



# Metropolitan Transportation Commission

Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105

## Meeting Agenda

### Metropolitan Transportation Commission

*Dave Cortese, Chair Jake Mackenzie, Vice Chair*

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Wednesday, October 26, 2016

10:20 AM

Board Room - 1st Floor

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This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 10:20 a.m. or immediately following the 10:15 a.m. BATA meeting.

#### 1. Roll Call / Confirm Quorum

#### 2. Chair's Report – Cortese

- 2a. [15-1988](#) MTC Resolution No. 4248 - Resolution of Appreciation to Betty Cecchini on the occasion of her retirement from MTC after 30 years of service.

Action: Commission Approval

#### 3. Policy Advisory Council Report – Randi Kinman

#### 4. Executive Director's Report – Heminger

#### 5. Commissioner Comments

#### 6. Consent Calendar:

- 6a. [15-1971](#) Minutes - September 28, 2016.

Action: Commission Approval

Attachments: 6a\_Minutes Commission 9.28.16

#### ***Programming and Allocations Committee***

- 6b. [15-1932](#) MTC Resolution Nos. 3880, Revised, and 3881, Revised. Revisions to the Lifeline Transportation Cycle 2 Program of Projects and the Proposition 1B - Regional Transit Program.

Action: Commission Approval

Attachments: [6b PAC-2c Reso-3880-3881 Lifeline Prop 1B](#)  
[2c Reso-3880-3881 Lifeline Prop 1B.pdf](#)

- 6c. [15-1933](#) MTC Resolution Nos. 4228, Revised and 4229, Revised. Revise the FY 2016-17 RM2 Operating Program to add funds for WETA and revise programming in the Richmond Bridge corridor. Allocate \$1.2 million in FY 2016-17 RM2 Operating funds to WETA.

**Action:** Commission Approval

**Attachments:** [6c PAC-2d Reso-4228-4229 WETA Allocation](#)  
[2d Reso-4228-4229 WETA Allocation.pdf](#)

- 6d. [15-1931](#) MTC Resolution No. 4230, Revised. Allocation of \$4.6 million in FY2016-17 Transportation Development Act (TDA) funds to support transit operations and capital projects by Fairfield and Suisun Transit (FAST).

**Action:** Commission Approval

**Attachments:** [6d PAC-2e Reso-4230 FAST Allocation](#)  
[2e Reso-4230 FAST Allocation.pdf](#)

- 6e. [15-1945](#) MTC Resolution No. 4202, Revised. Revision to the One Bay Area Grant Program (OBAG 2) Project Selection Criteria and Programming Policy to clarify provisions pertaining to the North Bay's Priority Conservation Area (PCA) program and reprogramming of funds within the Bay Bridge Forward Initiative.

**Action:** Commission Approval

**Attachments:** [6e PAC-2g Reso-4202 OBAG2](#)  
[2g Reso-4202 OBAG2.pdf](#)

- 6f. [15-1946](#) Proposed Agreement with Tehama County Transportation Commission for Exchange of Federal Apportionments. A request to authorize the Executive Director to sign a Letter of Understanding with the Tehama County Transportation Commission (Tehama CTC) to exchange \$1 million in Surface Transportation Block Grant Program (STP) funds with an equal amount of Congestion Mitigation Air Quality Improvement Program (CMAQ) funds.

**Action:** Commission Approval

**Attachments:** [6f PAC-2f TehamaCounty Fund Exchange](#)  
[2f TehamaCounty Fund Exchange .pdf](#)

### **Legislation Committee**

- 6g. [15-1908](#) MTC Resolution No. 3931, Revised - Policy Advisory Council  
Appointments

Appointments to Policy Advisory Council.

**Action:** Commission Approval

**Attachments:** [6g LEG-2c MTC Resolution No. 3931 Revised](#)  
[2c MTC Resolution No. 3931 Revised](#)

### **Committee Reports**

#### **7. Programming and Allocations Committee – Wiener**

- 7a. [15-1936](#) MTC Resolution No. 4220, Revised. FY 2016-17 Fund Estimate  
Revision.

Revises the FY 2016-17 Fund Estimate distribution of State Transit Assistance (STA) to reflect the latest distribution factors released by the State Controller's Office and actual STA receipts for FY 2015-16.

**Action:** Commission Approval

**Attachments:** [7a PAC-3a Reso-4220 FY2016-17 Fund Estimate Revision](#)  
[3a Reso-4220 FY2016-17 Fund Estimate Revision.pdf](#)

#### **8. Other Business / Public Comment**

#### **9. Adjournment / Next Meeting:**

The next meeting of the Commission will be held on Wednesday, November 16, 2016 at 1:35 p.m. in the Bay Area Metro Center, Board Room, 375 Beale Street, San Francisco, CA 94105

**Public Comment:** The public is encouraged to comment on agenda items at Commission meetings by completing a request-to-speak card (available from staff) and passing it to the Commission secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

**Meeting Conduct:** If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Commission may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

**Record of Meeting:** Commission meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site ([mtc.ca.gov](http://mtc.ca.gov)) for public review for at least one year.

**Accessibility and Title VI:** MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

**可及性和法令第六章:** MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

**Acceso y el Título VI:** La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

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Attachments are sent to Commission members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Commission. Actions recommended by staff are subject to change by the Commission.



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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**File #:** 15-1988      **Version:** 1      **Name:**

**Type:** Report      **Status:** Commission Approval

**File created:** 9/30/2016      **In control:** Metropolitan Transportation Commission

**On agenda:** 10/26/2016      **Final action:**

**Title:** MTC Resolution No. 4248 - Resolution of Appreciation to Betty Cecchini on the occasion of her retirement from MTC after 30 years of service.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:**

Date	Ver.	Action By	Action	Result
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**Subject:**  
MTC Resolution No. 4248 - Resolution of Appreciation to Betty Cecchini on the occasion of her retirement from MTC after 30 years of service.

**Recommended Action:**  
Commission Approval

### Attachments



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1932      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Consent  
**File created:** 9/9/2016      **In control:** Programming and Allocations Committee  
**On agenda:** 10/12/2016      **Final action:**  
**Title:** MTC Resolution Nos. 3880, Revised, and 3881, Revised. Revisions to the Lifeline Transportation Cycle 2 Program of Projects and the Proposition 1B - Regional Transit Program.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6b PAC-2c Reso-3880-3881 Lifeline Prop 1B](#)  
[2c Reso-3880-3881 Lifeline Prop 1B.pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution Nos. 3880, Revised, and 3881, Revised. Revisions to the Lifeline Transportation Cycle 2 Program of Projects and the Proposition 1B - Regional Transit Program.

**Presenter:**

Christine Maley-Grubl

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Commission Agenda Item 6b

## MTC Resolution Nos. 3880, Revised, and 3881, Revised

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**Subject:** Revisions to the Lifeline Transportation Cycle 2 Program of Projects and the Proposition 1B – Regional Transit Program.

**Background:** MTC's Lifeline Transportation Program funds projects that improve mobility for the region's low-income communities. The program is administered by the nine county congestion management agencies (CMAs), and in Santa Clara County via a joint arrangement between the CMA and the County.

The following revisions are proposed with this item:

- 1) Redirect \$13,164 in Proposition 1B Funding for projects in San Francisco County under the Lifeline Cycle 2 Program.** The San Francisco Municipal Transportation Agency (SFMTA) is requesting that \$13,164 in project cost savings from the Hunter's View Revitalization Transit Stop Connection be reprogrammed to the Mission Bay Loop project, which is also an existing Lifeline Cycle 2 Project. Both projects are being completed as originally scoped. The additional \$13,164 would fund unanticipated project costs associated with relocating a sewer line adjacent to the track on the Mission Bay Loop project. The San Francisco County Transportation Authority (SFCTA) concurs with this program modification.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 3880 Revised and 3881, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 3880, Revised and 3881, Revised

Date: December 17, 2008  
W.I.: 1515  
Referred by: PAC  
Revised: 04/22/09-C 11/18/09-C 02/24/10-C  
04/28/10-C 02/23/11-C 05/25/11-C  
06/22/11-C 10/26/11-ED 01/25/12-ED  
02/22/12-C 05/23/12-C 06/27/12-C  
10/24/12-C 12/19/12-C 02/27/13-C  
12/18/13-C 07/23/14-C 12/17/14-C  
03/25/15-C 04/22/15-C 05/27/15-ED  
06/24/15-ED 09/23/15-C 10/28/15-ED  
03/23/16-C 06/22/16-C 10/26/16-C

### ABSTRACT

#### Resolution No. 3880, Revised

This resolution adopts priorities for the Proposition 1B – Regional Transit Program for the San Francisco Bay Area.

Attachment A Proposition 1B Transit Population-based Funds Project List

Attachment B Allocation Principles for Proposition 1B Transit Population-based Funds

Attachment A of this resolution was amended on April 22, 2009 to include requests for allocations in FY2008-09 – Round Two.

Attachment A of this resolution was amended on November 18, 2009 to include requests for allocations for remaining FY2007-08 and FY2008-09 funds.

Attachment A of this resolution was amended on February 24, 2010 to include requests for allocations for FY2009-10 – Round One, and to establish a pro rata distribution formula for the \$2.6 million in available bond proceeds for remaining FY2007-08 and FY2008-09 allocation requests.

Attachment A of this resolution was amended on April 28, 2010 to include requests for allocations for FY2009-10 – Round Two.

Attachment A of this resolution was amended on February 23, 2011 to include requests for allocations for FY2010-11 – Round One.

Attachment A of this resolution was amended through Commission action on May 25, 2011 to include requests for allocations in FY2009-10 and FY010-11.



Attachment A of this resolution was amended through Commission action on June 22, 2011 to include a request for allocation of \$17.5 million for the BART Fixed Guideway Project in FY 2010-11 as part of a funding exchange between AC Transit, BART, and SFMTA's Central Subway urban core project.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 26, 2011 to move population-based, PTMISEA funds from CCCTA's Diablo Valley Transit Center project, which MTC approved in 2007 and has since been completed, to the following rolling stock replacement projects: \$305,146 to the Bus Replacement Program in FY2008-09; and \$278,948 to the Van Replacement Program in FY2009-10.

Attachment A of this resolution was amended through Executive Director Administrative Authority on January 25, 2012 to reprogram \$675,734 in population-based, PTMISEA funds for SFMTA's Persia Triangle Improvements from FY2008-09 to FY2009-10.

Attachment A of this resolution was amended through Commission action on February 22, 2012 to update the project title for BART's request for \$17.5 million in FY2010-11 population-based, PTMISEA funds. The project title was changed from Fixed Guideway Project to Train Control Switch Machine Replacement.

Attachment A of this resolution was amended through Commission action on May 23, 2012 to include requests for allocations for FY2010-11 funds available through Cycle 3 of the Lifeline Transportation Program. Footnotes were also added to clarify allocation years for specific projects.

Attachment A of this resolution was amended through Commission action on June 27, 2012 to include requests for allocations for FY2010-11 funds available through Cycle 3 of the Lifeline Transportation Program for SFMTA and VTA. Additionally, Attachment A was amended to include a request for \$397,194 in FY2010-11 funds for a Union City project for Replacement CNG Buses.

Attachment A of this resolution was amended through Commission action on October 24, 2012 to reflect programming changes to projects, which include the BART's Earthquake Safety Program and Oakland Airport Connector; AC Transit's Bus Purchase and Procurement projects; CCCTA's Bus Stop Access and Amenity Improvements and Bus Purchase projects; and SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements and Central Subway projects.

Attachment A of this resolution was amended through Commission action on December 19, 2012 to reflect programming changes for FY2007-08 and FY2010-11 funds available through Cycles 2 and 3 of the Lifeline Transportation Program for SFMTA and BART.

Attachment A of this resolution was amended through Commission action on February 27, 2013 to reflect the programming of FY2010-11 funds for SolTrans.

Attachment A of this resolution was amended through Commission action on December 18, 2013 to reflect Third Cycle Lifeline Program revisions for FY2010-11 funds for AC Transit.

Attachment A of this resolution was amended through Commission action on July 23, 2014 to reflect the programming of FY2014-15 funds for multiple operators as well as scope revisions to previous allocations.

Attachment A of this resolution was amended through Commission action on December 17, 2014 to reflect the programming of FY2014-15 funds for LAVTA.

Attachment A of this resolution was amended through Commission action on March 25, 2015 to include requests for allocations for FY2014-15 funds available through Cycle 4 of the Lifeline Transportation Program for AC Transit, NCTPA and CCCTA.

Attachment A of this resolution was amended through Commission action on April 22, 2015 to include requests for allocations for the remaining FY2014-15 funds available through Cycle 4 of the Lifeline Transportation Program.

Attachment A of this resolution was amended through Executive Director Administrative Authority on May 27, 2015 to include an allocation request of \$182,870 in population-based, PTMISEA funds for GGBHTD.

Attachment A of this resolution was amended through Executive Director Administrative Authority on June 24, 2015 to include an allocation of \$8,421 in population-based Lifeline funds to the City of Dixon for the purchase of a replacement transit vehicle, and to reduce the SolTrans population-Based Lifeline allocation by \$8,421.

Attachment A of this resolution was amended through Commission action on September 23, 2015 to include a \$1.17 million allocation request for BART Ticket Vending Machines that dispense Clipper cards. This allocation was available through residual FY2008-09 and FY2009-10 funding.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 28, 2015 to add a footnote to SFMTA's FY2014-15 Van Ness BRT project to document a Corrective Action Plan that was requested by Caltrans staff and the State Controller's Office to correct a previous error made by the state.

Attachment A of this resolution was amended through Commission action on March 23, 2016 to redirect \$213,647 in Lifeline funds for the Napa Valley Transportation Authority (NVTA) from the Napa Valley College Northbound Shelter project to the VINE Transit CAD/AVL project, and to redirect \$451,324 in Lifeline funds for SamTrans from a bus purchase to the San Carlos Transit Center project.

Attachment A of this resolution was amended through Commission action on June 22, 2016 to reflect programming changes in the Lifeline Transportation Program Cycles 2 and 3 for SFMTA and WestCAT. SFMTA is transferring \$100,510 in cost savings from SFMTA's Randolph/ Farallones/ Orizaba Transit Access Improvements project to the Mission Bay Loop project. WestCAT is canceling the Purchase and Installation of Bus Shelters project and is reprogramming the Proposition 1B funds (\$147,335) to the Dial-A-Ride Replacement Vehicles project (an existing Lifeline Cycle 4 project).

Attachment A of this resolution was amended through Commission action on October 26, 2016 to reflect programming changes in the Lifeline Transportation Program Cycle 2 for SFMTA; to transfer \$13,164 in cost savings from SFMTA's Hunter's View Revitalization Transit Stop Connection project to the Mission Bay Loop project.

Further discussion of these actions are contained in the MTC Executive Director's Memorandum dated December 10, 2008 and the PAC summary sheets dated April 8, 2009, November 4, 2009, February 10, 2010, April 14, 2010, February 9, 2011, May 11, 2011, June 8, 2011, February 8, 2012, May 9, 2012, June 13, 2012, October 10, 2012, December 12, 2012, February 13, 2013, December 11, 2013, July 9, 2014, December 10, 2014, March 11, 2015, April 8, 2015, September 9, 2015, March 9, 2016, June 8, 2016 and October 12, 2016.

Date: December 17, 2008  
W.I.: 1515  
Referred by: PAC

RE: Proposition 1B Transit Population-based Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3880

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has adopted, pursuant to Government Code Sections 66508 and 65080, a Regional Transportation Plan (RTP); and

WHEREAS, Senate Bill 1266 (Statutes 2006, Chapter 25) establishes the Public Transportation Modernization, Improvement, and Service Enhancement Account as part of the Highway, Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 (Government Code 8879.20 *et seq.*) ; and

WHEREAS, MTC is the recipient of the population-based funding in the Public Transportation Modernization, Improvement, and Service Enhancement Account and State Transit Assistance (STA) funds pursuant to Public Utilities Code Section 99313 and 99314; and

WHEREAS, MTC is the recipient of the population-based State Transit Assistance (STA) funds pursuant to Public Utilities Code Section 99312; and

WHEREAS, MTC has adopted Resolution 3814, a Programming Framework for the Proposition 1B Regional Transit Funding Program, including additional STA base and Proposition 42 funding estimated to be available between FY2008-09 and FY2017-18 after meeting existing commitments; and

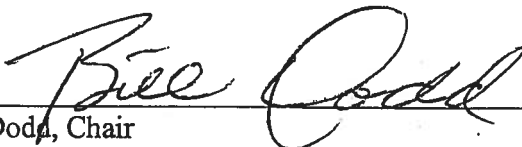
WHEREAS, staff has prepared a Proposition 1B – Transit population-based funding allocation request list, Attachment A, for submittal to Caltrans and based on the programming framework established in Resolution 3814, said attachment attached hereto and incorporated herein as though set forth at length; and now, therefore, be it

RESOLVED, that MTC adopts Proposition 1B Transit Population-based Funds, attached hereto as Attachment A, and finds it consistent with the RTP; and, be it further

RESOLVED, that the Executive Director is authorized to make changes to Attachments A and B, including revisions to existing allocation requests up to \$1,000,000, and authorize new allocations up to \$500,000 to conform to sponsor requests; and Caltrans and State Controller's actions; and, be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required to Caltrans and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on December 17, 2008.

		Category				
Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
FY2007-08 Allocations						
MTC	TransLink® *	2,420,000			2,420,000	12/19/07
MTC	Regional Transit Connectivity*	9,858,000			9,858,000	12/19/07
BART	BART Earthquake Safety Program		11,000,000		11,000,000	12/19/07, 7/22/09
BART	BART Earthquake Safety Program		199,000		199,000	09/26/12
CCCTA	Diablo Valley Transit Center			1,089,177	1,089,177	12/19/07
CCCTA	Diablo Valley Transit Center			(584,094)	(584,094)	10/26/11
CCCTA	Bus Purchase			305,146	305,146	10/26/11
CCCTA	Bus Purchase**			278,948	278,948	10/26/11
ECCTA	Bus Purchase			607,111	607,111	12/19/07
Fairfield/Suisun Transit	Bus Purchase			400,000	400,000	12/19/07
GGBHTD	Maintenance Facility Improvements			414,019	414,019	12/19/07
LAVTA	Bus Rapid Transit - Route 10			429,294	429,294	12/19/07
Marin Transit	Shuttle Vehicles			151,610	151,610	12/19/07
NCTPA	Bus Purchase			300,170	300,170	12/19/07
VTA	Line 522/523 Bus Rapid Transit		9,726,977		9,726,977	12/19/07
Santa Rosa Citybus	Bus Purchase			501,869	501,869	12/19/07
Sonoma County Transit	Bus Purchase			569,657	569,657	12/19/07
Union City	Bus Purchase			158,878	158,878	12/19/07
Vacaville City Coach	Bus Purchase			240,000	240,000	12/19/07
Vallejo Transit	Bus Purchase			304,082	304,082	12/19/07
WestCat	Bus Purchase			150,701	150,701	12/19/07
AC Transit	Bus Purchase	2,998,588			2,998,588	04/23/08
AC Transit	Bus Purchase	(1,276,730)			(1,276,730)	09/26/12
AC Transit	Bus Procurement	1,276,730			1,276,730	09/26/12
BART	Ashby BART Station Elevator	2,000,000			2,000,000	04/23/08
BART	Ashby BART Station Elevator	(270,954)			(270,954)	12/19/12
LAVTA	LAVTA Bus Shelters	100,000			100,000	04/23/08
BART	Oakland Airport Connector		13,000,000		13,000,000	07/22/09
BART	Oakland Airport Connector		(199,000)		(199,000)	09/26/12
BART	MacArthur Transit Village Plaza Improvements	270,954			270,954	12/19/12
	FY2007-08 Subtotal	17,376,588	33,726,977	5,316,568	56,420,133	

\*The Commission adopted the above projects as part of Resolution 3834, which was last revised on July 22, 2009. Projects are listed here for informational purposes.

\*Allocations to MTC Regional Programs increase Lifeline Program access to STA flexible funds - up to \$32 million will be exchanged per Resolution 3814.

\*\*Funds for CCCTA requested to reprogram funds from cost savings on the Diablo Valley Transit Center project to a FY2009-10 Bus Purchase, however the \$278,948 is from FY2007-08.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2008-09 Allocations</b>						
BART	BART Pittsburg/Bay Point Station Improvements	320,000			320,000	12/17/08
BART	eBART		3,999,373		3,999,373	12/17/08
BART	Intermodal Access Improvements at West County BART Stations	482,251			482,251	12/17/08
BART	BART Balboa Park Station Westside Entrance and Walkway	1,153,610			1,153,610	12/17/08
CCCTA	Bus Stop Access and Amenity Improvements - Martinez	100,000			100,000	12/17/08
CCCTA	Bus Stop Access and Amenity Improvements - Martinez	(32,885)			(32,885)	09/26/12
CCCTA	Bus Purchase	844,805		613,564	1,458,369	12/17/08
CCCTA	Bus Purchase	32,885			32,885	09/26/12
Dixon	Bus Purchase	75,000			75,000	12/17/08
ECCCTA	Bus Purchase			342,003	342,003	12/17/08
ECCCTA	Bus Shelters and Amenities for Communities of Concern	200,000			200,000	12/17/08
Fairfield	Bus Stop Improvements	300,000			300,000	12/17/08
Fairfield	DART Paratransit Replacement Vehicles	41,600			41,600	12/17/08
Fairfield	Vacaville Bus Shelters	109,800			109,800	12/17/08
GGBHTD	Ferry Terminal Public Restroom Facilities Rehabilitation			318,635	318,635	12/17/08
Healdsburg Transit	Bus Purchase	49,000			49,000	12/17/08
LAVTA	Route 10 Rapid Bus (BRT) Project			241,834	241,834	12/17/08
Marin Transit	Canal Neighborhood Transit Improvements	435,638			435,638	12/17/08
NCTPA	Bus Purchase	274,290		169,094	443,384	12/17/08
Santa Rosa CityBus	Bus Purchase	483,744		131,237	614,981	12/17/08
SFMTA	Central Subway		15,000,000		15,000,000	12/17/08
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	480,000			480,000	12/17/08
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	(395,000)			(395,000)	09/26/12
SFMTA	SFMTA Persia Triangle Improvements	802,734			802,734	12/17/08
SFMTA	SFMTA Persia Triangle Improvements	(675,728)			(675,728)	01/25/12
SMCTD	East Palo Alto Bus Stop Improvements	72,000			72,000	12/17/08
SMCTD	Van Purchase for Shelter Network	28,000			28,000	12/17/08
Sonoma County	Bus Purchase	483,744			483,744	12/17/08
Union City	Bus Purchase			89,500	89,500	12/17/08
Vallejo	Install Bus Shelters and Stops	361,010			361,010	12/17/08
WestCat	Bus Purchase	69,785			69,785	12/17/08
WestCat	Bus Purchase			84,894	84,894	12/17/08
Petaluma	Bus Purchase			138,021	138,021	04/22/09
SMCTD	Bus Purchase	900,000			900,000	04/22/09
Sonoma County	Bus Purchase			319,596	319,596	04/22/09
Vallejo	Bus Purchase			531,829	531,829	04/22/09
VTA	Hybrid Bus Replacements	2,310,367			2,310,367	11/18/09

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2008-09 Allocations</b>						
SFMTA	Central Subway **		1,070,728		1,070,728	11/18/09
BART	BART to Warm Springs **		1,336,440		1,336,440	11/18/09
Healdsburg Transit	Bus Purchase			14,767	14,767	11/18/09
<b>FY2008-09 Subtotal</b>		<b>9,306,650</b>	<b>21,406,541</b>	<b>2,994,974</b>	<b>33,708,165</b>	

\*\*FY2008-09 funds were advanced to these Urban Core projects from the Lifeline category, and the funds returned to Lifeline in FY2009-10. Central Subway's \$1.07 million advance is from Randolph/Farallones/Orizaba Transit Access (\$395,000) and Persia Triangle Improvements (\$675,728). The Warm Springs advance from the Lifeline category had not been assigned to a project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2009-10 Allocations</b>						
AC Transit	Bus Procurement	458,241			458,241	02/24/10
Alameda County	Meekland Avenue Transit Access Improvements	2,500,000			2,500,000	02/24/10
Alameda County	Hacienda Avenue Transit Access Improvements	160,181			160,181	02/24/10
BART	Environmental Justice Access to Berkeley/North Berkeley BART	224,749			224,749	02/24/10
BART	Richmond Station Improvements	262,549			262,549	02/24/10
BART	Hillcrest Park-and-Ride Lot Improvements	595,328			595,328	02/24/10
BART	Warm Springs Extension		8,338,275		8,338,275	02/24/10
CCCTA	Bus Purchase			616,288	616,288	02/24/10
Fairfield	Bus Stop Improvements	119,088			119,088	02/24/10
Fairfield	Downtown Suisun City/Fairfield Transportation Flex Shuttle	60,000			60,000	02/24/10
GGBHTD	Marin City Transit Hub	75,119			75,119	02/24/10
GGBHTD	Canal Neighborhood Transit Improvements	209,162			209,162	02/24/10
GGBHTD	Larkspur Ferry Channel and Berth Dredging*			320,049	320,049	02/24/10
LAVTA	Bus Engine Rehabilitation			242,907	242,907	02/24/10
NCTPA	Bus Stop Improvements	178,992			178,992	02/24/10
NCTPA	Replacement of Paratransit Vehicles			169,845	169,845	02/24/10
Petaluma	Bus Purchase			132,187	132,187	02/24/10
Santa Rosa CityBus	Hybrid Bus Purchase	331,662		139,861	471,523	02/24/10
SFMTA	Central Subway**		8,338,268		8,338,268	02/24/10
Sonoma County Transit	Replacement CNG Bus Purchase	331,661		319,581	651,242	02/24/10
Union City	Bus Purchase			89,898	89,898	02/24/10
Vallejo	Bus Shelters and Stops	400,004			400,004	02/24/10
WestCat	Real Time Signage Installation			85,271	85,271	02/24/10
SFMTA	Balboa Park Station Improvements	270,819			270,819	04/28/10
SFMTA	Hunter's View Accessibility to Transit Improvements	510,160			510,160	04/28/10
SMCTD	Bus Stop Improvements	196,867			196,867	04/28/10
SMCTD	San Bruno Bus Stop Improvements	201,600			201,600	04/28/10
SMCTD	San Bruno Belle Air Transit Circulation Improvements	151,251			151,251	04/28/10
SMCTD	Bus Purchase - San Bruno	100,000			100,000	04/28/10



Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2009-10 Allocations</b>						
SMCTD	Daly City Bus Stop Improvements	187,181			187,181	04/28/10
SMCTD	Bus Purchase - Pacifica	56,221			56,221	04/28/10
VTA	Paratransit Vehicle and Equipment Purchase	3,475,650			3,475,650	04/28/10
ECCCTA	30 Bus Replacement			343,521	343,521	05/25/11
SFMTA	Central Subway**		216,000		216,000	05/25/11
SFMTA	Balboa Park Station Eastside Connections	592,891			592,891	05/25/11
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	395,000			395,000	05/25/11
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements ***	(100,510)			(100,510)	06/22/16
SFMTA	Mission Bay Loop ***	100,510			100,510	06/22/16
SFMTA	Hunter's View Accessibility to Transit Improvements****	(13,164)			(13,164)	10/26/16
SFMTA	Mission Bay Loop ****	13,164			13,164	10/26/16
Sonoma County Transit	Replacement Bus Purchase			14,672	14,672	05/25/11
Vallejo	Intercity Bus Replacement			534,190	534,190	05/25/11
SFMTA	SFMTA Persia Triangle Improvements	675,734			675,734	01/25/12
<b>FY2009-10 Subtotal</b>		<b>12,720,110</b>	<b>16,892,543</b>	<b>3,008,270</b>	<b>32,620,923</b>	

\*GGBHTD's Larkspur Ferry Channel and Berth Dredging project was replaced by the Refurbishment of the MS San Francisco project on 2/23/11 and is listed below in the FY2010-11 Allocation section.

\*\* In Lifeline Cycle 2, FY2009-10 funds totaling \$216,000 were advanced to the Urban Core category from the Lifeline category. To meet the Lifeline commitment, in June 2015, \$216,000 in savings from SFMTA's 8X Mobility Maximization Lifeline project were transferred to the Potrero Hill Pedestrian Safety and Transit Stop Improvements Lifeline project via a Corrective Action Plan.

\*\*\* On 6/22/2016, \$100,510 in cost savings was transferred from SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements project to the Mission Bay Loop project.

\*\*\*\*On 10/26/16 \$13,164 in cost savings was transferred from SFMTA's Hunter's View Accessibility to Transit Improvements project to the Mission Bay Loop project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2010-11 Allocations</b>						
BART	BART Warm Springs Extension		6,987,098		6,987,098	02/23/11
BART	eBART		12,662,433		12,662,433	02/23/11
CCCTA	Rolling Stock Replacement			1,463,184	1,463,184	02/23/11
CCCTA	Facility Rehabilitation			1,259,757	1,259,757	02/23/11
GGBHTD	Refurbishment of the MS San Francisco*			320,049	320,049	02/23/11
GGBHTD	Purchase One 34-Passenger Shuttle			365,000	365,000	02/23/11
GGBHTD	Purchase One 18-Passenger Shuttle			102,716	102,716	02/23/11
GGBHTD	Purchase 14 Vehicles			546,355	546,355	02/23/11
GGBHTD	Bus Stop Revitalization			400,000	400,000	02/23/11
LAVTA	Engine Rehabilitation and Replacement Buses			1,073,235	1,073,235	02/23/11
NCTPA	Farebox Replacement Modernization Project			750,425	750,425	02/23/11
Santa Rosa CityBus	Replacement Buses			889,008	889,008	02/23/11
SFMTA	Central Subway		37,167,911		37,167,911	02/23/11
Sonoma County Transit	Replacement Bus Purchase			1,471,964	1,471,964	02/23/11
VTA	Santa Clara Line 522/523 Bus Rapid Transit		10,000,000		10,000,000	02/23/11
WestCat	Bus Purchase			376,753	376,753	02/23/11
WestCat for Petaluma	Facilities Upgrade and Bus Purchase			317,844	317,844	02/23/11
ECCCTA	30 Bus Replacement			1,517,777	1,517,777	05/25/11
BART	Train Control Switch Machine Replacement** and/or Speed Frater Switch Machine Replacement, BART Mainline Cover Board Antenna Replacement, BART Mainline Signal Light Replacement		17,500,000		17,500,000	Approved 6/22/2011 Scope change approved 7/23/14
AC Transit	Contra Costa College Transit Center Improvements	160,000			160,000	05/23/12
AC Transit	Contra Costa College Transit Center Improvements	500,000			500,000	12/18/13
AC Transit	Diesel Electric Hybrid Articulated Buses for Rapid Service and/or design and construction of the East Bay Bus Rapid Transit Project	5,040,000			5,040,000	Approved 5/23/2012 Scope change approved 7/23/14
AC Transit	Internal Text Messaging Signs	(500,000)			(500,000)	12/18/13
AC Transit	Internal Text Messaging Signs	500,000			500,000	05/23/12
AC Transit	San Leandro BART Station Terminus	2,703,487			2,703,487	05/23/12
BART	Bicycle Station and Locker Parking	659,650			659,650	05/23/12
BART	Bus Shelter Program for ADA riders	100,000			100,000	05/23/12
BART	Concord Intermodal Improvements	400,000			400,000	05/23/12
BART	Richmond Eastside Intermodal Improvements	1,500,000			1,500,000	05/23/12
BART	Wayfinding Signage and Real-Time Display	5,513,360			5,513,360	05/23/12

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2010-11 Allocations</b>						
CCCTA	Rolling Stock Replacement	484,534			484,534	05/23/12
ECCCTA	A&E for PnR Lot in NW Antioch	327,019			327,019	05/23/12
Fairfield	Local Bus Replacement	547,328			547,328	05/23/12
GGBHTD	Advanced Communications and Information System	492,729			492,729	05/23/12
GGBHTD	Marin County Bus Stop Improvements	985,000			985,000	05/23/12
LAVTA	Bus Stop Repair/Refurbishment	240,910			240,910	05/23/12
NCTPA	VINE Transit CAD/AVL System****	213,647			213,647	03/23/16
NCTPA	Three Paratransit Vehicles	192,000			192,000	05/23/12
NCTPA	Two replacement buses for American Canyon Transit	192,000			192,000	05/23/12
Santa Rosa CityBus	Vehicle Replacement and Transit Improvements	1,268,194			1,268,194	05/23/12
SMCTD	Replacement of 1998 Gillig Buses****	1,821,373			1,821,373	03/23/16
SMCTD	San Carlos Transit Center Project****	451,324			451,324	03/23/16
SolTrans	Intercity Bus Replacement	1,000,000			1,000,000	05/23/12
Sonoma County Transit	Diesel Electric Hybrid Articulated Buses for Rapid Service and/or design	593,864			593,864	05/23/12
WestCat	Purchase and Installation of Bus Shelters	147,335			147,335	05/23/12
WestCat	Purchase and Installation of Bus Shelters *****	(147,335)			(147,335)	06/22/16
WestCat	Dial-A-Ride Replacement Vehicles *****	147,335			147,335	06/22/16
WestCat for Petaluma	Bus Stop Improvements - City of Petaluma	76,734			76,734	05/23/12
SFMTA	8X Mobility Maximization	9,310,080			9,310,080	06/27/12
SFMTA	8X Mobility Maximization	(4,025,080)			(4,025,080)	12/19/12
SFMTA	Mission Mobilization Maximization	2,413,350			2,413,350	06/27/12
SFMTA	Mission Mobilization Maximization	2,643,541			2,643,541	12/19/12
VTA	Santa Clara/Alum Rock Rapid Transit Bus Purchase	9,186,049			9,186,049	06/27/12
Union City	Replacement CNG Buses			397,194	397,194	06/27/12
SFMTA	Mission Bay Loop	1,381,539			1,381,539	12/19/12
SolTrans	Solano Express Bus Replacement			2,360,208	2,360,208	02/27/13
<b>FY2010-11 Subtotal</b>		<b>46,519,967</b>	<b>84,317,442</b>	<b>13,291,420</b>	<b>144,128,829</b>	

\*GGBHTD's Refurbishment of the MS San Francisco project replaces the previously submitted project, Larkspur Ferry Channel and Berth Dredging. The MTC approval date for the Refurbishment project is 2/23/11, however the funding is from FY2009-10.

\*\*The \$17.5M for BART's Train Control Switch Machine Replacement project is part of a 1:1 funding exchange between AC Transit, BART and SFMTA that MTC approved on June 22, 2011 (see also MTC Reso. 3831, Revised). Through this exchange, SFMTA will receive \$17.5M in CMAQ funding instead of Prop 1B PTMISEA Urban Core funding.

\*\*\* On 12/18/13, \$500,000 in Proposition 1B funding were transferred from AC Transit's Internal Text Messaging Signs project to the Contra Costa College Transit Center Improvements project, due to cost savings on the Internal Text Messaging Signs project and will allow the scope of the Transit Center Improvements project to include real-time displays and amenities upgrades.

\*\*\*\* On 3/26/2016, \$213,647 in Proposition 1B funding was transferred from NVTA's cancelled Napa Valley College Northbound Shelter project to VINE Transit CAD/AVL project. \$451,324 in Proposition 1B funding was transferred from SamTrans bus purchase to the San Carlos Transit Center project.

\*\*\*\*\* On 6/22/2016, \$147,335 in Proposition 1B funding was transferred from WestCAT's cancelled Purchase and Installation of Bus Shelters project to the Dial-A-Ride Replacement Vehicles project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2014-15 Allocations</b>						
BART	BART to Warm Springs Extension		160,319		160,319	07/23/14
BART	East Contra Costa BART Extension		160,319		160,319	07/23/14
CCCTA	Rolling Stock			1,453,214	1,453,214	07/23/14
ECCCTA	Rolling Stock Replacements			810,026	810,026	07/23/14
Fairfield	Fairfield/Vacaville Intermodal Station			1,259,623	1,259,623	07/23/14
GGBHTD	Marin Transit Purchase 4 30ft Transit Vehicle			300,000	300,000	07/23/14
GGBHTD	Marin Transit Purchase 16 ADA Paratransit Vehicles			271,810	271,810	07/23/14
NCTPA	VINE Transit CAD/AVL System			400,496	400,496	07/23/14
Santa Rosa CityBus	ADA Improvements, Safety Modifications and Vehicle Replacements			495,807	495,807	07/23/14
Sonoma County Transit	CNG Bus Replacements			762,391	762,391	07/23/14
WestCat	Bus Purchase			201,070	201,070	07/23/14
WestCat for Petaluma	Replace (4) 40' low floor transit buses - CNG			171,465	171,465	07/23/14
SFMTA	Central Subway		19,660,756		19,660,756	07/23/14
VTA	VTA Santa Clara/Alum Rock Corridor BRT		24,802,176		24,802,176	07/23/14
Union City	Replacement Transit Buses			211,979	211,979	07/23/14
LAVTA	FY2014-15 Bus Replacement Project			572,778	572,778	12/17/14
AC Transit	Vehicle Replacements	4,299,828			4,299,828	03/25/15
CCCTA	City of Concord - Bus Stop Access Improvements	255,194			255,194	03/25/15
NCTPA	VINE Transit CAD/AVL System	299,070			299,070	03/25/15
LAVTA	Transit Center Upgrades and Improvements	125,625			125,625	04/22/15
BART	19th Street Wayfinding and Lighting	2,072,000			2,072,000	04/22/15
ECCTA	Replacement and Expansion Vehicles	178,754			178,754	04/22/15
WestCat	Dial-A-Ride Replacement Vehicles	81,113			81,113	04/22/15
BART	Lighting Enhancements at El Cerrito del Norte	1,312,326			1,312,326	04/22/15
GGBHTD	Novato Transit Facility at Redwood Boulevard and Grant Ave	787,196			787,196	04/22/15
SFMTA	Van Ness Bus Rapid Transit (BRT)*	6,189,054			6,189,054	04/22/15
BART	Wayfinding Signage and Pit Stop Initiative	1,220,326			1,220,326	04/22/15
SamTrans	Fixed Route Bus Procurement	1,230,533			1,230,533	04/22/15
VTA	Replacement Vehicles	4,832,062			4,832,062	04/22/15
SolTrans	Replacement Vehicles	890,796			890,796	06/24/15
Santa Rosa CityBus	Lifeline Vehicle Replacement	671,975			671,975	04/22/15
Sonoma County Transit	CNG Bus Purchase	373,086			373,086	04/22/15
GGBHTD	Purchase One 30-Foot Transit Vehicle			182,870	182,870	05/27/15
Dixon	Replacement Vehicle	8,421			8,421	06/24/15
<b>FY2014-15 Subtotal</b>		<b>24,827,359</b>	<b>44,783,570</b>	<b>7,093,529</b>	<b>76,704,458</b>	

\* Note added 10/28/15: MTC approved \$6,189,054 for the Van Ness BRT project in April 2015. Upon receipt of SFMTA's Van Ness BRT allocation request, Caltrans PTMISEA staff and the State Controller's Office (SCO) discovered that, in fall 2014, they had mistakenly allocated \$639,282 in MTC's PTMISEA Pop-Based (99313) funds to SFMTA for their Light Rail Vehicle (LRV) project instead of PTMISEA Revenue-Based (99314) funds. Therefore, Caltrans staff and the SCO issued only \$5,549,772 in 99313 funds to the Van Ness BRT project, and instructed SFMTA to submit a Corrective Action Plan (CAP) to move \$639,282 in 99313 funds from the LRV project to the Van Ness BRT project to make the Van Ness BRT project whole. SFMTA submitted and MTC approved such a CAP in October 2015.

BART	BART Ticket Vending Machines Upgrade*				1,173,544	09/23/15
<b>Total</b>		<b>110,750,674</b>	<b>201,127,073</b>	<b>31,704,761</b>	<b>344,756,052</b>	

\* Funding available to MTC per January 28, 2015 SCO letter. The remaining roughly \$500,000 from the total \$1.7 in the SCO letter was previously distributed based on Res. 3814 framework distribution.

Date: January 28, 2009  
W.I.: 1311  
Referred by: PAC  
Revised: 05/27/09-C 10/28/09-C  
12/16/09-C 02/24/10-C  
04/28/10-C 07/28/10-C  
05/25/11-C 11/16/11-C  
01/25/12-C 12/19/12-C  
04/22/15-C 06/22/16-C  
10/26/16-C

### ABSTRACT

#### Resolution No. 3881, Revised

This resolution adopts the FY 2009 through FY 2011 Program of Projects for MTC's Second-Cycle Lifeline Transportation Program, funded with State Transit Assistance (STA), Proposition 1B Transit and Job Access Reverse Commute (JARC) funds.

The evaluation criteria established in Resolution 3860 were used by the county entities administering the program to develop the program of projects.

The following attachments are provided with this resolution:

Attachment A — Second-Cycle Lifeline Transportation Program of Projects -  
FY2009-2011

This resolution was revised on May 27, 2009 to amend Attachment A to incorporate changes to STA-funded projects based on STA reductions in the final FY2009 state budget, and adds two new projects – new transit and shuttle service in Napa County and a SamTrans bus purchase in San Mateo County.

This resolution was revised on October 28, 2009 to amend Attachment A to revise and add STA funds to the Balboa Park Station entrance project in San Francisco County and to incorporate Proposition 1B-funded projects in Santa Clara County.

This resolution was revised December 16, 2009 to add the Tier 2 program of projects.

This resolution was revised February 24, 2010, to revise funding amounts of Tier 2 Proposition 1B-funded projects to reflect actual state appropriations for FY2009-10 and to add four new Tier 2 projects in San Mateo County.

This resolution was revised April 28, 2010, to add Tier 2 funds to three existing projects and one new project in San Francisco County and to three existing projects in Santa Clara County.

This resolution was revised July 28, 2010, to adjust previously awarded Tier 2 JARC funding amounts based on federal FY2010 appropriations, add five new JARC-funded projects selected by Caltrans in small urbanized areas, and reprogram Tier 2 STA funds in San Mateo County following the discontinuation of the Family Service Agency's Transportation Reimbursement Independence Program.

This resolution was revised on May 25, 2011 to approve new Santa Clara County Lifeline Transportation Program projects.

This resolution was revised on November 16, 2011 to amend Attachment A to make corrections to the FY2005-06 through FY2007-08 Lifeline Transportation program of projects.

This resolution was revised on January 25, 2012 to adjust previously programmed JARC funding amounts in Alameda County.

This resolution was revised on December 19, 2012 to amend Attachment A to approve new projects in Alameda and Napa counties, funded with savings achieved from other projects.

This resolution was revised on April 22, 2015 to amend Attachment A to reprogram \$216,000 in San Francisco County Proposition 1B funds from the San Bruno Transit Preferential Streets (TPS) project to the Potrero Hill Pedestrian Safety and Transit Stop Improvements project.

This resolution was revised on June 22, 2016 to amend Attachment A to reprogram \$100,510 in cost savings from SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements project to the Mission Bay Loop project (an existing Lifeline Cycle 3 project that is being newly added to Lifeline Cycle 2).

This resolution was revised on October 26, 2016 to amend Attachment A to reprogram \$13,164 in project cost savings from the Hunter's View Revitalization Transit Stop Connection to the Mission Bay Loop project, (an existing Lifeline Cycle 3 project that was added to Lifeline Cycle 2 on June 22, 2016).

Further discussion of this action is contained in both the MTC Executive Director's Memorandum to the Programming and Allocations Committee and the Programming and Allocations Committee summary sheet dated January 14, 2009, May 13, 2009, October 14, 2009, December 9, 2009, February 10, 2010, April 14, 2010, July 14, 2010, May 11, 2011, November 9, 2011, January 11, 2012, December 12, 2012, April 8, 2015, June 8, 2016 and October 12, 2016.

Date: January 28, 2009  
W.I.: 1311  
Referred by: PAC

RE: Second-Cycle Lifeline Transportation Program of Projects – FY 2009 - FY 2011

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3881

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code § 66500 et seq.; and

WHEREAS, MTC adopted Resolution 3860, which establishes program guidelines to be used for the funding and oversight of the Second-Cycle of the Lifeline Transportation Program, Fiscal Years 2009-2011; and

WHEREAS, MTC used the process and criteria set forth in Attachment A of Resolution 3860 to fund a Program of Projects for the Second-Cycle Lifeline Transportation Program with State Transit Assistance (STA), Proposition 1B Transit and Job Access Reverse Commute (JARC) and funds;

WHEREAS, the Second-Cycle Lifeline Transportation Program of Projects is set forth in Attachment A of this resolution, attached hereto and incorporated herein as though set forth at length; now therefore be it

RESOLVED, that MTC approves the Program of Projects for the Second-Cycle Lifeline Transportation Program, as set forth in Attachment A of this resolution; and be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required, to the Governor, Caltrans, and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 28, 2009.



## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Alameda County													
1	San Leandro LINKS Shuttle	San Leandro Transportation Management Organization	Provide service from San Leandro BART to employment and family services in San Leandro			370,000	370,000				-	370,000	(m) revised 01/25/12
2	Quicker, Safer Trip to the Library	BART/Oakland Public Library - West Oakland	Continued shuttle service for Oakland pre-school and schoolchildren, teachers and parents to the W. Oakland Library	219,000			219,000				-	219,000	(c)
3	AC Transit existing service preservation in communities of concern	AC Transit	Continue existing services on Lines 63, 47, 40, 91, 93 serving Alameda, Oakland, San Leandro, Ashland, Cherryland, San Leandro, and S. Hayward	4,219,210			4,219,210	989,330		876,186	1,865,516	6,084,726	revised 07/28/10
4	Neighborhood bicycle centers	East Bay Bicycle Coalition/Cycle of Change	Fund bike distribution and education programs in Oakland and Alameda			349,000	349,000				-	349,000	(m) revised 01/25/12
5	WHEELS Route 14 Service Provision	LAVTA	Continue service from residential Livermore to downtown business areas and regional transit to Livermore Transit Center	89,000		67,494	156,494			345,563	345,563	502,057	(j) revised 07/28/10
6	Ashby BART Station elevator	BART	Install elevator at the Ashby BART Station in conjunction with the Ed Roberts Campus		1,729,046		1,729,046				-	1,729,046	(a) revised 12/19/12
7	Bus shelters	LAVTA	Install bus shelters		100,000		100,000				-	100,000	(a)
8	Bus purchase	AC Transit	Purchase AC Transit rolling stock buses		2,998,588		2,998,588				-	2,998,588	(a)
9	Meekland Avenue Transit Access Improvements	Alameda County	Bus access improvements on Meekland Avenue including sidewalk, ADA ramp, bulb outs, and lighting				-		2,500,000		2,500,000	2,500,000	(f) added 12/16/09
10	Hacienda Avenue Transit Access Improvements	Alameda County	Bus access improvements, including sidewalks and high visibility pedestrian crossings on Hacienda Ave between Hathaway Ave and Hesperian Blvd.				-		160,181		160,181	160,181	(f) added 12/16/09
11	Environmental Justice Access to BART	BART	Install secure bike parking at North Berkeley and Berkeley stations				-		224,749		224,749	224,749	revised 2/24/10
12	WHEELS Route 14 Civic Center Busway and Stops	LAVTA	Construct turnaround busway and two bus stops with shelters and benches at Civic Center, adjacent to housing, employment, and social services.				-	150,000	-		150,000	150,000	added 12/16/09
13	MacArthur BART Station Plaza Improvement	BART	Station improvements including bike racks, tactile path and wayfinding from bus loading through the plaza to the station entrance and accessible fare gates, and other upgrades		270,954		270,954		-		-	270,954	added 12/19/12
County Bid Target				4,527,210	5,098,588	786,582	10,412,380	1,139,330	2,884,930	1,221,749	5,246,009	15,658,389	
Proposed Programming				4,527,210	5,098,588	786,494	10,412,292	1,139,330	2,884,930	1,221,749	5,246,009	15,658,301	
Unprogrammed Balance				-	-	88	88	-	-	-	-	88	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL Lifeline Funding	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total		
Contra Costa													
14	Operating Funding for low income access to health care	Tri-Delta ECCTA	Maintain service on Route 201, which provides service between Bay Point, and central county destinations including medical centers, schools, BART and Sun Valley Mall	118,687		96,759	215,446	23,481		125,398	148,879	364,325	revised 07/28/10
15	Continued operation of County Connection Lifeline routes	CCCTA	Preserve frequency and coverage of Routes 114, 111 & 314 serving the Monument Corridor and BART, as well as Routes 108,116,118 and 308 serving downtown Martinez, medical clinics, County offices,	627,086		120,395	747,481	134,157		126,581	260,738	1,008,219	revised 07/28/10
16	Continued operation of WestCAT C3 Route	WestCAT	Continue C3 service, operating between the Hercules Transit Center & Contra Costa College, with timed connections to Route 11 that operates into Crockett & Rodeo	338,115		21,253	359,368	73,463		23,690	97,153	456,521	revised 07/28/10
17	Maintain existing Lifeline services in western Contra Costa County	AC Transit	Communities of Concern. These routes connect residents to employment centers, retail establishments, schools, social service agencies, and health care.	1,290,604		120,436	1,411,040	288,665		134,243	422,908	1,833,948	revised 07/28/10
18	Bus Shelters	Tri-Delta ECCTA	Procure and install bus shelters and related facilities such as signage, schedule holders, trash receptacles, lighting and minor site improvements in the Pittsburg/Bay Point/Antioch and Brentwood communities of concern.		200,000		200,000				-	200,000	
19	Rolling Stock for County Connection Lifeline routes	CCCTA	Provide funds for replacement rolling stock to preserve service on Routes 108, 111, 114, 116, 118, 308, and 314 serving communities of concern		844,805		844,805				-	844,805	
20	Rolling Stock for WestCAT Lifeline route	WestCAT	Vehicle replacement for Route C3 (see project 14)		69,785		69,785				-	69,785	
21	BART Bay Point/Pittsburg station improvements	BART	Increase lighting throughout the bus intermodal area of the station, and provide static and real time transit information for both bus and BART patrons		320,000		320,000				-	320,000	
22	BART Richmond Station Improvements	BART	Make improvements to the intermodal zone at the Richmond Transit Village (upgrading 13 existing bus shelters and resurfacing the intermodal area).		482,251		482,251		262,549		262,549	744,800	revised 12/16/09
23	County Connection Martinez bus stop improvements and access	CCCTA	Construct an ADA-accessible bus stop, provide bus stop seating at 15 locations, provide transit access improvements and provide two pedestrian-activated lighted crosswalks in Martinez		100,000		100,000				-	100,000	
24	Rolling stock replacement for AC Transit	AC Transit	Replacement buses will operate on routes in and around the Richmond area community of concern and also be interlined with other routes system wide to effectiveness of services to all AC Transit riders				-		458,241		458,241	458,241	revised 2/24/10
25	Hillcrest Park-and-Ride Lot Improvements	BART	Improvements to the Hillcrest Park-and-Ride Lot, including pedestrian and bicycle access improvements for predominantly low-income Tri Delta Transit patrons. New improvements will be consistent with the design and construction of the proposed eBART Project				-		595,328		595,328	595,328	revised 2/24/10
County Bid Target				2,374,491	2,016,841	358,843	4,750,175	519,767	1,316,118	409,912	2,245,797	6,995,972	
Proposed Programming				2,374,492	2,016,841	358,843	4,750,176	519,766	1,316,118	409,912	2,245,796	6,995,972	
Unprogrammed Balance				(1)	-	-	(1)	1	-	-	1	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total	Lifeline Funding	
Marin													
26	Marin City Transit Hub and Donohue Street ADA improvements	Marin County	Build sheltered community bus stop and transit hub with user amenities including safety lighting, landscaping, informational kiosks, seating, passenger shelter and bike racks.			77,510	77,510		75,119	88,541	163,660	241,170	(d) revised 07/28/10
27	Marin City Community shuttle loop and service to Marin General Hospital	Marin Transit	Provide hourly, day-time service from Marin City to Marin General Hospital and nearby medical offices in Greenbrae.	279,890			279,890	112,270			112,270	392,160	(d) revised 12/16/09
28	Canal Neighborhood transit & Ped Access & safety improvements - phase 2	San Rafael	Provide safe path to transit, improve nonmotorized access and improve traffic operations at 7 intersections in Canal neighborhood of San Rafael.	-	435,638		435,638		209,162		209,162	644,800	(d) revised 12/16/09
29	Ride to school for parents	San Rafael City Schools	Provide regularly scheduled shuttle service (transportation to school meetings and events) for Canal residents who currently have no transportation access to San Pedro Elementary School.	233,000			233,000				-	233,000	(d)
County Bid Target				512,890	435,638	77,510	1,026,038	112,270	284,281	88,541	485,092	1,511,130	
Proposed Programming				512,890	435,638	77,510	1,026,038	112,270	284,281	88,541	485,092	1,511,130	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	

<b>Napa</b>													
30	Rolling stock acquisition	NCTPA	Bus purchase for replacement rolling stock		274,290		274,290				-	274,290	
31	Napa Shuttle, FlexRIDE Shuttle and VINE Express	NCTPA	Operating assistance for the Napa Shuttle, FlexRIDE Shuttle and VINE Express. These programs provide service to low-income residents, including senior and disabled populations, and provide transportation to training, jobs and services.	322,931		50,000	372,931				-	372,931	added 5/27/09
32	VINE Route 11	NCTPA	Extend operation of VINE rural connector service (route 11) between Calistoga and Santa Rosa				-	-			-	-	added 12/16/09, (n) revised 12/19/12
33	Bus passenger accommodations	NCTPA	Provide for the purchase and installation of 14 bus shelters and benches, 21 stand-alone benches, and 21 up-Valley iStops with seating				-		178,992		178,992	178,992	revised 2/24/10
34	Agricultural Worker Vanpool Program	NCTPA	Operating Assistance to implement the Napa County Agriculture Worker Vanpool Program. Vouchers will be used to offset the participant's cost of riding the vanpool.			35,000	35,000			135,000	135,000	170,000	(f) added 07/28/10, revised 11/16/11
35	VINE Express Route 29	NCTPA	Operating assistance for Route 29 between the northern border of the City of Napa and Calistoga				-	70,688			70,688	70,688	(n) added 12/19/12
County Bid Target				322,931	274,290	84,494	681,715	70,688	178,992	135,000	384,680	1,066,395	
Proposed Programming				322,931	274,290	85,000	682,221	70,688	178,992	135,000	384,680	1,066,901	
Unprogrammed Balance				-	-	(506)	(506)	-	-	-	-	(506)	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
San Francisco County													
36	Shopper Shuttle	MTA	Provide a twice-monthly group van shopping service to low-income seniors and persons with disabilities who have difficulty using public transit for shopping needs	1,560,000			1,560,000				-	1,560,000	
37	Route 108 Treasure Island Enhanced Service	MTA	Continue providing more frequent peak period and all-night service on Route 108	262,228			262,228	408,312		495,172	903,484	1,165,712	revised 07/28/10
38	Route 29 Reliability Improvement Project	MTA	Continue providing extra buses on Route 29 to increase reliability, reduce pass-ups, relieve over-crowding and address schedule adherence problems	293,717		433,483	727,200				-	727,200	
39	Persia Triangle Transit Access Improvements Project	MTA	Build bus bulbs, consolidate bus stops and change traffic circulation to improve pedestrian access to transit, conditions at bus stops and transit connectivity		802,734		802,734				-	802,734	
40	Randolph/Parallones/ Orizaba Transit Access Project	MTA	Install a transit bulb island and sidewalk curb cuts to improve pedestrian safety and M-Line light rail vehicle operations		379,490		379,490				-	379,490	(p) revised 06/22/16
47	Mission Bay Loop	SFMTA	Streets to allow the T-Third line to turnaround mid-route and thus enable a significant increase in transit frequencies between Mission Bay, South of Market, and downtown neighborhoods, as well as		113,674		113,674				-	113,674	(p) added 06/22/16 (q) added 10/26/16
41	Balboa Park Station Eastside Connections Project	BART	Construct a safe and accessible walkway across BART tracks to the Muni Metro boarding area on the east side of Balboa Park BART station	752,440	1,153,610		1,906,050				-	1,906,050	revised 10/28/09
		MTA					219,567	863,710		1,083,277	1,083,277	added 4/28/10	
42	Hunter's View Revitalization Transit Stop Connection	SF Mayor's Office of Housing	Provide an accessible pedestrian connection for Bayview/Hunters Point residents (including 4 affordable housing developments) to existing and new transit stops that are to be built as part of the Hunters View public housing revitalization project.				-		496,996		496,996	496,996	(i) added 4/28/10 (q) revised 10/26/16
43	CHPS Improvements	MTA	San Bruno Avenue between Silver Avenue and Bayshore Boulevard				-		0		-	-	added 12/16/09
43	Stop Improvements	MTA	Improve pedestrian safety, transit access, and a sense of place by				-		216,000		216,000	216,000	added 4/22/15
County Bid Target				2,868,385	2,436,344	433,483	5,738,212	627,879	1,589,870	495,172	2,712,921	8,451,133	
Proposed Programming				2,868,385	2,449,508	433,483	5,751,376	627,879	1,576,706	495,172	2,699,757	8,451,133	
Unprogrammed Balance				-	(13,164)	-	(13,164)	-	13,164	-	13,164	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
San Mateo													
44	East Palo Alto (EPA) Youth Shuttle, Mobility Manager, Bus Shelters, Shuttle Operations	City of East Palo Alto	Shuttle (2) Maintain funding for EPA Mobility Manager (3) Improve up to 4 EPA bus stop shelters, benches and amenities (4) Plan for shuttle operations for the Dumbarton Rail station area plan	207,454	72,000	139,393	418,847			80,912	80,912	499,759	revised 12/16/09
45	Bayshore Shuttle Service	Daly City	Bayshore neighborhood with transit and essential destinations in western Daly City. The shuttle will operate 10 hours on weekdays, expanding in the second year to add 6 hours of service on weekends.	368,929			368,929	(32,012)		144,097	112,085	481,014	(g) revised 07/28/10
46	Route 280	Samtrans	Maintain Route 280, which serves CalWorks clusters and essential destinations for the residents of East Palo Alto.	415,935			415,935	31,211			31,211	447,146	revised 07/28/10
47	Route 17	Samtrans	Maintain Route 17, which serves the Half Moon Bay area, to add service during the peak commute period, new Sunday service and extended evening hours.	356,393			356,393	72,029			72,029	428,422	revised 12/16/09, 2/24/10
48	Van purchase and operations for shelter resident transportation	Shelter Network	Purchase van and provide on-demand service for residents of four homeless shelters in San Mateo County.		28,000	64,430	92,430			7,820	7,820	100,250	
49	Fixed-Route 17 Bus Procurement	Samtrans	Bus purchase for Route 17		900,000		900,000				-	900,000	added 5/27/09
50	Senior Service bus/van purchase	Pacifica	Purchase of a replacement, 20 passenger wheel chair accessible bus to transport seniors (majority are low-income) and disabled adults to/ from the Senior Center, for local outing, shopping trips and medical appointments.				-	6,000	56,221		62,221	62,221	(h) added 12/16/09
51	Belle Air Parking Lot modification	San Bruno	Curve correction and street elevation adjustments to accommodate public transit bus service near Belle Air Elementary School. Additional improvements include parking lot reconfiguration, sign installations, striping, sidewalk installation, driveway improvements, curb ramps, and bus shelters to accommodate pedestrians.				-	6,000	151,251		157,251	157,251	(h) added 12/16/09
52	Senior shuttle bus	San Bruno	improve low-income elderly transportation to the Senior Center. This bus will also be used to provide low-income children transportation to the Recreation Center.				-	6,000	100,000		106,000	106,000	(h) added 12/16/09
53	Sidewalks, solar bus shelters, curb ramps	San Bruno	lighted bus shelters and accessible curb ramps adjacent to and leading to SamTrans bus stops in the City of San Bruno. The project intends to improve access for people with disabilities and improve safety and the				-	6,000	201,600		207,600	207,600	(h) added 12/16/09
54	Countywide Low-Income Bus Tickets	San Mateo County HSA	Provide bus tokens, bus tickets and bus passes for low-income families, and individuals participating in self-sufficiency and family strengthening activities.				-	200,000			200,000	200,000	(h) revised 7/28/10
55	Bayshore Bus Stop Improvements	Daly City	southbound bus stop on Bayshore Boulevard, just south of Geneva				-		187,181		187,181	187,181	added 2/24/10
56	Concern	SamTrans	throughout communities of concern.				-		196,867		196,867	196,867	added 2/24/10
County Bid Target				1,348,711	1,145,565	203,823	2,698,099	295,228	747,555	232,829	1,275,612	3,973,711	
Proposed Programming				1,348,711	1,000,000	203,823	2,552,534	295,228	893,120	232,829	1,421,177	3,973,711	
Unprogrammed Balance				-	145,565	-	145,565	-	(145,565)	-	(145,565)	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Santa Clara County													
57	Bus Lines 17 & 14 in Gilroy	SCVTA	Improve community bus services on Lines 14 & 17 in Gilroy by operating as two-way routes	984,982			984,982	216,556			216,556	1,201,538	revised 12/16/09
58	Family Transportation Services	Outreach & Escort	Maintain funding to the Family Transportation Services programs, Guaranteed Ride Home (GRH) and Jump Start (JS). The GRH program provides door-to-door transportation to program participants and their dependent children. The JS program provides financial assistance to cover the cost of minor repairs to personal vehicles.	998,292		632,276	1,630,568	218,360		473,450	691,810	2,322,378	(b) revised 07/28/10 (k) revised 5/25/11, 11/16/11
59	Together We Ride	Outreach & Escort	Provide transportation to homeless families, veterans, emancipated foster youth, political refugees and other vulnerable populations. Transit capital funds to purchase hybrid sedans and small wheelchair-lift equipped buses for multiple rider groups	887,785	2,100,741		2,988,526	193,998	1,374,908		1,568,906	4,557,432	revised 04/28/10
60	Senior Transportation	Outreach & Escort	Maintain funding for program providing seniors with a menu of transportation options, such as demand-response rides, individualized transportation plans and a volunteer driver program	1,251,057			1,251,057	273,402			273,402	1,524,459	revised 04/28/10
61	Hybrid Bus Purchase	SCVTA	prevent service delays and run cancellations and reduce fuel		1,400,494		1,400,494		909,873		909,873	2,310,367	revised 12/16/09
62	Ways to Work Family Loan Program	Peninsula Family Service	interest loans to low-income families for the purpose of purchasing or				-			339,739	339,739	339,739	revised 11/16/11
County Bid Target				4,122,116	3,501,235	632,276	8,255,627	902,316	2,284,781	813,189	4,000,286	12,255,913	
Proposed Programming				4,122,116	3,501,235	632,276	8,255,627	902,316	2,284,781	813,189	4,000,286	12,255,913	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Solano													
63	Route 85	Vallejo	Sustain intercity Route 85 which serves downtown Vallejo, Baylink Ferry, Sereno Transit Center, Discovery Kingdom, Green Valley Shopping Area, Solano Community College in Fairfield, and Solano Mall.	375,000			375,000				-	375,000	
64	Route 1	Vallejo	Sustain Route 1 which connects downtown Vallejo with Vallejo Middle and Senior High schools, South Vallejo Community Shopping Centers, the Curtola Park and Ride and Sonoma Boulevard.	600,000			600,000				-	600,000	
65	Saturday/Weekday Service	Dixon	Maintain the current dial-a-ride service for Dixon Read-Ride on weekdays and Saturday.	69,776			69,776	228,698			228,698	298,474	revised 12/16/09
66	Replacement Van	Dixon	Capital Funding for the replacement of one 18 passenger Type III paratransit bus for the Dixon Read-Ride general public Dial-a-Ride system.		60,000		60,000				-	60,000	
67	Bus Shelters	Fairfield/Suisun Transit	Improve 30 sites that include installation/repair of transit shelters, ADA curb cuts, concrete work, installation of benches, and other transit friendly amenities such as lighting and transit information.		300,000		300,000		119,088		119,088	419,088	revised 2/24/10
68	Bus Shelters	Vallejo	Replace, install and enhance, up to 65 bus shelters and bus stops including amenities such as solar lighting, trash receptacles, signage and benches.		361,010		361,010		400,004		400,004	761,014	revised 12/16/09
69	Bus Shelters	Vacaville	Procurement and installation of transit amenities within 5 low-income/senior/elderly communities in Vacaville. Transit amenities include bus shelters with benches, trash receptacles, map/schedule		109,800		109,800				-	109,800	
70	Van Replacement	Dixon	Replacement of one 18-passenger Type III paratransit bus for the Dixon Read-Ride Dial-a-Ride system.		15,000		15,000				-	15,000	
71	Replacement Vehicles	Fairfield/Suisun Transit	Replace 2 paratransit vans with two higher capacity paratransit vans. The new vehicles will be able to hold 18 passengers and 4 wheelchairs.		41,600		41,600				-	41,600	
72	Solano Community College Project	Vallejo Transit	Provide service to Solano Community College, as well as other employment and service destinations			250,000	250,000				-	250,000	(c)
73	DRIVES/CARS Programs	Benicia Community Action	Provides down payments for cars to low-income applicants. Funds will also be used for repairs to vehicles donated to the program.			30,000	30,000				-	30,000	(c)
74	Installation of Bicycle Racks	Fairfield/Suisun Transit	Install bike racks on 12 coaches to accommodate riders who need to use multiple travel modes to get to work and other destinations			45,000	45,000				-	45,000	(c)
75	Route 2 Frequency Improvements	Fairfield/Suisun Transit	Develop new service alignment, uncoupling Route 2 from Travis Air Force Base (AFB) and establishing a new Travis AFB shuttle, improving service and increasing access to jobs			91,834	91,834				-	91,834	(c)
76	Downtown Flex Shuttle	Fairfield/Suisun Transit	City Hall in Suisun City, Amtrak, Fairfield City Hall, Solano County				-		60,000		60,000	60,000	added 12/16/09
77	Expanded Route 5 service	Vallejo	Solano Community College, local businesses medical, and social				-			400,000	400,000	400,000	added 07/28/10
County Bid Target				1,044,776	887,410	416,834	2,349,020	228,698	579,092	400,000	1,207,790	3,556,810	
Proposed Programming				1,044,776	887,410	416,834	2,349,020	228,698	579,092	400,000	1,207,790	3,556,810	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total	Lifeline Funding	
<b>Sonoma County</b>													
78	Bus purchase	Santa Rosa CityBus	Bus purchase for Roseland service improvements on Routes 9 and 14		483,744		483,744		331,662		331,662	815,406	revised 2/24/09
79	Route 19	Santa Rosa CityBus	Sustain and enhance Route 19 in Roseland	394,117		130,872	524,989	120,555		155,674	276,229	801,218	revised 07/28/10
80	Natural gas coach purchase	Sonoma County Transit	Purchase of 14 natural gas coaches for high-density routes (60, 22, 42 and 20) serving low-income areas		483,744		483,744		331,661		331,661	815,405	revised 2/24/10
81	Existing bus service	Sonoma County Transit	Sustain existing service on Routes 20/22, 30, 42, 44/48 and 60	632,389			632,389	113,823			113,823	746,212	revised 12/16/09
82	Existing bus service	Petaluma Transit	Sustain existing city-wide service	141,365			141,365				-	141,365	
83	Bus replacement	Healdsburg Transit	Funds to replace the old fixed-route mini bus		49,000		49,000	-			-	49,000	
84	Existing bus service	Healdsburg Transit	Sustain existing city-wide service	28,872			28,872	6,366			6,366	35,238	revised 12/16/09
85	County transit plan	Services	collaborative efforts				-	21,219			21,219	21,219	added 12/16/09
86	Expanded service hours for Routes 1, 2, and 3	Petaluma Transit	opportunities for low-income individuals. Transit routes 1, 2, and 3 will			50,000	50,000			251,150	251,150	301,150	added 07/28/10,
<b>County Bid Target</b>				1,196,743	1,016,488	181,331	2,394,562	261,963	663,323	407,515	1,332,801	3,727,363	
<b>Proposed Programming</b>				1,196,743	1,016,488	180,872	2,394,103	261,963	663,323	406,824	1,332,110	3,726,213	
<b>Unprogrammed Balance</b>				-	-	459	459	-	-	691	691	1,150	
<b>Regional Grand Totals</b>													
<b>Lifeline Program Revenue Sources</b>				18,318,253	16,812,399	3,175,176	38,305,828	4,158,139	10,528,943	4,203,907	18,890,989	57,196,817	
<b>Total Proposed Programming</b>				18,318,254	16,679,998	3,175,135	38,173,387	4,158,138	10,661,343	4,203,216	19,022,697	57,196,084	
<b>Unprogrammed Balance</b>				(1)	132,401	41	132,441	1	(132,400)	691	(131,708)	733	

### Notes

- (a) Alameda County received a \$5,098,588 advance of their Tier 1 Prop 1 B funds in FY08. The funds and projects shown here were applied for in April 2008. Allocation of \$270,954 of Proposition 1B funds from from Ashby BART station elevator to MacArthur BART Station Plaza Improvement is conditioned upon approval from the Alameda County Transportation Commission in December 2012.
- (b) Includes \$57,977 in Small Urbanized Area JARC funds administered by Caltrans in Tier 1 and \$130,000 in Tier 2.
- (c) JARC funds part of the Small Urbanized Area program administered by Caltrans.
- (d) Golden Gate Transit will claim the funds for these projects. Totals include administration costs.
- (e) Moved from Tier 2 to Tier 1, May 2009.
- (f) AC Transit will claim the funds for these projects.
- (g) Tier 1 STA amount partially backfilled with Tier 2 JARC to meet sponsor's original funding request and make additional Tier 2 STA available to other projects.
- (h) SamTrans will claim the funds for these projects. Tier 2 STA amounts are for SamTrans' administration costs.
- (i) MTA will claim the funds for this project. Approval of this project is subject to project sponsor securing the necessary easements.
- (j) JARC funds part of the Small Urbanized Area JARC funds administered by Caltrans in Tier 1 and \$323,225 in Tier 2.
- (k) \$165,359 of the total Large Urbanized Area JARC funds awarded in Tier 2 were reprogrammed in Res. 3788 due to discontinuation of another First Cycle Lifeline project in Santa Clara County. The Tier 2 JARC amount available for MTC to encumber in this Resolution is \$343,450.
- (l) JARC funds part of the Small Urbanized Area JARC funds administered by Caltrans.
- (m) Allocation of \$35,000 in JARC funds from San Leandro LINKS Shuttle to Neighborhood Bike Centers is conditioned upon approval from the Alameda County Transportation Commission.
- (n) \$70,688 in STA funds transferred from VINE Route 11 and reprogrammed to VINE Route 29 in December 2012.
- (o) On 4/22/15, \$216,000 in Lifeline Cycle 2 Proposition 1B funds were removed from the San Bruno Transit Preferential Streets (TPS) project and programmed to the Potrero Hill Pedestrian Safety and Transit Stop Improvements project. The San Bruno TPS project never received the \$216,000 in FY2009-10 Proposition 1B funds that were programmed to the project; the San Bruno TPS project is instead being funded as part of SFMTA's Muni Forward project and the \$216,000 in FY2009-10 funds were advanced to the Urban Core category from the Lifeline category in May 2011 in order to accommodate urgent funding needs of the Central Subway project. SFMTA has confirmed that in April 2015, the \$216,000 will be returned to the Lifeline category using SFMTA's FY2015 Revenue-Based PTMISEA funds (see MTC Resolution Nos. 3880, Revised and 4179, Revised).
- (p) On 6/22/16, Project Cost saving of \$100,510 in Lifeline Cycle 2 Proposition 1B funds were transferred from the Randolph/ Farallones/ Orizaba Transit Access Improvements project and programmed to the Mission Bay Loop project. The additional \$100,510 would fund unanticipated project costs associated with relocating a sewer line adjacent to the track on the Mission Bay Loop project. (see also MTC Resolution Nos. 3880, Revised).
- (q) On 10/26/16 Project Cost savings of \$13,164 in Lifeline Cycle 2 Proposition 1B funds was transferred from the Hunter's View Revitalization Project and programmed to the Mission Bay Loop project. The additional \$13,164 would fund unanticipated project costs associated with relocating a sewer line adjacent on the track of the Mission Bay Loop project (See Resolution 3880 Revised).



# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Agenda Item 2c

## MTC Resolution Nos. 3880, Revised, and 3881, Revised

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**Subject:** Revisions to the Lifeline Transportation Cycle 2 Program of Projects and the Proposition 1B – Regional Transit Program.

**Background:** MTC's Lifeline Transportation Program funds projects that improve mobility for the region's low-income communities. The program is administered by the nine county congestion management agencies (CMAs), and in Santa Clara County via a joint arrangement between the CMA and the County.

The following revisions are proposed with this item:

- 1) Redirect \$13,164 in Proposition 1B Funding for projects in San Francisco County under the Lifeline Cycle 2 Program.** The San Francisco Municipal Transportation Agency (SFMTA) is requesting that \$13,164 in project cost savings from the Hunter's View Revitalization Transit Stop Connection be reprogrammed to the Mission Bay Loop project, which is also an existing Lifeline Cycle 2 Project. Both projects are being completed as originally scoped. The additional \$13,164 would fund unanticipated project costs associated with relocating a sewer line adjacent to the track on the Mission Bay Loop project. The San Francisco County Transportation Authority (SFCTA) concurs with this program modification.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 3880 Revised and 3881, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 3880, Revised and 3881, Revised

Date: December 17, 2008  
W.I.: 1515  
Referred by: PAC  
Revised: 04/22/09-C 11/18/09-C 02/24/10-C  
04/28/10-C 02/23/11-C 05/25/11-C  
06/22/11-C 10/26/11-ED 01/25/12-ED  
02/22/12-C 05/23/12-C 06/27/12-C  
10/24/12-C 12/19/12-C 02/27/13-C  
12/18/13-C 07/23/14-C 12/17/14-C  
03/25/15-C 04/22/15-C 05/27/15-ED  
06/24/15-ED 09/23/15-C 10/28/15-ED  
03/23/16-C 06/22/16-C 10/26/16-C

### ABSTRACT

#### Resolution No. 3880, Revised

This resolution adopts priorities for the Proposition 1B – Regional Transit Program for the San Francisco Bay Area.

Attachment A Proposition 1B Transit Population-based Funds Project List

Attachment B Allocation Principles for Proposition 1B Transit Population-based Funds

Attachment A of this resolution was amended on April 22, 2009 to include requests for allocations in FY2008-09 – Round Two.

Attachment A of this resolution was amended on November 18, 2009 to include requests for allocations for remaining FY2007-08 and FY2008-09 funds.

Attachment A of this resolution was amended on February 24, 2010 to include requests for allocations for FY2009-10 – Round One, and to establish a pro rata distribution formula for the \$2.6 million in available bond proceeds for remaining FY2007-08 and FY2008-09 allocation requests.

Attachment A of this resolution was amended on April 28, 2010 to include requests for allocations for FY2009-10 – Round Two.

Attachment A of this resolution was amended on February 23, 2011 to include requests for allocations for FY2010-11 – Round One.

Attachment A of this resolution was amended through Commission action on May 25, 2011 to include requests for allocations in FY2009-10 and FY010-11.

Attachment A of this resolution was amended through Commission action on June 22, 2011 to include a request for allocation of \$17.5 million for the BART Fixed Guideway Project in FY 2010-11 as part of a funding exchange between AC Transit, BART, and SFMTA's Central Subway urban core project.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 26, 2011 to move population-based, PTMISEA funds from CCCTA's Diablo Valley Transit Center project, which MTC approved in 2007 and has since been completed, to the following rolling stock replacement projects: \$305,146 to the Bus Replacement Program in FY2008-09; and \$278,948 to the Van Replacement Program in FY2009-10.

Attachment A of this resolution was amended through Executive Director Administrative Authority on January 25, 2012 to reprogram \$675,734 in population-based, PTMISEA funds for SFMTA's Persia Triangle Improvements from FY2008-09 to FY2009-10.

Attachment A of this resolution was amended through Commission action on February 22, 2012 to update the project title for BART's request for \$17.5 million in FY2010-11 population-based, PTMISEA funds. The project title was changed from Fixed Guideway Project to Train Control Switch Machine Replacement.

Attachment A of this resolution was amended through Commission action on May 23, 2012 to include requests for allocations for FY2010-11 funds available through Cycle 3 of the Lifeline Transportation Program. Footnotes were also added to clarify allocation years for specific projects.

Attachment A of this resolution was amended through Commission action on June 27, 2012 to include requests for allocations for FY2010-11 funds available through Cycle 3 of the Lifeline Transportation Program for SFMTA and VTA. Additionally, Attachment A was amended to include a request for \$397,194 in FY2010-11 funds for a Union City project for Replacement CNG Buses.

Attachment A of this resolution was amended through Commission action on October 24, 2012 to reflect programming changes to projects, which include the BART's Earthquake Safety Program and Oakland Airport Connector; AC Transit's Bus Purchase and Procurement projects; CCCTA's Bus Stop Access and Amenity Improvements and Bus Purchase projects; and SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements and Central Subway projects.

Attachment A of this resolution was amended through Commission action on December 19, 2012 to reflect programming changes for FY2007-08 and FY2010-11 funds available through Cycles 2 and 3 of the Lifeline Transportation Program for SFMTA and BART.

Attachment A of this resolution was amended through Commission action on February 27, 2013 to reflect the programming of FY2010-11 funds for SolTrans.

Attachment A of this resolution was amended through Commission action on December 18, 2013 to reflect Third Cycle Lifeline Program revisions for FY2010-11 funds for AC Transit.

Attachment A of this resolution was amended through Commission action on July 23, 2014 to reflect the programming of FY2014-15 funds for multiple operators as well as scope revisions to previous allocations.

Attachment A of this resolution was amended through Commission action on December 17, 2014 to reflect the programming of FY2014-15 funds for LAVTA.

Attachment A of this resolution was amended through Commission action on March 25, 2015 to include requests for allocations for FY2014-15 funds available through Cycle 4 of the Lifeline Transportation Program for AC Transit, NCTPA and CCCTA.

Attachment A of this resolution was amended through Commission action on April 22, 2015 to include requests for allocations for the remaining FY2014-15 funds available through Cycle 4 of the Lifeline Transportation Program.

Attachment A of this resolution was amended through Executive Director Administrative Authority on May 27, 2015 to include an allocation request of \$182,870 in population-based, PTMISEA funds for GGBHTD.

Attachment A of this resolution was amended through Executive Director Administrative Authority on June 24, 2015 to include an allocation of \$8,421 in population-based Lifeline funds to the City of Dixon for the purchase of a replacement transit vehicle, and to reduce the SolTrans population-Based Lifeline allocation by \$8,421.

Attachment A of this resolution was amended through Commission action on September 23, 2015 to include a \$1.17 million allocation request for BART Ticket Vending Machines that dispense Clipper cards. This allocation was available through residual FY2008-09 and FY2009-10 funding.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 28, 2015 to add a footnote to SFMTA's FY2014-15 Van Ness BRT project to document a Corrective Action Plan that was requested by Caltrans staff and the State Controller's Office to correct a previous error made by the state.

Attachment A of this resolution was amended through Commission action on March 23, 2016 to redirect \$213,647 in Lifeline funds for the Napa Valley Transportation Authority (NVRTA) from the Napa Valley College Northbound Shelter project to the VINE Transit CAD/AVL project, and to redirect \$451,324 in Lifeline funds for SamTrans from a bus purchase to the San Carlos Transit Center project.

Attachment A of this resolution was amended through Commission action on June 22, 2016 to reflect programming changes in the Lifeline Transportation Program Cycles 2 and 3 for SFMTA and WestCAT. SFMTA is transferring \$100,510 in cost savings from SFMTA's Randolph/ Farallones/ Orizaba Transit Access Improvements project to the Mission Bay Loop project. WestCAT is canceling the Purchase and Installation of Bus Shelters project and is reprogramming the Proposition 1B funds (\$147,335) to the Dial-A-Ride Replacement Vehicles project (an existing Lifeline Cycle 4 project).

Attachment A of this resolution was amended through Commission action on October 26, 2016 to reflect programming changes in the Lifeline Transportation Program Cycle 2 for SFMTA; to transfer \$13,164 in cost savings from SFMTA's Hunter's View Revitalization Transit Stop Connection project to the Mission Bay Loop project.

Further discussion of these actions are contained in the MTC Executive Director's Memorandum dated December 10, 2008 and the PAC summary sheets dated April 8, 2009, November 4, 2009, February 10, 2010, April 14, 2010, February 9, 2011, May 11, 2011, June 8, 2011, February 8, 2012, May 9, 2012, June 13, 2012, October 10, 2012, December 12, 2012, February 13, 2013, December 11, 2013, July 9, 2014, December 10, 2014, March 11, 2015, April 8, 2015, September 9, 2015, March 9, 2016, June 8, 2016 and October 12, 2016.

Date: December 17, 2008  
W.I.: 1515  
Referred by: PAC

RE: Proposition 1B Transit Population-based Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3880

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has adopted, pursuant to Government Code Sections 66508 and 65080, a Regional Transportation Plan (RTP); and

WHEREAS, Senate Bill 1266 (Statutes 2006, Chapter 25) establishes the Public Transportation Modernization, Improvement, and Service Enhancement Account as part of the Highway, Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 (Government Code 8879.20 *et seq.*) ; and

WHEREAS, MTC is the recipient of the population-based funding in the Public Transportation Modernization, Improvement, and Service Enhancement Account and State Transit Assistance (STA) funds pursuant to Public Utilities Code Section 99313 and 99314; and

WHEREAS, MTC is the recipient of the population-based State Transit Assistance (STA) funds pursuant to Public Utilities Code Section 99312; and

WHEREAS, MTC has adopted Resolution 3814, a Programming Framework for the Proposition 1B Regional Transit Funding Program, including additional STA base and Proposition 42 funding estimated to be available between FY2008-09 and FY2017-18 after meeting existing commitments; and

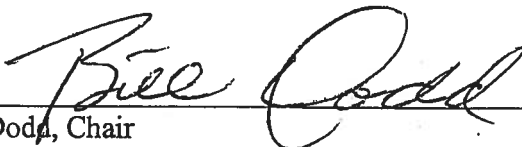
WHEREAS, staff has prepared a Proposition 1B – Transit population-based funding allocation request list, Attachment A, for submittal to Caltrans and based on the programming framework established in Resolution 3814, said attachment attached hereto and incorporated herein as though set forth at length; and now, therefore, be it

RESOLVED, that MTC adopts Proposition 1B Transit Population-based Funds, attached hereto as Attachment A, and finds it consistent with the RTP; and, be it further

RESOLVED, that the Executive Director is authorized to make changes to Attachments A and B, including revisions to existing allocation requests up to \$1,000,000, and authorize new allocations up to \$500,000 to conform to sponsor requests; and Caltrans and State Controller's actions; and, be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required to Caltrans and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on December 17, 2008.

		Category				
Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
FY2007-08 Allocations						
MTC	TransLink® *	2,420,000			2,420,000	12/19/07
MTC	Regional Transit Connectivity*	9,858,000			9,858,000	12/19/07
BART	BART Earthquake Safety Program		11,000,000		11,000,000	12/19/07, 7/22/09
BART	BART Earthquake Safety Program		199,000		199,000	09/26/12
CCCTA	Diablo Valley Transit Center			1,089,177	1,089,177	12/19/07
CCCTA	Diablo Valley Transit Center			(584,094)	(584,094)	10/26/11
CCCTA	Bus Purchase			305,146	305,146	10/26/11
CCCTA	Bus Purchase**			278,948	278,948	10/26/11
ECCTA	Bus Purchase			607,111	607,111	12/19/07
Fairfield/Suisun Transit	Bus Purchase			400,000	400,000	12/19/07
GGBHTD	Maintenance Facility Improvements			414,019	414,019	12/19/07
LAVTA	Bus Rapid Transit - Route 10			429,294	429,294	12/19/07
Marin Transit	Shuttle Vehicles			151,610	151,610	12/19/07
NCTPA	Bus Purchase			300,170	300,170	12/19/07
VTA	Line 522/523 Bus Rapid Transit		9,726,977		9,726,977	12/19/07
Santa Rosa Citybus	Bus Purchase			501,869	501,869	12/19/07
Sonoma County Transit	Bus Purchase			569,657	569,657	12/19/07
Union City	Bus Purchase			158,878	158,878	12/19/07
Vacaville City Coach	Bus Purchase			240,000	240,000	12/19/07
Vallejo Transit	Bus Purchase			304,082	304,082	12/19/07
WestCat	Bus Purchase			150,701	150,701	12/19/07
AC Transit	Bus Purchase	2,998,588			2,998,588	04/23/08
AC Transit	Bus Purchase	(1,276,730)			(1,276,730)	09/26/12
AC Transit	Bus Procurement	1,276,730			1,276,730	09/26/12
BART	Ashby BART Station Elevator	2,000,000			2,000,000	04/23/08
BART	Ashby BART Station Elevator	(270,954)			(270,954)	12/19/12
LAVTA	LAVTA Bus Shelters	100,000			100,000	04/23/08
BART	Oakland Airport Connector		13,000,000		13,000,000	07/22/09
BART	Oakland Airport Connector		(199,000)		(199,000)	09/26/12
BART	MacArthur Transit Village Plaza Improvements	270,954			270,954	12/19/12
	FY2007-08 Subtotal	17,376,588	33,726,977	5,316,568	56,420,133	

\*The Commission adopted the above projects as part of Resolution 3834, which was last revised on July 22, 2009. Projects are listed here for informational purposes.

\*Allocations to MTC Regional Programs increase Lifeline Program access to STA flexible funds - up to \$32 million will be exchanged per Resolution 3814.

\*\*Funds for CCCTA requested to reprogram funds from cost savings on the Diablo Valley Transit Center project to a FY2009-10 Bus Purchase, however the \$278,948 is from FY2007-08.



Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2008-09 Allocations</b>						
BART	BART Pittsburg/Bay Point Station Improvements	320,000			320,000	12/17/08
BART	eBART		3,999,373		3,999,373	12/17/08
BART	Intermodal Access Improvements at West County BART Stations	482,251			482,251	12/17/08
BART	BART Balboa Park Station Westside Entrance and Walkway	1,153,610			1,153,610	12/17/08
CCCTA	Bus Stop Access and Amenity Improvements - Martinez	100,000			100,000	12/17/08
CCCTA	Bus Stop Access and Amenity Improvements - Martinez	(32,885)			(32,885)	09/26/12
CCCTA	Bus Purchase	844,805		613,564	1,458,369	12/17/08
CCCTA	Bus Purchase	32,885			32,885	09/26/12
Dixon	Bus Purchase	75,000			75,000	12/17/08
ECCCTA	Bus Purchase			342,003	342,003	12/17/08
ECCCTA	Bus Shelters and Amenities for Communities of Concern	200,000			200,000	12/17/08
Fairfield	Bus Stop Improvements	300,000			300,000	12/17/08
Fairfield	DART Paratransit Replacement Vehicles	41,600			41,600	12/17/08
Fairfield	Vacaville Bus Shelters	109,800			109,800	12/17/08
GGBHTD	Ferry Terminal Public Restroom Facilities Rehabilitation			318,635	318,635	12/17/08
Healdsburg Transit	Bus Purchase	49,000			49,000	12/17/08
LAVTA	Route 10 Rapid Bus (BRT) Project			241,834	241,834	12/17/08
Marin Transit	Canal Neighborhood Transit Improvements	435,638			435,638	12/17/08
NCTPA	Bus Purchase	274,290		169,094	443,384	12/17/08
Santa Rosa CityBus	Bus Purchase	483,744		131,237	614,981	12/17/08
SFMTA	Central Subway		15,000,000		15,000,000	12/17/08
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	480,000			480,000	12/17/08
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	(395,000)			(395,000)	09/26/12
SFMTA	SFMTA Persia Triangle Improvements	802,734			802,734	12/17/08
SFMTA	SFMTA Persia Triangle Improvements	(675,728)			(675,728)	01/25/12
SMCTD	East Palo Alto Bus Stop Improvements	72,000			72,000	12/17/08
SMCTD	Van Purchase for Shelter Network	28,000			28,000	12/17/08
Sonoma County	Bus Purchase	483,744			483,744	12/17/08
Union City	Bus Purchase			89,500	89,500	12/17/08
Vallejo	Install Bus Shelters and Stops	361,010			361,010	12/17/08
WestCat	Bus Purchase	69,785			69,785	12/17/08
WestCat	Bus Purchase			84,894	84,894	12/17/08
Petaluma	Bus Purchase			138,021	138,021	04/22/09
SMCTD	Bus Purchase	900,000			900,000	04/22/09
Sonoma County	Bus Purchase			319,596	319,596	04/22/09
Vallejo	Bus Purchase			531,829	531,829	04/22/09
VTA	Hybrid Bus Replacements	2,310,367			2,310,367	11/18/09

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2008-09 Allocations</b>						
SFMTA	Central Subway **		1,070,728		1,070,728	11/18/09
BART	BART to Warm Springs **		1,336,440		1,336,440	11/18/09
Healdsburg Transit	Bus Purchase			14,767	14,767	11/18/09
<b>FY2008-09 Subtotal</b>		<b>9,306,650</b>	<b>21,406,541</b>	<b>2,994,974</b>	<b>33,708,165</b>	

\*\*FY2008-09 funds were advanced to these Urban Core projects from the Lifeline category, and the funds returned to Lifeline in FY2009-10. Central Subway's \$1.07 million advance is from Randolph/Farallones/Orizaba Transit Access (\$395,000) and Persia Triangle Improvements (\$675,728). The Warm Springs advance from the Lifeline category had not been assigned to a project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2009-10 Allocations</b>						
AC Transit	Bus Procurement	458,241			458,241	02/24/10
Alameda County	Meekland Avenue Transit Access Improvements	2,500,000			2,500,000	02/24/10
Alameda County	Hacienda Avenue Transit Access Improvements	160,181			160,181	02/24/10
BART	Environmental Justice Access to Berkeley/North Berkeley BART	224,749			224,749	02/24/10
BART	Richmond Station Improvements	262,549			262,549	02/24/10
BART	Hillcrest Park-and-Ride Lot Improvements	595,328			595,328	02/24/10
BART	Warm Springs Extension		8,338,275		8,338,275	02/24/10
CCCTA	Bus Purchase			616,288	616,288	02/24/10
Fairfield	Bus Stop Improvements	119,088			119,088	02/24/10
Fairfield	Downtown Suisun City/Fairfield Transportation Flex Shuttle	60,000			60,000	02/24/10
GGBHTD	Marin City Transit Hub	75,119			75,119	02/24/10
GGBHTD	Canal Neighborhood Transit Improvements	209,162			209,162	02/24/10
GGBHTD	Larkspur Ferry Channel and Berth Dredging*			320,049	320,049	02/24/10
LAVTA	Bus Engine Rehabilitation			242,907	242,907	02/24/10
NCTPA	Bus Stop Improvements	178,992			178,992	02/24/10
NCTPA	Replacement of Paratransit Vehicles			169,845	169,845	02/24/10
Petaluma	Bus Purchase			132,187	132,187	02/24/10
Santa Rosa CityBus	Hybrid Bus Purchase	331,662		139,861	471,523	02/24/10
SFMTA	Central Subway**		8,338,268		8,338,268	02/24/10
Sonoma County Transit	Replacement CNG Bus Purchase	331,661		319,581	651,242	02/24/10
Union City	Bus Purchase			89,898	89,898	02/24/10
Vallejo	Bus Shelters and Stops	400,004			400,004	02/24/10
WestCat	Real Time Signage Installation			85,271	85,271	02/24/10
SFMTA	Balboa Park Station Improvements	270,819			270,819	04/28/10
SFMTA	Hunter's View Accessibility to Transit Improvements	510,160			510,160	04/28/10
SMCTD	Bus Stop Improvements	196,867			196,867	04/28/10
SMCTD	San Bruno Bus Stop Improvements	201,600			201,600	04/28/10
SMCTD	San Bruno Belle Air Transit Circulation Improvements	151,251			151,251	04/28/10
SMCTD	Bus Purchase - San Bruno	100,000			100,000	04/28/10

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2009-10 Allocations</b>						
SMCTD	Daly City Bus Stop Improvements	187,181			187,181	04/28/10
SMCTD	Bus Purchase - Pacifica	56,221			56,221	04/28/10
VTA	Paratransit Vehicle and Equipment Purchase	3,475,650			3,475,650	04/28/10
ECCCTA	30 Bus Replacement			343,521	343,521	05/25/11
SFMTA	Central Subway**		216,000		216,000	05/25/11
SFMTA	Balboa Park Station Eastside Connections	592,891			592,891	05/25/11
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements	395,000			395,000	05/25/11
SFMTA	Randolph/Farallones/Orizaba Transit Access Improvements ***	(100,510)			(100,510)	06/22/16
SFMTA	Mission Bay Loop ***	100,510			100,510	06/22/16
SFMTA	Hunter's View Accessibility to Transit Improvements****	(13,164)			(13,164)	10/26/16
SFMTA	Mission Bay Loop ****	13,164			13,164	10/26/16
Sonoma County Transit	Replacement Bus Purchase			14,672	14,672	05/25/11
Vallejo	Intercity Bus Replacement			534,190	534,190	05/25/11
SFMTA	SFMTA Persia Triangle Improvements	675,734			675,734	01/25/12
<b>FY2009-10 Subtotal</b>		<b>12,720,110</b>	<b>16,892,543</b>	<b>3,008,270</b>	<b>32,620,923</b>	

\*GGBHTD's Larkspur Ferry Channel and Berth Dredging project was replaced by the Refurbishment of the MS San Francisco project on 2/23/11 and is listed below in the FY2010-11 Allocation section.

\*\* In Lifeline Cycle 2, FY2009-10 funds totaling \$216,000 were advanced to the Urban Core category from the Lifeline category. To meet the Lifeline commitment, in June 2015, \$216,000 in savings from SFMTA's 8X Mobility Maximization Lifeline project were transferred to the Potrero Hill Pedestrian Safety and Transit Stop Improvements Lifeline project via a Corrective Action Plan.

\*\*\* On 6/22/2016, \$100,510 in cost savings was transferred from SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements project to the Mission Bay Loop project.

\*\*\*\*On 10/26/16 \$13,164 in cost savings was transferred from SFMTA's Hunter's View Accessibility to Transit Improvements project to the Mission Bay Loop project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2010-11 Allocations</b>						
BART	BART Warm Springs Extension		6,987,098		6,987,098	02/23/11
BART	eBART		12,662,433		12,662,433	02/23/11
CCCTA	Rolling Stock Replacement			1,463,184	1,463,184	02/23/11
CCCTA	Facility Rehabilitation			1,259,757	1,259,757	02/23/11
GGBHTD	Refurbishment of the MS San Francisco*			320,049	320,049	02/23/11
GGBHTD	Purchase One 34-Passenger Shuttle			365,000	365,000	02/23/11
GGBHTD	Purchase One 18-Passenger Shuttle			102,716	102,716	02/23/11
GGBHTD	Purchase 14 Vehicles			546,355	546,355	02/23/11
GGBHTD	Bus Stop Revitalization			400,000	400,000	02/23/11
LAVTA	Engine Rehabilitation and Replacement Buses			1,073,235	1,073,235	02/23/11
NCTPA	Farebox Replacement Modernization Project			750,425	750,425	02/23/11
Santa Rosa CityBus	Replacement Buses			889,008	889,008	02/23/11
SFMTA	Central Subway		37,167,911		37,167,911	02/23/11
Sonoma County Transit	Replacement Bus Purchase			1,471,964	1,471,964	02/23/11
VTA	Santa Clara Line 522/523 Bus Rapid Transit		10,000,000		10,000,000	02/23/11
WestCat	Bus Purchase			376,753	376,753	02/23/11
WestCat for Petaluma	Facilities Upgrade and Bus Purchase			317,844	317,844	02/23/11
ECCCTA	30 Bus Replacement			1,517,777	1,517,777	05/25/11
BART	Train Control Switch Machine Replacement** and/or Speed Frater Switch Machine Replacement, BART Mainline Cover Board Antenna Replacement, BART Mainline Signal Light Replacement		17,500,000		17,500,000	Approved 6/22/2011 Scope change approved 7/23/14
AC Transit	Contra Costa College Transit Center Improvements	160,000			160,000	05/23/12
AC Transit	Contra Costa College Transit Center Improvements	500,000			500,000	12/18/13
AC Transit	Diesel Electric Hybrid Articulated Buses for Rapid Service and/or design and construction of the East Bay Bus Rapid Transit Project	5,040,000			5,040,000	Approved 5/23/2012 Scope change approved 7/23/14
AC Transit	Internal Text Messaging Signs	(500,000)			(500,000)	12/18/13
AC Transit	Internal Text Messaging Signs	500,000			500,000	05/23/12
AC Transit	San Leandro BART Station Terminus	2,703,487			2,703,487	05/23/12
BART	Bicycle Station and Locker Parking	659,650			659,650	05/23/12
BART	Bus Shelter Program for ADA riders	100,000			100,000	05/23/12
BART	Concord Intermodal Improvements	400,000			400,000	05/23/12
BART	Richmond Eastside Intermodal Improvements	1,500,000			1,500,000	05/23/12
BART	Wayfinding Signage and Real-Time Display	5,513,360			5,513,360	05/23/12

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2010-11 Allocations</b>						
CCCTA	Rolling Stock Replacement	484,534			484,534	05/23/12
ECCCTA	A&E for PnR Lot in NW Antioch	327,019			327,019	05/23/12
Fairfield	Local Bus Replacement	547,328			547,328	05/23/12
GGBHTD	Advanced Communications and Information System	492,729			492,729	05/23/12
GGBHTD	Marin County Bus Stop Improvements	985,000			985,000	05/23/12
LAVTA	Bus Stop Repair/Refurbishment	240,910			240,910	05/23/12
NCTPA	VINE Transit CAD/AVL System****	213,647			213,647	03/23/16
NCTPA	Three Paratransit Vehicles	192,000			192,000	05/23/12
NCTPA	Two replacement buses for American Canyon Transit	192,000			192,000	05/23/12
Santa Rosa CityBus	Vehicle Replacement and Transit Improvements	1,268,194			1,268,194	05/23/12
SMCTD	Replacement of 1998 Gillig Buses****	1,821,373			1,821,373	03/23/16
SMCTD	San Carlos Transit Center Project****	451,324			451,324	03/23/16
SolTrans	Intercity Bus Replacement	1,000,000			1,000,000	05/23/12
Sonoma County Transit	Diesel Electric Hybrid Articulated Buses for Rapid Service and/or design	593,864			593,864	05/23/12
WestCat	Purchase and Installation of Bus Shelters	147,335			147,335	05/23/12
WestCat	Purchase and Installation of Bus Shelters *****	(147,335)			(147,335)	06/22/16
WestCat	Dial-A-Ride Replacement Vehicles *****	147,335			147,335	06/22/16
WestCat for Petaluma	Bus Stop Improvements - City of Petaluma	76,734			76,734	05/23/12
SFMTA	8X Mobility Maximization	9,310,080			9,310,080	06/27/12
SFMTA	8X Mobility Maximization	(4,025,080)			(4,025,080)	12/19/12
SFMTA	Mission Mobilization Maximization	2,413,350			2,413,350	06/27/12
SFMTA	Mission Mobilization Maximization	2,643,541			2,643,541	12/19/12
VTA	Santa Clara/Alum Rock Rapid Transit Bus Purchase	9,186,049			9,186,049	06/27/12
Union City	Replacement CNG Buses			397,194	397,194	06/27/12
SFMTA	Mission Bay Loop	1,381,539			1,381,539	12/19/12
SolTrans	Solano Express Bus Replacement			2,360,208	2,360,208	02/27/13
<b>FY2010-11 Subtotal</b>		<b>46,519,967</b>	<b>84,317,442</b>	<b>13,291,420</b>	<b>144,128,829</b>	

\*GGBHTD's Refurbishment of the MS San Francisco project replaces the previously submitted project, Larkspur Ferry Channel and Berth Dredging. The MTC approval date for the Refurbishment project is 2/23/11, however the funding is from FY2009-10.

\*\*The \$17.5M for BART's Train Control Switch Machine Replacement project is part of a 1:1 funding exchange between AC Transit, BART and SFMTA that MTC approved on June 22, 2011 (see also MTC Reso. 3831, Revised). Through this exchange, SFMTA will receive \$17.5M in CMAQ funding instead of Prop 1B PTMISEA Urban Core funding.

\*\*\* On 12/18/13, \$500,000 in Proposition 1B funding were transferred from AC Transit's Internal Text Messaging Signs project to the Contra Costa College Transit Center Improvements project, due to cost savings on the Internal Text Messaging Signs project and will allow the scope of the Transit Center Improvements project to include real-time displays and amenities upgrades.

\*\*\*\* On 3/26/2016, \$213,647 in Proposition 1B funding was transferred from NVTA's cancelled Napa Valley College Northbound Shelter project to VINE Transit CAD/AVL project. \$451,324 in Proposition 1B funding was transferred from SamTrans bus purchase to the San Carlos Transit Center project.

\*\*\*\*\* On 6/22/2016, \$147,335 in Proposition 1B funding was transferred from WestCAT's cancelled Purchase and Installation of Bus Shelters project to the Dial-A-Ride Replacement Vehicles project.

Sponsor	Project	Lifeline	Urban Core	Small Operators/ North Counties	Population-based Total	MTC Approval Date
<b>FY2014-15 Allocations</b>						
BART	BART to Warm Springs Extension		160,319		160,319	07/23/14
BART	East Contra Costa BART Extension		160,319		160,319	07/23/14
CCCTA	Rolling Stock			1,453,214	1,453,214	07/23/14
ECCCTA	Rolling Stock Replacements			810,026	810,026	07/23/14
Fairfield	Fairfield/Vacaville Intermodal Station			1,259,623	1,259,623	07/23/14
GGBHTD	Marin Transit Purchase 4 30ft Transit Vehicle			300,000	300,000	07/23/14
GGBHTD	Marin Transit Purchase 16 ADA Paratransit Vehicles			271,810	271,810	07/23/14
NCTPA	VINE Transit CAD/AVL System			400,496	400,496	07/23/14
Santa Rosa CityBus	ADA Improvements, Safety Modifications and Vehicle Replacements			495,807	495,807	07/23/14
Sonoma County Transit	CNG Bus Replacements			762,391	762,391	07/23/14
WestCat	Bus Purchase			201,070	201,070	07/23/14
WestCat for Petaluma	Replace (4) 40' low floor transit buses - CNG			171,465	171,465	07/23/14
SFMTA	Central Subway		19,660,756		19,660,756	07/23/14
VTA	VTA Santa Clara/Alum Rock Corridor BRT		24,802,176		24,802,176	07/23/14
Union City	Replacement Transit Buses			211,979	211,979	07/23/14
LAVTA	FY2014-15 Bus Replacement Project			572,778	572,778	12/17/14
AC Transit	Vehicle Replacements	4,299,828			4,299,828	03/25/15
CCCTA	City of Concord - Bus Stop Access Improvements	255,194			255,194	03/25/15
NCTPA	VINE Transit CAD/AVL System	299,070			299,070	03/25/15
LAVTA	Transit Center Upgrades and Improvements	125,625			125,625	04/22/15
BART	19th Street Wayfinding and Lighting	2,072,000			2,072,000	04/22/15
ECCTA	Replacement and Expansion Vehicles	178,754			178,754	04/22/15
WestCat	Dial-A-Ride Replacement Vehicles	81,113			81,113	04/22/15
BART	Lighting Enhancements at El Cerrito del Norte	1,312,326			1,312,326	04/22/15
GGBHTD	Novato Transit Facility at Redwood Boulevard and Grant Ave	787,196			787,196	04/22/15
SFMTA	Van Ness Bus Rapid Transit (BRT)*	6,189,054			6,189,054	04/22/15
BART	Wayfinding Signage and Pit Stop Initiative	1,220,326			1,220,326	04/22/15
SamTrans	Fixed Route Bus Procurement	1,230,533			1,230,533	04/22/15
VTA	Replacement Vehicles	4,832,062			4,832,062	04/22/15
SolTrans	Replacement Vehicles	890,796			890,796	06/24/15
Santa Rosa CityBus	Lifeline Vehicle Replacement	671,975			671,975	04/22/15
Sonoma County Transit	CNG Bus Purchase	373,086			373,086	04/22/15
GGBHTD	Purchase One 30-Foot Transit Vehicle			182,870	182,870	05/27/15
Dixon	Replacement Vehicle	8,421			8,421	06/24/15
<b>FY2014-15 Subtotal</b>		<b>24,827,359</b>	<b>44,783,570</b>	<b>7,093,529</b>	<b>76,704,458</b>	

\* Note added 10/28/15: MTC approved \$6,189,054 for the Van Ness BRT project in April 2015. Upon receipt of SFMTA's Van Ness BRT allocation request, Caltrans PTMISEA staff and the State Controller's Office (SCO) discovered that, in fall 2014, they had mistakenly allocated \$639,282 in MTC's PTMISEA Pop-Based (99313) funds to SFMTA for their Light Rail Vehicle (LRV) project instead of PTMISEA Revenue-Based (99314) funds. Therefore, Caltrans staff and the SCO issued only \$5,549,772 in 99313 funds to the Van Ness BRT project, and instructed SFMTA to submit a Corrective Action Plan (CAP) to move \$639,282 in 99313 funds from the LRV project to the Van Ness BRT project to make the Van Ness BRT project whole. SFMTA submitted and MTC approved such a CAP in October 2015.

BART	BART Ticket Vending Machines Upgrade*				1,173,544	09/23/15
* Funding available to MTC per January 28, 2015 SCO letter. The remaining roughly \$500,000 from the total \$1.7 in the SCO letter was previously distributed based on Res. 3814 framework distribution.						
<b>Total</b>		<b>110,750,674</b>	<b>201,127,073</b>	<b>31,704,761</b>	<b>344,756,052</b>	

Date: January 28, 2009  
W.I.: 1311  
Referred by: PAC  
Revised: 05/27/09-C 10/28/09-C  
12/16/09-C 02/24/10-C  
04/28/10-C 07/28/10-C  
05/25/11-C 11/16/11-C  
01/25/12-C 12/19/12-C  
04/22/15-C 06/22/16-C  
10/26/16-C

### ABSTRACT

Resolution No. 3881, Revised

This resolution adopts the FY 2009 through FY 2011 Program of Projects for MTC's Second-Cycle Lifeline Transportation Program, funded with State Transit Assistance (STA), Proposition 1B Transit and Job Access Reverse Commute (JARC) funds.

The evaluation criteria established in Resolution 3860 were used by the county entities administering the program to develop the program of projects.

The following attachments are provided with this resolution:

Attachment A — Second-Cycle Lifeline Transportation Program of Projects -  
FY2009-2011

This resolution was revised on May 27, 2009 to amend Attachment A to incorporate changes to STA-funded projects based on STA reductions in the final FY2009 state budget, and adds two new projects – new transit and shuttle service in Napa County and a SamTrans bus purchase in San Mateo County.

This resolution was revised on October 28, 2009 to amend Attachment A to revise and add STA funds to the Balboa Park Station entrance project in San Francisco County and to incorporate Proposition 1B-funded projects in Santa Clara County.

This resolution was revised December 16, 2009 to add the Tier 2 program of projects.

This resolution was revised February 24, 2010, to revise funding amounts of Tier 2 Proposition 1B-funded projects to reflect actual state appropriations for FY2009-10 and to add four new Tier 2 projects in San Mateo County.

This resolution was revised April 28, 2010, to add Tier 2 funds to three existing projects and one new project in San Francisco County and to three existing projects in Santa Clara County.

This resolution was revised July 28, 2010, to adjust previously awarded Tier 2 JARC funding amounts based on federal FY2010 appropriations, add five new JARC-funded projects selected by Caltrans in small urbanized areas, and reprogram Tier 2 STA funds in San Mateo County following the discontinuation of the Family Service Agency's Transportation Reimbursement Independence Program.

This resolution was revised on May 25, 2011 to approve new Santa Clara County Lifeline Transportation Program projects.

This resolution was revised on November 16, 2011 to amend Attachment A to make corrections to the FY2005-06 through FY2007-08 Lifeline Transportation program of projects.

This resolution was revised on January 25, 2012 to adjust previously programmed JARC funding amounts in Alameda County.

This resolution was revised on December 19, 2012 to amend Attachment A to approve new projects in Alameda and Napa counties, funded with savings achieved from other projects.

This resolution was revised on April 22, 2015 to amend Attachment A to reprogram \$216,000 in San Francisco County Proposition 1B funds from the San Bruno Transit Preferential Streets (TPS) project to the Potrero Hill Pedestrian Safety and Transit Stop Improvements project.



This resolution was revised on June 22, 2016 to amend Attachment A to reprogram \$100,510 in cost savings from SFMTA's Randolph/Farallones/Orizaba Transit Access Improvements project to the Mission Bay Loop project (an existing Lifeline Cycle 3 project that is being newly added to Lifeline Cycle 2).

This resolution was revised on October 26, 2016 to amend Attachment A to reprogram \$13,164 in project cost savings from the Hunter's View Revitalization Transit Stop Connection to the Mission Bay Loop project, (an existing Lifeline Cycle 3 project that was added to Lifeline Cycle 2 on June 22, 2016).

Further discussion of this action is contained in both the MTC Executive Director's Memorandum to the Programming and Allocations Committee and the Programming and Allocations Committee summary sheet dated January 14, 2009, May 13, 2009, October 14, 2009, December 9, 2009, February 10, 2010, April 14, 2010, July 14, 2010, May 11, 2011, November 9, 2011, January 11, 2012, December 12, 2012, April 8, 2015, June 8, 2016 and October 12, 2016.

Date: January 28, 2009  
W.I.: 1311  
Referred by: PAC

RE: Second-Cycle Lifeline Transportation Program of Projects – FY 2009 - FY 2011

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3881

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code § 66500 et seq.; and

WHEREAS, MTC adopted Resolution 3860, which establishes program guidelines to be used for the funding and oversight of the Second-Cycle of the Lifeline Transportation Program, Fiscal Years 2009-2011; and

WHEREAS, MTC used the process and criteria set forth in Attachment A of Resolution 3860 to fund a Program of Projects for the Second-Cycle Lifeline Transportation Program with State Transit Assistance (STA), Proposition 1B Transit and Job Access Reverse Commute (JARC) and funds;

WHEREAS, the Second-Cycle Lifeline Transportation Program of Projects is set forth in Attachment A of this resolution, attached hereto and incorporated herein as though set forth at length; now therefore be it

RESOLVED, that MTC approves the Program of Projects for the Second-Cycle Lifeline Transportation Program, as set forth in Attachment A of this resolution; and be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required, to the Governor, Caltrans, and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 28, 2009.

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Alameda County													
1	San Leandro LINKS Shuttle	San Leandro Transportation Management Organization	Provide service from San Leandro BART to employment and family services in San Leandro			370,000	370,000				-	370,000	(m) revised 01/25/12
2	Quicker, Safer Trip to the Library	BART/Oakland Public Library - West Oakland	Continued shuttle service for Oakland pre-school and schoolchildren, teachers and parents to the W. Oakland Library	219,000			219,000				-	219,000	(c)
3	AC Transit existing service preservation in communities of concern	AC Transit	Continue existing services on Lines 63, 47, 40, 91, 93 serving Alameda, Oakland, San Leandro, Ashland, Cherryland, San Leandro, and S. Hayward	4,219,210			4,219,210	989,330		876,186	1,865,516	6,084,726	revised 07/28/10
4	Neighborhood bicycle centers	East Bay Bicycle Coalition/Cycle of Change	Fund bike distribution and education programs in Oakland and Alameda			349,000	349,000				-	349,000	(m) revised 01/25/12
5	WHEELS Route 14 Service Provision	LAVTA	Continue service from residential Livermore to downtown business areas and regional transit to Livermore Transit Center	89,000		67,494	156,494			345,563	345,563	502,057	(f) revised 07/28/10
6	Ashby BART Station elevator	BART	Install elevator at the Ashby BART Station in conjunction with the Ed Roberts Campus		1,729,046		1,729,046				-	1,729,046	(a) revised 12/19/12
7	Bus shelters	LAVTA	Install bus shelters		100,000		100,000				-	100,000	(a)
8	Bus purchase	AC Transit	Purchase AC Transit rolling stock buses		2,998,588		2,998,588				-	2,998,588	(a)
9	Meekland Avenue Transit Access Improvements	Alameda County	Bus access improvements on Meekland Avenue including sidewalk, ADA ramp, bulb outs, and lighting				-		2,500,000		2,500,000	2,500,000	(f) added 12/16/09
10	Hacienda Avenue Transit Access Improvements	Alameda County	Bus access improvements, including sidewalks and high visibility pedestrian crossings on Hacienda Ave between Hathaway Ave and Hesperian Blvd.				-		160,181		160,181	160,181	(f) added 12/16/09
11	Environmental Justice Access to BART	BART	Install secure bike parking at North Berkeley and Berkeley stations				-		224,749		224,749	224,749	revised 2/24/10
12	WHEELS Route 14 Civic Center Busway and Stops	LAVTA	Construct turnaround busway and two bus stops with shelters and benches at Civic Center, adjacent to housing, employment, and social services.				-	150,000	-		150,000	150,000	added 12/16/09
13	MacArthur BART Station Plaza Improvement	BART	Station improvements including bike racks, tactile path and wayfinding from bus loading through the plaza to the station entrance and accessible fare gates, and other upgrades		270,954		270,954		-		-	270,954	added 12/19/12
County Bid Target				4,527,210	5,098,588	786,582	10,412,380	1,139,330	2,884,930	1,221,749	5,246,009	15,658,389	
Proposed Programming				4,527,210	5,098,588	786,494	10,412,292	1,139,330	2,884,930	1,221,749	5,246,009	15,658,301	
Unprogrammed Balance				-	-	88	88	-	-	-	-	88	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL Lifeline Funding	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total		
Contra Costa													
14	Operating Funding for low income access to health care	Tri-Delta ECCTA	Maintain service on Route 201, which provides service between Bay Point, and central county destinations including medical centers, schools, BART and Sun Valley Mall	118,687		96,759	215,446	23,481		125,398	148,879	364,325	revised 07/28/10
15	Continued operation of County Connection Lifeline routes	CCCTA	Preserve frequency and coverage of Routes 114, 111 & 314 serving the Monument Corridor and BART, as well as Routes 108,116,118 and 308 serving downtown Martinez, medical clinics, County offices,	627,086		120,395	747,481	134,157		126,581	260,738	1,008,219	revised 07/28/10
16	Continued operation of WestCAT C3 Route	WestCAT	Continue C3 service, operating between the Hercules Transit Center & Contra Costa College, with timed connections to Route 11 that operates into Crockett & Rodeo	338,115		21,253	359,368	73,463		23,690	97,153	456,521	revised 07/28/10
17	Maintain existing Lifeline services in western Contra Costa County	AC Transit	Communities of Concern. These routes connect residents to employment centers, retail establishments, schools, social service agencies, and health care.	1,290,604		120,436	1,411,040	288,665		134,243	422,908	1,833,948	revised 07/28/10
18	Bus Shelters	Tri-Delta ECCTA	Procure and install bus shelters and related facilities such as signage, schedule holders, trash receptacles, lighting and minor site improvements in the Pittsburg/Bay Point/Antioch and Brentwood communities of concern.		200,000		200,000				-	200,000	
19	Rolling Stock for County Connection Lifeline routes	CCCTA	Provide funds for replacement rolling stock to preserve service on Routes 108, 111, 114, 116, 118, 308, and 314 serving communities of concern		844,805		844,805				-	844,805	
20	Rolling Stock for WestCAT Lifeline route	WestCAT	Vehicle replacement for Route C3 (see project 14)		69,785		69,785				-	69,785	
21	BART Bay Point/Pittsburg station improvements	BART	Increase lighting throughout the bus intermodal area of the station, and provide static and real time transit information for both bus and BART patrons		320,000		320,000				-	320,000	
22	BART Richmond Station Improvements	BART	Make improvements to the intermodal zone at the Richmond Transit Village (upgrading 13 existing bus shelters and resurfacing the intermodal area).		482,251		482,251		262,549		262,549	744,800	revised 12/16/09
23	County Connection Martinez bus stop improvements and access	CCCTA	Construct an ADA-accessible bus stop, provide bus stop seating at 15 locations, provide transit access improvements and provide two pedestrian-activated lighted crosswalks in Martinez		100,000		100,000				-	100,000	
24	Rolling stock replacement for AC Transit	AC Transit	Replacement buses will operate on routes in and around the Richmond area community of concern and also be interlined with other routes system wide to effectiveness of services to all AC Transit riders				-		458,241		458,241	458,241	revised 2/24/10
25	Hillcrest Park-and-Ride Lot Improvements	BART	Improvements to the Hillcrest Park-and-Ride Lot, including pedestrian and bicycle access improvements for predominantly low-income Tri Delta Transit patrons. New improvements will be consistent with the design and construction of the proposed eBART Project				-		595,328		595,328	595,328	revised 2/24/10
County Bid Target				2,374,491	2,016,841	358,843	4,750,175	519,767	1,316,118	409,912	2,245,797	6,995,972	
Proposed Programming				2,374,492	2,016,841	358,843	4,750,176	519,766	1,316,118	409,912	2,245,796	6,995,972	
Unprogrammed Balance				(1)	-	-	(1)	1	-	-	1	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total	Lifeline Funding	
Marin													
26	Marin City Transit Hub and Donohue Street ADA improvements	Marin County	Build sheltered community bus stop and transit hub with user amenities including safety lighting, landscaping, informational kiosks, seating, passenger shelter and bike racks.			77,510	77,510		75,119	88,541	163,660	241,170	(d) revised 07/28/10
27	Marin City Community shuttle loop and service to Marin General Hospital	Marin Transit	Provide hourly, day-time service from Marin City to Marin General Hospital and nearby medical offices in Greenbrae.	279,890			279,890	112,270			112,270	392,160	(d) revised 12/16/09
28	Canal Neighborhood transit & Ped Access & safety improvements - phase 2	San Rafael	Provide safe path to transit, improve nonmotorized access and improve traffic operations at 7 intersections in Canal neighborhood of San Rafael.	-	435,638		435,638		209,162		209,162	644,800	(d) revised 12/16/09
29	Ride to school for parents	San Rafael City Schools	Provide regularly scheduled shuttle service (transportation to school meetings and events) for Canal residents who currently have no transportation access to San Pedro Elementary School.	233,000			233,000				-	233,000	(d)
County Bid Target				512,890	435,638	77,510	1,026,038	112,270	284,281	88,541	485,092	1,511,130	
Proposed Programming				512,890	435,638	77,510	1,026,038	112,270	284,281	88,541	485,092	1,511,130	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	

<b>Napa</b>													
30	Rolling stock acquisition	NCTPA	Bus purchase for replacement rolling stock		274,290		274,290				-	274,290	
31	Napa Shuttle, FlexRIDE Shuttle and VINE Express	NCTPA	Operating assistance for the Napa Shuttle, FlexRIDE Shuttle and VINE Express. These programs provide service to low-income residents, including senior and disabled populations, and provide transportation to training, jobs and services.	322,931		50,000	372,931				-	372,931	added 5/27/09
32	VINE Route 11	NCTPA	Extend operation of VINE rural connector service (route 11) between Calistoga and Santa Rosa				-	-			-	-	added 12/16/09, (n) revised 12/19/12
33	Bus passenger accommodations	NCTPA	Provide for the purchase and installation of 14 bus shelters and benches, 21 stand-alone benches, and 21 up-Valley iStops with seating				-		178,992		178,992	178,992	revised 2/24/10
34	Agricultural Worker Vanpool Program	NCTPA	Operating Assistance to implement the Napa County Agriculture Worker Vanpool Program. Vouchers will be used to offset the participant's cost of riding the vanpool.			35,000	35,000			135,000	135,000	170,000	(f) added 07/28/10, revised 11/16/11
35	VINE Express Route 29	NCTPA	Operating assistance for Route 29 between the northern border of the City of Napa and Calistoga				-	70,688			70,688	70,688	(n) added 12/19/12
County Bid Target				322,931	274,290	84,494	681,715	70,688	178,992	135,000	384,680	1,066,395	
Proposed Programming				322,931	274,290	85,000	682,221	70,688	178,992	135,000	384,680	1,066,901	
Unprogrammed Balance				-	-	(506)	(506)	-	-	-	-	(506)	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
San Francisco County													
36	Shopper Shuttle	MTA	Provide a twice-monthly group van shopping service to low-income seniors and persons with disabilities who have difficulty using public transit for shopping needs	1,560,000			1,560,000				-	1,560,000	
37	Route 108 Treasure Island Enhanced Service	MTA	Continue providing more frequent peak period and all-night service on Route 108	262,228			262,228	408,312		495,172	903,484	1,165,712	revised 07/28/10
38	Route 29 Reliability Improvement Project	MTA	Continue providing extra buses on Route 29 to increase reliability, reduce pass-ups, relieve over-crowding and address schedule adherence problems	293,717		433,483	727,200				-	727,200	
39	Persia Triangle Transit Access Improvements Project	MTA	Build bus bulbs, consolidate bus stops and change traffic circulation to improve pedestrian access to transit, conditions at bus stops and transit connectivity		802,734		802,734				-	802,734	
40	Randolph/Parallones/ Orizaba Transit Access Project	MTA	Install a transit bulb island and sidewalk curb cuts to improve pedestrian safety and M-Line light rail vehicle operations		379,490		379,490				-	379,490	(p) revised 06/22/16
47	Mission Bay Loop	SFMTA	Streets to allow the T-Third line to turnaround mid-route and thus enable a significant increase in transit frequencies between Mission Bay, South of Market, and downtown neighborhoods, as well as		113,674		113,674				-	113,674	(p) added 06/22/16 (q) added 10/26/16
41	Balboa Park Station Eastside Connections Project	BART	Construct a safe and accessible walkway across BART tracks to the Muni Metro boarding area on the east side of Balboa Park BART station	752,440	1,153,610		1,906,050				-	1,906,050	revised 10/28/09
		MTA					219,567	863,710		1,083,277	1,083,277	added 4/28/10	
42	Hunter's View Revitalization Transit Stop Connection	SF Mayor's Office of Housing	Provide an accessible pedestrian connection for Bayview/Hunters Point residents (including 4 affordable housing developments) to existing and new transit stops that are to be built as part of the Hunters View public housing revitalization project.				-		496,996		496,996	496,996	(i) added 4/28/10 (q) revised 10/26/16
43	CHPS Improvements	MTA	San Bruno Avenue between Silver Avenue and Bayshore Boulevard				-		0		-	-	added 12/16/09
43	Stop Improvements	MTA	Improve pedestrian safety, transit access, and a sense of place by				-		216,000		216,000	216,000	added 4/22/15
County Bid Target				2,868,385	2,436,344	433,483	5,738,212	627,879	1,589,870	495,172	2,712,921	8,451,133	
Proposed Programming				2,868,385	2,449,508	433,483	5,751,376	627,879	1,576,706	495,172	2,699,757	8,451,133	
Unprogrammed Balance				-	(13,164)	-	(13,164)	-	13,164	-	13,164	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
San Mateo													
44	East Palo Alto (EPA) Youth Shuttle, Mobility Manager, Bus Shelters, Shuttle Operations	City of East Palo Alto	Shuttle (2) Maintain funding for EPA Mobility Manager (3) Improve up to 4 EPA bus stop shelters, benches and amenities (4) Plan for shuttle operations for the Dumbarton Rail station area plan	207,454	72,000	139,393	418,847			80,912	80,912	499,759	revised 12/16/09
45	Bayshore Shuttle Service	Daly City	Bayshore neighborhood with transit and essential destinations in western Daly City. The shuttle will operate 10 hours on weekdays, expanding in the second year to add 6 hours of service on weekends.	368,929			368,929	(32,012)		144,097	112,085	481,014	(g) revised 07/28/10
46	Route 280	Samtrans	Maintain Route 280, which serves CalWorks clusters and essential destinations for the residents of East Palo Alto.	415,935			415,935	31,211			31,211	447,146	revised 07/28/10
47	Route 17	Samtrans	Maintain Route 17, which serves the Half Moon Bay area, to add service during the peak commute period, new Sunday service and extended evening hours.	356,393			356,393	72,029			72,029	428,422	revised 12/16/09, 2/24/10
48	Van purchase and operations for shelter resident transportation	Shelter Network	Purchase van and provide on-demand service for residents of four homeless shelters in San Mateo County.		28,000	64,430	92,430			7,820	7,820	100,250	
49	Fixed-Route 17 Bus Procurement	Samtrans	Bus purchase for Route 17		900,000		900,000				-	900,000	added 5/27/09
50	Senior Service bus/van purchase	Pacifica	Purchase of a replacement, 20 passenger wheel chair accessible bus to transport seniors (majority are low-income) and disabled adults to/ from the Senior Center, for local outing, shopping trips and medical appointments.				-	6,000	56,221		62,221	62,221	(h) added 12/16/09
51	Belle Air Parking Lot modification	San Bruno	Curve correction and street elevation adjustments to accommodate public transit bus service near Belle Air Elementary School. Additional improvements include parking lot reconfiguration, sign installations, striping, sidewalk installation, driveway improvements, curb ramps, and bus shelters to accommodate pedestrians.				-	6,000	151,251		157,251	157,251	(h) added 12/16/09
52	Senior shuttle bus	San Bruno	improve low-income elderly transportation to the Senior Center. This bus will also be used to provide low-income children transportation to the Recreation Center.				-	6,000	100,000		106,000	106,000	(h) added 12/16/09
53	Sidewalks, solar bus shelters, curb ramps	San Bruno	lighted bus shelters and accessible curb ramps adjacent to and leading to SamTrans bus stops in the City of San Bruno. The project intends to improve access for people with disabilities and improve safety and the				-	6,000	201,600		207,600	207,600	(h) added 12/16/09
54	Countywide Low-Income Bus Tickets	San Mateo County HSA	Provide bus tokens, bus tickets and bus passes for low-income families, and individuals participating in self-sufficiency and family strengthening activities.				-	200,000			200,000	200,000	(h) revised 7/28/10
55	Bayshore Bus Stop Improvements	Daly City	southbound bus stop on Bayshore Boulevard, just south of Geneva				-		187,181		187,181	187,181	added 2/24/10
56	Concern	SamTrans	throughout communities of concern.				-		196,867		196,867	196,867	added 2/24/10
County Bid Target				1,348,711	1,145,565	203,823	2,698,099	295,228	747,555	232,829	1,275,612	3,973,711	
Proposed Programming				1,348,711	1,000,000	203,823	2,552,534	295,228	893,120	232,829	1,421,177	3,973,711	
Unprogrammed Balance				-	145,565	-	145,565	-	(145,565)	-	(145,565)	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Santa Clara County													
57	Bus Lines 17 & 14 in Gilroy	SCVTA	Improve community bus services on Lines 14 & 17 in Gilroy by operating as two-way routes	984,982			984,982	216,556			216,556	1,201,538	revised 12/16/09
58	Family Transportation Services	Outreach & Escort	Maintain funding to the Family Transportation Services programs, Guaranteed Ride Home (GRH) and Jump Start (JS). The GRH program provides door-to-door transportation to program participants and their dependent children. The JS program provides financial assistance to cover the cost of minor repairs to personal vehicles.	998,292		632,276	1,630,568	218,360		473,450	691,810	2,322,378	(b) revised 07/28/10 (k) revised 5/25/11, 11/16/11
59	Together We Ride	Outreach & Escort	Provide transportation to homeless families, veterans, emancipated foster youth, political refugees and other vulnerable populations. Transit capital funds to purchase hybrid sedans and small wheelchair-lift equipped buses for multiple rider groups	887,785	2,100,741		2,988,526	193,998	1,374,908		1,568,906	4,557,432	revised 04/28/10
60	Senior Transportation	Outreach & Escort	Maintain funding for program providing seniors with a menu of transportation options, such as demand-response rides, individualized transportation plans and a volunteer driver program	1,251,057			1,251,057	273,402			273,402	1,524,459	revised 04/28/10
61	Hybrid Bus Purchase	SCVTA	prevent service delays and run cancellations and reduce fuel		1,400,494		1,400,494		909,873		909,873	2,310,367	revised 12/16/09
62	Ways to Work Family Loan Program	Peninsula Family Service	interest loans to low-income families for the purpose of purchasing or				-			339,739	339,739	339,739	revised 11/16/11
County Bid Target				4,122,116	3,501,235	632,276	8,255,627	902,316	2,284,781	813,189	4,000,286	12,255,913	
Proposed Programming				4,122,116	3,501,235	632,276	8,255,627	902,316	2,284,781	813,189	4,000,286	12,255,913	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	



## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1 Total	Tier 2 Funding Sources			Tier 2 Total	TOTAL Lifeline Funding	Notes
				STA	1B	JARC		STA	1B	JARC			
Solano													
63	Route 85	Vallejo	Sustain intercity Route 85 which serves downtown Vallejo, Baylink Ferry, Sereno Transit Center, Discovery Kingdom, Green Valley Shopping Area, Solano Community College in Fairfield, and Solano Mall.	375,000			375,000				-	375,000	
64	Route 1	Vallejo	Sustain Route 1 which connects downtown Vallejo with Vallejo Middle and Senior High schools, South Vallejo Community Shopping Centers, the Curtola Park and Ride and Sonoma Boulevard.	600,000			600,000				-	600,000	
65	Saturday/Weekday Service	Dixon	Maintain the current dial-a-ride service for Dixon Read-Ride on weekdays and Saturday.	69,776			69,776	228,698			228,698	298,474	revised 12/16/09
66	Replacement Van	Dixon	Capital Funding for the replacement of one 18 passenger Type III paratransit bus for the Dixon Read-Ride general public Dial-a-Ride system.		60,000		60,000				-	60,000	
67	Bus Shelters	Fairfield/Suisun Transit	Improve 30 sites that include installation/repair of transit shelters, ADA curb cuts, concrete work, installation of benches, and other transit friendly amenities such as lighting and transit information.		300,000		300,000		119,088		119,088	419,088	revised 2/24/10
68	Bus Shelters	Vallejo	Replace, install and enhance, up to 65 bus shelters and bus stops including amenities such as solar lighting, trash receptacles, signage and benches.		361,010		361,010		400,004		400,004	761,014	revised 12/16/09
69	Bus Shelters	Vacaville	Procurement and installation of transit amenities within 5 low-income/senior/elderly communities in Vacaville. Transit amenities include bus shelters with benches, trash receptacles, map/schedule		109,800		109,800				-	109,800	
70	Van Replacement	Dixon	Replacement of one 18-passenger Type III paratransit bus for the Dixon Read-Ride Dial-a-Ride system.		15,000		15,000				-	15,000	
71	Replacement Vehicles	Fairfield/Suisun Transit	Replace 2 paratransit vans with two higher capacity paratransit vans. The new vehicles will be able to hold 18 passengers and 4 wheelchairs.		41,600		41,600				-	41,600	
72	Solano Community College Project	Vallejo Transit	Provide service to Solano Community College, as well as other employment and service destinations			250,000	250,000				-	250,000	(c)
73	DRIVES/CARS Programs	Benicia Community Action	Provides down payments for cars to low-income applicants. Funds will also be used for repairs to vehicles donated to the program.			30,000	30,000				-	30,000	(c)
74	Installation of Bicycle Racks	Fairfield/Suisun Transit	Install bike racks on 12 coaches to accommodate riders who need to use multiple travel modes to get to work and other destinations			45,000	45,000				-	45,000	(c)
75	Route 2 Frequency Improvements	Fairfield/Suisun Transit	Develop new service alignment, uncoupling Route 2 from Travis Air Force Base (AFB) and establishing a new Travis AFB shuttle, improving service and increasing access to jobs			91,834	91,834				-	91,834	(c)
76	Downtown Flex Shuttle	Fairfield/Suisun Transit	City Hall in Suisun City, Amtrak, Fairfield City Hall, Solano County				-		60,000		60,000	60,000	added 12/16/09
77	Expanded Route 5 service	Vallejo	Solano Community College, local businesses medical, and social				-			400,000	400,000	400,000	added 07/28/10
County Bid Target				1,044,776	887,410	416,834	2,349,020	228,698	579,092	400,000	1,207,790	3,556,810	
Proposed Programming				1,044,776	887,410	416,834	2,349,020	228,698	579,092	400,000	1,207,790	3,556,810	
Unprogrammed Balance				-	-	-	-	-	-	-	-	-	

## Second-Cycle Lifeline Program of Projects - Tier 1 & 2 (FY 2009-2011)

#	Project	Project Sponsor	Project Description	Tier 1 Funding Sources			Tier 1	Tier 2 Funding Sources			Tier 2	TOTAL	Notes
				STA	1B	JARC	Total	STA	1B	JARC	Total	Lifeline Funding	
<b>Sonoma County</b>													
78	Bus purchase	Santa Rosa CityBus	Bus purchase for Roseland service improvements on Routes 9 and 14		483,744		483,744		331,662		331,662	815,406	revised 2/24/09
79	Route 19	Santa Rosa CityBus	Sustain and enhance Route 19 in Roseland	394,117		130,872	524,989	120,555		155,674	276,229	801,218	revised 07/28/10
80	Natural gas coach purchase	Sonoma County Transit	Purchase of 14 natural gas coaches for high-density routes (60, 22, 42 and 20) serving low-income areas		483,744		483,744		331,661		331,661	815,405	revised 2/24/10
81	Existing bus service	Sonoma County Transit	Sustain existing service on Routes 20/22, 30, 42, 44/48 and 60	632,389			632,389	113,823			113,823	746,212	revised 12/16/09
82	Existing bus service	Petaluma Transit	Sustain existing city-wide service	141,365			141,365				-	141,365	
83	Bus replacement	Healdsburg Transit	Funds to replace the old fixed-route mini bus		49,000		49,000	-			-	49,000	
84	Existing bus service	Healdsburg Transit	Sustain existing city-wide service	28,872			28,872	6,366			6,366	35,238	revised 12/16/09
85	County transit plan	Services	collaborative efforts				-	21,219			21,219	21,219	added 12/16/09
86	Expanded service hours for Routes 1, 2, and 3	Petaluma Transit	opportunities for low-income individuals. Transit routes 1, 2, and 3 will			50,000	50,000			251,150	251,150	301,150	added 07/28/10,
<b>County Bid Target</b>				1,196,743	1,016,488	181,331	2,394,562	261,963	663,323	407,515	1,332,801	3,727,363	
<b>Proposed Programming</b>				1,196,743	1,016,488	180,872	2,394,103	261,963	663,323	406,824	1,332,110	3,726,213	
<b>Unprogrammed Balance</b>				-	-	459	459	-	-	691	691	1,150	
<b>Regional Grand Totals</b>													
<b>Lifeline Program Revenue Sources</b>				18,318,253	16,812,399	3,175,176	38,305,828	4,158,139	10,528,943	4,203,907	18,890,989	57,196,817	
<b>Total Proposed Programming</b>				18,318,254	16,679,998	3,175,135	38,173,387	4,158,138	10,661,343	4,203,216	19,022,697	57,196,084	
<b>Unprogrammed Balance</b>				(1)	132,401	41	132,441	1	(132,400)	691	(131,708)	733	

### Notes

- (a) Alameda County received a \$5,098,588 advance of their Tier 1 Prop 1 B funds in FY08. The funds and projects shown here were applied for in April 2008. Allocation of \$270,954 of Proposition 1B funds from from Ashby BART station elevator to MacArthur BART Station Plaza Improvement is conditioned upon approval from the Alameda County Transportation Commission in December 2012.
- (b) Includes \$57,977 in Small Urbanized Area JARC funds administered by Caltrans in Tier 1 and \$130,000 in Tier 2.
- (c) JARC funds part of the Small Urbanized Area program administered by Caltrans.
- (d) Golden Gate Transit will claim the funds for these projects. Totals include administration costs.
- (e) Moved from Tier 2 to Tier 1, May 2009.
- (f) AC Transit will claim the funds for these projects.
- (g) Tier 1 STA amount partially backfilled with Tier 2 JARC to meet sponsor's original funding request and make additional Tier 2 STA available to other projects.
- (h) SamTrans will claim the funds for these projects. Tier 2 STA amounts are for SamTrans' administration costs.
- (i) MTA will claim the funds for this project. Approval of this project is subject to project sponsor securing the necessary easements.
- (j) JARC funds part of the Small Urbanized Area JARC funds administered by Caltrans in Tier 1 and \$323,225 in Tier 2.
- (k) \$165,359 of the total Large Urbanized Area JARC funds awarded in Tier 2 were reprogrammed in Res. 3788 due to discontinuation of another First Cycle Lifeline project in Santa Clara County. The Tier 2 JARC amount available for MTC to encumber in this Resolution is \$343,450.
- (l) JARC funds part of the Small Urbanized Area JARC funds administered by Caltrans.
- (m) Allocation of \$35,000 in JARC funds from San Leandro LINKS Shuttle to Neighborhood Bike Centers is conditioned upon approval from the Alameda County Transportation Commission.
- (n) \$70,688 in STA funds transferred from VINE Route 11 and reprogrammed to VINE Route 29 in December 2012.
- (o) On 4/22/15, \$216,000 in Lifeline Cycle 2 Proposition 1B funds were removed from the San Bruno Transit Preferential Streets (TPS) project and programmed to the Potrero Hill Pedestrian Safety and Transit Stop Improvements project. The San Bruno TPS project never received the \$216,000 in FY2009-10 Proposition 1B funds that were programmed to the project; the San Bruno TPS project is instead being funded as part of SFMTA's Muni Forward project and the \$216,000 in FY2009-10 funds were advanced to the Urban Core category from the Lifeline category in May 2011 in order to accommodate urgent funding needs of the Central Subway project. SFMTA has confirmed that in April 2015, the \$216,000 will be returned to the Lifeline category using SFMTA's FY2015 Revenue-Based PTMISEA funds (see MTC Resolution Nos. 3880, Revised and 4179, Revised).
- (p) On 6/22/16, Project Cost saving of \$100,510 in Lifeline Cycle 2 Proposition 1B funds were transferred from the Randolph/ Farallones/ Orizaba Transit Access Improvements project and programmed to the Mission Bay Loop project. The additional \$100,510 would fund unanticipated project costs associated with relocating a sewer line adjacent to the track on the Mission Bay Loop project. (see also MTC Resolution Nos. 3880, Revised).
- (q) On 10/26/16 Project Cost savings of \$13,164 in Lifeline Cycle 2 Proposition 1B funds was transferred from the Hunter's View Revitalization Project and programmed to the Mission Bay Loop project. The additional \$13,164 would fund unanticipated project costs associated with relocating a sewer line adjacent on the track of the Mission Bay Loop project (See Resolution 3880 Revised).



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1933      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Consent  
**File created:** 9/9/2016      **In control:** Programming and Allocations Committee  
**On agenda:** 10/12/2016      **Final action:**  
**Title:** MTC Resolution Nos. 4228, Revised and 4229, Revised. Revise the FY 2016-17 RM2 Operating Program to add funds for WETA and revise programming in the Richmond Bridge corridor. Allocate \$1.2 million in FY 2016-17 RM2 Operating funds to WETA.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6c PAC-2d Reso-4228-4229 WETA Allocation](#)  
[2d Reso-4228-4229 WETA Allocation.pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution Nos. 4228, Revised and 4229, Revised. Revise the FY 2016-17 RM2 Operating Program to add funds for WETA and revise programming in the Richmond Bridge corridor. Allocate \$1.2 million in FY 2016-17 RM2 Operating funds to WETA.

**Presenter:**

Cheryl Chi

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Commission Agenda Item 6c

MTC Resolution Nos. 4228, Revised and 4229, Revised

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**Subject:** Revision to the FY 2016-17 Regional Measure 2 (RM2) Operating Program to add funds for ferry service and revise programming in the Richmond Bridge corridor. Allocate \$1.2 million in FY 2016-17 RM2 Operating funds to WETA.

**Background:** **Ferry Service:** In July, the Commission approved the Bay Bridge Forward project. Increased ferry service was a component of the project and \$2.5 million in STP/CMAQ was approved to fund this service expansion for two years. However, WETA is not able to use federal funds for this service, so RM2 Operating funds are now recommended instead.

From funding capacity in the ferry service project, staff recommends programming and allocating \$1.2 million to support increased ferry service from Alameda/Oakland to San Francisco and Vallejo to San Francisco. An additional \$1.2 million would be provided in FY 2017-18 should the service prove successful, in order to provide two years' worth of funding as was intended in the Bay Bridge Forward package. The funding is not guaranteed beyond the two-year pilot period.

Ferry service is currently at higher summer service levels. Typically, the Water Emergency Transportation Authority (WETA) reduces service in the winter due to reduced seasonal demand and funding constraints. However, WETA ridership on all ferry services continues to grow and the additional funds will allow service between Alameda/Oakland and San Francisco and Vallejo and San Francisco to be maintained at higher levels. Total ridership on the Alameda/Oakland service was 26% higher in FY2015-16 compared to FY2014-15 and ridership on the Vallejo service grew 12% in the same time period. Added capacity, particularly on these two routes, will allow WETA to meet the current demand and further grow ridership.

**Richmond Bridge Corridor:** Last year, Golden Gate Transit piloted a new commuter bus route between San Rafael and Berkeley/Emeryville. However, due to poor ridership, the route was cancelled after nine months of service. Golden Gate Transit has requested that approximately \$400,000 that was programmed for the pilot route be redirected to Route 40, an existing bus route between San Rafael and El Cerrito Del Norte BART. The additional funding for Route 40 will allow for three new morning and three new evening express trips during peak ridership hours. In September, two regular Route 40 trips were converted to express trips. The additional funding will enable additional peak service and retain existing service. The express trips will result in a 22% travel time savings in the westbound direction and a 9% travel savings in the eastbound direction.

Staff recommends funding the Route 40 express for a one-year pilot period.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 4228, Revised and 4229, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 4228, Revised and 4229, Revised

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Date: May 25, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 10/26/16-C

ABSTRACT

Resolution No. 4228, Revised

This resolution adopts the Regional Measure 2 (RM2) Operating Assistance Program for FY2016-17.

This resolution was revised on October 26, 2016 to program additional funds to the Water Emergency Transportation Authority (WETA) and to shift funds between Golden Gate Transit routes.

Further discussion of this action is contained in the Programming and Allocations Committee Summary Sheets dated May 11, 2016 and October 12, 2016.

Date: May 25, 2016  
W.I.: 1255  
Referred by: PAC

RE: Adoption of FY2016-17 RM2 Operating Assistance Program

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4228

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to California Government Code § 66500 et seq.; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”), which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, which increased the toll for all vehicles on the nine State-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and identifies specific projects eligible to receive RM2 funding for operating assistance as identified in Sections 30914(d)(3) & (4) of the California Streets and Highways Code; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by bonding or transfers to MTC; and

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, MTC has developed guidelines for the programming and use of the RM2 funds for operating support of transit projects, and

WHEREAS, these guidelines state that MTC will adopt a project specific budget for RM2 operating funds prior to the beginning of each fiscal year, now, therefore be it

RESOLVED, that MTC adopts a program that establishes RM2 operating subsidy amounts for FY2016-17, as outlined in Attachment A and incorporated herewith as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California on May 25, 2016.



**FY 2016-17 RM-2 Operating Assistance Program -- Streets and Highways Code 30914(d)**

<b>Project #</b>	<b>Project Name</b>	<b>Sponsor</b>	<b>Route</b>	<b>Programmed (1,2)</b>	<b>Notes</b>
1	Richmond Bridge Express Bus	Golden Gate Transit	Route 40	1,942,016	
		Golden Gate Transit	Route 40 Express Service Pilot	405,285	
		Golden Gate Transit	Route 580	126,424	
		<b>Total</b>		<b>2,473,725</b>	
2	Napa VINE Service	NCTPA	Route 29	426,400	
		<b>Total</b>		<b>426,400</b>	
3	Express Bus North	SolTrans	Route 78	731,700	
		SolTrans	Route 80	578,000	
		SolTrans	Route 85	201,741	
		ECCTA	Route 300	531,835	
		Fairfield/Suisun Transit	Route 40	433,100	
		Fairfield/Suisun Transit	Route 90	636,600	
		Golden Gate Transit	Route 72x	101,264	
		Golden Gate Transit	Route 101	195,339	
		WestCat	Route JPX	249,294	
		Solano TA	TBD	91,734	
		<b>Total</b>		<b>3,750,608</b>	
4	Express Bus South	AC Transit	Route F	890,865	
		AC Transit	Route LA	146,761	
		AC Transit	Route NL/BA	2,678,379	
		AC Transit	Route NX1	91,779	
		AC Transit	Route NX2	88,191	
		AC Transit	Route O	779,077	
		AC Transit	Route P	385,034	
		AC Transit	Route U - Dumbarton Corridor	311,238	
		AC Transit	Route W	56,580	
		CCCTA	Route 96X	145,339	
		WestCat	Hercules LYNX/JX	804,550	
		WestCat	New Service Start-up	115,000	
		LAVTA	Rapid	580,836	
		<b>Total</b>		<b>7,073,629</b>	
5	Dumbarton Bus (3)	AC Transit	Routes DB	1,382,828	
			Route DB1	1,634,148	
			<b>Total</b>	<b>3,016,976</b>	
6	Ferry Service	WETA	Alameda Harbor Bay	1,097,900	
		WETA	Alameda/Oakland	4,518,000	
		WETA	Vallejo	6,748,400	
		WETA	South San Francisco	2,935,700	
		WETA	Pilot Ferry Service	1,200,000	
		<b>Total</b>		<b>16,500,000</b>	
7	Owl Service	AC Transit	Route 800	665,771	
		AC Transit	Route 801	667,852	
		MUNI	Route 14	187,501	
		SamTrans	Route 397	305,876	
		AC Transit	Route 800 Service Enhancements	177,000	
		<b>Total</b>		<b>2,004,000</b>	
8	MUNI Metro 3rd Street	SF MUNI	Metro 3rd Street extension	2,500,000	
9	AC Transit Rapid Bus Corridor	AC Transit	Enhanced Bus Service in the Berkeley/Oakland/San Leandro Corridor	3,000,000	
11	WETA planning	WETA	Planning and operations	3,000,000	
<b>Grand Total</b>				<b>43,745,338</b>	

**RM2 Marketing Assistance Program (4)**

<b>Project Name</b>	<b>Operator</b>	<b>Description</b>	<b>Programmed (4)</b>	<b>Notes</b>
Clipper®	MTC	Public Information and Marketing	2,950,000	
511 Real Time Transit	MTC	Public Information and Marketing	150,000	
Seamless Transit Map	MTC	Public Information and Wayfinding	150,000	
Regional Resource Center	MTC	Center Operations	100,000	
New SMART Service	SMART	Public Information and Marketing	100,000	
Late Night Service	San Francisco/BART	Public Information and Marketing	150,000	
New or Expanded Transit Services	TBD	Public Information and Marketing	150,000	
<b>Grand Total</b>			<b>3,750,000</b>	

- Notes:
1. The amounts listed reflect the RM-2 base subsidy, with certain projects subject to a 1.5% annual escalation rate through FY2015-16. Escalation was suspended starting in FY2008-09 until BATA RM2 receipts surpass the amounts budgeted to fund the legislative operating projects. Escalation was restored in FY2015-16 for eligible projects.
  2. Amounts shown are subject to approval of the FY 2016-17 BATA Budget.
  3. The funding for Route DB1 is consistent with the cost to provide full-day service for one fiscal year. Future funding levels are contingent upon successful performance of all-day service, i.e., satisfactory cost/passenger ratio.
  4. Marketing assistance programs are funded with RM2 toll revenue receipts pursuant to Streets and Highways Code 30914(f) and are outside of the 38% limit on operating funding as described in Streets and Highways Code 30914(d).

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 07/27/16-C  
09/28/16-C  
10/26/16-C

ABSTRACT

Resolution No. 4229, Revised

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2016-17.

This resolution allocates funds to the Water Emergency Transportation Authority (WETA).

This resolution was revised on July 27, 2016 to allocate funds to AC Transit and San Francisco Municipal Transportation Agency (SFMTA).

This resolution was revised on September 28, 2016 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD).

This resolution was revised on October 26, 2016 to allocate funds to WETA in support of a pilot of increased ferry service as part of Bay Bridge Forward.

Discussion of the allocations made under this resolution are contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016, July 13, 2016, September 14, 2016, and October 12, 2016.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION No. 4229

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636, Revised; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsors.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into  
by the Metropolitan Transportation  
Commission at the regular meeting  
of the Commission held in San Francisco,  
California, on June 22, 2016.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 07/27/16-C  
09/28/16-C  
10/26/16-C

Attachment A  
MTC Resolution No. 4229  
Page 1 of 1

**FY 2016-17 ALLOCATION OF REGIONAL MEASURE 2 FUNDS  
FOR TRANSIT OPERATIONS AND PLANNING**

Funding for each route is limited to the amount identified in the FY2016-17 RM2 Operating Program (MTC Resolution 4228).  
All routes are required to meet performance standards identified in MTC's RM2 Policies and Procedures (MTC Resolution 3636).

<b>Claimant</b>	<b>Project Description</b>	<b>Allocation Amount</b>	<b>Allocation Code</b>	<b>Approval Date</b>	<b>Project Number</b>	<b>Farebox Requirement</b>	<b>Org Key</b>
WETA	Planning and Administration	3,000,000	01	06/22/16	11	n.a.	801851400
WETA	Ferry Operations	15,300,000	02	06/22/16	6	40% Peak service, 30% All Day Service	801850900
AC Transit	Express Bus Service	5,427,904	03	07/27/16	4	30% Peak, 20% All Day	801850400
AC Transit	Dumbarton Bus	2,816,976	04	07/27/16	5	30% Peak, 20% All Day	801850500
AC Transit	Owl Bus Service	1,333,623	05	07/27/16	7	10%	801851000
AC Transit	Enhanced/Rapid Bus Service	3,000,000	06	07/27/16	9	n.a.	801851200
SFMTA	Metro 3rd Street Extension	2,500,000	07	07/27/16	8	n.a.	801851100
GGBHTD	Route 40	1,942,016	08	09/28/16	1	20% All Day	801850100
WETA	Ferry Operations	1,200,000	02	10/26/16	6	40% Peak service, 30% All Day Service	801850900
<b>Total</b>		<b>36,520,519</b>					

# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Item Number 2d

MTC Resolution Nos. 4228, Revised and 4229, Revised

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**Subject:** Revision to the FY 2016-17 Regional Measure 2 (RM2) Operating Program to add funds for ferry service and revise programming in the Richmond Bridge corridor. Allocate \$1.2 million in FY 2016-17 RM2 Operating funds to WETA.

**Background:** **Ferry Service:** In July, the Commission approved the Bay Bridge Forward project. Increased ferry service was a component of the project and \$2.5 million in STP/CMAQ was approved to fund this service expansion for two years. However, WETA is not able to use federal funds for this service, so RM2 Operating funds are now recommended instead.

From funding capacity in the ferry service project, staff recommends programming and allocating \$1.2 million to support increased ferry service from Alameda/Oakland to San Francisco and Vallejo to San Francisco. An addition \$1.2 million would be provided in FY 2017-18 should the service prove successful, in order to provide two years' worth of funding as was intended in the Bay Bridge Forward package. The funding is not guaranteed beyond the two-year pilot period.

Ferry service is currently at higher summer service levels. Typically, the Water Emergency Transportation Authority (WETA) reduces service in the winter due to reduced seasonal demand and funding constraints. However, WETA ridership on all ferry services continues to grow and the additional funds will allow service between Alameda/Oakland and San Francisco and Vallejo and San Francisco to be maintained at higher levels. Total ridership on the Alameda/Oakland service was 26% higher in FY2015-16 compared to FY2014-15 and ridership on the Vallejo service grew 12% in the same time period. Added capacity, particularly on these two routes, will allow WETA to meet the current demand and further grow ridership.

**Richmond Bridge Corridor:** Last year, Golden Gate Transit piloted a new commuter bus route between San Rafael and Berkeley/Emeryville. However, due to poor ridership, the route was cancelled after nine months of service. Golden Gate Transit has requested that approximately \$400,000 that was programmed for the pilot route be redirected to Route 40, an existing bus route between San Rafael and El Cerrito Del Norte BART. The additional funding for Route 40 will allow for three new morning and three new evening express trips during peak ridership hours. In September, two regular Route 40 trips were converted to express trips. The additional funding will enable additional peak service and retain existing service. The express trips will result in a 22% travel time savings in the westbound direction and a 9% travel savings in the eastbound direction.

Staff recommends funding the Route 40 express for a one-year pilot period.



**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 4228, Revised and 4229, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 4228, Revised and 4229, Revised

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Date: May 25, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 10/26/16-C

ABSTRACT

Resolution No. 4228, Revised

This resolution adopts the Regional Measure 2 (RM2) Operating Assistance Program for FY2016-17.

This resolution was revised on October 26, 2016 to program additional funds to the Water Emergency Transportation Authority (WETA) and to shift funds between Golden Gate Transit routes.

Further discussion of this action is contained in the Programming and Allocations Committee Summary Sheets dated May 11, 2016 and October 12, 2016.

Date: May 25, 2016  
W.I.: 1255  
Referred by: PAC

RE: Adoption of FY2016-17 RM2 Operating Assistance Program

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4228

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to California Government Code § 66500 et seq.; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”), which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, which increased the toll for all vehicles on the nine State-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and identifies specific projects eligible to receive RM2 funding for operating assistance as identified in Sections 30914(d)(3) & (4) of the California Streets and Highways Code; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by bonding or transfers to MTC; and

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, MTC has developed guidelines for the programming and use of the RM2 funds for operating support of transit projects, and

WHEREAS, these guidelines state that MTC will adopt a project specific budget for RM2 operating funds prior to the beginning of each fiscal year, now, therefore be it

RESOLVED, that MTC adopts a program that establishes RM2 operating subsidy amounts for FY2016-17, as outlined in Attachment A and incorporated herewith as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California on May 25, 2016.

**FY 2016-17 RM-2 Operating Assistance Program -- Streets and Highways Code 30914(d)**

<b>Project #</b>	<b>Project Name</b>	<b>Sponsor</b>	<b>Route</b>	<b>Programmed (1,2)</b>	<b>Notes</b>
1	Richmond Bridge Express Bus	Golden Gate Transit	Route 40	1,942,016	
		Golden Gate Transit	Route 40 Express Service Pilot	405,285	
		Golden Gate Transit	Route 580	126,424	
		<b>Total</b>		<b>2,473,725</b>	
2	Napa VINE Service	NCTPA	Route 29	426,400	
		<b>Total</b>		<b>426,400</b>	
3	Express Bus North	SolTrans	Route 78	731,700	
		SolTrans	Route 80	578,000	
		SolTrans	Route 85	201,741	
		ECCTA	Route 300	531,835	
		Fairfield/Suisun Transit	Route 40	433,100	
		Fairfield/Suisun Transit	Route 90	636,600	
		Golden Gate Transit	Route 72x	101,264	
		Golden Gate Transit	Route 101	195,339	
		WestCat	Route JPX	249,294	
		Solano TA	TBD	91,734	
		<b>Total</b>		<b>3,750,608</b>	
4	Express Bus South	AC Transit	Route F	890,865	
		AC Transit	Route LA	146,761	
		AC Transit	Route NL/BA	2,678,379	
		AC Transit	Route NX1	91,779	
		AC Transit	Route NX2	88,191	
		AC Transit	Route O	779,077	
		AC Transit	Route P	385,034	
		AC Transit	Route U - Dumbarton Corridor	311,238	
		AC Transit	Route W	56,580	
		CCCTA	Route 96X	145,339	
		WestCat	Hercules LYNX/JX	804,550	
		WestCat	New Service Start-up	115,000	
		LAVTA	Rapid	580,836	
		<b>Total</b>		<b>7,073,629</b>	
5	Dumbarton Bus (3)	AC Transit	Routes DB	1,382,828	
			Route DB1	1,634,148	
			<b>Total</b>	<b>3,016,976</b>	
6	Ferry Service	WETA	Alameda Harbor Bay	1,097,900	
		WETA	Alameda/Oakland	4,518,000	
		WETA	Vallejo	6,748,400	
		WETA	South San Francisco	2,935,700	
		WETA	Pilot Ferry Service	1,200,000	
		<b>Total</b>		<b>16,500,000</b>	
7	Owl Service	AC Transit	Route 800	665,771	
		AC Transit	Route 801	667,852	
		MUNI	Route 14	187,501	
		SamTrans	Route 397	305,876	
		AC Transit	Route 800 Service Enhancements	177,000	
		<b>Total</b>		<b>2,004,000</b>	
8	MUNI Metro 3rd Street	SF MUNI	Metro 3rd Street extension	2,500,000	
9	AC Transit Rapid Bus Corridor	AC Transit	Enhanced Bus Service in the Berkeley/		
			Oakland/San Leandro Corridor	3,000,000	
11	WETA planning	WETA	Planning and operations	3,000,000	
<b>Grand Total</b>				<b>43,745,338</b>	

**RM2 Marketing Assistance Program (4)**

<b>Project Name</b>	<b>Operator</b>	<b>Description</b>	<b>Programmed (4)</b>	<b>Notes</b>
Clipper®	MTC	Public Information and Marketing	2,950,000	
511 Real Time Transit	MTC	Public Information and Marketing	150,000	
Seamless Transit Map	MTC	Public Information and Wayfinding	150,000	
Regional Resource Center	MTC	Center Operations	100,000	
New SMART Service	SMART	Public Information and Marketing	100,000	
Late Night Service	San Francisco/BART	Public Information and Marketing	150,000	
New or Expanded Transit Services	TBD	Public Information and Marketing	150,000	
<b>Grand Total</b>			<b>3,750,000</b>	

- Notes:
1. The amounts listed reflect the RM-2 base subsidy, with certain projects subject to a 1.5% annual escalation rate through FY2015-16. Escalation was suspended starting in FY2008-09 until BATA RM2 receipts surpass the amounts budgeted to fund the legislative operating projects. Escalation was restored in FY2015-16 for eligible projects.
  2. Amounts shown are subject to approval of the FY 2016-17 BATA Budget.
  3. The funding for Route DB1 is consistent with the cost to provide full-day service for one fiscal year. Future funding levels are contingent upon successful performance of all-day service, i.e., satisfactory cost/passenger ratio.
  4. Marketing assistance programs are funded with RM2 toll revenue receipts pursuant to Streets and Highways Code 30914(f) and are outside of the 38% limit on operating funding as described in Streets and Highways Code 30914(d).

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 07/27/16-C  
09/28/16-C  
10/26/16-C

ABSTRACT

Resolution No. 4229, Revised

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2016-17.

This resolution allocates funds to the Water Emergency Transportation Authority (WETA).

This resolution was revised on July 27, 2016 to allocate funds to AC Transit and San Francisco Municipal Transportation Agency (SFMTA).

This resolution was revised on September 28, 2016 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD).

This resolution was revised on October 26, 2016 to allocate funds to WETA in support of a pilot of increased ferry service as part of Bay Bridge Forward.

Discussion of the allocations made under this resolution are contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016, July 13, 2016, September 14, 2016, and October 12, 2016.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION No. 4229

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and



WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636, Revised; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsors.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into  
by the Metropolitan Transportation  
Commission at the regular meeting  
of the Commission held in San Francisco,  
California, on June 22, 2016.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 07/27/16-C  
09/28/16-C  
10/26/16-C

Attachment A  
MTC Resolution No. 4229  
Page 1 of 1

**FY 2016-17 ALLOCATION OF REGIONAL MEASURE 2 FUNDS  
FOR TRANSIT OPERATIONS AND PLANNING**

Funding for each route is limited to the amount identified in the FY2016-17 RM2 Operating Program (MTC Resolution 4228).  
All routes are required to meet performance standards identified in MTC's RM2 Policies and Procedures (MTC Resolution 3636).

<b>Claimant</b>	<b>Project Description</b>	<b>Allocation Amount</b>	<b>Allocation Code</b>	<b>Approval Date</b>	<b>Project Number</b>	<b>Farebox Requirement</b>	<b>Org Key</b>
WETA	Planning and Administration	3,000,000	01	06/22/16	11	n.a.	801851400
WETA	Ferry Operations	15,300,000	02	06/22/16	6	40% Peak service, 30% All Day Service	801850900
AC Transit	Express Bus Service	5,427,904	03	07/27/16	4	30% Peak, 20% All Day	801850400
AC Transit	Dumbarton Bus	2,816,976	04	07/27/16	5	30% Peak, 20% All Day	801850500
AC Transit	Owl Bus Service	1,333,623	05	07/27/16	7	10%	801851000
AC Transit	Enhanced/Rapid Bus Service	3,000,000	06	07/27/16	9	n.a.	801851200
SFMTA	Metro 3rd Street Extension	2,500,000	07	07/27/16	8	n.a.	801851100
GGBHTD	Route 40	1,942,016	08	09/28/16	1	20% All Day	801850100
WETA	Ferry Operations	1,200,000	02	10/26/16	6	40% Peak service, 30% All Day Service	801850900
<b>Total</b>		<b>36,520,519</b>					



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1931      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Consent  
**File created:** 9/9/2016      **In control:** Programming and Allocations Committee  
**On agenda:** 10/12/2016      **Final action:**  
**Title:** MTC Resolution No. 4230, Revised. Allocation of \$4.6 million in FY2016-17 Transportation Development Act (TDA) funds to support transit operations and capital projects by Fairfield and Suisun Transit (FAST).

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6d PAC-2e Reso-4230 FAST Allocation](#)  
[2e Reso-4230 FAST Allocation.pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4230, Revised. Allocation of \$4.6 million in FY2016-17 Transportation Development Act (TDA) funds to support transit operations and capital projects by Fairfield and Suisun Transit (FAST).

**Presenter:**

Cheryl Chi

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission

## Programming and Allocations Committee

October 12, 2016

Commission Agenda Item 6d

### MTC Resolution Nos. 4230, Revised

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**Subject:** Allocation of \$4.6 million in FY2016-17 Transportation Development Act (TDA) funds to support transit operations and capital projects by Fairfield and Suisun Transit (FAST).

**Background:** This month's proposed action continues the annual allocation of TDA funds for FY2016-17. The allocation request by Fairfield and Suisun Transit (FAST) is consistent with the adopted MTC Fund Estimate (Resolution 4220, Revised) for TDA.

FAST has requested approximately \$4 million in TDA, State Transit Assistance, and Regional Measure 2 to support transit operations and \$2.2 million in TDA to support capital projects. Total operating support allocated by MTC comprises 36% of the total operating budget of \$11 million. A portion of the funds requested will be allocated through the Executive Director's Delegated Authority process since the requests are under \$1million for a given apportionment.

FAST is planning a modest service increase, primarily associated with increased Solano Express service funded with RM2 Operating funds. FAST's budget increase is significantly higher than the service increase, but that is primarily due to conservative budgeting for the cost of fuel. The budget for fuel is 63% higher than the estimated actual cost for FY 2015-16 and accounts for two-thirds of the budget increase. Given recent low fuel prices, it is likely that the FAST projection of fuel costs is overly conservative. Aside from fuel, costs are proportionate to the projected service increase. FAST's budget also includes a significant amount of capital funding to support a variety of projects. Some projects of note are replacement of five intercity express buses and purchase and installation of automatic vehicle location (AVL) equipment and real-time signage.

**Issues:** None

**Recommendation:** Refer MTC Resolution Nos. 4230, Revised to the Commission for approval.

**Attachments:** MTC Resolution Nos. 4230, Revised

Date: June 22, 2016  
Referred by: PAC  
Revised: 07/27/16-C 08/31/16-DA  
09/28/16-C 10/26/16-C

ABSTRACT

Resolution No. 4230, Revised

This resolution approves the allocation of fiscal year 2016-17 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to County Connection (CCCTA) and Santa Rosa.

This resolution was revised on July 27, 2016 to allocate funds to AC Transit, LAVTA, SFMTA, SolTrans, Sonoma County Transit, Tri Delta Transit (ECCTA), and WestCAT (WCCTA).

This resolution was revised on August 31, 2016 by Delegated Authority to rescind funds from AC Transit.

This resolution was revised on September 28, 2016 to allocate funds to CCCTA, Golden Gate Bridge, Highway, and Transportation District (GGBHTD), Napa Valley Transportation Authority, Petaluma, and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on October 26, 2016 to allocate funds to the City of Fairfield to support transit operating and capital projects.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016, July 13, 2016, September 14, 2016, and October 12, 2016.

Date: June 22, 2016  
Referred by: PAC

Re: Allocation of Fiscal Year 2016-17 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4230

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2016-17 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2016-17 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2016-17 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was approved by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in San Francisco, California, on June 22, 2016.



Date: June 22, 2016  
 Referred by: PAC  
 Revised: 07/27/16-C 08/31/16-DA  
 09/28/16-C 10/26/16-C

Attachment A  
 MTC Resolution No. 4230  
 Page 1 of 1

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2016-17

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area	Note
<b>5801 - 99233.7, 99275 Community Transit Service - Operations</b>						
AC Transit	Transit Operations	3,319,767	03	07/27/16	Alameda County	
	<b>Subtotal</b>	<b>3,319,767</b>				
<b>5802 - 99260A Transit - Operations</b>						
CCCTA	Transit Operations	18,584,451	01	06/22/16	CCCTA	
Santa Rosa	Transit Operations	5,555,547	02	06/22/16	Santa Rosa	
SFMTA	Transit Operations	2,386,077	04	07/27/16	San Francisco County	1
LAVTA	Transit Operations	9,433,761	05	07/27/16	LAVTA	
WCCTA	Transit Operations	2,290,427	06	07/27/16	WCCTA	
AC Transit	Transit Operations	44,986,143	07	07/27/16	AC Transit Alameda D1	
AC Transit	Transit Operations	11,969,229	08	07/27/16	AC Transit Alameda D2	
AC Transit	Transit Operations	6,436,688	09	07/27/16	AC Transit Contra Costa	
ECCTA	Transit Operations	10,924,502	10	07/27/16	ECCTA	
SFMTA	Transit Operations	45,335,462	11	07/27/16	SFMTA	
SolTrans	Transit Operations	3,966,654	12	07/27/16	Vallejo/Benicia	2
Sonoma County	Transit Operations	7,116,436	13	07/27/16	Sonoma County	
Sonoma County	Transit Operations	199,597	13	07/27/16	Petaluma	
AC Transit	Transit Operations	(8,481)	07	08/31/16	AC Transit Alameda D1	
VTA	Transit Operations	100,134,697	16	09/28/16	VTA	
VTA	Transit Operations	5,270,020	17	09/28/16	Santa Clara County	1
NVTA	Transit Operations	3,541,358	18	09/28/16	NVTA	
GGBHTD	Transit Operations	7,931,518	19	09/28/16	GGBHTD (Marin)	
GGBHTD	Transit Operations	5,362,560	20	09/28/16	GGBHTD (Sonoma)	
Petaluma	Transit Operations	1,502,812	21	09/28/16	Petaluma	
Fairfield	Transit Operations	1,368,327	24	10/26/16	Fairfield	
Fairfield	Transit Operations	974,074	24	10/26/16	Suisun City	
	<b>Subtotal</b>	<b>295,261,859</b>				
<b>5803 - 99260A Transit - Capital</b>						
Soltrans	Transit Capital	3,141,406	14	07/27/16	Vallejo/Benicia	2
CCCTA	Transit Capital	2,800,000	22	09/28/16	CCCTA	
Fairfield	Transit Capital	2,232,244	25	10/26/16	Fairfield	
	<b>Subtotal</b>	<b>8,173,650</b>				
<b>5807 - 99400C General Public - Operating</b>						
Sonoma County	Transit Operating	1,199,117	15	07/27/16	Sonoma County	
Sonoma County	Transit Operating	39,919	15	07/27/16	Petaluma	
	<b>Subtotal</b>	<b>1,239,036</b>				
<b>5812 - 99400D Planning &amp; Admin - Operating</b>						
NVTA	Planning and Administrat	3,171,791	23	09/28/16	NVTA	
	<b>Subtotal</b>	<b>3,171,791</b>				
<b>TOTAL</b>		<b>311,166,103</b>				

**Note:**

- (1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.
- (2) Allocation subject to approval of the TDA Matrix by the Solano Transportation Authority on 7/13/16.

This record is currently unavailable.



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1945      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Consent  
**File created:** 9/16/2016      **In control:** Programming and Allocations Committee  
**On agenda:** 10/12/2016      **Final action:**  
**Title:** MTC Resolution No. 4202, Revised. Revision to the One Bay Area Grant Program (OBAG 2) Project Selection Criteria and Programming Policy to clarify provisions pertaining to the North Bay's Priority Conservation Area (PCA) program and reprogramming of funds within the Bay Bridge Forward Initiative.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6e PAC-2g Reso-4202 OBAG2](#)  
[2g Reso-4202 OBAG2.pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4202, Revised. Revision to the One Bay Area Grant Program (OBAG 2) Project Selection Criteria and Programming Policy to clarify provisions pertaining to the North Bay's Priority Conservation Area (PCA) program and reprogramming of funds within the Bay Bridge Forward Initiative.

**Presenter:**

Mallory Atkinson

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Commission Agenda Item 6e

## MTC Resolutions No. 4202, Revised

**Subject:** Revisions to the One Bay Area Grant Program (OBAG 2) Project Selection Criteria and Programming Policy to clarify provisions pertaining to the North Bay's Priority Conservation Area (PCA) Program and reprogramming of funds within the Bay Bridge Forward Initiative.

**Background:** On November 18, 2015 the Commission adopted MTC Resolution No. 4202, the project selection criteria and programming policy for OBAG 2, which guides the distribution of the Bay Area's share of federal Surface Transportation Block Grant Program (STP) and Congestion Mitigation and Air Quality Improvement Program (CMAQ) funds for Fiscal Years 2017-18 through 2021-22. The policy was updated in July, 2016.

This month, the following revisions are proposed:

### 1. North Bay Priority Conservation Area (PCA) Program

The OBAG 2 Priority Conservation Area (PCA) Program includes one approach for the North Bay (Marin, Napa, Solano, and Sonoma counties) and a second approach for the remaining five counties of the Peninsula, East Bay, and South Bay.

As outlined in MTC Resolution No. 4202, for the North Bay counties, each County Congestion Management Agency (CMA) will receive dedicated funding to lead their own county-wide program. The CMAs select projects to recommend for funding, building on their PCA planning conducted to-date.

Staff proposes a clarification to the provisions of the North Bay's PCA Program within the OBAG 2 County Program, removing the statement that a 2:1 match is required. As currently written, there is an inconsistency in the framework requirements for the North Bay between the description in Attachment A and the description in Appendix A-9. The clarifying language for Attachment A, page 27, is provided as **Attachment A**, and removes reference to the 2:1 match requirement for the North Bay PCA Program. Appendix A-9 remains unchanged, but is provided for reference as **Attachment B**.

### 2. Bay Bridge Forward

On July 27, 2016, the Commission approved revisions to the program to direct an additional \$40 million in funding for the Bay Bridge Forward program of projects, in conjunction with the OBAG 2 framework. One change is proposed:

- **Ferry Service Enhancement Pilot:** The Commission approved \$2.5 million in STP/CMAQ funding to the Water Emergency Transportation Authority (WETA) for a pilot to increase service.

WETA recently informed MTC staff that they are unable to use federal funds for this purpose, and has requested the Commission substitute an equivalent amount in non-federal funds to implement the pilot.

A proposed allocation of a roughly equivalent amount in Regional Measure 2 (RM2) to WETA's service enhancement project is covered under Agenda Item 2d on today's agenda. Contingent upon the approval of that item, staff proposes to deprogram \$2.5 million in STP/CMAQ funds from the WETA project.

**Issues:** The programming action proposed in this item is contingent upon Commission approval of the RM 2 Allocation in Agenda Item 2d.

**Recommendation:** Refer MTC Resolution No. 4202, Revised to the Commission for approval.

**Attachments:** Attachment A – Clarifying Language to Provisions of the North Bay's PCA Program within the OBAG 2 County Program  
Attachment B – Appendix A-9 Priority Conservation Area Program  
MTC Resolution No. 4202 Attachments A and B-1, Revised

The PCA funding program includes one approach for the North Bay program (Marin, Napa, Solano, and Sonoma) and a second for the remaining five counties. In the North Bay, each CMA will receive dedicated funding, lead a county-wide program building on PCA planning conducted to date, and select projects for funding. For the remaining counties, MTC will partner with the Coastal Conservancy, a California State agency, to program the PCA funds. Appendix A-9 outlines the framework for this program including goals, project screening eligibility, eligible sponsors, and project selection.

Any CMA may use additional funding from its base OBAG 2 County Program to expand its dedicated PCA program (North Bay counties), augment grants received from the regionally competitive PCA program (remaining counties), or develop its own county PCA program (all counties).

The North Bay program framework is to be developed by the four North Bay CMAs, building upon their PCA planning and priorities carried out to date. Project eligibility is limited by the eligibility of federal surface transportation funding; unless the CMA can exchange these funds or leverage new fund sources for their programs. The PCA program requires a 2:1 minimum non-federal match.

As a part of the update to *Plan Bay Area*, MTC is exploring implementing a Regional Advance Mitigation Planning (RAMP) Program. RAMP would mitigate certain environmental impacts from multiple planned transportation projects, rather than mitigating on a less-efficient per-project level. Partnering arrangements can be established to leverage multiple fund sources in order to maximize benefits of the RAMP and PCA programs. As such, PCA funds may be used to deliver net environmental benefits to a RAMP program project.

In instances where federal funds may not be used for this purpose, sponsors may exchange OBAG 2 funds with eligible non-federal funds. Such exchanges must be consistent with MTC's fund exchange policy (MTC Resolution No. 3331).

## APPENDIX A-9: Priority Conservation Area (PCA) Program

### **Program Goals and Eligible Projects**

The goal of the Priority Conservation Area (PCA) Program is to support Plan Bay Area by preserving and enhancing the natural, economic and social value of rural lands and open space in the Bay Area, for residents and businesses. These values include globally unique ecosystems, productive agricultural lands, recreational opportunities, urban greening, healthy fisheries, and climate protection (mitigation and adaptation), among others.

The PCA Program should also be linked to SB 375 goals which direct MPOs to prepare sustainable community strategies which consider resource areas and farmland in the region as defined in Section 65080.01. One purpose of the PCA program is to reinforce efforts to target growth in existing neighborhoods (PDAs), rather than allowing growth to occur in an unplanned "project-by-project" approach.

The PCA program is split into two elements:

1. North Bay Program (\$8 million)
2. Peninsula, Southern and Eastern Counties Program (\$8 million)

The North Bay program framework is to be developed by the four North Bay county Congestion Management Agencies (CMAs), building on their PCA planning and priorities carried out to date. Project eligibility is limited by the eligibility of federal surface transportation funding; unless the CMA can exchange these funds or leverage new fund sources for their programs.

The Peninsula, Southern and Eastern Counties Program will be administered by the Coastal Conservancy\* in partnership with MTC based on the proposal provided below. The table below outlines screening criteria, eligible applicants, and the proposed project selection and programming process for the Peninsula, Southern and Eastern Counties.

<b>Funding Amount</b>	<ul style="list-style-type: none"> <li>\$8 million</li> </ul>
<b>Screening Criteria</b>	<ul style="list-style-type: none"> <li><b>PCA Designation:</b> Eligible projects must be within a designated PCA. The list of adopted PCAs can be found at: <a href="http://abag.ca.gov/priority/conservation/">http://abag.ca.gov/priority/conservation/</a>.</li> <li><b>Regionally Significant:</b> Indicators of regional significance include a project's contribution to goals stated in regional habitat, agricultural or open space plans (i.e. <i>San Francisco Bay Area Upland Habitat Goals Project Report</i> at <a href="http://www.bayarealands.org/reports/">http://www.bayarealands.org/reports/</a>), countywide Plans or ABAG's PCA designations. Applicants should describe who will benefit from the project and the regional (greater-than-local) need it serves.</li> <li><b>Open Space Protection In Place:</b> Linkages to or location in a Greenbelt area that is policy protected from development. Land acquisition or easement projects would be permitted in an area without open space policy protections in place.</li> <li><b>Non-Federal Local Match:</b> 2:1 minimum match</li> </ul>

**PAC Agenda Item 4b, Attachment B**

Attachment A, MTC Resolution No. 4202

November 18, 2015

	<ul style="list-style-type: none"><li>• <b>Meets Program Goals:</b> Projects that meet one of the following program goals (subject to funding eligibility—see below):<ul style="list-style-type: none"><li>○ Protects or enhances “resource areas” or habitats as defined in California Government Code § 65080.01(a).</li><li>○ Provides or enhances bicycle and pedestrian access to open space / parkland resources. Notable examples are the Bay and Ridge Trail Systems.</li><li>○ Supports the agricultural economy of the region.</li><li>○ Includes existing and potential urban green spaces that increase habitat connectivity, improve community health, capture carbon emissions, and address stormwater.</li></ul></li></ul>
<b>Eligible Applicants</b>	<ul style="list-style-type: none"><li>• Local governments (cities, counties, towns), county congestion management agencies, tribes, water/utility districts, resource conservation districts, park and/or open space districts, land trusts and other land/resource protection nonprofit organizations in the nine-county San Francisco Bay Area are invited to nominate projects. Applicants are strongly encouraged to collaborate and partner with other entities on the nomination of projects, and partnerships that leverage additional funding will be given higher priority in the grant award process. <b>Partnerships are necessary with cities, counties, or CMAs in order to access federal funds. Federally-funded projects must have an implementing agency that is able to receive a federal-aid grant (master agreement with Caltrans).</b></li></ul>
<b>Emphasis Areas / Eligible Projects</b>	<p><b>Eligible Projects</b></p> <ol style="list-style-type: none"><li>1. <b>Planning Activities</b></li><li>2. <b>Pedestrian and Bicycle Facilities/ Infrastructure:</b> On-road and off-road trail facilities, sidewalks, bicycle infrastructure, pedestrian and bicycle signals, traffic calming, lighting and other safety related infrastructure, and ADA compliance, conversion and use of abandoned rail corridors for pedestrians and bicyclists.</li><li>3. <b>Visual Enhancements:</b> Construction of turnouts, overlooks and viewing areas.</li><li>4. <b>Habitat / Environmental Enhancements:</b> Vegetation management practices in transportation rights-of-way, reduce vehicle-caused wildlife mortality or to restore and maintain connectivity among terrestrial or aquatic habitats, mitigation of transportation project environmental impacts funded through the federal-aid surface transportation program.</li><li>5. <b>Protection (Land Acquisition or Easement) or Enhancement of Natural Resources, Open Space or Agricultural Lands:</b> Parks and</li></ol>



	<p>open space, staging areas or environmental facilities; or natural resources, such as listed species, identified priority habitat, wildlife corridors, wildlife corridors watersheds, or agricultural soils of importance.</p> <p>6. <b>Urban Greening:</b> Existing and potential green spaces in cities that increase habitat connectivity, improve community health, capture carbon emissions, and address stormwater.</p> <p><b>Note:</b> MTC encourages PCA project applicants to partner with other agencies and programs to leverage other funds in order to maximize benefits. As such, PCA funded projects may become eligible to deliver net environmental benefits to a future Regional Advance Mitigation Planning (RAMP) program project, above any required mitigation requirements. Note that such projects may need to rely on funding exchanges with eligible non-federal funds because most land acquisition and habitat restoration projects that are not mitigation for transportation projects are not eligible for federal transportation funds. Any such funding exchange must be consistent with MTC's fund exchange policy (MTC Resolution No. 3331).</p>
<b>Project Selection</b>	<p><b>Coastal Conservancy Partnership Program:</b></p> <p>MTC will provide \$8 million of federal transportation funds which will be combined with the Coastal Conservancy's own program funds in order to support a broader range of projects (i.e. land acquisition and easement projects) than can be accommodated with federal transportation dollars alone. The Coastal Conservancy, MTC, and ABAG staff will cooperatively manage the call for projects. This approach would harness the expertise of the Coastal Conservancy, expand the pool of eligible projects, and leverage additional resources through the Coastal Conservancy.</p>

\*The Coastal Conservancy is a state agency and the primary public land conservation funding source in the Bay Area, providing funding for many different types of land conservation projects. For more information see <http://scc.ca.gov/>.

Date: November 18, 2015  
W.I.: 1512  
Referred by: Programming & Allocations  
Revised: 07/27/16-C 10/26/16-C

### ABSTRACT

Resolution No. 4202

Adoption of the project selection policies and project programming for the second round of the One Bay Area Grant program (OBAG 2). The project selection criteria and programming policy contain the project categories that are to be funded with various fund sources including federal surface transportation act funding available to MTC for its programming discretion to be included in the federal Transportation Improvement Program (TIP) for the OBAG 2 funding period.

The resolution includes the following attachments:

- Attachment A – Project Selection Criteria and Programming Policy
- Attachment B-1 – Regional Program Project List
- Attachment B-2 – County Program Project List

On July 27, 2016, Attachment A, and Attachments B-1 and B-2 were revised to add additional funding and projects to the OBAG 2 framework, including \$72 million in additional Fixing America's Surface Transportation Act (FAST) funding, and to incorporate housing-related policies.

On October 26, 2016, Attachment A, and Attachment B-1 were revised to clarify language related to the North Bay Priority Conservation Area (PCA) Program in Attachment A and to deprogram \$2,500,000 from the Water Emergency Transportation Authority (WETA) Ferry Service Enhancement Pilot within the Regional Active Operational Management Program.

Further discussion of the project selection criteria and programming policy is contained in the memorandum to the Programming and Allocations Committee dated November 4, 2015, July 13, 2016, and October 12, 2016.

Date: November 18, 2015  
W.I.: 1512  
Referred By: Programming & Allocations

RE: One Bay Area Grant Program Second Round (OBAG 2) Project Selection Criteria and Programming Policy

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4202

WHEREAS, the Metropolitan Transportation Commission (MTC) is the Regional Transportation Planning Agency (RTPA) for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county San Francisco Bay Area region and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes federal funds; and

WHEREAS, MTC is the designated recipient for state and federal funding assigned to the RTPA/MPO of the San Francisco Bay Area for the programming of projects; and

WHEREAS, state and federal funds assigned for RTPA/MPO programming discretion are subject to availability and must be used within prescribed funding deadlines regardless of project readiness; and

WHEREAS, MTC, in cooperation with the Association of Bay Area Governments (ABAG), the Bay Area Air Quality Management District (BAAQMD), the Bay Conservation and Development Commission (BCDC), California Department of Transportation (Caltrans), Congestion Management Agencies (CMAs), county Transportation Authorities (TAs), transit operators, counties, cities, and interested stakeholders, has developed criteria, policies and procedures to be used in the selection of projects to be funded with various funding including regional federal funds as set forth in Attachments A, B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS, using the policies set forth in Attachment A of this Resolution, MTC, in cooperation with the Bay Area Partnership and interested stakeholders, will develop a program of projects to be funded with these funds for inclusion in the federal TIP, as set forth in Attachments B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS the federal TIP and subsequent TIP amendments and updates are subject to public review and comment; now therefore be it

RESOLVED that MTC approves the "Project Selection Criteria and Programming Policy" for projects to be funded in the OBAG 2 Program as set forth in Attachments A, B-1 and B-2 of this Resolution; and be it further

RESOLVED that the regional discretionary funding shall be pooled and distributed on a regional basis for implementation of project selection criteria, policies, procedures and programming, consistent with the Regional Transportation Plan (RTP); and be it further

RESOLVED that the projects will be included in the federal TIP subject to final federal approval and requirements; and be it further

RESOLVED that the Executive Director or designee may make technical adjustments and other non-substantial revisions, including updates to fund sources and distributions to reflect final funding criteria and availability; and be it further

RESOLVED that the Executive Director or designee is authorized to revise Attachments B-1 and B-2 as necessary to reflect the programming of projects as the projects are selected, revised and included in the federal TIP; and be it further

RESOLVED that the Executive Director or designee shall make available a copy of this resolution, and attachments as may be required and appropriate.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on November 18, 2015

**Attachment B-1**  
**MTC Resolution No. 4202**  
**OBAG 2 Regional Programs**  
**FY 2017-18 through FY 2021-22**  
**October 2016**

MTC Res. No. 4202 Attachment B-1  
 Adopted: 11/18/15-C  
 Revised: 07/27/16-C 10/26/16-C

**OBAG 2 Regional Programs Project List**

PROJECT CATEGORY AND TITLE	COUNTY	SPONSOR	TOTAL OBAG 2 STP/CMAQ
<b>OBAG 2 REGIONAL PROGRAMS</b>			
<b>1. REGIONAL PLANNING ACTIVITIES</b>			
Regional Planning	Regionwide	MTC	\$9,555,000
<b>1. REGIONAL PLANNING ACTIVITIES</b>		<b>TOTAL:</b>	<b>\$9,555,000</b>
<b>2. PAVEMENT MANAGEMENT PROGRAM</b>			
Pavement Management Program	Regionwide	MTC	\$1,500,000
Pavement Technical Advisory Program (PTAP)	Regionwide	MTC	\$7,500,000
Statewide Local Streets and Roads (LSR) Needs Assessment	Regionwide	MTC/Caltrans	\$250,000
<b>2. PAVEMENT MANAGEMENT PROGRAM</b>		<b>TOTAL:</b>	<b>\$9,250,000</b>
<b>3. PDA PLANNING &amp; IMPLEMENTATION</b>			
PDA Planning and Implementation	Regionwide	MTC	\$18,500,000
Community-Based Transportation Plan (CBTP) Updates	Regionwide	MTC	\$1,500,000
<b>3. PDA PLANNING &amp; IMPLEMENTATION</b>		<b>TOTAL:</b>	<b>\$20,000,000</b>
<b>4. CLIMATE INITIATIVES</b>			
Climate Initiatives Program of Projects	TBD	TBD	\$22,000,000
Spare the Air Youth Program	Regionwide	MTC	\$1,000,000
<b>4. CLIMATE INITIATIVES</b>		<b>TOTAL:</b>	<b>\$23,000,000</b>
<b>5. REGIONAL ACTIVE OPERATIONAL MANAGEMENT</b>			
AOM Implementation	Regionwide	MTC	\$22,500,000
511 Next Gen	Regionwide	MTC	\$39,000,000
Rideshare	Regionwide	MTC	\$10,000,000
Bay Bridge Forward	Regionwide	MTC	
Transbay Higher Capacity Bus Fleet/Increased Service Frequencies	Alameda	AC Transit	\$1,200,000
Pilot Transbay Express Bus Routes	Alameda	AC Transit	\$800,000
Eastbay Commuter Parking	Alameda	MTC	\$1,500,000
Casual Carpool in San Francisco and along I-80	SF/Alameda	MTC	\$1,000,000
Transbay Higher Capacity Bus Fleet/Increased Service Frequencies	Contra Costa	WestCat	\$2,000,000
<del>Ferry Service Enhancement Pilot (pending exchange)</del>	<del>Various</del>	<del>WETA</del>	<del>\$2,500,000</del>
<u>Unprogrammed Balance</u>	<u>TBD</u>	<u>TBD</u>	<u>\$2,500,000</u>
Columbus Day Initiative (CDI)	Regionwide	MTC	
Freeway Performance	Regionwide	MTC	\$43,500,000
Arterial/Transit Performance	Regionwide	MTC	\$18,000,000
Connected Vehicles/Shared Mobility	Regionwide	MTC	\$5,000,000
Transportation Management System	Regionwide	MTC	
Field Equipment Devices O&M	Regionwide	MTC	\$19,000,000
Incident Management	Regionwide	MTC	\$13,000,000
<b>5. REGIONAL ACTIVE OPERATIONAL MANAGEMENT</b>		<b>TOTAL:</b>	<b>\$179,000,000</b>
<b>6. TRANSIT CAPITAL PRIORITIES</b>			
BART Car Replacement/Expansion	Various	BART	\$150,000,000
Clipper	Regionwide	MTC	\$20,000,000
<i>Unprogrammed Balance</i>			\$19,283,000
<b>6. TRANSIT CAPITAL PRIORITIES</b>		<b>TOTAL:</b>	<b>\$189,283,000</b>
<b>7. PRIORITY CONSERVATION AREA (PCA)</b>			
<b><i>Regional Peninsula, Southern and Eastern Counties PCA Program</i></b>			
Peninsula, Southern and Eastern Counties PCA Program	TBD	MTC/CCC	\$8,200,000
<b><i>Local Northbay PCA Program</i></b>			
Marin PCA Program	Marin	TAM	\$2,050,000
Napa PCA Program	Napa	NCTPA	\$2,050,000
Solano PCA Program	Solano	STA	\$2,050,000

**Attachment B-1**  
**MTC Resolution No. 4202**  
**OBAG 2 Regional Programs**  
**FY 2017-18 through FY 2021-22**  
**October 2016**

MTC Res. No. 4202 Attachment B-1  
 Adopted: 11/18/15-C  
 Revised: 07/27/16-C 10/26/16-C

**OBAG 2 Regional Programs Project List**

PROJECT CATEGORY AND TITLE	COUNTY	SPONSOR	TOTAL OBAG 2 STP/CMAQ
<b>OBAG 2 REGIONAL PROGRAMS</b>			
Sonoma PCA Program	Sonoma	SCTA	\$2,050,000
<b>7. PRIORITY CONSERVATION AREA (PCA)</b>		<b>TOTAL:</b>	<b>\$16,400,000</b>
<b>8. LOCAL HOUSING PRODUCTION INCENTIVE</b>			
Local Housing Production Incentive	TBD	TBD	\$30,000,000
<b>8. LOCAL HOUSING PRODUCTION INCENTIVE</b>		<b>TOTAL:</b>	<b>\$30,000,000</b>
<b>OBAG 2 REGIONAL PROGRAMS</b>			<b>TOTAL: \$476,488,000</b>

# Metropolitan Transportation Commission Programming and Allocations Committee

October 12, 2016

Agenda Item 2g

## MTC Resolutions No. 4202, Revised

**Subject:** Revisions to the One Bay Area Grant Program (OBAG 2) Project Selection Criteria and Programming Policy to clarify provisions pertaining to the North Bay's Priority Conservation Area (PCA) Program and reprogramming of funds within the Bay Bridge Forward Initiative.

**Background:** On November 18, 2015 the Commission adopted MTC Resolution No. 4202, the project selection criteria and programming policy for OBAG 2, which guides the distribution of the Bay Area's share of federal Surface Transportation Block Grant Program (STP) and Congestion Mitigation and Air Quality Improvement Program (CMAQ) funds for Fiscal Years 2017-18 through 2021-22. The policy was updated in July, 2016.

This month, the following revisions are proposed:

### 1. North Bay Priority Conservation Area (PCA) Program

The OBAG 2 Priority Conservation Area (PCA) Program includes one approach for the North Bay (Marin, Napa, Solano, and Sonoma counties) and a second approach for the remaining five counties of the Peninsula, East Bay, and South Bay.

As outlined in MTC Resolution No. 4202, for the North Bay counties, each County Congestion Management Agency (CMA) will receive dedicated funding to lead their own county-wide program. The CMAs select projects to recommend for funding, building on their PCA planning conducted to-date.

Staff proposes a clarification to the provisions of the North Bay's PCA Program within the OBAG 2 County Program, removing the statement that a 2:1 match is required. As currently written, there is an inconsistency in the framework requirements for the North Bay between the description in Attachment A and the description in Appendix A-9. The clarifying language for Attachment A, page 27, is provided as **Attachment A**, and removes reference to the 2:1 match requirement for the North Bay PCA Program. Appendix A-9 remains unchanged, but is provided for reference as **Attachment B**.

### 2. Bay Bridge Forward

On July 27, 2016, the Commission approved revisions to the program to direct an additional \$40 million in funding for the Bay Bridge Forward program of projects, in conjunction with the OBAG 2 framework. One change is proposed:

- **Ferry Service Enhancement Pilot:** The Commission approved \$2.5 million in STP/CMAQ funding to the Water Emergency Transportation Authority (WETA) for a pilot to increase service.

WETA recently informed MTC staff that they are unable to use federal funds for this purpose, and has requested the Commission substitute an equivalent amount in non-federal funds to implement the pilot.

A proposed allocation of a roughly equivalent amount in Regional Measure 2 (RM2) to WETA's service enhancement project is covered under Agenda Item 2d on today's agenda. Contingent upon the approval of that item, staff proposes to deprogram \$2.5 million in STP/CMAQ funds from the WETA project.

**Issues:** The programming action proposed in this item is contingent upon Commission approval of the RM 2 Allocation in Agenda Item 2d.

**Recommendation:** Refer MTC Resolution No. 4202, Revised to the Commission for approval.

**Attachments:** Attachment A – Clarifying Language to Provisions of the North Bay's PCA Program within the OBAG 2 County Program  
Attachment B – Appendix A-9 Priority Conservation Area Program  
MTC Resolution No. 4202 Attachments A and B-1, Revised



The PCA funding program includes one approach for the North Bay program (Marin, Napa, Solano, and Sonoma) and a second for the remaining five counties. In the North Bay, each CMA will receive dedicated funding, lead a county-wide program building on PCA planning conducted to date, and select projects for funding. For the remaining counties, MTC will partner with the Coastal Conservancy, a California State agency, to program the PCA funds. Appendix A-9 outlines the framework for this program including goals, project screening eligibility, eligible sponsors, and project selection.

Any CMA may use additional funding from its base OBAG 2 County Program to expand its dedicated PCA program (North Bay counties), augment grants received from the regionally competitive PCA program (remaining counties), or develop its own county PCA program (all counties).

The North Bay program framework is to be developed by the four North Bay CMAs, building upon their PCA planning and priorities carried out to date. Project eligibility is limited by the eligibility of federal surface transportation funding; unless the CMA can exchange these funds or leverage new fund sources for their programs. The PCA program requires a 2:1 minimum non-federal match.

As a part of the update to *Plan Bay Area*, MTC is exploring implementing a Regional Advance Mitigation Planning (RAMP) Program. RAMP would mitigate certain environmental impacts from multiple planned transportation projects, rather than mitigating on a less-efficient per-project level. Partnering arrangements can be established to leverage multiple fund sources in order to maximize benefits of the RAMP and PCA programs. As such, PCA funds may be used to deliver net environmental benefits to a RAMP program project.

In instances where federal funds may not be used for this purpose, sponsors may exchange OBAG 2 funds with eligible non-federal funds. Such exchanges must be consistent with MTC's fund exchange policy (MTC Resolution No. 3331).

## APPENDIX A-9: Priority Conservation Area (PCA) Program

### **Program Goals and Eligible Projects**

The goal of the Priority Conservation Area (PCA) Program is to support Plan Bay Area by preserving and enhancing the natural, economic and social value of rural lands and open space in the Bay Area, for residents and businesses. These values include globally unique ecosystems, productive agricultural lands, recreational opportunities, urban greening, healthy fisheries, and climate protection (mitigation and adaptation), among others.

The PCA Program should also be linked to SB 375 goals which direct MPOs to prepare sustainable community strategies which consider resource areas and farmland in the region as defined in Section 65080.01. One purpose of the PCA program is to reinforce efforts to target growth in existing neighborhoods (PDAs), rather than allowing growth to occur in an unplanned "project-by-project" approach.

The PCA program is split into two elements:

1. North Bay Program (\$8 million)
2. Peninsula, Southern and Eastern Counties Program (\$8 million)

The North Bay program framework is to be developed by the four North Bay county Congestion Management Agencies (CMAs), building on their PCA planning and priorities carried out to date. Project eligibility is limited by the eligibility of federal surface transportation funding; unless the CMA can exchange these funds or leverage new fund sources for their programs.

The Peninsula, Southern and Eastern Counties Program will be administered by the Coastal Conservancy\* in partnership with MTC based on the proposal provided below. The table below outlines screening criteria, eligible applicants, and the proposed project selection and programming process for the Peninsula, Southern and Eastern Counties.

<b>Funding Amount</b>	<ul style="list-style-type: none"> <li>\$8 million</li> </ul>
<b>Screening Criteria</b>	<ul style="list-style-type: none"> <li><b>PCA Designation:</b> Eligible projects must be within a designated PCA. The list of adopted PCAs can be found at: <a href="http://abag.ca.gov/priority/conservation/">http://abag.ca.gov/priority/conservation/</a>.</li> <li><b>Regionally Significant:</b> Indicators of regional significance include a project's contribution to goals stated in regional habitat, agricultural or open space plans (i.e. <i>San Francisco Bay Area Upland Habitat Goals Project Report</i> at <a href="http://www.bayarealands.org/reports/">http://www.bayarealands.org/reports/</a>), countywide Plans or ABAG's PCA designations. Applicants should describe who will benefit from the project and the regional (greater-than-local) need it serves.</li> <li><b>Open Space Protection In Place:</b> Linkages to or location in a Greenbelt area that is policy protected from development. Land acquisition or easement projects would be permitted in an area without open space policy protections in place.</li> <li><b>Non-Federal Local Match:</b> 2:1 minimum match</li> </ul>

**PAC Agenda Item 4b, Attachment B**

Attachment A, MTC Resolution No. 4202

November 18, 2015

	<ul style="list-style-type: none"><li>• <b>Meets Program Goals:</b> Projects that meet one of the following program goals (subject to funding eligibility—see below):<ul style="list-style-type: none"><li>○ Protects or enhances “resource areas” or habitats as defined in California Government Code § 65080.01(a).</li><li>○ Provides or enhances bicycle and pedestrian access to open space / parkland resources. Notable examples are the Bay and Ridge Trail Systems.</li><li>○ Supports the agricultural economy of the region.</li><li>○ Includes existing and potential urban green spaces that increase habitat connectivity, improve community health, capture carbon emissions, and address stormwater.</li></ul></li></ul>
<b>Eligible Applicants</b>	<ul style="list-style-type: none"><li>• Local governments (cities, counties, towns), county congestion management agencies, tribes, water/utility districts, resource conservation districts, park and/or open space districts, land trusts and other land/resource protection nonprofit organizations in the nine-county San Francisco Bay Area are invited to nominate projects. Applicants are strongly encouraged to collaborate and partner with other entities on the nomination of projects, and partnerships that leverage additional funding will be given higher priority in the grant award process. <b>Partnerships are necessary with cities, counties, or CMAs in order to access federal funds. Federally-funded projects must have an implementing agency that is able to receive a federal-aid grant (master agreement with Caltrans).</b></li></ul>
<b>Emphasis Areas / Eligible Projects</b>	<p><b>Eligible Projects</b></p> <ol style="list-style-type: none"><li>1. <b>Planning Activities</b></li><li>2. <b>Pedestrian and Bicycle Facilities/ Infrastructure:</b> On-road and off-road trail facilities, sidewalks, bicycle infrastructure, pedestrian and bicycle signals, traffic calming, lighting and other safety related infrastructure, and ADA compliance, conversion and use of abandoned rail corridors for pedestrians and bicyclists.</li><li>3. <b>Visual Enhancements:</b> Construction of turnouts, overlooks and viewing areas.</li><li>4. <b>Habitat / Environmental Enhancements:</b> Vegetation management practices in transportation rights-of-way, reduce vehicle-caused wildlife mortality or to restore and maintain connectivity among terrestrial or aquatic habitats, mitigation of transportation project environmental impacts funded through the federal-aid surface transportation program.</li><li>5. <b>Protection (Land Acquisition or Easement) or Enhancement of Natural Resources, Open Space or Agricultural Lands:</b> Parks and</li></ol>

	<p>open space, staging areas or environmental facilities; or natural resources, such as listed species, identified priority habitat, wildlife corridors, wildlife corridors watersheds, or agricultural soils of importance.</p> <p>6. <b>Urban Greening:</b> Existing and potential green spaces in cities that increase habitat connectivity, improve community health, capture carbon emissions, and address stormwater.</p> <p><b>Note:</b> MTC encourages PCA project applicants to partner with other agencies and programs to leverage other funds in order to maximize benefits. As such, PCA funded projects may become eligible to deliver net environmental benefits to a future Regional Advance Mitigation Planning (RAMP) program project, above any required mitigation requirements. Note that such projects may need to rely on funding exchanges with eligible non-federal funds because most land acquisition and habitat restoration projects that are not mitigation for transportation projects are not eligible for federal transportation funds. Any such funding exchange must be consistent with MTC's fund exchange policy (MTC Resolution No. 3331).</p>
<b>Project Selection</b>	<p><b>Coastal Conservancy Partnership Program:</b></p> <p>MTC will provide \$8 million of federal transportation funds which will be combined with the Coastal Conservancy's own program funds in order to support a broader range of projects (i.e. land acquisition and easement projects) than can be accommodated with federal transportation dollars alone. The Coastal Conservancy, MTC, and ABAG staff will cooperatively manage the call for projects. This approach would harness the expertise of the Coastal Conservancy, expand the pool of eligible projects, and leverage additional resources through the Coastal Conservancy.</p>

\*The Coastal Conservancy is a state agency and the primary public land conservation funding source in the Bay Area, providing funding for many different types of land conservation projects. For more information see <http://scc.ca.gov/>.

Date: November 18, 2015  
W.I.: 1512  
Referred by: Programming & Allocations  
Revised: 07/27/16-C 10/26/16-C

ABSTRACT

Resolution No. 4202

Adoption of the project selection policies and project programming for the second round of the One Bay Area Grant program (OBAG 2). The project selection criteria and programming policy contain the project categories that are to be funded with various fund sources including federal surface transportation act funding available to MTC for its programming discretion to be included in the federal Transportation Improvement Program (TIP) for the OBAG 2 funding period.

The resolution includes the following attachments:

- Attachment A – Project Selection Criteria and Programming Policy
- Attachment B-1 – Regional Program Project List
- Attachment B-2 – County Program Project List

On July 27, 2016, Attachment A, and Attachments B-1 and B-2 were revised to add additional funding and projects to the OBAG 2 framework, including \$72 million in additional Fixing America's Surface Transportation Act (FAST) funding, and to incorporate housing-related policies.

On October 26, 2016, Attachment A, and Attachment B-1 were revised to clarify language related to the North Bay Priority Conservation Area (PCA) Program in Attachment A and to deprogram \$2,500,000 from the Water Emergency Transportation Authority (WETA) Ferry Service Enhancement Pilot within the Regional Active Operational Management Program.

Further discussion of the project selection criteria and programming policy is contained in the memorandum to the Programming and Allocations Committee dated November 4, 2015, July 13, 2016, and October 12, 2016.

Date: November 18, 2015  
W.I.: 1512  
Referred By: Programming & Allocations

RE: One Bay Area Grant Program Second Round (OBAG 2) Project Selection Criteria and Programming Policy

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4202

WHEREAS, the Metropolitan Transportation Commission (MTC) is the Regional Transportation Planning Agency (RTPA) for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county San Francisco Bay Area region and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes federal funds; and

WHEREAS, MTC is the designated recipient for state and federal funding assigned to the RTPA/MPO of the San Francisco Bay Area for the programming of projects; and

WHEREAS, state and federal funds assigned for RTPA/MPO programming discretion are subject to availability and must be used within prescribed funding deadlines regardless of project readiness; and

WHEREAS, MTC, in cooperation with the Association of Bay Area Governments (ABAG), the Bay Area Air Quality Management District (BAAQMD), the Bay Conservation and Development Commission (BCDC), California Department of Transportation (Caltrans), Congestion Management Agencies (CMAs), county Transportation Authorities (TAs), transit operators, counties, cities, and interested stakeholders, has developed criteria, policies and procedures to be used in the selection of projects to be funded with various funding including regional federal funds as set forth in Attachments A, B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS, using the policies set forth in Attachment A of this Resolution, MTC, in cooperation with the Bay Area Partnership and interested stakeholders, will develop a program of projects to be funded with these funds for inclusion in the federal TIP, as set forth in Attachments B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS the federal TIP and subsequent TIP amendments and updates are subject to public review and comment; now therefore be it

RESOLVED that MTC approves the "Project Selection Criteria and Programming Policy" for projects to be funded in the OBAG 2 Program as set forth in Attachments A, B-1 and B-2 of this Resolution; and be it further

RESOLVED that the regional discretionary funding shall be pooled and distributed on a regional basis for implementation of project selection criteria, policies, procedures and programming, consistent with the Regional Transportation Plan (RTP); and be it further

RESOLVED that the projects will be included in the federal TIP subject to final federal approval and requirements; and be it further

RESOLVED that the Executive Director or designee may make technical adjustments and other non-substantial revisions, including updates to fund sources and distributions to reflect final funding criteria and availability; and be it further

RESOLVED that the Executive Director or designee is authorized to revise Attachments B-1 and B-2 as necessary to reflect the programming of projects as the projects are selected, revised and included in the federal TIP; and be it further

RESOLVED that the Executive Director or designee shall make available a copy of this resolution, and attachments as may be required and appropriate.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on November 18, 2015

**Attachment B-1**  
**MTC Resolution No. 4202**  
**OBAG 2 Regional Programs**  
**FY 2017-18 through FY 2021-22**  
**October 2016**

MTC Res. No. 4202 Attachment B-1  
 Adopted: 11/18/15-C  
 Revised: 07/27/16-C 10/26/16-C

**OBAG 2 Regional Programs Project List**

PROJECT CATEGORY AND TITLE	COUNTY	SPONSOR	TOTAL OBAG 2 STP/CMAQ
<b>OBAG 2 REGIONAL PROGRAMS</b>			
<b>1. REGIONAL PLANNING ACTIVITIES</b>			
Regional Planning	Regionwide	MTC	\$9,555,000
<b>1. REGIONAL PLANNING ACTIVITIES</b>		<b>TOTAL:</b>	<b>\$9,555,000</b>
<b>2. PAVEMENT MANAGEMENT PROGRAM</b>			
Pavement Management Program	Regionwide	MTC	\$1,500,000
Pavement Technical Advisory Program (PTAP)	Regionwide	MTC	\$7,500,000
Statewide Local Streets and Roads (LSR) Needs Assessment	Regionwide	MTC/Caltrans	\$250,000
<b>2. PAVEMENT MANAGEMENT PROGRAM</b>		<b>TOTAL:</b>	<b>\$9,250,000</b>
<b>3. PDA PLANNING &amp; IMPLEMENTATION</b>			
PDA Planning and Implementation	Regionwide	MTC	\$18,500,000
Community-Based Transportation Plan (CBTP) Updates	Regionwide	MTC	\$1,500,000
<b>3. PDA PLANNING &amp; IMPLEMENTATION</b>		<b>TOTAL:</b>	<b>\$20,000,000</b>
<b>4. CLIMATE INITIATIVES</b>			
Climate Initiatives Program of Projects	TBD	TBD	\$22,000,000
Spare the Air Youth Program	Regionwide	MTC	\$1,000,000
<b>4. CLIMATE INITIATIVES</b>		<b>TOTAL:</b>	<b>\$23,000,000</b>
<b>5. REGIONAL ACTIVE OPERATIONAL MANAGEMENT</b>			
AOM Implementation	Regionwide	MTC	\$22,500,000
511 Next Gen	Regionwide	MTC	\$39,000,000
Rideshare	Regionwide	MTC	\$10,000,000
Bay Bridge Forward	Regionwide	MTC	
Transbay Higher Capacity Bus Fleet/Increased Service Frequencies	Alameda	AC Transit	\$1,200,000
Pilot Transbay Express Bus Routes	Alameda	AC Transit	\$800,000
Eastbay Commuter Parking	Alameda	MTC	\$1,500,000
Casual Carpool in San Francisco and along I-80	SF/Alameda	MTC	\$1,000,000
Transbay Higher Capacity Bus Fleet/Increased Service Frequencies	Contra Costa	WestCat	\$2,000,000
<del>Ferry Service Enhancement Pilot (pending exchange)</del>	<del>Various</del>	<del>WETA</del>	<del>\$2,500,000</del>
<u>Unprogrammed Balance</u>	<u>TBD</u>	<u>TBD</u>	<u>\$2,500,000</u>
Columbus Day Initiative (CDI)	Regionwide	MTC	
Freeway Performance	Regionwide	MTC	\$43,500,000
Arterial/Transit Performance	Regionwide	MTC	\$18,000,000
Connected Vehicles/Shared Mobility	Regionwide	MTC	\$5,000,000
Transportation Management System	Regionwide	MTC	
Field Equipment Devices O&M	Regionwide	MTC	\$19,000,000
Incident Management	Regionwide	MTC	\$13,000,000
<b>5. REGIONAL ACTIVE OPERATIONAL MANAGEMENT</b>		<b>TOTAL:</b>	<b>\$179,000,000</b>
<b>6. TRANSIT CAPITAL PRIORITIES</b>			
BART Car Replacement/Expansion	Various	BART	\$150,000,000
Clipper	Regionwide	MTC	\$20,000,000
<i>Unprogrammed Balance</i>			\$19,283,000
<b>6. TRANSIT CAPITAL PRIORITIES</b>		<b>TOTAL:</b>	<b>\$189,283,000</b>
<b>7. PRIORITY CONSERVATION AREA (PCA)</b>			
<b><i>Regional Peninsula, Southern and Eastern Counties PCA Program</i></b>			
Peninsula, Southern and Eastern Counties PCA Program	TBD	MTC/CCC	\$8,200,000
<b><i>Local Northbay PCA Program</i></b>			
Marin PCA Program	Marin	TAM	\$2,050,000
Napa PCA Program	Napa	NCTPA	\$2,050,000
Solano PCA Program	Solano	STA	\$2,050,000



**Attachment B-1**  
**MTC Resolution No. 4202**  
**OBAG 2 Regional Programs**  
**FY 2017-18 through FY 2021-22**  
**October 2016**

MTC Res. No. 4202 Attachment B-1  
 Adopted: 11/18/15-C  
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**OBAG 2 Regional Programs Project List**

PROJECT CATEGORY AND TITLE	COUNTY	SPONSOR	TOTAL OBAG 2 STP/CMAQ
<b>OBAG 2 REGIONAL PROGRAMS</b>			
Sonoma PCA Program	Sonoma	SCTA	\$2,050,000
<b>7. PRIORITY CONSERVATION AREA (PCA)</b>		<b>TOTAL:</b>	<b>\$16,400,000</b>
<b>8. LOCAL HOUSING PRODUCTION INCENTIVE</b>			
Local Housing Production Incentive	TBD	TBD	\$30,000,000
<b>8. LOCAL HOUSING PRODUCTION INCENTIVE</b>		<b>TOTAL:</b>	<b>\$30,000,000</b>
<b>OBAG 2 REGIONAL PROGRAMS</b>		<b>TOTAL:</b>	<b>\$476,488,000</b>



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

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**File #:** 15-1946      **Version:** 1      **Name:**

**Type:** Action Item      **Status:** Consent

**File created:** 9/16/2016      **In control:** Programming and Allocations Committee

**On agenda:** 10/12/2016      **Final action:**

**Title:** Proposed Agreement with Tehama County Transportation Commission for Exchange of Federal Apportionments. A request to authorize the Executive Director to sign a Letter of Understanding with the Tehama County Transportation Commission (Tehama CTC) to exchange \$1 million in Surface Transportation Block Grant Program (STP) funds with an equal amount of Congestion Mitigation Air Quality Improvement Program (CMAQ) funds.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6f PAC-2f TehamaCounty Fund Exchange](#)  
[2f TehamaCounty Fund Exchange .pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

Proposed Agreement with Tehama County Transportation Commission for Exchange of Federal Apportionments. A request to authorize the Executive Director to sign a Letter of Understanding with the Tehama County Transportation Commission (Tehama CTC) to exchange \$1 million in Surface Transportation Block Grant Program (STP) funds with an equal amount of Congestion Mitigation Air Quality Improvement Program (CMAQ) funds.

**Presenter:**

Ross McKeown

**Recommended Action:**

Commission Approval

# **Metropolitan Transportation Commission Programming and Allocations Committee**

**October 12, 2016**

**Commission Agenda Item 6f**

## **Proposed Agreement with Tehama County Transportation Commission for Exchange of Federal Apportionments**

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**Subject:** Authorize the Executive Director to sign a letter of understanding and enter into an agreement with the Tehama County Transportation Commission (Tehama CTC) to exchange \$1,000,000 in Surface Transportation Block Grant Program (STP) funds with an equal amount of Congestion Mitigation Air Quality Improvement Program (CMAQ) funds.

**Background:** In September, MTC staff received a request from the Tehama CTC to exchange \$1,000,000 in MTC's apportionment of STP funds with an equal amount of Tehama CTC's apportionment of CMAQ funds.

Assembly Bill 1012 (Chapter 783 of the Statutes of 1999) amended Sections 182.6 and 182.7 of the State Streets and Highways Code to include provisions for the timely use of regional apportionments of STP and CMAQ funds. This "use it or lose it" legislation includes the requirement that regional agencies must obligate their STP/CMAQ apportionments within three years of federal eligibility. Apportionment balances remaining after the third year are subject to reprogramming by the California Transportation Commission in the fourth year.

As of the latest Apportionment Status Report published by Caltrans Local Assistance, Tehama CTC has a balance of \$435,617 in third year unobligated CMAQ apportionment that is subject to reprogramming by the CTC on November 1, 2016.

In order to avoid losing this apportionment balance to their region, Tehama CTC has requested to exchange \$1,000,000 in their CMAQ apportionment (\$564,383 more than the \$435,617 subject to AB 1012 reprogramming to ensure Tehama CTC does not find themselves in this situation next year) with \$1,000,000 in MTC's apportionment of STP.

This exchange would benefit Tehama CTC by avoiding the AB 1012 reprogramming of their CMAQ balance, while having a negligible impact on MTC since MTC has numerous projects that are both CMAQ and STP eligible. Caltrans staff has confirmed that this exchange is allowed per Caltrans' Apportionment/Obligation Authority Management Policy.

**Issues:** **Provision to Eliminate Risk**  
The CMAQ funds are subject to reprogramming by CTC on November 1, 2016, unless MTC and Tehama CTC execute a transfer agreement by that date. If the agreement is not executed in time, the exchange will not take place and MTC will retain its full STP apportionment balance. Further, the agreement will be conditioned upon meeting all AB 1012 deadlines to ensure no apportionment is lost.

**Additional CMAQ Funds Easily Absorbed**

MTC can readily absorb the additional \$1,000,000 CMAQ funds on projects already in the queue for immediate obligation (including the regional priority BART Car Replacement project). In addition, the exchange of the equal amount of STP funds will not limit MTC's ability to deliver future projects, given the relatively small size of the exchange and the region's track record for obligating regional apportionments of both STP and CMAQ in a timely manner.

**Recommendation:** Refer to the Commission recommendations to authorize the Executive Director to sign a Letter of Understanding with the Tehama County Transportation Commission (TCTC) to exchange an equal amount of STP funds with CMAQ funds.

**Attachments:** Draft Letter of Understanding with TCTC for Executive Director's signature.



METROPOLITAN  
TRANSPORTATION  
COMMISSION

October 27, 2016

Mr. Rihui Zhang, Chief  
Division of Local Assistance  
Caltrans  
P.O. Box 942873  
Sacramento, CA 94273-0001

RE: Letter of Understanding between the Tehama County Transportation Commission and the Metropolitan Transportation Commission for Federal Apportionment Exchange

Dear Mr. Zhang,

This letter constitutes our understanding of an exchange in Congestion Mitigation and Air Quality Improvement (CMAQ) apportionments for Surface Transportation Block Grant Program (STP) apportionments between the Tehama County Transportation Commission (TCTC) and the Metropolitan Transportation Commission (MTC).

The TCTC has a balance of CMAQ apportionments subject to reprogramming on November 1, 2016 under the Assembly Bill 1012 Timely Use of Funds provisions. To avoid the loss of this apportionment balance to their region, TCTC would like to exchange a portion of its balance in CMAQ funds for an equal amount of STP funds from MTC.

This letter is to formalize the exchange of \$1,000,000 in prior year CMAQ apportionments from TCTC with an equivalent amount of any area, current year STP apportionments from MTC.

This exchange is contingent upon execution of this Letter of Understanding prior to November 1, 2016. Should the Letter of Understanding not be executed prior to November 1, 2016, or any other AB 1012 deadlines are not met resulting in loss of apportionment, MTC shall retain its full STP apportionment balance and the Letter of Understanding is null and void.

Mr. Ray Zhang  
October 27, 2016  
Page 2

This Letter of Understanding sets forth MTC's and TCTC's intent for the use of the identified CMAQ and STP apportionment, and requests for Caltrans to adjust each region's apportionments as appropriate. This exchange would benefit TCTC by preventing the region's loss of federal fund apportionments, while providing MTC with additional capacity to deliver CMAQ projects. We are relying on our understanding that this exchange is allowed per Caltrans' Obligation Authority Management Policy.

This Letter of Understanding will be in effect for a one-time exchange of funds through November 1, 2016, only.

We appreciate this opportunity to exchange federal fund apportionment and support our transportation partners. If you have questions, please contact Barbara O'Keeffe, TCTC's Deputy Director, Transportation, at (530) 385-1462 or Ross McKeown, MTC's Programming and Funding Manager, at (415) 778-5242.

Sincerely,

Sincerely,

Gary Antone  
TCTC  
Executive Director

Steve Heminger  
MTC  
Executive Director

SH:ma

cc: Barbara O'Keeffe, TCTC  
Ross McKeown, MTC

# **Metropolitan Transportation Commission Programming and Allocations Committee**

**October 12, 2016**

**Agenda Item 2f**

## **Proposed Agreement with Tehama County Transportation Commission for Exchange of Federal Apportionments**

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**Subject:** Authorize the Executive Director to sign a letter of understanding and enter into an agreement with the Tehama County Transportation Commission (Tehama CTC) to exchange \$1,000,000 in Surface Transportation Block Grant Program (STP) funds with an equal amount of Congestion Mitigation Air Quality Improvement Program (CMAQ) funds.

**Background:** In September, MTC staff received a request from the Tehama CTC to exchange \$1,000,000 in MTC's apportionment of STP funds with an equal amount of Tehama CTC's apportionment of CMAQ funds.

Assembly Bill 1012 (Chapter 783 of the Statutes of 1999) amended Sections 182.6 and 182.7 of the State Streets and Highways Code to include provisions for the timely use of regional apportionments of STP and CMAQ funds. This "use it or lose it" legislation includes the requirement that regional agencies must obligate their STP/CMAQ apportionments within three years of federal eligibility. Apportionment balances remaining after the third year are subject to reprogramming by the California Transportation Commission in the fourth year.

As of the latest Apportionment Status Report published by Caltrans Local Assistance, Tehama CTC has a balance of \$435,617 in third year unobligated CMAQ apportionment that is subject to reprogramming by the CTC on November 1, 2016.

In order to avoid losing this apportionment balance to their region, Tehama CTC has requested to exchange \$1,000,000 in their CMAQ apportionment (\$564,383 more than the \$435,617 subject to AB 1012 reprogramming to ensure Tehama CTC does not find themselves in this situation next year) with \$1,000,000 in MTC's apportionment of STP.

This exchange would benefit Tehama CTC by avoiding the AB 1012 reprogramming of their CMAQ balance, while having a negligible impact on MTC since MTC has numerous projects that are both CMAQ and STP eligible. Caltrans staff has confirmed that this exchange is allowed per Caltrans' Apportionment/Obligation Authority Management Policy.

**Issues:** **Provision to Eliminate Risk**  
The CMAQ funds are subject to reprogramming by CTC on November 1, 2016, unless MTC and Tehama CTC execute a transfer agreement by that date. If the agreement is not executed in time, the exchange will not take place and MTC will retain its full STP apportionment balance. Further, the agreement will be conditioned upon meeting all AB 1012 deadlines to ensure no apportionment is lost.

**Additional CMAQ Funds Easily Absorbed**

MTC can readily absorb the additional \$1,000,000 CMAQ funds on projects already in the queue for immediate obligation (including the regional priority BART Car Replacement project). In addition, the exchange of the equal amount of STP funds will not limit MTC's ability to deliver future projects, given the relatively small size of the exchange and the region's track record for obligating regional apportionments of both STP and CMAQ in a timely manner.

**Recommendation:** Refer to the Commission recommendations to authorize the Executive Director to sign a Letter of Understanding with the Tehama County Transportation Commission (TCTC) to exchange an equal amount of STP funds with CMAQ funds.

**Attachments:** Draft Letter of Understanding with TCTC for Executive Director's signature.



## Attachment 1



METROPOLITAN  
TRANSPORTATION  
COMMISSION

October 27, 2016

Mr. Rihui Zhang, Chief  
Division of Local Assistance  
Caltrans  
P.O. Box 942873  
Sacramento, CA 94273-0001

RE: Letter of Understanding between the Tehama County Transportation Commission and the Metropolitan Transportation Commission for Federal Apportionment Exchange

Dear Mr. Zhang,

This letter constitutes our understanding of an exchange in Congestion Mitigation and Air Quality Improvement (CMAQ) apportionments for Surface Transportation Block Grant Program (STP) apportionments between the Tehama County Transportation Commission (TCTC) and the Metropolitan Transportation Commission (MTC).

The TCTC has a balance of CMAQ apportionments subject to reprogramming on November 1, 2016 under the Assembly Bill 1012 Timely Use of Funds provisions. To avoid the loss of this apportionment balance to their region, TCTC would like to exchange a portion of its balance in CMAQ funds for an equal amount of STP funds from MTC.

This letter is to formalize the exchange of \$1,000,000 in prior year CMAQ apportionments from TCTC with an equivalent amount of any area, prior year STP apportionments from MTC.

This exchange is contingent upon execution of this Letter of Understanding prior to November 1, 2016. Should the Letter of Understanding not be executed prior to November 1, 2016, or any other AB 1012 deadlines are not met resulting in loss of apportionment, MTC shall retain its full STP apportionment balance and the Letter of Understanding is null and void.

Mr. Ray Zhang  
October 27, 2016  
Page 2

This Letter of Understanding sets forth MTC's and TCTC's intent for the use of the identified CMAQ and STP apportionment, and requests for Caltrans to adjust each region's apportionments as appropriate. This exchange would benefit TCTC by preventing the region's loss of federal fund apportionments, while providing MTC with additional capacity to deliver CMAQ projects. We are relying on our understanding that this exchange is allowed per Caltrans' Obligation Authority Management Policy.

This Letter of Understanding will be in effect for a one-time exchange of funds through November 1, 2016, only.

We appreciate this opportunity to exchange federal fund apportionment and support our transportation partners. If you have questions, please contact Barbara O'Keeffe, TCTC's Deputy Director, Transportation, at (530) 385-1462 or Ross McKeown, MTC's Programming and Funding Manager, at (415) 778-5242.

Sincerely,

Sincerely,

Gary Antone  
TCTC  
Executive Director

Steve Heminger  
MTC  
Executive Director

SH:ma

cc: Barbara O'Keeffe, TCTC  
Ross McKeown, MTC



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1908      **Version:** 1      **Name:**  
**Type:** Action Item      **Status:** Commission Approval  
**File created:** 9/7/2016      **In control:** Legislation Committee  
**On agenda:** 10/14/2016      **Final action:**  
**Title:** MTC Resolution No. 3931, Revised - Policy Advisory Council Appointments  
Appointments to Policy Advisory Council.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [6g LEG-2c MTC Resolution No. 3931 Revised](#)  
[2c MTC Resolution No. 3931 Revised](#)

Date	Ver.	Action By	Action	Result
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**Subject:**

MTC Resolution No. 3931, Revised - Policy Advisory Council Appointments

Appointments to Policy Advisory Council.

**Presenter:**

Pam Grove

**Recommended Action:**

Commission Approval

**Attachments**



METROPOLITAN  
TRANSPORTATION  
COMMISSION

**Agenda Item 6g**  
Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Legislation Committee

DATE: October 7, 2016

FR: Executive Director

W. I. 1114

RE: MTC Resolution No. 3931, Revised — Policy Advisory Council Appointments

Since August 2016, staff has been recruiting to fill several vacancies on the Policy Advisory Council: two at-large seats in economy and environment, and two seats from Napa County.

MTC Resolution No. 3931, Revised (attached) — the resolution that created the Policy Advisory Council — states that appointments for all at-large advisors will be recommended by the Commission's chair and vice chair. In addition, the equity appointments are recommended by the county commissioners.

Staff received 25 applications, many of which were from highly qualified candidates. In consultation with the appointing Commissioners, staff is recommending the reappointment of two existing Council members to new categories, leaving three at-large vacancies to be filled by the recent applicants. Only one applicant resided in Napa County. Because of this and due to the large list of qualified candidates in the at-large categories, staff recommended reappointing already seated Council member Cathleen Baker to the Napa County low-income vacancy. Ms. Baker was formerly appointed to represent low-income interests in San Mateo County, but was reappointed to the environment category when she moved to Napa County. She is well qualified in either category, but has a wealth of knowledge regarding low-income, environmental health and equity issues, and has already begun to focus on housing and equity issues in Napa County where she now resides. As the appointing commissioner for Napa County, Mark Luce has agreed to her appointment in the low-income category, which opens up Ms. Baker's environment seat to be filled from the current applicant pool. Additionally, Commissioner Luce suggested Sudhir Chaudhary, the only Napa County applicant, be considered for the senior vacancy. Both Napa county seats are being recommended by Commissioner Luce.

The list of qualified applicants for three remaining at-large vacancies has been reviewed by staff and the appointing commissioners. Based on the applications received, the Commission chair and vice chair and the Napa County commissioner are recommending the following candidates for your approval.

### **Recommended Appointments**

#### ***Napa County, Equity / Low-Income***

**Cathleen Baker** is already a member of the Policy Advisory Council. The recommendation is to move her appointment to the Napa County low-income seat.

***Napa County, Equity / Senior***

**Sudhir Chaudhary** has lived in Napa for the past 37 years and is a professional land surveyor. His family owned business provides civil engineering, land surveying and construction management, and he has worked with various transportation agencies including Caltrans and BART. He looks forward to sharing the transportation needs of the Napa County senior community at the regional level.

***At-Large, Economy***

**Jonathan Fearn**'s background in real estate development and city planning make him an excellent advisory candidate in the economy category. During this time when the Bay Area is focused on its housing crisis, his expertise will be a welcome addition to the Council.

***At-Large, Environment***

**Sydney Fang** is a staff member of the Asian Pacific Environmental Network (APEN), an organization that is a statewide leader in advancing and implementing equitable climate policies, as well as developing Asian American leadership to secure their healthy living environment. As a public health major and public policy minor at U.C. Berkeley, her focus has remained on environmental health issues and working to change policies that negatively impact health.

***At-Large, Environment***

**Anna Lee** is employed by the Alameda County Public Health Department as a policy coordinator and will bring an important health perspective to the environment seat. With a background in biology, she previously worked as a researcher/scientist at Communities for a Better Environment and has served on the Air District's CARE Advisory Committee.

All appointments would become effective upon Commission approval (October 26, 2016) and the appointees would serve through the term ending in July 2017.

**Policy Advisory Council Chair and Vice Chair**

Additionally, the Council has requested that Resolution 3931 be revised to allow the Council chair and vice-chair to be elected for two-year terms, as opposed to one-year terms as it now states. Staff concurs with this recommendation.

**Recommendation**

Staff requests the Legislation Committee recommend two-year terms for the Council chair and vice chair, and the appointment of Jonathan Fearn, Sydney Fang, and Anna Lee in the at-large categories; Sudhir Chaudhary in the Napa County senior category; and the reappointment of Cathleen Baker in the Napa County low-income category; and referral of Resolution 3931, Revised, to the Commission for approval.

  
\_\_\_\_\_  
Steve Heminger

**Attachment:**

- Attachment 1: Resolution 3931, Revised

Date:	November 18, 2009	
W.I.:	1114	
Referred by:	Legislation	
Revised:	03/24/10-C	02/23/11-C
	02/22/12-C	07/25/12-C
	03/27/13-C	07/24/13-C
	07/23/14-C	11/19/14-C
	03/25/15-C	09/23/15-C
	10/26/16-C	

## ABSTRACT

### Resolution No. 3931, Revised

This resolution defines the role and responsibilities of the Commission's Policy Advisory Council.

This resolution supersedes Resolution No. 3516. Further discussion of this action is contained in the Executive Director's memorandum dated November 6, 2009. This resolution includes:

- Attachment A, which outlines the mission statement, roles, expectations, procedures, appointment process and membership criteria for the Council;

This resolution was revised on March 24, 2010, to include:

- Attachment B, a table listing the currently appointed advisors and their term.

This resolution was revised on February 23, 2011, to include revisions to Attachment B and:

- Attachment C, a table showing which advisors have been replaced and their replacements.

This resolution was revised on February 22, 2012 to extend the terms of the advisors identified in Attachment B through July 2013.

This resolution was revised on July 25, 2012, to include revisions to Attachment B and Attachment C.

This resolution was revised on March 27, 2013, to add Conflict of Interest and Ethics Training policies to Attachment A.

This resolution was revised on July 24, 2013, to include revisions to Attachment B and Attachment C.

This resolution was revised on July 23, 2014, to include revisions to Attachment B and Attachment C.

This resolution was revised on November 19, 2014, to include revisions to Attachment B and Attachment C.

This resolution was revised on March 25, 2015, to include revisions to Attachment B and Attachment C.

This resolution was revised on September 23, 2015, to include revisions to Attachment B and Attachment C.

This resolution was revised on October 26, 2016, to include revisions to Attachment A, Attachment B and Attachment C.

Date: November 18, 2009  
W.I.: 1114  
Referred by: Legislation

RE: Commission Policy Advisory Council

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3931

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC seeks to involve citizens of diverse backgrounds and interests in the development of transportation plans and programs, in a manner consistent with applicable state and federal requirements and Commission policy (Resolution No. 2648); and

WHEREAS, MTC seeks to focus its advisory processes around the “Three E” principles of sustainability outlined in the regional transportation plan: a prosperous and globally competitive economy; a healthy and safe environment; and equity wherein all Bay Area residents share in the benefits of a well-maintained, efficient and connected regional transportation system; and

WHEREAS, MTC seeks to utilize its advisors to ensure that a wide spectrum of views are considered in developing transportation policy, and enhance the contributions and effectiveness of its advisors, now, therefore be it

RESOLVED, that the Commission establishes a Policy Advisory Council; and be it further

RESOLVED, that the members of the Policy Advisory Council will be appointed according to the process and shall have the role, tasks, membership and meetings as described in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and be it further



RESOLVED, that the Policy Advisory Council roster is contained in Attachment B to this resolution; and be it further

RESOLVED, that the Executive Director is instructed to secure nominations to fill expired terms and other vacancies and present them to the Commission for confirmation by periodically revising Attachment B; and be it further

RESOLVED, that Resolution No. 3516, Revised, is superseded with the adoption of this resolution.

METROPOLITAN TRANSPORTATION COMMISSION



\_\_\_\_\_  
Scott Haggerty, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in Oakland, California, on November 18, 2009

Date: November 18, 2009  
W.I.: 1114  
Referred by: Legislation  
Revised: 03/27/13-C 10/26/16-C

Attachment A  
Resolution No. 3931  
Page 1 of 4

**Attachment A**  
**Metropolitan Transportation Commission**  
**Policy Advisory Council**

**A. Mission Statement**

The mission of the Metropolitan Transportation Commission's Policy Advisory Council (Council) is to advise the Commission on transportation policies in the San Francisco Bay Area, incorporating diverse perspectives relating to the environment, the economy and social equity. The Council advises the Commission and its staff through the appropriate MTC standing committees on matters within MTC's jurisdiction and as assigned by the Commission.

**B. Roles/Expectations**

**1. Advisors Provide Interest-Based and/or Geographic Perspectives**

Advisors should represent the stakeholder interest under which they have been appointed. Although some advisors may be appointed based on an organizational affiliation, they should represent their constituency (not just their individual organization).

**2. Responsibilities**

Advisors will be expected to regularly attend their Council meetings and to maintain an ongoing engagement with organizations and individuals who make up the advisor's constituency.

**3. Council Work Plan**

The Commission will hold an annual workshop as a separately agendized meeting with the Policy Advisory Council to set the Council's work plan and schedule for the year. At this meeting, the Commission will identify several priority areas in which it desires feedback and/or research from the Council, and establish appropriate goals and performance measures. Advisors also will be given the opportunity to recommend initiatives of potential relevance to the Commission for inclusion in the work plan.

4. Reporting to the Commission

With the assistance of MTC staff, the Council will report on its work plan progress or present recommendations to the full Commission or MTC's standing committees, as appropriate.

5. Limitations on Advisor Activities

The role of the advisors is to advise the MTC Commission. Advisors are not to convey positions to outside agencies on behalf of the Council, independent of Commission action.

6. Conflict of Interest Policy

In order to avoid potential conflict of interest, no person shall sit on the Policy Advisory Council and concurrently be in a business relationship with MTC/BATA. A member is considered to have a business relationship with MTC/BATA when that member is employed by or serves on the Board of Directors of an organization that has received a grant or contract award from MTC – where MTC staff alone reviews proposals and recommends an organization or organizations for award of that grant or contract. In such cases, the member shall resign from the Council for the duration of the contract or grant, but may reapply for any vacancies upon completion of the contract or grant.

7. Ethics Training

All members of the Council shall complete an ethnics training course within the first year of their term on the Council.

C. Membership

The Council shall be composed of twenty-seven (27) members as follows.

A total of nine (9) members, one from each Bay Area county, shall be selected to represent interests related to the communities of color, environmental justice and low-income issues. A minimum of four members shall represent the communities of color, and a minimum of four shall represent environmental justice/low-income issues. The ninth member shall be selected from either category.

A total of nine (9) members, one from each Bay Area county, shall be selected to represent the interests of disabled persons and seniors. A minimum of four members shall represent senior issues, and a minimum of four shall represent disabled issues. The ninth member shall be selected from either category.

A total of nine (9) members shall be selected to represent interests related to the economy and the environment. A minimum of four members shall represent economy interests and a

minimum of four members shall represent environmental interests. The ninth member shall be selected from either category. Of these nine seats, at least five should be held by residents from each of the five most populous counties. The remaining four seats may be selected at large from throughout the entire Bay Area.

There shall be no alternates to the appointed membership.

D. Appointment Process

1. General

MTC staff shall secure nominations to fill terms and vacancies for the Council and present them to the appropriate Commissioners for confirmation. Appointments for advisors representing a particular county will be made by that county's Commissioners. Appointments for all the at-large advisors will be made by the Commission's chair and vice chair. Nominations for members of the Council will be solicited from a wide range of sources including, but not limited to: MTC Commissioners, current advisors, relevant organizations in the community, and via news releases or display ads sent to media outlets in the nine-county Bay Area.

2. Terms of Appointment

In general, advisors will serve four-year terms. Although there are no term limits, MTC Commissioners are to consider length of service and effectiveness before recommending the reappointment of advisors. All advisors wishing to be reappointed must reapply.

E. Procedures

Attendance and Participation

1. Advisors must attend at least two-thirds of the Council's regularly scheduled meetings each year and make a constructive contribution to the work of the Policy Advisory Council. Those who do not do so may be subject to dismissal from the Council at the discretion of the appointing Commissioner(s).

2. Residency Requirements

Advisors must live or work in the nine-county Bay Area.

3. Compensation

Subject to the Commission Procedures Manual (MTC Resolution No. 1058, Revised, Appendix D), advisors will receive a stipend per meeting and be reimbursed for actual expenses for travel, with a maximum of three meetings per month. Meetings are defined as a) publicly noticed meetings or meetings of ad hoc working groups of the Council; b)

noticed MTC Commission or committee meetings; or c) attendance at a community meeting at the request of the Commission or MTC staff to provide outreach assistance (i.e., when he/she attends a community meeting with MTC staff to provide an introduction to a particular community).

4. Meeting Frequency and Location of Meetings

The Council will meet regularly as required by its annual work plan. Public meetings will be held at the MTC offices or other locations at a regular time to be agreed upon by the members of the Council.

5. Ad Hoc Working Groups

To implement its work plan, the Council may establish working groups, with participation from MTC staff, on an ad hoc basis.

6. Quorum Requirements

At least 50 percent plus one of the Council's appointed membership must be present to constitute a quorum and vote on issues. The Council can hold discussions in the absence of a quorum, but cannot vote.

7. Election of Council Chair and Vice Chair

The Council will have a chair and a vice-chair, to be elected by the council for a two-year term. Although Council officers may be reelected, regular rotation of these positions among the Council membership is strongly encouraged.

8. Public Meetings

All Council meetings and any ad hoc working group meetings will be noticed and open to the public.

Date: March 24, 2010  
W.I.: 1114  
Referred by: Legislation  
Revised: 02/23/11-C 02/22/12-C 07/25/12-C  
07/24/13-C 07/23/14-C 11/19/14-C  
03/25/15-C 09/23/15-C 10/26/16-C

Attachment B  
Resolution No. 3931  
Page 1 of 1

**Metropolitan Transportation Commission  
Policy Advisory Council  
Term: September 2013 – July 2017**

Advisor Name	Representing	County	Appointing Commissioner(s)
Naomi Armenta	Disabled	Alameda	Commissioners Bates, Haggerty and Quan
Cathleen Baker	Low-Income/Environmental Justice	Napa	Commissioner Luce
Caroline Banuelos	Low-Income/Environmental Justice	Sonoma	Commissioner Mackenzie
Jim E. Blacksten	Disabled	San Francisco	Commissioners Campos and Wiener
Richard Burnett	Minority	Solano	Commissioner Spering
Carlos Castellanos	Low-Income/Environmental Justice	Alameda	Commissioners Bates, Haggerty and Quan
Sudhir Chaudhary	Senior	Napa	Commissioner Luce
Elizabeth A. Clary	Disabled	Sonoma	Commissioner Mackenzie
Wilbert Din	Minority	San Francisco	Commissioners Campos and Wiener
Sydney Fang	Environment	Alameda	Chair Cortese, Vice Chair Mackenzie
Jonathan Fearn	Economy	Alameda	Chair Cortese, Vice Chair Mackenzie
Veda Florez	Minority	Marin	Commissioner Kinsey
Bob Glover	Economy	Contra Costa	Chair Rein Worth, Vice Chair Cortese
Rich Hedges	Senior	San Mateo	Commissioners Aguirre and Tissier
Michelle R. Hernandez	Disabled	Contra Costa	Commissioners Glover and Rein Worth
Charles Kaufman	Senior	Marin	Commissioner Kinsey
Randi Kinman	Low-Income/Environmental Justice	Santa Clara	Commissioners Cortese, Liccardo and Pirzynski
Scott Lane	Environment	Santa Clara	Chair Cortese, Vice Chair Mackenzie
Anna Lee	Environment	Santa Clara	Chair Cortese, Vice Chair Mackenzie
Jerry Levine	Environment	San Francisco	Chair Cortese, Vice Chair Mackenzie
Shireen Malekafzali	Low-Income/Environmental Justice	San Mateo	Commissioners Aguirre and Tissier
Cynthia Murray	Economy	Sonoma	Chair Rein Worth, Vice Chair Cortese
Mark Nicholson	Minority	Contra Costa	Commissioners Glover and Rein Worth
Mike Pechner	Senior	Solano	Commissioner Spering
Benjamin Schweng	Environment	Alameda	Chair Rein Worth, Vice Chair Cortese
Alan R. Talansky	Economy	San Mateo	Chair Rein Worth, Vice Chair Cortese
Harriet Wolf	Senior	Santa Clara	Commissioners Cortese, Liccardo and Pirzynski

Date: February 23, 2011  
W.I.: 1114  
Referred by: Legislation  
Revised: 07/25/12-C 07/24/13-C  
07/23/14-C 11/19/14-C  
03/25/15-C 09/23/15-C  
10/26/16-C

Attachment C  
Resolution No. 3931  
Page 1 of 2

**Metropolitan Transportation Commission  
Policy Advisory Council  
Former Advisors and Their Replacements**

<b>Former Advisor</b>	<b>Time Served</b>	<b>Representing</b>	<b>Replaced By</b>	<b>Replaced On</b>
Andrew Casteel	March 2010 – June 2010	Environment	Sandi Galvez, Environment	February 23, 2011
Ann Hancock	March 2010 – July 2010	Environment	Tanya Narath, Environment	February 23, 2011
Allison M. Hughes	March 2010 – September 2011	Equity	Jim E. Blacksten, Equity	July 25, 2012
Evelina Molina	March 2010 – February 2012	Equity	Elizabeth A. Clary, Equity	July 25, 2012
Cheryl O'Connor	March 2010 – February 2012	Economy	Alan R. Talansky, Economy	July 25, 2012
Carmen Rojas	March 2010 – November 2010	Equity	Yokia Mason, Equity	February 23, 2011
Abigail Thorne-Lyman	March 2010 – June 2010	Environment	Tina King Neuhausel, Environment	February 23, 2011
Dolores Jaquez	March 2010 – July 2013	Equity	Elizabeth Clary, Equity	July 24, 2013
Federico Lopez	March 2010 – July 2013	Equity	Timothy Reeder, Equity	July 24, 2013
Yokia Mason	February 2011 – July 2013	Equity	Carlos Castellanos, Equity	July 24, 2013
Tanya Narath	February 2011 – July 2013	Environment	Chris Coursey, Environment	July 24, 2013
Tina King Neuhausel	February 2011 – July 2013	Environment	Linda Jeffrey Sailors, Environment	July 24, 2013
Kendal Oku	March 2010 – July 2013	Equity	Veda Florez, Equity	July 24, 2013
Lori Reese-Brown	March 2010 – July 2013	Equity	Richard Burnett, Equity	July 24, 2013
Frank Robertson	March 2010 – July 2013	Equity	Mark Nicholson, Equity	July 24, 2013
Dolly Sandoval	March 2010 – July 2013	Equity	Marie Marchese, Equity	July 24, 2013
Egon Terplan	March 2010 – July 2013	Environment	Benjamin Schweng, Environment	July 24, 2013
Jack Gray	July 2013 – April 2014	Economy	Cathleen Baker, Environment	July 23, 2014
Marie Marchese	July 2013 – October 2013	Equity	Harriet Wolf, Equity	November 19, 2014
Mordechai Winter	July 2013 – June 2014	Equity	Charles Kaufman, Equity	November 19, 2014
Cathleen Baker	March 2010 – July 2014	Equity	Shireen Malekafzali, Equity	November 19, 2014
Chris Coursey	July 2013 – November 2014	Environment	Cynthia Murray, Economy	March 25, 2015
Tim Reeder	July 2013 – December 2014	Equity	Michelle R. Hernandez, Equity	September 23, 2015
Bena Chang	March 2010 – November 2014	Economy	Scott Lane, Environment	September 23, 2015
Joanne Busenbark	September 2013 – September 2015	Equity	Sudhir Chaudhary, Equity	October 26, 2016
Linda Jeffrey Sailors	July 2013 – May 2016	Environment	Sydney Fang, Environment	October 26, 2016

<b>Former Advisor</b>	<b>Time Served</b>	<b>Representing</b>	<b>Replaced By</b>	<b>Replaced On</b>
Gerald Rico	March 2010 – June 2016	Equity	Cathleen Baker, Equity	October 26, 2016
Sandi Galvez	February 2011 – June 2016	Environment	Jonathan Fearn, Economy	October 26, 2016
Cathleen Baker	July 2014 – October 2016	Environment	Anna Lee, Environment	October 26, 2016





METROPOLITAN  
TRANSPORTATION  
COMMISSION

**Agenda Item 2c**  
Bay Area Metro Center  
375 Beale Street  
San Francisco, CA 94105  
TEL 415.778.6700  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Legislation Committee

DATE: October 7, 2016

FR: Executive Director

W. I. 1114

RE: MTC Resolution No. 3931, Revised — Policy Advisory Council Appointments

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The list of qualified applicants for three remaining at-large vacancies has been reviewed by staff and the appointing commissioners. Based on the applications received, the Commission chair and vice chair and the Napa County commissioner are recommending the following candidates for your approval.

### **Recommended Appointments**

#### ***Napa County, Equity / Low-Income***

**Cathleen Baker** is already a member of the Policy Advisory Council. The recommendation is to move her appointment to the Napa County low-income seat.

***Napa County, Equity / Senior***

**Sudhir Chaudhary** has lived in Napa for the past 37 years and is a professional land surveyor. His family owned business provides civil engineering, land surveying and construction management, and he has worked with various transportation agencies including Caltrans and BART. He looks forward to sharing the transportation needs of the Napa County senior community at the regional level.

***At-Large, Economy***

**Jonathan Fearn**'s background in real estate development and city planning make him an excellent advisory candidate in the economy category. During this time when the Bay Area is focused on its housing crisis, his expertise will be a welcome addition to the Council.

***At-Large, Environment***

**Sydney Fang** is a staff member of the Asian Pacific Environmental Network (APEN), an organization that is a statewide leader in advancing and implementing equitable climate policies, as well as developing Asian American leadership to secure their healthy living environment. As a public health major and public policy minor at U.C. Berkeley, her focus has remained on environmental health issues and working to change policies that negatively impact health.

***At-Large, Environment***

**Anna Lee** is employed by the Alameda County Public Health Department as a policy coordinator and will bring an important health perspective to the environment seat. With a background in biology, she previously worked as a researcher/scientist at Communities for a Better Environment and has served on the Air District's CARE Advisory Committee.

All appointments would become effective upon Commission approval (October 26, 2016) and the appointees would serve through the term ending in July 2017.

**Policy Advisory Council Chair and Vice Chair**

Additionally, the Council has requested that Resolution 3931 be revised to allow the Council chair and vice-chair to be elected for two-year terms, as opposed to one-year terms as it now states. Staff concurs with this recommendation.

**Recommendation**

Staff requests the Legislation Committee recommend two-year terms for the Council chair and vice chair, and the appointment of Jonathan Fearn, Sydney Fang, and Anna Lee in the at-large categories; Sudhir Chaudhary in the Napa County senior category; and the reappointment of Cathleen Baker in the Napa County low-income category; and referral of Resolution 3931, Revised, to the Commission for approval.

  
\_\_\_\_\_  
Steve Heminger

**Attachment:**

- Attachment 1: Resolution 3931, Revised

Date:	November 18, 2009	
W.I.:	1114	
Referred by:	Legislation	
Revised:	03/24/10-C	02/23/11-C
	02/22/12-C	07/25/12-C
	03/27/13-C	07/24/13-C
	07/23/14-C	11/19/14-C
	03/25/15-C	09/23/15-C
	10/26/16-C	

## ABSTRACT

### Resolution No. 3931, Revised

This resolution defines the role and responsibilities of the Commission's Policy Advisory Council.

This resolution supersedes Resolution No. 3516. Further discussion of this action is contained in the Executive Director's memorandum dated November 6, 2009. This resolution includes:

- Attachment A, which outlines the mission statement, roles, expectations, procedures, appointment process and membership criteria for the Council;

This resolution was revised on March 24, 2010, to include:

- Attachment B, a table listing the currently appointed advisors and their term.

This resolution was revised on February 23, 2011, to include revisions to Attachment B and:

- Attachment C, a table showing which advisors have been replaced and their replacements.

This resolution was revised on February 22, 2012 to extend the terms of the advisors identified in Attachment B through July 2013.

This resolution was revised on July 25, 2012, to include revisions to Attachment B and Attachment C.

This resolution was revised on March 27, 2013, to add Conflict of Interest and Ethics Training policies to Attachment A.

This resolution was revised on July 24, 2013, to include revisions to Attachment B and Attachment C.

This resolution was revised on July 23, 2014, to include revisions to Attachment B and Attachment C.

This resolution was revised on November 19, 2014, to include revisions to Attachment B and Attachment C.

This resolution was revised on March 25, 2015, to include revisions to Attachment B and Attachment C.

This resolution was revised on September 23, 2015, to include revisions to Attachment B and Attachment C.

This resolution was revised on October 26, 2016, to include revisions to Attachment A, Attachment B and Attachment C.

Date: November 18, 2009  
W.I.: 1114  
Referred by: Legislation

RE: Commission Policy Advisory Council

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3931

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC seeks to involve citizens of diverse backgrounds and interests in the development of transportation plans and programs, in a manner consistent with applicable state and federal requirements and Commission policy (Resolution No. 2648); and

WHEREAS, MTC seeks to focus its advisory processes around the “Three E” principles of sustainability outlined in the regional transportation plan: a prosperous and globally competitive economy; a healthy and safe environment; and equity wherein all Bay Area residents share in the benefits of a well-maintained, efficient and connected regional transportation system; and

WHEREAS, MTC seeks to utilize its advisors to ensure that a wide spectrum of views are considered in developing transportation policy, and enhance the contributions and effectiveness of its advisors, now, therefore be it

RESOLVED, that the Commission establishes a Policy Advisory Council; and be it further

RESOLVED, that the members of the Policy Advisory Council will be appointed according to the process and shall have the role, tasks, membership and meetings as described in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and be it further

RESOLVED, that the Policy Advisory Council roster is contained in Attachment B to this resolution; and be it further

RESOLVED, that the Executive Director is instructed to secure nominations to fill expired terms and other vacancies and present them to the Commission for confirmation by periodically revising Attachment B; and be it further

RESOLVED, that Resolution No. 3516, Revised, is superseded with the adoption of this resolution.

METROPOLITAN TRANSPORTATION COMMISSION

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Scott Haggerty, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in Oakland, California, on November 18, 2009

Date: November 18, 2009  
W.I.: 1114  
Referred by: Legislation  
Revised: 03/27/13-C 10/26/16-C

Attachment A  
Resolution No. 3931  
Page 1 of 4

**Attachment A**  
**Metropolitan Transportation Commission**  
**Policy Advisory Council**

**A. Mission Statement**

The mission of the Metropolitan Transportation Commission's Policy Advisory Council (Council) is to advise the Commission on transportation policies in the San Francisco Bay Area, incorporating diverse perspectives relating to the environment, the economy and social equity. The Council advises the Commission and its staff through the appropriate MTC standing committees on matters within MTC's jurisdiction and as assigned by the Commission.

**B. Roles/Expectations**

**1. Advisors Provide Interest-Based and/or Geographic Perspectives**

Advisors should represent the stakeholder interest under which they have been appointed. Although some advisors may be appointed based on an organizational affiliation, they should represent their constituency (not just their individual organization).

**2. Responsibilities**

Advisors will be expected to regularly attend their Council meetings and to maintain an ongoing engagement with organizations and individuals who make up the advisor's constituency.

**3. Council Work Plan**

The Commission will hold an annual workshop as a separately agendized meeting with the Policy Advisory Council to set the Council's work plan and schedule for the year. At this meeting, the Commission will identify several priority areas in which it desires feedback and/or research from the Council, and establish appropriate goals and performance measures. Advisors also will be given the opportunity to recommend initiatives of potential relevance to the Commission for inclusion in the work plan.

4. Reporting to the Commission

With the assistance of MTC staff, the Council will report on its work plan progress or present recommendations to the full Commission or MTC's standing committees, as appropriate.

5. Limitations on Advisor Activities

The role of the advisors is to advise the MTC Commission. Advisors are not to convey positions to outside agencies on behalf of the Council, independent of Commission action.

6. Conflict of Interest Policy

In order to avoid potential conflict of interest, no person shall sit on the Policy Advisory Council and concurrently be in a business relationship with MTC/BATA. A member is considered to have a business relationship with MTC/BATA when that member is employed by or serves on the Board of Directors of an organization that has received a grant or contract award from MTC – where MTC staff alone reviews proposals and recommends an organization or organizations for award of that grant or contract. In such cases, the member shall resign from the Council for the duration of the contract or grant, but may reapply for any vacancies upon completion of the contract or grant.

7. Ethics Training

All members of the Council shall complete an ethnics training course within the first year of their term on the Council.

C. Membership

The Council shall be composed of twenty-seven (27) members as follows.

A total of nine (9) members, one from each Bay Area county, shall be selected to represent interests related to the communities of color, environmental justice and low-income issues. A minimum of four members shall represent the communities of color, and a minimum of four shall represent environmental justice/low-income issues. The ninth member shall be selected from either category.

A total of nine (9) members, one from each Bay Area county, shall be selected to represent the interests of disabled persons and seniors. A minimum of four members shall represent senior issues, and a minimum of four shall represent disabled issues. The ninth member shall be selected from either category.

A total of nine (9) members shall be selected to represent interests related to the economy and the environment. A minimum of four members shall represent economy interests and a



minimum of four members shall represent environmental interests. The ninth member shall be selected from either category. Of these nine seats, at least five should be held by residents from each of the five most populous counties. The remaining four seats may be selected at large from throughout the entire Bay Area.

There shall be no alternates to the appointed membership.

D. Appointment Process

1. General

MTC staff shall secure nominations to fill terms and vacancies for the Council and present them to the appropriate Commissioners for confirmation. Appointments for advisors representing a particular county will be made by that county's Commissioners. Appointments for all the at-large advisors will be made by the Commission's chair and vice chair. Nominations for members of the Council will be solicited from a wide range of sources including, but not limited to: MTC Commissioners, current advisors, relevant organizations in the community, and via news releases or display ads sent to media outlets in the nine-county Bay Area.

2. Terms of Appointment

In general, advisors will serve four-year terms. Although there are no term limits, MTC Commissioners are to consider length of service and effectiveness before recommending the reappointment of advisors. All advisors wishing to be reappointed must reapply.

E. Procedures

Attendance and Participation

1. Advisors must attend at least two-thirds of the Council's regularly scheduled meetings each year and make a constructive contribution to the work of the Policy Advisory Council. Those who do not do so may be subject to dismissal from the Council at the discretion of the appointing Commissioner(s).

2. Residency Requirements

Advisors must live or work in the nine-county Bay Area.

3. Compensation

Subject to the Commission Procedures Manual (MTC Resolution No. 1058, Revised, Appendix D), advisors will receive a stipend per meeting and be reimbursed for actual expenses for travel, with a maximum of three meetings per month. Meetings are defined as a) publicly noticed meetings or meetings of ad hoc working groups of the Council; b)

noticed MTC Commission or committee meetings; or c) attendance at a community meeting at the request of the Commission or MTC staff to provide outreach assistance (i.e., when he/she attends a community meeting with MTC staff to provide an introduction to a particular community).

4. Meeting Frequency and Location of Meetings

The Council will meet regularly as required by its annual work plan. Public meetings will be held at the MTC offices or other locations at a regular time to be agreed upon by the members of the Council.

5. Ad Hoc Working Groups

To implement its work plan, the Council may establish working groups, with participation from MTC staff, on an ad hoc basis.

6. Quorum Requirements

At least 50 percent plus one of the Council's appointed membership must be present to constitute a quorum and vote on issues. The Council can hold discussions in the absence of a quorum, but cannot vote.

7. Election of Council Chair and Vice Chair

The Council will have a chair and a vice-chair, to be elected by the council for a two-year term. Although Council officers may be reelected, regular rotation of these positions among the Council membership is strongly encouraged.

8. Public Meetings

All Council meetings and any ad hoc working group meetings will be noticed and open to the public.

Date: March 24, 2010  
W.I.: 1114  
Referred by: Legislation  
Revised: 02/23/11-C 02/22/12-C 07/25/12-C  
07/24/13-C 07/23/14-C 11/19/14-C  
03/25/15-C 09/23/15-C 10/26/16-C

Attachment B  
Resolution No. 3931  
Page 1 of 1

**Metropolitan Transportation Commission  
Policy Advisory Council  
Term: September 2013 – July 2017**

<b>Advisor Name</b>	<b>Representing</b>	<b>County</b>	<b>Appointing Commissioner(s)</b>
Naomi Armenta	Disabled	Alameda	Commissioners Bates, Haggerty and Quan
Cathleen Baker	Low-Income/Environmental Justice	Napa	Commissioner Luce
Caroline Banuelos	Low-Income/Environmental Justice	Sonoma	Commissioner Mackenzie
Jim E. Blacksten	Disabled	San Francisco	Commissioners Campos and Wiener
Richard Burnett	Minority	Solano	Commissioner Spering
Carlos Castellanos	Low-Income/Environmental Justice	Alameda	Commissioners Bates, Haggerty and Quan
Sudhir Chaudhary	Senior	Napa	Commissioner Luce
Elizabeth A. Clary	Disabled	Sonoma	Commissioner Mackenzie
Wilbert Din	Minority	San Francisco	Commissioners Campos and Wiener
Sydney Fang	Environment	Alameda	Chair Cortese, Vice Chair Mackenzie
Jonathan Fearn	Economy	Alameda	Chair Cortese, Vice Chair Mackenzie
Veda Florez	Minority	Marin	Commissioner Kinsey
Bob Glover	Economy	Contra Costa	Chair Rein Worth, Vice Chair Cortese
Rich Hedges	Senior	San Mateo	Commissioners Aguirre and Tissier
Michelle R. Hernandez	Disabled	Contra Costa	Commissioners Glover and Rein Worth
Charles Kaufman	Senior	Marin	Commissioner Kinsey
Randi Kinman	Low-Income/Environmental Justice	Santa Clara	Commissioners Cortese, Liccardo and Pirzynski
Scott Lane	Environment	Santa Clara	Chair Cortese, Vice Chair Mackenzie
Anna Lee	Environment	Santa Clara	Chair Cortese, Vice Chair Mackenzie
Jerry Levine	Environment	San Francisco	Chair Cortese, Vice Chair Mackenzie
Shireen Malekafzali	Low-Income/Environmental Justice	San Mateo	Commissioners Aguirre and Tissier
Cynthia Murray	Economy	Sonoma	Chair Rein Worth, Vice Chair Cortese
Mark Nicholson	Minority	Contra Costa	Commissioners Glover and Rein Worth
Mike Pechner	Senior	Solano	Commissioner Spering
Benjamin Schweng	Environment	Alameda	Chair Rein Worth, Vice Chair Cortese
Alan R. Talansky	Economy	San Mateo	Chair Rein Worth, Vice Chair Cortese
Harriet Wolf	Senior	Santa Clara	Commissioners Cortese, Liccardo and Pirzynski

Date: February 23, 2011  
W.I.: 1114  
Referred by: Legislation  
Revised: 07/25/12-C 07/24/13-C  
07/23/14-C 11/19/14-C  
03/25/15-C 09/23/15-C  
10/26/16-C

Attachment C  
Resolution No. 3931  
Page 1 of 2

**Metropolitan Transportation Commission  
Policy Advisory Council  
Former Advisors and Their Replacements**

<b>Former Advisor</b>	<b>Time Served</b>	<b>Representing</b>	<b>Replaced By</b>	<b>Replaced On</b>
Andrew Casteel	March 2010 – June 2010	Environment	Sandi Galvez, Environment	February 23, 2011
Ann Hancock	March 2010 – July 2010	Environment	Tanya Narath, Environment	February 23, 2011
Allison M. Hughes	March 2010 – September 2011	Equity	Jim E. Blacksten, Equity	July 25, 2012
Evelina Molina	March 2010 – February 2012	Equity	Elizabeth A. Clary, Equity	July 25, 2012
Cheryl O'Connor	March 2010 – February 2012	Economy	Alan R. Talansky, Economy	July 25, 2012
Carmen Rojas	March 2010 – November 2010	Equity	Yokia Mason, Equity	February 23, 2011
Abigail Thorne-Lyman	March 2010 – June 2010	Environment	Tina King Neuhausel, Environment	February 23, 2011
Dolores Jaquez	March 2010 – July 2013	Equity	Elizabeth Clary, Equity	July 24, 2013
Federico Lopez	March 2010 – July 2013	Equity	Timothy Reeder, Equity	July 24, 2013
Yokia Mason	February 2011 – July 2013	Equity	Carlos Castellanos, Equity	July 24, 2013
Tanya Narath	February 2011 – July 2013	Environment	Chris Coursey, Environment	July 24, 2013
Tina King Neuhausel	February 2011 – July 2013	Environment	Linda Jeffrey Sailors, Environment	July 24, 2013
Kendal Oku	March 2010 – July 2013	Equity	Veda Florez, Equity	July 24, 2013
Lori Reese-Brown	March 2010 – July 2013	Equity	Richard Burnett, Equity	July 24, 2013
Frank Robertson	March 2010 – July 2013	Equity	Mark Nicholson, Equity	July 24, 2013
Dolly Sandoval	March 2010 – July 2013	Equity	Marie Marchese, Equity	July 24, 2013
Egon Terplan	March 2010 – July 2013	Environment	Benjamin Schweng, Environment	July 24, 2013
Jack Gray	July 2013 – April 2014	Economy	Cathleen Baker, Environment	July 23, 2014
Marie Marchese	July 2013 – October 2013	Equity	Harriet Wolf, Equity	November 19, 2014
Mordechai Winter	July 2013 – June 2014	Equity	Charles Kaufman, Equity	November 19, 2014
Cathleen Baker	March 2010 – July 2014	Equity	Shireen Malekafzali, Equity	November 19, 2014
Chris Coursey	July 2013 – November 2014	Environment	Cynthia Murray, Economy	March 25, 2015
Tim Reeder	July 2013 – December 2014	Equity	Michelle R. Hernandez, Equity	September 23, 2015
Bena Chang	March 2010 – November 2014	Economy	Scott Lane, Environment	September 23, 2015
Joanne Busenbark	September 2013 – September 2015	Equity	Sudhir Chaudhary, Equity	October 26, 2016
Linda Jeffrey Sailors	July 2013 – May 2016	Environment	Sydney Fang, Environment	October 26, 2016

<b>Former Advisor</b>	<b>Time Served</b>	<b>Representing</b>	<b>Replaced By</b>	<b>Replaced On</b>
Gerald Rico	March 2010 – June 2016	Equity	Cathleen Baker, Equity	October 26, 2016
Sandi Galvez	February 2011 – June 2016	Environment	Jonathan Fearn, Economy	October 26, 2016
Cathleen Baker	July 2014 – October 2016	Environment	Anna Lee, Environment	October 26, 2016



# Metropolitan Transportation Commission

375 Beale Street, Suite 800  
San Francisco, CA 94105

## Legislation Details (With Text)

**File #:** 15-1936      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 9/13/2016      **In control:** Programming and Allocations Committee  
**On agenda:** 10/12/2016      **Final action:**  
**Title:** MTC Resolution No. 4220, Revised. FY 2016-17 Fund Estimate Revision.

Revises the FY 2016-17 Fund Estimate distribution of State Transit Assistance (STA) to reflect the latest distribution factors released by the State Controller's Office and actual STA receipts for FY 2015-16.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [7a PAC-3a Reso-4220 FY2016-17 Fund Estimate Revision](#)  
[3a Reso-4220 FY2016-17 Fund Estimate Revision.pdf](#)

Date	Ver.	Action By	Action	Result
10/12/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4220, Revised. FY 2016-17 Fund Estimate Revision.

*Revises the FY 2016-17 Fund Estimate distribution of State Transit Assistance (STA) to reflect the latest distribution factors released by the State Controller's Office and actual STA receipts for FY 2015-16.*

**Presenter:**

William Bacon

**Recommended Action:**

Commission Approval

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

October 12, 2016

Commission Agenda Item 7a

**MTC Resolution Nos. 4220, Revised**

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**Subject:** Revise the FY 2016-17 Fund Estimate distribution of State Transit Assistance (STA) to reflect the latest distribution factors released by the State Controller's Office and actual STA receipts for FY 2015-16.

**Background:** **Reconcile Actual FY2015-16 STA Revenue:** The State Controller's Office (SCO) is expected to release a summary of actual statewide FY 2015-16 STA revenue on October 13, 2016 that is, based on preliminary information, 17% lower than the revenue estimate from May 2015 included in the adopted FY 2015-16 state budget (\$352 million vs. \$292 million). Total Bay Area STA revenue is expected to decrease by 14%, or roughly \$19 million over the May 2015 revenue estimate. The decrease in STA funding statewide is due to the 17% decrease in the price of diesel fuel in California since May 2015. STA funding is derived from a sales tax on diesel fuel and therefore revenue generations are closely tied to the price of diesel. Since FY 2013-14, the STA program's most recent revenue peak, statewide annual STA revenue has decreased by 28% or over \$116 million.

Overall the Bay Area is expected to receive 38% of total statewide STA funds, similar to the amount received in FY 2014-15. Staff anticipates updating the exact amounts in Resolution 4220, Revised before this item is presented to the Commission on October 26, 2016. The update is necessary to reflect the expected October 13, 2016 publication of final FY 2015-16 STA program revenues. Staff does not expect the STA numbers to differ significantly from what is shown in this item.

Due to the decrease in STA revenue flowing to the Bay Area approximately \$8 million in rescissions of FY 2015-16 STA funding is anticipated. Detailed jurisdiction by jurisdiction rescission amounts will be shared in the updated Fund Estimate item as presented to the Commission after the release of final FY 2015-16 STA program revenues.

**Update on STA Program Changes:** On September 13, 2016 Governor Brown signed SB 838 into law. This state transportation budget "trailer bill" included provisions recommended by the California Transit Association (CTA) and MTC that allow the SCO to apportion STA revenue-based funds in a method similar to its long established practice prior to 2016. Earlier this year, a legal challenge prompted the SCO to significantly alter its policies governing eligibility and distribution of STA Revenue-Based funds. The budget trailer bill affects STA funds for FY2015-16, FY 2016-17, and FY 2017-18. For a permanent solution, the CTA and MTC are working to introduce legislation in the 2017 legislative session. Any legislation will ideally take effect before the start of FY 2017-18. The proposed permanent solution legislation would simplify

eligibility requirements for STA Revenue-Based funding and clarify the types of revenue that are deemed “qualifying” for calculation of STA Revenue-Based transit operator share in keeping with SCO’s past practices.

**Recommendation:** Refer MTC Resolution No. 4220, Revised to the Commission for approval.

**Attachment:** Attachment A – STA Program Apportionments  
MTC Resolution No. 4220, Revised

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## Attachment A – STA Program Apportionments

Apportionment Jurisdictions	FY2015-16 Apportionments			FY2016-17 Apportionments		
	FY2015-16 Oct-15 Estimate	FY2015-16 Sep-16 Actual	FY2015-16 Adjustment \$	FY2016-17 May-16 Estimate	FY2016-17 Sep-16 Estimate	FY2016-17 Adjustment \$
ACCMA - Corresponding to ACE	\$206,925	\$204,084	(\$2,841)	\$70,225	\$184,548	\$114,323
City of Benicia	\$0	\$0	\$0	\$0	\$0	\$0
Caltrain	\$5,080,483	\$4,245,410	(\$835,073)	\$3,877,978	\$3,838,988	(\$38,990)
CCCTA	\$572,232	\$479,832	(\$92,400)	\$438,304	\$433,896	(\$4,408)
City of Dixon	\$4,541	\$3,723	(\$818)	\$3,400	\$3,366	(\$34)
ECCTA	\$262,324	\$222,225	(\$40,099)	\$202,992	\$200,950	(\$2,042)
City of Fairfield	\$102,833	\$93,769	(\$9,063)	\$85,654	\$84,792	(\$862)
GGBHTD	\$3,370,520	\$3,758,038	\$387,518	\$3,432,787	\$4,851,203	\$1,418,416
City of Healdsburg	(\$817)	\$394	\$0	\$360	(\$1,103)	(\$1,463)
LAVTA	\$243,609	\$193,954	(\$49,656)	\$177,167	\$175,386	(\$1,781)
Marin Transit	\$415,593	\$699,941	\$284,348	\$639,362	\$632,934	(\$6,428)
NCPTA	\$43,177	\$48,468	\$5,292	\$44,273	\$43,829	(\$444)
City of Petaluma	\$18,928	\$10,886	(\$8,042)	\$9,943	\$4,452	(\$5,491)
City of Rio Vista	\$1,622	\$856	(\$766)	\$782	(\$244)	(\$1,026)
SamTrans	\$3,702,361	\$2,610,895	(\$1,091,467)	\$2,384,927	\$2,360,949	(\$23,978)
City of Santa Rosa	\$129,441	\$106,568	(\$22,873)	\$97,345	\$96,365	(\$980)
Solano County Transit	\$267,981	\$218,922	(\$49,060)	\$199,974	\$197,964	(\$2,010)
Sonoma County Transit	\$149,398	\$115,385	(\$34,013)	\$105,399	\$104,339	(\$1,060)
City of Union City	\$41,710	\$32,812	(\$8,898)	\$29,972	\$29,671	(\$301)
VTA	\$11,344,085	\$10,045,239	(\$1,298,845)	\$9,175,843	\$9,083,587	(\$92,256)
VTA - Corresponding to ACE	\$233,697	\$218,473	(\$15,224)	\$83,745	\$197,558	\$113,813
WCCTA	\$293,997	\$251,465	(\$42,531)	\$229,702	\$227,393	(\$2,309)
WETA	\$1,173,991	\$1,032,957	(\$141,034)	\$943,556	\$934,069	(\$9,487)
<b>Subtotal - STA Revenue-Based</b>	<b>\$27,658,631</b>	<b>\$24,594,296</b>	<b>(\$3,065,546)</b>	<b>\$22,233,690</b>	<b>\$23,684,893</b>	<b>\$1,451,203</b>
AC Transit	\$8,990,972	\$7,597,770	(\$1,393,202)	\$6,938,796	\$6,856,021	(\$82,775)
BART	\$20,656,494	\$17,455,653	(\$3,200,842)	\$15,941,680	\$15,751,504	(\$190,176)
SFMTA	\$37,635,121	\$31,791,863	(\$5,843,257)	\$29,044,960	\$28,688,109	(\$356,851)
<b>Subtotal - STA Revenue-Based</b>	<b>\$67,282,587</b>	<b>\$56,845,286</b>	<b>(\$10,437,301)</b>	<b>\$51,925,436</b>	<b>\$51,295,634</b>	<b>(\$629,802)</b>
<b>Bay Area Revenue-Based Total</b>	<b>\$94,941,218</b>	<b>\$81,439,582</b>	<b>(\$13,501,636)</b>	<b>\$74,159,126</b>	<b>\$74,980,527</b>	<b>\$821,401</b>
<b>Statewide Revenue-Base Total</b>	<b>\$176,000,000</b>	<b>\$146,199,000</b>	<b>(\$29,801,000)</b>	<b>\$157,500,000</b>	<b>\$133,450,000</b>	<b>(\$24,050,000)</b>
<b>Bay Area Population-Based Total</b>	<b>\$34,015,180</b>	<b>\$28,363,683</b>	<b>(\$5,651,497)</b>	<b>\$30,498,902</b>	<b>\$25,890,283</b>	<b>(\$4,608,619)</b>
<b>Statewide Population-Based Total</b>	<b>\$176,000,000</b>	<b>\$146,199,000</b>	<b>(\$29,801,000)</b>	<b>\$157,500,000</b>	<b>\$133,450,000</b>	<b>(\$24,050,000)</b>

Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC  
Revised: 05/25/16-C  
07/27/16-C  
10/26/16-C

### ABSTRACT

#### Resolution No. 4220, Revised

This resolution approves the FY 2016-17 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), Assembly Bill (AB) 1107 sales tax, and transit-related bridge toll funds.

This resolution was revised on May 25, 2016 to incorporate estimated STA Revenue-based (PUC 99314) allocations by operator for FY 2016-17, to revise the STA Revenue-based forecast for FY 2015-16, and to incorporate adjustments to Transportation Development Act (TDA) balances to reflect transfers between TDA fund types.

This resolution was revised on July 27, 2016 to reflect actual receipts for TDA and AB 1107 funds in FY 2015-16, the rescission actions that were necessary to match FY 2015-16 allocations to the actual revenue collected, and the allocations of the excess revenue for FY 2015-16 per operator's requests.

This resolution was revised on October 26, 2016 to reflect actual receipts of STA in FY 2015-16, and the rescission actions that were necessary to match FY 2015-16 allocations to the actual revenue collected.

Further discussion of these actions is contained in the MTC Programming and Allocations Summary Sheets dated February 10, 2016, May 11, 2016, July 13, 2016, and October 12, 2016.

Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4220

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2015-16 and FY 2016-17 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2016-17 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and

WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2016-17 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2016-17 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in black ink, appearing to read 'Dave Cortese', is written over a horizontal line.

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on February 24, 2016.

**FY 2016-17 FUND ESTIMATE  
REGIONAL SUMMARY**

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**TDA REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	<i>H=Sum(A:G)</i>
	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	FY2016-17	FY2016-17	FY2016-17
Apportionment Jurisdictions	Balance <sup>1</sup>	Outstanding Commitments, Refunds, & Interest <sup>2</sup>	Original Estimate	Revenue Adjustment	Revised Admin. & Planning Charge	Revenue Estimate	Admin. & Planning Charge	Available for Allocation
Alameda	17,720,078	(73,536,990)	73,546,000	1,930,254	(3,019,050)	76,110,000	(3,044,400)	89,705,891
Contra Costa	17,154,518	(45,186,892)	40,146,919	(830,419)	(1,572,660)	41,463,827	(1,658,553)	49,516,739
Marin	838,286	(13,022,714)	12,713,895	(22,591)	(507,652)	13,362,830	(534,513)	12,827,543
Napa	11,965,811	(15,126,553)	7,600,000	703,166	(332,127)	8,160,000	(326,400)	12,643,897
San Francisco	725,412	(45,971,809)	48,421,155	(1,296,339)	(1,884,993)	50,724,425	(2,028,977)	48,688,875
San Mateo	5,372,178	(37,490,591)	36,914,589	2,045,476	(1,558,403)	39,205,837	(1,568,233)	42,920,854
Santa Clara	6,183,338	(103,012,843)	102,299,000	2,375,202	(4,186,968)	108,772,000	(4,350,880)	108,078,849
Solano	14,703,366	(14,668,639)	17,358,114	222,742	(703,234)	17,773,436	(710,937)	33,974,847
Sonoma	9,938,332	(22,153,733)	22,900,000	(960,603)	(877,576)	22,800,000	(912,000)	30,734,421
<b>TOTAL</b>	<b>\$84,601,320</b>	<b>(\$370,170,764)</b>	<b>\$361,899,672</b>	<b>\$4,166,888</b>	<b>(\$14,642,663)</b>	<b>\$378,372,355</b>	<b>(\$15,134,893)</b>	<b>\$429,091,916</b>

**STA, AB 1107, BRIDGE TOLL, & LOW CARBON TRANSIT OPERATIONS PROGRAM REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>		<i>E=Sum(A:D)</i>
	6/30/2015	FY2014-16	FY2015-16	FY2016-17		FY2016-17
Fund Source	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>3</sup>	Revenue Estimate	Revenue Estimate		Available for Allocation
<b>State Transit Assistance</b>						
Revenue-Based	12,656,340	(92,358,977)	81,439,582	74,980,527		76,717,470
Population-Based	53,989,754	(48,589,618)	28,363,683	25,890,283		59,654,104
<b>SUBTOTAL</b>	<b>66,646,095</b>	<b>(140,948,595)</b>	<b>109,803,265</b>	<b>100,870,810</b>		<b>136,371,574</b>
<b>AB1107 - BART District Tax (25% Share)</b>	<b>0</b>	<b>(80,517,826)</b>	<b>80,517,825</b>	<b>80,749,840</b>		<b>80,749,840</b>
<b>Bridge Toll Total</b>						
AB 664 Bridge Revenues	82,611,091	(82,611,091)	2,300,000	2,300,000		2,300,000
MTC 2% Toll Revenue	5,948,691	(3,741,879)	1,450,000	1,450,000		5,106,812
5% State General Fund Revenue	8,356,827	(604,380)	3,210,892	3,243,001		14,206,340
<b>SUBTOTAL</b>	<b>96,916,609</b>	<b>(86,957,350)</b>	<b>6,960,892</b>	<b>6,993,001</b>		<b>21,613,152</b>
<b>Low Carbon Transit Operations Program</b>	<b>28,166,253</b>	<b>0</b>	<b>28,166,253</b>	<b>38,680,268</b>		<b>38,680,268</b>
<b>TOTAL</b>	<b>\$191,728,957</b>	<b>(\$308,423,771)</b>	<b>\$225,448,235</b>	<b>\$227,293,919</b>		<b>\$277,414,834</b>

Please see Attachment A pages 2-17 for detailed information on each fund source.

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**ALAMEDA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		73,546,000	13. County Auditor Estimate		76,110,000
2. Actual Revenue (June, 16)		75,476,254	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		1,930,254	14. MTC Administration (0.5% of Line 13)		380,550
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		380,550
4. MTC Administration (0.5% of Line 3)		9,651	16. MTC Planning (3.0% of Line 13)		2,283,300
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>		9,651	17. Total Charges (Lines 14+15+16)		3,044,400
6. MTC Planning (3.0% of Line 3)		57,908	18. TDA Generations Less Charges (Lines 13-17)		73,065,600
7. Total Charges (Lines 4+5+6)		77,210	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		1,853,044	19. Article 3.0 (2.0% of Line 18)		1,461,312
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		71,604,288
9. Article 3 Adjustment (2.0% of line 8)		37,061	21. Article 4.5 (5.0% of Line 20)		3,580,214
10. Funds Remaining (Lines 8-9)		1,815,983	22. TDA Article 4 (Lines 20-21)		68,024,074
11. Article 4.5 Adjustment (5.0% of Line 10)		90,799			
12. Article 4 Adjustment (Lines 10-11)		1,725,184			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,238,996	13,455	3,252,451	(3,595,113)	0	1,412,083	37,061	1,106,482	1,461,312	2,567,794
Article 4.5	26,073	1,220	27,293	(323,355)	(3,161,732)	3,459,604	90,799	92,609	3,580,214	3,672,823
<b>SUBTOTAL</b>	<b>3,265,069</b>	<b>14,675</b>	<b>3,279,744</b>	<b>(3,918,468)</b>	<b>(3,161,732)</b>	<b>4,871,687</b>	<b>127,860</b>	<b>1,199,091</b>	<b>5,041,526</b>	<b>6,240,617</b>
Article 4										
AC Transit										
District 1	6,771	1,710	8,481	(45,589,892)	3,161,732	42,419,679	1,113,327	1,113,327	43,864,335	44,977,662
District 2	1,880	297	2,177	(11,315,000)	0	11,315,940	296,993	300,109	11,669,120	11,969,229
BART <sup>4</sup>	5,136	16	5,153	(85,033)	0	79,882	2,097	2,098	83,158	85,256
LAVTA	9,692,902	28,266	9,721,169	(13,476,888)	4,316,718	8,899,101	233,562	9,693,662	9,304,213	18,997,875
Union City	4,748,319	18,071	4,766,390	(3,979,251)	447,788	3,017,872	79,206	4,332,004	3,103,248	7,435,252
<b>SUBTOTAL</b>	<b>14,455,009</b>	<b>48,361</b>	<b>14,503,369</b>	<b>(74,446,064)</b>	<b>7,926,238</b>	<b>65,732,473</b>	<b>1,725,184</b>	<b>15,441,200</b>	<b>68,024,074</b>	<b>83,465,274</b>
<b>GRAND TOTAL</b>	<b>\$17,720,078</b>	<b>\$63,036</b>	<b>\$17,783,113</b>	<b>(\$78,364,532)</b>	<b>\$4,764,506</b>	<b>\$70,604,160</b>	<b>\$1,853,044</b>	<b>\$16,640,291</b>	<b>\$73,065,600</b>	<b>\$89,705,891</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**CONTRA COSTA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	40,146,919		13. County Auditor Estimate	41,463,827	
2. Actual Revenue (June, 16)	39,316,500		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(830,419)	14. MTC Administration (0.5% of Line 13)	207,319	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	207,319	
4. MTC Administration (0.5% of Line 3)	(4,152)		16. MTC Planning (3.0% of Line 13)	1,243,915	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	(4,152)		17. Total Charges (Lines 14+15+16)	1,658,553	
6. MTC Planning (3.0% of Line 3)	(24,913)		18. TDA Generations Less Charges (Lines 13-17)	39,805,274	
7. Total Charges (Lines 4+5+6)		(33,217)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(797,202)	19. Article 3.0 (2.0% of Line 18)	796,105	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	39,009,169	
9. Article 3 Adjustment (2.0% of line 8)	(15,944)		21. Article 4.5 (5.0% of Line 20)	1,950,458	
10. Funds Remaining (Lines 8-9)		(781,258)	22. TDA Article 4 (Lines 20-21)	37,058,711	
11. Article 4.5 Adjustment (5.0% of Line 10)	(39,063)				
12. Article 4 Adjustment (Lines 10-11)		(742,195)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,236,685	440	1,237,125	(836,241)	0	770,821	(15,944)	1,155,762	796,105	1,951,867
Article 4.5	146,487	12	146,499	(1,241,739)	(647,531)	1,888,511	(39,063)	106,677	1,950,458	2,057,135
<b>SUBTOTAL</b>	<b>1,383,172</b>	<b>452</b>	<b>1,383,624</b>	<b>(2,077,980)</b>	<b>(647,531)</b>	<b>2,659,332</b>	<b>(55,007)</b>	<b>1,262,439</b>	<b>2,746,563</b>	<b>4,009,002</b>
Article 4										
AC Transit										
District 1	3,835	6	3,841	(6,695,817)	571,086	6,254,093	(129,363)	3,841	6,436,688	6,440,529
BART <sup>4</sup>	156	0	157	(245,724)	0	250,912	(5,190)	155	261,977	262,132
CCCTA	12,945,397	2,353	12,947,750	(24,393,594)	416,196	17,054,847	(352,771)	5,672,427	17,584,948	23,257,375
ECCTA	816,528	52	816,580	(9,939,397)	0	10,151,017	(209,969)	818,231	10,537,184	11,355,415
WCCTA	2,005,431	350	2,005,781	(2,803,045)	625,699	2,170,840	(44,903)	1,954,372	2,237,914	4,192,286
<b>SUBTOTAL</b>	<b>15,771,347</b>	<b>2,762</b>	<b>15,774,109</b>	<b>(44,077,577)</b>	<b>1,612,981</b>	<b>35,881,709</b>	<b>(742,195)</b>	<b>8,449,026</b>	<b>37,058,711</b>	<b>45,507,737</b>
<b>GRAND TOTAL</b>	<b>\$17,154,518</b>	<b>\$3,215</b>	<b>\$17,157,733</b>	<b>(\$46,155,557)</b>	<b>\$965,450</b>	<b>\$38,541,041</b>	<b>(\$797,202)</b>	<b>\$9,711,465</b>	<b>\$39,805,274</b>	<b>\$49,516,739</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

4. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**MARIN COUNTY**

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FY2015-16 TDA Revenue Estimate					FY2016-17 TDA Revenue Estimate					
<b>FY2015-16 Generation Estimate Adjustment</b>					<b>FY2016-17 County Auditor's Generation Estimate</b>					
1. Original County Auditor Estimate (Feb, 15)			12,713,895		13. County Auditor Estimate				13,362,830	
2. Actual Revenue (June, 16)			12,691,304		<b>FY2016-17 Planning and Administration Charges</b>					
3. Revenue Adjustment (Lines 2-1)				(22,591)	14. MTC Administration (0.5% of Line 13)				66,814	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>					15. County Administration (0.5% of Line 13)				66,814	
4. MTC Administration (0.5% of Line 3)			(113)		16. MTC Planning (3.0% of Line 13)				400,885	
5. County Administration (Up to 0.5% of Line 3)			(113)		17. Total Charges (Lines 14+15+16)				534,513	
6. MTC Planning (3.0% of Line 3)			(678)		18. TDA Generations Less Charges (Lines 13-17)				12,828,317	
7. Total Charges (Lines 4+5+6)				(904)	<b>FY2016-17 TDA Apportionment By Article</b>					
8. Adjusted Generations Less Charges (Lines 3-7)				(21,687)	19. Article 3.0 (2.0% of Line 18)				256,566	
<b>FY2015-16 TDA Adjustment By Article</b>					20. Funds Remaining (Lines 18-19)				12,571,751	
9. Article 3 Adjustment (2.0% of line 8)			(434)		21. Article 4.5 (5.0% of Line 20)				0	
10. Funds Remaining (Lines 8-9)				(21,253)	22. TDA Article 4 (Lines 20-21)				12,571,751	
11. Article 4.5 Adjustment (5.0% of Line 10)			0							
12. Article 4 Adjustment (Lines 10-11)				(21,253)						
<b>TDA APPORTIONMENT BY JURISDICTION</b>										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>4</sup>	Revenue Estimate	Available for Allocation
Article 3	417,608	4,066	421,673	(665,748)	0	244,107	(434)	(401)	256,566	256,165
Article 4.5										
<b>SUBTOTAL</b>	<b>417,608</b>	<b>4,066</b>	<b>421,673</b>	<b>(665,748)</b>	<b>0</b>	<b>244,107</b>	<b>(434)</b>	<b>(401)</b>	<b>256,566</b>	<b>256,165</b>
Article 4/8										
GGBHTD <sup>3</sup>	420,679	872	421,551	(12,361,904)	0	11,961,233	(21,253)	(235)	7,931,518	7,931,282
Marin Transit <sup>3</sup>	0	0	0	0	0	0	0	(138)	4,640,233	4,640,096
<b>SUBTOTAL</b>	<b>420,679</b>	<b>872</b>	<b>421,551</b>	<b>(12,361,904)</b>	<b>0</b>	<b>11,961,233</b>	<b>(21,253)</b>	<b>(373)</b>	<b>12,571,751</b>	<b>12,571,378</b>
<b>GRAND TOTAL</b>	<b>\$838,286</b>	<b>\$4,938</b>	<b>\$843,224</b>	<b>(\$13,027,652)</b>	<b>\$0</b>	<b>\$12,205,340</b>	<b>(\$21,687)</b>	<b>(\$774)</b>	<b>\$12,828,317</b>	<b>\$12,827,543</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Prior to FY 2016-17 GGBHTD was authorized to claim 100% of the apportionments in Marin County. Per agreement between GGBHTD and MCTD from FY 2016-17 forward both agencies will claim funds.

4. Negative projected carryover will be covered by FY 2015-16 interest payments.



**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**NAPA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		7,600,000	13. County Auditor Estimate		8,160,000
2. Actual Revenue (June, 16)		8,303,166	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		703,166	14. MTC Administration (0.5% of Line 13)		40,800
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		40,800
4. MTC Administration (0.5% of Line 3)		3,516	16. MTC Planning (3.0% of Line 13)		244,800
5. County Administration (Up to 0.5% of Line 3)		3,516	17. Total Charges (Lines 14+15+16)		326,400
6. MTC Planning (3.0% of Line 3)		21,095	18. TDA Generations Less Charges (Lines 13-17)		7,833,600
7. Total Charges (Lines 4+5+6)		28,127	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		675,039	19. Article 3.0 (2.0% of Line 18)		156,672
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		7,676,928
9. Article 3 Adjustment (2.0% of line 8)		13,501	21. Article 4.5 (5.0% of Line 20)		383,846
10. Funds Remaining (Lines 8-9)		661,538	22. TDA Article 4 (Lines 20-21)		7,293,082
11. Article 4.5 Adjustment (5.0% of Line 10)		33,077			
12. Article 4 Adjustment (Lines 10-11)		628,461			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	496,722	2,847	499,569	(421,689)	0	145,920	13,501	237,301	156,672	393,973
Article 4.5	56,757	73	56,829	(401,127)	0	357,504	33,077	46,283	383,846	430,129
<b>SUBTOTAL</b>	<b>553,479</b>	<b>2,919</b>	<b>556,398</b>	<b>(822,816)</b>	<b>0</b>	<b>503,424</b>	<b>46,578</b>	<b>283,584</b>	<b>540,518</b>	<b>824,102</b>
Article 4/8										
NVTA <sup>3</sup>	11,412,332	47,046	11,459,378	(15,607,662)	1,253,960	6,792,576	628,461	4,526,713	7,293,082	11,819,795
<b>SUBTOTAL</b>	<b>11,412,332</b>	<b>47,046</b>	<b>11,459,378</b>	<b>(15,607,662)</b>	<b>1,253,960</b>	<b>6,792,576</b>	<b>628,461</b>	<b>4,526,713</b>	<b>7,293,082</b>	<b>11,819,795</b>
<b>GRAND TOTAL</b>	<b>\$11,965,811</b>	<b>\$49,965</b>	<b>\$12,015,776</b>	<b>(\$16,430,478)</b>	<b>\$1,253,960</b>	<b>\$7,296,000</b>	<b>\$675,039</b>	<b>\$4,810,297</b>	<b>\$7,833,600</b>	<b>\$12,643,897</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN FRANCISCO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		48,421,155	13. County Auditor Estimate		50,724,425
2. Actual Revenue (June, 16)		47,124,816	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(1,296,339)	14. MTC Administration (0.5% of Line 13)		253,622
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		253,622
4. MTC Administration (0.5% of Line 3)		(6,482)	16. MTC Planning (3.0% of Line 13)		1,521,733
5. County Administration (Up to 0.5% of Line 3)		(6,482)	17. Total Charges (Lines 14+15+16)		2,028,977
6. MTC Planning (3.0% of Line 3)		(38,890)	18. TDA Generations Less Charges (Lines 13-17)		48,695,448
7. Total Charges (Lines 4+5+6)		(51,854)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,244,485)	19. Article 3.0 (2.0% of Line 18)		973,909
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		47,721,539
9. Article 3 Adjustment (2.0% of line 8)		(24,890)	21. Article 4.5 (5.0% of Line 20)		2,386,077
10. Funds Remaining (Lines 8-9)		(1,219,595)	22. TDA Article 4 (Lines 20-21)		45,335,462
11. Article 4.5 Adjustment (5.0% of Line 10)		(60,980)			
12. Article 4 Adjustment (Lines 10-11)		(1,158,615)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>3</sup>	Revenue Estimate	Available for Allocation
Article 3	730,000	13,007	743,007	(1,656,353)	0	929,686	(24,890)	(8,550)	973,909	965,359
Article 4.5	(385)	618	233	61,539	(2,278,290)	2,277,731	(60,980)	233	2,386,077	2,386,310
<b>SUBTOTAL</b>	<b>729,615</b>	<b>13,625</b>	<b>743,240</b>	<b>(1,594,814)</b>	<b>(2,278,290)</b>	<b>3,207,417</b>	<b>(85,870)</b>	<b>(8,317)</b>	<b>3,359,986</b>	<b>3,351,669</b>
Article 4										
SFMTA	(4,203)	5,945	1,743	(44,396,565)	2,278,290	43,276,891	(1,158,615)	1,744	45,335,462	45,337,206
<b>SUBTOTAL</b>	<b>(4,203)</b>	<b>5,945</b>	<b>1,743</b>	<b>(44,396,565)</b>	<b>2,278,290</b>	<b>43,276,891</b>	<b>(1,158,615)</b>	<b>1,744</b>	<b>45,335,462</b>	<b>45,337,206</b>
<b>GRAND TOTAL</b>	<b>\$725,412</b>	<b>\$19,571</b>	<b>\$744,983</b>	<b>(\$45,991,379)</b>	<b>\$0</b>	<b>\$46,484,308</b>	<b>(\$1,244,485)</b>	<b>(\$6,573)</b>	<b>\$48,695,448</b>	<b>\$48,688,875</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SAN MATEO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		36,914,589	13. County Auditor Estimate		39,205,837
2. Actual Revenue (June, 16)		38,960,065	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		2,045,476	14. MTC Administration (0.5% of Line 13)		196,029
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		196,029
4. MTC Administration (0.5% of Line 3)		10,227	16. MTC Planning (3.0% of Line 13)		1,176,175
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>		10,227	17. Total Charges (Lines 14+15+16)		1,568,233
6. MTC Planning (3.0% of Line 3)		61,364	18. TDA Generations Less Charges (Lines 13-17)		37,637,604
7. Total Charges (Lines 4+5+6)		81,818	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		1,963,658	19. Article 3.0 (2.0% of Line 18)		752,752
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		36,884,852
9. Article 3 Adjustment (2.0% of line 8)		39,273	21. Article 4.5 (5.0% of Line 20)		1,844,243
10. Funds Remaining (Lines 8-9)		1,924,385	22. TDA Article 4 (Lines 20-21)		35,040,609
11. Article 4.5 Adjustment (5.0% of Line 10)		96,219			
12. Article 4 Adjustment (Lines 10-11)		1,828,166			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,201,159	42,332	3,243,491	(3,554,875)	0	708,760	39,273	436,649	752,752	1,189,401
Article 4.5	184,358	323	184,681	(1,771,554)	0	1,736,462	96,219	245,808	1,844,243	2,090,051
<b>SUBTOTAL</b>	<b>3,385,516</b>	<b>42,656</b>	<b>3,428,172</b>	<b>(5,326,429)</b>	<b>0</b>	<b>2,445,222</b>	<b>135,492</b>	<b>682,457</b>	<b>2,596,995</b>	<b>3,279,452</b>
Article 4										
SamTrans	1,986,662	5,905	1,992,567	(32,212,723)	0	32,992,783	1,828,166	4,600,793	35,040,609	39,641,402
<b>SUBTOTAL</b>	<b>1,986,662</b>	<b>5,905</b>	<b>1,992,567</b>	<b>(32,212,723)</b>	<b>0</b>	<b>32,992,783</b>	<b>1,828,166</b>	<b>4,600,793</b>	<b>35,040,609</b>	<b>39,641,402</b>
<b>GRAND TOTAL</b>	<b>\$5,372,178</b>	<b>\$48,561</b>	<b>\$5,420,739</b>	<b>(\$37,539,152)</b>	<b>\$0</b>	<b>\$35,438,005</b>	<b>\$1,963,658</b>	<b>\$5,283,250</b>	<b>\$37,637,604</b>	<b>\$42,920,854</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SANTA CLARA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	102,299,000		13. County Auditor Estimate	108,772,000	
2. Actual Revenue (June, 16)	104,674,202		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)	2,375,202		14. MTC Administration (0.5% of Line 13)	543,860	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	543,860	
4. MTC Administration (0.5% of Line 3)	11,876		16. MTC Planning (3.0% of Line 13)	3,263,160	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	11,876		17. Total Charges (Lines 14+15+16)	4,350,880	
6. MTC Planning (3.0% of Line 3)	71,256		18. TDA Generations Less Charges (Lines 13-17)	104,421,120	
7. Total Charges (Lines 4+5+6)	95,008		<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)	2,280,194		19. Article 3.0 (2.0% of Line 18)	2,088,422	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	102,332,698	
9. Article 3 Adjustment (2.0% of line 8)	45,604		21. Article 4.5 (5.0% of Line 20)	5,116,635	
10. Funds Remaining (Lines 8-9)	2,234,590		22. TDA Article 4 (Lines 20-21)	97,216,063	
11. Article 4.5 Adjustment (5.0% of Line 10)	111,730				
12. Article 4 Adjustment (Lines 10-11)	2,122,860				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,351,090	29,759	5,380,849	(6,804,884)		1,964,141	45,604	585,710	2,088,422	2,674,132
Article 4.5	41,460	195	41,655	0	(4,812,145)	4,812,145	111,730	153,385	5,116,635	5,270,020
<b>SUBTOTAL</b>	<b>5,392,551</b>	<b>29,953</b>	<b>5,422,504</b>	<b>(6,804,884)</b>	<b>(4,812,145)</b>	<b>6,776,286</b>	<b>157,334</b>	<b>739,095</b>	<b>7,205,057</b>	<b>7,944,152</b>
Article 4										
VTA	790,787	4,986	795,774	(96,242,899)	4,812,145	91,430,754	2,122,860	2,918,634	97,216,063	100,134,697
<b>SUBTOTAL</b>	<b>790,787</b>	<b>4,986</b>	<b>795,774</b>	<b>(96,242,899)</b>	<b>4,812,145</b>	<b>91,430,754</b>	<b>2,122,860</b>	<b>2,918,634</b>	<b>97,216,063</b>	<b>100,134,697</b>
<b>GRAND TOTAL</b>	<b>\$6,183,338</b>	<b>\$34,939</b>	<b>\$6,218,277</b>	<b>(\$103,047,783)</b>	<b>\$0</b>	<b>\$98,207,040</b>	<b>\$2,280,194</b>	<b>\$3,657,729</b>	<b>\$104,421,120</b>	<b>\$108,078,849</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SOLANO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		17,358,114	13. County Auditor Estimate		17,773,436
2. Actual Revenue (June, 16)		17,580,856	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		222,742	14. MTC Administration (0.5% of Line 13)		88,867
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		88,867
4. MTC Administration (0.5% of Line 3)		1,114	16. MTC Planning (3.0% of Line 13)		533,203
5. County Administration (Up to 0.5% of Line 3)		1,114	17. Total Charges (Lines 14+15+16)		710,937
6. MTC Planning (3.0% of Line 3)		6,682	18. TDA Generations Less Charges (Lines 13-17)		17,062,499
7. Total Charges (Lines 4+5+6)		8,910	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		213,832	19. Article 3.0 (2.0% of Line 18)		341,250
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		16,721,249
9. Article 3 Adjustment (2.0% of line 8)		4,277	21. Article 4.5 (5.0% of Line 20)		0
10. Funds Remaining (Lines 8-9)		209,555	22. TDA Article 4 (Lines 20-21)		16,721,249
11. Article 4.5 Adjustment (5.0% of Line 10)		0			
12. Article 4 Adjustment (Lines 10-11)		209,555			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	774,067	3,926	777,993	(968,029)	0	333,276	4,277	147,517	341,250	488,767
Article 4.5										
<b>SUBTOTAL</b>	<b>774,067</b>	<b>3,926</b>	<b>777,993</b>	<b>(968,029)</b>	<b>0</b>	<b>333,276</b>	<b>4,277</b>	<b>147,517</b>	<b>341,250</b>	<b>488,767</b>
Article 4/8										
Dixon	856,366	3,219	859,586	(567,866)	0	734,437	9,424	1,035,581	745,767	1,781,348
Fairfield	2,763,699	12,241	2,775,940	(5,837,751)	0	4,251,582	54,557	1,244,327	4,355,601	5,599,928
Rio Vista	243,865	1,902	245,767	(334,129)	75,432	306,605	3,934	297,610	318,930	616,540
Solano County	913,414	4,404	917,818	(510,125)	0	741,586	9,516	1,158,796	753,163	1,911,959
Suisun City	158,218	370	158,588	(1,233,922)	0	1,103,260	14,157	42,083	1,124,528	1,166,611
Vacaville	6,367,758	28,785	6,396,543	(3,187,689)	0	3,617,620	46,422	6,872,896	3,686,482	10,559,378
Vallejo/Benicia <sup>4</sup>	2,625,978	11,206	2,637,184	(7,176,068)	5,005,454	5,575,423	71,544	6,113,538	5,736,777	11,850,315
<b>SUBTOTAL</b>	<b>13,929,299</b>	<b>62,128</b>	<b>13,991,427</b>	<b>(18,847,550)</b>	<b>5,080,886</b>	<b>16,330,513</b>	<b>209,555</b>	<b>16,764,831</b>	<b>16,721,249</b>	<b>33,486,080</b>
<b>GRAND TOTAL</b>	<b>\$14,703,366</b>	<b>\$66,054</b>	<b>\$14,769,419</b>	<b>(\$19,815,578)</b>	<b>\$5,080,886</b>	<b>\$16,663,789</b>	<b>\$213,832</b>	<b>\$16,912,348</b>	<b>\$17,062,499</b>	<b>\$33,974,847</b>

- Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
- The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
- Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.
- Beginning in FY2012-13, the Benicia apportionment area is combined with Vallejo, and available for SolTrans to claim.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SONOMA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		22,900,000	13. County Auditor Estimate		22,800,000
2. Actual Revenue (June, 16)		21,939,397	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(960,603)	14. MTC Administration (0.5% of Line 13)		114,000
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		114,000
4. MTC Administration (0.5% of Line 3)		(4,803)	16. MTC Planning (3.0% of Line 13)		684,000
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>		(4,803)	17. Total Charges (Lines 14+15+16)		912,000
6. MTC Planning (3.0% of Line 3)		(28,818)	18. TDA Generations Less Charges (Lines 13-17)		21,888,000
7. Total Charges (Lines 4+5+6)		(38,424)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(922,179)	19. Article 3.0 (2.0% of Line 18)		437,760
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		21,450,240
9. Article 3 Adjustment (2.0% of line 8)		(18,444)	21. Article 4.5 (5.0% of Line 20)		0
10. Funds Remaining (Lines 8-9)		(903,735)	22. TDA Article 4 (Lines 20-21)		21,450,240
11. Article 4.5 Adjustment (5.0% of Line 10)		0			
12. Article 4 Adjustment (Lines 10-11)		(903,735)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>6</sup>	Revenue Estimate	Available for Allocation
Article 3	1,525,093	8,385	1,533,478	(1,252,449)	0	439,680	(18,444)	702,265	437,760	1,140,025
Article 4.5										
<b>SUBTOTAL</b>	<b>1,525,093</b>	<b>8,385</b>	<b>1,533,478</b>	<b>(1,252,449)</b>	<b>0</b>	<b>439,680</b>	<b>(18,444)</b>	<b>702,265</b>	<b>437,760</b>	<b>1,140,025</b>
Article 4/8										
GGBHTD <sup>4</sup>	48,217	2,654	50,872	(5,219,169)	0	5,386,080	(225,934)	(8,151)	5,362,560	5,354,409
Petaluma	974,118	2,463	976,580	(1,993,246)	0	1,843,755	(77,341)	749,748	1,830,846	2,580,594
Santa Rosa	1,012,333	30,852	1,043,186	(6,430,490)	3,276,135	5,608,140	(235,249)	3,261,722	5,610,668	8,872,390
Sonoma County/Healdsburg <sup>5</sup>	6,378,571	19,108	6,397,678	(11,475,863)	877,888	8,706,345	(365,211)	4,140,837	8,646,166	12,787,003
<b>SUBTOTAL</b>	<b>8,413,239</b>	<b>55,077</b>	<b>8,468,316</b>	<b>(25,118,768)</b>	<b>4,154,022</b>	<b>21,544,320</b>	<b>(903,735)</b>	<b>8,144,156</b>	<b>21,450,240</b>	<b>29,594,396</b>
<b>GRAND TOTAL</b>	<b>\$9,938,332</b>	<b>\$63,462</b>	<b>\$10,001,794</b>	<b>(\$26,371,217)</b>	<b>\$4,154,022</b>	<b>\$21,984,000</b>	<b>(\$922,179)</b>	<b>\$8,846,421</b>	<b>\$21,888,000</b>	<b>\$30,734,421</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
4. Apportionment to GGBHTD is 25-percent of Sonoma County's total Article 4/8 TDA funds.
5. Beginning in FY2012-13, the Healdsburg apportionment area is combined with Sonoma County.
6. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
REVENUE-BASED FUNDS (PUC 99314)**

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FY2015-16 STA Revenue Estimate		FY2016-17 STA Revenue Estimate	
1. State Estimate (May, 16)	\$82,689,232	4. Projected Carryover (May, 16)	\$1,736,943
2. Actual Revenue (Oct, 16)	\$81,439,582	5. State Estimate (Oct, 16)	\$74,980,527
3. Revenue Adjustment (Lines 2-1)	(\$1,249,650)	6. Total Funds Available (Lines 4+5)	\$76,717,470

**STA REVENUE-BASED APPORTIONMENT BY OPERATOR**

Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance (w/interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Actual Revenue	Projected Carryover <sup>3</sup>	Revenue Estimate <sup>4</sup>	Available For Allocation
ACCM - Corresponding to ACE	429,655	(450,000)	204,084	183,739	184,548	368,287
Caltrain	487,279	(5,046,388)	4,245,410	(313,700)	3,838,988	3,525,288
CCCTA	9	(568,378)	479,832	(88,537)	433,896	345,359
City of Dixon	4,930	0	3,723	8,653	3,366	12,019
ECCTA	2	(260,539)	222,225	(38,312)	200,950	162,638
City of Fairfield	16,405	(102,080)	93,769	8,094	84,792	92,886
GGBHTD	9	(3,370,520)	3,758,038	387,527	4,851,203	5,238,730
City of Healdsburg	376	0	394	769	(1,103)	(334)
LAVTA	199,818	(199,577)	193,954	194,195	175,386	369,581
Marin Transit	1,406,662	(1,009,970)	699,941	1,096,633	632,934	1,729,567
NVTA	5	(42,788)	48,468	5,686	43,829	49,515
City of Petaluma	(7,312)	(18,795)	10,886	(15,222)	4,452	(10,770)
City of Rio Vista	0	0	856	856	(244)	612
SamTrans	1	(3,150,135)	2,610,895	(539,240)	2,360,949	1,821,709
City of Santa Rosa	140,746	(128,585)	106,568	118,729	96,365	215,094
Solano County Transit	0	(190,279)	218,922	28,643	197,964	226,607
Sonoma County Transit	44,800	(66,975)	115,385	93,210	104,339	197,549
City of Union City	1	(36,000)	32,812	(3,187)	29,671	26,484
VTA	922,200	(11,263,409)	10,045,239	(295,970)	9,083,587	8,787,617
VTA - Corresponding to ACE	47,826	(231,943)	218,473	34,356	197,558	231,914
WCCTA	6	(270,690)	251,465	(19,218)	227,393	208,175
WETA	3,912,726	0	1,032,957	4,945,682	934,069	5,879,751
<b>SUBTOTAL</b>	<b>7,606,143</b>	<b>(26,407,051)</b>	<b>24,594,296</b>	<b>5,793,386</b>	<b>23,684,893</b>	<b>29,478,279</b>
AC Transit	1,332,353	(8,045,389)	7,597,770	884,735	6,856,021	7,740,756
BART	2,427,827	(21,526,840)	17,455,653	(1,643,361)	15,751,504	14,108,143
SFMTA	1,290,017	(36,379,697)	31,791,863	(3,297,817)	28,688,109	25,390,292
<b>SUBTOTAL</b>	<b>5,050,197</b>	<b>(65,951,926)</b>	<b>56,845,286</b>	<b>(4,056,443)</b>	<b>51,295,634</b>	<b>47,239,191</b>
<b>GRAND TOTAL</b>	<b>\$12,656,340</b>	<b>(\$92,358,977)</b>	<b>\$81,439,582</b>	<b>\$1,736,943</b>	<b>\$74,980,527</b>	<b>\$76,717,470</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
3. Projected carryover as of 6/30/16 does not include interest accrued in FY2015-16. Negative carryover amounts shown are primarily a result of lower than expected revenues.
4. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313)**

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FY2015-16 STA Revenue Estimate			FY2016-17 STA Revenue Estimate			
1. State Revised Estimate (May, 16)	\$28,799,198		4. Projected Carryover (May, 16)	\$33,763,821		
2. Actual Revenue (Oct, 16)	\$28,363,683		5. State Estimate <sup>4</sup> (Oct, 16)	\$25,890,283		
3. Revenue Adjustment (Lines 2-1)	(\$435,515)		6. Total Funds Available (Lines 4+5)	\$59,654,104		
STA POPULATION-BASED APPORTIONMENT BY JURISDICTION & OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance	Outstanding	Actual	Projected	Revenue	Available For
	(w/interest) <sup>1</sup>	Commitments <sup>2</sup>	Revenue	Carryover <sup>3</sup>	Estimate <sup>4</sup>	Allocation
Northern Counties/Small Operators						
Marin	81,537	(1,094,305)	842,893	(169,875)	768,516	598,641
Napa	41,253	(547,351)	455,511	(50,587)	415,316	364,729
Solano/Vallejo <sup>5</sup>	4,345,719	(1,711,160)	1,371,801	4,006,360	1,250,753	5,257,113
Sonoma	154,310	(2,329,698)	1,612,121	(563,267)	1,469,867	906,600
CCCTA	144,556	(2,004,761)	1,597,877	(262,329)	1,456,880	1,194,551
ECCTA	88,114	(1,159,791)	965,189	(106,488)	880,020	773,532
LAVTA	910,297	(884,220)	660,327	686,403	602,059	1,288,462
Union City	155,508	(195,686)	231,166	190,988	210,768	401,756
WCCTA	19,283	(267,089)	212,881	(34,925)	194,096	159,171
SUBTOTAL	5,940,577	(10,194,061)	7,949,764	3,696,280	7,248,275	10,944,555
Regional Paratransit						
Alameda	31,558	(1,113,062)	872,888	(208,616)	795,864	587,248
Contra Costa	42,344	(670,750)	617,902	(10,504)	563,379	552,875
Marin	4,470	(147,718)	119,222	(24,026)	108,702	84,676
Napa	8,753	(116,182)	96,688	(10,741)	88,156	77,415
San Francisco	25,924	(832,201)	692,566	(113,711)	631,454	517,743
San Mateo	30,922	(410,315)	341,468	(37,925)	311,337	273,412
Santa Clara	88,454	(1,175,189)	978,003	(108,732)	891,704	782,972
Solano	902,071	(445,000)	267,002	724,073	243,442	967,515
Sonoma	42,703	(459,545)	382,438	(34,404)	348,692	314,288
SUBTOTAL	1,177,199	(5,369,962)	4,368,177	175,414	3,982,729	4,158,144
Lifeline						
Alameda	5,080,482	(5,841,385)	1,467,863	706,960	1,689,721	2,396,681
Contra Costa	2,864,977	(2,990,587)	1,269,891	1,144,281	1,068,509	2,212,790
Marin	556,377	(265,568)	200,585	491,394	195,613	687,007
Napa	463,078	(471,543)	118,759	110,294	151,720	262,014
San Francisco	3,909,710	(4,242,025)	823,155	490,840	935,481	1,426,321
San Mateo	1,637,260	0	815,731	2,452,991	629,074	3,082,065
Santa Clara	5,077,735	(1,550,000)	1,610,841	5,138,576	1,725,178	6,863,754
Solano	733,154	(821,186)	607,329	519,297	477,758	997,055
Sonoma	1,690,827	(443,268)	604,740	1,852,299	588,692	2,440,991
MTC Mean-Based Discount Project	307,529	(100,000)	665,000	872,529	0	872,529
JARC Funding Restoration <sup>6</sup>	550,842	0	0	550,842	0	550,842
SUBTOTAL	22,871,972	(16,725,562)	8,183,894	14,330,303	7,461,746	21,792,049
MTC Regional Coordination Program <sup>7</sup>	23,631,214	(16,300,032)	7,528,515	14,859,697	6,864,199	21,723,896
BART to Warm Springs	328,985	0	0	328,985	0	328,985
eBART	1,029	0	0	1,029	0	1,029
Transit Emergency Service Contingency Fund <sup>8</sup>	0	0	333,333	333,333	333,333	666,666
SamTrans	38,780	0	0	38,780	0	38,780
GRAND TOTAL	\$53,989,754	(\$48,589,618)	\$28,363,683	\$33,763,821	\$25,890,283	\$59,654,104

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. The projected carryover as of 6/30/2016 does not include interest accrued in FY 2015-16.

4. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

5. Beginning in FY2008-09, the Vallejo allocation is combined with Solano, as per MTC Resolution 3837.

6. Includes 2/26/14 Commission action to re-assign \$1.1 million in FY 2014-15 Lifeline funds, and re-assigning \$693,696 of MTC's Means-Based Discount Project balance.

7. Committed to Clipper® and other MTC Customer Service projects.

8. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program.



**FY 2016-17 FUND ESTIMATE**  
**BRIDGE TOLLS<sup>1,2</sup>**

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**BRIDGE TOLL APPORTIONMENT BY CATEGORY**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=D+E</i>
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Fund Source	Balance <sup>3</sup>	Outstanding Commitments <sup>4</sup>	Programming Amount <sup>5</sup>	Projected Carryover	Programming Amount <sup>5</sup>	Available for Allocation
<b>AB 664 Bridge Revenues</b>						
70% East Bay	26,507,686	(26,507,686)	1,600,000	1,600,000	1,600,000	3,200,000
30% West Bay	56,103,405	(56,103,405)	700,000	700,000	700,000	1,400,000
<b>SUBTOTAL</b>	<b>82,611,091</b>	<b>(82,611,091)</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>
<b>MTC 2% Toll Revenues</b>						
Ferry Capital	4,302,443	(2,347,036)	1,000,000	2,955,407	1,000,000	3,955,407
ABAG Bay Trail	28,405	(478,405)	450,000	0	450,000	450,000
SMART	828,544	(828,544)	0	0	0	0
Studies	789,299	(87,894)	0	701,405	0	701,405
<b>SUBTOTAL</b>	<b>5,948,691</b>	<b>(3,741,879)</b>	<b>1,450,000</b>	<b>3,656,812</b>	<b>1,450,000</b>	<b>5,106,812</b>
<b>5% State General Fund Revenues</b>						
Ferry	8,356,827	(339,000)	2,945,512	10,963,339	2,977,621	13,940,960
ABAG Bay Trail	0	(265,380)	265,380	0	265,380	265,380
<b>SUBTOTAL</b>	<b>8,356,827</b>	<b>(604,380)</b>	<b>3,210,892</b>	<b>10,963,339</b>	<b>3,243,001</b>	<b>14,206,340</b>

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The AB 664, RM1, and MTC 2% Toll Revenues, listed above, commencing in FY2010-11, are funded from this payment.
2. RM1 90% Rail Extension allocation is made through MTC Resolutions 3833 and 3915.
3. Balance as of 6/30/15 is from MTC FY2014-16 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
4. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 1/30/16.
5. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for AB 664, 2%, and 5% bridge toll revenues.

**FY 2016-17 FUND ESTIMATE**  
**AB1107 FUNDS**  
**AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX**

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FY2015-16 AB1107 Revenue Estimate					FY2016-17 AB1107 Estimate				
1. Original MTC Estimate (Feb, 15)				\$77,560,800	4. Projected Carryover (Feb, 16)				\$0
2. Actual Revenue (June, 16)				\$80,517,825	5. MTC Estimate (Feb, 16)				\$80,749,839
3. Revenue Adjustment (Lines 2-1)				\$2,957,025	6. Total Funds Available (Lines 4+5)				\$80,749,839
AB1107 APPORTIONMENT BY OPERATOR									
Column	A	B	C=Sum(A:B)	D	E	F	G=Sum(A:F)	H	I=Sum(G:H)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
SFMTA	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$80,517,826)</b>	<b>\$77,560,800</b>	<b>\$2,957,026</b>	<b>\$0</b>	<b>\$80,749,840</b>	<b>\$80,749,840</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE  
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES  
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

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**ARTICLE 4.5 & STA PARATRANSIT SUBAPPORTIONMENT**

Apportionment Jurisdictions	Alameda		Contra Costa	
	Article 4.5	STA Paratransit	Article 4.5	STA Paratransit
<b>Total Available</b>	<b>\$3,672,823</b>	<b>\$587,248</b>	<b>\$2,057,135</b>	<b>\$552,875</b>
AC Transit	\$3,356,663	\$526,590	\$661,581	\$180,519
LAVTA	\$124,830	\$28,787		
Pleasanton	\$67,921			
Union City	\$123,409	\$31,871		
CCCTA			\$784,093	\$240,260
ECCTA			\$439,445	\$106,756
WCCTA			\$172,017	\$25,340

**IMPLEMENTATION OF OPERATOR AGREEMENTS**

Fund Source	Apportionment Jurisdictions	Claimant	Amount <sup>1</sup>	Program
<b>Total Available BART STA Revenue-Based Funds</b>			<b>\$15,751,504</b>	
STA Revenue-Based	BART	AC Transit	(396,900)	Fare Coordination Set-Aside <sup>2</sup>
STA Revenue-Based	BART	CCCTA	(777,759)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(654,479)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(2,528,512)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,656,398)	BART Feeder Bus
<b>Total Payment</b>			<b>(7,014,048)</b>	
<b>Remaining BART STA Revenue-Based Funds</b>			<b>\$8,737,456</b>	
<b>Total Available BART TDA Article 4 Funds</b>			<b>\$347,388</b>	
TDA Article 4	BART-Alameda	LAVTA	(85,256)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(262,132)	BART Feeder Bus
<b>Total Payment</b>			<b>(347,388)</b>	
<b>Remaining BART TDA Article 4 Funds</b>			<b>\$0</b>	
<b>Total Available SamTrans STA Revenue-Based Funds</b>			<b>\$2,360,949</b>	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
<b>Total Payment</b>			<b>(801,024)</b>	
<b>Remaining SamTrans STA Revenue-Based Funds</b>			<b>\$1,559,925</b>	
<b>Total Available Union City TDA Article 4 Funds</b>			<b>\$7,435,252</b>	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
<b>Total Payment</b>			<b>(116,699)</b>	
<b>Remaining Union City TDA Article 4 Funds</b>			<b>\$7,318,553</b>	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

2. MTC holds funds in accordance with the BART-AC Transit Memorandum of Understanding on feeder/transfer payments. This amount represents the actual set-aside for FY 2016-17.

**FY 2016-17 FUND ESTIMATE  
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

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**PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION**

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-15	MTC Res-3833	MTC Res-3925	FY2016-17
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	2,691,476
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans <sup>1</sup>	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
<b>TOTAL</b>	<b>\$62,000,000</b>	<b>100%</b>	<b>\$6,395,361</b>	<b>\$0</b>	<b>\$0</b>	<b>\$30,951,976</b>	<b>\$21,980,390</b>

**FY 2016-17 FUND ESTIMATE**  
**CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)**

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*10/26/2016*

<b>FY2015-16 LCTOP Revenue Estimate<sup>1</sup></b>		<b>FY2016-17 LCTOP Revenue Estimate<sup>2</sup></b>	
1. Statewide Appropriation (Oct, 15)	\$75,000,000	5. Estimated Statewide Appropriation (June, 16)	\$100,000,000
2. MTC Region Revenue-Based Funding	\$20,890,977	6. Estimated MTC Region Revenue-Based Funding <sup>3</sup>	\$28,979,900
3. MTC Region Population-Based Funding	\$7,275,276	7. Estimated MTC Region Population-Based Funding <sup>3</sup>	\$9,700,368
<b>4. Total MTC Region Funds</b>	<b>\$28,166,253</b>	<b>8. Estimated Total MTC Region Funds</b>	<b>\$38,680,268</b>

1. The FY 2015-16 LCTOP revenue generation based on the State Controller's Office Low Carbon Transit Operations Program Allocation Summary of 10/30/2015. Only Population-Based funding totaling \$7,275,276 is expected to flow to MTC's accounts.

2. The FY 2016-17 LCTOP revenue generation based on the \$100 million estimated in the FY 2016-17 State Budget.

3. The FY 2016-17 LCTOP amounts for the Bay Area are subject to change pending updated distribution factors for the STA and LCTOP programs from the State Controller's Office.

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

October 12, 2016

Agenda Item 3a

**MTC Resolution Nos. 4220, Revised**

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**Subject:** Revise the FY 2016-17 Fund Estimate distribution of State Transit Assistance (STA) to reflect the latest distribution factors released by the State Controller's Office and actual STA receipts for FY 2015-16.

**Background:** **Reconcile Actual FY2015-16 STA Revenue:** The State Controller's Office (SCO) is expected to release a summary of actual statewide FY 2015-16 STA revenue on October 13, 2016 that is, based on preliminary information, 17% lower than the revenue estimate from May 2015 included in the adopted FY 2015-16 state budget (\$352 million vs. \$292 million). Total Bay Area STA revenue is expected to decrease by 14%, or roughly \$19 million over the May 2015 revenue estimate. The decrease in STA funding statewide is due to the 17% decrease in the price of diesel fuel in California since May 2015. STA funding is derived from a sales tax on diesel fuel and therefore revenue generations are closely tied to the price of diesel. Since FY 2013-14, the STA program's most recent revenue peak, statewide annual STA revenue has decreased by 28% or over \$116 million.

Overall the Bay Area is expected to receive 38% of total statewide STA funds, similar to the amount received in FY 2014-15. Staff anticipates updating the exact amounts in Resolution 4220, Revised before this item is presented to the Commission on October 26, 2016. The update is necessary to reflect the expected October 13, 2016 publication of final FY 2015-16 STA program revenues. Staff does not expect the STA numbers to differ significantly from what is shown in this item.

Due to the decrease in STA revenue flowing to the Bay Area approximately \$8 million in rescissions of FY 2015-16 STA funding is anticipated. Detailed jurisdiction by jurisdiction rescission amounts will be shared in the updated Fund Estimate item as presented to the Commission after the release of final FY 2015-16 STA program revenues.

**Update on STA Program Changes:** On September 13, 2016 Governor Brown signed SB 838 into law. This state transportation budget "trailer bill" included provisions recommended by the California Transit Association (CTA) and MTC that allow the SCO to apportion STA revenue-based funds in a method similar to its long established practice prior to 2016. Earlier this year, a legal challenge prompted the SCO to significantly alter its policies governing eligibility and distribution of STA Revenue-Based funds. The budget trailer bill affects STA funds for FY2015-16, FY 2016-17, and FY 2017-18. For a permanent solution, the CTA and MTC are working to introduce legislation in the 2017 legislative session. Any legislation will ideally take effect before the start of FY 2017-18. The proposed permanent solution legislation would simplify

eligibility requirements for STA Revenue-Based funding and clarify the types of revenue that are deemed “qualifying” for calculation of STA Revenue-Based transit operator share in keeping with SCO’s past practices.

**Recommendation:** Refer MTC Resolution No. 4220, Revised to the Commission for approval.

**Attachment:** Attachment A – STA Program Apportionments  
MTC Resolution No. 4220, Revised

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## Attachment A – STA Program Apportionments

Apportionment Jurisdictions	FY2015-16 Apportionments			FY2016-17 Apportionments		
	FY2015-16 Oct-15 Estimate	FY2015-16 Sep-16 Actual	FY2015-16 Adjustment \$	FY2016-17 May-16 Estimate	FY2016-17 Sep-16 Estimate	FY2016-17 Adjustment \$
ACCMA - Corresponding to ACE	\$206,925	\$204,084	(\$2,841)	\$70,225	\$184,548	\$114,323
City of Benicia	\$0	\$0	\$0	\$0	\$0	\$0
Caltrain	\$5,080,483	\$4,245,410	(\$835,073)	\$3,877,978	\$3,838,988	(\$38,990)
CCCTA	\$572,232	\$479,832	(\$92,400)	\$438,304	\$433,896	(\$4,408)
City of Dixon	\$4,541	\$3,723	(\$818)	\$3,400	\$3,366	(\$34)
ECCTA	\$262,324	\$222,225	(\$40,099)	\$202,992	\$200,950	(\$2,042)
City of Fairfield	\$102,833	\$93,769	(\$9,063)	\$85,654	\$84,792	(\$862)
GGBHTD	\$3,370,520	\$3,758,038	\$387,518	\$3,432,787	\$4,851,203	\$1,418,416
City of Healdsburg	(\$817)	\$394	\$0	\$360	(\$1,103)	(\$1,463)
LAVTA	\$243,609	\$193,954	(\$49,656)	\$177,167	\$175,386	(\$1,781)
Marin Transit	\$415,593	\$699,941	\$284,348	\$639,362	\$632,934	(\$6,428)
NCPTA	\$43,177	\$48,468	\$5,292	\$44,273	\$43,829	(\$444)
City of Petaluma	\$18,928	\$10,886	(\$8,042)	\$9,943	\$4,452	(\$5,491)
City of Rio Vista	\$1,622	\$856	(\$766)	\$782	(\$244)	(\$1,026)
SamTrans	\$3,702,361	\$2,610,895	(\$1,091,467)	\$2,384,927	\$2,360,949	(\$23,978)
City of Santa Rosa	\$129,441	\$106,568	(\$22,873)	\$97,345	\$96,365	(\$980)
Solano County Transit	\$267,981	\$218,922	(\$49,060)	\$199,974	\$197,964	(\$2,010)
Sonoma County Transit	\$149,398	\$115,385	(\$34,013)	\$105,399	\$104,339	(\$1,060)
City of Union City	\$41,710	\$32,812	(\$8,898)	\$29,972	\$29,671	(\$301)
VTA	\$11,344,085	\$10,045,239	(\$1,298,845)	\$9,175,843	\$9,083,587	(\$92,256)
VTA - Corresponding to ACE	\$233,697	\$218,473	(\$15,224)	\$83,745	\$197,558	\$113,813
WCCTA	\$293,997	\$251,465	(\$42,531)	\$229,702	\$227,393	(\$2,309)
WETA	\$1,173,991	\$1,032,957	(\$141,034)	\$943,556	\$934,069	(\$9,487)
<b>Subtotal - STA Revenue-Based</b>	<b>\$27,658,631</b>	<b>\$24,594,296</b>	<b>(\$3,065,546)</b>	<b>\$22,233,690</b>	<b>\$23,684,893</b>	<b>\$1,451,203</b>
AC Transit	\$8,990,972	\$7,597,770	(\$1,393,202)	\$6,938,796	\$6,856,021	(\$82,775)
BART	\$20,656,494	\$17,455,653	(\$3,200,842)	\$15,941,680	\$15,751,504	(\$190,176)
SFMTA	\$37,635,121	\$31,791,863	(\$5,843,257)	\$29,044,960	\$28,688,109	(\$356,851)
<b>Subtotal - STA Revenue-Based</b>	<b>\$67,282,587</b>	<b>\$56,845,286</b>	<b>(\$10,437,301)</b>	<b>\$51,925,436</b>	<b>\$51,295,634</b>	<b>(\$629,802)</b>
<b>Bay Area Revenue-Based Total</b>	<b>\$94,941,218</b>	<b>\$81,439,582</b>	<b>(\$13,501,636)</b>	<b>\$74,159,126</b>	<b>\$74,980,527</b>	<b>\$821,401</b>
<b>Statewide Revenue-Base Total</b>	<b>\$176,000,000</b>	<b>\$146,199,000</b>	<b>(\$29,801,000)</b>	<b>\$157,500,000</b>	<b>\$133,450,000</b>	<b>(\$24,050,000)</b>
<b>Bay Area Population-Based Total</b>	<b>\$34,015,180</b>	<b>\$28,363,683</b>	<b>(\$5,651,497)</b>	<b>\$30,498,902</b>	<b>\$25,890,283</b>	<b>(\$4,608,619)</b>
<b>Statewide Population-Based Total</b>	<b>\$176,000,000</b>	<b>\$146,199,000</b>	<b>(\$29,801,000)</b>	<b>\$157,500,000</b>	<b>\$133,450,000</b>	<b>(\$24,050,000)</b>



Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC  
Revised: 05/25/16-C  
07/27/16-C  
10/26/16-C

### ABSTRACT

#### Resolution No. 4220, Revised

This resolution approves the FY 2016-17 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), Assembly Bill (AB) 1107 sales tax, and transit-related bridge toll funds.

This resolution was revised on May 25, 2016 to incorporate estimated STA Revenue-based (PUC 99314) allocations by operator for FY 2016-17, to revise the STA Revenue-based forecast for FY 2015-16, and to incorporate adjustments to Transportation Development Act (TDA) balances to reflect transfers between TDA fund types.

This resolution was revised on July 27, 2016 to reflect actual receipts for TDA and AB 1107 funds in FY 2015-16, the rescission actions that were necessary to match FY 2015-16 allocations to the actual revenue collected, and the allocations of the excess revenue for FY 2015-16 per operator's requests.

This resolution was revised on October 26, 2016 to reflect actual receipts of STA in FY 2015-16, and the rescission actions that were necessary to match FY 2015-16 allocations to the actual revenue collected.

Further discussion of these actions is contained in the MTC Programming and Allocations Summary Sheets dated February 10, 2016, May 11, 2016, July 13, 2016, and October 12, 2016.

Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4220

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2015-16 and FY 2016-17 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2016-17 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and

WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2016-17 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2016-17 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in black ink, appearing to read 'Dave Cortese', is written over a horizontal line.

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on February 24, 2016.

**FY 2016-17 FUND ESTIMATE  
REGIONAL SUMMARY**

Attachment A  
Res No. 4220  
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10/26/2016

**TDA REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	<i>H=Sum(A:G)</i>
	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	FY2016-17	FY2016-17	FY2016-17
Apportionment Jurisdictions	Balance <sup>1</sup>	Outstanding Commitments, Refunds, & Interest <sup>2</sup>	Original Estimate	Revenue Adjustment	Revised Admin. & Planning Charge	Revenue Estimate	Admin. & Planning Charge	Available for Allocation
Alameda	17,720,078	(73,536,990)	73,546,000	1,930,254	(3,019,050)	76,110,000	(3,044,400)	89,705,891
Contra Costa	17,154,518	(45,186,892)	40,146,919	(830,419)	(1,572,660)	41,463,827	(1,658,553)	49,516,739
Marin	838,286	(13,022,714)	12,713,895	(22,591)	(507,652)	13,362,830	(534,513)	12,827,543
Napa	11,965,811	(15,126,553)	7,600,000	703,166	(332,127)	8,160,000	(326,400)	12,643,897
San Francisco	725,412	(45,971,809)	48,421,155	(1,296,339)	(1,884,993)	50,724,425	(2,028,977)	48,688,875
San Mateo	5,372,178	(37,490,591)	36,914,589	2,045,476	(1,558,403)	39,205,837	(1,568,233)	42,920,854
Santa Clara	6,183,338	(103,012,843)	102,299,000	2,375,202	(4,186,968)	108,772,000	(4,350,880)	108,078,849
Solano	14,703,366	(14,668,639)	17,358,114	222,742	(703,234)	17,773,436	(710,937)	33,974,847
Sonoma	9,938,332	(22,153,733)	22,900,000	(960,603)	(877,576)	22,800,000	(912,000)	30,734,421
<b>TOTAL</b>	<b>\$84,601,320</b>	<b>(\$370,170,764)</b>	<b>\$361,899,672</b>	<b>\$4,166,888</b>	<b>(\$14,642,663)</b>	<b>\$378,372,355</b>	<b>(\$15,134,893)</b>	<b>\$429,091,916</b>

**STA, AB 1107, BRIDGE TOLL, & LOW CARBON TRANSIT OPERATIONS PROGRAM REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>		<i>E=Sum(A:D)</i>
	6/30/2015	FY2014-16	FY2015-16	FY2016-17		FY2016-17
Fund Source	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>3</sup>	Revenue Estimate	Revenue Estimate		Available for Allocation
<b>State Transit Assistance</b>						
Revenue-Based	12,656,340	(92,358,977)	81,439,582	74,980,527		76,717,470
Population-Based	53,989,754	(48,589,618)	28,363,683	25,890,283		59,654,104
<b>SUBTOTAL</b>	<b>66,646,095</b>	<b>(140,948,595)</b>	<b>109,803,265</b>	<b>100,870,810</b>		<b>136,371,574</b>
<b>AB1107 - BART District Tax (25% Share)</b>	<b>0</b>	<b>(80,517,826)</b>	<b>80,517,825</b>	<b>80,749,840</b>		<b>80,749,840</b>
<b>Bridge Toll Total</b>						
AB 664 Bridge Revenues	82,611,091	(82,611,091)	2,300,000	2,300,000		2,300,000
MTC 2% Toll Revenue	5,948,691	(3,741,879)	1,450,000	1,450,000		5,106,812
5% State General Fund Revenue	8,356,827	(604,380)	3,210,892	3,243,001		14,206,340
<b>SUBTOTAL</b>	<b>96,916,609</b>	<b>(86,957,350)</b>	<b>6,960,892</b>	<b>6,993,001</b>		<b>21,613,152</b>
<b>Low Carbon Transit Operations Program</b>	<b>28,166,253</b>	<b>0</b>	<b>28,166,253</b>	<b>38,680,268</b>		<b>38,680,268</b>
<b>TOTAL</b>	<b>\$191,728,957</b>	<b>(\$308,423,771)</b>	<b>\$225,448,235</b>	<b>\$227,293,919</b>		<b>\$277,414,834</b>

Please see Attachment A pages 2-17 for detailed information on each fund source.

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**ALAMEDA COUNTY**

Attachment A  
Res No. 4220  
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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	73,546,000		13. County Auditor Estimate	76,110,000	
2. Actual Revenue (June, 16)	75,476,254		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		1,930,254	14. MTC Administration (0.5% of Line 13)	380,550	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	380,550	
4. MTC Administration (0.5% of Line 3)	9,651		16. MTC Planning (3.0% of Line 13)	2,283,300	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	9,651		17. Total Charges (Lines 14+15+16)	3,044,400	
6. MTC Planning (3.0% of Line 3)	57,908		18. TDA Generations Less Charges (Lines 13-17)	73,065,600	
7. Total Charges (Lines 4+5+6)		77,210	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		1,853,044	19. Article 3.0 (2.0% of Line 18)	1,461,312	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	71,604,288	
9. Article 3 Adjustment (2.0% of line 8)	37,061		21. Article 4.5 (5.0% of Line 20)	3,580,214	
10. Funds Remaining (Lines 8-9)		1,815,983	22. TDA Article 4 (Lines 20-21)	68,024,074	
11. Article 4.5 Adjustment (5.0% of Line 10)	90,799				
12. Article 4 Adjustment (Lines 10-11)		1,725,184			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,238,996	13,455	3,252,451	(3,595,113)	0	1,412,083	37,061	1,106,482	1,461,312	2,567,794
Article 4.5	26,073	1,220	27,293	(323,355)	(3,161,732)	3,459,604	90,799	92,609	3,580,214	3,672,823
<b>SUBTOTAL</b>	<b>3,265,069</b>	<b>14,675</b>	<b>3,279,744</b>	<b>(3,918,468)</b>	<b>(3,161,732)</b>	<b>4,871,687</b>	<b>127,860</b>	<b>1,199,091</b>	<b>5,041,526</b>	<b>6,240,617</b>
Article 4										
AC Transit										
District 1	6,771	1,710	8,481	(45,589,892)	3,161,732	42,419,679	1,113,327	1,113,327	43,864,335	44,977,662
District 2	1,880	297	2,177	(11,315,000)	0	11,315,940	296,993	300,109	11,669,120	11,969,229
BART <sup>4</sup>	5,136	16	5,153	(85,033)	0	79,882	2,097	2,098	83,158	85,256
LAVTA	9,692,902	28,266	9,721,169	(13,476,888)	4,316,718	8,899,101	233,562	9,693,662	9,304,213	18,997,875
Union City	4,748,319	18,071	4,766,390	(3,979,251)	447,788	3,017,872	79,206	4,332,004	3,103,248	7,435,252
<b>SUBTOTAL</b>	<b>14,455,009</b>	<b>48,361</b>	<b>14,503,369</b>	<b>(74,446,064)</b>	<b>7,926,238</b>	<b>65,732,473</b>	<b>1,725,184</b>	<b>15,441,200</b>	<b>68,024,074</b>	<b>83,465,274</b>
<b>GRAND TOTAL</b>	<b>\$17,720,078</b>	<b>\$63,036</b>	<b>\$17,783,113</b>	<b>(\$78,364,532)</b>	<b>\$4,764,506</b>	<b>\$70,604,160</b>	<b>\$1,853,044</b>	<b>\$16,640,291</b>	<b>\$73,065,600</b>	<b>\$89,705,891</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**CONTRA COSTA COUNTY**

Attachment A  
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10/26/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	40,146,919		13. County Auditor Estimate		41,463,827
2. Actual Revenue (June, 16)	39,316,500		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(830,419)	14. MTC Administration (0.5% of Line 13)	207,319	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	207,319	
4. MTC Administration (0.5% of Line 3)	(4,152)		16. MTC Planning (3.0% of Line 13)	1,243,915	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	(4,152)		17. Total Charges (Lines 14+15+16)		1,658,553
6. MTC Planning (3.0% of Line 3)	(24,913)		18. TDA Generations Less Charges (Lines 13-17)		39,805,274
7. Total Charges (Lines 4+5+6)		(33,217)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(797,202)	19. Article 3.0 (2.0% of Line 18)	796,105	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		39,009,169
9. Article 3 Adjustment (2.0% of line 8)	(15,944)		21. Article 4.5 (5.0% of Line 20)	1,950,458	
10. Funds Remaining (Lines 8-9)		(781,258)	22. TDA Article 4 (Lines 20-21)		37,058,711
11. Article 4.5 Adjustment (5.0% of Line 10)	(39,063)				
12. Article 4 Adjustment (Lines 10-11)		(742,195)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,236,685	440	1,237,125	(836,241)	0	770,821	(15,944)	1,155,762	796,105	1,951,867
Article 4.5	146,487	12	146,499	(1,241,739)	(647,531)	1,888,511	(39,063)	106,677	1,950,458	2,057,135
<b>SUBTOTAL</b>	<b>1,383,172</b>	<b>452</b>	<b>1,383,624</b>	<b>(2,077,980)</b>	<b>(647,531)</b>	<b>2,659,332</b>	<b>(55,007)</b>	<b>1,262,439</b>	<b>2,746,563</b>	<b>4,009,002</b>
Article 4										
AC Transit										
District 1	3,835	6	3,841	(6,695,817)	571,086	6,254,093	(129,363)	3,841	6,436,688	6,440,529
BART <sup>4</sup>	156	0	157	(245,724)	0	250,912	(5,190)	155	261,977	262,132
CCCTA	12,945,397	2,353	12,947,750	(24,393,594)	416,196	17,054,847	(352,771)	5,672,427	17,584,948	23,257,375
ECCTA	816,528	52	816,580	(9,939,397)	0	10,151,017	(209,969)	818,231	10,537,184	11,355,415
WCCTA	2,005,431	350	2,005,781	(2,803,045)	625,699	2,170,840	(44,903)	1,954,372	2,237,914	4,192,286
<b>SUBTOTAL</b>	<b>15,771,347</b>	<b>2,762</b>	<b>15,774,109</b>	<b>(44,077,577)</b>	<b>1,612,981</b>	<b>35,881,709</b>	<b>(742,195)</b>	<b>8,449,026</b>	<b>37,058,711</b>	<b>45,507,737</b>
<b>GRAND TOTAL</b>	<b>\$17,154,518</b>	<b>\$3,215</b>	<b>\$17,157,733</b>	<b>(\$46,155,557)</b>	<b>\$965,450</b>	<b>\$38,541,041</b>	<b>(\$797,202)</b>	<b>\$9,711,465</b>	<b>\$39,805,274</b>	<b>\$49,516,739</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

4. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**MARIN COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		12,713,895	13. County Auditor Estimate		13,362,830
2. Actual Revenue (June, 16)		12,691,304	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(22,591)	14. MTC Administration (0.5% of Line 13)		66,814
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		66,814
4. MTC Administration (0.5% of Line 3)		(113)	16. MTC Planning (3.0% of Line 13)		400,885
5. County Administration (Up to 0.5% of Line 3)		(113)	17. Total Charges (Lines 14+15+16)		534,513
6. MTC Planning (3.0% of Line 3)		(678)	18. TDA Generations Less Charges (Lines 13-17)		12,828,317
7. Total Charges (Lines 4+5+6)		(904)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(21,687)	19. Article 3.0 (2.0% of Line 18)		256,566
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		12,571,751
9. Article 3 Adjustment (2.0% of line 8)		(434)	21. Article 4.5 (5.0% of Line 20)		0
10. Funds Remaining (Lines 8-9)		(21,253)	22. TDA Article 4 (Lines 20-21)		12,571,751
11. Article 4.5 Adjustment (5.0% of Line 10)		0			
12. Article 4 Adjustment (Lines 10-11)		(21,253)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>4</sup>	Revenue Estimate	Available for Allocation
Article 3	417,608	4,066	421,673	(665,748)	0	244,107	(434)	(401)	256,566	256,165
Article 4.5										
<b>SUBTOTAL</b>	<b>417,608</b>	<b>4,066</b>	<b>421,673</b>	<b>(665,748)</b>	<b>0</b>	<b>244,107</b>	<b>(434)</b>	<b>(401)</b>	<b>256,566</b>	<b>256,165</b>
Article 4/8										
GGBHTD <sup>3</sup>	420,679	872	421,551	(12,361,904)	0	11,961,233	(21,253)	(235)	7,931,518	7,931,282
Marin Transit <sup>3</sup>	0	0	0	0	0	0	0	(138)	4,640,233	4,640,096
<b>SUBTOTAL</b>	<b>420,679</b>	<b>872</b>	<b>421,551</b>	<b>(12,361,904)</b>	<b>0</b>	<b>11,961,233</b>	<b>(21,253)</b>	<b>(373)</b>	<b>12,571,751</b>	<b>12,571,378</b>
<b>GRAND TOTAL</b>	<b>\$838,286</b>	<b>\$4,938</b>	<b>\$843,224</b>	<b>(\$13,027,652)</b>	<b>\$0</b>	<b>\$12,205,340</b>	<b>(\$21,687)</b>	<b>(\$774)</b>	<b>\$12,828,317</b>	<b>\$12,827,543</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Prior to FY 2016-17 GGBHTD was authorized to claim 100% of the apportionments in Marin County. Per agreement between GGBHTD and MCTD from FY 2016-17 forward both agencies will claim funds.

4. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**NAPA COUNTY**

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FY2015-16 TDA Revenue Estimate					FY2016-17 TDA Revenue Estimate					
<b>FY2015-16 Generation Estimate Adjustment</b>					<b>FY2016-17 County Auditor's Generation Estimate</b>					
1. Original County Auditor Estimate (Feb, 15)			7,600,000		13. County Auditor Estimate				8,160,000	
2. Actual Revenue (June, 16)			8,303,166		<b>FY2016-17 Planning and Administration Charges</b>					
3. Revenue Adjustment (Lines 2-1)				703,166	14. MTC Administration (0.5% of Line 13)			40,800		
<b>FY2015-16 Planning and Administration Charges Adjustment</b>					15. County Administration (0.5% of Line 13)			40,800		
4. MTC Administration (0.5% of Line 3)			3,516		16. MTC Planning (3.0% of Line 13)			244,800		
5. County Administration (Up to 0.5% of Line 3)			3,516		17. Total Charges (Lines 14+15+16)				326,400	
6. MTC Planning (3.0% of Line 3)			21,095		18. TDA Generations Less Charges (Lines 13-17)				7,833,600	
7. Total Charges (Lines 4+5+6)				28,127	<b>FY2016-17 TDA Apportionment By Article</b>					
8. Adjusted Generations Less Charges (Lines 3-7)				675,039	19. Article 3.0 (2.0% of Line 18)			156,672		
<b>FY2015-16 TDA Adjustment By Article</b>					20. Funds Remaining (Lines 18-19)				7,676,928	
9. Article 3 Adjustment (2.0% of line 8)			13,501		21. Article 4.5 (5.0% of Line 20)			383,846		
10. Funds Remaining (Lines 8-9)				661,538	22. TDA Article 4 (Lines 20-21)				7,293,082	
11. Article 4.5 Adjustment (5.0% of Line 10)			33,077							
12. Article 4 Adjustment (Lines 10-11)				628,461						
<b>TDA APPORTIONMENT BY JURISDICTION</b>										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	496,722	2,847	499,569	(421,689)	0	145,920	13,501	237,301	156,672	393,973
Article 4.5	56,757	73	56,829	(401,127)	0	357,504	33,077	46,283	383,846	430,129
SUBTOTAL	553,479	2,919	556,398	(822,816)	0	503,424	46,578	283,584	540,518	824,102
Article 4/8										
NVTA <sup>3</sup>	11,412,332	47,046	11,459,378	(15,607,662)	1,253,960	6,792,576	628,461	4,526,713	7,293,082	11,819,795
SUBTOTAL	11,412,332	47,046	11,459,378	(15,607,662)	1,253,960	6,792,576	628,461	4,526,713	7,293,082	11,819,795
GRAND TOTAL	\$11,965,811	\$49,965	\$12,015,776	(\$16,430,478)	\$1,253,960	\$7,296,000	\$675,039	\$4,810,297	\$7,833,600	\$12,643,897

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.



**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN FRANCISCO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		48,421,155	13. County Auditor Estimate		50,724,425
2. Actual Revenue (June, 16)		47,124,816	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(1,296,339)	14. MTC Administration (0.5% of Line 13)		253,622
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		253,622
4. MTC Administration (0.5% of Line 3)		(6,482)	16. MTC Planning (3.0% of Line 13)		1,521,733
5. County Administration (Up to 0.5% of Line 3)		(6,482)	17. Total Charges (Lines 14+15+16)		2,028,977
6. MTC Planning (3.0% of Line 3)		(38,890)	18. TDA Generations Less Charges (Lines 13-17)		48,695,448
7. Total Charges (Lines 4+5+6)		(51,854)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,244,485)	19. Article 3.0 (2.0% of Line 18)		973,909
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		47,721,539
9. Article 3 Adjustment (2.0% of line 8)		(24,890)	21. Article 4.5 (5.0% of Line 20)		2,386,077
10. Funds Remaining (Lines 8-9)		(1,219,595)	22. TDA Article 4 (Lines 20-21)		45,335,462
11. Article 4.5 Adjustment (5.0% of Line 10)		(60,980)			
12. Article 4 Adjustment (Lines 10-11)		(1,158,615)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>3</sup>	Revenue Estimate	Available for Allocation
Article 3	730,000	13,007	743,007	(1,656,353)	0	929,686	(24,890)	(8,550)	973,909	965,359
Article 4.5	(385)	618	233	61,539	(2,278,290)	2,277,731	(60,980)	233	2,386,077	2,386,310
<b>SUBTOTAL</b>	<b>729,615</b>	<b>13,625</b>	<b>743,240</b>	<b>(1,594,814)</b>	<b>(2,278,290)</b>	<b>3,207,417</b>	<b>(85,870)</b>	<b>(8,317)</b>	<b>3,359,986</b>	<b>3,351,669</b>
Article 4										
SFMTA	(4,203)	5,945	1,743	(44,396,565)	2,278,290	43,276,891	(1,158,615)	1,744	45,335,462	45,337,206
<b>SUBTOTAL</b>	<b>(4,203)</b>	<b>5,945</b>	<b>1,743</b>	<b>(44,396,565)</b>	<b>2,278,290</b>	<b>43,276,891</b>	<b>(1,158,615)</b>	<b>1,744</b>	<b>45,335,462</b>	<b>45,337,206</b>
<b>GRAND TOTAL</b>	<b>\$725,412</b>	<b>\$19,571</b>	<b>\$744,983</b>	<b>(\$45,991,379)</b>	<b>\$0</b>	<b>\$46,484,308</b>	<b>(\$1,244,485)</b>	<b>(\$6,573)</b>	<b>\$48,695,448</b>	<b>\$48,688,875</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SAN MATEO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	36,914,589		13. County Auditor Estimate	39,205,837	
2. Actual Revenue (June, 16)	38,960,065		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)	2,045,476		14. MTC Administration (0.5% of Line 13)	196,029	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	196,029	
4. MTC Administration (0.5% of Line 3)	10,227		16. MTC Planning (3.0% of Line 13)	1,176,175	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	10,227		17. Total Charges (Lines 14+15+16)	1,568,233	
6. MTC Planning (3.0% of Line 3)	61,364		18. TDA Generations Less Charges (Lines 13-17)	37,637,604	
7. Total Charges (Lines 4+5+6)	81,818		<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)	1,963,658		19. Article 3.0 (2.0% of Line 18)	752,752	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	36,884,852	
9. Article 3 Adjustment (2.0% of line 8)	39,273		21. Article 4.5 (5.0% of Line 20)	1,844,243	
10. Funds Remaining (Lines 8-9)	1,924,385		22. TDA Article 4 (Lines 20-21)	35,040,609	
11. Article 4.5 Adjustment (5.0% of Line 10)	96,219				
12. Article 4 Adjustment (Lines 10-11)	1,828,166				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,201,159	42,332	3,243,491	(3,554,875)	0	708,760	39,273	436,649	752,752	1,189,401
Article 4.5	184,358	323	184,681	(1,771,554)	0	1,736,462	96,219	245,808	1,844,243	2,090,051
<b>SUBTOTAL</b>	<b>3,385,516</b>	<b>42,656</b>	<b>3,428,172</b>	<b>(5,326,429)</b>	<b>0</b>	<b>2,445,222</b>	<b>135,492</b>	<b>682,457</b>	<b>2,596,995</b>	<b>3,279,452</b>
Article 4										
SamTrans	1,986,662	5,905	1,992,567	(32,212,723)	0	32,992,783	1,828,166	4,600,793	35,040,609	39,641,402
<b>SUBTOTAL</b>	<b>1,986,662</b>	<b>5,905</b>	<b>1,992,567</b>	<b>(32,212,723)</b>	<b>0</b>	<b>32,992,783</b>	<b>1,828,166</b>	<b>4,600,793</b>	<b>35,040,609</b>	<b>39,641,402</b>
<b>GRAND TOTAL</b>	<b>\$5,372,178</b>	<b>\$48,561</b>	<b>\$5,420,739</b>	<b>(\$37,539,152)</b>	<b>\$0</b>	<b>\$35,438,005</b>	<b>\$1,963,658</b>	<b>\$5,283,250</b>	<b>\$37,637,604</b>	<b>\$42,920,854</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SANTA CLARA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	102,299,000		13. County Auditor Estimate	108,772,000	
2. Actual Revenue (June, 16)	104,674,202		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)	2,375,202		14. MTC Administration (0.5% of Line 13)	543,860	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	543,860	
4. MTC Administration (0.5% of Line 3)	11,876		16. MTC Planning (3.0% of Line 13)	3,263,160	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	11,876		17. Total Charges (Lines 14+15+16)	4,350,880	
6. MTC Planning (3.0% of Line 3)	71,256		18. TDA Generations Less Charges (Lines 13-17)	104,421,120	
7. Total Charges (Lines 4+5+6)	95,008		<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)	2,280,194		19. Article 3.0 (2.0% of Line 18)	2,088,422	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	102,332,698	
9. Article 3 Adjustment (2.0% of line 8)	45,604		21. Article 4.5 (5.0% of Line 20)	5,116,635	
10. Funds Remaining (Lines 8-9)	2,234,590		22. TDA Article 4 (Lines 20-21)	97,216,063	
11. Article 4.5 Adjustment (5.0% of Line 10)	111,730				
12. Article 4 Adjustment (Lines 10-11)	2,122,860				

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,351,090	29,759	5,380,849	(6,804,884)		1,964,141	45,604	585,710	2,088,422	2,674,132
Article 4.5	41,460	195	41,655	0	(4,812,145)	4,812,145	111,730	153,385	5,116,635	5,270,020
<b>SUBTOTAL</b>	<b>5,392,551</b>	<b>29,953</b>	<b>5,422,504</b>	<b>(6,804,884)</b>	<b>(4,812,145)</b>	<b>6,776,286</b>	<b>157,334</b>	<b>739,095</b>	<b>7,205,057</b>	<b>7,944,152</b>
Article 4										
VTA	790,787	4,986	795,774	(96,242,899)	4,812,145	91,430,754	2,122,860	2,918,634	97,216,063	100,134,697
<b>SUBTOTAL</b>	<b>790,787</b>	<b>4,986</b>	<b>795,774</b>	<b>(96,242,899)</b>	<b>4,812,145</b>	<b>91,430,754</b>	<b>2,122,860</b>	<b>2,918,634</b>	<b>97,216,063</b>	<b>100,134,697</b>
<b>GRAND TOTAL</b>	<b>\$6,183,338</b>	<b>\$34,939</b>	<b>\$6,218,277</b>	<b>(\$103,047,783)</b>	<b>\$0</b>	<b>\$98,207,040</b>	<b>\$2,280,194</b>	<b>\$3,657,729</b>	<b>\$104,421,120</b>	<b>\$108,078,849</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SOLANO COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		17,358,114	13. County Auditor Estimate		17,773,436
2. Actual Revenue (June, 16)		17,580,856	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		222,742	14. MTC Administration (0.5% of Line 13)		88,867
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		88,867
4. MTC Administration (0.5% of Line 3)		1,114	16. MTC Planning (3.0% of Line 13)		533,203
5. County Administration (Up to 0.5% of Line 3)		1,114	17. Total Charges (Lines 14+15+16)		710,937
6. MTC Planning (3.0% of Line 3)		6,682	18. TDA Generations Less Charges (Lines 13-17)		17,062,499
7. Total Charges (Lines 4+5+6)		8,910	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		213,832	19. Article 3.0 (2.0% of Line 18)		341,250
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		16,721,249
9. Article 3 Adjustment (2.0% of line 8)		4,277	21. Article 4.5 (5.0% of Line 20)		0
10. Funds Remaining (Lines 8-9)		209,555	22. TDA Article 4 (Lines 20-21)		16,721,249
11. Article 4.5 Adjustment (5.0% of Line 10)		0			
12. Article 4 Adjustment (Lines 10-11)		209,555			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	774,067	3,926	777,993	(968,029)	0	333,276	4,277	147,517	341,250	488,767
Article 4.5										
<b>SUBTOTAL</b>	<b>774,067</b>	<b>3,926</b>	<b>777,993</b>	<b>(968,029)</b>	<b>0</b>	<b>333,276</b>	<b>4,277</b>	<b>147,517</b>	<b>341,250</b>	<b>488,767</b>
Article 4/8										
Dixon	856,366	3,219	859,586	(567,866)	0	734,437	9,424	1,035,581	745,767	1,781,348
Fairfield	2,763,699	12,241	2,775,940	(5,837,751)	0	4,251,582	54,557	1,244,327	4,355,601	5,599,928
Rio Vista	243,865	1,902	245,767	(334,129)	75,432	306,605	3,934	297,610	318,930	616,540
Solano County	913,414	4,404	917,818	(510,125)	0	741,586	9,516	1,158,796	753,163	1,911,959
Suisun City	158,218	370	158,588	(1,233,922)	0	1,103,260	14,157	42,083	1,124,528	1,166,611
Vacaville	6,367,758	28,785	6,396,543	(3,187,689)	0	3,617,620	46,422	6,872,896	3,686,482	10,559,378
Vallejo/Benicia <sup>4</sup>	2,625,978	11,206	2,637,184	(7,176,068)	5,005,454	5,575,423	71,544	6,113,538	5,736,777	11,850,315
<b>SUBTOTAL</b>	<b>13,929,299</b>	<b>62,128</b>	<b>13,991,427</b>	<b>(18,847,550)</b>	<b>5,080,886</b>	<b>16,330,513</b>	<b>209,555</b>	<b>16,764,831</b>	<b>16,721,249</b>	<b>33,486,080</b>
<b>GRAND TOTAL</b>	<b>\$14,703,366</b>	<b>\$66,054</b>	<b>\$14,769,419</b>	<b>(\$19,815,578)</b>	<b>\$5,080,886</b>	<b>\$16,663,789</b>	<b>\$213,832</b>	<b>\$16,912,348</b>	<b>\$17,062,499</b>	<b>\$33,974,847</b>

- Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
- The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
- Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.
- Beginning in FY2012-13, the Benicia apportionment area is combined with Vallejo, and available for SolTrans to claim.

**FY 2016-17 FUND ESTIMATE**  
**TRANSPORTATION DEVELOPMENT ACT FUNDS**  
**SONOMA COUNTY**

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FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)		22,900,000	13. County Auditor Estimate		22,800,000
2. Actual Revenue (June, 16)		21,939,397	<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(960,603)	14. MTC Administration (0.5% of Line 13)		114,000
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		114,000
4. MTC Administration (0.5% of Line 3)		(4,803)	16. MTC Planning (3.0% of Line 13)		684,000
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>		(4,803)	17. Total Charges (Lines 14+15+16)		912,000
6. MTC Planning (3.0% of Line 3)		(28,818)	18. TDA Generations Less Charges (Lines 13-17)		21,888,000
7. Total Charges (Lines 4+5+6)		(38,424)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(922,179)	19. Article 3.0 (2.0% of Line 18)		437,760
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		21,450,240
9. Article 3 Adjustment (2.0% of line 8)		(18,444)	21. Article 4.5 (5.0% of Line 20)		0
10. Funds Remaining (Lines 8-9)		(903,735)	22. TDA Article 4 (Lines 20-21)		21,450,240
11. Article 4.5 Adjustment (5.0% of Line 10)		0			
12. Article 4 Adjustment (Lines 10-11)		(903,735)			

TDA APPORTIONMENT BY JURISDICTION										
Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>6</sup>	Revenue Estimate	Available for Allocation
Article 3	1,525,093	8,385	1,533,478	(1,252,449)	0	439,680	(18,444)	702,265	437,760	1,140,025
Article 4.5										
<b>SUBTOTAL</b>	<b>1,525,093</b>	<b>8,385</b>	<b>1,533,478</b>	<b>(1,252,449)</b>	<b>0</b>	<b>439,680</b>	<b>(18,444)</b>	<b>702,265</b>	<b>437,760</b>	<b>1,140,025</b>
Article 4/8										
GGBHTD <sup>4</sup>	48,217	2,654	50,872	(5,219,169)	0	5,386,080	(225,934)	(8,151)	5,362,560	5,354,409
Petaluma	974,118	2,463	976,580	(1,993,246)	0	1,843,755	(77,341)	749,748	1,830,846	2,580,594
Santa Rosa	1,012,333	30,852	1,043,186	(6,430,490)	3,276,135	5,608,140	(235,249)	3,261,722	5,610,668	8,872,390
Sonoma County/Healdsburg <sup>5</sup>	6,378,571	19,108	6,397,678	(11,475,863)	877,888	8,706,345	(365,211)	4,140,837	8,646,166	12,787,003
<b>SUBTOTAL</b>	<b>8,413,239</b>	<b>55,077</b>	<b>8,468,316</b>	<b>(25,118,768)</b>	<b>4,154,022</b>	<b>21,544,320</b>	<b>(903,735)</b>	<b>8,144,156</b>	<b>21,450,240</b>	<b>29,594,396</b>
<b>GRAND TOTAL</b>	<b>\$9,938,332</b>	<b>\$63,462</b>	<b>\$10,001,794</b>	<b>(\$26,371,217)</b>	<b>\$4,154,022</b>	<b>\$21,984,000</b>	<b>(\$922,179)</b>	<b>\$8,846,421</b>	<b>\$21,888,000</b>	<b>\$30,734,421</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
4. Apportionment to GGBHTD is 25-percent of Sonoma County's total Article 4/8 TDA funds.
5. Beginning in FY2012-13, the Healdsburg apportionment area is combined with Sonoma County.
6. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
REVENUE-BASED FUNDS (PUC 99314)**

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FY2015-16 STA Revenue Estimate		FY2016-17 STA Revenue Estimate	
1. State Estimate (May, 16)	\$82,689,232	4. Projected Carryover (May, 16)	\$1,736,943
2. Actual Revenue (Oct, 16)	\$81,439,582	5. State Estimate (Oct, 16)	\$74,980,527
3. Revenue Adjustment (Lines 2-1)	(\$1,249,650)	6. Total Funds Available (Lines 4+5)	\$76,717,470

**STA REVENUE-BASED APPORTIONMENT BY OPERATOR**

Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance (w/interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Actual Revenue	Projected Carryover <sup>3</sup>	Revenue Estimate <sup>4</sup>	Available For Allocation
ACCM - Corresponding to ACE	429,655	(450,000)	204,084	183,739	184,548	368,287
Caltrain	487,279	(5,046,388)	4,245,410	(313,700)	3,838,988	3,525,288
CCCTA	9	(568,378)	479,832	(88,537)	433,896	345,359
City of Dixon	4,930	0	3,723	8,653	3,366	12,019
ECCTA	2	(260,539)	222,225	(38,312)	200,950	162,638
City of Fairfield	16,405	(102,080)	93,769	8,094	84,792	92,886
GGBHTD	9	(3,370,520)	3,758,038	387,527	4,851,203	5,238,730
City of Healdsburg	376	0	394	769	(1,103)	(334)
LAVTA	199,818	(199,577)	193,954	194,195	175,386	369,581
Marin Transit	1,406,662	(1,009,970)	699,941	1,096,633	632,934	1,729,567
NVTA	5	(42,788)	48,468	5,686	43,829	49,515
City of Petaluma	(7,312)	(18,795)	10,886	(15,222)	4,452	(10,770)
City of Rio Vista	0	0	856	856	(244)	612
SamTrans	1	(3,150,135)	2,610,895	(539,240)	2,360,949	1,821,709
City of Santa Rosa	140,746	(128,585)	106,568	118,729	96,365	215,094
Solano County Transit	0	(190,279)	218,922	28,643	197,964	226,607
Sonoma County Transit	44,800	(66,975)	115,385	93,210	104,339	197,549
City of Union City	1	(36,000)	32,812	(3,187)	29,671	26,484
VTA	922,200	(11,263,409)	10,045,239	(295,970)	9,083,587	8,787,617
VTA - Corresponding to ACE	47,826	(231,943)	218,473	34,356	197,558	231,914
WCCTA	6	(270,690)	251,465	(19,218)	227,393	208,175
WETA	3,912,726	0	1,032,957	4,945,682	934,069	5,879,751
<b>SUBTOTAL</b>	<b>7,606,143</b>	<b>(26,407,051)</b>	<b>24,594,296</b>	<b>5,793,386</b>	<b>23,684,893</b>	<b>29,478,279</b>
AC Transit	1,332,353	(8,045,389)	7,597,770	884,735	6,856,021	7,740,756
BART	2,427,827	(21,526,840)	17,455,653	(1,643,361)	15,751,504	14,108,143
SFMTA	1,290,017	(36,379,697)	31,791,863	(3,297,817)	28,688,109	25,390,292
<b>SUBTOTAL</b>	<b>5,050,197</b>	<b>(65,951,926)</b>	<b>56,845,286</b>	<b>(4,056,443)</b>	<b>51,295,634</b>	<b>47,239,191</b>
<b>GRAND TOTAL</b>	<b>\$12,656,340</b>	<b>(\$92,358,977)</b>	<b>\$81,439,582</b>	<b>\$1,736,943</b>	<b>\$74,980,527</b>	<b>\$76,717,470</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
3. Projected carryover as of 6/30/16 does not include interest accrued in FY2015-16. Negative carryover amounts shown are primarily a result of lower than expected revenues.
4. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313)**

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FY2015-16 STA Revenue Estimate			FY2016-17 STA Revenue Estimate			
1. State Revised Estimate (May, 16)	\$28,799,198		4. Projected Carryover (May, 16)	\$33,763,821		
2. Actual Revenue (Oct, 16)	\$28,363,683		5. State Estimate <sup>4</sup> (Oct, 16)	\$25,890,283		
3. Revenue Adjustment (Lines 2-1)	(\$435,515)		6. Total Funds Available (Lines 4+5)	\$59,654,104		
STA POPULATION-BASED APPORTIONMENT BY JURISDICTION & OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance	Outstanding	Actual	Projected	Revenue	Available For
	(w/interest) <sup>1</sup>	Commitments <sup>2</sup>	Revenue	Carryover <sup>3</sup>	Estimate <sup>4</sup>	Allocation
Northern Counties/Small Operators						
Marin	81,537	(1,094,305)	842,893	(169,875)	768,516	598,641
Napa	41,253	(547,351)	455,511	(50,587)	415,316	364,729
Solano/Vallejo <sup>5</sup>	4,345,719	(1,711,160)	1,371,801	4,006,360	1,250,753	5,257,113
Sonoma	154,310	(2,329,698)	1,612,121	(563,267)	1,469,867	906,600
CCCTA	144,556	(2,004,761)	1,597,877	(262,329)	1,456,880	1,194,551
ECCTA	88,114	(1,159,791)	965,189	(106,488)	880,020	773,532
LAVTA	910,297	(884,220)	660,327	686,403	602,059	1,288,462
Union City	155,508	(195,686)	231,166	190,988	210,768	401,756
WCCTA	19,283	(267,089)	212,881	(34,925)	194,096	159,171
SUBTOTAL	5,940,577	(10,194,061)	7,949,764	3,696,280	7,248,275	10,944,555
Regional Paratransit						
Alameda	31,558	(1,113,062)	872,888	(208,616)	795,864	587,248
Contra Costa	42,344	(670,750)	617,902	(10,504)	563,379	552,875
Marin	4,470	(147,718)	119,222	(24,026)	108,702	84,676
Napa	8,753	(116,182)	96,688	(10,741)	88,156	77,415
San Francisco	25,924	(832,201)	692,566	(113,711)	631,454	517,743
San Mateo	30,922	(410,315)	341,468	(37,925)	311,337	273,412
Santa Clara	88,454	(1,175,189)	978,003	(108,732)	891,704	782,972
Solano	902,071	(445,000)	267,002	724,073	243,442	967,515
Sonoma	42,703	(459,545)	382,438	(34,404)	348,692	314,288
SUBTOTAL	1,177,199	(5,369,962)	4,368,177	175,414	3,982,729	4,158,144
Lifeline						
Alameda	5,080,482	(5,841,385)	1,467,863	706,960	1,689,721	2,396,681
Contra Costa	2,864,977	(2,990,587)	1,269,891	1,144,281	1,068,509	2,212,790
Marin	556,377	(265,568)	200,585	491,394	195,613	687,007
Napa	463,078	(471,543)	118,759	110,294	151,720	262,014
San Francisco	3,909,710	(4,242,025)	823,155	490,840	935,481	1,426,321
San Mateo	1,637,260	0	815,731	2,452,991	629,074	3,082,065
Santa Clara	5,077,735	(1,550,000)	1,610,841	5,138,576	1,725,178	6,863,754
Solano	733,154	(821,186)	607,329	519,297	477,758	997,055
Sonoma	1,690,827	(443,268)	604,740	1,852,299	588,692	2,440,991
MTC Mean-Based Discount Project	307,529	(100,000)	665,000	872,529	0	872,529
JARC Funding Restoration <sup>6</sup>	550,842	0	0	550,842	0	550,842
SUBTOTAL	22,871,972	(16,725,562)	8,183,894	14,330,303	7,461,746	21,792,049
MTC Regional Coordination Program <sup>7</sup>	23,631,214	(16,300,032)	7,528,515	14,859,697	6,864,199	21,723,896
BART to Warm Springs	328,985	0	0	328,985	0	328,985
eBART	1,029	0	0	1,029	0	1,029
Transit Emergency Service Contingency Fund <sup>8</sup>	0	0	333,333	333,333	333,333	666,666
SamTrans	38,780	0	0	38,780	0	38,780
GRAND TOTAL	\$53,989,754	(\$48,589,618)	\$28,363,683	\$33,763,821	\$25,890,283	\$59,654,104

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. The projected carryover as of 6/30/2016 does not include interest accrued in FY 2015-16.

4. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

5. Beginning in FY2008-09, the Vallejo allocation is combined with Solano, as per MTC Resolution 3837.

6. Includes 2/26/14 Commission action to re-assign \$1.1 million in FY 2014-15 Lifeline funds, and re-assigning \$693,696 of MTC's Means-Based Discount Project balance.

7. Committed to Clipper® and other MTC Customer Service projects.

8. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program.

**FY 2016-17 FUND ESTIMATE**  
**BRIDGE TOLLS<sup>1,2</sup>**

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**BRIDGE TOLL APPORTIONMENT BY CATEGORY**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=D+E</i>
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Fund Source	Balance <sup>3</sup>	Outstanding Commitments <sup>4</sup>	Programming Amount <sup>5</sup>	Projected Carryover	Programming Amount <sup>5</sup>	Available for Allocation
<b>AB 664 Bridge Revenues</b>						
70% East Bay	26,507,686	(26,507,686)	1,600,000	1,600,000	1,600,000	3,200,000
30% West Bay	56,103,405	(56,103,405)	700,000	700,000	700,000	1,400,000
<b>SUBTOTAL</b>	<b>82,611,091</b>	<b>(82,611,091)</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>
<b>MTC 2% Toll Revenues</b>						
Ferry Capital	4,302,443	(2,347,036)	1,000,000	2,955,407	1,000,000	3,955,407
ABAG Bay Trail	28,405	(478,405)	450,000	0	450,000	450,000
SMART	828,544	(828,544)	0	0	0	0
Studies	789,299	(87,894)	0	701,405	0	701,405
<b>SUBTOTAL</b>	<b>5,948,691</b>	<b>(3,741,879)</b>	<b>1,450,000</b>	<b>3,656,812</b>	<b>1,450,000</b>	<b>5,106,812</b>
<b>5% State General Fund Revenues</b>						
Ferry	8,356,827	(339,000)	2,945,512	10,963,339	2,977,621	13,940,960
ABAG Bay Trail	0	(265,380)	265,380	0	265,380	265,380
<b>SUBTOTAL</b>	<b>8,356,827</b>	<b>(604,380)</b>	<b>3,210,892</b>	<b>10,963,339</b>	<b>3,243,001</b>	<b>14,206,340</b>

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The AB 664, RM1, and MTC 2% Toll Revenues, listed above, commencing in FY2010-11, are funded from this payment.
2. RM1 90% Rail Extension allocation is made through MTC Resolutions 3833 and 3915.
3. Balance as of 6/30/15 is from MTC FY2014-16 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
4. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 1/30/16.
5. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for AB 664, 2%, and 5% bridge toll revenues.



**FY 2016-17 FUND ESTIMATE**  
**AB1107 FUNDS**  
**AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX**

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FY2015-16 AB1107 Revenue Estimate					FY2016-17 AB1107 Estimate				
1. Original MTC Estimate (Feb, 15)				\$77,560,800	4. Projected Carryover (Feb, 16)				\$0
2. Actual Revenue (June, 16)				\$80,517,825	5. MTC Estimate (Feb, 16)				\$80,749,839
3. Revenue Adjustment (Lines 2-1)				\$2,957,025	6. Total Funds Available (Lines 4+5)				\$80,749,839
AB1107 APPORTIONMENT BY OPERATOR									
Column	A	B	C=Sum(A:B)	D	E	F	G=Sum(A:F)	H	I=Sum(G:H)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
SFMTA	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$80,517,826)</b>	<b>\$77,560,800</b>	<b>\$2,957,026</b>	<b>\$0</b>	<b>\$80,749,840</b>	<b>\$80,749,840</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE  
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES  
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

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**ARTICLE 4.5 & STA PARATRANSIT SUBAPPORTIONMENT**

Apportionment Jurisdictions	Alameda		Contra Costa	
	Article 4.5	STA Paratransit	Article 4.5	STA Paratransit
<b>Total Available</b>	<b>\$3,672,823</b>	<b>\$587,248</b>	<b>\$2,057,135</b>	<b>\$552,875</b>
AC Transit	\$3,356,663	\$526,590	\$661,581	\$180,519
LAVTA	\$124,830	\$28,787		
Pleasanton	\$67,921			
Union City	\$123,409	\$31,871		
CCCTA			\$784,093	\$240,260
ECCTA			\$439,445	\$106,756
WCCTA			\$172,017	\$25,340

**IMPLEMENTATION OF OPERATOR AGREEMENTS**

Fund Source	Apportionment Jurisdictions	Claimant	Amount <sup>1</sup>	Program
<b>Total Available BART STA Revenue-Based Funds</b>			<b>\$15,751,504</b>	
STA Revenue-Based	BART	AC Transit	(396,900)	Fare Coordination Set-Aside <sup>2</sup>
STA Revenue-Based	BART	CCCTA	(777,759)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(654,479)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(2,528,512)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,656,398)	BART Feeder Bus
<b>Total Payment</b>			<b>(7,014,048)</b>	
<b>Remaining BART STA Revenue-Based Funds</b>			<b>\$8,737,456</b>	
<b>Total Available BART TDA Article 4 Funds</b>			<b>\$347,388</b>	
TDA Article 4	BART-Alameda	LAVTA	(85,256)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(262,132)	BART Feeder Bus
<b>Total Payment</b>			<b>(347,388)</b>	
<b>Remaining BART TDA Article 4 Funds</b>			<b>\$0</b>	
<b>Total Available SamTrans STA Revenue-Based Funds</b>			<b>\$2,360,949</b>	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
<b>Total Payment</b>			<b>(801,024)</b>	
<b>Remaining SamTrans STA Revenue-Based Funds</b>			<b>\$1,559,925</b>	
<b>Total Available Union City TDA Article 4 Funds</b>			<b>\$7,435,252</b>	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
<b>Total Payment</b>			<b>(116,699)</b>	
<b>Remaining Union City TDA Article 4 Funds</b>			<b>\$7,318,553</b>	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

2. MTC holds funds in accordance with the BART-AC Transit Memorandum of Understanding on feeder/transfer payments. This amount represents the actual set-aside for FY 2016-17.

**FY 2016-17 FUND ESTIMATE  
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

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**PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION**

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-15	MTC Res-3833	MTC Res-3925	FY2016-17
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	2,691,476
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans <sup>1</sup>	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
<b>TOTAL</b>	<b>\$62,000,000</b>	<b>100%</b>	<b>\$6,395,361</b>	<b>\$0</b>	<b>\$0</b>	<b>\$30,951,976</b>	<b>\$21,980,390</b>

**FY 2016-17 FUND ESTIMATE**  
**CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)**

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<b>FY2015-16 LCTOP Revenue Estimate<sup>1</sup></b>		<b>FY2016-17 LCTOP Revenue Estimate<sup>2</sup></b>	
1. Statewide Appropriation (Oct, 15)	\$75,000,000	5. Estimated Statewide Appropriation (June, 16)	\$100,000,000
2. MTC Region Revenue-Based Funding	\$20,890,977	6. Estimated MTC Region Revenue-Based Funding <sup>3</sup>	\$28,979,900
3. MTC Region Population-Based Funding	\$7,275,276	7. Estimated MTC Region Population-Based Funding <sup>3</sup>	\$9,700,368
<b>4. Total MTC Region Funds</b>	<b>\$28,166,253</b>	<b>8. Estimated Total MTC Region Funds</b>	<b>\$38,680,268</b>

1. The FY 2015-16 LCTOP revenue generation based on the State Controller's Office Low Carbon Transit Operations Program Allocation Summary of 10/30/2015. Only Population-Based funding totaling \$7,275,276 is expected to flow to MTC's accounts.

2. The FY 2016-17 LCTOP revenue generation based on the \$100 million estimated in the FY 2016-17 State Budget.

3. The FY 2016-17 LCTOP amounts for the Bay Area are subject to change pending updated distribution factors for the STA and LCTOP programs from the State Controller's Office.