



## Meeting Agenda

### Bay Area Toll Authority Oversight Committee

*Committee Members:*

*Amy R. Worth, Chair    Warren Slocum, Vice Chair*

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,  
Nick Josefowitz, Jane Kim, Alfredo Pedroza, Libby Schaaf*

*Non-Voting Member: Bijan Sartipi*

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Wednesday, April 12, 2017

9:30 AM

Board Room - 1st Floor

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This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:30 a.m.

#### 1. Roll Call / Confirm Quorum

*Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).*

#### 2. Pledge of Allegiance

#### 3. Compensation Announcement (Committee Secretary)

#### 4. Consent Calendar

- 4a.    [17-2406](#)       Minutes of the March 8, 2017 meeting  
*Action:*            Committee Approval  
*Attachments:*    [4a\\_03-08-2017\\_BATA\\_O\\_Draft\\_Minutes.pdf](#)
- 4b.    [17-2407](#)       BATA Financial Statements for February 2017  
*Action:*            Information  
*Presenter:*        Eva Sun  
*Attachments:*    [4b\\_2\\_Budget\\_vs\\_Actual\\_February'2017.pdf](#)
- 4c.    [17-2441](#)       Contract Change Order - FasTrak® Regional Customer Service Center:  
Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)  
*Action:*            Committee Approval  
*Presenter:*        Beth Zelinski  
*Attachments:*    [4c\\_FasTrak\\_CSC\\_Escheatment\\_Support\\_Xerox\\_ContractChangeOrder.pdf](#)

## 5. Approval

- 5a. [17-2444](#) Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.

**Action:** Committee Approval

**Presenter:** Brian Mayhew

**Attachments:** [5a B-of-A\\_ContractAmendment.pdf](#)

## 6. Public Comment / Other Business

## 7. Adjournment / Next Meeting

The next meeting of the BATA Oversight Committee will be held on May 10, 2017 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.

**Public Comment:** The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

**Meeting Conduct:** If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

**Record of Meeting:** Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site ([mtc.ca.gov](http://mtc.ca.gov)) for public review for at least one year.

**Accessibility and Title VI:** MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

**可及性和法令第六章:** MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

**Acceso y el Título VI:** La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

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Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 17-2406      **Version:** 1      **Name:**

**Type:** Minutes      **Status:** Consent

**File created:** 3/10/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** Minutes of the March 8, 2017 meeting

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [4a\\_03-08-2017\\_BATA\\_O\\_Draft\\_Minutes.pdf](#)

Date	Ver.	Action By	Action	Result
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**Subject:**  
Minutes of the March 8, 2017 meeting

**Recommended Action:**  
Committee Approval



## Meeting Minutes

Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105

### Bay Area Toll Authority Oversight Committee

**Committee Members:**

*Amy R. Worth, Chair James P. Spering, Vice Chair*

*Jeannie Bruins, Carol Dutra-Vernaci, Federal D. Glover,  
Nick Josefowitz, Alfredo Pedroza, Libby Schaaf,  
Warren Slocum, Vacant  
Non-Voting Member: Bijan Sartipi*

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Wednesday, March 8, 2017

9:30 AM

Board Room - 1st Floor

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#### Call Meeting to Order

#### 1. Roll Call / Confirm Quorum

**Present:** 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Pedroza, Commissioner Spering, Commissioner Slocum, and Commissioner Worth

**Absent:** 2 - Commissioner Josefowitz, and Commissioner Schaaf

Non-Voting Member Absent: Commissioner Sartipi

Ex Officio Voting Members Present: Commission Chair Mackenzie and Commission Vice Chair Haggerty

Ad Hoc Non-Voting Members Present: Commissioner Aguirre, Commissioner Cortese, Commissioner Giacomini, Commissioner Halsted, and Commissioner Pierce

#### 2. Pledge of Allegiance

#### 3. Compensation Announcement (Committee Secretary)

#### 4. Consent Calendar

Approval of the Consent Calendar

**Upon the motion by Commissioner Pedroza and the second by Commissioner Dutra-Vernaci, the Consent Calendar was unanimously approved by the following vote:**

**Aye:** 7 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commissioner Glover, Commissioner Pedroza, Commissioner Spering, Commissioner Slocum and Commissioner Worth

**Absent:** 2 - Commissioner Josefowitz and Commissioner Schaaf

4a. [17-2313](#) Minutes of the February 8, 2017 meeting

*Action:* Committee Approval

4b. [17-2314](#) BATA Financial Statements for January 2017

*Action:* Information

*Presenter:* Eva Sun

**5. Public Comment / Other Business**

**6. Adjournment / Next Meeting**

**The next meeting of the BATA Oversight Committee will be held on April 12, 2017 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA.**



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 17-2407      **Version:** 1      **Name:**

**Type:** Report      **Status:** Consent

**File created:** 3/10/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** BATA Financial Statements for February 2017

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [4b 2 Budget vs Actual February'2017.pdf](#)

Date	Ver.	Action By	Action	Result
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**Subject:**  
BATA Financial Statements for February 2017

**Presenter:**  
Eva Sun

**Recommended Action:**  
Information



BAY AREA TOLL AUTHORITY

Bay Area Metro Center

375 Beale Street

San Francisco, CA 94105

TEL 415.778.6700

WEB www.mtc.ca.gov

## *Memorandum*

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Executive Director

W. I. 1254

RE: BATA Financial Statements for February 2017

Please find attached for receipt the BATA financial statements for the eight month period ending February 2017. Major highlights of the eight months statement include:

- (1) **Revenues:** Toll bridge revenue for the current eight months is slightly higher than budgeted. BATA has also received \$48.1 million in subsidy payments from the U.S. Government to offset the interest expense for the Build America Bonds.
- (2) **Transfers to MTC:** The annual 1% administration fee was transferred to MTC in the amount of \$7.3 million.
- (3) **FasTrak<sup>®</sup>** usage for the month was at 70% of total paid traffic.
- (4) **Contract carryover encumbrances:** Now that the audit is complete, funds totaling \$1.3 million from FY 2015-16 are expected to be added to the budget as contract carryover encumbrances.

If you have any questions about this report, please contact Brian Mayhew at (415) 778-6730.

A blue ink signature of Steve Heminger is written above a horizontal line.

Steve Heminger

Attachment

J:\COMMITTEE\BATA Oversight\2017\04 Apr'2017\_BATA Oversight\_Committee\4b\_1\_Financial\_Statements\_Memo.docx

**BATA Operating Budget**

As of February 2017

	FY 2016-17 Budget	Actual YTD	Current Budget Balance	% of Budget (col 2/1)	year Expired	Encumbrances
<b>REVENUE:</b>						
1. RM 1 Toll Revenues	583,001,487	388,518,114	194,483,373	66.6%	66.7%	-
2. RM 2 Toll Revenues	126,351,051	84,734,038	41,617,013	67.1%	66.7%	-
3. Other revenue	10,000,000	16,343,786	(6,343,786)	163.4%	66.7%	-
4. Interest Income	10,400,000	9,124,279	1,275,721	87.7%	66.7%	-
5. GGB&HTD Fastrak Reimbursement	6,733,000	2,715,755	4,017,245	40.3%	66.7%	-
6. SFO Fastrak Reimbursement	463,000	183,734	279,266	39.7%	66.7%	-
7. Alameda CMA Reimbursement	1,150,000	1,278,121	(128,121)	111.1%	66.7%	-
8. VTA 237 Express Lane Reimb.	135,000	80,031	54,969	59.3%	66.7%	-
9. Rebate for Build America Bonds	71,355,353	48,132,950	23,222,403	67.5%	66.7%	-
<b>Total Revenue</b>	<b>809,588,891</b>	<b>551,110,808</b>	<b>258,478,083</b>	<b>68.1%</b>	<b>66.7%</b>	<b>-</b>
<b>EXPENSE:</b>						
<b>Caltrans Operations and Maintenance:</b>						
1. Toll Collection & Operations Services	22,700,000	14,204,488	8,495,512	62.6%	66.7%	-
2. Toll & Bridge Facility Maint	8,400,000	4,910,852	3,489,148	58.5%	66.7%	-
3. Caltrans Coordination	321,000	110,453	210,547	34.4%	66.7%	-
Caltrans O & M Subtotal	31,421,000	19,225,793	12,195,207	61.2%	66.7%	-
<b>Fastrak Operations and Maintenance:</b>						
4. RCSC Operations	23,400,000	9,567,503	13,832,497	40.9%	66.7%	12,541,632
5. ATCAS Maintenance, IT equip	4,985,306	1,256,902	3,728,404	25.2%	66.7%	3,321,768
6. Banking Costs	13,900,000	6,702,316	7,197,684	48.2%	66.7%	7,197,685
7. Collection Exp./DMV Exp.	2,400,000	1,448,098	951,902	60.3%	66.7%	599,589
BATA O & M Subtotal	44,685,306	18,974,819	25,710,487	42.5%	66.7%	23,660,674
<b>BATA Toll Bridge Administration:</b>						
8. Staff Costs - Salaries, Benefits & Temps	9,661,598	5,731,065	3,930,533	59.3%	66.7%	-
9. Travel, Printing, Memberships & Other	507,459	183,256	324,203	36.1%	66.7%	6,580
10. RM 1/RM2 Audit/Accounting/Other	3,269,514	779,998	2,489,516	23.9%	66.7%	489,721
11. Misc. Toll Admin Operating Expenses	1,100,000	437,509	662,491	39.8%	66.7%	3,243
12. Professional Fees	1,682,500	760,698	921,802	45.2%	66.7%	706,757
13. Other	1,000,000	75,049	924,951	7.5%	66.7%	63,751
Toll Bridge Admin Subtotal	17,221,071	7,967,575	9,253,496	46.3%	66.7%	1,270,052
<b>Other/Transfers:</b>						
14. Transfers to MTC 1% Admin	7,297,525	7,297,525	-	100.0%	66.7%	-
15. Transfers to MTC - Other	273,550	134,941	138,609	49.3%	66.7%	41,332
16. Transfers to SAFE	300,000	300,000	-	100.0%	66.7%	-
17. Transfer from Legal Reserve	2,387,215	303,731	2,083,484	12.7%	66.7%	1,233,484
18. Transbay Transit Terminal Maint	4,691,868	1,270,566	3,421,302	27.1%	66.7%	3,421,302
19. Beale St Assessment	1,750,000	-	1,750,000	0.0%	66.7%	-
20. Depreciation and Amortization	5,150,000	3,053,761	2,096,239	59.3%	66.7%	-
21. RM2/Clipper Marketing	3,750,000	1,134,229	2,615,771	30.2%	66.7%	343,490
22. RM2 Operating	45,000,000	24,340,426	20,659,574	54.1%	66.7%	18,766,657
Transfers	70,600,158	37,835,179	32,764,979	53.6%	66.7%	23,806,265
<b>Debt Service:</b>						
23. Interest and principal payments	516,410,069	146,808,524	369,601,545	28.4%	66.7%	-
24. Financing Costs	3,631,600	1,566,496	2,065,104	43.1%	66.7%	1,720,393
Total Debt Service	520,041,669	148,375,020	371,666,649	28.5%	66.7%	1,720,393
<b>Transfer to Capital Fund In (Out):</b>						
25. Transfer to Capital Fund	125,569,687	-	125,569,687	0.0%	66.7%	-
26. Furniture/Equip./Vehicle	50,000	-	50,000	0.0%	66.7%	-
Total Capital Reserve In (Out)	125,619,687	-	125,619,687	0.0%	66.7%	-
<b>Total Expense &amp; Transfers</b>	<b>809,588,891</b>	<b>232,378,386</b>	<b>577,210,505</b>			<b>50,457,384</b>
Net	-	318,732,422	(318,732,422)			

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**

**\$2,500-\$200,000**

**February '17**

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COGENT COMMUNICATION INC		
	<i>Data Communications</i>	\$10,250
INTERNATIONAL EFFECTIVENESS CENTERS		
	<i>Translation Services</i>	\$3,000
CDW GOVERNMENT INC		
	<i>Computer hardware</i>	\$10,000

**Regional Measure 2 Operating Budget  
As of February 2017 (\$000)**

	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	2,474	2,474	-	2,474	-
2	Napa Vine Service	426	426	299	127	-
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,751	3,659	1,678	1,981	92
4	Express Bus South - serving Carquinez and Benicia Bridges	7,074	6,904	3,943	2,961	170
5	Dumbarton Bus	3,017	2,817	1,817	1,000	200
6	WETA Ferry Operations	16,500	16,500	9,551	6,949	-
7	Owl Service - BART Corridor	2,004	1,827	1,101	726	177
8	MUNI Metro 3rd St	2,500	2,500	2,500	-	-
9	AC Enhanced Bus Service	3,000	3,000	1,750	1,250	-
11	Water Emergency Transportation Authority Regional Planning	3,000	3,000	1,701	1,299	-
	Subtotal for Operating Assistance Program	43,746	43,107	24,340	18,767	639
N/A	Clipper Marketing	2,950	-	1,074	3	1,873
N/A	511 Real Time Transit	150	-	-	-	150
N/A	Seamless Transit Map	150	-	-	150	-
N/A	Regional Resource Center	100	-	60	40	-
N/A	New SMART Service	100	-	-	-	100
N/A	Late night Service	150	-	-	150	-
N/A	New or Expanded Transit Service	150	-	-	-	150
	Total for Clipper and RM2 Marketing	3,750	-	1,134	343	2,273
	Total	\$47,496	\$43,107	\$25,474	\$19,110	\$2,912

**Regional Measure 2 Project Budget**  
**As of February 2017 (\$000) - Life to Date**

Program	Project Title	Total Budget	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	-	-	\$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	9,921	79	-
4	Dumbarton Commuter Rail Service <sup>i,iv,xii</sup>	9,157	8,932	33	192
5	Vallejo Ferry Intermodal Station <sup>v</sup>	26,000	24,409	418	1,173
6	Solano County Express Bus Intermodal Facilities <sup>vi</sup>	12,251	12,131	120	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	93,203	6,797	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	-	-
9	Richmond Parkway Park & Ride <sup>vii</sup>	3,850	865	708	2,277
10	SMART Extension to Larkspur <sup>ii,vii</sup>	56,500	38,592	4,633	13,275
11	Greenbrae Interchange Improvement <sup>ii,viii</sup>	43,500	21,233	7,327	14,941
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART <sup>ix</sup>	20,425	11,246	4,496	4,683
13	Rail Extension to East Contra Costa/E-BART	96,000	90,743	5,257	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor <sup>vi,x</sup>	35,950	29,467	6,483	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects <sup>v,x</sup>	18,799	18,500	299	-
18	Clipper	22,000	20,710	1,270	20
19	Real-time transit information	20,000	19,214	786	-
20	Safe Routes to Transit	22,500	15,663	6,651	186
21	BART Tube Seismic Retrofit	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	147,862	2,138	-
23	Oakland Airport Connector	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) <sup>vii</sup>	77,760	33,776	43,984	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	8,647	3,353	-
26	Commute Ferry Service for Berkeley/Albany	12,000	2,075	9,925	-
27	Commute Ferry Service for South San Francisco	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	27,853	52	20,095
29	Regional Express Bus South - Remaining Projects <sup>iv,vii,xi</sup>	33,933	27,225	4,219	2,489
30	I-880 North Safety Improvements <sup>xi</sup>	12,300	10,311	1,989	-
31	BART Warm Springs Extension <sup>i</sup>	186,000	162,102	23,898	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	50,806	2,199	11,995
33	Regional Rail Master Plan	6,500	6,062	394	44
34	Integrated Fare Structure Program	1,500	900	600	-
35	Transit Commute Benefits Promotion	5,000	3,366	1,634	-
36	Caldecott Tunnel Improvements <sup>ix</sup>	45,075	42,372	2,703	-
37	BART's Fixed Guideway Rehab	24,000	23,613	387	-
38	Regional Express Lane Network <sup>iii</sup>	4,825	-	4,826	-
39	Modifications in I-80 and San Pablo <sup>iii</sup>	8,000	7,282	718	-
40	Caltrain Electrification <sup>viii,xii</sup>	20,000	-	20,000	-
<b>Total</b>		<b>\$1,515,000</b>	<b>\$1,272,253</b>	<b>\$168,379</b>	<b>\$74,370</b>

<sup>i</sup> Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to

<sup>ii</sup> Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated

<sup>iii</sup> Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
<sup>iv</sup> \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
<sup>v</sup> \$2,000	Program 5: Vallejo Ferry Intermodal Station	Program 17: Regional Express Bus North program
<sup>vi</sup> \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
<sup>vii</sup> \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousands.	Program 24: AC Transit Enhanced Bus program
<sup>viii</sup> \$20,000	Program 11: Greenbrae Interchange Improvement	Program 10: SMART Extension to Larkspur
<sup>ix</sup> \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
<sup>x</sup> \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
<sup>xi</sup> \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
<sup>xii</sup> \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

Shaded projects are completed

Rehab Project Budget

As of February 2017 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance Remaining
6812	Benicia-Martinez Bridge Rehab	2,927	1,869	-	1,058
6813	Carquinez Bridge Rehab	35,080	34,139	-	941
6814	Richmond-San Rafael Bridge Rehab	66,610	52,571	-	14,039
6825	San Francisco-Oakland Bay Bridge Rehab	184,069	152,835	-	31,234
6826	San Mateo-Hayward Bridge Rehab	109,697	67,379	1	42,318
6827	Dumbarton Bridge Rehab	4,792	4,792	-	-
6828	All Bridges Rehab	64,834	58,915	-	5,919
6829	Caltrans Reserve	1,968	4	-	1,964
8030	Completed/Defunded/Transferred Projects	117,302	116,626	-	676
8033	Minor Toll Plaza Rehab Projects	935	935	-	-
8210	New Benicia Bridge *	1,715	503	-	1,212
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,640	5,418	-	1,222
8629	Minor Bridge Rehab Projects	159	45	-	114
8637	Bay Trail Improvements	115	-	-	115
<b>TOTAL CALTRANS REHAB BUDGET</b>		<b>596,997</b>	<b>496,114</b>	<b>1</b>	<b>100,883</b>
8012	All Electronic Tolling Study	703	629	54	20
8528	Bay Lights Maintenance	320	55	105	160
8530	Drainage Studies for the Bridge	500	147	153	200
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebars Repair Review	2,914	2,660	254	-
8540	Regional Transportation Sea Level Rise Asset	2,000	-	-	2,000
8594	SFOBB West Span Pathway PSR	12,300	8,852	2,699	749
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	ETC Regional CSC Development	14,358	11,046	2,941	371
8901	ETC Transponder Procurement	70,931	65,274	3,969	1,688
8902	Future CSC Upgrades/Replacement	20,950	17,210	2,232	1,508
8903	ATCAS Lane Host Upgrades	33,605	31,619	324	1,662
8904	Fastrak Sign & Sign Structure Improvements	29,510	29,336	174	-
8905	Misc. Bridge Improvements	13,909	5,180	852	7,877
8907	Toll Plaza Capital Improvements	18,448	12,613	4,289	1,546
8908	Enterprise Computing HW/SW	4,035	2,598	3	1,434
8909	Gateway Park Planning	30,113	14,954	952	14,207
8912	ETC Transponder Tag Swap	2,137	1,929	208	-
8913	SFOBB Administration Building	25,619	25,220	-	399
8914	Violation Enforcement System Upgrade	7,842	7,841	-	-
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	750	96	-	654
8918	Maintenance Complex	531	444	41	46
8920	Plaza and Canopy Improvements	9,263	8,545	718	-
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	3,575	1,664	43	1,868
8922	Metering Lights Replacement	8,930	449	2,635	5,846
8923	Bridge Records Recordation and Storage	500	55	-	445
8924	Antioch Bridge Approach	50,000	48,164	1,746	90
8926	Bridge Modeling & Investigations	5,801	582	368	4,851
8927	CCTV Installation	6,000	-	-	6,000
8928	BATA Program Contingency	3,259	-	-	3,259
8930	Richmond-San Rafael Bridge Rehab	65,790	10,429	34,481	20,880
8933	Plan Bay Area TMS	9,000	523	5,866	2,611
8934	Temp License Plate System Implementation	500	-	-	500
8935	Communications in Bridge Corridors	2,500	-	-	2,500
8936	Backhaul Connection Infrastructure	1,000	110	712	178
8000-05	Capital Program Audit	8,300	5,978	640	1,682
8000-16	SRA/RM1 Program Monitoring	46,045	44,395	239	1,411
<b>Total BATA REHAB BUDGET</b>		<b>519,849</b>	<b>366,508</b>	<b>66,698</b>	<b>86,642</b>
<b>TOTAL REHAB BUDGET</b>		<b>1,116,846</b>	<b>862,622</b>	<b>66,699</b>	<b>187,525</b>

Shaded projects are completed

\* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

\*\* Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

Seismic Capital Project Budget

As of February 2017 (\$000) - Life to Date

Program	Base Budget	Current Budget****	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,503,200	\$ 6,262,168	\$ 241,032	\$ -
San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
San Francisco-Oakland Bay Bridge West Approach Repl	429,000	459,500	450,383	9,117	-
Antioch Bridge Retrofit	-	71,100	71,093	7	-
Dumbarton Bridge Retrofit	-	112,400	112,307	93	-
Richmond-San Rafael Bridge Retrofit ***	808,100	795,200	794,870 ***	330	-
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
<b>Subtotal for Bay Area Bridges</b>	<b>7,487,100</b>	<b>8,702,164</b>	<b>8,451,571</b>	<b>250,593</b>	<b>-</b>
Misc Program Costs	30,000	30,000	26,024	3,976	-
Program Contingency**	989,000	40,906	-	40,906	-
Vincent Thomas Bridge Retrofit (non-BATA, for information)	58,500	58,510	58,411	99	-
San Diego-Coronado Bridge Retrofit (non BATA, for information)	103,500	103,520	103,235	285	-
<b>Subtotal for Other Bridges</b>	<b>162,000</b>	<b>162,030</b>	<b>161,646</b>	<b>384</b>	<b>-</b>
<b>Total for Toll Bridge Seismic Retrofit Program</b>	<b>\$ 8,668,100</b>	<b>\$ 8,935,100</b>	<b>\$ 8,639,241</b>	<b>\$ 295,859</b>	<b>\$ -</b>

\*Includes pre AB144 LTD expenses from Caltrans to April 2006 3,709,068  
 Bata expenses from May 2006 to current 4,930,173  
8,639,241

\*\* Contingency Allocation

Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	(179,220)
Allocation to Benicia-Martinez 7/07	(30)
Allocation to San Mateo-Hayward 7/07	(10)
Allocation to Vincent Thomas 7/07	(10)
Allocation to San Diego-Coronado 7/07	(20)
Unallocate from Carquinez 7/07	70
Allocation to SFO BB West Approach 3/26/08	(24,700)
Allocation to SFO BB East Span Repl 7/08	(36,290)
Unallocate from Richmond SR 7/08	8,500
Allocations to SFOBB West Approach 12/17/08	(17,000)
Allocation to SFOBB East Span Replacement 12/09	(50,600)
Allocation for Antioch Contingency 1/10	72,000
Allocation for Dumbarton Contingency 1/10	118,000
Allocation to SFOBB East Span Replacement 7/10	(138,390)
Unallocate from SFOBB West Approach 7/10	3,000
Unallocate from Antioch Contingency 7/10	(43,000)
Allocate to SFOBB East Span 9/10	(293,080)
Allocate to SFOBB East Span 3/23/11	(106,200)
Allocate to SFOBB East Span 6/27/12	(14,450)
Allocate to SFOBB West Approach 6/27/12	(1,000)
Allocate to Carquinez 6/27/12	(70)
Unallocate from SFOBB East Span 11/28/12	17,230
Unallocate from SFOBB West Span 11/28/12	2,584
Allocate to SFOBB West Approach 11/28/12	(1,000)
Allocate to Carquinez 11/28/12	(6)
Unallocate from San Mateo-Hayward 11/28/12	98
Unallocate Antioch Bridge 11/28/12	19,000
Unallocate Dumbarton Bridge 11/28/12	300
Allocate to SFOBB East Span 2/27/13	(5,569)
Allocate to Transit Core Capacity Challenge Grant 12/18/13	(130,000)
Allocate to SFOBB East Span 7/1/14	(103,800)
Unallocate Antioch Bridge 7/1/15	10,900
Unallocate Dumbarton Bridge 7/1/15	34,500
Allocate to SFOBB East Span 3/23/16	(58,131)
Allocate to SFOBB East Span 7/1/16	(6,000)
Allocate to SFOBB East Span 10/26/16	(25,700)
Remaining Balance	<u>40,906</u>

Shaded projects are completed

\*\*\*Moved \$16.9 million from Richmond-San Rafael Bridge Retrofit Budget to RM 1.

\*\*\*\*February financial reflects budget update approved on 3/23/16

## AB 1171 Project Budget

As of February 2017 (\$000) - Life to Date

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	78,757	1,243	-
East Contra Costa BART Extension	111,500	111,500	106,727	4,773	-
Transbay Terminal/Downtown Extension:Phase 1	150,000	148,800	146,407	2,393	1,200
Tri-Valley Transit Access Improve. To BART	95,000	11,732	7,982	3,750	83,268
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	5,080	3,920	-
I80/680 Interchange	100,000	100,000	94,586	5,414	-
Other Corridor Improvement	10,200	10,150	10,150	-	50
VTA Mission/Warren/Truck Rail Facility	6,500	6,500	-	6,500	-
BART to Warm Spring Extension	5,000	5,000	4,711	289	-
<b>Total</b>	<b>\$570,000</b>	<b>\$485,482</b>	<b>\$457,200</b>	<b>\$28,282</b>	<b>\$84,518</b>

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$485,482
AB 1171 Program Balance:	\$84,518

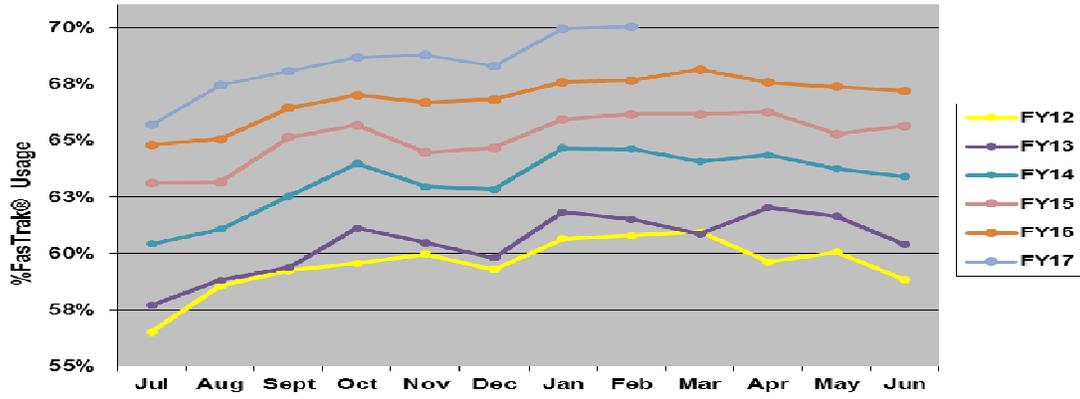
Shaded projects are completed

## Other Capital Projects

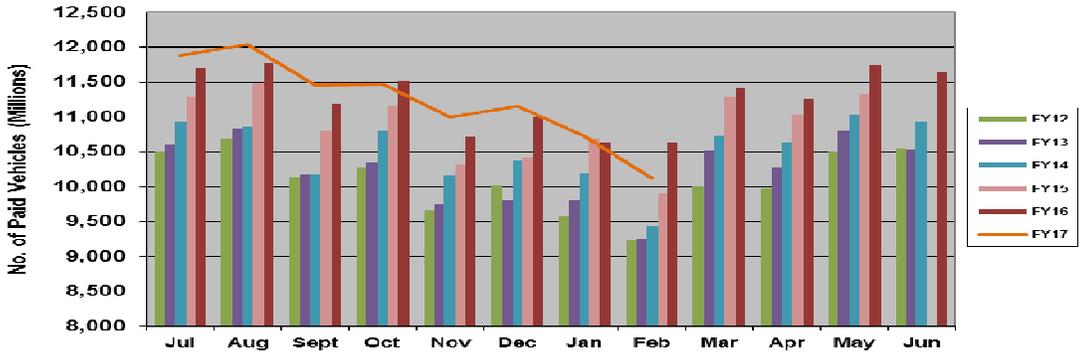
As of February 2017 (\$000) - Life to Date

Project Title		Total Budget	Actual	Encumbrance	Balance Remaining
6840	Program Costs: Planning, Coordination & Management	28,437	13,917	2,223	12,297
6841	Centralized Toll System	33,574	13,455	16,509	3,611
6842	CC-680 Southern Segment Conversion	55,649	37,829	13,590	4,231
6843	Capitalized Start-up O&M	16,000	845	12,048	3,107
6844	ALA-880 Conversion	77,779	27,537	34,566	15,676
6845	CC-680 Northern Segment - Southbound Conversion	32,288	1,124	3,641	27,523
6846	SOL-80 West Conversion	2,852	308	778	1,765
6847	Program Contingency	59,801	-	-	59,801
6848	CC-680 Northern Segment - Southbound HOV Completion	19,000	-	-	19,000
6849	SOL-80 East Express Lane Conversion	16,114	3,433	10,197	2,484
6851	84/Dumbarton Bridge	323	323	-	-
6852	92/San Mateo Bridge	369	369	-	-
849	Express Lanes Total	\$342,186	\$99,140	\$93,551	\$149,495
847 Core Capacity Challenge		250,000	-	24,923	225,077
Grand Total		\$592,186	\$99,140	\$118,474	\$374,572

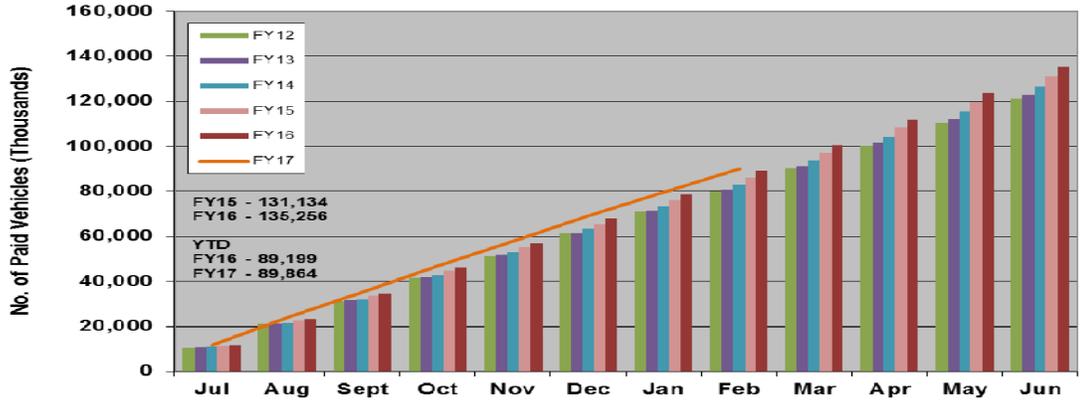
**All Bridges % FasTrak® Usage**



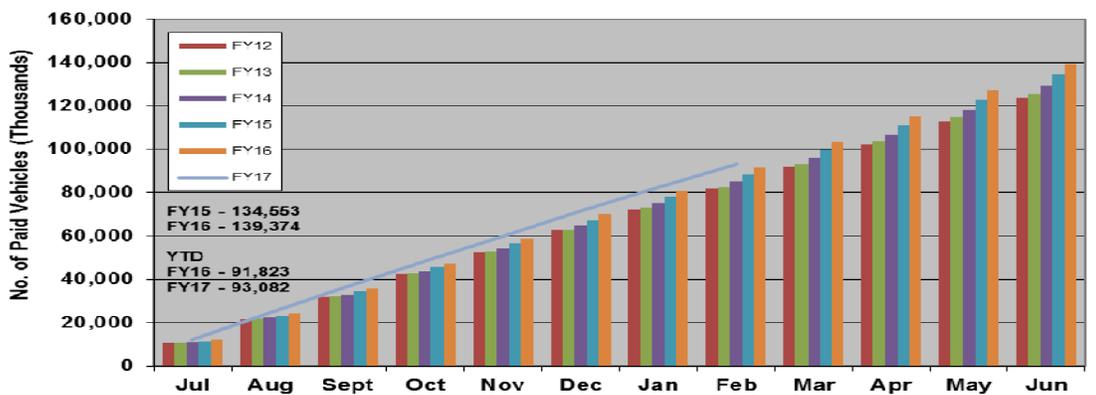
**Total Paid Vehicles  
Total All Bridges**



**Total YTD  
All Bridges - Paid Traffic**



**Total YTD  
All Bridges - Total Traffic**





# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 17-2441      **Version:** 1      **Name:**

**Type:** Contract      **Status:** Consent

**File created:** 3/17/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** Contract Change Order - FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [4c FasTrak CSC Escheatment Support Xerox ContractChangeOrder.pdf](#)

Date	Ver.	Action By	Action	Result
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**Subject:**  
Contract Change Order - FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

**Presenter:**  
Beth Zelinski

**Recommended Action:**  
Committee Approval



BAY AREA TOLL AUTHORITY  
Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## ***Memorandum***

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Deputy, Executive Director

W. I. 1253

RE: Contract Change Order – FasTrak® Regional Customer Service Center: Escheatment Support: Xerox State and Local Solutions, Inc. (\$200,000)

This item would authorize a contract change order with Xerox State and Local Solutions, Inc. (Xerox) to support the escheatment process in an amount not to exceed \$200,000.

### **Background**

Based on a competitive selection, BATA entered into a contract with Xerox on March 27, 2013 for management and operation of the FasTrak® Regional Customer Service Center (CSC). Under the contract, Xerox provides the FasTrak® account management system, transaction processing, call center operations, web services, payment processing, customer communications, violation image review and violation noticing. Xerox is under contract to operate the CSC until November 2019.

Under this contract change order, the CSC will support the escheatment process according to policies and procedures developed by BATA staff, consistent with state law. Prior to escheating funds, BATA, through the CSC, will publish a notice in the newspaper that uncashed refund checks that have been unclaimed for three years or longer will become the property of BATA if the payee on the check fails to submit a claim to the CSC by a date certain. The newspaper publication will refer potential claimants to where they may find a list of payees, with the dates and amounts of each uncashed check. Lists will be posted on the FasTrak® website and at the Bay Area Metro Center and the toll plazas of the seven state-owned bridges. If the payee does not claim his or her property, the funds may revert to BATA.

FasTrak® unclaimed property results from uncashed checks from accounts that were automatically closed due to inactivity and refunded or from violation payments that were refunded in part or in full due to overpayment of fees, duplicate payments, or other reasons. In addition to the newspaper publication described above, the CSC will issue letters on a semi-annual basis to those customers who have been issued refund checks valued at \$50 or more that have newly remained unclaimed for a period of six months. The letters will remind the customers to cash their checks, or if their checks are no longer valid or were never received, to contact the CSC. The escheatment process will begin in April with the first round of notifications to those with unclaimed property from 2013 or earlier. (The total amount of unclaimed property from 2013 and earlier is approximately \$1.5 million for 61,570 unclaimed refund checks.) After that, escheatment notices will be published in the newspaper on an annual basis.

The CSC will further support the escheatment process by updating the FasTrak® website with forms and FAQs, processing claim forms submitted by customers, reissuing refund checks and updating system financial processes and reports. This work is included in this change order.

Attachment A includes a summary of Xerox and its project team's small business and disadvantaged business enterprise status.

**Recommendation**

Staff recommends that this Committee authorize the Executive Director or his designee to negotiate and enter into a contract change order with Xerox in an amount not to exceed \$200,000 to support the escheatment process.

  
\_\_\_\_\_  
Steve Heminger

SH:bz  
Attachment

**Attachment A**  
**Small Business and Disadvantaged Business Enterprise Status**

		DBE* Firm			SBE** Firm			
Firm Name		Role on Project	Yes	DBE #	No	Yes	SBE #	No
Prime Contractor	Xerox	System Development and Operations			X			X
Subcontractor	Atos	Network Management			X			X

\*Denotes certification by the California Unified Certification Program (CUCP).

\*\*Denotes certification by the State of California.

REQUEST FOR COMMITTEE APPROVAL

Summary of Proposed Contract Change Order

Work Item No.: 1253

Vendor: Xerox State and Local Solution, Inc. (Xerox),  
San Francisco, CA

Work Project Title: FasTrak<sup>®</sup> Regional Customer Service Center (CSC)

Purpose of Project: Operations services to support escheatment.

Brief Scope of Work: Provide notifications, claim processing, website and system updates.

Project Cost Not to Exceed: This Change Order: \$200,000  
Current contract amount before this Change Order: \$130,399,959  
Maximum contract amount after this Change Order: \$130,599,959

Funding Source: Toll Bridge Rehabilitation Program Funds

Fiscal Impact: Funds are included in the FY 2016-17 Toll Bridge Rehabilitation Program Budget.

Motion by Committee: That the Executive Director or his designee is authorized to negotiate and enter into a contract change order with Xerox for services as described above and in the Executive Director's Memorandum dated April 5, 2017, and the Chief Financial Officer is directed to set aside funds in the amount of \$200,000 for such contract change order.

BATA Oversight Committee:

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Amy Worth, Chair

Approved: April 12, 2016



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 17-2444      **Version:** 1      **Name:**

**Type:** Contract      **Status:** Committee Approval

**File created:** 3/22/2017      **In control:** Bay Area Toll Authority Oversight Committee

**On agenda:** 4/12/2017      **Final action:**

**Title:** Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [5a B-of-A ContractAmendment.pdf](#)

Date	Ver.	Action By	Action	Result
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**Subject:**

Contract Amendment - Credit Card Processing and Banking Services for the FasTrak® Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

*A request to extend the time period and contract encumbrance for BATA's contract with Bank of America Merchant Services for credit card processing and banking services.*

**Presenter:**

Brian Mayhew

**Recommended Action:**

Committee Approval



BAY AREA TOLL AUTHORITY  
Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB www.mtc.ca.gov

## *Memorandum*

TO: BATA Oversight Committee

DATE: April 5, 2017

FR: Executive Director

WI: 1254

RE: Contract Amendment – Credit Card Processing and Banking Services for the FasTrak<sup>®</sup> Electronic Toll Collection Program: Bank of America Merchant Services (\$13,000,000)

This item would authorize the Executive Director or his designee to extend the time period and contract encumbrance of the current contract with Bank of America Merchant Services for credit card processing and banking services an additional two years through FY 2018-19, subject to the approval of future BATA budgets.

### **Background**

In April 2012, this Committee authorized a five-year master contract with a two-year extension option with Bank of America Merchant Services to process credit card payments and provide banking services for the FasTrak<sup>®</sup> program. The contract covers payments to participating credit card companies, including American Express, Visa, Mastercard<sup>®</sup>, and Discover, in addition to Bank of America Merchant Services. The current contract encumbrance for credit card processing and banking services and fees is \$13,000,000 annually. This request is to utilize the two-year extension option approved with the original contract and to extend the current level of contract encumbrance.

### **Recommendation**

Staff recommends that the Committee authorize the Executive Director or his designee to extend the contract with Bank of America Merchant Services for credit card processing and banking services an additional two years through FY 2018-19, at the current contract encumbrance of \$13,000,000 annually, subject to the approval of future BATA budgets.

A blue ink signature of Steve Heminger, consisting of stylized initials and a surname, is written over a horizontal line.

Steve Heminger

SH:bm

REQUEST FOR COMMITTEE APPROVAL  
Summary of Proposed Contract Amendment

Work Item No.: 1254

Consultant: Bank of America Merchant Services, Spokane Washington

Work Project Title: Credit Card Processing Services for Electronic Toll Collection (ETC)

Purpose of Project: To provide banking and credit card processing services

Brief Scope of Work: To provide banking and credit card processing services for the ETC program for the combined FasTrak<sup>®</sup> Regional Customer Service Center operations for the state-owned bridges, the Golden Gate Bridge, Bay Area Express Lanes, and for parking transactions at the San Francisco International Airport garages.

Project Cost Not to Exceed: \$13,000,000 annually for FY 2017-18 through FY 2018-19.

Funding Source: BATA Toll Bridge Program Operating Budget

Fiscal Impact: Funds are subject to the approval of future BATA budgets.

Motion by Committee: That the Executive Director or his designee is authorized to extend the contract with Bank of America Merchant Services for an additional two years, as described above and in the Executive Director's memorandum dated April 5, 2017, and that the Chief Financial Officer is authorized to set aside an annual encumbrance of \$13,000,000 for FY 2017-18 through FY 2018-19 for the contract, subject to the approval of future BATA budgets.

BATA Oversight Committee:

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Amy Worth, Chair

Approved: April 12, 2017