



Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Meeting Agenda

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy R. Worth, Chair Libby Schaaf, Vice Chair

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,
Nick Josefowitz, Gina Papan, Hillary Ronen,
Non-Voting Member: Tony Tavares*

Wednesday, October 9, 2019

9:30 AM

Board Room - 1st Floor

This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:30 a.m.

1. Roll Call / Confirm Quorum

Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).

2. Pledge of Allegiance

3. Compensation Announcement (Committee Clerk)

4. Consent Calendar

- 4a. [19-1013](#) Minutes of the September 4, 2019 meeting

Action: Committee Approval

Attachments: [4a_09-04-2019_BATA_O_Draft_Minutes.pdf](#)

- 4b. [19-1068](#) BATA Financial Statements for July 2019

Action: Information

Presenter: Raymond Woo

Attachments: [4b_Financial_Statements_July2019.pdf](#)

- 4c. [19-0885](#) Purchase Order - BATA Security Hardware Upgrade: SSP Data (\$300,000)
- Action: Committee Approval
- Presenter: Mark Dinh
- Attachments: [4c PurchaseOrder SSP Data Firewall Upgrades.pdf](#)
- 4d. [19-0867](#) BATA Resolution No. 131, Revised - Update to Membership of the Regional Measure 3 Independent Oversight Committee
- Action: Authority Approval
- Presenter: Craig Bosman
- Attachments: [4d Reso-0131 RM3 Independent Oversight.pdf](#)

5. Public Comment / Other Business

6. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on November 13, 2019 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.

Public Comment: The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

Meeting Conduct: If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

Record of Meeting: Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site (mtc.ca.gov) for public review for at least one year.

Accessibility and Title VI: MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章: MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

Acceso y el Titulo VI: La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-1013 **Version:** 1 **Name:**

Type: Minutes **Status:** Committee Approval

File created: 8/27/2019 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 10/9/2019 **Final action:**

Title: Minutes of the September 4, 2019 meeting

Sponsors:

Indexes:

Code sections:

Attachments: [4a_09-04-2019_BATA_O_Draft_Minutes.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Minutes of the September 4, 2019 meeting

Recommended Action:
Committee Approval



Metropolitan Transportation Commission Meeting Minutes

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy R. Worth, Chair Libby Schaaf, Vice Chair

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,
Nick Josefowitz, Gina Papan, Hillary Ronen,
Non-Voting Member: Tony Tavares*

Wednesday, September 4, 2019

9:30 AM

Yerba Buena Conference Room - 1st Floor

*** Please note meeting date, time, and location. ***

Call Meeting to Order

1. Roll Call / Confirm Quorum

Present: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover,
Commissioner Josefowitz, Commissioner Papan, Commissioner Ronen, and Chair
Worth

Absent: 1 - Commissioner Schaaf

Non-Voting Member Absent: Commissioner Tavares

Ex Officio Voting Members Present: Commission Vice Chair Pedroza

Ad Hoc Non-Voting Members Present: Commissioner Cortese

2. Pledge of Allegiance

3. Compensation Announcement (Committee Clerk)

4. Consent Calendar

Upon the motion by Commissioner Bruins and the second by Commissioner
Dutra-Vernaci, the Consent Calendar was unanimously approved by the following
vote:

Aye: 5 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Papan,
Commissioner Ronen and Chair Worth

Absent: 3 - Commissioner Glover, Commissioner Josefowitz and Commissioner Schaaf

4a. [19-0853](#) Minutes of the July 10, 2019 meeting

Action: Committee Approval

- 4b. [19-0852](#) BATA Financial Statements as of June 2019 (Unaudited)
Action: Information
Presenter: Raymond Woo
- 4c. [19-0868](#) Contract Amendment - Advanced Toll Collection and Accounting System (ATCAS II): TransCore, LP (\$1,448,121)
Action: Committee Approval
Presenter: Jeff Gerbracht
- 4d. [19-0886](#) Contract - FasTrak® Communications Services: Caribou Public Relations, Inc. (\$650,000)
Action: Committee Approval
Presenter: Lysa Hale
- 4e. [19-0872](#) Contract Amendment - San Francisco Estuary Partnership San Pablo Avenue Green Stormwater Spine Project - On-Call Construction Management Services: MNS Engineering (\$850,000)
Action: Committee Approval
Presenter: Peter Lee
- 4f. [19-0962](#) Good Faith Estimate \$1.1 billion BATA Refunding
Action: Committee Approval
Presenter: Brian Mayhew

5. Approval

- 5a. [19-0851](#) Contract - San Francisco-Oakland Bay Bridge Metering Lights (SFOBB ML) System Upgrade: St. Francis Electric, LLC (General Contractor) (\$8,987,000 plus a contingency of \$1,500,000)
- A request for approval of a contract with St. Francis Electric, LLC, in an amount not to exceed \$8,987,000 for construction services, for the SFOBB ML System Upgrade project and a contingency of \$1,500,000 to be used at the discretion of the Executive Director or designee for changes in the work.
- Action:* Committee Approval
Presenter: Stephen Baker
- Roland Lebrun was called to speak.
- Upon the motion by Commissioner Bruins and the second by Commissioner Dutra-Vernaci, the Committee unanimously approved the contract with St. Francis Electric, LLC. The motion carried by the following vote:

Aye: 5 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Papan, Commissioner Ronen and Chair Worth

Absent: 3 - Commissioner Glover, Commissioner Josefowitz and Commissioner Schaaf

5b. [19-0706](#) Contract - All-Electronic Tolling Program Management and Oversight:
WSP USA, Inc. (\$4,000,000)

A request for approval to enter into a contract with WSP USA, Inc. ("WSP") in an amount not to exceed \$4,000,000 to provide program management and oversight for the conversion of the seven state-owned bridges to all-electric tolling (AET) for a period of five years with options to extend up to an additional three years, in annual increments, subject to budget approval.

Action: Committee Approval

Presenter: Sylvia Cox

Commissioner Glover arrived during agenda item 5b.

Upon the motion by Commissioner Glover and the second by Commissioner Bruins, the Committee unanimously approved the contract with WSP USA, Inc. The motion carried by the following vote:

Aye: 6 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Papan, Commissioner Ronen and Chair Worth

Absent: 2 - Commissioner Josefowitz and Commissioner Schaaf

5c. [19-0919](#) Closed Session - CONFERENCE WITH LEGAL COUNSEL - EXISTING
LITIGATION

The Committee met in closed session pursuant to Government Code Section 54956.9(a) and paragraph (1) of subdivision (d) of Government Code Section 54956.9 to confer with counsel regarding Howard Jarvis Taxpayers Association, Brandon Kline, Angelique Bacon, and Deidre Dawson vs. The Bay Area Toll Authority, the California State Legislature, and All Persons Interested, San Francisco Superior Court Case No. CGC 18-567860.

5d. [19-0920](#) Open Session

Action: Committee Approval

Commissioner Cortese and Commissioner Josefowitz arrived during agenda item 5d.

The Committee reconvened in open session. General Counsel, Adrienne Weil, reported that the Committee met in pursuant to Government Code Section 54956.9(a) and paragraph (1) of subdivision (d) of Government Code Section 54956.9 to discuss the above litigation and there were no reportable actions.

6. Public Comment / Other Business

7. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on October 9, 2019 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-1068 **Version:** 1 **Name:**
Type: Report **Status:** Informational
File created: 9/6/2019 **In control:** Bay Area Toll Authority Oversight Committee
On agenda: 10/9/2019 **Final action:**
Title: BATA Financial Statements for July 2019
Sponsors:
Indexes:
Code sections:
Attachments: [4b_Financial_Statements_July2019.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
BATA Financial Statements for July 2019

Presenter:
Raymond Woo

Recommended Action:
Information

**Bay Area Toll Authority
Oversight Committee**

October 9, 2019

Agenda Item 4b

BATA Financial Statements for July 2019

Subject: Attached are the BATA financial statements for the one-month period ending July 2019. Major financial highlights include:

- (1) **Revenues:** Total operating revenue of \$70.6 million is 5% below the projected budget after the first month of the FY 2019-20. Revenue coming in below the budget estimate is normal for this time of the fiscal year given the timing of revenue accruals and receivable collections.

Toll revenue of \$63 million after the first month is slightly ahead of budget. Total interest revenue of \$4 million is in line with the budget. Year-to-date (YTD) toll violation revenues of \$2.5 million is 1.5 percentage point above the projected violation revenue budget.

- (2) **Expense:** Total operating expense of \$24 million is 2.7% of the total approved budget and is where it is expected to be relative to the early part of the operating year. As we get closer to midyear, contract and debt service costs will kick in giving us a more accurate expense picture.

- (3) **Transfers to MTC:** The budgeted annual 1% administration fee and the additional administration fee for MTC were transferred at the beginning of the fiscal year. Majority of the transfers are generally made on a drawdown basis.

- (4) **Actions under Executive Director Contract signature authority:** please see Attachment A page 2 to 4.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

Recommendation: None. This item provided as information only.

Attachments: Attachment A – BATA Financial Statements for period ending July 31, 2019



Therese W. McMillan

BATA Operating Budget

As of July 2019

	FY 2019-20	Actual	Current Budget	% of Budget	year		YTD Total
	Budget	YTD	Balance Over/(Under)	(col 2/1)	Expired Encumbrances	(Enc + YTD)	
REVENUE:							
1 RM 1 Toll Revenues	603,709,547	52,052,993	(551,656,554)	8.6%	8.3%	-	52,052,993
2 RM 2 Toll Revenues	130,989,803	11,267,503	(119,722,300)	8.6%	8.3%	-	11,267,503
3 Toll Violation Revenues	25,000,000	2,451,192	(22,548,808)	9.8%	8.3%	-	2,451,192
4 Other Revenue	-	393,350	393,350	N/A	8.3%	-	393,350
5 Interest Income	50,000,000	4,211,954	(45,788,046)	8.4%	8.3%	-	4,211,954
6 BAIFA Reimbursement	780,000	54,217	(725,783)	7.0%	8.3%	-	54,217
7 GGB&HTD Fastrak Reimbursement	7,200,000	-	(7,200,000)	0.0%	8.3%	-	-
8 SFO Fastrak Reimbursement	463,000	7,391	(455,609)	1.6%	8.3%	-	7,391
9 Alameda CMA Reimbursement	1,700,000	144,997	(1,555,003)	8.5%	8.3%	-	144,997
10 VTA 237 Express Lane Reimb.	660,000	11,282	(648,718)	1.7%	8.3%	-	11,282
11 Rebate for Build America Bonds	71,713,641	-	(71,713,641)	0.0%	8.3%	-	-
12 BAHAI Reimbursement	2,000,000	-	(2,000,000)	0.0%	8.3%	-	-
13 Transbay Terminal Review Reimbursement	600,000	-	(600,000)	0.0%	8.3%	-	-
14 EBRPD Reimbursement	1,361,459	-	(1,361,459)	N/A	8.3%	-	-
Total Revenue	896,177,450	70,594,879	(825,582,571)	7.9%	8.3%	-	70,594,879
EXPENSE:							
Caltrans Operations and Maintenance:							
1 Toll Collection & Operations Services	24,000,000	1,903,588	(22,096,412)	7.9%	8.3%	-	1,903,588
2 Toll & Bridge Facility Maint	5,700,000	334,503	(5,365,497)	5.9%	8.3%	-	334,503
Caltrans O & M Subtotal	29,700,000	2,238,091	(27,461,909)	7.5%	8.3%	-	2,238,091
Fastrak Operations and Maintenance:							
3 RCSC Operations	27,000,000	-	(27,000,000)	0.0%	8.3%	-	-
4 ATCAS Maintenance, IT equip	5,650,000	25,918	(5,624,082)	0.5%	8.3%	701,472	727,390
5 Banking Costs	16,900,000	-	(16,900,000)	0.0%	8.3%	21,905	21,905
6 Collection Exp./DMV Exp.	4,200,000	159,228	(4,040,772)	3.8%	8.3%	1,400,000	1,559,228
BATA O & M Subtotal	53,750,000	185,146	(53,564,854)	0.3%	8.3%	2,123,377	2,308,523
BATA Toll Bridge Administration:							
7 Staff Costs - Salaries,Benefits & Temps	11,908,744	467,930	(11,440,814)	3.9%	8.3%	-	467,930
8 Travel, Printing, Memberships & Other	627,965	7,337	(620,628)	1.2%	8.3%	3,619	10,956
9 Audit/Accounting	2,350,000	161,503	(2,188,497)	6.9%	8.3%	984,636	1,146,139
10 Misc. Toll Admin Operating Expenses	1,175,000	155,000	(1,020,000)	13.2%	8.3%	227,600	382,600
11 Professional Fees	4,140,000	26,342	(4,113,658)	0.6%	8.3%	711,315	737,657
12 Other	1,000,000	-	(1,000,000)	0.0%	8.3%	-	-
Toll Bridge Admin Subtotal	21,201,709	818,112	(20,383,597)	3.9%	8.3%	1,927,170	2,745,282
Other/Transfers:							
13 Transfers to MTC 1% Admin	8,096,994	8,096,994	-	100.0%	8.3%	-	8,096,994
14 Transfers to MTC - Additional Admin	6,991,519	6,991,519	-	100.0%	8.3%	-	6,991,519
15 Transfers to MTC - Other	1,320,500	902,527	(417,973)	68.3%	8.3%	9,858	912,385
16 Transfer from Legal Reserve	2,600,000	-	(2,600,000)	0.0%	8.3%	2,280,110	2,280,110
17 Transbay Transit Terminal Maint	5,201,958	-	(5,201,958)	0.0%	8.3%	5,201,958	5,201,958
18 Beale St Assessment	1,800,000	-	(1,800,000)	0.0%	8.3%	-	-
19 Depreciation and Amortization	5,050,000	-	(5,050,000)	0.0%	8.3%	-	-
20 RM2/Clipper Marketing	6,940,000	-	(6,940,000)	0.0%	8.3%	1,637,180	1,637,180
21 RM2 Operating	49,776,125	5,012,273	(44,763,852)	10.1%	8.3%	37,557,585	42,569,858
22 ABA6 SFEP	1,105,475	-	(1,105,475)	0.0%	8.3%	-	-
23 BARC for IG Contract	1,000,000	-	(1,000,000)	0.0%	8.3%	-	-
Transfers	89,882,571	21,003,313	(68,879,258)	23.4%	8.3%	46,686,691	67,690,004
Debt Service:							
24 Interest and principal payments	607,490,461	-	(607,490,461)	0.0%	8.3%	-	-
25 Financing Costs	14,073,400	-	(14,073,400)	0.0%	8.3%	3,473,400	3,473,400
Total Debt Service	621,563,861	-	(621,563,861)	0.0%	8.3%	3,473,400	3,473,400
Transfer to Capital Fund In (Out):							
26 Transfer to Capital Fund	(80,029,309)	-	(80,029,309)	0.0%	8.3%	-	-
27 Furniture/Equip./Vehicle	(50,000)	-	(50,000)	0.0%	8.3%	-	-
Total Capital Reserve In (Out)	(80,079,309)	-	(80,079,309)	0.0%	8.3%	-	-
Total Expense & Transfers	896,177,450	24,244,662	(871,932,788)	2.7%	8.3%	54,210,638	78,455,300
Net	-	46,350,217	-	-	-	-	(7,860,421)

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

		July'19
Carter Wetch and Associate		\$12,385
	<i>Legislation Advisory Service</i>	
Bloomberg Finance LP		\$30,000
	<i>Financial Information and Research Service</i>	
Sperry Capital Inc		\$50,000
	<i>Financial Advisory</i>	
SymPro Inc		\$32,000
	<i>Financial Software Licensing Fee</i>	
Interactive Data		\$3,000
	<i>Data Service for Financial Software</i>	

CONTRACTS REQUIRED UNDER BOND ISSUANCE DOCUMENTS

		July'19
Sumitomo Mitsui Bank		\$3,100
	<i>Letter of Credit Draw Fee</i>	
Bank of America		\$8,000
	<i>Letter of Credit Draw Fee</i>	
Bank of Tokyo		\$3,000
	<i>Letter of Credit Draw Fee</i>	
Bank of America		\$45,000
	<i>Agent Fee</i>	
Bank of New York		\$80,500
	<i>Financing Fee</i>	
Union Bank		\$193,000
	<i>Financing Fee</i>	
Union Bank		\$120,000
	<i>Sweep Fee</i>	
Bond Logistix LLC		\$126,500
	<i>Financing Fee</i>	
Fitch Inc.		\$75,000
	<i>Financing Fee</i>	
JP Morgan		\$63,000
	<i>Financing Fee</i>	
Standard & Poors		\$70,000
	<i>Financing Fee</i>	
Merrill Lynch Pierce		\$83,000
	<i>Remarketing Fee</i>	
Citigroup		\$85,500
	<i>Remarketing Fee</i>	
Barclays Capital		\$42,500
	<i>Remarketing Fee</i>	
Morgan Stanley		\$42,500
	<i>Remarketing Fee</i>	
Goldman Sachs		\$22,500
	<i>Remarketing Fee</i>	

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

		July'19
CDW Government Inc		\$20,000
	<i>Computer Supplies</i>	
Copymat		\$3,238
	<i>Printing Service</i>	
East Bay Bicycle Coalition		\$5,000
	<i>Sponsorship</i>	
American Society of civil Engineers		\$2,500
	<i>Sponsorship</i>	
Southern California Bronze Company		\$6,700
	<i>Supplies</i>	
Cogent Communications Inc		\$55,000
	<i>Data Telecommunications Service</i>	
SSP Date		\$152,781
	<i>Computer Software License</i>	
SSP Date		\$60,480
	<i>Computer Maintenance Service</i>	
SSP Date		\$17,160
	<i>Computer Software License</i>	
Superion LLC		\$100,000
	<i>Financial Software Maintenance Service</i>	

**Regional Measure 2 Operating Budget
As of July 2019 (\$000)**

						Balance
	Project Title	Total Budget	Allocation	Actual	Encumbrance	Over/(Und)
RM2 Operating Assistance Program						
1	Richmond Bridge Express Bus	2,474	-	-	-	(2,474)
2	Napa Vine Service	426	-	-	-	(426)
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,751	781	-	781	(2,970)
4	Express Bus South - serving Carquinez and Benicia Bridges	7,074	7,074	-	7,074	-
5	Dumbarton Bus	3,017	3,017	-	3,017	-
6	WETA Ferry Operations	16,500	16,500	2,750	13,750	-
7	Owl Service - BART Corridor	2,004	1,698	-	1,698	(306)
8	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
9	AC Enhanced Bus Service	3,000	3,000	-	3,000	-
11	Water Emergency Transportation Authority Regional Planning	3,000	3,000	500	2,500	-
12	Clipper Operations	2,000	2,000	-	2,000	-
13	Transbay Transit Center	3,000	3,000	1,762	1,238	-
Total RM2 Operating Assistance Program		48,746	42,570	5,012	37,558	(6,176)
RM2 Marketing Assistance Program						
N/A	Clipper Marketing	3,000	-	-	-	(3,000)
N/A	511 Real Time Transit	110	-	-	110	-
N/A	Seamless Transit Map	780	-	-	780	-
N/A	Regional Resource Center	200	-	-	197	(3)
N/A	AC Transit Services	500	-	-	500	-
N/A	Bike to Work, Trails and Transit Week	50	-	-	50	-
N/A	Carpool Incentive Program	2,000	-	-	-	(2,000)
N/A	New or Expanded Transit Services	300	-	-	-	(300)
Total RM2 Marketing Assistance Program		6,940	-	-	1,637	(5,303)
Total		\$55,686	\$42,570	\$5,012	\$39,195	(\$11,479)

Rehab Project Budget

As of July 2019 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance Remaining
6811	Antioch Bridge Rehab	70	-	-	70
6812	Benicia-Martinez Bridge Rehab	6,988	3,767	-	3,221
6813	Carquinez Bridge Rehab	34,656	34,466	-	190
6814	Richmond-San Rafael Bridge Rehab	123,330	59,725	-	63,605
6825	San Francisco-Oakland Bay Bridge Rehab	239,870	192,972	-	46,898
6826	San Mateo-Hayward Bridge Rehab	120,987	108,055	1	12,932
6827	Dumbarton Bridge Rehab	5,132	4,792	-	340
6828	All Bridges Rehab	130,946	94,715	-	36,231
6829	Caltrans Reserve	6,028	4	-	6,024
8030	Completed/Defunded/Transferred Projects	117,303	116,626	-	677
8033	Minor Toll Plaza Rehab Projects	4,580	2,677	-	1,903
8210	New Benicia Bridge *	1,715	695	-	1,020
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,640	5,539	-	1,101
8629	Minor Bridge Rehab Projects	1,159	45	-	1,114
TOTAL CALTRANS REHAB BUDGET		799,558	624,161	1	175,397
8012	All Electronic Tolling	5,963	699	2	5,262
8528	Bay Lights Maintenance	800	310	10	480
8530	Drainage Studies for the Bridge	500	319	81	100
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebare Repair Review	2,914	2,660	254	-
8540	Regional Transportation Sea Level Rise Asset	2,000	391	-	1,609
8594	SFOBB West Span Pathway PSR	12,300	11,342	686	272
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	2003 CSC Procurement	12,358	11,046	3	1,309
8901	ETC Transponder Procurement	99,500	82,507	10,255	6,738
8902	2012 CSC Procurement	23,450	19,452	807	3,191
8903	ATCAS Lane Host Upgrades	33,545	32,057	1,286	202
8904	Fastrak Sign & Sign Structure Improvements	29,510	29,343	72	95
8905	Misc. Bridge Improvements	23,914	8,318	2,200	13,396
8907	Toll Plaza Capital Improvements	28,833	20,086	5,172	3,575
8908	Enterprise Computing HW/SW	4,835	3,238	15	1,582
8909	Gateway Park Planning	27,975	16,888	881	10,206
8912	ETC Transponder Tag Swap	1,937	1,929	-	8
8913	SFOBB Administration Building	25,319	25,220	-	99
8914	Violation Enforcement System Upgrade	7,842	7,841	-	-
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	1,300	443	184	673
8918	Maintenance Complex	531	491	36	4
8920	Plaza and Canopy Improvements	9,263	8,545	25	693
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	1,775	1,664	43	68
8922	Metering Lights Replacement	11,180	2,249	3,096	5,835
8923	Bridge Records Recordation and Storage	500	55	-	445
8924	Antioch Bridge Approach	50,000	49,070	840	90
8926	Bridge Modeling & Investigations	5,801	893	57	4,851
8928	BATA Program Contingency	16,565	300	-	16,265
8930	Richmond-San Rafael Bridge Rehab	83,428	66,233	8,928	8,267
8933	Plan Bay Area TMS	9,000	6,236	2,204	560
8936	Backhaul Connection Infrastructure	1,000	768	88	144
8937	Future CSC Procurement	34,000	1,188	505	32,307
8938	Misc. East Span Project Improvements	12,084	-	-	12,084
8939	Asset Management	4,500	493	1,507	2,500
8940	HOV Lane Enforcement	6,600	578	822	5,200
8941	CHP - COZEPP/MAZEPP	300	-	-	300
8942	Bridge Yard Capital Improvements	500	-	-	500
8943	Bike/Ped Access to East Span of SFOBB	1,200	-	-	1,200
8944	Dumbarton Approach and Transit Strategies	17,000	-	-	17,000
8945	Next Gen Clipper (C2) System	9,600	-	9,600	-
8946	I-680/I-80/ISR-12 Interchange	7,200	-	-	7,200
8947	SR-37 Evaluation	8,000	-	6,000	2,000
8000-05	Capital Program Audit	8,300	7,040	513	747
8000-16	SRA/RM1 Program Monitoring	46,445	45,114	427	904
Total BATA REHAB BUDGET		697,478	472,917	56,599	167,961
TOTAL REHAB BUDGET		1,497,036	1,097,078	56,600	343,358

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Regional Measure 2 Project Budget

As of July 2019 (\$000) - Life to Date

Program	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	-	1,500	\$1,500
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	-	-
4	Dumbarton Commuter Rail Service ^{i,iv,xii}	9,157	8,932	33	192
5	Vallejo Ferry Intermodal Station ^v	26,000	24,101	1,598	301
6	Solano County Express Bus Intermodal Facilities ^{vi}	12,251	12,222	30	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	97,626	2,374	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	-	-
9	Richmond Parkway Park & Ride ^{vii}	3,850	946	627	2,277
10	SMART Extension to Larkspur ^{ii,viii}	56,500	54,930	1,570	-
11	Greenbrae Interchange Improvement ^{ii,viii}	43,500	31,641	11,859	-
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix}	20,425	16,877	3,230	318
13	Rail Extension to East Contra Costa/E-BART	96,000	94,298	1,702.00	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi,x}	35,950	35,950	-	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects ^{v,x}	18,799	18,771	28	-
18	Clipper	35,000	20,817	1,163	13,020
19	Real-time transit information	20,000	19,558	442	-
20	Safe Routes to Transit	22,500	19,575	2,925	-
21	BART Tube Seismic Retrofit	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	149,894	106	-
23	Oakland Airport Connector	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) ^{vii}	77,760	64,225	13,535	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	12,000	-	-
26	Commute Ferry Service for Berkeley/Albany	12,000	7,886	4,114	-
27	Commute Ferry Service for South San Francisco	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	47,269	731	-
29	Regional Express Bus South - Remaining Projects ^{iv,vii,xi}	54,933	33,751	9,318	11,864
30	I-880 North Safety Improvements ^{xi}	12,300	12,088	212	-
31	BART Warm Springs Extension ⁱ	186,000	181,416	4,584	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	50,894	9,111	4,995
33	Regional Rail Master Plan	6,500	6,062	394	44
34	Integrated Fare Structure Program	1,500	900	600	-
35	Transit Commute Benefits Promotion	5,000	3,366	1,634	-
36	Caldecott Tunnel Improvements ^{ix}	45,075	45,074	1	-
37	BART's Fixed Guideway Rehab	64,000	24,000	-	40,000
38	Regional Express Lane Network ⁱⁱⁱ	4,825	-	4,825	-
39	Modifications in I-80 and San Pablo ⁱⁱⁱ	8,000	7,730	270	-
40	Caltrain Electrification ^{viii,xii}	20,000	19,991	9	-
Total		\$1,589,000	\$1,435,963	\$78,527	\$74,511

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
^{iv} \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^v \$2,000	Program 5: Vallejo Ferry Intermodal Station program	Program 17: Regional Express Bus North program
^{vi} \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{vii} \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands.	Program 24: AC Transit Enhanced Bus program
^{viii} \$20,000	Program 11: Greenbrae Interchange Improvement	Program 10: SMART Extension to Larkspur
^{ix} \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
^x \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{xi} \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
^{xii} \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

^{xiii} Increasing funding by \$13 million to the Clipper Project (18), per Res #3801 dated 12/21/16.

^{xiv} Increasing funding by \$21 million to the Regional Express Bus South Project (29), per Resolution #3801 dated 12/21/16.

^{xv} Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37), per Resolution #3801 dated 12/21/16.

Shaded projects are completed

Seismic Capital Project Budget
As of July 2019 (\$000) - Life to Date

	Program	Base Budget	Current Budget***	Total Expenses*	Encumbrance	Remaining Balance
8103	San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,519,801	\$ 6,501,256	\$ 18,545	\$ -
8109	San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
8106	San Francisco-Oakland Bay Bridge West Approach Repl	429,000	452,550	450,387	2,163	-
8100	Antioch Bridge Retrofit	-	71,100	71,093	7	-
8122	Dumbarton Bridge Retrofit	-	112,400	112,354	46	-
8112	Richmond-San Rafael Bridge Retrofit	808,100	794,950	794,870	80	-
8115	Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
8118	Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
8121	San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
	Subtotal for Bay Area Bridges	7,487,100	8,711,565	8,690,711	20,854	-
8128	Misc Program Costs	30,000	26,030	26,024	6	-
8729	Program Contingency**	989,000	-	-	-	-
8124	Vincent Thomas Bridge Retrofit (non-BATA, for information	58,500	58,420	58,411	9	-
8127	San Diego-Coronado Bridge Retrofit (non BATA, for	103,500	103,240	103,235	5	-
	Subtotal for Other Bridges	162,000	161,660	161,646	14	-
	Total for Toll Bridge Seismic Retrofit Program	\$ 8,668,100	\$ 8,899,255	\$ 8,878,381	\$ 20,874	\$ -

*Includes pre AB144 LTD expenses from Caltrans to April 2006
BATA expenses from May 2006 to current

3,709,068
5,169,313
8,878,381

**** Contingency Allocation**

Contingency per Budget	989,000
FY08 (Allocation) and Rescission	(203,920)
FY09 (Allocation) and Rescission	(44,790)
FY10 (Allocation) and Rescission	139,400
FY11 (Allocation) and Rescission	(577,670)
FY12 (Allocation) and Rescission	(15,520)
FY13 (Allocation) and Rescission	32,637
FY14 (Allocation) and Rescission	(130,000)
FY15 (Allocation) and Rescission	(103,800)
FY16 (Allocation) and Rescission	(12,731)
FY17 (Allocation) and Rescission	(33,200)
FY18 (Allocation) and Rescission	(24,671)
FY19 (Allocation) and Rescission	(14,735)
Remaining Balance	<u>-</u>

Shaded projects are completed

***Financial reflects budget update approved on 6/27/18

AB 1171 Project Budget

As of July 2019 (\$000) - Life to Date (Unaudited)

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	80,000	-	-
East Contra Costa BART Extension	111,500	111,500	109,895	1,605	-
Transbay Terminal/Downtown Extension: Phase 1	150,000	150,000	149,343	657	-
Tri-Valley Transit Access Improve. To BART	95,000	21,852	12,971	8,881	73,148
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	9,000	-	-
I80/680 Interchange	100,000	100,000	98,770	1,230	-
Other Corridor Improvement	10,200	10,150	10,150	-	50
VTa Mission/Warren/Truck Rail Facility	6,500	6,500	5,811	689	-
BART to Warm Spring Extension	5,000	5,000	5,000	-	-
Total	\$570,000	\$496,802	\$483,740	\$13,062	\$73,198

Note: AB 1171 is a discretionary funding source passed by the Legislature and signed by the Governor in October 2001. AB 1171 (Dutra) extends the \$1 seismic surcharge on the seven state-owned Bay Area toll bridges for up to 30 years to finance retrofit work. Project list is included in MTC Resolution #3434.

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$496,802
AB 1171 Program Balance:	<u>\$73,198</u>

Shaded projects are completed

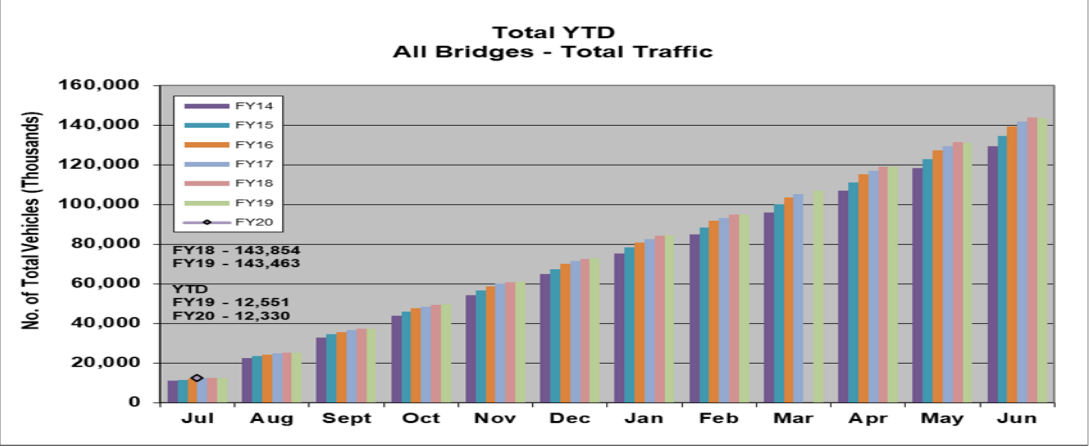
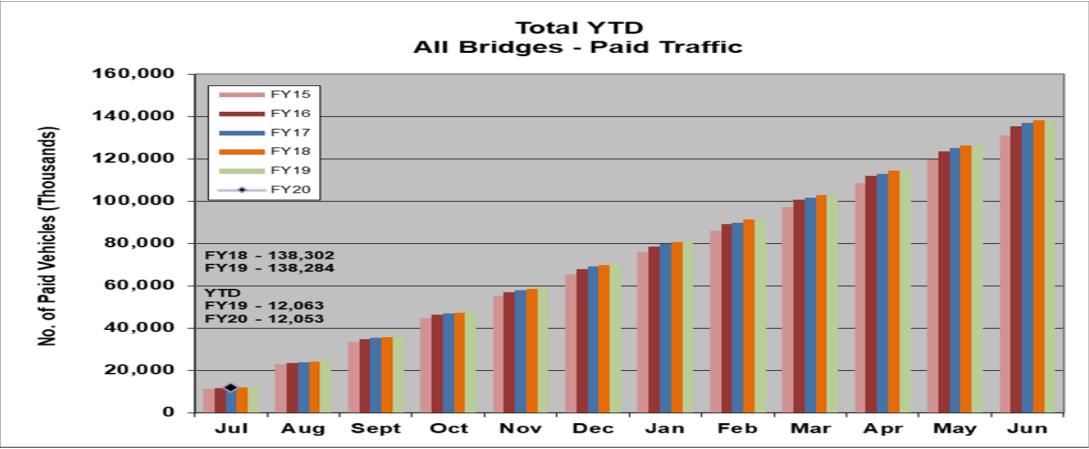
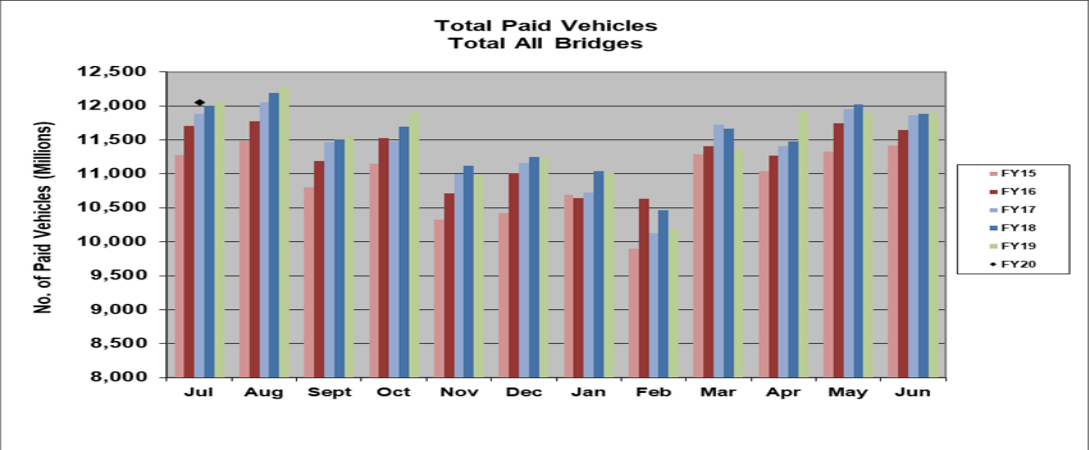
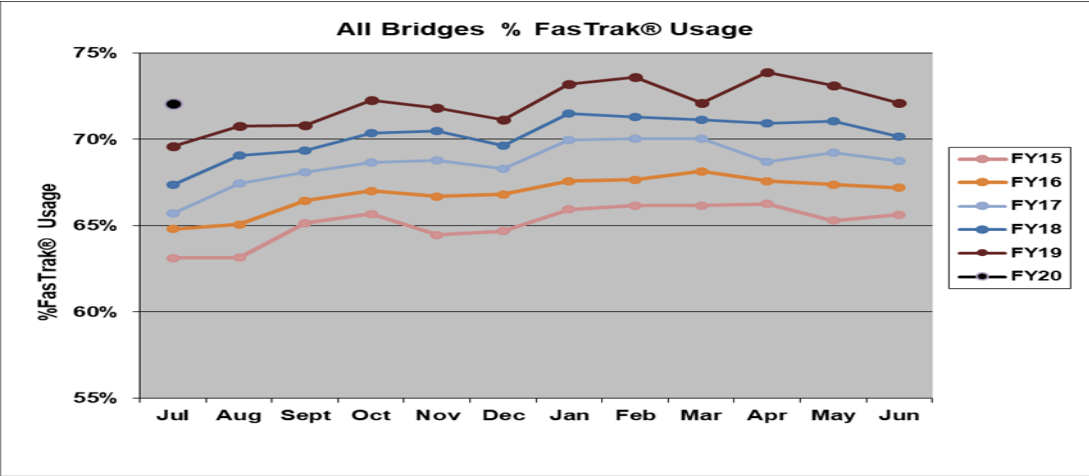
Other Capital Projects

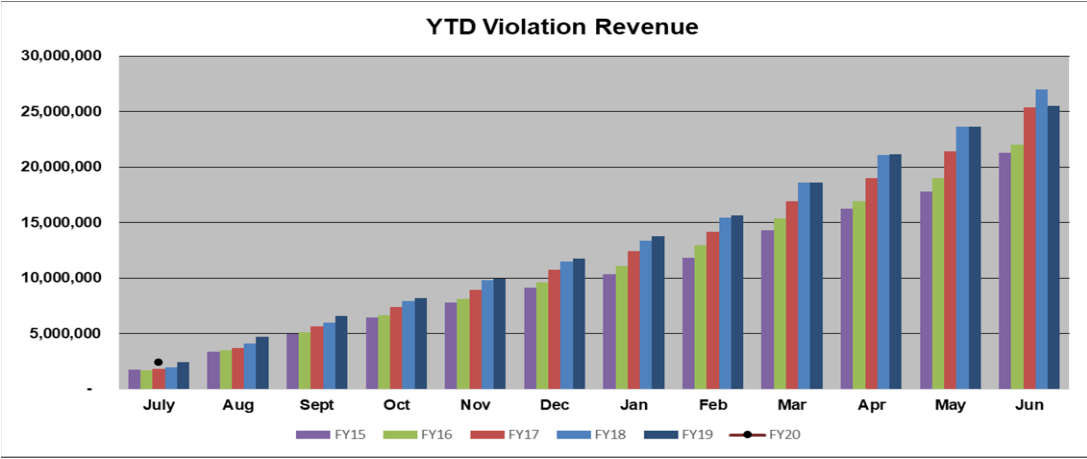
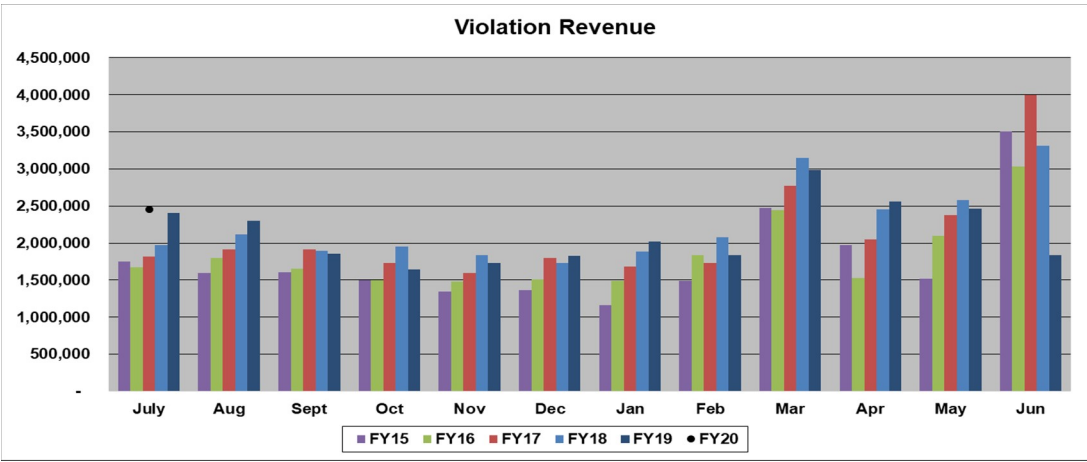
As of July 2019 (\$000) - Life to Date

	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
849	Express Lanes Capital				
6840	Program Costs: Planning, Coordination & Management	19,810	20,218	-	(408)
6841	Centralized Toll System	20,413	20,926	-	(513)
6842	CC-680 Southern Segment Conversion	52,348	52,348	-	-
6843	Capitalized Start-up O&M	4,790	4,853	-	(63)
6844	ALA-880 Conversion	96,277	101,830	-	(5,553)
6845	CC-680 Northern Segment - Southbound Conversion	7,145	16,955	-	(9,810)
6846	SOL-80 West Conversion	637	637	-	-
6849	SOL-80 East Express Lane Conversion	10,537	10,964	-	(427)
6851	84/Dumbarton Bridge	323	323	-	-
6852	92/San Mateo Bridge	369	369	-	-
	Express Lanes Total	\$ 212,649	\$ 229,423	\$ -	\$ (16,774) *
847	BATA Project Savings				
6953	CCC - AC Transit	83,000	21,339	25,393	36,268
6954	CCC - Muni	106,000	31,895	74,099	6 **
6955	CCC - BART	15,000	-	-	15,000
6956	BART Rail Car Replacement	46,000	-	-	46,000 **
	BATA Project Savings Total	\$ 250,000	\$ 53,234	\$ 99,492	\$ 97,274
	Grand Total	\$ 462,649	\$ 282,657	\$ 99,492	\$ 80,500

* The BATA Express Lanes (EL) Capital Fund is closed out on 6/30/2019, the remaining balance of the \$345 million budget funded by BATA/SAFE are rebudgeted in BAIFA EL Capital Fund in FY 2019-20. The LTD budget in BATA EL Capital Fund budget will be amended to tie off with the LTD actual recorded in such fund as of 6/30/19 after the FY 2018-19 Yearend audit is completed.

** Pending budget revision (MTC Res#4123) per R Jaques 2/12/19







Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-0885 **Version:** 1 **Name:**

Type: Contract **Status:** Committee Approval

File created: 7/25/2019 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 10/9/2019 **Final action:**

Title: Purchase Order - BATA Security Hardware Upgrade: SSP Data (\$300,000)

Sponsors:

Indexes:

Code sections:

Attachments: [4c_PurchaseOrder_SSP_Data_Firewall_Upgrades.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:

Purchase Order - BATA Security Hardware Upgrade: SSP Data (\$300,000)

Presenter:

Mark Dinh

Recommended Action:

Committee Approval

Bay Area Toll Authority Oversight Committee

October 9, 2019

Agenda Item 4c

Purchase Order – BATA Security Hardware Upgrade: SSP Data (\$300,000)

Subject: This item would authorize a purchase order with SSP Data for security hardware of next generation firewalls for upgrading the BATA network in the amount not to exceed \$300,000.

Background: This is a request for authorization to purchase four new Palo Alto 5220 firewalls to provide network security and access control to replace current firewalls at the Benicia Bridge Toll Plaza and the Beale Street server room. The firewalls would be purchased from SSP DATA through a General Services Administration (GSA) intergovernmental agreement, which satisfies MTC's procurement requirements. Palo Alto Networks has been the firewall of choice for the bridge tolling network, the Express Lane Network (ELN), as well as for Metropolitan Transportation Commission (MTC)'s network. Palo Alto Network firewalls provide the bridge toll network with security through advanced visibility, granular control of applications and user access across BATA's network. The proposed upgrade would increase each firewall's total throughput by 900%. This increase will be needed for secure access and network monitoring for the next five to seven years. Additionally, the Palo alto 5220 firewalls will receive security patches and operating system updates beyond BATA's current firewalls.

The firewall upgrade would ensure BATA's ability to maintain network security between the networks and provide the needed scalability for future growth of the bridge toll network and ELN systems.

Attachments: Attachment A – Small Business and Disadvantaged Business Enterprise Status; and Request for Committee Approval Sheet.

Recommendation: Staff recommends that the Committee authorize the Executive Director or designee to issue a purchase order to SSP Data in an amount not to exceed \$300,000 for firewall hardware.



Therese W. McMillan

Attachment A

Small Business and Disadvantaged Business Enterprise Status

			DBE* Firm			SBE** Firm		
	Firm Name	Role on Project	Yes	If Yes, List #	No	Yes	If Yes, List #	No
Prime Contractor	SSP Data	Network Hardware			X	X	56663	
Subcontractor								
Subcontractor								
Subcontractor								
Subcontractor								

*Denotes certification by the California Unified Certification Program (CUCP).

**Denotes certification by the State of California.

REQUEST FOR COMMITTEE APPROVAL

Summary of Proposed Purchase Order

Work Item No.:	1252
Consultant:	SSP Data Richmond, CA
Work Project Title:	Advanced Toll Collection Accounting System
Purpose of Project:	Security hardware upgrade of firewalls to maintain secure transport of data from bridge toll plazas
Brief Scope of Work:	Provide security hardware upgrade to firewalls at Benicia and Beale locations.
Project Cost Not to Exceed:	\$300,000
Funding Source:	Toll Bridge Program Operating Budget
Fiscal Impact:	Funds are included in the FY 2019-20 Toll Bridge Program Operating Budget.
Motion by Committee:	That the Executive Director or designee is authorized to issue a purchase order to SSP Data for the hardware described above and in the BATA Oversight Committee Summary Sheet dated October 9, 2019, and the Chief Financial Officer is authorized to set aside funds in the amount of \$300,00 for such purchase order.
BATA Oversight Committee:	<hr/> Amy R. Worth, Chair
Approved:	October 9, 2019



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-0867 **Version:** 1 **Name:**
Type: Resolution **Status:** Authority Approval
File created: 7/18/2019 **In control:** Bay Area Toll Authority Oversight Committee
On agenda: 10/9/2019 **Final action:**
Title: BATA Resolution No. 131, Revised - Update to Membership of the Regional Measure 3 Independent Oversight Committee
Sponsors:
Indexes:
Code sections:
Attachments: [4d Reso-0131_RM3_Independent_Oversight.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
BATA Resolution No. 131, Revised - Update to Membership of the Regional Measure 3 Independent Oversight Committee

Presenter:
Craig Bosman

Recommended Action:
Authority Approval

Bay Area Toll Authority Oversight Committee

October 9, 2019

Agenda Item 4d

BATA Resolution No. 131, Revised

Subject: Update to Membership of the Regional Measure 3 Independent Oversight Committee

Background: In June 2019, BATA adopted Resolution No. 131, establishing the Regional Measure 3 (RM3) Independent Oversight Committee. The California Streets and Highways Code Section 30923(h)(2) requires the Independent Oversight Committee to be composed of two representatives from each county within the jurisdiction of the Metropolitan Transportation Commission, to be appointed by the applicable county Board of Supervisors to serve a four-year term, limited to two terms.

On May 3, 2019, staff sent letters to the Boards of Supervisors of each county requesting the names of two individuals appointed to the Independent Oversight Committee. Revisions to Attachment A to BATA Resolution No. 131 reflect appointees named by county Boards of Supervisors to date (correspondence attached). This month, appointees are being added from Alameda, Contra Costa, Marin, San Mateo, and Santa Clara Counties.

According to statute, members of the committee cannot be current or former members or staff of MTC or BATA, current employees of an organization or person that has ever received funds from MTC or BATA, or previous employees or contractors of an organization or person that has ever received funds from MTC or BATA within one year of having worked for or contracted with that organization.

Issues: Regional Measure 3 is the subject of ongoing litigation. The committee will likely not meet until the litigation is resolved.

Recommendation: Refer BATA Resolution No. 131, Revised to the Authority for approval.

Attachments: BATA Resolution No. 131, Revised
Correspondence appointing committee members



Therese W. McMillan

Date: June 26, 2019
Referred by: BATA Oversight
Revised: 07/24/19-BATA
10/23/19-BATA

ABSTRACT

BATA Resolution No. 131, Revised

This resolution establishes the Regional Measure 3 Independent Oversight Committee, as set forth in Section 30923(h) of the California Streets and Highways Code and approved by voters on the June 5, 2018 ballot.

Attachment A to this Resolution was revised on July 24, 2019 to update appointees.

Attachment A to the Resolution was revised on October 23, 2019 to update appointees.

Further discussion of this resolution is contained in the BATA Oversight Committee Summary Sheets dated June 12, 2019, July 10, 2019, and October 9, 2019.

Date: June 26, 2019
Referred by: BATA Oversight

Re: Regional Measure 3 Independent Oversight Committee

BAY AREA TOLL AUTHORITY
RESOLUTION NO. 131

WHEREAS, on June 5, 2018, a special election was held in the City and County of San Francisco, and the Counties of Alameda, Contra Costa, Marin, Napa, San Mateo, Santa Clara, Solano, and Sonoma (individually, each a “County” and, collectively, the “Counties”) to approve a toll increase of three dollars (\$3.00) phased in over time, including a one dollar (\$1.00) toll increase on January 1, 2019, a one dollar (\$1.00) toll increase on January 1, 2022, and a one dollar (\$1.00) toll increase on January 1, 2025, for vehicles traveling on the state-owned bridges located in the San Francisco Bay Area (“Regional Measure 3”); and

WHEREAS, on September 26, 2018, the Bay Area Toll Authority (“Authority”) adopted Resolution No. 126 accepting certified statements from the Registrar of Voters of the City and County of San Francisco and each of the Counties and observing that a majority of all voters voting on Regional Measure 3 at such special election voted affirmatively for Regional Measure 3; and

WHEREAS, on December 19, 2018, the Authority adopted Resolution No. 128 adopting a toll schedule phasing in the toll increase approved pursuant to Regional Measure 3, effective on January 1, 2019; and

WHEREAS, in accordance with subsection (h) of Section 30923 of the California Streets and Highways Code (“SHC”), the Authority shall, within six months of the effective date of the toll increase, establish an independent oversight committee, with specified composition and duties; now, therefore, be it

RESOLVED, that the Authority specifically finds and declares that the statements, findings and determinations of the Authority set forth in the preambles above are true and correct; and be it further

RESOLVED, that pursuant to SHC § 30923(h), the Authority hereby establishes the Regional Measure 3 Independent Oversight Committee (“Committee”); and be it further

RESOLVED, that the Committee shall annually review the expenditure of funds by the Authority for the projects and programs specified in SHC § 30914.7 and prepare and submit a report to the transportation committee of each house of the Legislature summarizing its findings, and that the Committee may request any documents from the Authority to assist the Committee in performing its functions; and be it further

RESOLVED, that pursuant to SHC § 30923(h)(2), the Committee shall include two representatives from each county within the jurisdiction of the Metropolitan Transportation Commission (the “Commission”), and each representative shall be appointed by the applicable county board of supervisors and serve a four-year term and be limited to two terms; and be it further

RESOLVED, that pursuant to SHC § 30923(h)(3), a representative appointed to the Committee shall not be a member, former member, staff, or former staff of the Commission or the Authority, shall not be employed by any organization or person that has received or is receiving funding from the Commission or the Authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the Commission or the Authority within one year of having worked for or contracted with that organization or person; and be it further

RESOLVED, that the Committee roster, as appointed by the applicable county board of supervisors, is contained in Attachment A to this resolution; and be it further

RESOLVED, that the travel reimbursement rate and stipend policy for members of the Committee is contained in Attachment B to this resolution; and be it further

RESOLVED, that the Chair of the Authority, the Vice Chair of the Authority, the Executive Director, the Chief Financial Officer and other appropriate officers and staff of the Authority, are hereby authorized and directed, jointly and severally, for and in the name and on behalf of the Authority, to do any and all things that they deem necessary or advisable in order to effectuate the purposes of this Resolution in accordance with the terms hereof and of applicable provisions of law.

BAY AREA TOLL AUTHORITY



Scott Haggerty, Chair

The above resolution was entered into by the
Bay Area Toll Authority at a regular
meeting of the Authority held in San
Francisco, California, on June 26, 2019.

Regional Measure 3 Independent Oversight Committee Membership

County	Member Name	Term Length	Term No.
Alameda	Anu Natarajan	July 2019 – June 2023	First
Alameda	William Harrison	July 2019 – June 2023	First
Contra Costa	Nazanin Shakerin	July 2019 – June 2023	First
Contra Costa	Kathy Chang	July 2019 – June 2023	First
Marin	Kevin Hagerty	July 2019 – June 2023	First
Marin	William McNicholas	July 2019 – June 2023	First
Napa	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First
Napa	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First
San Francisco	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First
San Francisco	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First
San Mateo	Frederick A. Hansson	July 2019 – June 2023	First
San Mateo	Pam Frisella	July 2019 – June 2023	First
Santa Clara	Joanne Benjamin	July 2019 – June 2023	First
Santa Clara	David Fung	July 2019 – June 2023	First
Solano	Steve Lessler	July 2019 – June 2023	First
Solano	William G. Jerry Hayes	July 2019 – June 2023	First
Sonoma	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First
Sonoma	<i>To be appointed by Board of Supervisors</i>	July 2019 – June 2023	First

Travel Reimbursement Rate and Stipend for Members of the Regional Measure 3 Independent Oversight Committee

1. Individual members of the Regional Measure 3 Independent Oversight Committee (“Committee”) appointed by county boards of supervisors shall each be eligible to receive a stipend of \$50 per meeting of the Committee, as defined below, with a maximum of four meetings per year. Meetings are defined as publicly noticed meetings or subcommittee meetings of the Committee.
2. Members of the Committee may be reimbursed for actual travel expenses, as defined below, for a maximum of four meetings per year.
3. The mileage reimbursement rate is the rate allowable by the Internal Revenue Service when a personal vehicle is used. Related bridge tolls, parking fees and actual expenses for use of public transit will be reimbursed.

All requests for stipend and reimbursement of expenses shall be submitted quarterly to the Committee staff liaison and processed according to the procedures established by MTC's Executive Director.

The MTC Deputy Executive Director, Policy shall administer this Attachment B. Any questions regarding its application shall be decided by the Deputy Executive Director, Policy whose decision shall be final.



CLERK, BOARD OF SUPERVISORS

Therese W. McMillan
Executive Director
Bay Area Toll Authority
Bay Area Metro Center
375 Beale Street, Suite 800
San Francisco, CA 94105

Dear Ms. McMillan:

On behalf of the Alameda County Board of Supervisors, this letter appoints the following individuals to the Regional Measure 3 Independent Oversight Committee, per your request dated May 3, 2019:

- Anu Natarajan, appointed on 7/23/19
- William Harrison, appointed on 7/23/19

This letter also certifies that the above-named individuals are eligible to serve on the committee according to the restrictions listed in California Streets and Highways Code Section 30923(h)(3), which states:

“A representative appointed to the oversight committee shall not be a member, former member, staff, or former staff of the commission or the authority, shall not be employed by any organization or person that has received or is receiving funding from the commission or the authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the commission or the authority within one year of having worked for or contracted with that organization or person.”

If you have any questions, please contact me at (510) 272-3898.

Sincerely,

Anika Campbell-Belton
Clerk of the Board
Alameda County

The Board of Supervisors

County Administration Building
651 Pine Street, Room 106
Martinez, California 94553-1293

John Gioia, 1st District
Candace Andersen, 2nd District
Diane Burgis, 3rd District
Karen Mitchoff, 4th District
Federal D. Glover, 5th District

Contra Costa County



David Twa
Clerk of the Board
and
County Administrator
(925) 335-1900

Ms. Therese McMillan
Executive Director
Metropolitan Transportation Commission
375 Beale St., #800
San Francisco, CA 94105

August 26, 2019

Subject: Contra Costa County Appointments for the Regional Measure 3 Independent Oversight Committee

Dear Ms. McMillan:

On behalf of the Contra Costa County Board of Supervisors, this letter appoints the following individuals to the Regional Measure 3 Independent Oversight Committee, per your request dated May 4, 2019:

- Nazanin Shakerin
 - [REDACTED]
 - [REDACTED]
- Kathy Chang
 - [REDACTED]
 - [REDACTED]

This letter also certifies that Nazanin and Kathy are eligible to serve on the committee according to the restrictions listed in California Streets and Highways Code Section 30923(h)(3), which states:

"A representative appointed to the oversight committee shall not be a member, former member, staff, or former staff of the commission or the authority, shall not be employed by any organization or person that has received or is receiving funding from the commission or the authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the commission or the authority within one year of having worked for or contracted with that organization or person."

If you have any questions on this action please don't hesitate to contact staff, John Cunningham, 925-674-7833, john.cunningham@dcd.cccounty.us

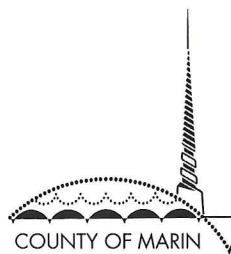
Sincerely,



John M. Gioia
Chair
Board of Supervisors

cc:

- John Kopchik, Department of Conservation and Development – Director
- Julie Enea, County Administrators Office – Senior Deputy County Administrator



BOARD OF SUPERVISORS

August 26, 2019

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VICE PRESIDENT

Katie Rice

2ND DISTRICT

2ND VICE PRESIDENT

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Marin County Civic Center
3501 Civic Center Drive
Suite 329
San Rafael, CA 94903
415 473 7331 T
415 473 3645 F
415 473 6172 TTY

Therese W. McMillan
Executive Director
Bay Area Toll Authority
Bay Area Metro Center
375 Beale Street, Suite 800
San Francisco, CA 94105

Dear Ms. McMillan:

On behalf of the Marin County Board of Supervisors, this letter appoints the following individuals to the Regional Measure 3 Independent Oversight Committee, per your request dated May 3, 2019:

- Kevin Hagerty
- William McNicholas

This letter also certifies that the above-named individuals are eligible to serve on the committee according to the restrictions listed in California Streets and Highways Code Section 30923(h)(3), which states:

"A representative appointed to the oversight committee shall not be a member, former member, staff, or former staff of the commission or the authority, shall not be employed by any organization or person that has received or is receiving funding from the commission or the authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the commission or the authority within one year of having worked for or contracted with that organization or person."

Sincerely,

Kathrin Sears, President, Board of Supervisors

Therese W. McMillan
Executive Director
Bay Area Toll Authority
Bay Area Metro Center
375 Beale Street, Suite 800
San Francisco, CA 94105

Dear Ms. McMillan:

On behalf of the San Mateo County Board of Supervisors, this letter appoints the following individuals to the Regional Measure 3 Independent Oversight Committee, per your request dated May 3, 2019:

- Frederick A. Hansson
- Pam Frisella

This letter also certifies that the above-named individuals are eligible to serve on the committee according to the restrictions listed in California Streets and Highways Code Section 30923(h)(3), which states:

"A representative appointed to the oversight committee shall not be a member, former member, staff, or former staff of the commission or the authority, shall not be employed by any organization or person that has received or is receiving funding from the commission or the authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the commission or the authority within one year of having worked for or contracted with that organization or person."

Carole Groom

Carole Groom, President, Board of Supervisors

Sept 18 2019

Date

County of Santa Clara

Office of the Clerk of the Board of Supervisors
County Government Center, East Wing
70 West Hedding Street
San Jose, California 95110-1770
(408) 299-5001



Megan Doyle
Clerk of the Board

September 19, 2019

Kimberley Ward
Clerk of the Committee
Metropolitan Transportation Commission
Bay Area Metro Center
375 Beale Street, Suite 800
San Francisco, CA 94105

Dear Ms. Ward:

Per your request dated May 3, 2019, this letter serves as notice that at the September 10, 2019 regular meeting of the Board of Supervisors of the County of Santa Clara (Item No. 75), the Board unanimously approved the appointments of the following individuals to the Metropolitan Transportation Commission (MTC) Regional Measure 3 Independent Oversight Committee:

- Joanne Benjamin
- David Fung

This letter also certifies that the above-named individuals are eligible to serve on the committee according to the restrictions listed in California Streets and Highways Code Section 30923(h)(3), which states:

“A representative appointed to the oversight committee shall not be a member, former member, staff, or former staff of the commission or the authority, shall not be employed by any organization or person that has received or is receiving funding from the commission or the authority, and shall not be a former employee or a person who has contracted with any organization or person that has received or is receiving funding from the commission or the authority within one year of having worked for or contracted with that organization or person.”

Megan Doyle
Clerk of the Board of Supervisors

BOARD OF SUPERVISORS & BOARDS AND COMMISSIONS

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The County of Santa Clara California

Board/Commission Appointment 98368

Approved
Sep 10, 2019 9:30 AM

Supervisor Simitian nominates:

Information

Department: Supervisor S. Joseph Simitian (Supervisory District Five)
Category: Appointment

Sponsors:

Attachments

[Printout](#)

Multiple Recommendations

- i. Joanne Benjamin for appointment to the Metropolitan Transportation Commission (MTC) Regional Measure 3 Independent Oversight Committee.
- ii. David Fung for appointment to the MTC Regional Measure 3 Independent Oversight Committee.

Meeting History

Sep 10, 2019 9:30 AM Video **Board of Supervisors** **Regular Meeting**

Draft

RESULT: APPROVED [UNANIMOUS]
MOVER: Susan Ellenberg, Supervisor
SECONDER: Mike Wasserman, Supervisor
AYES: Mike Wasserman, Cindy Chavez, Dave Cortese, Susan Ellenberg, S. Joseph Simitian