



Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Meeting Agenda

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy R. Worth, Chair Warren Slocum, Vice Chair

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,
Nick Josefowitz, Alfredo Pedroza, Hillary Ronen, Libby Schaaf
Non-Voting Member: Tony Tavares*

Wednesday, March 6, 2019

1:30 PM

Yerba Buena - 1st Floor

***** PLEASE NOTE MEETING DATE, TIME, AND LOCATION *****

This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 1:30 p.m.

1. Roll Call / Confirm Quorum

Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).

2. Pledge of Allegiance

3. Compensation Announcement (Committee Secretary)

4. Consent Calendar

- 4a. [19-0131](#) Minutes of the February 13, 2019 meeting

Action: Committee Approval

Attachments: [4a 02-13-2019 BATA O Draft Minutes.pdf](#)

- 4b. [19-0154](#) BATA Financial Statements for January 2019

Action: Information

Presenter: Raymond Woo

Attachments: [4b Financial Statements January2019.pdf](#)

- 4c. [19-0133](#) Contract Amendment - Collection Services: Law Enforcement Systems, LLC (\$1,400,000)
- Action: Committee Approval
- Presenter: Victor Wong
- Attachments: [4c Law Enforcement Systems Contract Amendment.pdf](#)

5. Public Comment / Other Business

6. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on April 10, 2019 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA 94105.

Public Comment: The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

Meeting Conduct: If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

Record of Meeting: Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site (mtc.ca.gov) for public review for at least one year.

Accessibility and Title VI: MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章: MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

Acceso y el Titulo VI: La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-0131 **Version:** 1 **Name:**

Type: Minutes **Status:** Consent

File created: 1/31/2019 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 3/6/2019 **Final action:**

Title: Minutes of the February 13, 2019 meeting

Sponsors:

Indexes:

Code sections:

Attachments: [4a_02-13-2019_BATA_O_Draft_Minutes.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Minutes of the February 13, 2019 meeting

Recommended Action:
Committee Approval



Metropolitan Transportation Commission Meeting Minutes

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy R. Worth, Chair Warren Slocum, Vice Chair

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,
Nick Josefowitz, Alfredo Pedroza, Hillary Ronen, Libby Schaaf
Non-Voting Member: Tony Tavares*

Wednesday, February 13, 2019

9:35 AM

Board Room - 1st Floor

Call Meeting to Order

1. Roll Call / Confirm Quorum

Present: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth, and Commissioner Slocum

Absent: 2 - Ronen, and Commissioner Schaaf

Non-Voting Member Absent: Commissioner Tavares

Ex Officio Voting Members Present: Commission Chair Mackenzie and Commission Vice Chair Haggerty

Ad Hoc Non-Voting Members Present: Commissioner Giacomini, Commissioner Halsted, Commissioner Papan, and Commissioner Rabbitt

2. Consent Calendar

Upon the motion by Commissioner Pedroza and the second by Commissioner Bruins, the Consent Calendar was unanimously approved by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

2a. [19-0032](#) Minutes of the January 9, 2019 meeting

Action: Committee Approval

2b. [19-0033](#) BATA Financial Statements for December 2018

Action: Information

Presenter: Raymond Woo

- 2c. [19-0048](#) Bond Counsel and Public Finance Counsel Bench and Contracts - Law Firms Pre-Qualified to Provide Bond Counsel and Public Finance Counsel Services (\$200,000 per year):
- i. Orrick, Herrington & Sutcliffe, LLP (Orrick)
 - ii. Kutak Rock LLP (Kutak)

Action: Committee Approval

Presenter: Matthew Lavrinets

- 2d. [19-0052](#) Contract Change Order - FasTrak® Regional Customer Service Center: Support VTA 237 Express Lane: Conduent State and Local Solutions, Inc. (\$1,500,000)

Action: Committee Approval

Presenter: Beth Zelinski

- 2e. [19-0089](#) Contract Amendment - On-Call Design Services: Dumbarton Forward Operational Improvements Project: HDR Engineering, Inc. (\$2,000,000)

Action: Committee Approval

Presenter: Michelle Go

3. Approval

- 3a. [18-1040](#) Contract: Credit Card Processing for the FasTrak® Electronic Toll Collection (ETC) Program: Bank of America Merchant Services (\$80,000,000)

A request for approval of a five-year contract with Bank of America Merchant Services for credit card processing services for the FasTrak® Customer Service Center.

Action: Committee Approval

Presenter: Brian Mayhew

Upon the motion by Commissioner Pedroza and the second by Commissioner Dutra-Vernaci, the Committee unanimously approved the contract with Bank of America Merchant Services. The Committee also directed staff to look into the GSA contract as an option for future credit card processing. The motion carried by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

3b. [19-0075](#) Resilient SR 37 Program Memorandum of Understanding

A request for approval of an MOU between BATA, Caltrans, and the four North Bay Congestion Management Agencies that defines roles and responsibilities for delivering the Resilient SR 37 Program to address flooding, congestion, and sea level rise adaptation in corridor.

Action: Authority Approval

Presenter: Ashley Nguyen

Upon the motion by Commissioner Dutra-Vernaci and the second by Commissioner Glover, the Committee unanimously approved the referral of the Resilient SR 37 Program Memorandum of Understanding to the Authority for approval. The motion carried by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

3c. [19-0071](#) Commercial Lease Extension - 375 Beale Street, Suites 200A and 300C: Bay Area Headquarters Authority - FasTrak® Regional Customer Service Center (estimated at \$4,200,000)

A request for authorization to enter into an extension of the lease agreement (the BATA Lease) with the Bay Area Headquarters Authority (BAHA) for the FasTrak® Regional Customer Service Center (CSC) space.

Action: Authority Approval

Presenter: Andrew Fremier

Upon the motion by Commissioner Glover and the second by Commissioner Bruins, the Committee unanimously approved the referral of the FasTrak® Regional Customer Service Center commercial lease extension to the Authority for approval. The motion carried by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

3d. [19-0077](#) Good Faith Estimate \$150 million BATA Refunding

A request for acceptance of a good faith estimate prepared by Public Financial Management (PFM) covering the upcoming refinancing of BATA 2007 Series A-1 and 2007 Series E-3 bonds.

Action: Committee Approval

Presenter: Brian Mayhew

Upon the motion by Commissioner Bruins and the second by Commissioner Glover, the Committee unanimously approved the Good Faith Estimate of costs for the \$150 million BATA Refunding. The motion carried by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

3e. [19-0081](#) Funding Agreement - Bay Restoration Regulatory Integration Team: San Francisco Bay Restoration Authority (\$500,000)

A request for Committee authorization to enter into a funding agreement with the San Francisco Bay Restoration Authority (SFBRA) to support the Bay Restoration Regulatory Integration Team (BRRIT) with flood management and public access on the San Francisco Bay shoreline.

Action: Committee Approval

Presenter: Peter Lee

Upon the motion by Commissioner Bruins and the second by Commissioner Dutra-Vernaci, the Committee unanimously approved the funding agreement with San Francisco Bay Restoration Authority. The motion carried by the following vote:

Aye: 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Josefowitz, Commissioner Pedroza, Worth and Commissioner Slocum

Absent: 2 - Ronen and Commissioner Schaaf

4. Public Comment / Other Business

5. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on March 6, 2019 at 1:30 p.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA 94105.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-0154 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 2/8/2019 **In control:** Bay Area Toll Authority Oversight Committee
On agenda: 3/6/2019 **Final action:**
Title: BATA Financial Statements for January 2019
Sponsors:
Indexes:
Code sections:
Attachments: [4b_Financial_Statements_January2019.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
BATA Financial Statements for January 2019

Presenter:
Raymond Woo

Recommended Action:
Information



BAY AREA TOLL AUTHORITY
 Bay Area Metro Center
 375 Beale Street
 San Francisco, CA 94105
 TEL 415.778.6700
 WEB www.mtc.ca.gov

Memorandum

TO: BATA Oversight Committee

DATE: February 27, 2019

FR: Executive Director

W. I. 1254

RE: BATA Financial Statements for January 2019

Attached are the BATA financial statements for the seven month period ending January 2019. Major financial highlights include:

- (1) **Revenues:** Toll bridge revenue of \$516 million is slightly below the budget projections. Total interest revenue earned of \$29 million is 115% of the budget to date. The strong interest earnings are a result of higher market interest rates. Year-to-date (YTD) toll violation revenue of \$13.8 million is slightly below the budget. YTD subsidy payments from the U.S. Government to offset the interest expense of the Build America Bonds is at \$18.5 million and the next subsidy payment is expected in the upcoming months.
- (2) **Expense:** Total operating expense of \$225 million is 25% of the approved budget. The total encumbrance balance of \$66 million is 10% of the remaining budget balance. Expense are expected to fluctuate as the major debt service payments will not be made until the later part of the fiscal year.
- (3) **Transfers to MTC and Association of Bay Area Governments (ABAG):** The budgeted annual 1% administration fee for MTC and operating contribution to the ABAG Estuary Partnership was transferred at the beginning of the fiscal year. The balance of the transfers are generally made on a drawdown basis.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

Steve Heminger

SH:bm
 Attachment

BATA Operating Budget

As of January 2019

	FY 2018-19	Actual	Current Budget	% of Budget	year		YTD Total
	Budget	YTD	Balance Over/(Under)	(col 2/1)	Expired	Encumbrances	(Enc + YTD)
REVENUE:							
1 RM 1 Toll Revenues	603,709,547	349,330,662	(254,378,885)	57.9%	58.3%	-	349,330,662
2 RM 2 Toll Revenues	130,989,803	75,729,923	(55,259,880)	57.8%	58.3%	-	75,729,923
3 Toll Violation Revenues	25,000,000	13,794,619	(11,205,381)	55.2%	58.3%	-	13,794,619
4 Other Revenue	-	606,839	606,839	N/A	58.3%	-	606,839
5 Interest Income	25,000,000	28,795,295	3,795,295	115.2%	58.3%	-	28,795,295
6 BAIFA Reimbursement	670,000	345,493	(324,507)	51.6%	58.3%	-	345,493
7 GGB&HTD Fastrak Reimbursement	6,900,000	3,251,686	(3,648,314)	47.1%	58.3%	-	3,251,686
8 SFO Fastrak Reimbursement	463,000	157,859	(305,141)	34.1%	58.3%	-	157,859
9 Alameda CMA Reimbursement	1,700,000	903,090	(796,910)	53.1%	58.3%	-	903,090
10 VTA 237 Express Lane Reimb.	135,000	61,851	(73,149)	45.8%	58.3%	-	61,851
11 Rebate for Build America Bonds	71,508,476	18,488,375	(53,020,101)	25.9%	58.3%	-	18,488,375
12 Caltrans Reimbursement	9,000,000	9,000,000	-	100.0%	58.3%	-	9,000,000
13 BAHA Reimbursement	15,300,000	15,300,000	-	100.0%	58.3%	-	15,300,000
14 Transbay Terminal Review Reimbursement	510,000	-	(510,000)	N/A	58.3%	-	-
Total Revenue	890,885,826	515,765,692	(375,120,134)	57.9%	58.3%	-	515,765,692
EXPENSE:							
Caltrans Operations and Maintenance:							
1 Toll Collection & Operations Services	23,600,000	13,560,812	(10,039,188)	57.5%	58.3%	-	13,560,812
2 Toll & Bridge Facility Maint	5,700,000	3,238,221	(2,461,779)	56.8%	58.3%	-	3,238,221
Caltrans O & M Subtotal	29,300,000	16,799,033	(12,500,967)	57.3%	58.3%	-	16,799,033
Fastrak Operations and Maintenance:							
3 RCSC Operations	25,500,000	10,267,021	(15,232,979)	40.3%	58.3%	13,870,879	24,137,900
4 ATCAS Maintenance, IT equip	5,575,000	1,063,356	(4,511,644)	19.1%	58.3%	3,842,159	4,905,515
5 Banking Costs	15,900,000	6,170,459	(9,729,541)	38.8%	58.3%	11,239,183	17,409,642
6 Collection Exp./DMV Exp.	4,000,000	1,684,876	(2,315,124)	42.1%	58.3%	1,227,602	2,912,478
BATA O & M Subtotal	50,975,000	19,185,712	(31,789,288)	37.6%	58.3%	30,179,823	49,365,535
BATA Toll Bridge Administration:							
7 Staff Costs - Salaries,Benefits & Temps	10,592,473	5,719,037	(4,873,436)	54.0%	58.3%	-	5,719,037
8 Travel, Printing, Memberships & Other	587,480	244,932	(342,548)	41.7%	58.3%	9,061	253,993
9 Audit/Accounting	3,120,828	593,790	(2,527,038)	19.0%	58.3%	400,988	994,778
10 Misc. Toll Admin Operating Expenses	2,650,000	508,776	(2,141,224)	19.2%	58.3%	-	508,776
11 Professional Fees	3,095,000	1,168,121	(1,926,879)	37.7%	58.3%	811,737	1,979,858
12 RM3 Related Expense	3,300,000	3,217,452	(82,548)	97.5%	58.3%	-	3,217,452
27 Transbay Terminal Review Pannel	510,000	163,902	(346,098)	32.1%	58.3%	291,098	455,000
13 Other	750,000	-	(750,000)	0.0%	58.3%	199,997	199,997
Toll Bridge Admin Subtotal	24,605,781	11,616,010	(12,989,771)	47.2%	58.3%	1,712,881	13,328,891
Other/Transfers:							
14 Transfers to MTC 1% Admin	7,846,994	7,846,994	-	100.0%	58.3%	-	7,846,994
15 Transfers to MTC - Other	752,372	219,474	(532,898)	29.2%	58.3%	120,349	339,823
16 Transfer from Legal Reserve	4,360,740	351,666	(4,009,074)	8.1%	58.3%	2,234,074	2,585,740
17 Transbay Transit Terminal Maint	5,026,046	5,003,708	(22,338)	99.6%	58.3%	22,338	5,026,046
18 Beale St Assessment	2,200,000	1,433,995	(766,005)	65.2%	58.3%	477,998	1,911,993
19 Depreciation and Amortization	6,110,000	4,261,761	(1,848,239)	69.8%	58.3%	-	4,261,761
20 RM2/Clipper Marketing	6,500,000	984,809	(5,515,191)	15.2%	58.3%	1,491,039	2,475,848
21 RM2 Operating	49,776,125	20,709,589	(29,066,536)	41.6%	58.3%	27,302,872	48,012,461
22 ABAG SFEP	999,969	999,969	-	100.0%	58.3%	-	999,969
Transfers	83,572,246	41,811,965	(41,760,281)	50.0%	58.3%	31,648,670	73,460,635
Debt Service:							
23 Interest and principal payments	557,086,153	127,895,581	(429,190,572)	23.0%	58.3%	-	127,895,581
24 Financing Costs	14,020,400	7,894,010	(6,126,390)	56.3%	58.3%	2,187,717	10,081,727
Total Debt Service	571,106,553	135,789,591	(435,316,962)	23.8%	58.3%	2,187,717	137,977,308
Transfer to Capital Fund In (Out):							
25 Transfer to Capital Fund	(131,276,246)	-	(131,276,246)	0.0%	58.3%	-	-
26 Furniture/Equip./Vehicle	(50,000)	-	(50,000)	0.0%	58.3%	-	-
Total Capital Reserve In (Out)	(131,326,246)	-	(131,326,246)	0.0%	58.3%	-	-
Total Expense & Transfers	890,885,826	225,202,311	(665,683,515)	25.3%	58.3%	65,729,091	290,931,402
Net	-	290,563,381					224,834,290

**Regional Measure 2 Operating Budget
As of January 2019 (\$000)**

	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	2,474	2,474	-	2,474	-
2	Napa Vine Service	426	426	169	257	-
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,751	3,751	805	2,946	-
4	Express Bus South - serving Carquinez and Benicia Bridges	7,074	7,024	3,759	3,265	50
5	Dumbarton Bus	2,967	2,817	1,711	1,106	150
6	WETA Ferry Operations	16,500	16,500	8,792	7,708	-
7	Owl Service - BART Corridor	2,054	1,521	778	743	533
8	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
9	AC Enhanced Bus Service	3,000	3,000	1,750	1,250	-
11	Water Emergency Transportation Authority Regional Planning	3,000	3,000	1,194	1,806	-
12	Clipper Operations	2,000	2,000	-	2,000	-
13	Transbay Transit Center	3,000	3,000	1,752	1,248	-
	Subtotal for Operating Assistance Program	48,746	48,013	20,710	27,303	733
N/A	Clipper Marketing	2,600	-	812	-	1,788
N/A	511 Real Time Transit	100	-	-	-	100
N/A	Seamless Transit Map	710	-	112	598	-
N/A	Regional Resource Center	178	-	61	117	-
N/A	AC Transit Services	500	-	-	500	-
N/A	Transbay Service	75	-	-	75	-
N/A	Richmond Service Outreach	200	-	-	200	-
N/A	New or Expanded Transit Service	97	-	-	-	97
	Total for Clipper and RM2 Marketing	4,460	-	985	1,490	1,985
	Total	\$53,206	\$48,013	\$21,695	\$28,793	\$2,718

Regional Measure 2 Project Budget
As of January 2019 (\$000) - Life to Date

Program	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	-	1,500	\$1,500
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	-	-
4	Dumbarton Commuter Rail Service ^{i,iv,xii}	9,157	8,932	33	192
5	Vallejo Ferry Intermodal Station ^v	26,000	24,101	1,598	301
6	Solano County Express Bus Intermodal Facilities ^{vi}	12,251	12,222	30.00	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	97,026	2,974	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	-	-
9	Richmond Parkway Park & Ride ^{vii}	3,850	887	686	2,277
10	SMART Extension to Larkspur ^{ii,viii}	56,500	53,214	3,286	-
11	Greenbrae Interchange Improvement ^{ii,viii}	43,500	27,200	16,300	-
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix}	20,425	16,348	3,759	318
13	Rail Extension to East Contra Costa/E-BART	96,000	94,185	1,815.00	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi,x}	35,950	35,950	-	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects ^{v,x}	18,799	18,771	28	-
18	Clipper	35,000	20,817	1,163	13,020
19	Real-time transit information	20,000	19,484	516	-
20	Safe Routes to Transit	22,500	19,559	2,941	-
21	BART Tube Seismic Retrofit	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	149,524	476	-
23	Oakland Airport Connector	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) ^{vii}	77,760	57,201	20,559	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	12,000	-	-
26	Commute Ferry Service for Berkeley/Albany	12,000	5,767	6,233	-
27	Commute Ferry Service for South San Francisco	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	47,269	731	-
29	Regional Express Bus South - Remaining Projects ^{iv,vii,xi}	54,933	30,925	9,844	14,164
30	I-880 North Safety Improvements ^{xi}	12,300	12,083	217	-
31	BART Warm Springs Extension ⁱ	186,000	178,204	7,796	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	50,886	2,119	11,995
33	Regional Rail Master Plan	6,500	6,062	394	44
34	Integrated Fare Structure Program	1,500	900	600	-
35	Transit Commute Benefits Promotion	5,000	3,366	1,634	-
36	Caldecott Tunnel Improvements ^{ix}	45,075	45,074	1	-
37	BART's Fixed Guideway Rehab	64,000	24,000	-	40,000
38	Regional Express Lane Network ⁱⁱⁱ	4,825	-	4,825	-
39	Modifications in I-80 and San Pablo ⁱⁱⁱ	8,000	7,675	325	-
40	Caltrain Electrification ^{viii,xii}	20,000	19,991	9	-
Total		\$1,589,000	\$1,412,796	\$92,394	\$83,811

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension Project, per Resolution #3801 dated 1/28/09.

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
^{iv} \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^v \$2,000	Program 5: Vallejo Ferry Intermodal Station program	Program 17: Regional Express Bus North program
^{vi} \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{vii} \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands.	Program 24: AC Transit Enhanced Bus program
^{viii} \$20,000	Program 11: Greenbrae Interchange Improvement	Program 10: SMART Extension to Larkspur
^{ix} \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
^x \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{xi} \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
^{xii} \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

^{xiii} Increasing funding by \$13 million to the Clipper Project (18), per Res #3801 dated 12/21/16.

^{xiv} Increasing funding by \$21 million to the Regional Express Bus South Project (29), per Resolution #3801 dated 12/21/16.

^{xv} Increasing funding by \$40 million to the BART's Fixed Guideway Rehab Project (37), per Resolution #3801 dated 12/21/16.

Shaded projects are completed

Rehab Project Budget

As of January 2019 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance
					Remaining
6812	Benicia-Martinez Bridge Rehab	6,388	2,484	-	3,904
6813	Carquinez Bridge Rehab	34,782	34,467	-	315
6814	Richmond-San Rafael Bridge Rehab	77,742	54,796	-	22,946
6825	San Francisco-Oakland Bay Bridge Rehab	224,426	180,251	-	44,175
6826	San Mateo-Hayward Bridge Rehab	121,946	107,953	1	13,993
6827	Dumbarton Bridge Rehab	4,792	4,792	-	-
6828	All Bridges Rehab	91,457	88,081	-	3,376
6829	Caltrans Reserve	2,205	4	-	2,201
8030	Completed/Defunded/Transferred Projects	117,303	116,626	-	677
8033	Minor Toll Plaza Rehab Projects	4,580	1,937	-	2,643
8210	New Benicia Bridge *	1,715	502	-	1,213
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,640	5,526	-	1,114
8629	Minor Bridge Rehab Projects	159	45	-	114
8637	Bay Trail Improvements	115	-	-	115
	TOTAL CALTRANS REHAB BUDGET	694,404	597,547	1	96,857
8012	All Electronic Tolling Study	1,263	699	2	562
8528	Bay Lights Maintenance	640	252	68	320
8530	Drainage Studies for the Bridge	500	300	100	100
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebar Repair Review	2,914	2,660	254	-
8540	Regional Transportation Sea Level Rise Asset	2,000	196	-	1,804
8594	SFOBB West Span Pathway PSR	12,300	11,322	506	472
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	2003 CSC Procurement	12,358	11,046	3	1,309
8901	ETC Transponder Procurement	89,000	78,432	5,962	4,606
8902	2012 CSC Procurement	20,750	18,726	1,378	646
8903	ATCAS Lane Host Upgrades	33,545	31,918	1,165	462
8904	Fastrak Sign & Sign Structure Improvements	29,510	29,343	72	95
8905	Misc. Bridge Improvements	35,714	7,718	1,050	26,946
8907	Toll Plaza Capital Improvements	26,448	18,644	3,614	4,190
8908	Enterprise Computing HW/SW	4,035	3,226	9	800
8909	Gateway Park Planning	27,975	16,394	1,073	10,508
8912	ETC Transponder Tag Swap	1,937	1,929	-	8
8913	SFOBB Administration Building	25,619	25,220	-	399
8914	Violation Enforcement System Upgrade	7,842	7,841	-	-
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	750	416	210	124
8918	Maintenance Complex	531	491	36	4
8920	Plaza and Canopy Improvements	9,263	8,545	25	693
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	3,575	1,664	43	1,868
8922	Metering Lights Replacement	8,930	1,472	2,622	4,836
8923	Bridge Records Recordation and Storage	500	55	-	445
8924	Antioch Bridge Approach	50,000	49,070	840	90
8926	Bridge Modeling & Investigations	5,801	893	57	4,851
8928	BATA Program Contingency	26,408	300	-	26,108
8930	Richmond-San Rafael Bridge Rehab	81,928	57,670	17,732	6,526
8933	Plan Bay Area TMS	9,000	4,948	3,491	561
8936	Backhaul Connection Infrastructure	1,000	758	97	145
8937	Future CSC Procurement	4,000	778	915	2,307
8938	Misc. East Span Project Improvements	12,084	-	-	12,084
8939	Asset Management	2,000	-	800	1,200
8940	HOV Lane Enforcement	1,300	37	1,263	-
8941	CHP - COZEER/MAZEER	200	-	-	200
8942	Bridge Yard Capital Improvements	500	-	-	500
8943	Bike/Ped Access to East Span of SFOBB	200	-	-	200
8944	Dumbarton Approach and Transit Strategies	3,000	-	-	3,000
8945	Next Gen Clipper (C2) System	9,600	-	-	9,600
8000-05	Capital Program Audit	8,300	6,691	410	1,199
8000-16	SRA/RM1 Program Monitoring	46,445	45,008	486	951
	Total BATA REHAB BUDGET	627,576	452,573	44,283	130,719
	TOTAL REHAB BUDGET	1,321,980	1,050,120	44,284	227,576

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Seismic Capital Project Budget
As of January 2019 (\$000) - Life to Date

	Program	Base Budget	Current Budget***	Total Expenses*	Encumbrance	Remaining Balance
8103	San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,519,801	\$ 6,476,370	\$ 43,431	\$ -
8109	San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
8106	San Francisco-Oakland Bay Bridge West Approach Repl	429,000	452,550	450,386	2,164	-
8100	Antioch Bridge Retrofit	-	71,100	71,093	7	-
8122	Dumbarton Bridge Retrofit	-	112,400	112,354	46	-
8112	Richmond-San Rafael Bridge Retrofit	808,100	794,950	794,870	80	-
8115	Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
8118	Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
8121	San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
	Subtotal for Bay Area Bridges	7,487,100	8,711,565	8,665,824	45,741	-
8128	Misc Program Costs	30,000	26,030	26,024	6	-
8729	Program Contingency**	989,000	-	-	-	-
8124	Vincent Thomas Bridge Retrofit (non-BATA, for information	58,500	58,420	58,411	9	-
8127	San Diego-Coronado Bridge Retrofit (non BATA, for	103,500	103,240	103,235	5	-
	Subtotal for Other Bridges	162,000	161,660	161,646	14	-
	Total for Toll Bridge Seismic Retrofit Program	\$ 8,668,100	\$ 8,899,255	\$ 8,853,494	\$ 45,761	\$ -

*Includes pre AB144 LTD expenses from Caltrans to April 2006
BATA expenses from May 2006 to current

3,709,068
5,144,426
8,853,494

**** Contingency Allocation**

Contingency per Budget	989,000
FY08 (Allocation) and Rescission	(203,920)
FY09 (Allocation) and Rescission	(44,790)
FY10 (Allocation) and Rescission	139,400
FY11 (Allocation) and Rescission	(577,670)
FY12 (Allocation) and Rescission	(15,520)
FY13 (Allocation) and Rescission	32,637
FY14 (Allocation) and Rescission	(130,000)
FY15 (Allocation) and Rescission	(103,800)
FY16 (Allocation) and Rescission	(12,731)
FY17 (Allocation) and Rescission	(33,200)
FY18 (Allocation) and Rescission	(24,671)
Allocate to SFOBB East Span 7/1/18	(1,480)
Unallocate SFOBB West Approach 7/1/18	6,950
Unallocate Richmond Bridge 7/1/18	250
Unallocate Vincent Thomas 7/1/18	90
Unallocate San Diego-Coronado 7/1/18	280
Unallocate Program Indirects 7/1/18	3,970
Unallocate SFOBB East Span 1/23/19	11,050
Unallocate Richmond Bridge 1/23/19	16,900
Transferre Program Contingency to toll Bridge Rehab	
Program 1/23/19	(52,745)
Remaining Balance	-

Shaded projects are completed

***Financial reflects budget update approved on 6/27/18

AB 1171 Project Budget

As of January 2019 (\$000) - Life to Date

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	80,000	-	-
East Contra Costa BART Extension	111,500	111,500	109,819	1,681	-
Transbay Terminal/Downtown Extension:Phase 1	150,000	150,000	149,229	771	-
Tri-Valley Transit Access Improve. To BART	95,000	21,852	11,433	10,419	73,148
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	9,000	-	-
I80/680 Interchange	100,000	100,000	98,691	1,309	-
Other Corridor Improvement	10,200	10,150	10,150	-	50
VTa Mission/Warren/Truck Rail Facility	6,500	6,500	5,811	689	-
BART to Warm Spring Extension	5,000	5,000	5,000	-	-
Total	\$570,000	\$496,802	\$481,933	\$14,869	\$73,198

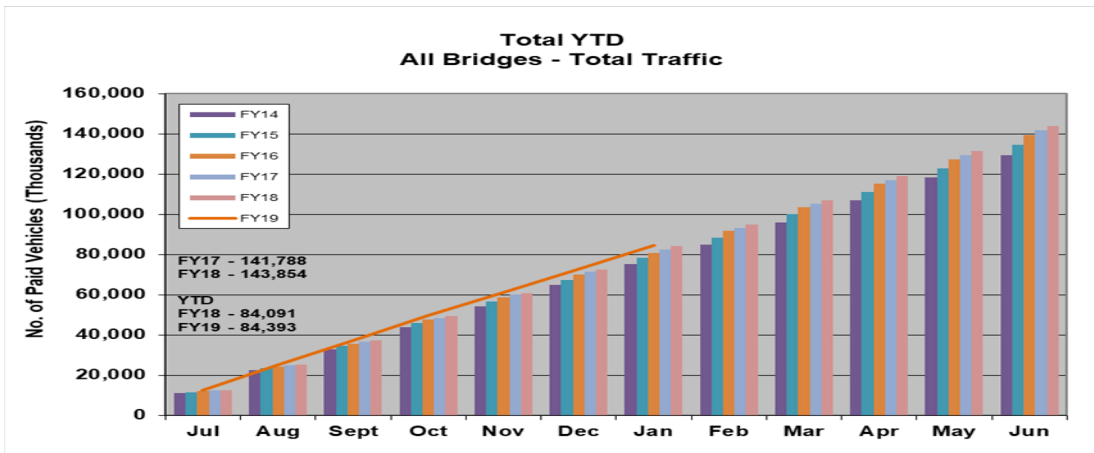
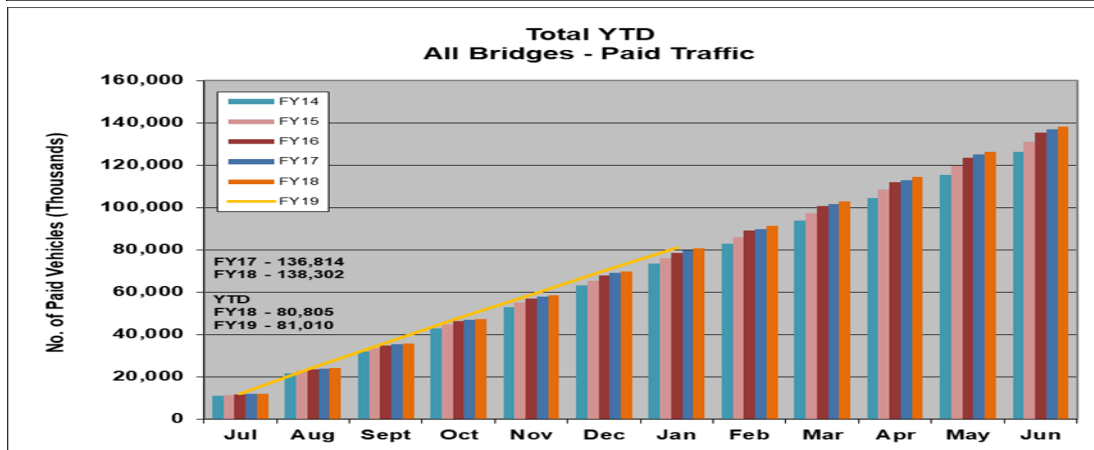
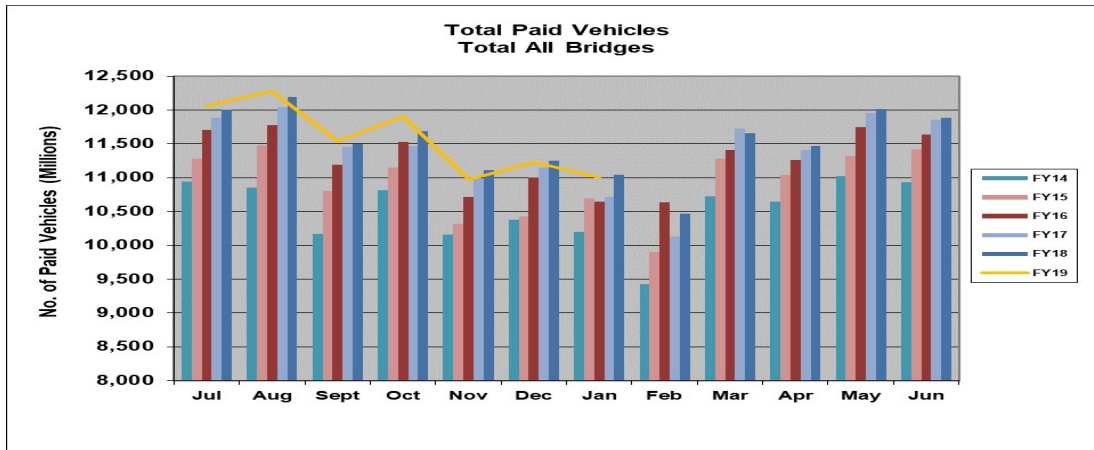
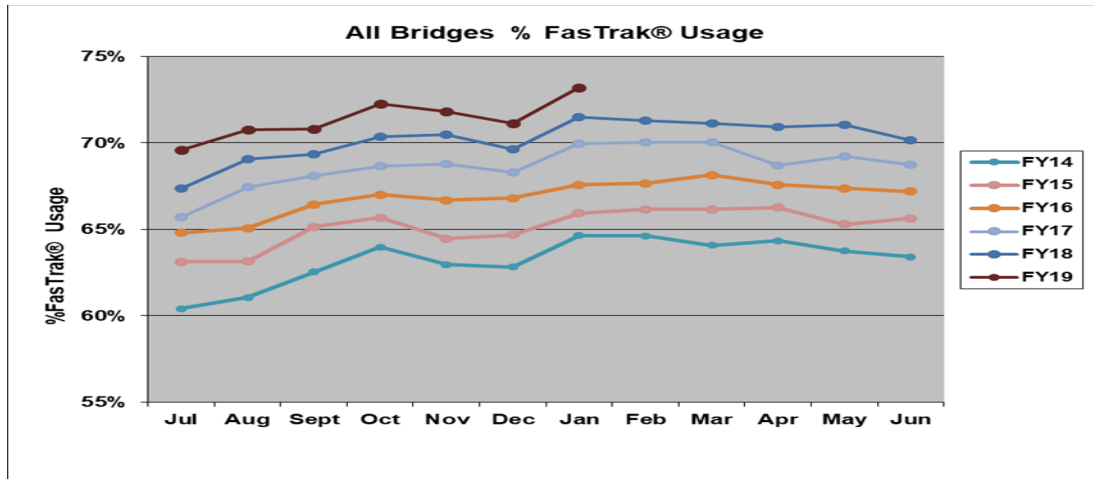
AB 1171 Program Budget:	\$570,000
Approved Projects:	\$496,802
AB 1171 Program Balance:	<u>\$73,198</u>

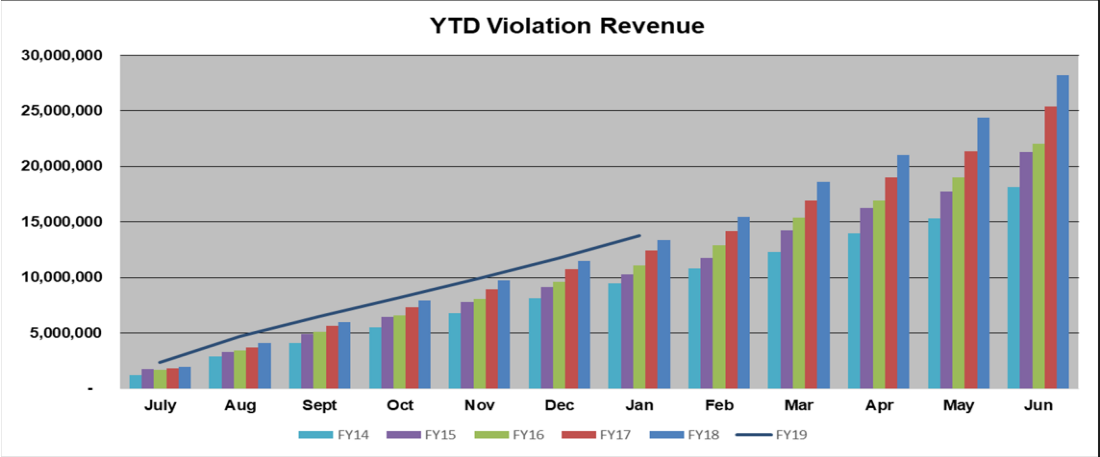
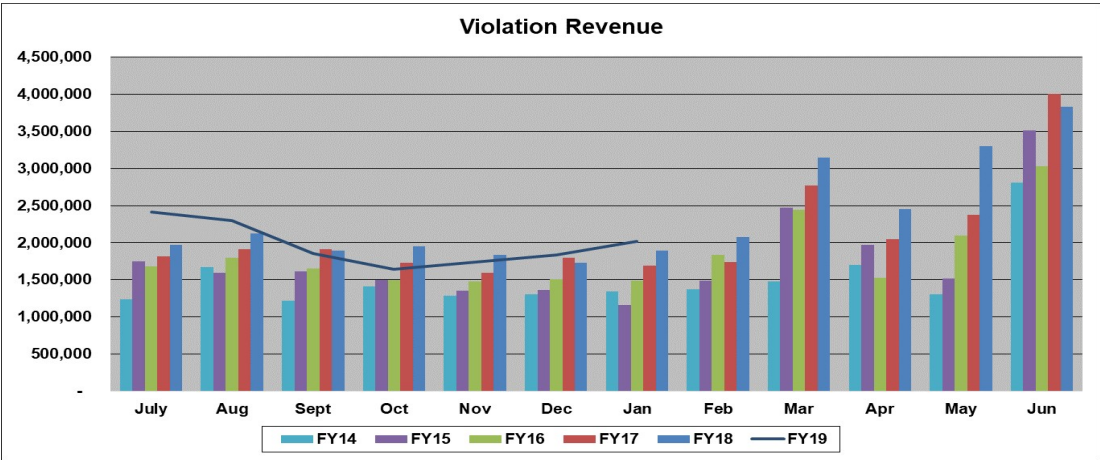
Shaded projects are completed

Other Capital Projects

As of January 2019 (\$000) - Life to Date

					Balance
Project Title		Total Budget	Actual	Encumbrance	Remaining
849	Express Lanes Capital				
6840	Program Costs: Planning, Coordination & Management	28,437	19,559	2,350	6,528
6841	Centralized Toll System	32,367	20,002	8,369	3,996
6842	CC-680 Southern Segment Conversion	54,043	52,284	1,736	23
6843	Capitalized Start-up O&M	16,000	4,765	1,633	9,602
6844	ALA-880 Conversion	139,098	89,821	33,387	15,890
6845	CC-680 Northern Segment - Southbound Conversion	53,623	6,054	9,562	38,007
6846	SOL-80 West Conversion	1,964	640	1,033	291
6847	Program Contingency	2,848	-	-	2,848
6849	SOL-80 East Express Lane Conversion	16,114	10,534	3,121	2,459
6851	84/Dumbarton Bridge	323	323	-	-
6852	92/San Mateo Bridge	369	369	-	-
Express Lanes Total		\$345,186	\$204,351	\$61,191	\$79,644
847	BATA Project Savings				
6953	CCC - AC Transit	83,000	18,434	28,299	36,267
6954	CCC - Muni	106,000	-	105,994	6
6955	CCC - BART	15,000	-	-	15,000
6956	BART Rail Car Replacement	46,000	-	-	46,000
BATA Project Savings Total		\$250,000	\$18,434	\$134,293	\$97,273
Grand Total		\$595,186	\$222,785	\$195,484	\$176,917







Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-0133 **Version:** 1 **Name:**

Type: Contract **Status:** Consent

File created: 1/31/2019 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 3/6/2019 **Final action:**

Title: Contract Amendment - Collection Services: Law Enforcement Systems, LLC (\$1,400,000)

Sponsors:

Indexes:

Code sections:

Attachments: [4c_Law_Enforcement_Systems_Contract_Amendment.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Contract Amendment - Collection Services: Law Enforcement Systems, LLC (\$1,400,000)

Presenter:
Victor Wong

Recommended Action:
Committee Approval



BAY AREA TOLL AUTHORITY
Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: BATA Oversight Committee

DATE: February 27, 2019

FR: Executive Director

W. I. 1254

RE: Contract Amendment – Collection Services: Law Enforcement Systems, LLC (\$1,400,000)

This memorandum seeks Committee approval to extend BATA's collection service contract with Law Enforcement Systems, LLC (LES) by one year to June 30, 2020 in an amount not to exceed \$1,400,000, subject to the approval of the FY 2019-20 BATA budget.

Summary

On July 1, 2014 BATA entered into a contract with LES to perform collection services on toll violations and delinquent FasTrak® accounts for a five-year term through June 30, 2019, with an option to extend for an additional five years in one-year increments. LES has collected over twenty-nine million dollars from toll violations and delinquent FasTrak® accounts. LES has shown professionalism through the course of the contract. They have developed and maintained a strong professional relationship with BATA and our customer service center provider, Conduent State and Local Solutions, Inc.

LES has actively pursued new avenues to recover BATA's revenue. Since 2014, LES has implemented a second layer of collection services, increased call volumes, and increased outreach by mail to encourage debtors to repay their debts.

LES charges a 25% commission of all revenue collected for violations and closed delinquent accounts and 36% if the matter requires court action. The cost for all services provided by LES are covered by the commission.

Attachment A includes a summary of LES and its project team's small and disadvantaged business enterprise status.

Recommendation

Staff recommends that this Committee authorize the Executive Director or designee to negotiate and enter into a contract amendment with LES to extend its contract by one year to June 30, 2020.

A blue ink signature of Steve Heminger, consisting of a stylized 'S' and 'H' followed by a horizontal line.

Steve Heminger

SH:vw
Attachment

ATTACHMENT A

	Firm Name	Role on Project	DBE* Firm			SBE** Firm		
			Yes	If Yes, List #	No	Yes	If Yes, List #	No
Prime Contractor	Law Enforcement Systems, LLC	Collections Services			X			X
Subcontractor	Greenbaum Law Group	Legal Collections			X			X
Subcontractor	Profession Account Management, LLC	Collections Services			X			X
Subcontractor	MRS BPO, LLC	Collection Services			X			X
*Denotes certification by the California Unified Certification Program (CUCP).								
**Denotes certification by the State of California.								

REQUEST FOR COMMITTEE APPROVAL

Summary of Proposed Contract Amendment

Work Item No.:	1254
Vendor:	Law Enforcement Systems, LLC Milwaukee, WI
Work Project Title:	Collection Services
Purpose of Project:	Collection services for toll violations and delinquent FasTrak® accounts through FY 2019-20
Brief Scope of Work:	Perform collection services for delinquent FasTrak® accounts and for toll violations occurring on the seven state-owned Bay Area bridges, Golden Gate Bridge and regional express lanes.
Project Cost Not to Exceed:	This amendment: \$1,400,000. Total contract value before this amendment: \$6,300,000. Total value of contract after amendment: \$7,700,000.
Funding Source:	BATA violation revenue
Fiscal Impact:	Funds to be included in the BATA Operating Budget for FY 2019-20.
Motion by Committee:	That the Executive Director or designee is authorized to negotiate and enter into a contract amendment with Law Enforcement Systems, LLC for the services described above and in the Executive Director's Memorandum dated February 27, 2019, and the Chief Financial Officer is authorized to set aside funds in the amount of \$1,400,000 for such contract amendment, subject to the approval of the BATA budget for FY2019-20.
BATA Oversight Committee:	<hr/> Amy R. Worth, Chair
Approved:	March 6, 2019