>\$ 450,000</b>              | <b>\$ 24,500</b>                   | <b>\$ 510,000</b>                     | <b>\$ 60,000</b>                             | <b>13%</b>                                  |
| <b>EXPENSES</b>                    |                                |                                    |                                       |  |   |
| Meals/Catering                     | \$ 250,000                     | \$ -                               | \$ 250,000                            | \$ -   | 0%  |
| Conference Venue Costs             | 40,000                         | -                                  | 40,000                                | -  | 0%  |
| Consultant/Professional Fees       | 110,000                        | 1,500                              | 110,000                               | -  | 0%  |
| Miscellaneous                      | 150,000                        | 2,279                              | 150,000                               | -  | 0%  |
| <b>TOTAL EXPENSES</b>              | <b>\$ 550,000</b>              | <b>\$ 3,779</b>                    | <b>\$ 550,000</b>                     | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)</b> |                                |                                    |                                       |  |   |
| <b>BEFORE TRANSFERS</b>            | <b>\$ (100,000)</b>            | <b>\$ 20,721</b>                   | <b>\$ (40,000)</b>                    | <b>\$ 60,000</b>                             | <b>-60%</b>                                 |
| <b>TRANSFERS</b>                   |                                |                                    |                                       |  |   |
| <b>Transfers In</b>                |                                |                                    |                                       |  |   |
| SFEP Grants                        | \$ -                           | \$ -                               | \$ -                                  | \$ -   | 0%  |
| <b>Total Transfers In</b>          | <b>-</b>                       | <b>-</b>                           | <b>-</b>                              | <b>-</b>                                     | <b>0%</b>                                   |
| <b>Transfers (Out)</b>             |                                |                                    |                                       |  |   |
| Staff Cost                         | \$ (95,568)                    | \$ (3,007)                         | \$ (95,568)                           | \$ -   | 0%  |
| MTC Overhead                       | (47,784)                       | -                                  | (47,784)                              | -  | 0%  |
| <b>Total Transfers (Out)</b>       | <b>(143,352)</b>               | <b>(3,007)</b>                     | <b>(143,352)</b>                      | <b>-</b>                                     | <b>0%</b>                                   |
| <b>TOTAL TRANSFERS</b>             | <b>\$ (143,352)</b>            | <b>\$ (3,007)</b>                  | <b>\$ (143,352)</b>                   | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>OPERATING SURPLUS/(DEFICIT)</b> | <b>\$ (243,352)</b>            | <b>\$ 17,714</b>                   | <b>\$ (183,352)</b>                   | <b>\$ 60,000</b>                             | <b>-25%</b>                                 |
| Beginning Fund Balance             | 250,000                        | 250,000                            | 250,000                               | -  | 0%  |
| <b>ENDING FUND BALANCE</b>         | <b>\$ 6,648</b>                | <b>\$ 267,714</b>                  | <b>\$ 66,648</b>                      | <b>\$ 60,000</b>                             | <b>903%</b>                                 |

**ASSOCIATION OF BAY AREA GOVERNMENTS  
FY 2023-24 OPERATING BUDGET**

Attachment A

**SAN FRANCISCO BAY TRAIL (NON PROFIT PROGRAM) BUDGET – PROPOSED AMENDMENT No. 1**

|   | <b>FY 2023-24<br/>APPROVED</b> | <b>ACTUALS AS OF<br/>9/30/2023</b> | <b>FY 2023-24<br/>Amendment No. 1</b> | <b>DIFFERENCE \$<br/>INCREASE/(DECREASE)</b> | <b>DIFFERENCE %<br/>INCREASE/(DECREASE)</b> |
|---|--------------------------------|------------------------------------|---------------------------------------|--|---|
| <b>REVENUES</b>   |                                |                                    |                                       |  |   |
| Revenue - Souvenir Sales                                | \$ 997                         | \$ 895                             | \$ 997                                | \$ -   | 0%  |
| Revenue - Donation                                      | 239,408                        | 1,798                              | 239,408                               | -  | 0%  |
| <b>TOTAL REVENUES</b>                                   | <b>\$ 240,405</b>              | <b>\$ 2,693</b>                    | <b>\$ 240,405</b>                     | <b>\$ -</b>                                  | <b>0%</b>                                   |
| <b>EXPENSES</b>   |                                |                                    |                                       |  |   |
| Advertising/Public Awareness                            | \$ 5,000                       | \$ 4,999                           | \$ 49,200                             | \$ 44,200                                    | 884%  |
| Subscriptions   | 1,500                          | -                                  | 1,500                                 | -  | 0%  |
| Consultant/Professional Fees                            | 237,000                        | -                                  | 237,000                               | -  | 0%  |
| Accounting Service                                      | 3,000                          | -                                  | 3,000                                 | -  | 0%  |
| Miscellaneous   | 3,100                          | -                                  | 47,300                                | 44,200                                       | 1426%                                       |
| <b>TOTAL EXPENSES</b>                                   | <b>\$ 249,600</b>              | <b>\$ 4,999</b>                    | <b>\$ 338,000</b>                     | <b>\$ 88,400</b>                             | <b>35%</b>                                  |
| <b>OPERATING SURPLUS/(DEFICIT)<br/>BEFORE TRANSFERS</b> | <b>\$ (9,195)</b>              | <b>\$ (2,306)</b>                  | <b>\$ (97,595)</b>                    | <b>\$ (88,400)</b>                           | <b>961%</b>                                 |
| Transfer in from Fund Balance                           | \$ 9,195                       | \$ -                               | \$ 97,595                             | \$ 88,400                                    | 961%  |
| <b>OPERATING SURPLUS/(DEFICIT)</b>                      | <b>\$ -</b>                    | <b>\$ (2,306)</b>                  | <b>\$ -</b>                           | <b>\$ -</b>                                  | <b>0%</b>                                   |

Date: June 16, 2023

W.I.: 1750

Referred By: ABAG Executive Board

Revised: 11/16/2023

ABSTRACT

Resolution No. 11-2023, Revised

This resolution approves the ABAG Budget for FY 2023-24.

Attachment A to this resolution was revised on November 16, 2023. The revision included additional grant funding, revised carryover funding, and adjusted expense line items.

Further discussion of the agency budget is contained in the ABAG Finance Committee Summary Sheets dated April 20, 2023. A budget is attached as Attachment A.

Date:

W.I.: 1750

Referred By: ABAG Executive Board

Re: Association of Bay Area Governments' Agency Budget for FY 2023-24

ASSOCIATION OF BAY AREA GOVERNMENT

RESOLUTION NO. 11-2023

WHEREAS, the Association of Bay Area Governments (ABAG) is the region's Council of Governments for the San Francisco Bay Area pursuant to the Joint Exercise of Powers Act, California Government Code Section 6500 *et seq.*; and

WHEREAS, the Executive Director has presented the proposed FY 2023-24 Budget to the ABAG Executive Board for referral to the General Assembly for approval; and

WHEREAS, the Executive Board has reviewed and approved the FY 2023-24 proposed Budget with such changes as may have been approved; and

WHEREAS, the Executive Board has approved and forwarded the proposed FY 2023-24 ABAG Budget to the General Assembly to be adopted within the minimum 45-day requirement; now, therefore be it

RESOLVED, that ABAG's Budget for FY 2023-24, is prepared in accordance with generally accepted accounting principles and modified accrual, and attached hereto as

Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that the ABAG Executive Board is authorized to administer the FY 2023-24 Operating Budget following approval of the ABAG General Assembly; and, be it further

RESOLVED, that the ABAG Executive Board is authorized to approve any and all grants, revenue, contracts, and expenses incorporated into the approved FY 2023-24 Budget and to modify the approved Budget as necessary to meet operational needs, provided there is no increase to the overall approved budget; and, be it further

RESOLVED, that the ABAG Executive Board is authorized to amend the adopted FY 2023-24 Budget to incorporate any unbudgeted increase in revenue sources along with appropriate expenses so long as the added expenses do not exceed the additional revenue; and, be it further

RESOLVED, that the Executive Director, or the responsible staff person designated by the Executive Director, shall submit written requests for approval of consultants, professional services, and other expenses authorized in the approved Budget for FY 2023-24; and, be it further

RESOLVED, that the Executive Director is authorized to approve all contracts and expenses \$200,000 or below, provided the funds are available and included in the FY 2023-24 Budget, and be it further

RESOLVED, that the Chief Financial Officer is authorized to reserve up to \$500,000 in a Liability Reserve except that there shall be no expense above \$200,000 without further approval of the Executive Board; and, be it further

RESOLVED, that the Chief Financial Officer is authorized to carryover and re-budget into the approved FY 2023-24 ABAG Budget any grants, funds, contracts, expenses, and encumbrances properly approved in a previous budget; and, be it further

RESOLVED, that the Chief Financial Officer is authorized to correct any obvious errors in the drafting, presentation, and publication of the approved FY 2023-24 ABAG Budget; and, be it further

RESOLVED, that the Metropolitan Transportation Commission (MTC) has authorized the use of up to \$8 million in the MTC funds to be used to meet ABAG cash flow purposes as an advance on authorized expenses until the expenses have been reimbursed; and, be it further

RESOLVED, that the MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the ABAG Finance Committee with a quarterly financial report to reflect budgeted and actual income, expenses, obligations for professional and consultant services as well as cash and investment balances and such other information and data as may be requested by the ABAG Finance Committee.

ASSOCIATION OF BAY AREA  
GOVERNMENTS

Jesse Arreguin  
President

The above resolution was entered into by the  
General Assembly of the Association of Bay  
Area Governments at a duly called and noticed  
meeting held in San Francisco, California, and  
at other remote locations, on the 16<sup>th</sup> day of  
June, 2023.

Frederick Castro  
Clerk of the Board

Date: June 16, 2023

W.I.: 1750

Referred By: ABAG Executive Board

Revised: 11/16/2023

Attachment A

Resolution No. 11-2023

**ASSOCIATION OF BAY AREA GOVERNMENTS**  
**BUDGET**  
**FY 2023-24**

**TABLE OF CONTENTS**

|                            | <u>Page</u> |
|----------------------------|-------------|
| Budget Summary-----        | 1           |
| Revenue Detail-----        | 2           |
| Expense Summary-----       | 3           |
| Contractual Services ----- | 5           |

# Proposed FY 2023-24 ABAG Operating Budget Amendment

## Association of Bay Area Governments Finance Committee – November 16, 2023

Presenter: **Derek Hansel, CFO**



ASSOCIATION  
OF BAY AREA  
GOVERNMENTS



# Proposed FY 2023-24 ABAG Operating Budget Amendment

- No change to ABAG Administration budget
- ABAG Grants reflects addition of REAP 2.0 funds and reduction in REAP 1.0 carryover funds
- Additional CPUC funding for two new BayREN positions
- SFEP budget adds grant funding awarded since the start of the fiscal year

|                      | FY 2022-23<br>Approved | FY 2023-24<br>Proposed | Difference \$ | Difference % |
|----------------------|------------------------|------------------------|---------------|--------------|
|                      | (Millions \$)          | (Millions \$)          | (Millions \$) |              |
| <b>Revenue</b>       |                        |                        |               |              |
| ABAG Administration  | \$ 3.3                 | \$ 3.3                 | \$0.0         | 0.0%         |
| ABAG Grants          | 16.4                   | 16.0                   | (\$0.4)       | -2.4%        |
| BayREN-Energy        | 36.6                   | 37.2                   | \$0.6         | 1.7%         |
| SFEP                 | 28.3                   | 41.5                   | \$13.1        | 46.3%        |
| Bay Trail Non-Profit | 0.2                    | 0.2                    | \$0.0         | 0.0%         |
| <b>Total Revenue</b> | <b>\$ 84.9</b>         | <b>\$ 98.3</b>         | <b>\$13.4</b> | <b>15.7%</b> |

# Staff Recommendation

Staff requests that the Finance Committee:

- ✓ Refer ABAG Resolution No. 11-2023 Revised to the ABAG Executive Board for approval



# Questions?