



Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105

## Meeting Agenda

### Bay Area Toll Authority Oversight Committee

#### *Committee Members:*

*Amy R. Worth, Chair    Warren Slocum, Vice Chair*

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,  
Nick Josefowitz, Jane Kim, Alfredo Pedroza, Libby Schaaf*

*Non-Voting Member: Bijan Sartipi*

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Wednesday, April 12, 2017

9:30 AM

Board Room - 1st Floor

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This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:30 a.m.

#### 1. Roll Call / Confirm Quorum

*Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).*

#### 2. Pledge of Allegiance

#### 3. Compensation Announcement (Committee Secretary)

#### 4. Consent Calendar

- 4a. [17-2406](#) Minutes of the March 8, 2017 meeting

Action: Committee Approval

Attachments: [4a\\_03-08-2017\\_BATA\\_O\\_Draft\\_Minutes.pdf](#)

- 4b. [17-2407](#) BATA Financial Statements for February 2017

Action: Information

Presenter: Eva Sun

Attachments: [4b\\_2\\_Budget\\_vs\\_Actual\\_February'2017.pdf](#)

- 4c. [17-2441](#) Contract Change Order - FasTrak® Regional Customer Service Center:  
Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

Action: Committee Approval

Presenter: Beth Zelinski

Attachments: [4c\\_FasTrak\\_CSC\\_Escheatment\\_Support\\_Xerox\\_ContractChangeOrder.pdf](#)

## 5. Approval

- 5a. [17-2444](#) Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.

**Action:** Committee Approval

**Presenter:** Brian Mayhew

**Attachments:** [5a\\_B-of-A\\_ContractAmendment.pdf](#)

## 6. Public Comment / Other Business

## 7. Adjournment / Next Meeting

The next meeting of the BATA Oversight Committee will be held on May 10, 2017 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.

**Public Comment:** The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

**Meeting Conduct:** If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

**Record of Meeting:** Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site ([mtc.ca.gov](http://mtc.ca.gov)) for public review for at least one year.

**Accessibility and Title VI:** MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

**可及性和法令第六章:** MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

**Acceso y el Titulo VI:** La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

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Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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**File #:** 17-2406      **Version:** 1      **Name:**

**Type:** Minutes      **Status:** Consent

**File created:** 3/10/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** Minutes of the March 8, 2017 meeting

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [4a\\_03-08-2017\\_BATA\\_O\\_Draft\\_Minutes.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

**Subject:**  
Minutes of the March 8, 2017 meeting

**Recommended Action:**  
Committee Approval



## Meeting Minutes

Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105

### Bay Area Toll Authority Oversight Committee

#### *Committee Members:*

*Amy R. Worth, Chair James P. Spering, Vice Chair*

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,  
Nick Josefowitz, Alfredo Pedroza, Libby Schaaf,  
Warren Slocum, Vacant  
Non-Voting Member: Bijan Sartipi*

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Wednesday, March 8, 2017

9:30 AM

Board Room - 1st Floor

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#### Call Meeting to Order

#### 1. Roll Call / Confirm Quorum

**Present:** 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Pedroza, Commissioner Spering, Commissioner Slocum, and Commissioner Worth

**Absent:** 2 - Commissioner Josefowitz, and Commissioner Schaaf

Non-Voting Member Absent: Commissioner Sartipi

Ex Officio Voting Members Present: Commission Chair Mackenzie and Commission Vice Chair Haggerty

Ad Hoc Non-Voting Members Present: Commissioner Aguirre, Commissioner Cortese, Commissioner Giacomini, Commissioner Halsted, and Commissioner Pierce

#### 2. Pledge of Allegiance

#### 3. Compensation Announcement (Committee Secretary)

#### 4. Consent Calendar

Approval of the Consent Calendar

**Upon the motion by Commissioner Pedroza and the second by Commissioner Dutra-Vernaci, the Consent Calendar was unanimously approved by the following vote:**

**Aye:** 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Pedroza, Commissioner Spering, Commissioner Slocum and Commissioner Worth

**Absent:** 2 - Commissioner Josefowitz and Commissioner Schaaf

- 4a.**     [17-2313](#)     Minutes of the February 8, 2017 meeting

**Action:**   Committee Approval

- 4b.**     [17-2314](#)     BATA Financial Statements for January 2017

**Action:**   Information

**Presenter:**   Eva Sun

**5. Public Comment / Other Business**

**6. Adjournment / Next Meeting**

**The next meeting of the BATA Oversight Committee will be held on April 12, 2017 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.**



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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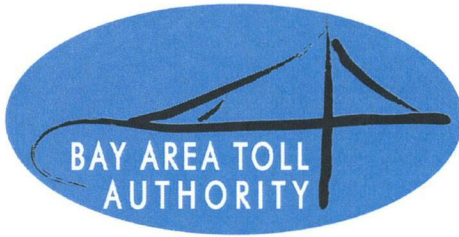
**File #:** 17-2407      **Version:** 1      **Name:**  
**Type:** Report      **Status:** Consent  
**File created:** 3/10/2017      **In control:** Bay Area Toll Authority Oversight Committee  
**On agenda:** 4/12/2017      **Final action:**  
**Title:** BATA Financial Statements for February 2017  
**Sponsors:**  
**Indexes:**  
**Code sections:**  
**Attachments:** [4b 2 Budget vs Actual February'2017.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

**Subject:**  
BATA Financial Statements for February 2017

**Presenter:**  
Eva Sun

**Recommended Action:**  
Information



BAY AREA TOLL AUTHORITY

Bay Area Metro Center

375 Beale Street

San Francisco, CA 94105

TEL 415.778.6700

WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Executive Director

W. I. 1254

RE: BATA Financial Statements for February 2017

Please find attached for receipt the BATA financial statements for the eight month period ending February 2017. Major highlights of the eight months statement include:

- (1) **Revenues:** Toll bridge revenue for the current eight months is slightly higher than budgeted. BATA has also received \$48.1 million in subsidy payments from the U.S. Government to offset the interest expense for the Build America Bonds.
- (2) **Transfers to MTC:** The annual 1% administration fee was transferred to MTC in the amount of \$7.3 million.
- (3) **FasTrak®** usage for the month was at 70% of total paid traffic.
- (4) **Contract carryover encumbrances:** Now that the audit is complete, funds totaling \$1.3 million from FY 2015-16 are expected to be added to the budget as contract carryover encumbrances.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

A blue ink signature of Steve Heminger is written over a horizontal line.

Steve Heminger

Attachment

J:\COMMITTEE\BATA Oversight\2017\04 Apr'2017\_BATA Oversight\_Committee\4b\_1\_Financial\_Statements\_Memo.docx



# BATA Operating Budget

As of February 2017

|   | FY 2016-17<br>Budget | Actual<br>YTD      | Current Budget<br>Balance | % of Budget<br>(col 2/1) | year<br>Expired | Encumbrances      |
|---|----------------------|--------------------|---------------------------|--------------------------|-----------------|-------------------|
| <b>REVENUE:</b>                             |                      |                    |                           |                          |                 |                   |
| 1. RM 1 Toll Revenues                       | 583,001,487          | 388,518,114        | 194,483,373               | 66.6%                    | 66.7%           | -                 |
| 2. RM 2 Toll Revenues                       | 126,351,051          | 84,734,038         | 41,617,013                | 67.1%                    | 66.7%           | -                 |
| 3. Other revenue                            | 10,000,000           | 16,343,786         | (6,343,786)               | 163.4%                   | 66.7%           | -                 |
| 4. Interest Income                          | 10,400,000           | 9,124,279          | 1,275,721                 | 87.7%                    | 66.7%           | -                 |
| 5. GGB&HTD Fastrak Reimbursement            | 6,733,000            | 2,715,755          | 4,017,245                 | 40.3%                    | 66.7%           | -                 |
| 6. SFO Fastrak Reimbursement                | 463,000              | 183,734            | 279,266                   | 39.7%                    | 66.7%           | -                 |
| 7. Alameda CMA Reimbursement                | 1,150,000            | 1,278,121          | (128,121)                 | 111.1%                   | 66.7%           | -                 |
| 8. VTA 237 Express Lane Reimb.              | 135,000              | 80,031             | 54,969                    | 59.3%                    | 66.7%           | -                 |
| 9. Rebate for Build America Bonds           | 71,355,353           | 48,132,950         | 23,222,403                | 67.5%                    | 66.7%           | -                 |
| <b>Total Revenue</b>                        | <b>809,588,891</b>   | <b>551,110,808</b> | <b>258,478,083</b>        | <b>68.1%</b>             | <b>66.7%</b>    | <b>-</b>          |
| <b>EXPENSE:</b>                             |                      |                    |                           |                          |                 |                   |
| <b>Caltrans Operations and Maintenance:</b> |                      |                    |                           |                          |                 |                   |
| 1. Toll Collection & Operations Services    | 22,700,000           | 14,204,488         | 8,495,512                 | 62.6%                    | 66.7%           | -                 |
| 2. Toll & Bridge Facility Maint             | 8,400,000            | 4,910,852          | 3,489,148                 | 58.5%                    | 66.7%           | -                 |
| 3. Caltrans Coordination                    | 321,000              | 110,453            | 210,547                   | 34.4%                    | 66.7%           | -                 |
| Caltrans O & M Subtotal                     | 31,421,000           | 19,225,793         | 12,195,207                | 61.2%                    | 66.7%           | -                 |
| <b>Fastrak Operations and Maintenance:</b>  |                      |                    |                           |                          |                 |                   |
| 4. RCSC Operations                          | 23,400,000           | 9,567,503          | 13,832,497                | 40.9%                    | 66.7%           | 12,541,632        |
| 5. ATCAS Maintenance, IT equip              | 4,985,306            | 1,256,902          | 3,728,404                 | 25.2%                    | 66.7%           | 3,321,768         |
| 6. Banking Costs                            | 13,900,000           | 6,702,316          | 7,197,684                 | 48.2%                    | 66.7%           | 7,197,685         |
| 7. Collection Exp./DMV Exp.                 | 2,400,000            | 1,448,098          | 951,902                   | 60.3%                    | 66.7%           | 599,589           |
| BATA O & M Subtotal                         | 44,685,306           | 18,974,819         | 25,710,487                | 42.5%                    | 66.7%           | 23,660,674        |
| <b>BATA Toll Bridge Administration:</b>     |                      |                    |                           |                          |                 |                   |
| 8. Staff Costs - Salaries, Benefits & Temps | 9,661,598            | 5,731,065          | 3,930,533                 | 59.3%                    | 66.7%           | -                 |
| 9. Travel, Printing, Memberships & Other    | 507,459              | 183,256            | 324,203                   | 36.1%                    | 66.7%           | 6,580             |
| 10. RM 1/RM2 Audit/Accounting/Other         | 3,269,514            | 779,998            | 2,489,516                 | 23.9%                    | 66.7%           | 489,721           |
| 11. Misc. Toll Admin Operating Expenses     | 1,100,000            | 437,509            | 662,491                   | 39.8%                    | 66.7%           | 3,243             |
| 12. Professional Fees                       | 1,682,500            | 760,698            | 921,802                   | 45.2%                    | 66.7%           | 706,757           |
| 13. Other                                   | 1,000,000            | 75,049             | 924,951                   | 7.5%                     | 66.7%           | 63,751            |
| Toll Bridge Admin Subtotal                  | 17,221,071           | 7,967,575          | 9,253,496                 | 46.3%                    | 66.7%           | 1,270,052         |
| <b>Other/Transfers:</b>                     |                      |                    |                           |                          |                 |                   |
| 14. Transfers to MTC 1% Admin               | 7,297,525            | 7,297,525          | -                         | 100.0%                   | 66.7%           | -                 |
| 15. Transfers to MTC - Other                | 273,550              | 134,941            | 138,609                   | 49.3%                    | 66.7%           | 41,332            |
| 16. Transfers to SAFE                       | 300,000              | 300,000            | -                         | 100.0%                   | 66.7%           | -                 |
| 17. Transfer from Legal Reserve             | 2,387,215            | 303,731            | 2,083,484                 | 12.7%                    | 66.7%           | 1,233,484         |
| 18. Transbay Transit Terminal Maint         | 4,691,868            | 1,270,566          | 3,421,302                 | 27.1%                    | 66.7%           | 3,421,302         |
| 19. Beale St Assessment                     | 1,750,000            | -                  | 1,750,000                 | 0.0%                     | 66.7%           | -                 |
| 20. Depreciation and Amortization           | 5,150,000            | 3,053,761          | 2,096,239                 | 59.3%                    | 66.7%           | -                 |
| 21. RM2/Clipper Marketing                   | 3,750,000            | 1,134,229          | 2,615,771                 | 30.2%                    | 66.7%           | 343,490           |
| 22. RM2 Operating                           | 45,000,000           | 24,340,426         | 20,659,574                | 54.1%                    | 66.7%           | 18,766,657        |
| Transfers                                   | 70,600,158           | 37,835,179         | 32,764,979                | 53.6%                    | 66.7%           | 23,806,265        |
| <b>Debt Service:</b>                        |                      |                    |                           |                          |                 |                   |
| 23. Interest and principal payments         | 516,410,069          | 146,808,524        | 369,601,545               | 28.4%                    | 66.7%           | -                 |
| 24. Financing Costs                         | 3,631,600            | 1,566,496          | 2,065,104                 | 43.1%                    | 66.7%           | 1,720,393         |
| Total Debt Service                          | 520,041,669          | 148,375,020        | 371,666,649               | 28.5%                    | 66.7%           | 1,720,393         |
| <b>Transfer to Capital Fund In (Out):</b>   |                      |                    |                           |                          |                 |                   |
| 25. Transfer to Capital Fund                | 125,569,687          | -                  | 125,569,687               | 0.0%                     | 66.7%           | -                 |
| 26. Furniture/Equip./Vehicle                | 50,000               | -                  | 50,000                    | 0.0%                     | 66.7%           | -                 |
| Total Capital Reserve In (Out)              | 125,619,687          | -                  | 125,619,687               | 0.0%                     | 66.7%           | -                 |
| <b>Total Expense &amp; Transfers</b>        | <b>809,588,891</b>   | <b>232,378,386</b> | <b>577,210,505</b>        |                          |                 | <b>50,457,384</b> |
| <b>Net</b>                                  | <b>-</b>             | <b>318,732,422</b> | <b>(318,732,422)</b>      |                          |                 |                   |

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

**February '17**

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|                                     |                             |          |
|-------------------------------------|-----------------------------|----------|
| COGENT COMMUNICATION INC            |                             |          |
|                                     | <i>Data Communications</i>  | \$10,250 |
| INTERNATIONAL EFFECTIVENESS CENTERS |                             |          |
|                                     | <i>Translation Services</i> | \$3,000  |
| CDW GOVERNMENT INC                  |                             |          |
|                                     | <i>Computer hardware</i>    | \$10,000 |

**Regional Measure 2 Operating Budget  
As of February 2017 (\$000)**

|     | Project Title  | Total Budget | Allocation | Actual   | Encumbrance | Balance<br>Remaining |
|-----|--|--------------|------------|----------|-------------|----------------------|
| 1   | Richmond Bridge Express Bus  | 2,474        | 2,474      | -        | 2,474       | -                    |
| 2   | Napa Vine Service  | 426          | 426        | 299      | 127         | -                    |
| 3   | Express Bus North - serving SFOBB,<br>Dumbarton, San Mateo bridges | 3,751        | 3,659      | 1,678    | 1,981       | 92                   |
| 4   | Express Bus South - serving Carquinez<br>and Benicia Bridges       | 7,074        | 6,904      | 3,943    | 2,961       | 170                  |
| 5   | Dumbarton Bus  | 3,017        | 2,817      | 1,817    | 1,000       | 200                  |
| 6   | WETA Ferry Operations  | 16,500       | 16,500     | 9,551    | 6,949       | -                    |
| 7   | Owl Service - BART Corridor  | 2,004        | 1,827      | 1,101    | 726         | 177                  |
| 8   | MUNI Metro 3rd St  | 2,500        | 2,500      | 2,500    | -           | -                    |
| 9   | AC Enhanced Bus Service  | 3,000        | 3,000      | 1,750    | 1,250       | -                    |
| 11  | Water Emergency Transportation<br>Authority Regional Planning      | 3,000        | 3,000      | 1,701    | 1,299       | -                    |
|     | Subtotal for Operating Assistance<br>Program                       | 43,746       | 43,107     | 24,340   | 18,767      | 639                  |
| N/A | Clipper Marketing  | 2,950        | -          | 1,074    | 3           | 1,873                |
| N/A | 511 Real Time Transit  | 150          | -          | -        | -           | 150                  |
| N/A | Seamless Transit Map   | 150          | -          | -        | 150         | -                    |
| N/A | Regional Resource Center   | 100          | -          | 60       | 40          | -                    |
| N/A | New SMART Service  | 100          | -          | -        | -           | 100                  |
| N/A | Late night Service   | 150          | -          | -        | 150         | -                    |
| N/A | New or Expanded Transit Service                                    | 150          | -          | -        | -           | 150                  |
|     | Total for Clipper and RM2 Marketing                                | 3,750        | -          | 1,134    | 343         | 2,273                |
|     | Total  | \$47,496     | \$43,107   | \$25,474 | \$19,110    | \$2,912              |

**Regional Measure 2 Project Budget**

**As of February 2017 (\$000) - Life to Date**

| Program      | Project Title   | Total Budget       | Actual             | Encumbrance      | Balance Remaining |
|--------------|---|--------------------|--------------------|------------------|-------------------|
| 1            | BART/MUNI Direct Connection at Embarcadero & Civic Center Stations                            | \$3,000            | -                  | -                | \$3,000           |
| 2            | SF MUNI Metro 3rd Street LRT Extension  | 30,000             | 30,000             | -                | -                 |
| 3            | MUNI Historic Streetcar Expansion (E-Line)  | 10,000             | 9,921              | 79               | -                 |
| 4            | Dumbarton Commuter Rail Service <sup>i,iv,xii</sup>   | 9,157              | 8,932              | 33               | 192               |
| 5            | Vallejo Ferry Intermodal Station <sup>v</sup>   | 26,000             | 24,409             | 418              | 1,173             |
| 6            | Solano County Express Bus Intermodal Facilities <sup>vi</sup>                                 | 12,251             | 12,131             | 120              | -                 |
| 7            | Solano County Corridor Improvements near I-80 / I-680 Interchange                             | 100,000            | 93,203             | 6,797            | -                 |
| 8            | I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge                                   | 37,175             | 37,175             | -                | -                 |
| 9            | Richmond Parkway Park & Ride <sup>vii</sup>   | 3,850              | 865                | 708              | 2,277             |
| 10           | SMART Extension to Larkspur <sup>ii,vii</sup>   | 56,500             | 38,592             | 4,633            | 13,275            |
| 11           | Greenbrae Interchange Improvement <sup>ii,viii</sup>  | 43,500             | 21,233             | 7,327            | 14,941            |
| 12           | Direct HOV lane connector from I-680 to the Pleasant Hill BART <sup>ix</sup>                  | 20,425             | 11,246             | 4,496            | 4,683             |
| 13           | Rail Extension to East Contra Costa/E-BART  | 96,000             | 90,743             | 5,257            | -                 |
| 14           | Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor <sup>vi,x</sup>        | 35,950             | 29,467             | 6,483            | -                 |
| 15           | Central Contra Costa Bay Area Rapid Transit (BART) Crossover                                  | 25,000             | 25,000             | -                | -                 |
| 16           | Benicia-Martinez Bridge: New Span   | 50,000             | 50,000             | -                | -                 |
| 17           | Remaining Regional Express Bus North - Competitive Program Projects <sup>v,x</sup>            | 18,799             | 18,500             | 299              | -                 |
| 18           | Clipper   | 22,000             | 20,710             | 1,270            | 20                |
| 19           | Real-time transit information   | 20,000             | 19,214             | 786              | -                 |
| 20           | Safe Routes to Transit  | 22,500             | 15,663             | 6,651            | 186               |
| 21           | BART Tube Seismic Retrofit  | 33,801             | 33,801             | -                | -                 |
| 22           | Transbay Terminal/Downtown Extension  | 150,000            | 147,862            | 2,138            | -                 |
| 23           | Oakland Airport Connector   | 115,199            | 115,199            | -                | -                 |
| 24           | AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) <sup>vii</sup> | 77,760             | 33,776             | 43,984           | -                 |
| 25           | Commute Ferry Service for Alameda/Oakland/Harbor Bay  | 12,000             | 8,647              | 3,353            | -                 |
| 26           | Commute Ferry Service for Berkeley/Albany   | 12,000             | 2,075              | 9,925            | -                 |
| 27           | Commute Ferry Service for South San Francisco   | 12,000             | 11,998             | 2                | -                 |
| 28           | Water Transit Facility Imps., Spare Vessels and Environmental Review                          | 48,000             | 27,853             | 52               | 20,095            |
| 29           | Regional Express Bus South - Remaining Projects <sup>iv,vii,xi</sup>                          | 33,933             | 27,225             | 4,219            | 2,489             |
| 30           | I-880 North Safety Improvements <sup>xi</sup>   | 12,300             | 10,311             | 1,989            | -                 |
| 31           | BART Warm Springs Extension <sup>i</sup>  | 186,000            | 162,102            | 23,898           | -                 |
| 32           | I-580 (Tri Valley) Rapid Transit Corridor Improvements  | 65,000             | 50,806             | 2,199            | 11,995            |
| 33           | Regional Rail Master Plan   | 6,500              | 6,062              | 394              | 44                |
| 34           | Integrated Fare Structure Program   | 1,500              | 900                | 600              | -                 |
| 35           | Transit Commute Benefits Promotion  | 5,000              | 3,366              | 1,634            | -                 |
| 36           | Caldecott Tunnel Improvements <sup>ix</sup>   | 45,075             | 42,372             | 2,703            | -                 |
| 37           | BART's Fixed Guideway Rehab   | 24,000             | 23,613             | 387              | -                 |
| 38           | Regional Express Lane Network <sup>iii</sup>  | 4,825              | -                  | 4,826            | -                 |
| 39           | Modifications in I-80 and San Pablo <sup>iii</sup>  | 8,000              | 7,282              | 718              | -                 |
| 40           | Caltrain Electrification <sup>viii,xii</sup>  | 20,000             | -                  | 20,000           | -                 |
| <b>Total</b> |   | <b>\$1,515,000</b> | <b>\$1,272,253</b> | <b>\$168,379</b> | <b>\$74,370</b>   |

<sup>i</sup> Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to

<sup>ii</sup> Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated

<sup>iii</sup> Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

| Res#3801 - Date 5/28/14  |   |   |
|--------------------------|---|---|
| Amount (\$000)           | From  | To  |
| <sup>iv</sup> \$14,843   | Program 4: Dumbarton Commuter Rail Service program  | Program 29: Regional Express Bus South program                            |
| <sup>v</sup> \$2,000     | Program 5: Vallejo Ferry Intermodal Station   | Program 17: Regional Express Bus North program                            |
| <sup>vi</sup> \$7,749    | Program 6: Solano County Express Bus program  | Program 14: I-80/I-680 Capital Corridor Improvements program              |
| <sup>vii</sup> \$12,760  | Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands. | Program 24: AC Transit Enhanced Bus program                               |
| <sup>viii</sup> \$20,000 | Program 11: Greenbrae Interchange Improvement   | Program 10: SMART Extension to Larkspur                                   |
| <sup>ix</sup> \$5,425    | Program 36: Caldecott Tunnel Improvements program   | Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program |
| <sup>x</sup> \$3,202     | Program 17: Regional Express Bus North program  | Program 14: I-80/I-680 Capital Corridor Improvements program              |
| <sup>xi</sup> \$2,300    | Program 29: Regional Express Bus South program  | Program 30: I-880 North Safety Improvements program                       |
| <sup>xii</sup> \$20,000  | Program 4: Dumbarton Commuter Rail Service program  | Program 40: Caltrain Electrification program                              |

Shaded projects are completed

**Rehab Project Budget**

**As of February 2017 (\$000) - Life to Date**

| <b>Program #</b> | <b>Program</b>                               | <b>Total Budget</b> | <b>Total Expenses</b> | <b>Encumbrance</b> | <b>Balance<br/>Remaining</b> |
|------------------|--|---------------------|-----------------------|--------------------|------------------------------|
| 6812             | Benicia-Martinez Bridge Rehab                | 2,927               | 1,869                 | -                  | 1,058                        |
| 6813             | Carquinez Bridge Rehab                       | 35,080              | 34,139                | -                  | 941                          |
| 6814             | Richmond-San Rafael Bridge Rehab             | 66,610              | 52,571                | -                  | 14,039                       |
| 6825             | San Francisco-Oakland Bay Bridge Rehab       | 184,069             | 152,835               | -                  | 31,234                       |
| 6826             | San Mateo-Hayward Bridge Rehab               | 109,697             | 67,379                | 1                  | 42,318                       |
| 6827             | Dumbarton Bridge Rehab                       | 4,792               | 4,792                 | -                  | -                            |
| 6828             | All Bridges Rehab                            | 64,834              | 58,915                | -                  | 5,919                        |
| 6829             | Caltrans Reserve                             | 1,968               | 4                     | -                  | 1,964                        |
| 8030             | Completed/Defunded/Transferred Projects      | 117,302             | 116,626               | -                  | 676                          |
| 8033             | Minor Toll Plaza Rehab Projects              | 935                 | 935                   | -                  | -                            |
| 8210             | New Benicia Bridge *                         | 1,715               | 503                   | -                  | 1,212                        |
| 8315             | Site Mitigation & Landscaping                | 154                 | 83                    | -                  | 71                           |
| 8615             | I-880/SR-92 Landscaping**                    | 6,640               | 5,418                 | -                  | 1,222                        |
| 8629             | Minor Bridge Rehab Projects                  | 159                 | 45                    | -                  | 114                          |
| 8637             | Bay Trail Improvements                       | 115                 | -                     | -                  | 115                          |
|                  | <b>TOTAL CALTRANS REHAB BUDGET</b>           | <b>596,997</b>      | <b>496,114</b>        | <b>1</b>           | <b>100,883</b>               |
| 8012             | All Electronic Tolling Study                 | 703                 | 629                   | 54                 | 20                           |
| 8528             | Bay Lights Maintenance                       | 320                 | 55                    | 105                | 160                          |
| 8530             | Drainage Studies for the Bridge              | 500                 | 147                   | 153                | 200                          |
| 8531             | Benicia New Toll Plaza ORT                   | 4,153               | 4,153                 | -                  | -                            |
| 8539             | SFOBB Eyebars Repair Review                  | 2,914               | 2,660                 | 254                | -                            |
| 8540             | Regional Transportation Sea Level Rise Asset | 2,000               | -                     | -                  | 2,000                        |
| 8594             | SFOBB West Span Pathway PSR                  | 12,300              | 8,852                 | 2,699              | 749                          |
| 8602             | Hybrid/ETC Lane Modifications                | 874                 | 874                   | -                  | -                            |
| 8631             | Procure New Callboxes                        | 2,344               | 2,344                 | -                  | -                            |
| 8900             | ETC Regional CSC Development                 | 14,358              | 11,046                | 2,941              | 371                          |
| 8901             | ETC Transponder Procurement                  | 70,931              | 65,274                | 3,969              | 1,688                        |
| 8902             | Future CSC Upgrades/Replacement              | 20,950              | 17,210                | 2,232              | 1,508                        |
| 8903             | ATCAS Lane Host Upgrades                     | 33,605              | 31,619                | 324                | 1,662                        |
| 8904             | Fastrak Sign & Sign Structure Improvements   | 29,510              | 29,336                | 174                | -                            |
| 8905             | Misc. Bridge Improvements                    | 13,909              | 5,180                 | 852                | 7,877                        |
| 8907             | Toll Plaza Capital Improvements              | 18,448              | 12,613                | 4,289              | 1,546                        |
| 8908             | Enterprise Computing HW/SW                   | 4,035               | 2,598                 | 3                  | 1,434                        |
| 8909             | Gateway Park Planning                        | 30,113              | 14,954                | 952                | 14,207                       |
| 8912             | ETC Transponder Tag Swap                     | 2,137               | 1,929                 | 208                | -                            |
| 8913             | SFOBB Administration Building                | 25,619              | 25,220                | -                  | 399                          |
| 8914             | Violation Enforcement System Upgrade         | 7,842               | 7,841                 | -                  | -                            |
| 8916             | Bay Crossing Study                           | 540                 | 540                   | -                  | -                            |
| 8917             | IT Security Procedures & Policies            | 750                 | 96                    | -                  | 654                          |
| 8918             | Maintenance Complex                          | 531                 | 444                   | 41                 | 46                           |
| 8920             | Plaza and Canopy Improvements                | 9,263               | 8,545                 | 718                | -                            |
| 8921             | SFOBB Lane 17 & 18 Lane Reconfiguration      | 3,575               | 1,664                 | 43                 | 1,868                        |
| 8922             | Metering Lights Replacement                  | 8,930               | 449                   | 2,635              | 5,846                        |
| 8923             | Bridge Records Recordation and Storage       | 500                 | 55                    | -                  | 445                          |
| 8924             | Antioch Bridge Approach                      | 50,000              | 48,164                | 1,746              | 90                           |
| 8926             | Bridge Modeling & Investigations             | 5,801               | 582                   | 368                | 4,851                        |
| 8927             | CCTV Installation                            | 6,000               | -                     | -                  | 6,000                        |
| 8928             | BATA Program Contingency                     | 3,259               | -                     | -                  | 3,259                        |
| 8930             | Richmond-San Rafael Bridge Rehab             | 65,790              | 10,429                | 34,481             | 20,880                       |
| 8933             | Plan Bay Area TMS                            | 9,000               | 523                   | 5,866              | 2,611                        |
| 8934             | Temp License Plate System Implementation     | 500                 | -                     | -                  | 500                          |
| 8935             | Communications in Bridge Corridors           | 2,500               | -                     | -                  | 2,500                        |
| 8936             | Backhaul Connection Infrastructure           | 1,000               | 110                   | 712                | 178                          |
| 8000-05          | Capital Program Audit                        | 8,300               | 5,978                 | 640                | 1,682                        |
| 8000-16          | SRA/RM1 Program Monitoring                   | 46,045              | 44,395                | 239                | 1,411                        |
|                  | <b>Total BATA REHAB BUDGET</b>               | <b>519,849</b>      | <b>366,508</b>        | <b>66,698</b>      | <b>86,642</b>                |
|                  | <b>TOTAL REHAB BUDGET</b>                    | <b>1,116,846</b>    | <b>862,622</b>        | <b>66,699</b>      | <b>187,525</b>               |

Shaded projects are completed

\* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

\*\* Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

**Seismic Capital Project Budget**

**As of February 2017 (\$000) - Life to Date**

| <b>Program</b>   | <b>Base Budget</b>  | <b>Current Budget****</b> | <b>Total Expenses*</b> | <b>Encumbrance</b> | <b>Remaining Balance</b> |
|--|---------------------|---------------------------|------------------------|--------------------|--------------------------|
| San Francisco-Oakland Bay Bridge East Span Repl            | \$ 5,486,600        | \$ 6,503,200              | \$ 6,262,168           | \$ 241,032         | \$ -                     |
| San Francisco-Oakland Bay Bridge West Span Retrofit        | 307,900             | 305,316                   | 305,316                | -                  | -                        |
| San Francisco-Oakland Bay Bridge West Approach Repl        | 429,000             | 459,500                   | 450,383                | 9,117              | -                        |
| Antioch Bridge Retrofit                                    | -                   | 71,100                    | 71,093                 | 7                  | -                        |
| Dumbarton Bridge Retrofit                                  | -                   | 112,400                   | 112,307                | 93                 | -                        |
| Richmond-San Rafael Bridge Retrofit ***                    | 808,100             | 795,200                   | 794,870 ***            | 330                | -                        |
| Benicia-Martinez Bridge Retrofit                           | 177,800             | 177,830                   | 177,817                | 13                 | -                        |
| Carquinez Bridge Retrofit                                  | 114,200             | 114,206                   | 114,206                | -                  | -                        |
| San Mateo-Hayward Bridge Retrofit                          | 163,500             | 163,412                   | 163,412                | -                  | -                        |
| <b>Subtotal for Bay Area Bridges</b>                       | <b>7,487,100</b>    | <b>8,702,164</b>          | <b>8,451,571</b>       | <b>250,593</b>     | <b>-</b>                 |
| Misc Program Costs   | 30,000              | 30,000                    | 26,024                 | 3,976              | -                        |
| Program Contingency**                                      | 989,000             | 40,906                    | -                      | 40,906             | -                        |
| Vincent Thomas Bridge Retrofit (non-BATA, for information) | 58,500              | 58,510                    | 58,411                 | 99                 | -                        |
| San Diego-Coronado Bridge Retrofit (non BATA, for          | 103,500             | 103,520                   | 103,235                | 285                | -                        |
| <b>Subtotal for Other Bridges</b>                          | <b>162,000</b>      | <b>162,030</b>            | <b>161,646</b>         | <b>384</b>         | <b>-</b>                 |
| <b>Total for Toll Bridge Seismic Retrofit Program</b>      | <b>\$ 8,668,100</b> | <b>\$ 8,935,100</b>       | <b>\$ 8,639,241</b>    | <b>\$ 295,859</b>  | <b>\$ -</b>              |

\*Includes pre AB144 LTD expenses from Caltrans to April 2006

3,709,068

Bata expenses from May 2006 to current

4,930,173

8,639,241

**\*\* Contingency Allocation**

|  |               |
|--|---------------|
| Contingency per Budget                                     | 989,000       |
| Allocation to SFO BB East Span Repl 7/07                   | (179,220)     |
| Allocation to Benicia-Martinez 7/07                        | (30)          |
| Allocation to San Mateo-Hayward 7/07                       | (10)          |
| Allocation to Vincent Thomas 7/07                          | (10)          |
| Allocation to San Diego-Coronado 7/07                      | (20)          |
| Unallocate from Carquinez 7/07                             | 70            |
| Allocation to SFO BB West Approach 3/26/08                 | (24,700)      |
| Allocation to SFO BB East Span Repl 7/08                   | (36,290)      |
| Unallocate from Richmond SR 7/08                           | 8,500         |
| Allocations to SFOBB West Approach 12/17/08                | (17,000)      |
| Allocation to SFOBB East Span Replacement 12/09            | (50,600)      |
| Allocation for Antioch Contingency 1/10                    | 72,000        |
| Allocation for Dumbarton Contingency 1/10                  | 118,000       |
| Allocation to SFOBB East Span Replacement 7/10             | (138,390)     |
| Unallocate from SFOBB West Approach 7/10                   | 3,000         |
| Unallocate from Antioch Contingency 7/10                   | (43,000)      |
| Allocate to SFOBB East Span 9/10                           | (293,080)     |
| Allocate to SFOBB East Span 3/23/11                        | (106,200)     |
| Allocate to SFOBB East Span 6/27/12                        | (14,450)      |
| Allocate to SFOBB West Approach 6/27/12                    | (1,000)       |
| Allocate to Carquinez 6/27/12                              | (70)          |
| Unallocate from SFOBB East Span 11/28/12                   | 17,230        |
| Unallocate from SFOBB West Span 11/28/12                   | 2,584         |
| Allocate to SFOBB West Approach 11/28/12                   | (1,000)       |
| Allocate to Carquinez 11/28/12                             | (6)           |
| Unallocate from San Mateo-Hayward 11/28/12                 | 98            |
| Unallocate Antioch Bridge 11/28/12                         | 19,000        |
| Unallocate Dumbarton Bridge 11/28/12                       | 300           |
| Allocate to SFOBB East Span 2/27/13                        | (5,569)       |
| Allocate to Transit Core Capacity Challenge Grant 12/18/13 | (130,000)     |
| Allocate to SFOBB East Span 7/1/14                         | (103,800)     |
| Unallocate Antioch Bridge 7/1/15                           | 10,900        |
| Unallocate Dumbarton Bridge 7/1/15                         | 34,500        |
| Allocate to SFOBB East Span 3/23/16                        | (58,131)      |
| Allocate to SFOBB East Span 7/1/16                         | (6,000)       |
| Allocate to SFOBB East Span 10/26/16                       | (25,700)      |
| Remaining Balance  | <u>40,906</u> |

Shaded projects are completed

\*\*\*Moved \$16.9 million from Richmond-San Rafael Bridge Retrofit Budget to RM 1.

\*\*\*\*Feburary financial reflects budget update approved on 3/23/16

## AB 1171 Project Budget

As of February 2017 (\$000) - Life to Date

| Project Title                                | Total Budget | Allocation | Actual    | Encumbrance | Balance Remaining |
|--|--------------|------------|-----------|-------------|-------------------|
| Doyle Drive Replacement                      | 80,000       | 80,000     | 78,757    | 1,243       | -                 |
| East Contra Costa BART Extension             | 111,500      | 111,500    | 106,727   | 4,773       | -                 |
| Transbay Terminal/Downtown Extension:Phase 1 | 150,000      | 148,800    | 146,407   | 2,393       | 1,200             |
| Tri-Valley Transit Access Improve. To BART   | 95,000       | 11,732     | 7,982     | 3,750       | 83,268            |
| Regional Express Lane Network                | 2,800        | 2,800      | 2,800     | -           | -                 |
| Fairfield/Vacaville Train Station            | 9,000        | 9,000      | 5,080     | 3,920       | -                 |
| I80/680 Interchange                          | 100,000      | 100,000    | 94,586    | 5,414       | -                 |
| Other Corridor Improvement                   | 10,200       | 10,150     | 10,150    | -           | 50                |
| VTA Mission/Warren/Truck Rail Facility       | 6,500        | 6,500      | -         | 6,500       | -                 |
| BART to Warm Spring Extension                | 5,000        | 5,000      | 4,711     | 289         | -                 |
| Total  | \$570,000    | \$485,482  | \$457,200 | \$28,282    | \$84,518          |

|                          |                 |
|--------------------------|-----------------|
| AB 1171 Program Budget:  | \$570,000       |
| Approved Projects:       | \$485,482       |
| AB 1171 Program Balance: | <u>\$84,518</u> |

Shaded projects are completed

## Other Capital Projects

As of February 2017 (\$000) - Life to Date

| Project Title |   | Total Budget | Actual   | Encumbrance | Balance Remaining |
|---------------|---|--------------|----------|-------------|-------------------|
| 6840          | Program Costs: Planning, Coordination & Management  | 28,437       | 13,917   | 2,223       | 12,297            |
| 6841          | Centralized Toll System                             | 33,574       | 13,455   | 16,509      | 3,611             |
| 6842          | CC-680 Southern Segment Conversion                  | 55,649       | 37,829   | 13,590      | 4,231             |
| 6843          | Capitalized Start-up O&M                            | 16,000       | 845      | 12,048      | 3,107             |
| 6844          | ALA-880 Conversion                                  | 77,779       | 27,537   | 34,566      | 15,676            |
| 6845          | CC-680 Northern Segment - Southbound Conversion     | 32,288       | 1,124    | 3,641       | 27,523            |
| 6846          | SOL-80 West Conversion                              | 2,852        | 308      | 778         | 1,765             |
| 6847          | Program Contingency                                 | 59,801       | -        | -           | 59,801            |
| 6848          | CC-680 Northern Segment - Southbound HOV Completion | 19,000       | -        | -           | 19,000            |
| 6849          | SOL-80 East Express Lane Conversion                 | 16,114       | 3,433    | 10,197      | 2,484             |
| 6851          | 84/Dumbarton Bridge                                 | 323          | 323      | -           | -                 |
| 6852          | 92/San Mateo Bridge                                 | 369          | 369      | -           | -                 |
| 849           | Express Lanes Total                                 | \$342,186    | \$99,140 | \$93,551    | \$149,495         |
|               |   |              |          |             |                   |
| 847           | Core Capacity Challenge                             | 250,000      | -        | 24,923      | 225,077           |
|               |   |              |          |             |                   |
| Grand Total   |   | \$592,186    | \$99,140 | \$118,474   | \$374,572         |







# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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**File #:** 17-2441      **Version:** 1      **Name:**

**Type:** Contract      **Status:** Consent

**File created:** 3/17/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** Contract Change Order - FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [4c FasTrak CSC Escheatment Support Xerox ContractChangeOrder.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

### Subject:

Contract Change Order - FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

### Presenter:

Beth Zelinski

### Recommended Action:

Committee Approval



BAY AREA TOLL AUTHORITY  
Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## ***Memorandum***

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Deputy, Executive Director

W. I. 1253

RE: Contract Change Order – FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

This item would authorize a contract change order with Xerox State and Local Solutions, Inc. (Xerox) to support the escheatment process in an amount not to exceed \$200,000.

### **Background**

Based on a competitive selection, BATA entered into a contract with Xerox on March 27, 2013 for management and operation of the FasTrak® Regional Customer Service Center (CSC). Under the contract, Xerox provides the FasTrak® account management system, transaction processing, call center operations, web services, payment processing, customer communications, violation image review and violation noticing. Xerox is under contract to operate the CSC until November 2019.

Under this contract change order, the CSC will support the escheatment process according to policies and procedures developed by BATA staff, consistent with state law. Prior to escheating funds, BATA, through the CSC, will publish a notice in the newspaper that uncashed refund checks that have been unclaimed for three years or longer will become the property of BATA if the payee on the check fails to submit a claim to the CSC by a date certain. The newspaper publication will refer potential claimants to where they may find a list of payees, with the dates and amounts of each uncashed check. Lists will be posted on the FasTrak® website and at the Bay Area Metro Center and the toll plazas of the seven state-owned bridges. If the payee does not claim his or her property, the funds may revert to BATA.

FasTrak® unclaimed property results from uncashed checks from accounts that were automatically closed due to inactivity and refunded or from violation payments that were refunded in part or in full due to overpayment of fees, duplicate payments, or other reasons. In addition to the newspaper publication described above, the CSC will issue letters on a semi-annual basis to those customers who have been issued refund checks valued at \$50 or more that have newly remained unclaimed for a period of six months. The letters will remind the customers to cash their checks, or if their checks are no longer valid or were never received, to contact the CSC. The escheatment process will begin in April with the first round of notifications to those with unclaimed property from 2013 or earlier. (The total amount of unclaimed property from 2013 and earlier is approximately \$1.5 million for 61,570 unclaimed refund checks.) After that, escheatment notices will be published in the newspaper on an annual basis.

The CSC will further support the escheatment process by updating the FasTrak® website with forms and FAQs, processing claim forms submitted by customers, reissuing refund checks and updating system financial processes and reports. This work is included in this change order.

Attachment A includes a summary of Xerox and its project team's small business and disadvantaged business enterprise status.

**Recommendation**

Staff recommends that this Committee authorize the Executive Director or his designee to negotiate and enter into a contract change order with Xerox in an amount not to exceed \$200,000 to support the escheatment process.



---

Steve Heminger

SH:bz

Attachment

**Attachment A**  
**Small Business and Disadvantaged Business Enterprise Status**

|                                   |           |                                   | DBE* Firm |       |    | SBE** Firm |       |    |
|-----------------------------------|-----------|-----------------------------------|-----------|-------|----|------------|-------|----|
|                                   |           |                                   | Yes       | DBE # | No | Yes        | SBE # | No |
| Prime Contractor<br>Subcontractor | Firm Name | Role on Project                   |           |       |    |            |       |    |
|                                   | Xerox     | System Development and Operations |           |       | X  |            |       | X  |
|                                   | Atos      | Network Management                |           |       | X  |            |       | X  |
|                                   |           |                                   |           |       |    |            |       |    |
|                                   |           |                                   |           |       |    |            |       |    |

\*Denotes certification by the California Unified Certification Program (CUCP).

\*\*Denotes certification by the State of California.

## REQUEST FOR COMMITTEE APPROVAL

### Summary of Proposed Contract Change Order

|                             |  |
|-----------------------------|--|
| Work Item No.:              | 1253   |
| Vendor:                     | Xerox State and Local Solution, Inc. (Xerox),<br>San Francisco, CA   |
| Work Project Title:         | FasTrak® Regional Customer Service Center (CSC)  |
| Purpose of Project:         | Operations services to support escheatment.  |
| Brief Scope of Work:        | Provide notifications, claim processing, website and system updates.   |
| Project Cost Not to Exceed: | This Change Order: \$200,000<br>Current contract amount before this Change Order: \$130,399,959<br>Maximum contract amount after this Change Order: \$130,599,959  |
| Funding Source:             | Toll Bridge Rehabilitation Program Funds   |
| Fiscal Impact:              | Funds are included in the FY 2016-17 Toll Bridge Rehabilitation Program Budget.  |
| Motion by Committee:        | That the Executive Director or his designee is authorized to negotiate and enter into a contract change order with Xerox for services as described above and in the Executive Director's Memorandum dated April 5, 2017, and the Chief Financial Officer is directed to set aside funds in the amount of \$200,000 for such contract change order. |
| BATA Oversight Committee:   | <hr/> Amy Worth, Chair   |
| Approved:                   | April 12, 2016   |



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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**File #:** 17-2444      **Version:** 1      **Name:**  
**Type:** Contract      **Status:** Committee Approval  
**File created:** 3/22/2017      **In control:** Bay Area Toll Authority Oversight Committee  
**On agenda:** 4/12/2017      **Final action:**  
**Title:** Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [5a B-of-A ContractAmendment.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

**Subject:**

Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

*A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.*

**Presenter:**

Brian Mayhew

**Recommended Action:**

Committee Approval



BAY AREA TOLL AUTHORITY

Bay Area Metro Center

375 Beale Street

San Francisco, CA 94105

TEL 415.778.6700

WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Executive Director

WI: 1254

RE: Contract Amendment – Credit Card Processing and Banking Services for the FasTrak<sup>®</sup> Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

This item would authorize the Executive Director or his designee to extend the time period and contract encumbrance of the current contract with Bank of America Merchant Services for credit card processing and banking services an additional two years through FY 2018-19, subject to the approval of future BATA budgets.

### **Background**

In April 2012, this Committee authorized a five-year master contract with a two-year extension option with Bank of America Merchant Services to process credit card payments and provide banking services for the FasTrak<sup>®</sup> program. The contract covers payments to participating credit card companies, including American Express, Visa, Mastercard<sup>®</sup>, and Discover, in addition to Bank of America Merchant Services. The current contract encumbrance for credit card processing and banking services and fees is \$13,000,000 annually. This request is to utilize the two-year extension option approved with the original contract and to extend the current level of contract encumbrance.

### **Recommendation**

Staff recommends that the Committee authorize the Executive Director or his designee to extend the contract with Bank of America Merchant Services for credit card processing and banking services an additional two years through FY 2018-19, at the current contract encumbrance of \$13,000,000 annually, subject to the approval of future BATA budgets.

A blue ink signature of Steve Heminger, consisting of stylized initials and a surname, is written over a horizontal line.  
Steve Heminger

SH:bm



REQUEST FOR COMMITTEE APPROVAL  
Summary of Proposed Contract Amendment

|                             |   |
|-----------------------------|---|
| Work Item No.:              | 1254  |
| Consultant:                 | Bank of America Merchant Services, Spokane Washington   |
| Work Project Title:         | Credit Card Processing Services for Electronic Toll Collection (ETC)  |
| Purpose of Project:         | To provide banking and credit card processing services  |
| Brief Scope of Work:        | To provide banking and credit card processing services for the ETC program for the combined FasTrak <sup>®</sup> Regional Customer Service Center operations for the state-owned bridges, the Golden Gate Bridge, Bay Area Express Lanes, and for parking transactions at the San Francisco International Airport garages.  |
| Project Cost Not to Exceed: | \$13,000,000 annually for FY 2017-18 through FY 2018-19.  |
| Funding Source:             | BATA Toll Bridge Program Operating Budget   |
| Fiscal Impact:              | Funds are subject to the approval of future BATA budgets.   |
| Motion by Committee:        | That the Executive Director or his designee is authorized to extend the contract with Bank of America Merchant Services for an additional two years, as described above and in the Executive Director's memorandum dated April 5, 2017, and that the Chief Financial Officer is authorized to set aside an annual encumbrance of \$13,000,000 for FY 2017-18 through FY 2018-19 for the contract, subject to the approval of future BATA budgets. |
| BATA Oversight Committee:   |   |
|                             | <hr/> Amy Worth, Chair  |
| Approved:                   | April 12, 2017  |