



Metropolitan Transportation Commission

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Meeting Agenda

Administration Committee

Committee Members:

Adrienne J. Tissier, Chair David Campos, Vice Chair

Jason Baker, Tom Bates, Federal D. Glover,

Mark Luce, Libby Schaaf,

Scott Wiener, Amy Rein Worth

Non-Voting Member: Bijan Sartipi

Wednesday, May 11, 2016

9:35 AM

The Board Room - 1st Floor

This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:35 a.m. or immediately following the 9:30 a.m. Bay Area Toll Authority Oversight Committee meeting.

1. Roll Call/Confirm Quorum

Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).

2. Consent Calendar

- 2a. [15-1523](#) Minutes of the April 13, 2016 meeting
- Action: Committee Approval
- Attachments: [2a 04-13-2016 Admin Draft Minutes.pdf](#)
- 2b. [15-1524](#) Investment Report for March 2016
- Action: Information
- Presenter: Susan Woo
- Attachments: [2b InvestmentReport-March'2016.pdf](#)
- 2c. [15-1525](#) MTC Financial Statements for March 2016
- Action: Information
- Presenter: Eva Sun
- Attachments: [2c FinancialStatements March'2016.pdf](#)

- 2d.** [15-1415](#) MTC Resolution No. 1198, Revised. Revisions to MTC's Conflict of Interest Code to update list of designated positions - Release for Public Comment
- Action:** Commission Approval
- Presenter:** Adrienne Weil
- Attachments:** [2d_Reso-1198_Conflict_of_Interest_Code_.pdf](#)
-
- 2e.** [15-1552](#) Purchase Order Amendment - FY 2015-16 Staff Augmentation, Software and Hardware Support: KIS Consulting Services (\$85,000)
- Action:** Committee Approval
- Presenter:** Nick Roethel
- Attachments:** [2e_PurchaseOrder_KIS.pdf](#)
-
- 2f.** [15-1561](#) MTC Resolution 4181, Revised - FY 2015-16 MTC Agency Budget
- Action:** Commission Approval
- Presenter:** Brian Mayhew
- Attachments:** [2f_Reso-4181_FY16_MTC_Agency_Budget_Amend.pdf](#)
-
- 2g.** [15-1572](#) Contract Amendment - Transit Passenger Survey Services: ETC Institute (\$350,000)
- Action:** Committee Approval
- Presenter:** Shimon Israel
- Attachments:** [2g_Contract_Amend_ETC_Institute.pdf](#)
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- 2h.** [15-1526](#) Monthly Travel Report
- Action:** Information
- Presenter:** Eva Sun
- Attachments:** [2h_Travel_Report_March'2016.pdf](#)

Approval

- 3.** [15-1527](#) Contract - Plan Bay Area 2040 Environmental Impact Report Consultant: Ascent Environmental, Inc. (\$550,000)
- Proposed contract to fund preparation of the Environmental Impact Report (EIR) for the update of Plan Bay Area, Plan Bay Area 2040.
- Action:** Committee Approval
- Presenter:** Adam Noelting and Matt Maloney
- Attachments:** [3_Contract-PBA_2040_EIR.pdf](#)

Information

4. Public Comment / Other Business

5. Adjournment / Next Meeting

The next meeting of the Administration Committee will be held on June 8, 2016 at 9:35 a.m. at Bay Area Metro Center, 375 Beale Street, San Francisco, CA.

Public Comment: The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

Meeting Conduct: If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

Record of Meeting: Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site (mtc.ca.gov) for public review for at least one year.

Accessibility and Title VI: MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 510.817.5757 or 510.810.5769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章：MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 510.817.5757 或 510.817.5769 TDD / TTY。我們要求您在三個工作日告知，以滿足您的要求。

Acceso y el Titulo VI: La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 510.817.5757 o al 510.817.5769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1523 **Version:** 1 **Name:**
Type: Minutes **Status:** Consent
File created: 4/7/2016 **In control:** Administration Committee
On agenda: 5/11/2016 **Final action:**
Title: Minutes of the April 13, 2016 meeting
Sponsors:
Indexes:
Code sections:
Attachments: [2a_04-13-2016_Admin_Draft_Minutes.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Minutes of the April 13, 2016 meeting

Recommended Action:
Committee Approval



Metropolitan Transportation Commission Meeting Minutes

101 Eighth Street,
Joseph P. Bort
MetroCenter
Oakland, CA

Administration Committee

Committee Members:

Adrienne J. Tissier, Chair David Campos, Vice Chair

*Jason Baker, Tom Bates, Federal D. Glover,
Mark Luce, Libby Schaaf,
Scott Wiener, Amy Rein Worth
Non-Voting Member: Bijan Sartipi*

Wednesday, April 13, 2016

9:35 AM

Lawrence D. Dahms Auditorium

Call Meeting to Order

1. Roll Call/Confirm Quorum

Present: 8 - Chairperson Tissier, Commissioner Wiener, Commissioner Bates, Vice Chair Campos, Commissioner Baker, Commissioner Luce, Commissioner Schaaf and Commissioner Rein Worth

Absent: 1 - Commissioner Glover

Non-Voting Member Present: Commissioner Sartipi

Ex Officio Voting Member Present: Commission Chair Cortese

Ad Hoc Non-Voting Members Present: Commissioner Giacomini, Commissioner Haggerty, Commissioner Halsted, and Commissioner Spering

2. Consent Calendar

Approval of the Consent Calendar

Upon the motion by Commissioner Wiener and the second by Commissioner Rein Worth, the Consent Calendar was unanimously approved by the following vote:

Aye: 8 - Chairperson Tissier, Commissioner Wiener, Commissioner Bates, Vice Chair Campos, Commissioner Baker, Commissioner Luce, Commissioner Schaaf and Commissioner Rein Worth

Absent: 1 - Commissioner Glover

2a. [15-1409](#) Minutes of the March 9, 2016 meeting

Action: Committee Approval

- 2b.** [15-1410](#) Investment Report for February 2016
 Action: Information
 Presenter: Susan Woo
- 2c.** [15-1411](#) MTC Financial Statements for February 2016.
 Action: Information
 Presenter: Eva Sun
- 2d.** [15-1412](#) Contract Amendment - Transit Passenger Survey Services: ETC Institute (\$1,000,000)
 Action: Committee Approval
 Presenter: Shimon Israel
- 2e.** [15-1413](#) Contract Amendment - StreetSaver® Software Development, Maintenance & Support: DevMecca.com, LLC (\$300,000)
 Action: Committee Approval
 Presenter: Sui Tan
- 2f.** [15-1418](#) Contract Amendment - Professional Auditing Services: PricewaterhouseCoopers, LLP (\$1,630,906).

 Contract amendment with PricewaterhouseCoopers, LLP for professional auditing services.
 Action: Commission Approval
 Presenter: Brian Mayhew
- 2g.** [15-1416](#) MTC Resolution No. 4183, Revised - FY 2015-16 Overall Work Program (OWP) Amendment No. 16-04.

 An amendment to the OWP to add \$141,802 in FTA 5303 and FHWA PL funds due to final allocation adjustments.
 Action: Commission Approval
 Presenter: Brian Mayhew

Approval

3. [15-1417](#) MTC Resolution No. 4224 - FY 2016-17 Overall Work Program (OWP), Planning Certification, and Authorization for Execution of Agreement for Federal Planning Grants.

A request for approval of the FY 2016-17 OWP, which guides the collaborative metropolitan transportation planning process involving MTC, ABAG, Caltrans, and other local transportation partners and the authorization to enter in to agreements for \$11,263,337 in Transportation Planning funds.

Action: Commission Approval

Presenter: Brian Mayhew

Upon the motion by Commissioner Rein Worth and the second by Commissioner Baker, the Committee unanimously approved the referral of MTC Resolution No. 4224 to the Commission for approval. The motion carried by the following vote:

Aye: 8 - Chairperson Tissier, Commissioner Wiener, Commissioner Bates, Vice Chair Campos, Commissioner Baker, Commissioner Luce, Commissioner Schaaf and Commissioner Rein Worth

Absent: 1 - Commissioner Glover

Information

4. [15-1419](#) Monthly Travel Report

Action: Information

Presenter: Eva Sun

5. Public Comment / Other Business

6. Adjournment / Next Meeting

The next meeting of the Administration Committee will be held on May 11, 2016 at 9:35 a.m. at a location to be duly noticed.



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1524 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 4/7/2016 **In control:** Administration Committee
On agenda: 5/11/2016 **Final action:**
Title: Investment Report for March 2016
Sponsors:
Indexes:
Code sections:
Attachments: [2b_InvestmentReport-March'2016.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Investment Report for March 2016

Presenter:
Susan Woo

Recommended Action:
Information



METROPOLITAN
TRANSPORTATION
COMMISSION

Agenda Item 2b

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

RE: Investment Report for March 2016

In accordance with the adopted investment policy, attached are the comprehensive investment holdings for MTC and all operating units.

Total funds under MTC management are just under \$3.9 billion. A breakdown by fund is as follows:

<u>Fund</u>	<u>Market Value (\$ million)</u>	<u>% of Total</u>
BATA Admin	\$ 1,065.5	27.4%
BATA Projects	608.5	15.7%
BATA Debt Payment	256.5	6.6%
BATA Debt Service Reserve	514.3	13.3%
BATA RM2	304.8	7.9%
MTC	484.6	12.5%
BART Car Exchange Program	326.9	8.4%
AB 1171	96.9	2.5%
FasTrak® (Customer Deposits)	93.9	2.4%
Clipper®	50.1	1.3%
BAHA	63.7	1.6%
SAFE	12.4	0.3%
RAFC	2.1	0.1%
Portfolio Total	<u>\$ 3,880.2</u>	<u>100.0%</u>

The BART Car Exchange fund is held in trust for future replacement of BART cars.

The portfolio consists mainly of Government Sponsored Enterprises (GSEs). The portfolio breakdown is as follows:

<u>Security Holding</u>	<u>Portfolio Composite</u>	<u>Policy Limits</u>
Fed Home Loan Bank	52.7%	No limit
Fed Home Loan Mortgage	14.3%	No limit
Fed National Mortgage Association	7.8%	No limit
Fed Farm Credit Bank	1.4%	No limit
Cash	8.4%	No limit
Certificates of Deposit	2.6%	10% portfolio
Corporate Bonds	0.3%	10% portfolio
Gov't Pools	Less than 0.1%	No limit
CalTrust Heritage Money Market Fund	0.6%	No limit
CA Asset Mgmt Program (CAMP)	Less than 0.1%	No limit
Municipal Bonds	0.8%	No limit
Mutual Funds	2.8%	20% Portfolio/10% One Fund
Blackrock (BATA Trustee)	4.6%	Trustee Funds – No limit
Morgan Stanley (BATA Trustee)	3.7%	Trustee Funds – No limit
Portfolio Total	100.0%	

Funds held by trustee are subject to permitted investments authorized in the approved issuing documents and are not subject to mutual fund limits as defined by California law and the MTC Investment Policy.

Credit ratings of commercial paper, corporate medium-term notes, municipal VRDOs, mutual funds, and certificates of deposit held in the MTC portfolio are within the limits required by the MTC Investment Policy.

Liquidity Summary of MTC Portfolio

Maturity	Market Value (\$ million)	% of Total
0-30 days	\$ 1,260.3	32.5%
31-90 days	1,017.4	26.2%
91-365 days	1,121.2	28.9%
1-2 years	355.5	9.2%
2-3 years	95.8	2.4%
3-5 years	0.0	0.0%
* greater than 5 years	30.0	0.8%
Portfolio Total	\$ 3,880.2	100.0%

* BAAQMD Certificate of Participation matures November 2053

The average maturity of the MTC portfolio is 240 days.

If there are any questions, please contact Brian Mayhew at (510) 817-5730.



Steve Heminger

SH:SW
Attachment

J:\COMMITTEE\Administration\2016 by Month\05_May'2016_Admin\2b_InvestmentReport-March'2016_CoverMemo.docx



MTC
Summary by Type
March 31, 2016
Grouped by Fund

Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: MTC CASH AND CASH EQUIVALENT						
Local Agency Investment Funds	2	1,055,066.14	1,055,066.14	0.03	0.561	1
MM Funds	2	27,403,158.69	27,403,158.69	0.71	0.223	1
Subtotal	4	28,458,224.83	28,458,224.83	0.74	0.236	1
Fund: AB664 EAST						
Federal Agency Disc. -Amortizing	13	142,200,000.00	142,083,264.30	3.66	0.446	84
Mutual Funds - Custodial	1	91,167.06	91,167.06	0.00	0.240	1
MM Funds	1	17,111,769.99	17,111,769.99	0.44	0.227	1
Subtotal	15	159,402,937.05	159,286,201.35	4.10	0.422	75
Fund: AB664 WEST						
Federal Agency Coupon Securities	1	14,500,000.00	14,492,793.50	0.37	0.740	434
Federal Agency Disc. -Amortizing	7	46,300,000.00	46,263,072.30	1.19	0.388	78
Mutual Funds - Custodial	1	63,159.71	63,159.71	0.00	0.240	1
MM Funds	1	11,572,823.58	11,572,823.58	0.30	0.227	1
Subtotal	10	72,435,983.29	72,391,849.09	1.86	0.432	137
Fund: 5% STATE						
MM Funds	1	11,306,498.62	11,306,498.62	0.29	0.227	1
Subtotal	1	11,306,498.62	11,306,498.62	0.29	0.227	1
Fund: 2% TRANSIT RESERVES FERRY						
Federal Agency Disc. -Amortizing	3	13,100,000.00	13,095,425.90	0.34	0.372	46
Mutual Funds - Custodial	1	57,969.72	57,969.72	0.00	0.240	1
MM Funds	1	5,693,962.31	5,693,962.31	0.15	0.227	1
Subtotal	5	18,851,932.03	18,847,357.93	0.49	0.328	32
Fund: 2% TRANSIT RESERVES STUDIES						
Federal Agency Disc. -Amortizing	3	20,700,000.00	20,693,597.10	0.53	0.384	40

MTC
Summary by Type
March 31, 2016
Grouped by Fund

Page 2

Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: 2% TRANSIT RESERVES STUDIES						
Mutual Funds - Custodial	1	29,925.49	29,925.49	0.00	0.240	1
MM Funds	1	3,332,775.54	3,332,775.54	0.09	0.227	1
Subtotal	5	24,062,701.03	24,056,298.13	0.62	0.362	35
Fund: 90% RAIL RESERVE EAST						
Federal Agency Disc. -Amortizing	10	76,700,000.00	76,631,986.40	1.97	0.435	88
Federal Agency Coupon Securities	1	15,000,000.00	15,028,500.00	0.39	0.750	333
Mutual Funds - Custodial	1	63,131.91	63,131.91	0.00	0.240	1
MM Funds	1	9,289,798.83	9,289,798.83	0.24	0.227	1
Negotiable CDs	1	8,000,000.00	8,003,192.00	0.21	0.771	200
Subtotal	14	109,052,930.74	109,016,609.14	2.81	0.485	123
Fund: 90% RAIL RESERVE WEST						
Federal Agency Disc. -Amortizing	4	18,900,000.00	18,890,969.40	0.49	0.419	57
Mutual Funds - Custodial	1	54,264.64	54,264.64	0.00	0.240	1
MM Funds	1	1,951,891.57	1,951,891.57	0.05	0.227	1
Subtotal	6	20,906,156.21	20,897,125.61	0.54	0.401	51
Fund: MTC FEEDER BUS						
MM Funds	1	168,266.70	168,266.70	0.00	0.227	1
Subtotal	1	168,266.70	168,266.70	0.00	0.227	1
Fund: MTC EXCHANGE FUND						
MM Funds	1	28,476,956.13	28,476,956.13	0.73	0.227	1
Subtotal	1	28,476,956.13	28,476,956.13	0.73	0.227	1
Fund: BART CAR EXCHANGE PROGRAM						
Mutual Funds - Custodial	2	4,194,502.01	4,194,502.01	0.11	0.003	1
Federal Agency Disc. -Amortizing	17	263,800,000.00	263,552,276.50	6.79	0.434	91
Federal Agency Coupon Securities	3	46,100,000.00	46,112,796.40	1.19	0.963	584
Negotiable CDs	2	13,000,000.00	13,004,787.00	0.34	0.825	126
Subtotal	24	327,094,502.01	326,864,361.91	8.43	0.518	160

MTC
Summary by Type
March 31, 2016
Grouped by Fund

Page 3

Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: CLIPPER CAPITAL (MTC)						
MM Funds	1	5,826,404.37	5,826,404.37	0.15	0.227	1
Subtotal	1	5,826,404.37	5,826,404.37	0.15	0.227	1
Fund: CLIPPER OPERATIONS (MTC)						
MM Funds	1	3,942,095.54	3,942,095.54	0.10	0.227	1
Subtotal	1	3,942,095.54	3,942,095.54	0.10	0.227	1
Fund: MTC CAPITAL PROJECTS						
MM Funds	1	1,829,991.76	1,829,991.76	0.05	0.227	1
Subtotal	1	1,829,991.76	1,829,991.76	0.05	0.227	1
Fund: SAFE						
Local Agency Investment Funds	1	107,249.09	107,249.09	0.00	0.506	1
MM Funds	1	7,118,465.32	7,118,465.32	0.18	0.227	1
Subtotal	2	7,225,714.41	7,225,714.41	0.18	0.231	1
Fund: SAFE CAPITAL PROJECTS						
MM Funds	1	5,162,813.43	5,162,813.43	0.13	0.227	1
Subtotal	1	5,162,813.43	5,162,813.43	0.13	0.227	1
Fund: RM2 OPERATING						
MM Funds	1	7,414,713.14	7,414,713.14	0.19	0.227	1
Subtotal	1	7,414,713.14	7,414,713.14	0.19	0.227	1
Fund: UB DEBT PAYMENT - TRUSTEE						
Mutual Funds - Trustee	1	147,479,431.71	147,479,431.71	3.80	0.190	1
Subtotal	1	147,479,431.71	147,479,431.71	3.80	0.190	1
Fund: DEBT SERVICE RESERVE						
Mutual Funds - Trustee	1	31,792,789.42	31,792,789.42	0.82	0.190	1
Federal Agency Disc. -Amortizing	7	80,450,000.00	80,406,514.20	2.07	0.398	60
Federal Agency Coupon Securities	18	213,220,000.00	213,275,842.48	5.50	1.029	679
Federal Agency Coupon - Actual	1	14,000,000.00	14,000,000.00	0.36	0.255	136

MTC
Summary by Type
March 31, 2016
Grouped by Fund

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Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Subtotal	27	339,462,789.42	339,475,146.10	8.75	0.769	446
Fund: BATA 2013 S-4 RESERVE						
Mutual Funds - Trustee	2	11,100,422.27	11,100,422.27	0.29	0.203	1
Federal Agency Disc. -Amortizing	2	1,850,000.00	1,849,313.30	0.05	0.282	52
Federal Agency Coupon Securities	5	34,200,000.00	34,206,296.80	0.88	0.901	566
Subtotal	9	47,150,422.27	47,156,032.37	1.22	0.713	413
Fund: BATA SUB 2014 S-5 RESERVE						
Federal Agency Coupon Securities	1	1,400,000.00	1,397,599.00	0.04	0.699	504
Mutual Funds - Trustee	1	30,299.94	30,299.94	0.00	0.240	1
Subtotal	2	1,430,299.94	1,427,898.94	0.04	0.689	493
Fund: BATA SUB 2014 S-6 RESERVE						
Federal Agency Coupon Securities	3	12,608,000.00	12,603,948.56	0.32	0.765	408
Federal Agency Disc. -Amortizing	1	1,300,000.00	1,299,138.10	0.03	0.377	77
Mutual Funds - Trustee	1	8,634.72	8,634.72	0.00	0.240	1
Subtotal	5	13,916,634.72	13,911,721.38	0.35	0.728	377
Fund: BATA 2010 S-1 RESERVE						
Federal Agency Disc. -Amortizing	4	59,500,000.00	59,465,017.10	1.53	0.464	67
Mutual Funds - Trustee	2	10,016,311.94	10,016,311.94	0.26	0.190	1
Subtotal	6	69,516,311.94	69,481,329.04	1.79	0.424	57
Fund: BONY DEBT PAYMENT - TRUSTEE						
Mutual Funds - Trustee	1	109,056,212.50	109,056,212.50	2.81	0.240	1
Subtotal	1	109,056,212.50	109,056,212.50	2.81	0.240	1
Fund: BATA 2010 S-2 RESERVE						
Mutual Funds - Trustee	2	10,038,446.10	10,038,446.10	0.26	0.209	1
Federal Agency Disc. -Amortizing	1	1,300,000.00	1,299,493.00	0.03	0.267	54
Federal Agency Coupon Securities	1	9,600,000.00	9,600,345.60	0.25	0.800	588
Subtotal	4	20,938,446.10	20,938,284.70	0.54	0.484	273

MTC
Summary by Type
March 31, 2016
Grouped by Fund

Page 5

Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: BATA 2010 S-3 RESERVE						
Federal Agency Coupon Securities	2	15,200,000.00	15,192,063.60	0.39	0.760	507
Mutual Funds - Trustee	1	6,671,799.53	6,671,799.53	0.17	0.240	1
Subtotal	3	21,871,799.53	21,863,863.13	0.56	0.601	353
Fund: RM2 CAPITAL						
Federal Agency Disc. -Amortizing	14	146,700,000.00	146,580,634.20	3.78	0.427	84
Mutual Funds - Custodial	3	2,580,830.72	2,580,830.72	0.07	0.441	1
MM Funds	1	5,110,618.63	5,110,618.63	0.13	0.227	1
Subtotal	18	154,391,449.35	154,272,083.55	3.98	0.421	80
Fund: BATA REHAB RESERVE						
Federal Agency Coupon Securities	1	14,980,000.00	14,988,973.02	0.39	0.520	166
Federal Agency Disc. -Amortizing	4	28,300,000.00	28,292,973.50	0.73	0.320	36
Mutual Funds - Custodial	1	18,634.09	18,634.09	0.00	0.240	1
MM Funds	1	886,134.18	886,134.18	0.02	0.227	1
Negotiable CDs	1	30,000,000.00	30,006,720.00	0.77	0.608	131
Subtotal	8	74,184,768.27	74,193,434.79	1.91	0.476	100
Fund: BATA REHAB PROJECTS						
Treasury Discounts -Amortizing	1	10,000,000.00	9,999,300.00	0.26	0.247	12
Mutual Funds - Custodial	1	8,396,564.90	8,396,564.90	0.22	0.240	1
MM Funds	1	4,004,737.66	4,004,737.66	0.10	0.227	1
Subtotal	3	22,401,302.56	22,400,602.56	0.58	0.241	6
Fund: BATA - SEISMIC CAPITAL						
Federal Agency Coupon - Actual	1	15,000,000.00	14,956,245.00	0.39	0.469	738
Federal Agency Disc. -Amortizing	18	278,300,000.00	278,065,967.00	7.17	0.409	83
Federal Agency Coupon Securities	1	2,600,000.00	2,603,429.40	0.07	0.759	440
Mutual Funds - Custodial	3	12,182,905.14	12,182,905.14	0.31	0.260	1
MM Funds	1	5,700,779.98	5,700,779.98	0.15	0.227	1
Subtotal	24	313,783,685.12	313,509,326.52	8.09	0.406	113

MTC
Summary by Type
March 31, 2016
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Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: AB 1171 PROJECTS						
Federal Agency Disc. -Amortizing	6	76,080,000.00	76,028,250.32	1.96	0.453	72
Mutual Funds - Custodial	2	6,012,371.86	6,012,371.86	0.15	0.241	1
MM Funds	1	14,884,901.21	14,884,901.21	0.38	0.227	1
Subtotal	9	96,977,273.07	96,925,523.39	2.49	0.405	57
Fund: EXPRESS LANES CAPITAL						
Federal Agency Disc. -Amortizing	18	250,300,000.00	250,083,458.70	6.45	0.440	86
Mutual Funds - Custodial	3	10,076,563.62	10,076,563.62	0.26	0.440	1
MM Funds	1	12,464,647.39	12,464,647.39	0.32	0.227	1
Subtotal	22	272,841,211.01	272,624,669.71	7.03	0.430	79
Fund: RM1 BATA ADMIN - SELF INSURED						
Federal Agency Coupon - Actual	2	33,200,000.00	33,174,186.40	0.85	0.333	341
Federal Agency Coupon Securities	6	53,100,000.00	53,096,717.90	1.37	0.861	534
Federal Agency Disc. -Amortizing	12	192,490,000.00	192,258,884.23	4.95	0.424	112
Corporate Bonds	1	10,000,000.00	10,026,990.00	0.26	0.581	102
Mutual Funds - Custodial	2	4,109,398.39	4,109,398.39	0.11	0.240	1
MM Funds	1	73,587.26	73,587.26	0.00	0.227	1
Negotiable CDs	1	13,800,000.00	13,804,954.20	0.36	0.842	104
Subtotal	25	306,772,985.65	306,544,718.38	7.90	0.511	208
Fund: RM1 BATA ADMIN - O&M RESERVE						
Mutual Funds - Custodial	4	12,529,718.19	12,529,718.19	0.32	0.200	1
Federal Agency Coupon - Actual	1	10,000,000.00	9,999,290.00	0.26	0.280	217
Federal Agency Coupon Securities	5	53,700,000.00	53,731,849.90	1.38	0.888	492
Federal Agency Disc. -Amortizing	8	76,100,000.00	76,038,354.10	1.96	0.421	82
MM Funds	1	75,890.13	75,890.13	0.00	0.227	1
Subtotal	19	152,405,608.32	152,375,102.32	3.92	0.558	229
Fund: RM1 BATA ADMIN						
Federal Agency Disc. -Amortizing	27	466,100,000.00	465,776,825.90	12.00	0.467	73

MTC
Summary by Type
March 31, 2016
Grouped by Fund

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Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Fund: RM1 BATA ADMIN						
Local Agency Investment Funds	1	233.65	233.65	0.00	0.506	1
Mutual Funds - Custodial	3	13,347,963.33	13,347,963.33	0.34	0.393	1
MM Funds	1	10,878,441.67	10,878,441.67	0.28	0.227	1
Negotiable CDs	3	38,200,000.00	38,206,429.80	0.98	0.727	112
Subtotal	35	528,526,638.65	528,209,894.35	13.60	0.479	72
Fund: RM2 ADMIN RESERVES						
Federal Agency Coupon Securities	1	7,300,000.00	7,304,372.70	0.19	0.520	166
Federal Agency Disc. -Amortizing	16	127,400,000.00	127,257,381.90	3.28	0.460	108
Mutual Funds - Custodial	1	908,331.98	908,331.98	0.02	0.240	1
MM Funds	1	7,624,287.13	7,624,287.13	0.20	0.227	1
Subtotal	19	143,232,619.11	143,094,373.71	3.69	0.450	104
Fund: UNDISTRIBUTED FUNDS						
MM Funds	1	2,220,509.08	2,220,509.08	0.06	0.000 *	1
Subtotal	1	2,220,509.08	2,220,509.08	0.06	0.000	1
Fund: SEISMIC ADMIN						
Mutual Funds - Custodial	3	465,560.95	465,560.95	0.01	0.278	1
MM Funds	1	1,498,128.19	1,498,128.19	0.04	0.227	1
Subtotal	4	1,963,689.14	1,963,689.14	0.05	0.239	1
Fund: FASTRAK						
MM Funds	6	93,941,068.11	93,941,068.11	2.42	0.007	1
Subtotal	6	93,941,068.11	93,941,068.11	2.42	0.007	1
Fund: CLIPPER						
MM Funds	4	50,143,811.20	50,143,811.20	1.29	0.000 **	1
Subtotal	4	50,143,811.20	50,143,811.20	1.29	0.000	1
Fund: BAHA OPERATING						
MM Funds	1	1,484,795.48	1,484,795.48	0.04	0.000 *	1

* Earnings Credit Rate of 0.01%

** Earnings Credit Rate of 0.04%

MTC
Summary by Type
March 31, 2016
Grouped by Fund

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Security Type	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
Subtotal	1	1,484,795.48	1,484,795.48	0.04	0.000	1
Fund: BAHA OWNER'S						
MM Funds	1	870,701.54	870,701.54	0.02	0.000 *	1
Subtotal	1	870,701.54	870,701.54	0.02	0.000	1
Fund: BAHA CAPITAL						
Municipal Bonds	1	30,000,000.00	30,000,000.00	0.77	0.409	13,728
Mutual Funds - Custodial	2	5,081,706.29	5,081,706.29	0.13	0.239	1
Federal Agency Disc. -Amortizing	1	9,000,000.00	8,998,632.00	0.23	0.267	26
MM Funds	1	17,285,433.92	17,285,433.92	0.45	0.227	1
Subtotal	5	61,367,140.21	61,365,772.21	1.58	0.323	6,715
Fund: RAFC						
Mutual Funds - Custodial	1	700,626.23	700,626.23	0.02	0.000	1
MM Funds	1	1,443,700.38	1,443,700.38	0.04	0.010	1
Subtotal	2	2,144,326.61	2,144,326.61	0.06	0.007	1
Total and Average	357	3,882,094,751.90	3,880,245,804.61	100.00	0.451	240

* Earnings Credit Rate of 0.01%



MTC
Summary by Issuer
March 31, 2016

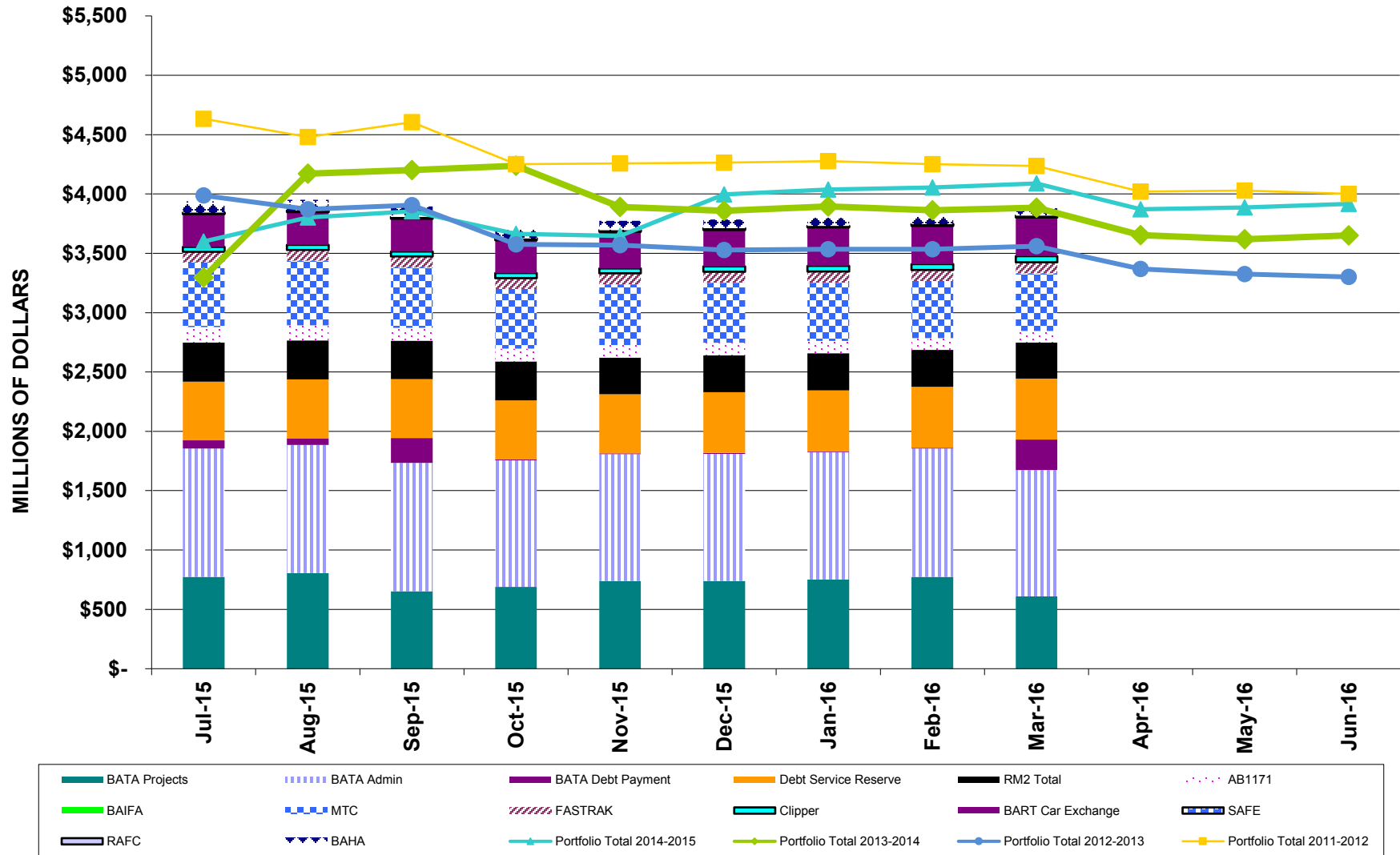
Issuer	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
FASTRAK - PREPAID	1	25,109,947.83	25,109,947.83	0.65	0.000	1
BAY AREA AIR QUALITY MGMT DIST	1	30,000,000.00	30,000,000.00	0.77	0.409	13,728
BLK ROCK T-FUND TRUSTEE	2	179,272,221.13	179,272,221.13	4.62	0.190	1
FASTRAK-BOA GOVT RES FUND	1	64,394,708.09	64,394,708.09	1.66	0.010	1
FASTRAK - PARKING FEES	1	136,486.53	136,486.53	0.00	0.000	1
FASTRAK - VIOLATIONS	1	1,622,453.75	1,622,453.75	0.04	0.000	1
FASTRAK - REFUND	1	1,086,847.73	1,086,847.73	0.03	0.000	1
FASTRAK - FEE ACCOUNT	1	1,590,624.18	1,590,624.18	0.04	0.000	1
CALIFORNIA ASSET MANAGEMENT PR	8	1,157,330.17	1,157,330.17	0.03	0.500	1
CASH BALANCE	6	13,249,686.34	13,249,686.34	0.34	0.000	1
CASH RECEIVABLE FROM CA LAIF	1	700,626.23	700,626.23	0.02	0.000	1
CALTRUST HERITAGE MONEY MARKET	6	24,978,709.80	24,978,709.80	0.64	0.440	1
COUNTY OF ALAMEDA	1	837,695.87	837,695.87	0.02	0.575	1
FED FARM CREDIT BANK	6	53,100,000.00	53,021,094.10	1.37	0.544	567
FED HOME LOAN BANK	167	2,044,470,000.00	2,042,862,782.35	52.65	0.454	101
FED HOME LOAN MTG CORP	51	553,208,000.00	553,176,064.66	14.26	0.788	439
FED NATIONAL MTG ASSN	27	301,800,000.00	301,616,038.60	7.77	0.402	82
GEN ELEC CAP CORP	1	10,000,000.00	10,026,990.00	0.26	0.581	102
LAIF	3	324,853.01	324,853.01	0.01	0.506	1
MORGAN STANLEY GOVT TRUSTEE	7	141,822,127.00	141,822,127.00	3.65	0.240	1
MORGAN STANLEY GOVT CUSTODY	19	45,978,943.69	45,978,943.69	1.18	0.240	1
UBOC CHECKING	2	1,917,851.47	1,917,851.47	0.05	0.010	1
UBOC DISTRICT 4 AND CHANGE FUN	1	2,220,509.08	2,220,509.08	0.06	0.000	1

MTC
Summary by Issuer
March 31, 2016

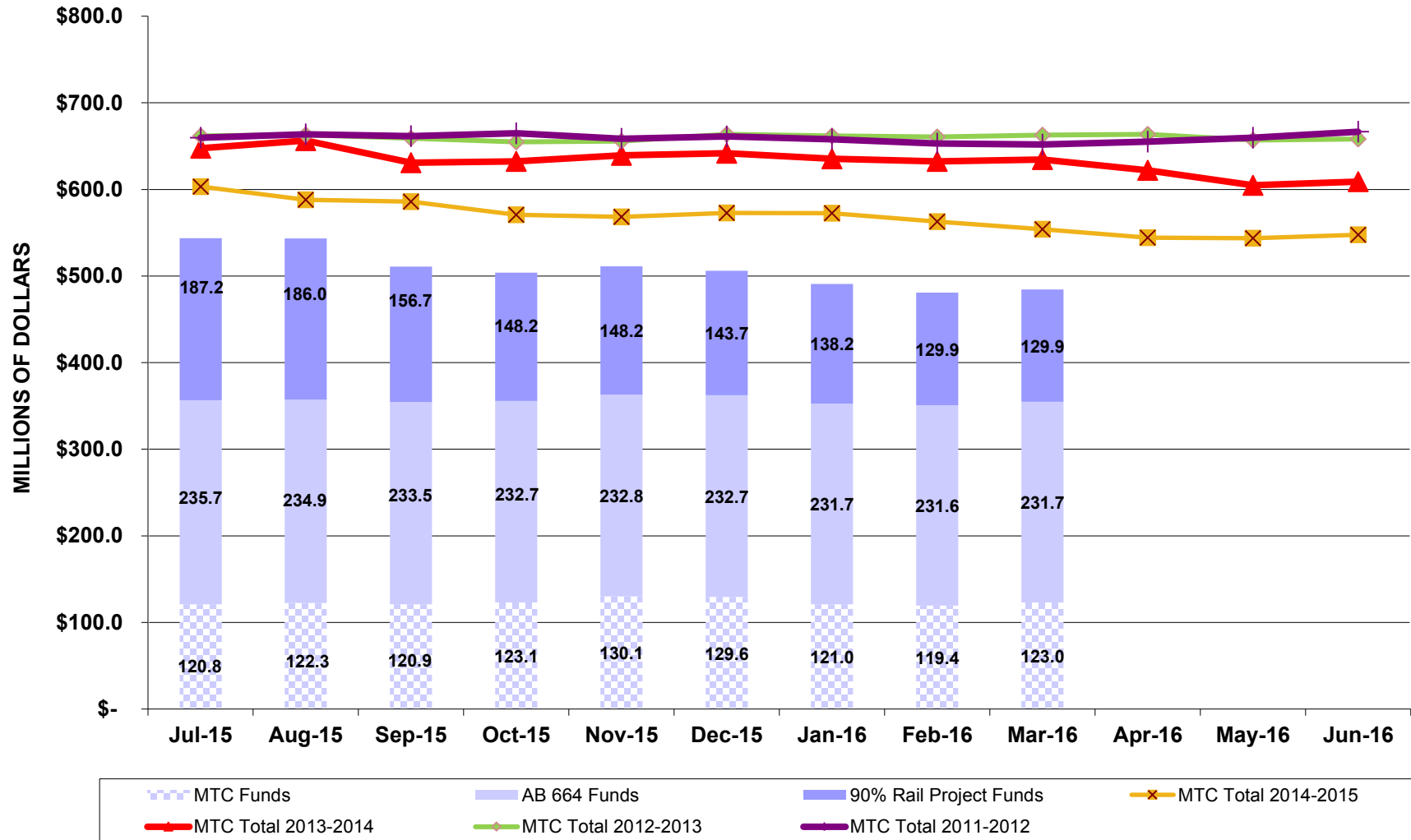
Page 2

Issuer	Number of Investments	Par Value	Market Value	% of Portfolio	Average YTM 365	Average Days to Maturity
UBOC INTEREST ON CHECKING	28	227,615,821.78	227,615,821.78	5.87	0.227	1
CLIPPER SETTLEMENT ACCOUNT	1	3,043,695.90	3,043,695.90	0.08	0.000	1
CLIPPER FLOAT ACCOUNT	1	46,336,571.04	46,336,571.04	1.19	0.000	1
CLIPPER PARTICIPANT CLAIM FUND	1	631,722.87	631,722.87	0.02	0.000	1
UBOC BAHA CHECKING	2	2,355,497.02	2,355,497.02	0.06	0.000	1
CLIPPER REFUND ACCOUNT	1	131,821.39	131,821.39	0.00	0.000	1
UNION BANK NA	4	51,000,000.00	51,012,779.00	1.31	0.653	152
WELLS FARGO BANK NA	4	52,000,000.00	52,013,304.00	1.34	0.792	99
Total and Average	357	3,882,094,751.90	3,880,245,804.61	100.00	0.451	240

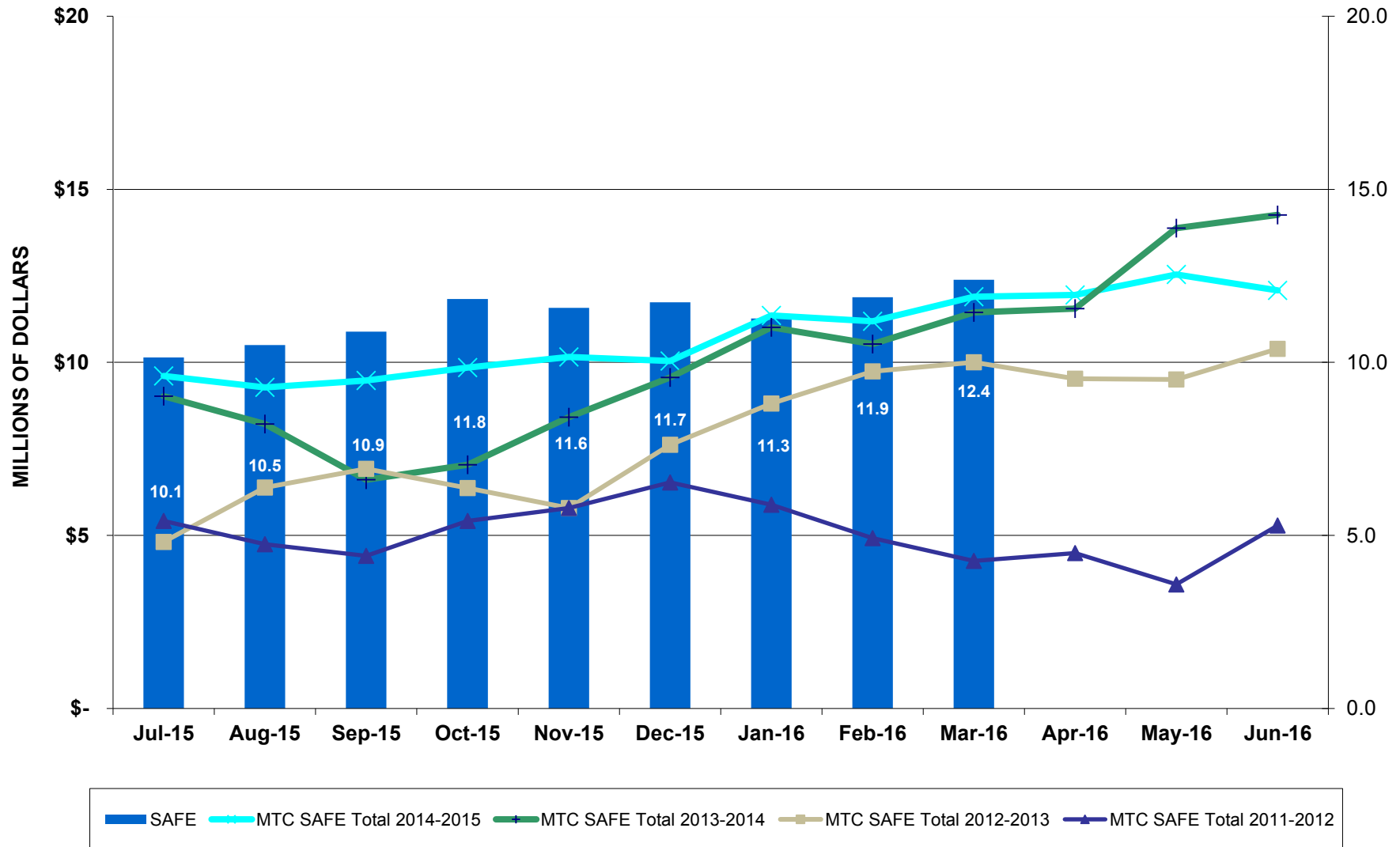
TOTAL PORTFOLIO March 2016



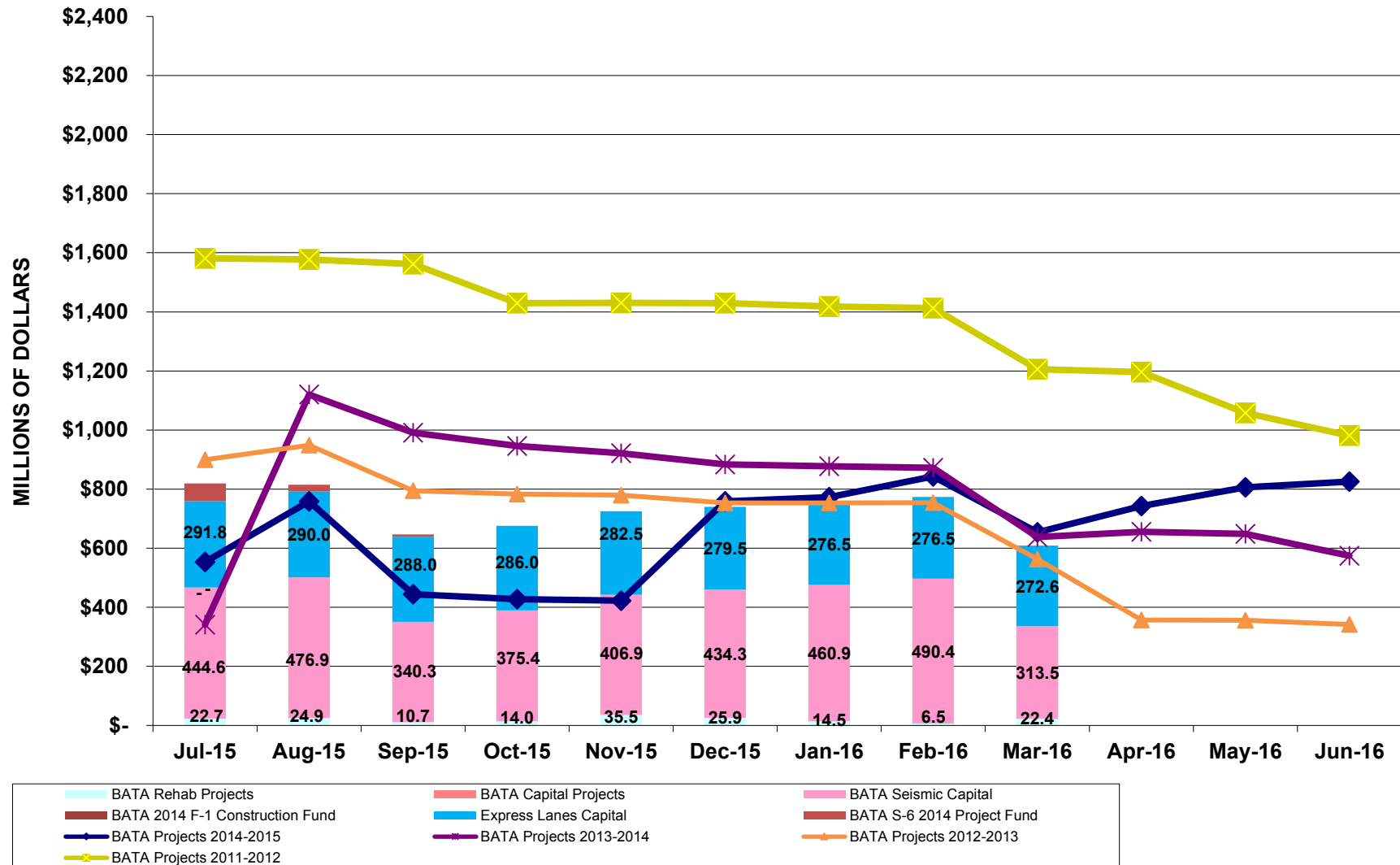
MTC FUNDS **March 2016**



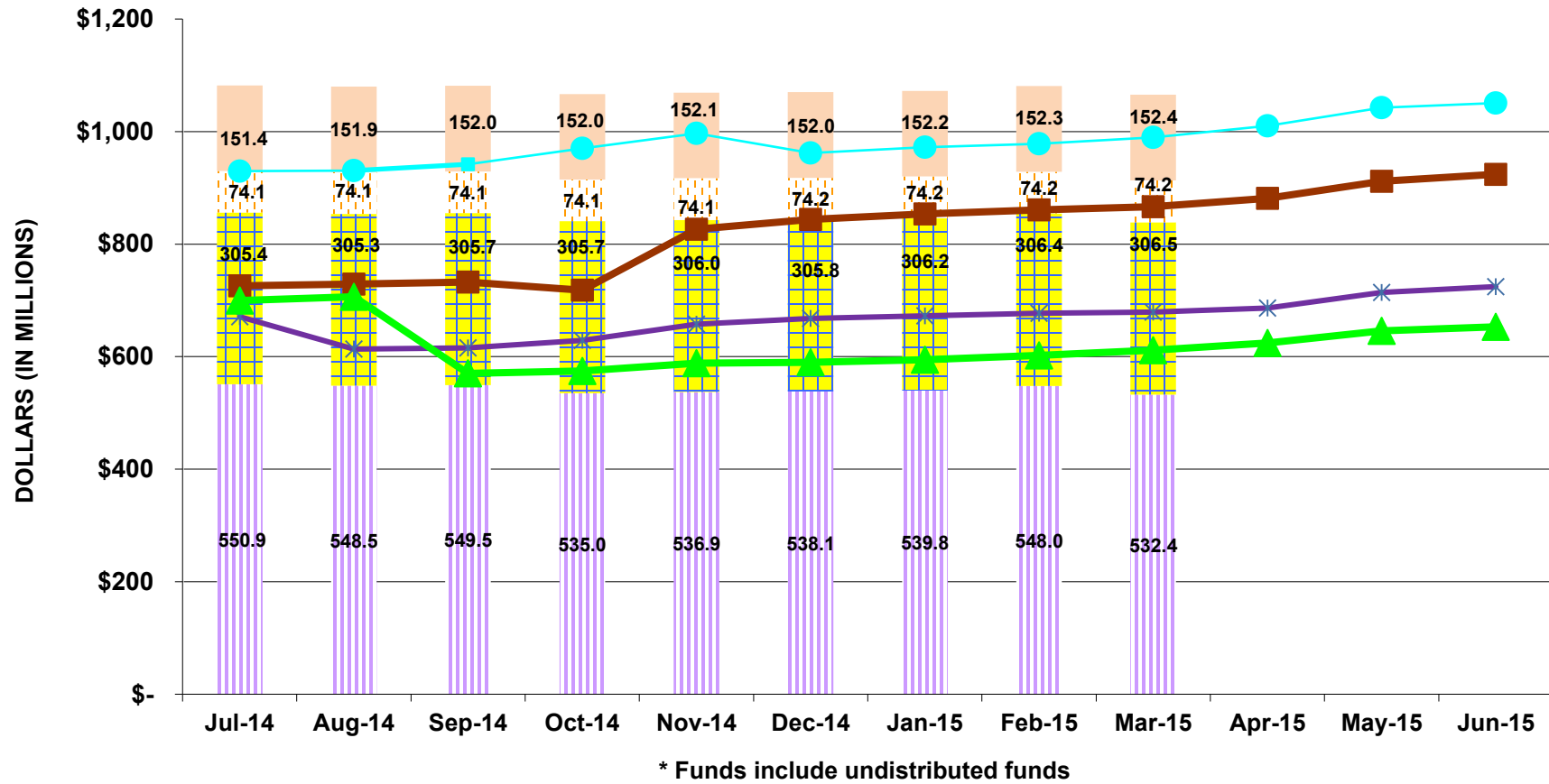
MTC SAFE FUNDS March 2016



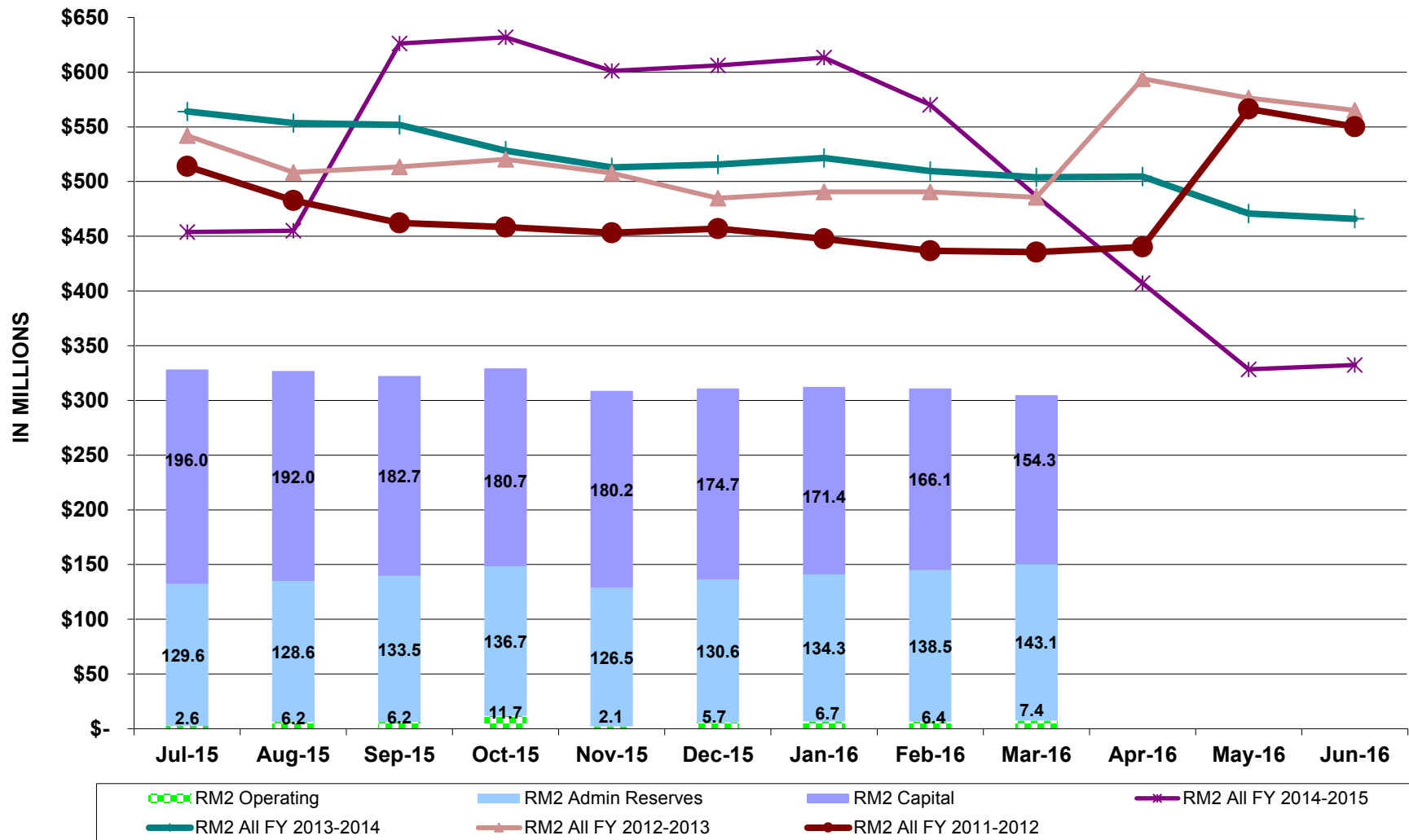
BATA PROJECTS March 2016



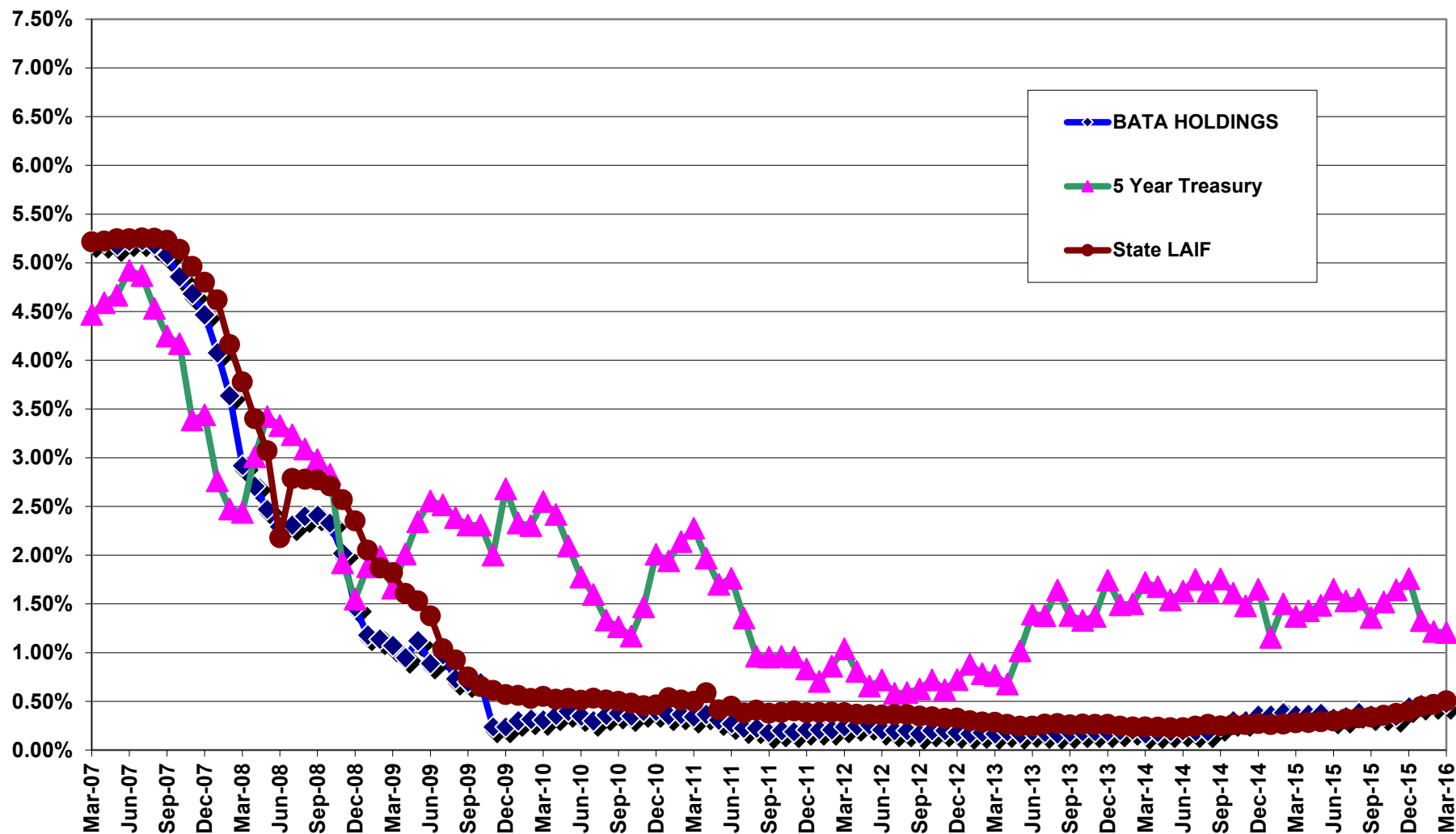
BATA ADMIN **March 2016**



REGIONAL MEASURE 2 FUNDS March 2016



Investment Rate Benchmarks March 2016 (BATA)



UNAUDITED Schedule of Investments T-Fund

31-Mar-16

Position Description	CUSIP	Par	% Par	Maturity or Reset	Final Maturity	Yield or Coupon	Amortized Cost	Moody's Rating	S&P Rating	Country
WAM 41 days / WAM 85 days										
Treasury Debt		10,833,636,500	48.43%				10,832,731,644			
TREASURY BILL	912796HM4	100,000,000	0.45%	21-Apr-16	21-Apr-16	0.20%	99,986,625	Govt	A-1+	United States
TREASURY BILL	912796HM4	500,000,000	2.24%	21-Apr-16	21-Apr-16	0.27%	499,921,250	Govt	A-1+	United States
TREASURY BILL	912796HT9	45,000,000	0.20%	2-Jun-16	2-Jun-16	0.42%	44,967,240	Govt	A-1+	United States
TREASURY BILL	912796HM2	70,000,000	0.31%	9-Jun-16	9-Jun-16	0.52%	69,928,973	Govt	A-1+	United States
TREASURY BILL	912796HM2	70,000,000	0.31%	9-Jun-16	9-Jun-16	0.52%	69,928,973	Govt	A-1+	United States
TREASURY BILL	912796HM2	360,000,000	1.61%	9-Jun-16	9-Jun-16	0.55%	359,834,714	Govt	A-1+	United States
TREASURY BILL	912796HX0	600,000,000	2.68%	16-Jun-16	16-Jun-16	0.60%	599,249,250	Govt	A-1+	United States
TREASURY BILL	912796GS2	200,000,000	0.89%	23-Jun-16	23-Jun-16	0.52%	199,759,667	Govt	A-1+	United States
TREASURY BILL	912796HY8	68,970,000	0.31%	30-Jun-16	30-Jun-16	0.52%	68,881,086	Govt	A-1+	United States
TREASURY BILL	912796HY8	168,505,000	0.76%	30-Jun-16	30-Jun-16	0.56%	168,670,175	Govt	A-1+	United States
TREASURY BILL	912796H25	500,000,000	2.24%	7-Jul-16	7-Jul-16	0.52%	499,306,198	Govt	A-1+	United States
TREASURY BILL	912796H25	7,000,000	0.03%	7-Jul-16	7-Jul-16	0.52%	6,990,286	Govt	A-1+	United States
TREASURY BILL	912796H25	493,000,000	2.20%	7-Jul-16	7-Jul-16	0.53%	492,312,556	Govt	A-1+	United States
TREASURY BILL	912796JH5	130,000,000	0.58%	14-Jul-16	14-Jul-16	0.47%	129,824,635	Govt	A-1+	United States
TREASURY BILL	912796JH5	227,146,500	1.02%	14-Jul-16	14-Jul-16	0.48%	226,835,120	Govt	A-1+	United States
TREASURY BILL	912796JH5	109,525,000	0.49%	14-Jul-16	14-Jul-16	0.51%	109,386,075	Govt	A-1+	United States
TREASURY BILL	912796JC4	194,435,000	0.87%	28-Jul-16	28-Jul-16	0.40%	194,184,341	Govt	A-1+	United States
TREASURY BILL	912796J02	105,130,000	0.47%	4-Aug-16	4-Aug-16	0.47%	104,960,741	Govt	A-1+	United States
TREASURY BILL	912796J02	295,000,000	1.32%	4-Aug-16	4-Aug-16	0.47%	294,519,887	Govt	A-1+	United States
TREASURY BILL	912796JF7	172,000,000	0.77%	11-Aug-16	11-Aug-16	0.43%	171,733,114	Govt	A-1+	United States
TREASURY BILL	912796JF7	74,000,000	0.33%	11-Aug-16	11-Aug-16	0.44%	73,881,076	Govt	A-1+	United States
TREASURY BILL	912796JF7	100,000,000	0.40%	11-Aug-16	11-Aug-16	0.45%	99,837,075	Govt	A-1+	United States
TREASURY BILL	912796JH0	90,000,000	0.40%	18-Aug-16	18-Aug-16	0.42%	89,856,500	Govt	A-1+	United States
TREASURY BILL	912796JG5	126,330,000	0.56%	25-Aug-16	25-Aug-16	0.46%	126,095,289	Govt	A-1+	United States
TREASURY BILL	912796JG5	36,835,000	0.16%	25-Aug-16	25-Aug-16	0.47%	36,766,564	Govt	A-1+	United States
TREASURY BILL	912796JH3	178,000,000	0.80%	1-Sep-16	1-Sep-16	0.49%	177,634,507	Govt	A-1+	United States
TREASURY BILL	912796JH3	200,000,000	0.89%	8-Sep-16	8-Sep-16	0.48%	199,575,139	Govt	A-1+	United States
TREASURY BILL	912796HE2	343,000,000	1.53%	15-Sep-16	15-Sep-16	0.52%	342,163,661	Govt	A-1+	United States
TREASURY BILL	912796JH2	300,000,000	1.34%	29-Sep-16	29-Sep-16	0.48%	299,279,593	Govt	A-1+	United States
TREASURY BILL	912796JED	4,630,000	0.02%	2-Mar-17	2-Mar-17	0.67%	4,601,479	Govt	A-1+	United States
TREASURY FLOATING RATE NOTE	912828F70	25,161,000	0.11%	1-Apr-16	31-Oct-16	0.37%	25,170,353	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828F70	8,480,000	0.04%	1-Apr-16	31-Oct-16	0.39%	8,461,666	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828F70	64,523,000	0.29%	1-Apr-16	31-Oct-16	0.41%	64,467,013	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H60	224,293,000	1.00%	1-Apr-16	31-Jan-17	0.39%	224,269,190	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H60	76,000,000	0.34%	1-Apr-16	31-Jan-17	0.39%	75,998,709	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	34,100,000	0.15%	1-Apr-16	30-Apr-17	0.37%	34,101,869	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	50,000,000	0.22%	1-Apr-16	30-Apr-17	0.37%	50,002,182	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	34,100,000	0.15%	1-Apr-16	30-Apr-17	0.37%	34,100,261	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	79,908,000	0.36%	1-Apr-16	30-Apr-17	0.37%	79,911,503	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	100,950,000	0.45%	1-Apr-16	30-Apr-17	0.37%	100,953,315	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	50,000,000	0.22%	1-Apr-16	30-Apr-17	0.38%	50,001,644	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	24,000,000	0.11%	1-Apr-16	30-Apr-17	0.38%	23,999,472	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	186,000,000	0.83%	1-Apr-16	30-Apr-17	0.38%	185,995,921	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H41	35,473,000	0.16%	1-Apr-16	30-Apr-17	0.38%	35,470,667	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H5	107,395,000	0.48%	1-Apr-16	31-Jul-17	0.39%	107,361,942	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H5	33,040,000	0.15%	1-Apr-16	31-Jul-17	0.39%	33,035,315	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H5	25,175,000	0.11%	1-Apr-16	31-Jul-17	0.40%	25,165,815	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H5	100,000,000	0.45%	1-Apr-16	31-Jul-17	0.42%	99,941,905	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828H5	25,000,000	0.11%	1-Apr-16	31-Jul-17	0.42%	24,985,476	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	3,680,000	0.02%	1-Apr-16	31-Oct-17	0.47%	3,660,177	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	67,365,000	0.30%	1-Apr-16	31-Oct-17	0.47%	67,368,243	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	50,515,000	0.23%	1-Apr-16	31-Oct-17	0.47%	50,517,432	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	106,440,000	0.48%	1-Apr-16	31-Oct-17	0.47%	106,439,999	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	210,000,000	0.94%	1-Apr-16	31-Oct-17	0.52%	209,828,468	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	50,000,000	0.22%	1-Apr-16	31-Oct-17	0.54%	49,946,811	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M3	21,325,000	0.10%	1-Apr-16	31-Oct-17	0.61%	21,278,261	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M23	33,492,000	0.15%	1-Apr-16	31-Oct-17	0.63%	33,405,260	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M97	100,610,000	0.45%	1-Apr-16	31-Jan-18	0.54%	100,669,620	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M97	52,090,000	0.23%	1-Apr-16	31-Jan-18	0.54%	52,120,867	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M97	28,025,000	0.13%	1-Apr-16	31-Jan-18	0.54%	28,004,107	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M97	67,165,000	0.30%	1-Apr-16	31-Jan-18	0.59%	67,139,509	Govt	AA+	United States
TREASURY FLOATING RATE NOTE	912828M97	206,835,000	0.92%	30-Apr-16	30-Apr-16	0.37%	206,835,741	Govt	AA+	United States
TREASURY NOTE	912828M97	245,500,000	1.10%	15-May-16	15-May-16	0.21%	245,501,468	Govt	AA+	United States
TREASURY NOTE	912828M97	20,000,000	0.09%	15-May-16	15-May-16	0.35%	20,000,119	Govt	AA+	United States
TREASURY NOTE	912828M97	32,845,000	0.15%	15-May-16	15-May-16	0.39%	32,845,196	Govt	AA+	United States

UNAUDITED Schedule of Investments T-Fund

31-Mar-16

Position Description	CUSIP	Par	% Par	Maturity or Reset	Final Maturity	Yield or Coupon	Amortized Cost	Moody's Rating	S&P Rating	Country
TREASURY NOTE	912828KW9	183,000,000	0.82%	31-May-16	31-May-16	0.24%	183,901,728	Govt	Aa+	United States
TREASURY NOTE	912828KW9	47,320,000	0.21%	31-May-16	31-May-16	0.40%	47,540,634	Govt	Aa+	United States
TREASURY NOTE	912828QP8	117,475,000	0.53%	31-May-16	31-May-16	0.41%	117,737,426	Govt	Aa+	United States
TREASURY NOTE	912828WM8	200,000,000	0.89%	31-May-16	31-May-16	0.41%	199,987,966	Govt	Aa+	United States
TREASURY NOTE	912828WM8	150,000,000	0.87%	31-May-16	31-May-16	0.41%	149,990,999	Govt	Aa+	United States
TREASURY NOTE	912828WM8	88,775,000	0.40%	31-May-16	31-May-16	0.41%	88,769,867	Govt	Aa+	United States
TREASURY NOTE	912828W09	122,610,000	0.55%	30-Jun-16	30-Jun-16	0.35%	122,654,626	Govt	Aa+	United States
TREASURY NOTE	912828KZ2	200,000,000	0.89%	30-Jun-16	30-Jun-16	0.39%	201,409,282	Govt	Aa+	United States
TREASURY NOTE	912828KZ2	110,000,000	0.49%	30-Jun-16	30-Jun-16	0.40%	110,772,848	Govt	Aa+	United States
TREASURY NOTE	912828QR4	200,000,000	0.89%	30-Jun-16	30-Jun-16	0.40%	200,547,309	Govt	Aa+	United States
TREASURY NOTE	912828KZ2	242,000,000	1.08%	30-Jun-16	30-Jun-16	0.40%	243,698,991	Govt	Aa+	United States
TREASURY NOTE	912828KZ2	34,000,000	0.15%	30-Jun-16	30-Jun-16	0.40%	34,238,698	Govt	Aa+	United States
TREASURY NOTE	912828VL1	67,205,000	0.30%	15-Jul-16	15-Jul-16	0.63%	67,202,375	Govt	Aa+	United States
TREASURY NOTE	912828VL1	67,205,000	0.30%	15-Jul-16	15-Jul-16	0.64%	67,197,237	Govt	Aa+	United States
TREASURY NOTE	912828LD0	80,000,000	0.38%	31-Jul-16	31-Jul-16	0.40%	80,763,304	Govt	Aa+	United States
TREASURY NOTE	912828RF9	25,000,000	0.11%	31-Aug-16	31-Aug-16	0.54%	25,046,953	Govt	Aa+	United States
TREASURY NOTE	912828RF9	35,715,000	0.16%	31-Dec-16	31-Dec-16	0.57%	35,796,436	Govt	Aa+	United States
TREASURY NOTE	912828RX0	92,000,000	0.41%	31-Dec-16	31-Dec-16	0.63%	92,189,134	Govt	Aa+	United States
TREASURY NOTE	912828SC5	50,265,000	0.22%	31-Jan-17	31-Jan-17	0.52%	50,412,561	Govt	Aa+	United States
TREASURY NOTE	912828SC5	60,315,000	0.27%	31-Jan-17	31-Jan-17	0.53%	60,490,030	Govt	Aa+	United States
TREASURY NOTE	912828SC5	100,525,000	0.45%	31-Jan-17	31-Jan-17	0.54%	100,803,150	Govt	Aa+	United States

Treasury Repurchase Agreement

0 61.57%

11,636,689,000

PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD731	88,467,750	0.40%	1-Apr-16	1-Apr-16	0.30%	88,467,750	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD749	65,250,000	0.29%	1-Apr-16	1-Apr-16	0.30%	65,250,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD736	48,250,000	0.22%	1-Apr-16	1-Apr-16	0.30%	48,250,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD756	39,647,250	0.18%	1-Apr-16	1-Apr-16	0.30%	39,647,250	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7F4	39,588,750	0.18%	1-Apr-16	1-Apr-16	0.30%	39,588,750	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD707	36,225,000	0.16%	1-Apr-16	1-Apr-16	0.30%	36,225,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7J6	35,962,500	0.16%	1-Apr-16	1-Apr-16	0.30%	35,962,500	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7L1	31,937,500	0.14%	1-Apr-16	1-Apr-16	0.30%	31,937,500	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD780	28,562,500	0.13%	1-Apr-16	1-Apr-16	0.30%	28,562,500	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD780	28,200,000	0.13%	1-Apr-16	1-Apr-16	0.30%	28,200,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD715	27,708,750	0.12%	1-Apr-16	1-Apr-16	0.30%	27,708,750	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7G2	27,687,500	0.12%	1-Apr-16	1-Apr-16	0.30%	27,687,500	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD764	26,680,000	0.10%	1-Apr-16	1-Apr-16	0.30%	26,680,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7N7	23,375,000	0.10%	1-Apr-16	1-Apr-16	0.30%	23,375,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD772	20,795,500	0.09%	1-Apr-16	1-Apr-16	0.30%	20,795,500	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD723	17,750,000	0.08%	1-Apr-16	1-Apr-16	0.30%	17,750,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD7G7	230,000,000	1.03%	1-Apr-16	1-Apr-16	0.30%	230,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK7L77	345,534,000	1.54%	1-Apr-16	1-Apr-16	0.27%	345,534,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK7C05	177,569,000	0.79%	1-Apr-16	1-Apr-16	0.30%	177,569,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD214	262,000,000	1.17%	7-Apr-16	7-Apr-16	0.30%	262,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD5H4	46,000,000	0.21%	1-Apr-16	1-Apr-16	0.31%	46,000,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKD0C5	55,000,000	0.25%	1-Apr-16	1-Apr-16	0.28%	55,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK5IG8	6,200,000,000	27.72%	1-Apr-16	1-Apr-16	0.25%	6,200,000,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRSM2LWJ3	531,000,000	2.37%	1-Apr-16	1-Apr-16	0.27%	531,000,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0G27	65,823,000	0.29%	1-Apr-16	1-Apr-16	0.27%	65,823,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0C68	9,000,000	0.04%	1-Apr-16	1-Apr-16	0.27%	9,000,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOG0Q55	605,000,000	2.70%	5-Apr-16	5-Apr-16	0.28%	605,000,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0C35	66,568,000	0.30%	1-Apr-16	1-Apr-16	0.31%	66,568,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRSHLSR10	250,000,000	1.12%	1-Apr-16	1-Apr-16	0.31%	250,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKDFV4	624,000,000	2.79%	1-Apr-16	1-Apr-16	0.28%	624,000,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKBDMD	250,000,000	1.12%	1-Apr-16	1-Apr-16	0.28%	250,000,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOKBM40	45,000,000	0.20%	1-Apr-16	1-Apr-16	0.28%	45,000,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0DF8	25,398,000	0.11%	1-Apr-16	1-Apr-16	0.28%	25,398,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0C01	11,000,000	0.05%	1-Apr-16	1-Apr-16	0.27%	11,000,000	NR	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0F70	4,000,000	0.02%	1-Apr-16	1-Apr-16	0.27%	4,000,000	P-1	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0DP6	113,000,000	0.51%	1-Apr-16	1-Apr-16	0.32%	113,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BR5ZWT673	125,000,000	0.56%	5-Apr-16	5-Apr-16	0.35%	125,000,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0G6	13,000,000	0.06%	1-Apr-16	1-Apr-16	0.30%	13,000,000	NR	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0GE1	72,334,000	0.32%	1-Apr-16	1-Apr-16	0.30%	72,334,000	P-1	A-1	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0GF8	100,000,000	0.45%	1-Apr-16	1-Apr-16	0.31%	100,000,000	P-1	A-1+	United States
PRUDENTIAL INSURANCE COMPANY OF AMERICA	BRTOK0GL5	625,000,000	2.79%	1-Apr-16	1-Apr-16	0.31%	625,000,000	NR	A-1+	United States

TOTAL

22,370,325,500

100.00%

22,369,420,544

P = Put

UNAUDITED Schedule of Investments T-Fund

31-Mar-16

Position Description	CUSIP	Par	% Par	Maturity or Reset	Final Maturity	Yield or Coupon	Amortized Cost	Moody's Rating	S&P Rating	Country
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Holdings shown are unaudited and are based on the fund's unofficial books and records, and may not be representative of current or future investments. Fund holdings should not be relied on in making investment decisions and should not be construed as research or investment advice regarding particular securities.

WAM, Dollar-Weighted Average Maturity. The average maturity of a Fund is the average amount of time until the organizations that issued the debt securities in the Fund's portfolio must pay off the principal amount of the debt. "Dollar-weighted" means the larger the dollar value of a debt security in the Fund, the more weight it gets in calculating this average. To calculate the dollar-weighted average maturity, the Fund may treat a variable or floating rate security as having a maturity equal to the time remaining to the security's next interest rate reset data rather than the security's actual maturity.

WAL, Dollar-Weighted Average Life. The dollar-weighted average maturity of a Fund's portfolio calculated without reference to the exceptions used for variable or floating rate securities regarding the use of the interest rate reset dates in lieu of the security's actual maturity data. "Dollar-weighted" means the larger the dollar value of a debt security in the Fund, the more weight it gets in calculating this average.

The "Country" data point within this SCI represents BlackRock's view of each security's country risk.

The Fund's current prospectus contains more complete information about the Fund, including its fees and expenses. For a current prospectus of the fund which contains more complete information, please call 1-800-766-2836 or visit www.blackrock.com/cash. Before investing, consider the investment objectives, risks, charges and expenses of the fund. This and other information can be found in the fund's prospectus and if available the summary prospectus. Read the prospectus carefully before you invest or send money.

You could lose money by investing in the Fund. Although the Fund seeks to preserve the value of your investment at \$1.00 per share, it cannot guarantee it will do so. An investment in the Fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. The Fund's sponsor has no legal obligation to provide financial support to the Fund, and you should not expect that the sponsor will provide financial support to the Fund at any time.

The schedule of investments (SCI) represents certain information regarding the traded positions held within the portfolio as of the specified date. It does not include cash, accrued income and/or payables/receivables. The total assets reflected on the SCI will not match to the net asset value of the fund as these items are excluded.

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Morgan Stanley Institutional Liquidity Funds

Portfolio Holdings

www.morganstanley.com/liquidity

Fund Name	Morgan Stanley Institutional Liquidity Funds - Government Portfolio
Statement Date	Unaudited Holdings as of March 31, 2016
WAM (Days)	28 Days
WAL (Days)	106 Days
Net Assets	37,485,571,405

INVESTMENT CATEGORY	ISSUER	CUSIP	PRINCIPAL AMOUNT	COUPON RATE ⁽¹⁾	EFFECTIVE MATURITY DATE ⁽²⁾	FINAL MATURITY DATE ⁽³⁾	AMORTIZED COST ⁽⁴⁾ /VALUE	% of Amortized Cost
Government Agency Debt	Federal Farm Credit Bank	313312YJ3	\$ 60,000,000	0.592%	06/20/16	06/20/16	\$ 59,921,333	0.17%
Government Agency Debt	Federal Farm Credit Bank	3133EFRR0	200,000,000	0.568	04/04/16	12/04/17	200,000,000	0.55%
Government Agency Debt	Federal Farm Credit Bank	3133EEQC7	80,000,000	0.517	05/15/16	08/15/16	79,998,428	0.22%
Government Agency Debt	Federal Farm Credit Bank	3133EFNV5	21,000,000	0.502	04/10/16	08/10/17	20,995,722	0.06%
Government Agency Debt	Federal Farm Credit Bank	3133EE4F4	150,000,000	0.481	04/28/16	07/28/16	149,997,098	0.41%
Government Agency Debt	Federal Farm Credit Bank	3133EDV25	100,000,000	0.466	04/15/16	05/15/17	100,051,811	0.28%
Government Agency Debt	Federal Farm Credit Bank	3133EFLK1	299,000,000	0.458	04/26/16	07/26/17	298,959,738	0.82%
Government Agency Debt	Federal Farm Credit Bank	3133EFLT2	140,000,000	0.458	04/28/16	08/28/17	139,979,882	0.39%
Government Agency Debt	Federal Farm Credit Bank	3133EEZX1	175,000,000	0.432	04/24/16	07/24/17	175,024,219	0.48%
Government Agency Debt	Federal Farm Credit Bank	3133EERK8	105,000,000	0.426	04/02/16	12/02/16	104,996,702	0.29%
Government Agency Debt	Federal Farm Credit Bank	3133EENG1	184,000,000	0.421	04/09/16	08/09/16	183,993,507	0.51%
Government Agency Debt	Federal Farm Credit Bank	3133EDVD1	90,540,000	0.417	04/19/16	12/19/16	90,538,585	0.25%
Government Agency Debt	Federal Farm Credit Bank	3133EECK4	200,000,000	0.412	04/20/16	05/20/16	200,005,488	0.55%
Government Agency Debt	Federal Farm Credit Bank	3133EEUU2	60,000,000	0.402	04/23/16	09/23/16	59,997,874	0.17%
Government Agency Debt	Federal Farm Credit Bank	3133EEAY6	150,000,000	0.401	04/06/16	04/06/16	149,999,750	0.41%
Government Agency Debt	Federal Farm Credit Bank	3133EE2M1	75,000,000	0.388	04/30/16	08/30/16	75,000,000	0.21%
Government Agency Debt	Federal Home Loan Bank	313384ZC6	500,000,000	0.652	07/08/16	07/08/16	499,115,278	1.38%
Government Agency Debt	Federal Home Loan Bank	313384XY0	403,400,000	0.652	06/10/16	06/10/16	402,890,147	1.11%
Government Agency Debt	Federal Home Loan Bank	313384YJ2	233,000,000	0.642	06/20/16	06/20/16	232,668,622	0.64%
Government Agency Debt	Federal Home Loan Bank	313384XY0	15,500,000	0.642	06/10/16	06/10/16	15,480,711	0.04%
Government Agency Debt	Federal Home Loan Bank	313384YF0	465,000,000	0.642	06/17/16	06/17/16	464,363,467	1.28%
Government Agency Debt	Federal Home Loan Bank	313384XY0	113,000,000	0.642	06/10/16	06/10/16	112,859,378	0.31%
Government Agency Debt	Federal Home Loan Bank	313384XR5	410,100,000	0.632	06/03/16	06/03/16	409,647,865	1.13%
Government Agency Debt	Federal Home Loan Bank	313384YD5	354,100,000	0.622	06/15/16	06/15/16	353,642,621	0.98%
Government Agency Debt	Federal Home Loan Bank	3130A7F59	75,000,000	0.616	06/07/16	09/07/17	75,000,000	0.21%
Government Agency Debt	Federal Home Loan Bank	3130A7F83	204,000,000	0.615	06/01/16	09/01/17	204,000,000	0.56%

Government Agency Debt	Federal Home Loan Bank	3130A7DD4	200,000,000	0.610	06/11/16	09/11/17	199,985,441	0.55%
Government Agency Debt	Federal Home Loan Bank	3130A7EC5	100,000,000	0.609	05/25/16	08/25/17	99,999,355	0.28%
Government Agency Debt	Federal Home Loan Bank	313384YF0	210,000,000	0.607	06/17/16	06/17/16	209,728,254	0.58%
Government Agency Debt	Federal Home Loan Bank	313384YF0	50,000,000	0.602	06/17/16	06/17/16	49,935,833	0.14%
Government Agency Debt	Federal Home Loan Bank	3130A7DE1	53,000,000	0.593	06/13/16	09/13/17	52,996,051	0.15%
Government Agency Debt	Federal Home Loan Bank	3130A7EE1	57,250,000	0.593	05/23/16	08/23/17	57,247,321	0.16%
Government Agency Debt	Federal Home Loan Bank	3130A7B27	179,000,000	0.589	05/21/16	08/21/17	178,974,945	0.49%
Government Agency Debt	Federal Home Loan Bank	3130A7JA4	300,000,000	0.587	06/14/16	06/14/17	300,000,000	0.83%
Government Agency Debt	Federal Home Loan Bank	3130A7EK7	457,500,000	0.565	06/23/16	03/23/17	457,500,000	1.26%
Government Agency Debt	Federal Home Loan Bank	3130A7DT9	250,000,000	0.558	06/14/16	03/14/17	250,000,000	0.69%
Government Agency Debt	Federal Home Loan Bank	3130A7E35	455,000,000	0.558	06/22/16	03/22/17	455,000,000	1.25%
Government Agency Debt	Federal Home Loan Bank	313384ZZ5	141,250,000	0.511	07/29/16	07/29/16	141,011,876	0.39%
Government Agency Debt	Federal Home Loan Bank	313384G94	265,000,000	0.511	09/23/16	09/23/16	264,343,022	0.73%
Government Agency Debt	Federal Home Loan Bank	3130A5QZ5	300,000,000	0.490	06/24/16	06/24/16	299,995,491	0.83%
Government Agency Debt	Federal Home Loan Bank	3130A6AC1	250,000,000	0.483	05/21/16	02/21/17	249,964,567	0.69%
Government Agency Debt	Federal Home Loan Bank	3130A6BE6	525,000,000	0.482	05/14/16	02/14/17	524,926,988	1.45%
Government Agency Debt	Federal Home Loan Bank	3130A76N0	136,000,000	0.464	04/01/16	08/01/16	136,000,000	0.37%
Government Agency Debt	Federal Home Loan Bank	3130A76U4	70,000,000	0.461	04/02/16	08/02/16	69,999,457	0.19%
Government Agency Debt	Federal Home Loan Bank	3130A6SJ7	370,000,000	0.441	04/16/16	05/16/16	370,000,000	1.02%
Government Agency Debt	Federal Home Loan Bank	3130A6HB6	100,000,000	0.423	04/25/16	07/25/16	100,000,000	0.28%
Government Agency Debt	Federal Home Loan Bank	3130A6EX1	150,000,000	0.414	04/01/16	09/01/16	150,000,000	0.41%
Government Agency Debt	Federal Home Loan Bank	3130A6ES2	50,000,000	0.411	04/02/16	09/02/16	50,000,210	0.14%
Government Agency Debt	Federal Home Loan Bank	3130A6EP8	233,500,000	0.408	04/08/16	09/08/16	233,500,000	0.64%
Government Agency Debt	Federal Home Loan Bank	3130A6E78	110,000,000	0.403	04/26/16	08/26/16	109,998,516	0.30%
Government Agency Debt	Federal Home Loan Bank	313384XR5	421,000,000	0.400	06/03/16	06/03/16	420,705,300	1.16%
Government Agency Debt	Federal Home Loan Bank	3130A6DD6	250,000,000	0.393	04/26/16	05/26/16	250,000,000	0.69%
Government Agency Debt	Federal Home Loan Bank	3130A5VQ9	250,000,000	0.388	04/08/16	07/08/16	250,000,000	0.69%
Government Agency Debt	Federal Home Loan Bank	3130A5VY2	100,000,000	0.386	04/15/16	07/15/16	100,000,000	0.28%
Government Agency Debt	Federal Home Loan Bank	3130A6CA3	150,000,000	0.385	04/18/16	05/18/16	150,000,000	0.41%
Government Agency Debt	Federal Home Loan Bank	3130A6CH8	100,000,000	0.385	04/18/16	05/18/16	100,000,000	0.28%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G8PF0	95,000,000	0.654	06/08/16	03/08/18	95,000,000	0.26%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G8HY8	400,000,000	0.562	04/21/16	07/21/17	399,946,326	1.10%
Government Agency Debt	Federal Home Loan Mortgage Corp.	313396WK5	50,000,000	0.516	05/04/16	05/04/16	49,976,396	0.14%
Government Agency Debt	Federal Home Loan Mortgage Corp.	313396WA7	464,000,000	0.511	04/25/16	04/25/16	463,842,240	1.28%
Government Agency Debt	Federal Home Loan Mortgage Corp.	313396WC3	464,000,000	0.511	04/27/16	04/27/16	463,829,093	1.28%
Government Agency Debt	Federal Home Loan Mortgage Corp.	313396WB5	1,000,000,000	0.511	04/26/16	04/26/16	999,645,833	2.76%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G5PN9	250,000,000	0.507	05/14/16	11/14/16	249,999,609	0.69%

Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G75N7	335,000,000	0.473	04/27/16	04/27/17	334,926,935	0.92%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G72G5	247,600,000	0.437	04/20/16	04/20/17	247,560,145	0.68%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G6AE3	809,000,000	0.427	04/21/16	07/21/16	808,974,781	2.23%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G5UC7	375,000,000	0.416	04/15/16	06/15/16	374,988,218	1.03%
Government Agency Debt	Federal Home Loan Mortgage Corp.	3134G7TF8	230,000,000	0.387	04/24/16	08/24/16	230,000,000	0.63%
Government Agency Debt	Federal National Mortgage Association	313588YD1	90,000,000	0.622	06/15/16	06/15/16	89,883,750	0.25%
Government Agency Debt	Federal National Mortgage Association	313588XW0	60,981,000	0.622	06/08/16	06/08/16	60,909,585	0.17%
Government Agency Debt	Federal National Mortgage Association	3135G0ZW6	200,000,000	0.514	04/21/16	10/21/16	199,994,711	0.55%
Government Agency Debt	Federal National Mortgage Association	3135G0F57	668,500,000	0.451	04/05/16	10/05/17	668,345,641	1.84%
Government Agency Debt	Federal National Mortgage Association	3135G0E66	123,000,000	0.448	04/08/16	09/08/17	122,982,128	0.34%
Government Agency Repurchase Agreement	ABN Amro Securities LLC		352,000,000	0.340	04/01/16	04/01/16	352,000,000	0.97%
Government Agency Repurchase Agreement	Bank of America		100,000,000	0.300	04/01/16	04/01/16	100,000,000	0.28%
Government Agency Repurchase Agreement	Bank of Montreal		260,000,000	0.360	04/07/16	04/07/16	260,000,000	0.72%
Government Agency Repurchase Agreement	Bank of Montreal		155,000,000	0.310	04/01/16	04/01/16	155,000,000	0.43%
Government Agency Repurchase Agreement	Bank of Nova Scotia		860,000,000	0.411	04/07/16	04/07/16	860,000,000	2.37%
Government Agency Repurchase Agreement	Bank of Nova Scotia		100,000,000	0.405	04/07/16	04/07/16	100,000,000	0.28%
Government Agency Repurchase Agreement	Bank of Nova Scotia		410,000,000	0.400	04/07/16	04/07/16	410,000,000	1.13%
Government Agency Repurchase Agreement	Bank of Nova Scotia		95,000,000	0.400	04/07/16	04/07/16	95,000,000	0.26%
Government Agency Repurchase Agreement	Bank of Nova Scotia		362,000,000	0.398	04/07/16	04/07/16	362,000,000	1.00%
Government Agency Repurchase Agreement	BNP Paribas Securities Corp.		500,000,000	0.388	04/07/16	04/07/16	500,000,000	1.38%
Government Agency Repurchase Agreement	BNP Paribas Securities Corp.		20,000,000	0.310	04/01/16	04/01/16	20,000,000	0.06%
Government Agency Repurchase Agreement	Citibank NA		500,000,000	0.320	04/06/16	04/06/16	500,000,000	1.38%
Government Agency Repurchase Agreement	Credit Agricole Corp.		15,000,000	0.310	04/01/16	04/01/16	15,000,000	0.04%
Government Agency Repurchase Agreement	Deutsche Bank Securities, Inc.		375,000,000	0.320	04/01/16	04/01/16	375,000,000	1.03%
Government Agency Repurchase Agreement	HSBC Securities USA, Inc.		400,000,000	0.300	04/01/16	04/01/16	400,000,000	1.10%
Government Agency Repurchase Agreement	ING Financial Markets LLC		75,000,000	0.430	06/03/16	06/03/16	75,000,000	0.21%
Government Agency Repurchase Agreement	ING Financial Markets LLC		235,000,000	0.430	06/17/16	06/17/16	235,000,000	0.65%
Government Agency Repurchase Agreement	ING Financial Markets LLC		150,000,000	0.430	06/17/16	06/17/16	150,000,000	0.41%
Government Agency Repurchase Agreement	ING Financial Markets LLC		95,000,000	0.390	04/22/16	04/22/16	95,000,000	0.26%
Government Agency Repurchase Agreement	ING Financial Markets LLC		500,000,000	0.320	04/07/16	04/07/16	500,000,000	1.38%
Government Agency Repurchase Agreement	ING Financial Markets LLC		61,000,000	0.310	04/01/16	04/01/16	61,000,000	0.17%
Government Agency Repurchase Agreement	Merrill Lynch Pierce Fenner & Smith		500,000,000	0.380	04/07/16	04/07/16	500,000,000	1.38%

Government Agency Repurchase Agreement	Merrill Lynch Pierce Fenner & Smith		30,000,000	0.300	04/01/16	04/01/16	30,000,000	0.08%
Government Agency Repurchase Agreement	Natixis		200,000,000	0.320	04/01/16	04/01/16	200,000,000	0.55%
Government Agency Repurchase Agreement	RBC Capital Markets LLC		550,000,000	0.370	04/07/16	04/07/16	550,000,000	1.52%
Government Agency Repurchase Agreement	RBC Capital Markets LLC		450,000,000	0.340	04/07/16	04/07/16	450,000,000	1.24%
Government Agency Repurchase Agreement	RBC Capital Markets LLC		975,000,000	0.330	04/07/16	04/07/16	975,000,000	2.69%
Government Agency Repurchase Agreement	TD Securities USA LLC		250,000,000	0.330	04/05/16	04/05/16	250,000,000	0.69%
Government Agency Repurchase Agreement	TD Securities USA LLC		125,000,000	0.310	04/06/16	04/06/16	125,000,000	0.34%
Government Agency Repurchase Agreement	Wells Fargo Securities LLC		570,000,000	0.430	06/17/16	06/17/16	570,000,000	1.57%
Government Agency Repurchase Agreement	Wells Fargo Securities LLC		583,000,000	0.430	06/20/16	06/20/16	583,000,000	1.61%
Government Agency Repurchase Agreement	Wells Fargo Securities LLC		145,000,000	0.340	04/05/16	04/05/16	145,000,000	0.40%
Government Agency Repurchase Agreement	Wells Fargo Securities LLC		145,000,000	0.330	04/01/16	04/01/16	145,000,000	0.40%
Government Agency Repurchase Agreement	Wells Fargo Securities LLC		600,000,000	0.320	04/06/16	04/06/16	600,000,000	1.65%
Treasury Debt	U.S. Treasury Bill	912796HX0	940,000,000	0.587	06/16/16	06/16/16	938,839,100	2.59%
Treasury Debt	U.S. Treasury Note	912828LD0	288,000,000	3.250	07/31/16	07/31/16	290,427,884	0.80%
Treasury Repurchase Agreement	Bank of Montreal		325,000,000	0.330	04/04/16	04/04/16	325,000,000	0.90%
Treasury Repurchase Agreement	Citigroup Global Markets, Inc.		450,000,000	0.310	04/07/16	04/07/16	450,000,000	1.24%
Treasury Repurchase Agreement	Credit Agricole Corp.		250,000,000	0.290	04/01/16	04/01/16	250,000,000	0.69%
Treasury Repurchase Agreement	Federal Reserve Bank of New York		3,600,000,000	0.250	04/01/16	04/01/16	3,600,000,000	9.93%
Treasury Repurchase Agreement	HSBC Securities USA, Inc.		350,000,000	0.270	04/01/16	04/01/16	350,000,000	0.97%
Treasury Repurchase Agreement	Merrill Lynch Pierce Fenner & Smith		170,000,000	0.280	04/01/16	04/01/16	170,000,000	0.47%
Treasury Repurchase Agreement	Natixis		314,000,000	0.300	04/01/16	04/01/16	314,000,000	0.87%
Treasury Repurchase Agreement	Prudential Equity Group, Inc.		62,953,870	0.300	04/01/16	04/01/16	62,953,870	0.17%
Treasury Repurchase Agreement	RBC Capital Markets LLC		422,000,000	0.150	04/07/16	04/07/16	422,000,000	1.16%
Treasury Repurchase Agreement	Societe Generale		325,000,000	0.380	04/07/16	04/07/16	325,000,000	0.90%
Treasury Repurchase Agreement	Societe Generale		300,000,000	0.350	04/04/16	04/04/16	300,000,000	0.83%
Treasury Repurchase Agreement	Societe Generale		150,000,000	0.350	04/04/16	04/04/16	150,000,000	0.41%
Treasury Repurchase Agreement	Societe Generale		400,000,000	0.350	04/07/16	04/07/16	400,000,000	1.10%
Treasury Repurchase Agreement	Wells Fargo Bank NA		100,000,000	0.310	04/01/16	04/01/16	100,000,000	0.28%
Treasury Repurchase Agreement	Wells Fargo Securities LLC		60,000,000	0.400	06/08/16	06/08/16	60,000,000	0.17%
					Total Amortized Cost		\$ 36,252,967,098	100.00%
					Net Assets ⁽⁵⁾		\$ 37,485,571,405	

Institutional Funds Contact Details:

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Website: www.morganstanley.com/liquidity

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(1) The coupon rate shown reflects the effective rate as of the date on this report.

(2) The date shown reflects the lower of the stated maturity date or the next interest rate reset date, which are used to calculate the portfolio's weighted average maturity (WAM).

(3) The date shown reflects the lower of the stated final maturity date or the next demand feature date, which are used to calculate the portfolio's weighted average life (WAL).

(4) Amortized Cost - A method of valuation, discussed in rule 2a-7 of the Investment Company Act of 1940, in which a portfolio security is carried at cost and any discount or premium from par is amortized to income on a daily basis over the life of the instrument.

(5) Net Assets - The term used by an investment company to designate the excess of the fair value of securities owned, cash, receivables, and other assets over the liabilities of the company.

WAM (Weighted Average Maturity): The weighted dollar average maturities of all securities held within a 2a-7 fund. WAM is used as a measure of sensitivity to interest rate risk (the longer the maturity the greater the sensitivity). WAM is calculated by using the asset weighted days until maturity of securities in the portfolio calculated by using the lower of the stated maturity date or the next interest rate reset date.

WAL (Weighted Average Life): The weighted dollar average of the life of all securities held within a 2a-7 fund. WAL is used as a measure of sensitivity to liquidity and/or credit risk (the longer the maturity the greater the sensitivity). WAL is calculated by using the asset weighted days until maturity of securities in the portfolio calculated by using the lower of the stated maturity date or the next demand feature date.

Subject to change daily. Provided for informational purposes only and should not be deemed as a recommendation to buy or sell the securities mentioned or securities in the industries shown above.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in the Fund.

Please consider the investment objectives, risks, charges and expenses of the fund carefully before investing. The prospectus contains this and other information about the fund. To obtain a prospectus, contact your financial advisor or download a copy at morganstanley.com/liquidity. Please read the prospectus carefully before investing.

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1428585 Exp: 10/25/2016

BofA[®] Global Capital Management

BofA Government Reserves

Fund Information and Unaudited Holdings as of 02/29/16

Weighted Average Maturity: 39 days
Weighted Average Life: 98 days

ISSUER	INVESTMENT CATEGORY	CUSIP	PRINCIPAL AMOUNT	EFFECTIVE MATURITY DATE	FINAL MATURITY DATE	COUPON RATE	AMORTIZED COST/VALUE	% OF PORTFOLIO
Federal Farm Credit Bank	Government Agency Debt	313312A59	17,997,000	2-Aug-16	2-Aug-16	0.500	17,958,506	0.33%
Federal Farm Credit Bank	Government Agency Debt	313312A67	17,854,000	3-Aug-16	3-Aug-16	0.490	17,816,333	0.33%
Federal Farm Credit Bank	Government Agency Debt	313312A83	17,000,000	5-Aug-16	5-Aug-16	0.500	16,962,931	0.34%
Federal Farm Credit Bank	Government Agency Debt	313312B33	32,237,000	8-Aug-16	8-Aug-16	0.490	32,166,795	0.64%
Federal Farm Credit Bank	Government Agency Debt	313312B74	18,100,000	12-Aug-16	12-Aug-16	0.430	18,064,544	0.36%
Federal Farm Credit Bank	Government Agency Debt	313312C65	17,901,000	19-Aug-16	19-Aug-16	0.470	17,861,036	0.35%
Federal Farm Credit Bank	Government Agency Debt	313312T77	22,089,000	1-Mar-16	1-Mar-16	0.200	22,089,000	0.44%
Federal Farm Credit Bank	Government Agency Debt	313312T74	20,000,000	2-Mar-16	2-Mar-16	0.280	19,999,844	0.39%
Federal Farm Credit Bank	Government Agency Debt	313312U46	25,000,000	8-Mar-16	8-Mar-16	0.390	24,998,104	0.49%
Federal Farm Credit Bank	Government Agency Debt	313312U46	59,000,000	8-Mar-16	8-Mar-16	0.290	58,996,673	1.16%
Federal Farm Credit Bank	Government Agency Debt	313312UD0	50,000,000	11-Mar-16	11-Mar-16	0.300	49,995,833	0.98%
Federal Farm Credit Bank	Government Agency Debt	313312UH1	65,000,000	15-Mar-16	15-Mar-16	0.290	64,992,669	1.28%
Federal Farm Credit Bank	Government Agency Debt	313312UJ7	62,896,000	16-Mar-16	16-Mar-16	0.320	62,887,614	1.24%
Federal Farm Credit Bank	Government Agency Debt	313312UJ7	60,000,000	16-Mar-16	16-Mar-16	0.290	59,992,750	1.18%
Federal Farm Credit Bank	Government Agency Debt	313312UK4	36,037,000	17-Mar-16	17-Mar-16	0.330	36,031,715	0.71%
Federal Farm Credit Bank	Government Agency Debt	313312VQ0	20,000,000	15-Apr-16	15-Apr-16	0.220	19,994,500	0.39%
Federal Farm Credit Bank	Government Agency Debt	313312VU1	33,000,000	19-Apr-16	19-Apr-16	0.210	32,990,568	0.65%
Federal Farm Credit Bank	Government Agency Debt	313312YE4	3,599,000	16-Jun-16	16-Jun-16	0.450	3,594,186	0.07%
Federal Farm Credit Bank	Government Agency Debt	313312ZG8	10,860,000	12-Jul-16	12-Jul-16	0.400	10,843,951	0.21%
Federal Farm Credit Bank	Government Agency Debt	313312ZP8	16,394,000	19-Jul-16	19-Jul-16	0.400	16,368,498	0.32%
Federal Farm Credit Bank	Government Agency Debt	313312ZX1	20,095,000	27-Jul-16	27-Jul-16	0.490	20,054,520	0.40%
Federal Farm Credit Bank	Government Agency Debt	313312KZ4	3,090,000	6-Mar-16	6-Dec-16	0.523	3,092,345	0.06%
Federal Farm Credit Bank	Government Agency Debt	31331K6S4	6,515,000	17-Mar-16	17-Jan-17	0.479	6,518,852	0.13%
Federal Farm Credit Bank	Government Agency Debt	31331KHV5	1,040,000	20-Mar-16	20-Apr-16	0.502	1,040,101	0.02%
Federal Farm Credit Bank	Government Agency Debt	3133EALZ9	3,150,000	18-Apr-16	18-Apr-16	0.780	3,151,801	0.06%
Federal Farm Credit Bank	Government Agency Debt	3133ECSA3	500,000	17-Mar-16	17-Jun-16	0.439	500,016	0.01%
Federal Farm Credit Bank	Government Agency Debt	3133ECY99	1,970,000	26-Mar-16	26-Aug-16	0.454	1,970,245	0.04%
Federal Farm Credit Bank	Government Agency Debt	3133ECZET	11,607,000	12-Mar-16	12-Sep-16	0.456	11,609,209	0.23%
Federal Farm Credit Bank	Government Agency Debt	3133ED2Y7	9,452,000	1-Mar-16	30-Mar-16	0.490	9,452,888	0.19%
Federal Farm Credit Bank	Government Agency Debt	3133ED3B6	16,800,000	3-Mar-16	3-Oct-16	0.447	16,802,314	0.33%
Federal Farm Credit Bank	Government Agency Debt	3133ED4J8	800,000	11-Mar-16	11-Oct-16	0.458	800,053	0.02%
Federal Farm Credit Bank	Government Agency Debt	3133ED6D9	500,000	4-May-16	4-May-16	0.500	500,084	0.01%
Federal Farm Credit Bank	Government Agency Debt	3133EDB35	8,030,000	2-Mar-16	2-Jun-16	0.455	8,030,734	0.16%
Federal Farm Credit Bank	Government Agency Debt	3133EDFB3	4,115,000	13-Mar-16	13-Feb-17	0.467	4,117,153	0.08%
Federal Farm Credit Bank	Government Agency Debt	3133EDFW7	16,434,000	27-Mar-16	27-Feb-17	0.493	16,444,964	0.32%
Federal Farm Credit Bank	Government Agency Debt	3133EDH21	20,359,000	14-Mar-16	14-Sep-16	0.447	20,542,068	0.40%
Federal Farm Credit Bank	Government Agency Debt	3133EDH96	16,391,000	1-Mar-16	18-Mar-16	0.420	16,391,708	0.32%
Federal Farm Credit Bank	Government Agency Debt	3133EDJ37	24,065,000	3-Mar-16	3-Jan-17	0.457	24,068,343	0.47%
Federal Farm Credit Bank	Government Agency Debt	3133EDA11	9,595,000	11-Mar-16	11-Oct-16	0.449	9,595,903	0.19%

Advisory services provided by BofA Advisors, LLC.
Securities offered through BofA Distribution, Inc.,
member SIPC.



NOT FDIC INSURED	May Lose Value
NOT BANK ISSUED	No Bank Guarantee

ISSUER	INVESTMENT CATEGORY	CUSIP	PRINCIPAL AMOUNT	EFFECTIVE MATURITY DATE	FINAL MATURITY DATE	COUPON RATE	AMORTIZED COST/VALUE	% OF PORTFOLIO
Federal Farm Credit Bank	Government Agency Debt	3133EDJN3	5,313,000	21-Apr-16	21-Apr-16	0.420	5,313,120	0.10%
Federal Farm Credit Bank	Government Agency Debt	3133EDJX1	30,238,000	17-Mar-16	17-Apr-17	0.479	30,282,421	0.60%
Federal Farm Credit Bank	Government Agency Debt	3133EDKA9	14,945,000	28-Mar-16	28-Dec-16	0.468	14,951,300	0.29%
Federal Farm Credit Bank	Government Agency Debt	3133EDJL26	3,588,000	6-May-16	6-May-16	0.450	3,588,167	0.07%
Federal Farm Credit Bank	Government Agency Debt	3133EDJLJ9	2,984,000	14-Mar-16	14-Nov-16	0.437	2,984,218	0.06%
Federal Farm Credit Bank	Government Agency Debt	3133EDMX7	39,835,000	5-Jun-16	5-Jun-17	0.458	39,818,749	0.78%
Federal Farm Credit Bank	Government Agency Debt	3133EDNS7	20,000,000	20-Jun-16	20-Jun-16	0.450	20,002,789	0.39%
Federal Farm Credit Bank	Government Agency Debt	3133EDP30	1,500,000	24-Mar-16	24-Mar-17	0.473	1,500,652	0.03%
Federal Farm Credit Bank	Government Agency Debt	3133EDPM8	6,640,000	3-Mar-16	3-Oct-16	0.402	6,638,861	0.13%
Federal Farm Credit Bank	Government Agency Debt	3133EDQD7	10,000,000	14-Mar-16	14-Jul-17	0.457	10,006,936	0.20%
Federal Farm Credit Bank	Government Agency Debt	3133EDR38	43,000,000	1-Mar-16	1-Aug-16	0.411	42,999,125	0.85%
Federal Farm Credit Bank	Government Agency Debt	3133EDRH7	19,935,000	4-Mar-16	6-Sep-16	0.413	19,934,266	0.39%
Federal Farm Credit Bank	Government Agency Debt	3133EDTT9	250,000	29-Mar-16	29-Aug-17	0.478	249,548	0.01%
Federal Farm Credit Bank	Government Agency Debt	3133EDUD2	2,055,000	4-Mar-16	4-Aug-17	0.468	2,054,127	0.04%
Federal Farm Credit Bank	Government Agency Debt	3133EDW81	4,980,000	26-Mar-16	26-Apr-17	0.464	4,976,711	0.10%
Federal Farm Credit Bank	Government Agency Debt	3133EDWK4	785,000	29-Mar-16	29-Nov-16	0.439	785,121	0.02%
Federal Farm Credit Bank	Government Agency Debt	3133EDZW5	12,700,000	29-Mar-16	29-Mar-17	0.458	12,707,514	0.25%
Federal Farm Credit Bank	Government Agency Debt	3133EEBR0	5,940,000	13-Mar-16	13-Nov-17	0.457	5,932,221	0.12%
Federal Farm Credit Bank	Government Agency Debt	3133EECC5	15,680,000	24-Mar-16	24-Jan-17	0.414	15,679,360	0.31%
Federal Farm Credit Bank	Government Agency Debt	3133EECD0	28,085,000	20-Mar-16	20-Jun-17	0.462	28,101,686	0.59%
Federal Farm Credit Bank	Government Agency Debt	3133EECF1	1,155,000	24-Mar-16	20-May-17	0.478	1,153,672	0.02%
Federal Farm Credit Bank	Government Agency Debt	3133EEV3	30,000,000	20-Mar-16	20-Oct-16	0.417	29,992,216	0.59%
Federal Farm Credit Bank	Government Agency Debt	3133EELD0	8,599,000	23-Mar-16	23-Feb-17	0.439	8,599,692	0.17%
Federal Farm Credit Bank	Government Agency Debt	3133EEMU1	46,409,000	4-Mar-16	6-Feb-17	0.438	46,417,638	0.91%
Federal Farm Credit Bank	Government Agency Debt	3133EERJ1	40,745,000	2-Mar-16	2-Mar-17	0.425	40,751,373	0.80%
Federal Farm Credit Bank	Government Agency Debt	3133EETK6	3,000,000	16-Aug-16	16-Aug-16	0.550	3,001,033	0.06%
Federal Farm Credit Bank	Government Agency Debt	3133EETL4	39,125,000	1-Mar-16	13-Jul-17	0.460	39,119,588	0.77%
Federal Farm Credit Bank	Government Agency Debt	3133EUP3	20,500,000	23-Mar-16	23-Mar-16	0.330	20,501,310	0.40%
Federal Farm Credit Bank	Government Agency Debt	3133EEW22	61,750,000	20-Mar-16	20-Mar-17	0.402	61,745,352	1.22%
Federal Farm Credit Bank	Government Agency Debt	3133EEW55	658,000	2-Mar-16	2-Jan-18	0.465	656,539	0.01%
Federal Farm Credit Bank	Government Agency Debt	3133EEEXN5	1,880,000	8-Mar-16	8-Dec-17	0.463	1,875,792	0.04%
Federal Farm Credit Bank	Government Agency Debt	3133EEFCL9	30,300,000	4-Mar-16	4-Apr-17	0.419	30,298,298	0.60%
Federal Farm Credit Bank	Government Agency Debt	3133EFD06	30,000,000	15-Mar-16	15-Jun-17	0.422	29,992,124	0.59%
Federal Farm Credit Bank	Government Agency Debt	3133EFTJ5	16,675,000	13-Mar-16	13-Oct-17	0.437	16,647,819	0.33%
Federal Farm Credit Bank	Government Agency Debt	3133EFPD3	17,699,000	16-Mar-16	16-Mar-17	0.451	17,691,590	0.35%
Federal Farm Credit Bank	Government Agency Debt	3130A3FM1	700,000	1-Mar-16	1-Mar-16	0.300	700,000	0.01%
Federal Farm Credit Bank	Government Agency Debt	3130A3KJ2	2,500,000	18-Mar-16	18-Mar-16	0.320	2,500,010	0.05%
Federal Farm Credit Bank	Government Agency Debt	3130A46M9	1,185,000	9-Mar-16	9-Mar-16	0.340	1,184,988	0.02%
Federal Farm Credit Bank	Government Agency Debt	3130A4BR2	2,650,000	14-Mar-16	14-Mar-16	0.340	2,649,919	0.05%
Federal Farm Credit Bank	Government Agency Debt	3130A5VZ9	78,910,000	9-Mar-16	9-Jan-17	0.384	78,908,222	1.55%
Federal Farm Credit Bank	Government Agency Debt	3130A6E2	8,190,000	5-Aug-16	5-Aug-16	0.410	8,187,322	0.16%
Federal Farm Credit Bank	Government Agency Debt	3130A6C96	40,585,000	14-Mar-16	14-Feb-17	0.397	40,585,000	0.80%
Federal Farm Credit Bank	Government Agency Debt	3130A6DH7	28,200,000	20-Mar-16	17-Aug-17	0.432	28,200,000	0.56%
Federal Farm Credit Bank	Government Agency Debt	3130A6FN2	37,875,000	8-Mar-16	8-Mar-16	0.398	37,875,000	0.75%
Federal Farm Credit Bank	Government Agency Debt	3130A6JS7	112,180,000	15-Apr-16	15-Apr-16	0.240	112,179,656	2.21%
Federal Farm Credit Bank	Government Agency Debt	3130A6L70	7,350,000	7-Apr-16	7-Apr-16	0.220	7,348,941	0.13%
Federal Farm Credit Bank	Government Agency Debt	3130A6P50	22,715,000	21-Mar-16	21-Apr-17	0.447	22,705,870	0.45%
Federal Farm Credit Bank	Government Agency Debt	3130A7CH6	44,475,000	19-Aug-16	19-Aug-16	0.460	44,467,613	0.88%
Federal Farm Credit Bank	Government Agency Debt	3130A7DV4	25,465,000	26-Aug-16	26-Aug-16	0.490	25,463,068	0.50%
Federal Farm Credit Bank	Government Agency Debt	313373ZN5	4,520,000	6-Jun-16	6-Jun-16	2.030	4,539,208	0.09%
Federal Farm Credit Bank	Government Agency Debt	313375RN9	36,796,000	11-Mar-16	11-Mar-16	1.000	36,796,976	0.72%
Federal Farm Credit Bank	Government Agency Debt	3133834R9	21,115,000	24-Jun-16	24-Jun-16	0.375	21,109,363	0.42%

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ISSUER	INVESTMENT CATEGORY	CUSIP	PRINCIPAL AMOUNT	EFFECTIVE MATURITY DATE	FINAL MATURITY DATE	COUPON RATE	AMORTIZED COST/VALUE	% OF PORTFOLIO
Federal Home Loan Bank	Government Agency Debt	313384B57	43,000,000	10-Aug-16	10-Aug-16	0.490	42,905,185	0.85%
Federal Home Loan Bank	Government Agency Debt	313384B73	75,000,000	12-Aug-16	12-Aug-16	0.464	74,841,467	1.48%
Federal Home Loan Bank	Government Agency Debt	313384C64	39,795,000	19-Aug-16	19-Aug-16	0.490	39,702,377	0.78%
Federal Home Loan Bank	Government Agency Debt	313384D30	54,000,000	24-Aug-16	24-Aug-16	0.495	53,869,320	1.06%
Federal Home Loan Bank	Government Agency Debt	313384D30	15,000,000	24-Aug-16	24-Aug-16	0.490	14,964,067	0.30%
Federal Home Loan Bank	Government Agency Debt	313384TT6	200,000,000	1-Mar-16	1-Mar-16	0.100	200,000,000	3.94%
Federal Home Loan Bank	Government Agency Debt	313384TT9	70,316,000	4-Mar-16	4-Mar-16	0.360	70,313,891	1.38%
Federal Home Loan Bank	Government Agency Debt	313384TW9	13,855,000	4-Mar-16	4-Mar-16	0.400	13,854,538	0.27%
Federal Home Loan Bank	Government Agency Debt	313384TW9	56,969,000	4-Mar-16	4-Mar-16	0.300	56,967,576	1.12%
Federal Home Loan Bank	Government Agency Debt	313384TZ2	19,756,000	7-Mar-16	7-Mar-16	0.290	19,755,045	0.39%
Federal Home Loan Bank	Government Agency Debt	313384UA5	13,607,000	8-Mar-16	8-Mar-16	0.295	13,606,219	0.27%
Federal Home Loan Bank	Government Agency Debt	313384UB3	34,910,000	9-Mar-16	9-Mar-16	0.380	34,907,052	0.69%
Federal Home Loan Bank	Government Agency Debt	313384UB3	27,709,000	9-Mar-16	9-Mar-16	0.480	27,706,044	0.53%
Federal Home Loan Bank	Government Agency Debt	313384UB3	143,000,000	9-Mar-16	9-Mar-16	0.310	142,990,149	2.82%
Federal Home Loan Bank	Government Agency Debt	313384UD9	46,065,000	11-Mar-16	11-Mar-16	0.480	46,058,858	0.91%
Federal Home Loan Bank	Government Agency Debt	313384UD9	79,091,000	11-Mar-16	11-Mar-16	0.350	79,083,311	1.56%
Federal Home Loan Bank	Government Agency Debt	313384UD9	10,840,000	11-Mar-16	11-Mar-16	0.320	10,839,036	0.21%
Federal Home Loan Bank	Government Agency Debt	313384UD9	78,061,000	11-Mar-16	11-Mar-16	0.300	78,054,495	1.54%
Federal Home Loan Bank	Government Agency Debt	313384UD9	25,411,000	11-Mar-16	11-Mar-16	0.293	25,408,932	0.50%
Federal Home Loan Bank	Government Agency Debt	313384UG2	62,489,000	14-Mar-16	14-Mar-16	0.310	62,482,005	1.23%
Federal Home Loan Bank	Government Agency Debt	313384UH0	13,865,000	15-Mar-16	15-Mar-16	0.480	13,862,412	0.27%
Federal Home Loan Bank	Government Agency Debt	313384UJ6	53,449,000	16-Mar-16	16-Mar-16	0.455	53,438,867	1.05%
Federal Home Loan Bank	Government Agency Debt	313384UJ6	10,730,000	16-Mar-16	16-Mar-16	0.290	10,728,703	0.21%
Federal Home Loan Bank	Government Agency Debt	313384UJ6	20,938,000	17-Mar-16	17-Mar-16	0.325	20,934,976	0.41%
Federal Home Loan Bank	Government Agency Debt	313384UL1	75,710,000	18-Mar-16	18-Mar-16	0.430	75,694,627	1.49%
Federal Home Loan Bank	Government Agency Debt	313384UL1	29,880,000	18-Mar-16	18-Mar-16	0.435	29,873,862	0.59%
Federal Home Loan Bank	Government Agency Debt	313384UP2	18,000,000	21-Mar-16	21-Mar-16	0.400	17,996,000	0.35%
Federal Home Loan Bank	Government Agency Debt	313384UP2	50,000,000	21-Mar-16	21-Mar-16	0.300	49,991,667	0.98%
Federal Home Loan Bank	Government Agency Debt	313384UP2	52,870,000	21-Mar-16	21-Mar-16	0.290	52,861,482	1.04%
Federal Home Loan Bank	Government Agency Debt	313384UQ0	13,269,000	22-Mar-16	22-Mar-16	0.300	13,266,678	0.26%
Federal Home Loan Bank	Government Agency Debt	313384UR8	134,788,000	23-Mar-16	23-Mar-16	0.440	134,751,757	2.65%
Federal Home Loan Bank	Government Agency Debt	313384UR8	13,239,000	23-Mar-16	23-Mar-16	0.400	13,235,764	0.26%
Federal Home Loan Bank	Government Agency Debt	313384UR8	25,045,000	23-Mar-16	23-Mar-16	0.390	25,039,031	0.49%
Federal Home Loan Bank	Government Agency Debt	313384UR8	64,279,000	23-Mar-16	23-Mar-16	0.380	64,264,073	1.27%
Federal Home Loan Bank	Government Agency Debt	313384UR8	32,140,000	24-Mar-16	24-Mar-16	0.300	32,134,108	0.63%
Federal Home Loan Bank	Government Agency Debt	313384US6	40,953,000	28-Mar-16	28-Mar-16	0.300	40,945,151	0.81%
Federal Home Loan Bank	Government Agency Debt	313384UV7	144,103,000	30-Mar-16	30-Mar-16	0.300	144,070,577	2.84%
Federal Home Loan Bank	Government Agency Debt	313384UV3	37,855,000	1-Apr-16	1-Apr-16	0.250	37,847,376	0.75%
Federal Home Loan Bank	Government Agency Debt	313384V44	7,000,000	8-Apr-16	8-Apr-16	0.225	6,998,644	0.14%
Federal Home Loan Bank	Government Agency Debt	313384VH9	22,000,000	12-Apr-16	12-Apr-16	0.270	21,994,427	0.43%
Federal Home Loan Bank	Government Agency Debt	313384VM8	1,405,000	13-Apr-16	13-Apr-16	0.250	1,404,557	0.03%
Federal Home Loan Bank	Government Agency Debt	313384VNV6	25,000,000	20-Apr-16	20-Apr-16	0.255	19,992,917	0.39%
Federal Home Loan Bank	Government Agency Debt	313384VX4	47,000,000	22-Apr-16	22-Apr-16	0.250	46,983,028	0.93%
Federal Home Loan Bank	Government Agency Debt	313384WC9	13,040,000	27-Apr-16	27-Apr-16	0.260	13,034,632	0.26%
Federal Home Loan Bank	Government Agency Debt	313384XJ3	28,000,000	1-Jun-16	1-Jun-16	0.490	27,973,610	0.55%
Federal Home Loan Bank	Government Agency Debt	313384XP9	17,650,000	5-Jul-16	5-Jul-16	0.500	17,627,898	0.33%
Federal Home Loan Bank	Government Agency Debt	313384Y26	678,000	13-Jul-16	13-Jul-16	0.540	31,366,826	0.62%
Federal Home Loan Bank	Government Agency Debt	313384ZH5	1,856,000	13-Jul-16	13-Jul-16	0.500	1,852,546	0.04%
Federal Home Loan Bank	Government Agency Debt	313384ZK8	12,258,000	15-Jul-16	15-Jul-16	0.567	12,231,743	0.24%

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ISSUER	INVESTMENT CATEGORY	CUSIP	PRINCIPAL AMOUNT	EFFECTIVE MATURITY DATE	FINAL MATURITY DATE	COUPON RATE	AMORTIZED COST/VALUE	% OF PORTFOLIO
Federal Home Loan Bank	Government Agency Debt	313384ZK8	12,572,000	15-Jul-16	15-Jul-16	0.540	12,546,353	0.25%
Federal Home Loan Bank	Government Agency Debt	313384ZQ5	15,791,000	20-Jul-16	20-Jul-16	0.520	15,758,839	0.31%
Federal Home Loan Bank	Government Agency Debt	313384ZQ5	5,262,000	20-Jul-16	20-Jul-16	0.500	5,251,695	0.10%
Federal Home Loan Bank	Government Agency Debt	313384ZS1	104,750,000	22-Jul-16	22-Jul-16	0.504	104,540,290	2.06%
Federal Home Loan Bank	Government Agency Debt	313384ZS1	36,044,000	22-Jul-16	22-Jul-16	0.500	35,972,413	0.71%
Federal Home Loan Bank	Government Agency Debt	313384ZX0	44,000,000	27-Jul-16	27-Jul-16	0.430	43,972,218	0.87%
Federal Home Loan Bank	Government Agency Debt	3133XTIE2	2,505,000	24-Mar-16	24-Mar-16	3.510	2,510,217	0.05%
Federal Home Loan Bank	Government Agency Debt	3133XXCP43	13,115,000	11-Mar-16	11-Mar-16	3.125	13,125,293	0.26%
Tennessee Valley Authority	Government Agency Debt	880592TT7	11,000,000	1-Mar-16	1-Mar-16	0.280	11,000,000	0.22%
Tennessee Valley Authority	Government Agency Debt	880592UA6	51,500,000	8-Mar-16	8-Mar-16	0.300	51,496,996	1.01%
U.S. Treasury Bill	Treasury Debt	912796FZ7	44,579,000	3-Mar-16	3-Mar-16	0.273	44,578,325	0.88%
U.S. Treasury Bill	Treasury Debt	912796FZ7	7,001,000	3-Mar-16	3-Mar-16	0.283	7,000,890	0.14%
U.S. Treasury Bill	Treasury Debt	912796FZ7	55,556,000	3-Mar-16	3-Mar-16	0.220	55,555,321	1.09%
U.S. Treasury Bill	Treasury Debt	912796FZ7	49,656,000	3-Mar-16	3-Mar-16	0.235	49,635,297	0.98%
U.S. Treasury Bill	Treasury Debt	912796FZ7	345,000	3-Mar-16	3-Mar-16	0.194	344,996	0.01%
U.S. Treasury Bill	Treasury Debt	912796HF9	55,556,000	10-Mar-16	10-Mar-16	0.235	55,552,736	1.09%
U.S. Treasury Bill	Treasury Debt	912796HF9	37,230,000	10-Mar-16	10-Mar-16	0.260	37,227,580	0.73%
U.S. Treasury Bill	Treasury Debt	912796HG7	50,000,000	17-Mar-16	17-Mar-16	0.270	49,994,000	0.98%
U.S. Treasury Bill	Treasury Debt	912796HG7	29,630,000	17-Mar-16	17-Mar-16	0.266	29,626,497	0.58%
U.S. Treasury Bill	Treasury Debt	912796HH5	90,000,000	24-Mar-16	24-Mar-16	0.285	89,983,613	1.77%
U.S. Treasury Bill	Treasury Debt	912796HH5	75,030,000	24-Mar-16	24-Mar-16	0.283	75,016,458	1.48%
U.S. Treasury Note	Treasury Debt	912828C81	35,000,000	30-Apr-16	30-Apr-16	0.375	35,001,684	0.69%
U.S. Treasury Note	Treasury Debt	912828US7	172,491,000	15-Mar-16	15-Mar-16	0.375	172,496,576	3.40%
U.S. Treasury Note	Treasury Debt	912828VC1	40,000,000	15-May-16	15-May-16	0.250	39,986,961	0.79%

Please note that the fund holdings report does not include uninvested cash held by the fund. This uninvested cash is included in the funds' assets but is not considered a portfolio holding of the funds under applicable regulatory guidance. Uninvested cash is held at the funds' custodial bank account. The total assets of a fund is the sum of the value of the portfolio holdings plus the uninvested cash held at the custodial bank plus any other assets or receivables applicable to the fund. Please refer to the Overview page of the website for the total net assets of the Fund.

The fund will file its complete schedule of investments with the Securities and Exchange Commission for each month end on Form N-MFP. The funds' Form N-MFP is available 60 days following each month end on the Securities and Exchange Commission's website at www.sec.gov.

The fund also publishes its portfolio of investments at each fiscal quarter end in its annual and semiannual reports and on Form N-Q. To view a copy of a fund's Form N-Q, you can visit the Securities and Exchange Commission's website at www.sec.gov.

Weighted Average Maturity refers to the dollar-weighted average maturity of the fund's holdings, which for floating-rate securities with interest rate reset dates, uses the reset date as the maturity date. Weighted Average Life refers to the dollar-weighted average maturity of the fund's holdings and uses the stated maturity date or if applicable, the mandatory demand feature, rather than the reset date as the maturity date.

The Effective Maturity Date reflects the maturity date of the security as determined under Rule 2a-7 under the Investment Company Act of 1940, as amended, for purposes of calculating the dollar-weighted average maturity. Generally the date represents the earlier of the next interest rate reset date or final legal maturity date. The Final Maturity Date reflects the final legal maturity date of the security, if different from the Effective Maturity Date.

Portfolio characteristics and holdings are subject to change periodically and may not be representative of current holdings. Current and future holdings are subject to risk, including, but not limited to, market and credit risk.

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Holdings as of 03-31-2016. Portfolio holdings are subject to change and may have changed since the date specified.

Heritage Money Market Fund

WELLS
FARGOASSET
MANAGEMENT

Weighted Average Maturity 20 Days
Weighted Average Life 35 Days

Total Holdings

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Asset Backed Commercial Paper							
Albion Capital Corp	01329WDR9	Asset Backed Commercial Paper	0.50	04/25/2016	4/25/2016	125,000,000	124,958,333
Albion Capital Corp	01329WDR9	Asset Backed Commercial Paper	0.50	04/25/2016	4/25/2016	41,615,000	41,601,128
Anglessea Fdg Plc & Ang	0347MSE5	Asset Backed Commercial Paper	0.59	04/04/2016	8/2/2016	225,000,000	225,000,000
Anglessea Fdg Plc & Ang	0347M2D52	Asset Backed Commercial Paper	0.42	04/05/2016	4/5/2016	182,000,000	181,991,507
Anglessea Fdg Plc & Ang	0347MSE19	Asset Backed Commercial Paper	0.59	04/14/2016	9/19/2016	113,000,000	113,000,000
Anglessea Fdg Plc & Ang	0347MSE14	Asset Backed Commercial Paper	0.59	04/04/2016	9/7/2016	100,000,000	100,000,000
Anglessea Fdg Plc & Ang	0347MSE43	Asset Backed Commercial Paper	0.58	04/25/2016	7/15/2016	70,000,000	70,000,000
Anglessea Fdg Plc & Ang	0347MSEF2	Asset Backed Commercial Paper	0.59	04/08/2016	8/10/2016	70,000,000	70,000,000
Anglessea Fdg Plc & Ang	0347MSEM7	Asset Backed Commercial Paper	0.58	04/25/2016	9/29/2016	58,000,000	58,000,000
Anglessea Fdg Plc & Ang	0347MSEH8	Asset Backed Commercial Paper	0.59	04/04/2016	9/7/2016	48,000,000	48,000,000
Antalis S.A	03664AET1	Asset Backed Commercial Paper	0.70	05/27/2016	5/27/2016	38,000,000	37,958,622
Antalis S.A	03664AFA1	Asset Backed Commercial Paper	0.70	06/10/2016	6/10/2016	22,000,000	21,970,056
Antalis S.A	03664AE61	Asset Backed Commercial Paper	0.70	05/06/2016	5/6/2016	15,000,000	14,989,792
Atlantic Asset Secur	04821QDM0	Asset Backed Commercial Paper	0.59	04/18/2016	12/16/2016	150,000,000	149,998,927
Atlantic Asset Secur	04821QDL2	Asset Backed Commercial Paper	0.60	04/11/2016	12/9/2016	141,000,000	140,998,455
Atlantic Asset Secur	04821QDK4	Asset Backed Commercial Paper	0.60	04/05/2016	12/5/2016	136,000,000	135,999,375
Barton Capital Corp.	06945LEC8	Asset Backed Commercial Paper	0.64	05/12/2016	5/12/2016	60,000,000	59,956,267
Barton Capital Corp.	06945LE13	Asset Backed Commercial Paper	0.61	05/18/2016	5/18/2016	30,000,000	29,976,108
Bedford Row Fdg Corp	07644CBL3	Asset Backed Commercial Paper	0.79	04/01/2016	8/1/2016	114,000,000	113,996,179
Bedford Row Fdg Corp	07644CBK5	Asset Backed Commercial Paper	0.80	04/11/2016	7/11/2016	112,000,000	112,000,000
Bedford Row Fdg Corp	07644CBD1	Asset Backed Commercial Paper	0.64	04/14/2016	4/14/2016	100,000,000	100,000,000
Bedford Row Fdg Corp	07644CBBS	Asset Backed Commercial Paper	0.64	04/04/2016	4/4/2016	94,000,000	94,000,000
Bedford Row Fdg Corp	07644CBF6	Asset Backed Commercial Paper	0.64	04/14/2016	4/14/2016	55,000,000	55,000,000
Bedford Row Fdg Corp	07644DBR8	Asset Backed Commercial Paper	0.80	04/07/2016	7/7/2016	54,000,000	54,000,000

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Benningtonsark Cap Co	08224TAM1	Asset Backed Commercial Paper	0.75	05/13/2016	5/13/2016	95,000,000	94,998,319
Benningtonsark Cap Co	08224LED4	Asset Backed Commercial Paper	0.55	05/13/2016	5/13/2016	47,000,000	46,969,842
Benningtonsark Cap Co	08224LED4	Asset Backed Commercial Paper	0.55	05/13/2016	5/13/2016	28,000,000	27,982,033
Chesham Fnc/ Chesh Llc	16537HD15	Asset Backed Commercial Paper	0.40	04/01/2016	4/1/2016	82,000,000	82,000,000
Chesham Fnc/ Chesh Llc	16538KD17	Asset Backed Commercial Paper	0.40	04/01/2016	4/1/2016	82,000,000	82,000,000
Chesham Fnc/ Chesh Llc	16537AD10	Asset Backed Commercial Paper	0.40	04/01/2016	4/1/2016	50,000,000	50,000,000
Collateralized Coml Paper Co L	19423EAH1	Asset Backed Commercial Paper	0.69	04/22/2016	4/22/2016	144,000,000	144,000,000
Colltrzd Coml Paper li	19423CAQ5	Asset Backed Commercial Paper	0.69	04/22/2016	4/22/2016	174,000,000	174,000,000
Colltrzd Coml Paper li	19423CAL6	Asset Backed Commercial Paper	0.74	04/08/2016	6/8/2016	160,000,000	160,000,000
Concord Min Cptl Co	2063COD58	Asset Backed Commercial Paper	0.44	04/05/2016	4/5/2016	100,000,000	99,994,333
Concord Min Cptl Co	2063COD41	Asset Backed Commercial Paper	0.44	04/04/2016	4/4/2016	84,000,000	83,996,920
Concord Min Cptl Co	2063COE40	Asset Backed Commercial Paper	0.51	05/04/2016	5/4/2016	74,000,000	73,965,405
Concord Min Cptl Co	2063COE32	Asset Backed Commercial Paper	0.51	05/03/2016	5/3/2016	69,000,000	68,968,720
Concord Min Cptl Co	2063COD58	Asset Backed Commercial Paper	0.44	04/05/2016	4/5/2016	60,000,000	59,997,067
Concord Min Cptl Co	2063COD58	Asset Backed Commercial Paper	0.44	04/05/2016	4/5/2016	15,000,000	14,999,150
Crown Point Cap Co.	22845AAP5	Asset Backed Commercial Paper	0.63	04/25/2016	10/25/2016	187,000,000	187,000,000
Crown Point Cap Co.	22845AAE0	Asset Backed Commercial Paper	0.58	04/22/2016	8/22/2016	72,000,000	72,000,000
Gotham Fdgcpr	38346LD86	Asset Backed Commercial Paper	0.49	04/08/2016	4/8/2016	100,000,000	99,990,472
Gotham Fdgcpr	38346LE36	Asset Backed Commercial Paper	0.49	05/03/2016	5/3/2016	85,000,000	84,962,978
Gotham Fdgcpr	38346LDF0	Asset Backed Commercial Paper	0.49	04/15/2016	4/15/2016	76,000,000	75,985,518
Gotham Fdgcpr	38346LDS2	Asset Backed Commercial Paper	0.49	04/26/2016	4/26/2016	30,000,000	29,989,792
Halikin Finance Llc Usc	40588LD63	Asset Backed Commercial Paper	0.44	04/06/2016	4/6/2016	114,000,000	113,993,033
Halikin Finance Llc Usc	40588LD55	Asset Backed Commercial Paper	0.44	04/05/2016	4/5/2016	55,000,000	54,997,311
Institutional Secured	45779PD13	Asset Backed Commercial Paper	0.45	04/01/2016	4/1/2016	332,000,000	332,000,000
Institutional Secured	45779PD62	Asset Backed Commercial Paper	0.64	04/06/2016	4/6/2016	167,000,000	166,985,156
Institutional Secured	45779PDU9	Asset Backed Commercial Paper	0.60	04/28/2016	4/28/2016	80,000,000	79,964,000
Institutional Secured	45779PD13	Asset Backed Commercial Paper	0.45	04/01/2016	4/1/2016	68,000,000	68,000,000
Institutional Secured	45779PDC9	Asset Backed Commercial Paper	0.60	04/12/2016	4/12/2016	40,000,000	39,992,667
Institutional Secured	45779PD13	Asset Backed Commercial Paper	0.45	04/01/2016	4/1/2016	39,000,000	39,000,000
Kells Fdglc	48803TAX2	Asset Backed Commercial Paper	0.61	06/13/2016	6/13/2016	118,000,000	117,854,041
Kells Fdglc	48803TAW4	Asset Backed Commercial Paper	0.61	06/09/2016	6/9/2016	60,000,000	59,929,850
Legacy Capital Company	52468LDR2	Asset Backed Commercial Paper	0.67	04/25/2016	4/25/2016	79,103,000	79,067,667
Lexington Plr Cap Co Ll	52952LE40	Asset Backed Commercial Paper	0.61	05/04/2016	5/4/2016	114,000,000	113,936,255

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Lexington Pkr Cap Co LI	52952LDB5	Asset Backed Commercial Paper	0.44	04/11/2016	4/11/2016	100,000,000	99,987,778
Lexington Pkr Cap Co LI	52952LD66	Asset Backed Commercial Paper	0.51	04/06/2016	4/6/2016	70,000,000	69,995,042
Lexington Pkr Cap Co LI	52952LD58	Asset Backed Commercial Paper	0.44	04/05/2016	4/5/2016	66,000,000	65,996,773
Lexington Pkr Cap Co LI	52952LDB5	Asset Backed Commercial Paper	0.44	04/11/2016	4/11/2016	42,000,000	41,994,867
Liberty Funding Llc	53127TFD0	Asset Backed Commercial Paper	0.60	06/13/2016	6/13/2016	98,300,000	98,180,402
Liberty Funding Llc	53127TFE8	Asset Backed Commercial Paper	0.60	06/14/2016	6/14/2016	59,000,000	58,927,233
Liberty Funding Llc	53127TEA7	Asset Backed Commercial Paper	0.53	05/10/2016	5/10/2016	55,000,000	54,968,421
Lma Sa Lmaamericas	53944QE22	Asset Backed Commercial Paper	0.70	05/02/2016	5/2/2016	148,000,000	147,910,789
Lma Sa Lmaamericas	53944QD49	Asset Backed Commercial Paper	0.63	04/04/2016	4/4/2016	111,800,000	111,794,131
Lma Sa Lmaamericas	53944QFA3	Asset Backed Commercial Paper	0.66	06/10/2016	6/10/2016	92,000,000	91,881,933
Lma Sa Lmaamericas	53944QD64	Asset Backed Commercial Paper	0.48	04/06/2016	4/6/2016	90,400,000	90,393,973
Lma Sa Lmaamericas	53944QF88	Asset Backed Commercial Paper	0.65	06/08/2016	6/8/2016	79,000,000	78,903,006
Lma Sa Lmaamericas	53944QE22	Asset Backed Commercial Paper	0.70	05/02/2016	5/2/2016	60,000,000	59,963,833
Lma Sa Lmaamericas	53944QE48	Asset Backed Commercial Paper	0.55	05/04/2016	5/4/2016	57,100,000	57,071,212
Lma Sa Lmaamericas	53944QES5	Asset Backed Commercial Paper	0.65	05/26/2016	5/26/2016	42,100,000	42,058,192
Lma Sa Lmaamericas	53944QEG9	Asset Backed Commercial Paper	0.66	05/24/2016	5/24/2016	24,000,000	23,976,680
Lma Sa Lmaamericas	53944QE97	Asset Backed Commercial Paper	0.68	05/09/2016	5/9/2016	14,800,000	14,789,377
Lma Sa Lmaamericas	53944QFES	Asset Backed Commercial Paper	0.66	06/14/2016	6/14/2016	10,000,000	9,986,433
Manhattanasset Fdg.	56274LD19	Asset Backed Commercial Paper	0.50	04/01/2016	4/1/2016	112,000,000	112,000,000
Manhattanasset Fdg.	56274LD43	Asset Backed Commercial Paper	0.50	04/04/2016	4/4/2016	44,000,000	43,998,167
Matchpointfinance Plc	57666AF23	Asset Backed Commercial Paper	0.66	06/02/2016	6/2/2016	69,000,000	68,921,570
Matchpointfinance Plc	57666AEQ1	Asset Backed Commercial Paper	0.66	05/24/2016	5/24/2016	50,000,000	49,951,417
Matchpointfinance Plc	57666AF23	Asset Backed Commercial Paper	0.66	06/02/2016	6/2/2016	40,000,000	39,954,533
Matchpointfinance Plc	57666AER9	Asset Backed Commercial Paper	0.66	05/25/2016	5/25/2016	35,000,000	34,965,350
Mizuho Corporate Bank	AC10JXND9	Asset Backed Commercial Paper	0.70	04/05/2016	4/5/2016	168,000,000	167,986,956
Mizuho Corporate Bank	AC10JXPR6	Asset Backed Commercial Paper	0.70	04/07/2016	4/7/2016	130,000,000	129,984,858
Mountcliff	62455AD12	Asset Backed Commercial Paper	0.40	04/01/2016	4/1/2016	150,000,000	150,000,000
Mountcliff	62455AFP7	Asset Backed Commercial Paper	0.67	06/23/2016	6/23/2016	147,000,000	146,772,926
Mountcliff	62455AD12	Asset Backed Commercial Paper	0.40	04/01/2016	4/1/2016	22,000,000	22,000,000
Nieuw Amsterdam Recv	65409RF13	Asset Backed Commercial Paper	0.67	06/01/2016	6/1/2016	72,000,000	71,918,260
Old Line Funding Llc	67985CAA9	Asset Backed Commercial Paper	0.64	04/11/2016	6/10/2016	57,000,000	57,000,000
Regency Mkts No.1 L	7588RDF1	Asset Backed Commercial Paper	0.44	04/15/2016	4/15/2016	156,000,000	155,973,307

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Regency Mkts No.1 L	7588R0D79	Asset Backed Commercial Paper	0.43	04/07/2016	4/7/2016	125,000,000	124,991,042
Regency Mkts No.1 L	7588R0D18	Asset Backed Commercial Paper	0.44	04/20/2016	4/20/2016	94,000,000	93,978,171
Regency Mkts No.1 L	7588R0DR5	Asset Backed Commercial Paper	0.44	04/25/2016	4/25/2016	71,000,000	70,979,173
Regency Mkts No.1 L	7588R0D18	Asset Backed Commercial Paper	0.44	04/20/2016	4/20/2016	70,000,000	69,983,744
Regency Mkts No.1 L	7588R0DT1	Asset Backed Commercial Paper	0.44	04/27/2016	4/27/2016	65,000,000	64,979,344
Regency Mkts No.1 L	7588R0DE4	Asset Backed Commercial Paper	0.44	04/14/2016	4/14/2016	56,387,000	56,378,041
Regency Mkts No.1 L	7588R0D79	Asset Backed Commercial Paper	0.43	04/07/2016	4/7/2016	43,000,000	42,996,918
Regency Mkts No.1 L	7588R0DB0	Asset Backed Commercial Paper	0.43	04/11/2016	4/11/2016	37,289,000	37,284,546
Regency Mkts No.1 L	7588R0DJ3	Asset Backed Commercial Paper	0.43	04/18/2016	4/18/2016	29,862,000	29,855,936
Ridgefieldfunding Co	76582HBX5	Asset Backed Commercial Paper	0.65	04/07/2016	7/7/2016	172,000,000	172,000,000
Ridgefieldfunding Co	76582HBQ0	Asset Backed Commercial Paper	0.57	04/29/2016	6/30/2016	169,000,000	169,000,000
Ridgefieldfunding Co	76582HBV3	Asset Backed Commercial Paper	0.79	04/07/2016	7/7/2016	155,000,000	155,000,000
Ridgefieldfunding Co	76582JE34	Asset Backed Commercial Paper	0.62	05/03/2016	5/3/2016	69,000,000	68,961,973
Starbird Fdg. Corp	85521GAD7	Asset Backed Commercial Paper	0.60	04/18/2016	9/16/2016	112,000,000	112,000,000
Starbird Fdg. Corp	85520LEZ7	Asset Backed Commercial Paper	0.63	05/02/2016	5/2/2016	100,000,000	99,945,750
Starbird Fdg. Corp	85520LF67	Asset Backed Commercial Paper	0.66	06/06/2016	6/6/2016	75,000,000	74,909,250
Starbird Fdg. Corp	85520LE50	Asset Backed Commercial Paper	0.63	05/05/2016	5/5/2016	42,000,000	41,975,010
Starbird Fdg. Corp	85520LFA8	Asset Backed Commercial Paper	0.65	06/10/2016	6/10/2016	38,000,000	37,951,972
Starbird Fdg. Corp	85520LF34	Asset Backed Commercial Paper	0.66	06/03/2016	6/3/2016	37,000,000	36,957,265
Starbird Fdg. Corp	85520LF18	Asset Backed Commercial Paper	0.66	06/01/2016	6/1/2016	32,000,000	31,964,213
Starbird Fdg. Corp	85520LE35	Asset Backed Commercial Paper	0.63	05/03/2016	5/3/2016	10,000,000	9,994,400
Thunder Bay Funding Llc	88604EAA2	Asset Backed Commercial Paper	0.64	04/14/2016	6/14/2016	57,000,000	57,000,000
Versaillescds Llc	92512LE56	Asset Backed Commercial Paper	0.63	05/05/2016	5/5/2016	183,000,000	182,891,115
Versaillescds Llc	92512LE64	Asset Backed Commercial Paper	0.63	05/06/2016	5/6/2016	75,000,000	74,954,063
Versaillescds Llc	92512LE98	Asset Backed Commercial Paper	0.63	05/09/2016	5/9/2016	75,000,000	74,950,125
Versaillescds Llc	92512LFD8	Asset Backed Commercial Paper	0.64	06/13/2016	6/13/2016	72,000,000	71,906,560
Versaillescds Llc	92512LG13	Asset Backed Commercial Paper	0.67	07/01/2016	7/1/2016	67,000,000	66,886,528
Versaillescds Llc	92513HRS0	Asset Backed Commercial Paper	0.52	04/08/2016	4/8/2016	61,000,000	61,000,000
Versaillescds Llc	92513HRT8	Asset Backed Commercial Paper	0.51	04/29/2016	4/29/2016	40,000,000	40,000,000
Victory Receivables	92646KE40	Asset Backed Commercial Paper	0.49	05/04/2016	5/4/2016	71,665,000	71,632,810
Victory Receivables	92646KD82	Asset Backed Commercial Paper	0.49	04/08/2016	4/8/2016	66,250,000	66,243,688
Victory Receivables	92646KDE9	Asset Backed Commercial Paper	0.49	04/14/2016	4/14/2016	65,000,000	64,988,499
Victory Receivables	92646KDF6	Asset Backed Commercial Paper	0.49	04/15/2016	4/15/2016	55,000,000	54,989,519

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Victory Receivables	92646KDB5	Asset Backed Commercial Paper	0.49	04/11/2016	4/11/2016	42,000,000	41,994,283
Victory Receivables	92646KE24	Asset Backed Commercial Paper	0.49	05/02/2016	5/2/2016	33,000,000	32,986,076
Victory Receivables	92646KDR0	Asset Backed Commercial Paper	0.49 *	04/25/2016	4/25/2016	31,000,000	30,989,873
Victory Receivables	92646KDF6	Asset Backed Commercial Paper	0.49	04/15/2016	4/15/2016	30,141,000	30,135,256
Victory Receivables	92646KDD6	Asset Backed Commercial Paper	0.49	04/06/2016	4/6/2016	21,000,000	20,998,571
Victory Receivables	92646KDN9	Asset Backed Commercial Paper	0.49	04/22/2016	4/22/2016	9,762,000	9,759,210
Working Cap. Man. Co	98137RD72	Asset Backed Commercial Paper	0.50	04/07/2016	4/7/2016	20,000,000	19,998,333

Certificate of Deposit

Bank Of Montreal	969ALN004	Certificate of Deposit	0.35	04/01/2016	4/1/2016	241,000,000	241,000,000
Bank Of Montreal	969ALM006	Certificate of Deposit	0.39	04/05/2016	4/5/2016	233,000,000	233,000,000
Bank Of Montreal	06366BPD6	Certificate of Deposit	0.84	04/15/2016	4/15/2016	121,000,000	121,004,354
Bank Of Montreal-	06427EEO0	Certificate of Deposit	0.63	04/26/2016	4/26/2016	170,000,000	170,000,000
Bank Of Montreal-	06427ETD3	Certificate of Deposit	0.78	04/19/2016	7/19/2016	117,000,000	117,000,000
Bank Of Nova Scotia	06417GDA3	Certificate of Deposit	0.79	04/06/2016	7/6/2016	186,000,000	186,000,000
Bnp Paribas Cayman	959PSM008	Certificate of Deposit	0.25	04/01/2016	4/1/2016	270,000,000	270,000,000
Citibank New York N.	17304U5N6	Certificate of Deposit	0.58	05/12/2016	5/12/2016	75,000,000	75,000,000
Citibank New York N.	17304U5M8	Certificate of Deposit	0.49	04/12/2016	4/12/2016	55,000,000	55,000,000
Citibank New York N.	17304U4R8	Certificate of Deposit	0.51	04/11/2016	4/11/2016	45,000,000	45,000,000
Citibank New York N.	17304U5U0	Certificate of Deposit	0.58	05/18/2016	5/18/2016	45,000,000	45,000,000
Citibank New York N.	17304U357	Certificate of Deposit	0.58	04/20/2016	4/20/2016	25,000,000	24,999,998
Citibank New York N.	17304U357	Certificate of Deposit	0.58	04/20/2016	4/20/2016	7,000,000	7,000,331
Cooperative Centrale	21684BM76	Certificate of Deposit	0.79	04/07/2016	9/7/2016	114,000,000	114,000,000
Cooperative Centrale	21685VLH0	Certificate of Deposit	0.84	07/12/2016	7/12/2016	69,000,000	69,018,415
Cooperative Centrale	21684BZK3	Certificate of Deposit	0.68	04/12/2016	7/12/2016	63,000,000	63,006,339
Cooperative Centrale	21684BPV0	Certificate of Deposit	0.72	05/06/2016	5/6/2016	13,000,000	13,002,292
Credit Agricole Cib Ky	21599U007	Certificate of Deposit	0.27	04/01/2016	4/7/2016	406,000,000	406,000,000
Dexia Credit Local Sa Ny	25215FAP9	Certificate of Deposit	0.62	04/11/2016	5/11/2016	175,000,000	175,000,000
Dexia Credit Local Sa Ny	25215FAU8	Certificate of Deposit	0.74	04/21/2016	6/21/2016	156,000,000	156,000,000
Dexia Credit Local Sa Ny	25215FAJ3	Certificate of Deposit	0.62	04/18/2016	4/18/2016	150,000,000	150,000,000
Dg Bank Nybranch	23328AN17	Certificate of Deposit	0.50	05/16/2016	5/16/2016	207,000,000	207,000,000
Dg Bank Nybranch	23328ANE0	Certificate of Deposit	0.60	05/11/2016	5/11/2016	197,000,000	197,000,000
Dg Bank Nybranch	23328ANG5	Certificate of Deposit	0.68	06/20/2016	6/20/2016	115,000,000	115,000,000
Dg Bank Nybranch	23328AMZ4	Certificate of Deposit	0.60	04/22/2016	4/22/2016	73,000,000	73,000,000
Dg Bank Nybranch	23328ANL4	Certificate of Deposit	0.60	05/19/2016	5/19/2016	59,000,000	58,999,997
Dg Bank Nybranch	23328ANC4	Certificate of Deposit	0.66	06/06/2016	6/6/2016	10,000,000	10,001,090
Dnb Nor Bkasa	23290Q6E8	Certificate of Deposit	0.81	07/14/2016	7/14/2016	72,000,000	72,014,398
Fortis Bank New York	34959TDN3	Certificate of Deposit	0.61	04/01/2016	4/1/2016	157,000,000	157,000,000
Hsbc	40699F009	Certificate of Deposit	0.30	04/01/2016	4/7/2016	800,000,000	800,000,000
Hsbc	40699F009	Certificate of Deposit	0.30	04/01/2016	4/7/2016	345,000,000	345,000,000
Hsbc Bankusa Na	40428AT56	Certificate of Deposit	0.77	04/01/2016	8/1/2016	166,000,000	166,000,000
Hsbc Bankusa Na	40428AW29	Certificate of Deposit	0.57	05/25/2016	5/25/2016	166,000,000	166,000,000
Hsbc Bankusa Na	40428AU88	Certificate of Deposit	0.66	06/09/2016	6/9/2016	149,000,000	149,000,000
Hsbc Bankusa Na	40428AS65	Certificate of Deposit	0.75	04/05/2016	7/5/2016	110,000,000	110,000,000
Kbc Bank	910HYP000	Certificate of Deposit	0.27	04/01/2016	4/7/2016	508,000,000	508,000,000
Lloyds Bkpic Ny Brn	53943NL71	Certificate of Deposit	0.82	04/12/2016	7/7/2016	193,000,000	193,000,000
Mitsubishi&Bnk C	60682AF35	Certificate of Deposit	0.68	04/06/2016	4/6/2016	172,000,000	172,002,857
Mitsubishi&Bnk C	60682AJ49	Certificate of Deposit	0.62	05/02/2016	5/2/2016	168,000,000	168,010,078
Mitsubishi&Bnk C	60682AN28	Certificate of Deposit	0.65	06/16/2016	6/16/2016	101,000,000	101,000,000

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Mitsubishi Trust And Bkng	ACI0JZTW6	Certificate of Deposit	0.71	04/08/2016	4/8/2016	189,000,000	188,973,952
Mizuho Corporate Bank	ACI0LDS94	Certificate of Deposit	0.69	06/16/2016	6/16/2016	166,000,000	165,758,610
Mizuho Corporate Bank	ACI0KCGZ2	Certificate of Deposit	0.69	04/29/2016	4/29/2016	145,000,000	144,922,314
Mizuho Corporate Bank	ACI0KBLZ8	Certificate of Deposit	0.69	04/27/2016	4/27/2016	135,000,000	134,932,841
Mizuho Corporate Bank	ACI0L3PZ1	Certificate of Deposit	0.70	06/03/2016	6/3/2016	98,000,000	97,880,155
Mizuho Corporate Bank (London)	ACI0KFMZ8	Certificate of Deposit	0.69	05/03/2016	5/3/2016	155,000,000	154,905,095
Natixis Cayman Islands	959PXB006	Certificate of Deposit	0.25	04/01/2016	4/7/2016	325,000,000	325,000,000
Natl Bankof Kuwait Ny	986HJR002	Certificate of Deposit	0.28	04/01/2016	4/1/2016	526,500,000	526,500,000
Nbad Americas N.V.	62878LAP7	Certificate of Deposit	0.31	04/01/2016	4/7/2016	689,000,000	689,000,000
Nordea Bank Fld Plc	65558LKUS	Certificate of Deposit	0.61	04/13/2016	5/13/2016	142,000,000	142,000,000
Norinchukin Bk	65602TQ20	Certificate of Deposit	0.59	05/02/2016	5/2/2016	179,000,000	179,000,000
Norinchukin Bk	65602TZ20	Certificate of Deposit	0.60	06/10/2016	6/10/2016	160,000,000	160,000,000
Norinchukin Bk	65602TY54	Certificate of Deposit	0.60	06/10/2016	6/10/2016	35,000,000	35,000,000
Oversea Chinese Banking	69033LD55	Certificate of Deposit	0.51	05/13/2016	5/13/2016	148,000,000	148,000,000
Oversea Chinese Banking	69033LDH9	Certificate of Deposit	0.51	05/04/2016	5/4/2016	114,000,000	114,000,000
Oversea Chinese Banking	69033LBY4	Certificate of Deposit	0.60	04/20/2016	4/20/2016	100,000,000	100,000,000
Royal Bankof Canada	910HYL009	Certificate of Deposit	0.25	04/01/2016	4/1/2016	150,000,000	150,000,000
S.E. Banken	988ANE009	Certificate of Deposit	0.25	04/01/2016	4/1/2016	147,000,000	147,000,000
Standard Chrttd Bkn	85325TFI4	Certificate of Deposit	0.83	04/11/2016	7/12/2016	171,000,000	171,000,000
Standard Chrttd Bkn	85325TFK6	Certificate of Deposit	0.71	05/05/2016	5/5/2016	170,000,000	170,000,000
Standard Chrttd Bkn	85325TFV6	Certificate of Deposit	0.72	06/01/2016	6/1/2016	150,000,000	150,000,000
Standard Chrttd Bkn	85325TIG5	Certificate of Deposit	0.72	06/21/2016	6/21/2016	108,000,000	108,000,000
Standard Chrttd Bkn	85325TGB5	Certificate of Deposit	0.72	06/07/2016	6/7/2016	101,000,000	101,000,000
State Street Bk & Tr	8574P1HG4	Certificate of Deposit	0.65	04/11/2016	6/10/2016	169,000,000	169,000,000
State Street Bk & Tr	8574P1HF6	Certificate of Deposit	0.65	04/13/2016	6/13/2016	117,000,000	117,000,000
State Street Bk & Tr	8574P1HKS	Certificate of Deposit	0.79	04/04/2016	9/2/2016	114,000,000	114,000,000
Sumitomo Mitsui Bkg Corp	86563QNM8	Certificate of Deposit	0.67	04/06/2016	4/6/2016	209,000,000	209,000,000
Sumitomo Mitsui Bkg Corp	86563QA42	Certificate of Deposit	0.60	06/29/2016	6/29/2016	176,000,000	176,000,000
Sumitomo Tr & Bkng C	86563KY49	Certificate of Deposit	0.68	04/04/2016	4/4/2016	315,000,000	315,000,000
Sumitomo Tr & Bkng C	86563KY98	Certificate of Deposit	0.68	04/07/2016	4/7/2016	187,000,000	187,000,000
Svenska Handelsbanken Ci	959PZC002	Certificate of Deposit	0.23	04/01/2016	4/1/2016	800,000,000	800,000,000
Svenska Handelsbkn A	86958DL59	Certificate of Deposit	0.58	04/04/2016	4/4/2016	118,650,000	118,650,837
Toronto-Dominion	89113EL3	Certificate of Deposit	0.65	04/15/2016	4/15/2016	227,000,000	227,000,000
Toronto-Dominion	89113EL53	Certificate of Deposit	0.60	04/25/2016	5/25/2016	184,000,000	184,000,000
Toronto-Dominion	89113ELD9	Certificate of Deposit	0.55	04/01/2016	4/1/2016	172,000,000	172,000,000
Toronto-Dominion	89113EK47	Certificate of Deposit	0.62	04/04/2016	6/2/2016	114,000,000	114,000,000
Toronto-Dominion	89113EZ66	Certificate of Deposit	0.75	04/05/2016	7/5/2016	110,000,000	110,000,000

Financial Company Commercial Paper

Asb Finance Ltd.	0020P5FI3	Financial Company Commercial Paper	0.64	04/27/2016	5/27/2016	72,000,000	72,000,000
Aust & Newzea	05253MFM1	Financial Company Commercial Paper	0.64	04/25/2016	5/23/2016	198,000,000	198,000,000
Aust & Newzea	05252TBL3	Financial Company Commercial Paper	0.76	04/18/2016	4/18/2016	158,000,000	158,003,646
Banco De Credito E Inversiones	05990CD19	Financial Company Commercial Paper	0.80	04/01/2016	4/1/2016	110,000,000	110,000,000
Banco De Credito E Inversiones	05990CD18	Financial Company Commercial Paper	0.80	04/27/2016	4/27/2016	102,000,000	101,941,667
Banco De Credito E Inversiones	05990CD68	Financial Company Commercial Paper	0.80	04/06/2016	4/6/2016	21,100,000	21,097,656
Bank Of Nova Scotia/The	06417LAJ6	Financial Company Commercial Paper	0.64	04/01/2016	4/1/2016	166,000,000	166,000,000
Caisse Centrale D'jn	12800EAF3	Financial Company Commercial Paper	0.60	04/22/2016	4/22/2016	175,000,000	175,000,000
Caisse Centrale D'jn	1280CZEA7	Financial Company Commercial Paper	0.42	05/04/2016	5/4/2016	136,300,000	136,247,525

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Caisse Centrale Djdn	1280CZF12	Financial Company Commercial Paper	0.60	06/01/2016	6/1/2016	123,000,000	122,874,950
Caisse Centrale Djdn	1280CZEG0	Financial Company Commercial Paper	0.53	05/16/2016	5/16/2016	62,000,000	61,958,925
Caisse Centrale Djdn	1280CZFU8	Financial Company Commercial Paper	0.60	06/28/2016	6/28/2016	39,000,000	38,942,800
Caisse Centrale Djdn	1280CZER6	Financial Company Commercial Paper	0.60	05/25/2016	5/25/2016	32,000,000	31,971,200
Cdp Financial Inc	12509REB3	Financial Company Commercial Paper	0.58	05/11/2016	5/11/2016	33,500,000	33,478,411
Cmmnwlth Bnk Of Aus	2027ZANQ5	Financial Company Commercial Paper	0.62	04/04/2016	4/5/2016	124,000,000	124,000,000
Cmmnwlth Bnk Of Aus	2027ZAGQ4	Financial Company Commercial Paper	0.79	04/17/2016	8/17/2016	112,000,000	112,000,000
Cmmnwlth Bnk Of Aus	2027ZAPM2	Financial Company Commercial Paper	0.62	04/04/2016	6/2/2016	106,000,000	106,000,000
Cpib Capital Inc	12624EAU4	Financial Company Commercial Paper	0.72	04/15/2016	6/15/2016	160,000,000	160,000,000
Cpib Capital Inc	12624EAR1	Financial Company Commercial Paper	0.74	04/08/2016	7/8/2016	150,000,000	150,000,000
Cpib Capital Inc	12624EAT7	Financial Company Commercial Paper	0.72	04/12/2016	6/10/2016	149,000,000	149,000,000
Credit Suisse Ny	2254EAFD0	Financial Company Commercial Paper	0.82	06/13/2016	6/13/2016	149,000,000	148,752,246
Dbs Bank Ltd	23305DEH4	Financial Company Commercial Paper	0.50	05/17/2016	5/17/2016	65,000,000	64,958,472
Hsbc Usa Inc	40434PF35	Financial Company Commercial Paper	0.59	06/03/2016	6/3/2016	96,000,000	95,900,880
Ing (Us) Funding Llc	4497W0D13	Financial Company Commercial Paper	0.52	04/01/2016	4/1/2016	155,000,000	155,000,000
Macquariebank Limited	55607KF92	Financial Company Commercial Paper	0.64	06/09/2016	6/9/2016	149,000,000	148,818,655
Macquariebank Limited	55607KFA9	Financial Company Commercial Paper	0.64	06/10/2016	6/10/2016	119,000,000	118,853,068
Macquariebank Limited	55607KFM3	Financial Company Commercial Paper	0.63	06/21/2016	6/21/2016	65,350,000	65,257,366
Macquariebank Limited	55607NEE6	Financial Company Commercial Paper	0.67	04/21/2016	4/21/2016	54,000,000	54,002,729
Macquariebank Limited	55607NEA4	Financial Company Commercial Paper	0.68	04/13/2016	4/13/2016	40,000,000	40,001,316
N V Bk Nederlandse Gemeenten	62944GDB6	Financial Company Commercial Paper	0.42	04/11/2016	4/11/2016	235,000,000	234,972,583
N V Bk Nederlandse Gemeenten	62944FAP0	Financial Company Commercial Paper	0.61	04/06/2016	5/6/2016	150,000,000	150,000,000
Nationwidedldg Soc	6385E0D18	Financial Company Commercial Paper	0.53	04/01/2016	4/1/2016	230,000,000	230,000,000
Nationwidedldg Soc	6385E0DA2	Financial Company Commercial Paper	0.53	04/04/2016	4/4/2016	148,000,000	147,993,463
Nationwidedldg Soc	6385E0EH2	Financial Company Commercial Paper	0.62	05/17/2016	5/17/2016	103,000,000	102,918,401
Natl Australia Bk Lt	63254GBM6	Financial Company Commercial Paper	0.77	04/04/2016	8/4/2016	151,000,000	151,000,000
Natl Australia Bk Lt	63254GBK0	Financial Company Commercial Paper	0.77	04/18/2016	6/17/2016	108,000,000	108,000,000
Nederlandse Wtrschbnk	63975UDL3	Financial Company Commercial Paper	0.58	04/20/2016	4/20/2016	146,000,000	145,955,308
Nrw. Bank	62939LD71	Financial Company Commercial Paper	0.45	04/07/2016	4/7/2016	235,000,000	234,982,571
Nrw. Bank	62939LDR7	Financial Company Commercial Paper	0.44	04/25/2016	4/25/2016	34,000,000	33,990,140
Ontario Teachers'Finan	68325KF21	Financial Company Commercial Paper	0.72	06/02/2016	6/2/2016	124,000,000	123,846,240
Ontario Teachers'Finan	68325KED8	Financial Company Commercial Paper	0.72	05/13/2016	5/13/2016	83,000,000	82,930,280
Ontario Teachers'Finan	68325KE22	Financial Company Commercial Paper	0.69	05/02/2016	5/2/2016	76,000,000	75,954,843

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Ontario Teachers'Finan	68325KET3	Financial Company Commercial Paper	0.70	05/27/2016	5/27/2016	75,000,000	74,918,333
Ontario Teachers'Finan	68325KF70	Financial Company Commercial Paper	0.70	06/07/2016	6/7/2016	70,000,000	69,908,806
Ontario Teachers'Finan	68325KE63	Financial Company Commercial Paper	0.70	05/06/2016	5/6/2016	67,000,000	66,954,403
Ontario Teachers'Finan	68325KFT2	Financial Company Commercial Paper	0.62	06/27/2016	6/27/2016	53,000,000	52,920,588
Ontario Teachers'Finan	68325KD56	Financial Company Commercial Paper	0.47	04/26/2016	4/26/2016	43,000,000	42,985,965
Ontario Teachers'Finan	68325KD56	Financial Company Commercial Paper	0.68	04/05/2016	4/5/2016	33,000,000	32,997,507
Ontario Teachers'Finan	68325KEB2	Financial Company Commercial Paper	0.70	05/11/2016	5/11/2016	25,000,000	24,980,556
Psp Capital Inc.	69370AF33	Financial Company Commercial Paper	0.57	06/03/2016	6/3/2016	61,000,000	60,939,153
Psp Capital Inc.	69370ADV3	Financial Company Commercial Paper	0.57	04/29/2016	4/29/2016	53,000,000	52,976,503
Psp Capital Inc.	69370AF90	Financial Company Commercial Paper	0.57	06/09/2016	6/9/2016	39,000,000	38,957,393
Psp Capital Inc.	69370AF17	Financial Company Commercial Paper	0.57	06/01/2016	6/1/2016	36,833,000	36,797,425
Rabobank Nederland	21687CAB5	Financial Company Commercial Paper	0.76	04/11/2016	7/11/2016	148,000,000	148,000,000
Shagang South Asia	81900PD77	Financial Company Commercial Paper	1.00	04/07/2016	4/7/2016	58,000,000	57,990,333
Societe Generale	83369BD45	Financial Company Commercial Paper	0.50	04/04/2016	4/4/2016	130,000,000	129,994,583
Sumitomo Mitsu Bkg Corp	86562KFV1	Financial Company Commercial Paper	0.60	06/29/2016	6/29/2016	173,000,000	172,743,383
Sumitomo Tr. & Bkng C	86563GFV9	Financial Company Commercial Paper	0.62	06/29/2016	6/29/2016	171,000,000	170,737,895
Sumitomo Tr. & Bkng C	86563GFU1	Financial Company Commercial Paper	0.62	06/28/2016	6/28/2016	170,000,000	169,742,356
Suncorp Group Ltd	8672EZFI1	Financial Company Commercial Paper	0.66	06/01/2016	6/1/2016	71,000,000	70,920,598
Suncorp Group Ltd	8672EZES5	Financial Company Commercial Paper	0.75	05/09/2016	5/9/2016	40,000,000	39,968,333
Suncorp Group Ltd	8672EZG69	Financial Company Commercial Paper	0.76	07/06/2016	7/6/2016	37,000,000	36,925,013
Suncorp Group Ltd	8672EZES3	Financial Company Commercial Paper	0.74	05/26/2016	5/26/2016	30,000,000	29,966,083
Suncorp Group Ltd	8672EZFG8	Financial Company Commercial Paper	0.67	06/16/2016	6/16/2016	30,000,000	29,957,567
Suncorp Group Ltd	8672EZES5	Financial Company Commercial Paper	0.75	05/09/2016	5/9/2016	27,200,000	27,178,467
Suncorp Group Ltd	8672EZEX2	Financial Company Commercial Paper	0.75	05/31/2016	5/31/2016	20,000,000	19,975,000
Suncorp Group Ltd	8672EZFF0	Financial Company Commercial Paper	0.67	06/15/2016	6/15/2016	20,000,000	19,972,083
Suncorp Group Ltd	8672EZES3	Financial Company Commercial Paper	0.74	05/26/2016	5/26/2016	6,000,000	5,993,125
Tcl Industries Holding	87230RDC0	Financial Company Commercial Paper	1.00	04/12/2016	4/12/2016	65,000,000	64,980,139
United Overseas Bk Ltd	91127PD16	Financial Company Commercial Paper	0.70	04/01/2016	4/1/2016	100,000,000	100,000,000
United Overseas Bk Ltd	91127PDS7	Financial Company Commercial Paper	0.58	04/26/2016	4/26/2016	95,000,000	94,961,736
Westpac Banking Corp.	9612CASD4	Financial Company Commercial Paper	0.61	04/18/2016	5/16/2016	181,000,000	181,000,000
Westpac Banking Corp.	9612CASN2	Financial Company Commercial Paper	0.62	04/13/2016	6/13/2016	173,000,000	173,000,000

Government Agency Repurchase Agreement							
Bank Of America Na	959JAG004	Government Agency Repurchase Agreement	0.31	04/01/2016	4/1/2016	900,000,000	900,000,000

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Bank Of Nova Scotia Nya/ Repo	972ZKNA003	Government Agency Repurchase Agreement	0.32	04/01/2016	4/1/2016	709,000,000	709,000,000
Other Commercial Paper							
Caisse Desdepots Et	12800AE91	Other Commercial Paper	0.57	05/09/2016	5/9/2016	203,000,000	202,877,862
Caisse Desdepots Et	12800AE14	Other Commercial Paper	0.59	05/20/2016	5/20/2016	195,000,000	194,843,404
Caisse Desdepots Et	12800AEH3	Other Commercial Paper	0.59	05/17/2016	5/17/2016	192,000,000	191,855,253
Caisse Desdepots Et	12800AEG5	Other Commercial Paper	0.58	05/16/2016	5/16/2016	187,000,000	186,864,425
Caisse Desdepots Et	12800AEK6	Other Commercial Paper	0.60	05/19/2016	5/19/2016	105,000,000	104,916,600
China Int'lmarine Cont	16952MDC6	Other Commercial Paper	1.00	04/12/2016	4/12/2016	168,900,000	168,848,392
China Int'lmarine Cont	16952MD4	Other Commercial Paper	1.00	04/13/2016	4/13/2016	106,000,000	105,964,667
China Power Intl Develop	16953JD73	Other Commercial Paper	1.00	04/07/2016	4/7/2016	60,000,000	59,990,000
China Power Intl Develop	16953JD40	Other Commercial Paper	0.90	04/04/2016	4/4/2016	24,000,000	23,998,200
China Shipping Container Lines	16953EDC3	Other Commercial Paper	1.00	04/12/2016	4/12/2016	144,000,000	143,956,000
China Shipping Container Lines	16953ED74	Other Commercial Paper	1.00	04/07/2016	4/7/2016	67,000,000	66,988,833
China Shipping Container Lines	16953ED41	Other Commercial Paper	0.90	04/04/2016	4/4/2016	55,000,000	54,995,875
China Shipping li	16953VDD3	Other Commercial Paper	1.00	04/13/2016	4/13/2016	109,000,000	108,963,667
China Shipping li	16953VD68	Other Commercial Paper	1.00	04/06/2016	4/6/2016	91,000,000	90,987,361
China Shipping li	16953VD43	Other Commercial Paper	0.90	04/04/2016	4/4/2016	37,000,000	36,997,225
Cnnp Finance	12623KD12	Other Commercial Paper	0.95	04/01/2016	4/1/2016	42,754,000	42,754,000
Erste Bk Der Oesterr	29604BAN4	Other Commercial Paper	0.60	04/13/2016	4/13/2016	143,000,000	143,000,000
Erste Bk Der Oesterr	29604BAP9	Other Commercial Paper	0.59	04/19/2016	4/19/2016	43,000,000	43,000,000
European Invnt Bnk	29878RF37	Other Commercial Paper	0.60	06/03/2016	6/3/2016	138,000,000	137,856,308
European Invnt Bnk	29878RF29	Other Commercial Paper	0.59	06/02/2016	6/2/2016	109,000,000	108,889,244
European Invnt Bnk	29878RF29	Other Commercial Paper	0.59	06/02/2016	6/2/2016	70,000,000	69,928,872
Sinochem Cp Co. Ltd.	82936MDE5	Other Commercial Paper	0.64	04/14/2016	4/14/2016	100,000,000	99,976,889
Sinochem Cp Co. Ltd.	82936MDE5	Other Commercial Paper	0.64	04/14/2016	4/14/2016	57,000,000	56,986,827
Sinochem Cp Co. Ltd.	82936MDK1	Other Commercial Paper	0.64	04/19/2016	4/19/2016	50,000,000	49,984,000
Sinochem Cp Co. Ltd.	82936MDK1	Other Commercial Paper	0.64	04/19/2016	4/19/2016	30,000,000	29,990,400
Other Instrument							
Dbs	979SCM005	Other Instrument	0.44	04/01/2016	1/1/2050	705,000,000	705,000,000
Filmc Multifamily Vrd Certific	31394THC2	Other Instrument	0.47	04/07/2016	1/15/2042	43,203,078	43,203,078
Filmc Multifamily Vrd Certific	31397BEO0	Other Instrument	0.48	04/07/2016	8/15/2021	1,010,000	1,010,000
Ge Capitalint'l Funding	36164NFEO	Other Instrument	0.96	04/15/2016	4/15/2016	30,000,000	30,005,155
Jets Stadium Finance	477182AA7	Other Instrument	0.40	04/07/2016	4/1/2047	22,930,000	22,930,000
Ocbc	923KH000	Other Instrument	0.39	04/01/2016	1/1/2050	395,000,000	395,000,000
Salt Riverproject Agricultura	79572PD60	Other Instrument	0.46	04/06/2016	4/6/2016	45,000,000	44,997,125
Salt Riverproject Agricultura	79572PD52	Other Instrument	0.46	04/05/2016	4/5/2016	28,000,000	27,998,569
Salt Riverproject Agricultura	79572PDB9	Other Instrument	0.48	04/11/2016	4/11/2016	20,000,000	19,997,333
Salt Riverproject Agricultura	79572PDC7	Other Instrument	0.48	04/12/2016	4/12/2016	20,000,000	19,997,067
Other Municipal Debt							
Ballenises Country Club	058593AA2	Other Municipal Debt	0.80	04/07/2016	12/1/2022	13,505,000	13,505,000
Los Angeles Cnty Mta	544725DF8	Other Municipal Debt	0.58	05/03/2016	5/3/2016	22,500,000	22,500,000
Muni Elecauth Of Ga	62621KSZ8	Other Municipal Debt	0.49	04/08/2016	4/8/2016	24,155,000	24,155,000
Port Of Seattle	73539CDM9	Other Municipal Debt	0.50	04/05/2016	4/5/2016	12,000,000	12,000,000
South Carolina Pub Svc	83706ACQ1	Other Municipal Debt	0.47	04/12/2016	4/12/2016	20,000,000	20,000,000
South Carolina Pub Svc	83706ACS7	Other Municipal Debt	0.47	04/25/2016	4/25/2016	10,000,000	10,000,000
World Wildlife Fund	98157LAD0	Other Municipal Debt	0.50	04/07/2016	7/1/2030	11,305,000	11,305,000
Other Note							
Ssab Ab	78469GAD6	Other Note	0.42	04/07/2016	8/1/2035	20,000,000	20,000,000
Ssab Ab	78469GAC8	Other Note	0.42	04/07/2016	6/1/2035	12,000,000	12,000,000
Ssab Ab	78469GAC8	Other Note	0.42	04/07/2016	6/1/2035	3,000,000	3,000,000

Security	CUSIP	Category	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Studio Sixty Llc	86401GAA6	Other Note	0.00 04/07/2016	2/1/2056	7,100,000	7,100,000
Studio Sixty Llc	86401GAA6	Other Note	0.00 04/07/2016	2/1/2056	7,100,000	7,100,000
Uob	922UGN002	Other Note	0.43 04/01/2016	1/1/2050	770,000,000	770,000,000
Other Repurchase Agreement						
Goldman Sachs + Co	959JGA001	Other Repurchase Agreement	0.35 05/02/2016	1/1/2050	545,000,000	545,000,000
Jp Morgan	41899D000	Other Repurchase Agreement	0.56 05/05/2016	1/1/2050	372,000,000	372,000,000
Jp Morgan	01399W007	Other Repurchase Agreement	0.33 04/01/2016	1/1/2050	70,000,000	70,000,000
Treasury Repurchase Agreement						
Barclays Capital Inc	959JCF004	Treasury Repurchase Agreement	0.55 04/01/2016	4/1/2016	177,000,000	177,000,000
Barclays Capital Inc	959JCC001	Treasury Repurchase Agreement	0.75 04/01/2016	4/1/2016	132,000,000	132,000,000
Frbny	43999X9F9	Treasury Repurchase Agreement	0.25 04/01/2016	4/6/2016	1,800,000,000	1,800,000,000
Variable Rate Demand Note						
Colorado St Hsg Fin Auth	196479MJ5	Variable Rate Demand Note	0.44 04/07/2016	5/1/2052	86,750,000	86,750,000
Colorado St Hsg Fin Auth	196479MJ5	Variable Rate Demand Note	0.44 04/07/2016	5/1/2052	15,720,000	15,720,000
Colorado St Hsg Fin Auth	196479YW3	Variable Rate Demand Note	0.39 04/07/2016	10/1/2036	12,310,000	12,310,000
Colorado St Hsg Fin Auth	196479HT9	Variable Rate Demand Note	0.40 04/07/2016	10/1/2033	8,155,000	8,155,000
Colorado St Hsg Fin Auth	196479HU6	Variable Rate Demand Note	0.40 04/07/2016	10/1/2033	6,320,000	6,320,000
Colorado St Hsg Fin Auth	196479HT9	Variable Rate Demand Note	0.40 04/07/2016	10/1/2033	4,445,000	4,445,000
Colorado St Hsg Fin Auth	196479MJ5	Variable Rate Demand Note	0.44 04/07/2016	5/1/2052	4,225,000	4,225,000
Colorado St Hsg Fin Auth	196479HT9	Variable Rate Demand Note	0.40 04/07/2016	10/1/2033	1,745,000	1,745,000
E Baton Rouge Parish La Swr Co	270618CX7	Variable Rate Demand Note	0.48 04/07/2016	2/1/2045	16,280,000	16,280,000
Hartford Healthcare Corp	41652PAA7	Variable Rate Demand Note	0.42 04/07/2016	7/1/2049	25,000,000	25,000,000
Hartford Healthcare Corp	41652PAA7	Variable Rate Demand Note	0.42 04/07/2016	7/1/2049	25,000,000	25,000,000
J P Morganchase Putters / Dri	46636TV0	Variable Rate Demand Note	0.48 04/01/2016	6/1/2033	51,835,000	51,835,000
J P Morganchase Putters / Dri	46636TP95	Variable Rate Demand Note	0.48 04/01/2016	1/1/2035	33,650,000	33,650,000
Jets Stadium Development	477181AK7	Variable Rate Demand Note	0.40 04/07/2016	4/1/2047	29,900,000	29,900,000
Jets Stadium Development	477181AK7	Variable Rate Demand Note	0.40 04/07/2016	4/1/2047	5,900,000	5,900,000
Keep Memory Alive	487437AA3	Variable Rate Demand Note	0.42 04/07/2016	5/1/2037	13,010,000	13,010,000
Keep Memory Alive	487437AA3	Variable Rate Demand Note	0.42 04/07/2016	5/1/2037	12,165,000	12,165,000
Keep Memory Alive	487437AA3	Variable Rate Demand Note	0.42 04/07/2016	5/1/2037	8,930,000	8,930,000
Macon Bibbcnty Ga Indl Auth	555538EX1	Variable Rate Demand Note	0.60 04/07/2016	12/1/2022	50,000,000	50,000,000
Macon Bibbcnty Ga Indl Auth	555538EZ6	Variable Rate Demand Note	0.60 04/07/2016	12/1/2022	30,000,000	30,000,000
Maine St Hsg Auth Mtge Revenue	56052E8J0	Variable Rate Demand Note	0.48 04/07/2016	11/15/2039	52,000,000	52,000,000
Maine St Hsg Auth Mtge Revenue	56052E6X1	Variable Rate Demand Note	0.39 04/07/2016	11/15/2032	36,735,000	36,735,000

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Maine St Hsg Auth Mtge Revenue	56052E8J0	Variable Rate Demand Note	0.48	04/07/2016	11/15/2039	3,000,000	3,000,000
Maine St Hsg Auth Mtge Revenue	56052E6X1	Variable Rate Demand Note	0.39	04/07/2016	11/15/2032	2,000,000	2,000,000
Maine St Hsg Auth Mtge Revenue	56052E6X1	Variable Rate Demand Note	0.39	04/07/2016	11/15/2032	850,000	850,000
Met Washington Dc Apts Auth A	592647CQ2	Variable Rate Demand Note	0.45	04/07/2016	10/1/2039	41,000,000	41,000,000
Montgomerycnty Tr Indl Dev Br	613670DE5	Variable Rate Demand Note	0.55	04/07/2016	12/1/2024	60,000,000	60,000,000
New Jerseyst Hsg Mtge Fin A	646108EJ5	Variable Rate Demand Note	0.38	04/07/2016	11/1/2039	5,100,000	5,100,000
New York St Dorm Auth Revenues	64983PYP0	Variable Rate Demand Note	0.48	04/07/2016	3/15/2040	16,000,000	16,000,000
New York St Hsg Fin Agy	64987BCC0	Variable Rate Demand Note	1.10	04/07/2016	11/1/2049	30,325,000	30,325,000
New York St Hsg Fin Agy Revenu	64986UQ83	Variable Rate Demand Note	0.70	04/01/2016	5/1/2048	216,550,000	216,550,000
Northstarstudent Loan Trust I	66705GAA1	Variable Rate Demand Note	0.48	04/07/2016	10/1/2042	23,976,000	23,976,000
Ohio St Wtr Dev Auth Revenue	67765Q854	Variable Rate Demand Note	0.71	05/02/2016	5/1/2038	20,000,000	20,000,000
Portland Me	7365594Y8	Variable Rate Demand Note	0.43	04/07/2016	6/1/2026	28,675,000	28,675,000
Prvdnc Hlth + Svc Obl Gr	743755AG5	Variable Rate Demand Note	0.45	04/07/2016	10/1/2042	22,390,000	22,390,000
Prvdnc Hlth + Svc Obl Gr	743755AG5	Variable Rate Demand Note	0.45	04/07/2016	10/1/2042	1,800,000	1,800,000
Public Finauth W/ Mt Hsg Reve	74441XBE8	Variable Rate Demand Note	0.37	04/07/2016	11/1/2047	6,500,000	6,500,000
Public Finauth W/ Mt Hsg Reve	74441XBE8	Variable Rate Demand Note	0.37	04/07/2016	11/1/2047	4,000,000	4,000,000
Public Finauth W/ Mt Hsg Reve	74441XBE8	Variable Rate Demand Note	0.37	04/07/2016	11/1/2047	3,775,000	3,775,000
Public Finauth W/ Mt Hsg Reve	74441XBE8	Variable Rate Demand Note	0.37	04/07/2016	11/1/2047	3,500,000	3,500,000
Public Finauth W/ Mt Hsg Reve	74441XBF5	Variable Rate Demand Note	0.37	04/07/2016	12/1/2047	3,250,000	3,250,000
Public Finauth W/ Mt Hsg Reve	74441XBF5	Variable Rate Demand Note	0.37	04/07/2016	12/1/2047	3,500,000	3,500,000
Public Finauth W/ Mt Hsg Reve	74441XBF5	Variable Rate Demand Note	0.37	04/07/2016	12/1/2047	3,250,000	3,250,000
Racetrac Capital Llc	74984PAB5	Variable Rate Demand Note	0.40	04/07/2016	9/1/2020	14,000,000	14,000,000
Rbc Muni Products Inc Trust	74926VK37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	252,000,000	252,000,000
Rbc Muni Products Inc Trust	74926VK37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	54,200,000	54,200,000
Rbc Muni Products Inc Trust	74926VK37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	50,780,000	50,780,000
Rbc Muni Products Inc Trust	74926VK75	Variable Rate Demand Note	0.69	04/07/2016	7/1/2017	35,000,000	35,000,000
Rbc Muni Products Inc Trust	74926VK42	Variable Rate Demand Note	0.69	04/07/2016	7/1/2017	20,000,000	20,000,000
Rbc Muni Products Inc Trust	74926VK42	Variable Rate Demand Note	0.69	04/07/2016	7/1/2017	10,000,000	10,000,000
Rbc Muni Products Inc Trust	74926VK37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	10,000,000	10,000,000
Rbc Muni Products Inc Trust	74926VK37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	10,000,000	10,000,000
Rbc Muni Products Inc Trust	74926VK75	Variable Rate Demand Note	0.69	04/07/2016	7/1/2017	5,000,000	5,000,000
Rbc Muni Products Inc Trust	74926VK75	Variable Rate Demand Note	0.69	04/07/2016	7/1/2017	5,000,000	5,000,000

Security	CUSIP	Category	Yield %	Maturity Date	Final Maturity Date	Principal Amount \$	Amortized Cost Value \$
Rbc Muni Products Inc Trust	74926YR37	Variable Rate Demand Note	0.48	04/07/2016	6/28/2016	1,080,000	1,080,000
Roanoke Rapids Nc Music Ente	770001AA3	Variable Rate Demand Note	0.41	04/07/2016	7/1/2027	5,365,000	5,365,000
Roanoke Rapids Nc Music Ente	770001AA3	Variable Rate Demand Note	0.41	04/07/2016	7/1/2027	1,565,000	1,565,000
San Francisco City Cnty Ca C	79765DXL9	Variable Rate Demand Note	0.48	04/07/2016	11/1/2041	4,000,000	4,000,000
San Francisco City Cnty Ca C	79765DXL9	Variable Rate Demand Note	0.48	04/07/2016	11/1/2041	1,000,000	1,000,000
San Francisco City Cnty Ca C	79765DXL9	Variable Rate Demand Note	0.48	04/07/2016	11/1/2041	1,000,000	1,000,000
Sthrn Uteindian Tribe Of Sthr	844090AA8	Variable Rate Demand Note	0.48	04/07/2016	11/1/2031	24,000,000	24,000,000
Traer Creek Co Met Dist Revenue	892697AC7	Variable Rate Demand Note	0.47	04/07/2016	10/1/2030	18,163,000	18,163,000
Traer Creek Co Met Dist Revenue	892697AC7	Variable Rate Demand Note	0.47	04/07/2016	10/1/2030	1,000,000	1,000,000
Wisconsinst Public Fin Auth R	976831AW7	Variable Rate Demand Note	0.40	04/07/2016	1/1/2042	4,965,000	4,965,000

Carefully consider a fund's investment objectives, risks, charges, and expenses before investing. For a current prospectus and, if available, a summary prospectus, containing this and other information, visit wellsfargofunds.com, read it carefully before investing.

An investment in a money market fund is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Although the fund seeks to preserve the value of your investment at \$1.00 per share, it is possible to lose money by investing in a money market fund. The U.S. government guarantee applies to certain underlying securities and not to shares of the fund. A portion of the fund's income may be subject to federal, state, and/or local income taxes or the alternative minimum tax (AMT).

Wells Fargo Asset Management (WFAM) is a trade name used by the asset management businesses of Wells Fargo & Company. Wells Fargo Funds Management, LLC, a wholly owned subsidiary of Wells Fargo & Company, provides investment advisory and administrative services for Wells Fargo Funds. Other affiliates of Wells Fargo & Company provide subadvisory and other services for the funds. The funds are distributed by Wells Fargo Funds Distributor, LLC, Member FINRA, an affiliate of Wells Fargo & Company.

NOT FDIC INSURED NOT BANK GUARANTEED MAY LOSE VALUE

226078

California Asset Management Trust Cash Reserve Portfolio

Schedule of Investments

For the Month Ending

January 31, 2015

Category of Investment / Issuer	CUSIP	Yield to Maturity	Effective Maturity ⁽¹⁾	Final Maturity ⁽²⁾	Principal	Amortized Cost
Other Note						
US BANK NA	9033A1P28	0.18%	02/02/2015	02/02/2015	1,241,899.00	1,241,892.83
US BANK NA	9033A1P36	0.19%	02/03/2015	02/03/2015	914,351.00	914,341.51
US BANK NA	9033A1P93	0.19%	02/09/2015	02/09/2015	1,819,367.00	1,819,292.11
US BANK NA	9033A1PH5	0.20%	02/17/2015	02/17/2015	1,480,383.00	1,480,251.25
US BANK NA	9033A1PP7	0.22%	02/23/2015	02/23/2015	1,233,246.00	1,233,080.43
US BANK NA	9033A1PR3	0.21%	02/25/2015	02/25/2015	2,278,353.00	2,278,034.00
US BANK NA	9033A1Q27	0.20%	03/02/2015	03/02/2015	2,044,507.00	2,044,180.85
US BANK NA	9033A1Q43	0.21%	03/04/2015	03/04/2015	641,369.00	641,252.94
US BANK NA	9033A1QW1	0.21%	03/30/2015	03/30/2015	1,281,270.00	1,280,843.83
US BANK NA	9033A1RG5	0.20%	04/16/2015	04/16/2015	1,052,655.00	1,052,222.25
US BANK NA	9033A1RL4	0.20%	04/20/2015	04/20/2015	900,027.00	899,636.99
Category of Investment Sub-Total					14,887,427.00	14,885,028.99
Other Commercial Paper						
COCA-COLA COMPANY	19121BW39	0.29%	09/03/2015	09/03/2015	15,000,000.00	14,974,141.67
COCA-COLA COMPANY	19121BW47	0.31%	09/04/2015	09/04/2015	15,000,000.00	14,972,229.24
Category of Investment Sub-Total					30,000,000.00	29,946,370.91
Government Agency Repurchase Agreement						
BNP PARIBAS SECURITIES CORP	RE1028910	0.08%	02/02/2015	02/02/2015	149,500,000.00	149,500,000.00
BNP PARIBAS SECURITIES CORP	RE1023994	0.10%	02/07/2015	02/07/2015	75,000,000.00	75,000,000.00
GOLDMAN SACHS & CO	RE1027086	0.08%	02/07/2015	02/07/2015	125,000,000.00	125,000,000.00
MERRILL LYNCH PIERCE FENNER & SMITH INC	RE1028894	0.06%	02/02/2015	02/02/2015	90,000,000.00	90,000,000.00
Category of Investment Sub-Total					439,500,000.00	439,500,000.00
Government Agency Debt						
FANNIE MAE	3138L7ZY5	0.21%	04/01/2015	04/01/2015	4,000,000.00	3,998,606.81
FANNIE MAE	3138L7U46	0.21%	07/01/2015	07/01/2015	24,000,000.00	23,978,750.21
FEDERAL FARM CREDIT BANKS	3133ECGN8	0.20% ⁽³⁾	02/01/2015	09/01/2015	39,000,000.00	39,016,292.11
FEDERAL FARM CREDIT BANKS	3133ECW34	0.21% ⁽³⁾	02/01/2015	11/02/2015	5,000,000.00	5,001,517.63

California Asset Management Trust Cash Reserve Portfolio

Schedule of Investments

For the Month Ending

January 31, 2015

Category of Investment / Issuer	CUSIP	Yield to Maturity	Effective Maturity ⁽¹⁾	Final Maturity ⁽²⁾	Principal	Amortized Cost
Government Agency Debt						
FEDERAL HOME LOAN BANKS	313384CA5	0.10%	02/18/2015	02/18/2015	45,000,000.00	44,997,811.25
FEDERAL HOME LOAN BANKS	313384CZ0	0.12%	03/13/2015	03/13/2015	25,000,000.00	24,996,805.50
FEDERAL HOME LOAN BANKS	313384DE6	0.13%	03/18/2015	03/18/2015	30,000,000.00	29,995,124.96
FEDERAL HOME LOAN BANKS	313384DP1	0.09%	03/27/2015	03/27/2015	40,000,000.00	39,994,780.01
FEDERAL HOME LOAN BANKS	3130A36B5	0.27%	10/20/2015	10/20/2015	20,000,000.00	20,000,000.00
FREDDIE MAC	313396CY7	0.13%	03/12/2015	03/12/2015	10,000,000.00	9,998,645.80
FREDDIE MAC	3134G6AE3	0.16% ⁽³⁾	02/21/2015	07/21/2016	10,000,000.00	9,998,496.46
Category of Investment Sub-Total					252,000,000.00	251,976,830.74
Financial Company Commercial Paper						
BANK OF TOKYO MITSUBISHI UFJ LTD	06538CQB0	0.23%	03/11/2015	03/11/2015	8,000,000.00	7,998,057.62
BANK OF TOKYO MITSUBISHI UFJ LTD	06538CRD5	0.24%	04/13/2015	04/13/2015	15,000,000.00	14,992,900.00
BANK OF TOKYO MITSUBISHI UFJ LTD	06538CU17	0.30%	07/01/2015	07/01/2015	30,000,000.00	29,962,500.00
BNP PARIBAS FINANCE INC	0556N1Q48	0.30%	03/04/2015	03/04/2015	10,000,000.00	9,997,416.42
BNP PARIBAS FINANCE INC	0556N1RM7	0.29%	04/21/2015	04/21/2015	15,000,000.00	14,990,454.00
BNP PARIBAS FINANCE INC	0556N1SN4	0.29%	05/22/2015	05/22/2015	5,000,000.00	4,995,569.51
BNP PARIBAS FINANCE INC	0556N1T11	0.29%	06/01/2015	06/01/2015	7,000,000.00	6,993,233.35
CREDIT AGRICOLE CIB NY	22533UR13	0.25%	04/01/2015	04/01/2015	20,000,000.00	19,991,805.58
JP MORGAN SECURITIES LLC	46640EAQ1	0.30% ⁽³⁾	02/20/2015	04/20/2015	35,000,000.00	35,000,000.00
JP MORGAN SECURITIES LLC	46640QTS0	0.33%	06/26/2015	06/26/2015	5,000,000.00	4,993,353.96
JP MORGAN SECURITIES LLC	46640QXS5	0.40%	10/26/2015	10/26/2015	10,000,000.00	9,970,333.33
MIZUHO FUNDING LLC	60688GQJ9	0.23%	03/18/2015	03/18/2015	20,000,000.00	19,994,250.07
MIZUHO FUNDING LLC	60688GQL4	0.25%	03/20/2015	03/20/2015	5,450,000.00	5,448,221.23
MIZUHO FUNDING LLC	60688GS73	0.25%	05/07/2015	05/07/2015	15,000,000.00	14,990,104.17
PNC BANK NA	69349KU16	0.28%	07/01/2015	07/01/2015	34,000,000.00	33,960,333.69
PNC BANK NA	69349KW48	0.43%	09/04/2015	09/04/2015	16,000,000.00	15,958,911.05
STANDARD CHARTERED BANK	85324UU19	0.33%	07/01/2015	07/01/2015	20,000,000.00	19,972,333.25
TORONTO DOMINION HOLDING USA	89116FR93	0.24%	04/09/2015	04/09/2015	25,000,000.00	24,988,833.58
TORONTO DOMINION HOLDING USA	89116FS84	0.28%	05/08/2015	05/08/2015	20,000,000.00	19,985,067.05
UBS FINANCE DELAWARE LLC	90262DT54	0.30%	06/05/2015	06/05/2015	20,000,000.00	19,979,677.84
US BANK NA	90349QST1	0.15%	02/01/2015	02/01/2015	30,000,000.00	30,000,000.00

California Asset Management Trust Cash Reserve Portfolio

Schedule of Investments

For the Month Ending

January 31, 2015

Category of Investment / Issuer	CUSIP	Yield to Maturity	Effective Maturity ⁽¹⁾	Final Maturity ⁽²⁾	Principal	Amortized Cost
Category of Investment Sub-Total					365,450,000.00	365,163,355.70
Certificate of Deposit						
BMO HARRIS BANK NA	05574BDM9	0.31% ⁽³⁾	02/17/2015	10/16/2015	30,000,000.00	30,000,000.00
BNP PARIBAS NY BRANCH	05574RQG3	0.36% ⁽³⁾	02/06/2015	10/06/2015	18,000,000.00	18,000,000.00
CITIBANK NA	17304UL40	0.28%	07/07/2015	07/07/2015	25,000,000.00	25,000,000.00
CITIBANK NA	17304UC24	0.38% ⁽³⁾	04/02/2015	10/02/2015	25,000,000.00	25,000,000.00
HSBC BANK USA NA	40428AYR2	0.24%	04/06/2015	04/06/2015	20,000,000.00	20,000,000.00
HSBC BANK USA NA	40428AXX0	0.41% ⁽³⁾	04/15/2015	10/15/2015	19,020,000.00	19,034,170.65
NORDEA BANK FINLAND NY	65558E6H6	0.24%	05/26/2015	05/26/2015	50,000,000.00	50,000,000.00
RABOBANK NEDERLAND NV NY	21684BXX5	0.26% ⁽³⁾	02/09/2015	07/07/2015	25,000,000.00	25,000,000.00
SKANDINAVISKA ENSKILDA BANKEN NY	83051HN44	0.25%	04/08/2015	04/08/2015	10,000,000.00	10,000,000.00
SKANDINAVISKA ENSKILDA BANKEN NY	83051HPT7	0.59% ⁽³⁾	03/23/2015	09/21/2015	35,000,000.00	35,062,402.91
SKANDINAVISKA ENSKILDA BANKEN NY	83051HUD6	0.58% ⁽³⁾	04/07/2015	01/04/2016	8,000,000.00	8,009,066.36
STANDARD CHARTERED BANK NY	85325BV50	0.35% ⁽³⁾	02/17/2015	04/08/2015	35,000,000.00	35,000,000.00
SUMITOMO MITSUI BANK NY	86562Y2N3	0.25%	04/01/2015	04/01/2015	17,000,000.00	17,000,000.00
SUMITOMO MITSUI BANK NY	86562Y3V4	0.30% ⁽³⁾	02/09/2015	07/07/2015	27,000,000.00	27,000,000.00
SWEDBANK NY	87019TJB9	0.34% ⁽³⁾	02/19/2015	02/19/2015	30,000,000.00	30,000,000.00
SWEDBANK NY	87019TYN6	0.24%	05/11/2015	05/11/2015	20,000,000.00	20,000,000.00
WELLS FARGO BANK NA	94988EBA5	0.27% ⁽³⁾	02/01/2015	11/20/2015	25,000,000.00	25,000,000.00
WESTPAC BANKING CORP NY	96121TWE4	0.25% ⁽³⁾	02/17/2015	04/15/2015	40,000,000.00	40,000,000.00
Category of Investment Sub-Total					459,020,000.00	459,105,639.92
Portfolio Totals					1,560,857,427.00	1,560,577,226.26

The Fund's Weighted Average Maturity and Weighted Average Life Maturity as of the reporting date are **52** and **93** days, respectively.

California Asset Management Trust Cash Reserve Portfolio

Schedule of Investments

For the Month Ending

January 31, 2015

- (1) The date on which, in accordance with the terms of the instrument, the principal amount must unconditionally be paid, or in the case of an instrument called for redemption, the date on which the redemption payment must be made, taking into account the maturity date shortening exceptions allowed in Title 17 CFR §270.2a-7 regarding interest rate adjustments.
- (2) The date on which, in accordance with the terms of the instrument, the principal amount must unconditionally be paid, or in the case of an instrument called for redemption, the date on which the redemption payment must be made, without taking into account interest rate adjustments.
- (3) Adjustable rate instrument. Rate shown is that which is in effect as of reporting date.

*This information is for institutional investor use only, not for further distribution to retail investors, and does not represent an offer to sell or a solicitation of an offer to buy or sell any fund or other security. Investors should consider the Trust's investment objectives, risks, charges and expenses before investing in the Trust. This and other information about the Trust is available in the Trust's current Information Statement, which should be read carefully before investing. A copy of the Trust's Information Statement may be obtained by calling 1-800-729-7665 or is available on the Trust's website at www.camponline.com. While the Trust seeks to maintain a stable net asset value of \$1.00 per share, it is possible to lose money investing in the Trust. An investment in the Trust is not insured or guaranteed by the Federal Deposit Insurance Corporation or any other government agency. Shares of the Trust are distributed by **PFM Fund Distributors, Inc.**, member Financial Industry Regulatory Authority (FINRA) (www.finra.org) and Securities Investor Protection Corporation (SIPC) (www.sipc.org). PFM Fund Distributors, Inc. is a wholly owned subsidiary of PFM Asset Management LLC.*



State of California
Pooled Money Investment Account
Market Valuation
3/31/2016

Description	Carrying Cost Plus Accrued Interest Purch.	Amortized Cost	Fair Value	Accrued Interest
United States Treasury:				
Bills	\$ 11,410,745,648.58	\$ 11,428,324,382.05	\$ 11,433,051,500.00	NA
Notes	\$ 19,895,104,458.35	\$ 19,894,615,430.08	\$ 19,906,919,000.00	\$ 27,575,588.00
Federal Agency:				
SBA	\$ 652,389,707.86	\$ 652,377,032.02	\$ 644,595,522.94	\$ 830,290.94
MBS-REMICs	\$ 67,195,956.41	\$ 67,195,956.41	\$ 71,568,446.72	\$ 318,368.46
Debentures	\$ 980,003,053.62	\$ 980,000,442.51	\$ 980,561,200.00	\$ 1,159,694.90
Debentures FR	\$ -	\$ -	\$ -	\$ -
Discount Notes	\$ 6,386,297,958.28	\$ 6,395,635,458.25	\$ 6,396,175,500.00	NA
GNMA	\$ -	\$ -	\$ -	\$ -
Supranational Debentures	\$ 350,136,669.79	\$ 350,136,669.79	\$ 350,709,000.00	\$ 454,512.50
CDs and YCDs FR	\$ 300,000,000.00	\$ 300,000,000.00	\$ 300,000,000.00	\$ 381,190.56
Bank Notes	\$ 200,000,000.00	\$ 200,000,000.00	\$ 200,000,000.00	\$ 387,333.33
CDs and YCDs	\$ 10,550,003,344.94	\$ 10,550,003,344.94	\$ 10,549,420,471.66	\$ 13,218,388.84
Commercial Paper	\$ 4,692,552,222.25	\$ 4,696,239,430.61	\$ 4,696,381,138.89	NA
Corporate:				
Bonds FR	\$ -	\$ -	\$ -	\$ -
Bonds	\$ -	\$ -	\$ -	\$ -
Repurchase Agreements	\$ -	\$ -	\$ -	\$ -
Reverse Repurchase	\$ -	\$ -	\$ -	\$ -
Time Deposits	\$ 5,647,440,000.00	\$ 5,647,440,000.00	\$ 5,647,440,000.00	NA
AB 55 & GF Loans	\$ 6,030,937,000.00	\$ 6,030,937,000.00	\$ 6,030,937,000.00	NA
TOTAL	\$ 67,162,806,020.08	\$ 67,192,905,146.66	\$ 67,207,758,780.21	\$ 44,325,367.53

Fair Value Including Accrued Interest

\$ 67,252,084,147.74

Repurchase Agreements, Time Deposits, AB 55 & General Fund loans, and
Reverse Repurchase agreements are carried at portfolio book value (carrying cost).

The value of each participating dollar equals the fair value divided by the amortized cost (\$1,000,222,106).
As an example: if an agency has an account balance of \$20,000,000.00, then the agency would report its
participation in the LAIF valued at \$20,004,421.19 or \$20,000,000.00 x 1.00022106.

ALAMEDA COUNTY

Attachment I

COMPOSITION OF TREASURER'S CASH AND INVESTMENT POOL December 31, 2015

The following summarizes the profile of the investment portfolio by category as of December 31, 2015

Securities	Book Value	Market Value **	Percentage Held	Percentage Allowed by Section 53601 - Investment Policy
Certificate of Deposit	\$ 98,000,000.00	\$ 98,208,651.39	2.27%	no limit
Commercial Paper	249,705,458.34	249,693,500.00	5.76%	25%
Federal Agency Notes & Bonds	1,988,699,028.19	1,985,761,109.58	45.83%	no limit
Federal Agency Discount Notes	463,963,218.28	464,177,650.00	10.71%	no limit
LAIF	50,000,000.00	50,046,284.89	1.16%	N.A.
Medium Term Notes	139,484,623.93	139,848,962.39	3.23%	30%
Negotiable CD	200,000,000.00	200,176,402.78	4.62%	30%
Money Market Funds	552,000,000.00	552,114,390.13	12.74%	20%
Treasury Securities - Discount	199,527,680.55	199,582,000.00	4.61%	no limit
Joint Powers Authority	140,000,000.00	140,031,974.50	3.23%	N.A.
Collateralized Money Market Bank A/C	179,000,000.00	179,021,499.98	4.13%	no limit
Municipal Bonds	4,500,000.00	4,515,270.33	0.10%	no limit
Total Investments	\$ 4,264,880,009.29	\$ 4,263,177,695.97	98.39%	
Cash in Bank and on Hand	69,731,043.94	69,731,043.94	1.61%	
Total Treasurer's Pool	\$ 4,334,611,053.23	\$ 4,332,908,739.91	100.00%	

**Source: Custodial report from Union Bank of California reflecting the market value of each security plus any accrued interest. The Bank subscribes to market valuation services in accordance with industry practice.



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1525 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 4/7/2016 **In control:** Administration Committee
On agenda: 5/11/2016 **Final action:**
Title: MTC Financial Statements for March 2016
Sponsors:
Indexes:
Code sections:
Attachments: [2c_FinancialStatements_March'2016.pdf](#)

Date	Ver.	Action By	Action	Result
------	------	-----------	--------	--------

Subject:
MTC Financial Statements for March 2016

Presenter:
Eva Sun

Recommended Action:
Information



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL +1.5778.6700
WEB www.mtc.ca.gov

Agenda Item 2c

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

RE: MTC Financial Statements for March 2016

Attached please find MTC financial statements for the nine-month period ending March 31, 2016. Major highlights of the nine-month period include:

(1) **Operating Income:** Overall operating income for the nine months is trending lower at 46% with 75% of the budget year expired.

(2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.1 million.

(3) **Operating Expenditures:** Total operating costs, excluding contracts, are at 68% for the nine month period, or 75% of the budget year. Contract services are well below budget at 18% which is not unusual since most are tied to grants or will run over multiple years. Computer Services are trending over budget at 82% due to the fact that computer license renewals and purchase orders are paid and encumbered at the beginning of the year.

(4) **Federal Grants:** There are five grants that are completed and will be closed out this fiscal year.

If there are any questions, please contact Brian Mayhew at (510) 817-5731.



Steve Heminger

Attachment

J:\COMMITTEE\Administration\2016 by Month\05_May'2016_Admin\2c_Financial Statement-March
'2016.docx

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2015-16
As of March 2016 (75% of year)

	1	2	3	4
Operating Revenue	FY 2015-16 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
General Fund Revenue:				
TDA	12,300,000	9,750,830	(2,549,170)	79.3%
Interest	20,000	28,764	8,764	143.8%
General Fund Total	12,320,000	9,779,594	(2,540,406)	79.4%
Federal Planning Revenue:				
FHWA	8,078,052	5,892,187	(2,185,865)	72.9%
Sustainable Transportation Planning Grant	300,000	-	(300,000)	0.0%
FHWA SP&R - SACOG	150,000	-	(150,000)	0.0%
FTA	5,186,702	1,882,595	(3,304,107)	36.3%
	13,714,754	7,774,782	(5,939,972)	56.7%
State Funding Revenue:				
STIP	1,217,084	246,669	(970,415)	20.3%
State Revenue Total	1,217,084	246,669	(970,415)	20.3%
Local Funding Revenue:				
TFCA	1,281,532	660,719	(620,813)	51.6%
ABAG	137,500	-	(137,500)	0.0%
HOV	490,000	314,256	(175,744)	64.1%
Pavement Management	1,374,730	1,150,309	(224,421)	83.7%
BAAQMD	500,000	-	(500,000)	0.0%
Motivate	696,898	696,898	-	100.0%
Misc	1,260,141	227,974	(1,032,167)	18.1%
Local Total	5,740,801	3,050,156	(2,690,645)	53.1%
Transfers:				
BATA Reimbursement	4,014,203	967,301	(3,046,902)	24.1%
RAFC	531,769	398,918	(132,851)	75.0%
SAFE	3,967,540	739,637	(3,227,903)	18.6%
BATA 1%	7,087,000	7,087,000	-	100.0%
AB 664	51,525	51,525	-	100.0%
2% Transit Transfers	513,509	22,784	(490,725)	4.4%
Transfers in - STA	3,379,327	626,578	(2,752,749)	18.5%
Exchange Fund Transfer	10,000,000	-	(10,000,000)	0.0%
Transfer from or (to) Reserve/Capital	7,940,099	1,592,823	(6,347,276)	20.1%
Transfers Total	37,484,972	11,486,566	(25,998,406)	30.6%
Total Operating Revenue	70,477,611	32,337,767	(38,139,844)	45.9%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2015-16
As of March 2016 (75% of year)

	1	2	3	4	5
Operating Expenditures	FY 2015-16 Adopted Budget	Actual Expense	Budget Balance Over/(Under)	% of Budget (col 2/1)	Encumbrance
Salaries & Benefits	22,865,039	16,413,357	(6,451,682)	71.8%	1,663,494
Travel & Training	402,000	240,893	(161,107)	59.9%	41,950
Commission Expense					
Commissioner Expense	70,000	57,760	(12,240)	82.5%	-
Advisory Committees	15,000	12,650	(2,350)	84.3%	-
Printing & Graphics	134,100	40,582	(93,519)	30.3%	49,019
Computer Services	1,505,700	1,229,452	(276,248)	81.7%	259,896
General Operations	3,726,156	1,513,560	(2,212,596)	40.6%	748,446
Total operating	28,717,995	19,508,254	(9,209,741)	67.9%	2,762,805
Contract Services	41,759,616	7,517,111	(34,242,505)	18.0%	10,811,860
Total Operating Expenditures	70,477,611	27,025,365	(43,452,246)	38.3%	13,574,665

MTC CAPITAL BUDGETS
As of March 2016 (75% of year)

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$1,543,000	-	-	\$1,543,000
Expense	\$1,543,000	\$40,331	\$431,011	\$1,071,658

<u>Hub Signage Program</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Revenue (Prop 1B)	9,856,450	9,856,450	-	-
Real Time Sign - STA	567,968	462,744	-	105,224
RM2	362,000	111,290	-	250,710
Revenue	\$10,786,418	\$10,430,485	-	\$355,933
Expense	\$10,786,418	\$8,888,317	1,195,628	\$702,473

Life to Date Federal Grants Budget
As of March 2016 (75% of year)

Fund Source	Project Description	FY 2015-16 Grant LTD Balance	FY 2015-16 New Grants	FY 2015-16 Amendments	FY 2015-16 Total Grants	FY 2015-16 Staff Actual	FY 2015-16 Consultant Actual	FY 2015-16 Encumbrances Includes LGS	Grant Remaining Balance
STP Grants									
1580	Station Area Planning	\$2,159,406	-	-	\$2,159,406	-	249,285	1,486,616	\$423,505
1590	Performance Monitoring*	209,014	-	-	\$209,014	-	-	-	209,014
1595	Ramp Metering, TOS and FPI Projects***	410,412	-	-	\$410,412	410,412	-	-	-
1801	CMA Planning	15,586,750	-	-	15,586,750	566,429	4,465,402	9,605,001	949,919
1803	511 Grant	19,542,056	-	-	19,542,056	1,034,421	6,481,640	8,354,676	3,671,319
1805	Regional Streets and Roads	625,775	-	-	625,775	34,410	125,670	139,835	325,860
1806	Pavement Management	2,658,236	-	-	2,658,236	-	697,325	651,311	1,309,601
1811	PDA Planning (ABAG)	680,000	-	-	680,000	-	430,213	229,787	20,000
1812	Regional PDA Planning	8,124,570	-	-	8,124,570	-	880,747	5,845,510	1,398,313
1816	Arterial Operations	2,500,000	-	-	2,500,000	1,000	-	1,340,000	1,159,000
Total STP Grants		\$52,496,219	-	-	\$52,496,219	\$2,046,672	\$13,330,281	\$27,652,736	\$9,466,530
CMAQ Grants									
1589	Arterial Operations (PASS Program)	3,562,507	-	-	3,562,507	523,437	514,184	498,778	2,026,108
1591	Climate Initiatives Program Public Outreach	2,045,710	-	-	2,045,710	10,884	399,680	813,316	821,830
1592	Climate Initiatives Evaluation	1,055,254	-	-	1,055,254	42,613	158,279	751,012	103,350
1596	Freeway Performance Initiative	5,386,636	-	-	5,386,636	466,585	408,901	1,191,919	3,319,232
1800	Incident Management	5,068,154	-	-	5,068,154	276,585	(25,000)	547,943	4,268,627
1804	511 Grant	5,975,971	-	-	5,975,971	785,519	720,911	3,131,433	1,338,108
1809	FPI Corridor Studies	3,162,712	-	-	3,162,712	374,675	61,988	533,602	2,192,447
1814	Regional Bicycle Sharing Program	1,556,747	-	-	1,556,747	-	41,436	220,989	1,294,322
New	Incident Management***	\$0	10,840,000	-	\$10,840,000	-	-	-	10,840,000
New	Climate Initiatives Cycle 2***	\$0	7,000,000	-	\$7,000,000	-	-	-	7,000,000
Total CMAQ Grants		\$27,813,691	\$17,840,000	-	\$45,653,691	\$2,480,297	\$2,280,378	\$7,688,991	\$33,204,024
FTA GRANTS									
1613	JARC**	4,549	-	-	4,549	-	4,549	-	-
1614	JARC	347,421	-	-	347,421	-	-	-	347,421
1623	New Freedom	126,594	-	-	126,594	-	(7,093)	51,108	82,579
1624	New Freedom**	7,093	-	-	7,093	-	7,093	-	-
1625	JARC	304,533	-	-	304,533	-	-	35,000	269,533
1626	New Freedom	195,925	-	-	195,925	-	44,728	147,033	4,164
1627	JARC	287,182	-	-	287,182	-	16,285	182,165	88,732
1628	New Freedom	293,611	-	-	293,611	-	74,243	219,366	3
1629	JARC	682,419	-	-	682,419	15,526	72,139	594,754	-
1630	JARC	2,154,267	-	-	2,154,267	-	117,337	1,681,330	355,600
1631	FTA 5339	9,665,839	-	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	957,838	-	-	957,838	-	163,431	247,510	546,897
1633	FTA 5339	12,240,015	11,565,979	-	23,805,994	-	-	432,386	23,373,608
1634	FTA 5339	9,590,718	-	-	9,590,718	-	-	-	9,590,718
1668	TIGER (FTA)	887,860	-	-	887,860	-	301,587	586,273	-
Total FTA Grants		\$37,745,864	\$11,565,979	-	\$49,311,843	\$15,526	\$794,300	\$4,176,924	\$44,325,093
HPP/VPP GRANTS									
1739	VPPL Value Pricing**	119,276	-	-	119,276	-	118,506	-	770
Total HPP/VPP Grants		119,276	-	-	119,276	-	118,506	-	770
Other Grants									
1110	Travel Model Research MTC/SFCTA	-	90,000	-	90,000	-	4,830	85,170	-
1111	BHWA - Climate Change Adaptation Study**	105	-	-	105	-	-	-	105
1112	SHRP2L Travel Analysis	658,056	-	-	658,056	-	7,700	528,678	121,678
Total Other Grants		658,161	90,000	-	748,161	-	12,530	613,848	121,783
Total Federal Grants Budget		\$118,833,211	\$29,495,979	-	148,329,190	\$4,542,495	\$16,535,995	\$40,132,500	\$87,118,200

* Grant is expired and closed out this Fiscal Year.

** Project is completed and grant will be closed out this Fiscal Year.

*** Will apply in FY 2016-17.

**** Grant will be closed out when the CMAQ portion (1596) is fully spent.

CLIPPER OPERATING BUDGET
As of March 2016 (75% of year)

	Total FY2015-16			
Clipper Operating	Budget	Actual	Encumbrance	Balance
RM2	2,894,656	1,275,050	-	1,619,606
STA	18,476,425	5,839,551	-	12,636,874
Transit Operators	18,321,937	9,935,981	-	8,385,956
Revenue	\$39,693,018	\$17,050,581	-	22,642,437
Expense	\$39,693,018	\$17,050,581	\$15,660,236	\$6,982,201

CLIPPER CAPITAL BUDGET (Life to Date)
As of March 2016 (75% of year)

Clipper Capital	LTD Budget Thru FY 2015-16	Actual	Encumbrance	Project Balance L-T-D
CMAQ	71,495,201	60,283,918	-	11,211,283
Card Sales	4,851,267	4,342,380	-	508,887
ARRA	11,167,891	11,167,891	-	-
FTA	25,009,181	21,626,711	-	3,382,470
STP	43,605,002	26,139,526	-	17,465,476
STA	21,207,597	22,668,478	-	(1,460,881)
Prop 1B	1,000,000	988,137	-	11,863
SFMTA	8,005,421	3,136,075	-	4,869,346
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	8,269,158	7,572,638	-	696,520
BATA	27,124,813	24,324,692	-	2,800,121
Transit Operators	11,807,000	657,776	-	11,149,224
WETA	603,707	603,707	-	0
Sales Tax	99,311	890,216	-	(790,905)
Revenue	\$237,945,549	187,453,030	-	50,492,519
Expense	\$237,945,549	\$182,839,353	\$18,970,289	\$36,135,907

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	54,625			
1051111 - Subtotal	54,625	-	-	54,625
Implement Public Information Program	465,000			
Consultants		204,673	172,139	
1051112 - Subtotal	465,000	204,673	172,139	88,188
Regional Transportation Plan				
	1,551,482			
Bay Area Economic Council		82,775	-	
Cambridge Systematics			75,000	
Consultants		81,764	483,123	
Tschudin Consulting Group			200,000	
1051121 - Subtotal	1,551,482	164,539	758,123	628,820
Analyze Regional Data using GIS & Travel Models	3,549,693			
Consultants			50,000	
Corey, Canapary & Galanis		133,760	184,650	
ETC Institute		21,667	1,223,588	
Parsons Brinckerhoff, Inc.			142,151	
Redhill Group, Inc.		24,701	542	
Synthicity, LLC		118,724	97,276	
1051122 - Subtotal	3,549,693	298,852	1,698,207	1,552,634
Airport/Seaport/Freight Planning	945,199			
Alameda County Transportation		70,797	66,857	
Cambridget Systematics		29,250	145,670	
Consultants		9,926	22,698	
1051124 - Subtotal	945,199	109,973	235,225	600,001
Non-Motorized Transportation Activities	1,196,898			
Bay Area Motivate, LLC		100,000		
Consultants		696,898		
1051125 - Subtotal	1,196,898	796,898	-	400,000
Advocate Legislative Programs	514,000			
Carter, Wetch & Associates		46,133	23,067	
Government Relations		175,400	87,700	
1051132 - Subtotal	514,000	221,533	110,767	181,700

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Agency Financial Management	619,422			
Sungard Opublic Professional		21,858	100,210	
PWC		222,248		
1011152 - Subtotal	619,422	244,106	100,210	275,106
Administrative Services	591,253			
Pathways for High School		129,043	12,752	
Koff & Associates		7,667	2,323	
Carl Warren & Company		5,075	16,800	
Cushman & Walefield of California			20,000	
PRN Ergonomic Services		13,170	21,830	
1011153 - Subtotal	591,253	154,956	73,704	362,593
Information Technology Services	753,871			
DLT Solutions		13,857		
Share Squared Inc.			103,871	
Contoural Inc File Share Migration		33,857	42,383	
Peelle Technologies		833	49,167	
Nexlevel IT Inc. Development		56,843	22,358	
KIS Computer Center			18,700	
1011161 - Subtotal	753,871	105,391	236,478	412,002
Performance Measurement and Monitoring	325,651			
CH2M Hill		35,532	39,468	
Consultants		71,403	51,630	
			40,000	
1051212 - Subtotal	325,651	106,935	131,098	87,618
Regional Rideshare Program	1,283,626			
Parsons Brinkerhoff		486,994	768,017	
1051222 - Subtotal	1,283,626	486,994	768,017	28,615
Operational Support for Regional Programs	539,958			
Atkins			84	
Cambridge Systematics			52	
Consultants			122,263	
Iteris Inc.		66,827	133,173	
Kimley-Horn			10,559	
Kimly-Horn and Associates			277	
1051223 - Subtotal	539,958	66,827	266,408	206,723
Regional Traveler Information	4,308,701			
Civic Resource Group		588,875	757,997	
Consultants		664	10,516	
Faneuil, Inc.			22,587	
Iteris Inc.		111,584	274,492	
Kimley-Horn & Associates		5,086	16,185	
SAIC		320,745	920,195	
SAIC (bd Systems Inc)		200,769	441,675	
1051224 - Subtotal	4,308,701	1,227,723	2,443,647	637,331

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Emergency Response Operations	125,000			
Consultants				
1051228 - Subtotal	125,000	-	-	125,000
Emergency Response Planning	1,915,247			
Consultants		19,760	21,869	
URS Corporation		105,557	334,062	
1051229 - Subtotal	1,915,247	125,317	355,931	1,433,999
Pavement Management Program (PMP)	2,091,899			
Adhara Systems, Inc.		13,794	90,114	
AMS Consulting LLC		13,150	18,817	
Bellecci & Associates			2,039	
CA State University, Chico			100,000	
Capitol Asset & Pavement Services		17,220	14,804	
CH2M Hill		95,529	61,787	
Consultants			5,724	
DevMecca, LLC		472,348	27,653	
DevMecca, LLC		291,610	133,407	
Harros & Associates		32,345	21,807	
JG3 Consulting LLC		3,595	2,851	
Nicholas Consulting Engineers		32,916	35,983	
Quality Engineering Solutions		3,458	3,458	
1051233 - Subtotal	2,091,899	975,965	518,444	597,490
Arterial Operations	319,433			
Consultants		7,075	5,590	
DKS Associates			21,150	
Iteris Inc.		89,815	39,406	
TJKM Transportation			34,800	
1051234 - Subtotal	319,433	96,890	100,946	121,597
Incident Management	140,000			
Iteris Inc.		39,400	17,600	
Kimley-Horn & Associates		10,000	30,000	
1051235 - Subtotal	140,000	49,400	47,600	43,000
Freeway Performance Initiative	1,132,479			
Cambridge Systematics		25,376	55,829	
Consultants		2,994	97,006	
Feher & Peers Associates		11,708	140,151	
Kittelson & Associates			1,346	
URS Corporation		73,500	42,290	
1051237 - Subtotal	1,132,479	113,578	336,622	682,279

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Implement Lifeline Transportation Programs	1,548,940			
CH2M Hill		80,736	119,204	
Consultants			60,000	
1051311 - Subtotal	1,548,940	80,736	179,204	1,289,000
Climate Assessment Initiative	115,000			
Consultants		2,561	32,439	
1051413 - Subtotal	115,000	2,561	32,439	80,000
Federal TIP Development	211,865			
CH2M Hill				
1051512 - Subtotal	211,865	-	-	211,865
Regional Assistance Program	268,047			
Pieriott & Associates, LLC		107,071	150,000	
1051514 - Subtotal	268,047	107,071	150,000	10,976
State Programing, Monitoring and TIP Development	125,000			
Consultants		93,600		
1051515 - Subtotal	125,000	93,600	-	31,400
Transit Sustainability Project	2,013,374			
AC Transit			40,000	
ARUP North America Ltd			6,525	
City of Union City			30,000	
Consultants		40,735	119,185	
ECCTA			30,000	
LAVTA			30,000	
Moore, Iacofano, Goltsman		10,631	10,123	
Nelson Nygaard		93,160	6,840	
Peninsula Corridor Joint Powers			40,000	
Solano Transportation Authority			120,000	
Sonoma County Transit			30,000	
Sonoma County Transportation			20,000	
City of Vacaville			10,000	
Water Transit Authority			30,000	
Westcat			30,000	
1051517 - Subtotal	2,013,374	144,526	552,673	1,316,175
New Freedom	5,000			
1051518 - Subtotal	5,000	-	-	5,000
Transit Core Capacity Study	1,144,004			
Arup North America Ltd.		295,062	579,748	
1051519 - Subtotal	1,144,004	295,062	579,748	269,194

DISBURSEMENT REPORT (Non- Federal Funded)
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Transportation for Livable Communities Program	12,684,784			
ABAG		1,120,837	595,255	
Consultants		62,000	8,000	
Toole Design Group		94	52,991	
1051611 - Subtotal	12,684,784	1,182,931	656,246	10,845,607
Liability Reserve	1,220,165			
Hanson and Bridgett		36,134	86,992	
Glynn and Finley		6,365	113,568	
Schiff Hardin LLP		-	25,000	
Renne Sloan Holtzman Sakalili		17,575	82,425	
1060000 - Subtotal	1,220,165	60,074	307,985	852,107
Total Operating Contract Services	41,759,616	7,517,111	10,811,860	23,430,645

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants	12,530	338,623
San Francisco Transportation Authority		282,925
1051122 - Subtotal	12,530	621,548
Analyze Regional Data using GIS & Travel Models		
City & County of San Francisco	34,105	39,574
Contra Costa Transportation Authority	40,106	29,901
Parsons Brinkerhoff	1,537,269	2,948,635
San Mateo Association of Governments	35,000	35,000
Solano Transportation Authority	127,989	112,011
1051222 - Subtotal	1,774,469	3,165,121
Support Regional Traveler Information Services		
Atkins		647
Kimley-Horn and Associates	187,951	257,300
		2,141
1051223 - Subtotal	187,951	260,088
Regional Traffic Information Services		
Civic Resource Group	1,120,148	472,486
Consultants	5,123	81,158
Faneuil, Inc.		174,335
Iteris, Inc.	95,642	1,726,489
Kimley-Horn & Associates	39,259	83,677
SAIC	2,484,644	3,829,242
SAIC (bd Systems Inc)	1,563,100	1,747,353
1051224 - Subtotal	5,307,916	8,114,740
Pavement Management Program (PMP)		
Adhara Sysems, Inc.	106,466	92,727
AMS Consulting LLC	101,500	145,233
Bellecci & Assocaites		15,740
Capitol Asset & Pavement Services	132,910	114,267
CH2M Hill	4,549	
Consultants		44,180
Harris & Associates	173,625	85,223
JG3 Consulting	27,745	22,009
Nichols Consulting Engieners	254,056	245,076
Quality Engineering Solutions	26,692	26,692
1051233 - Subtotal	827,543	791,147

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

Work Element/Consultant	Expended	Encumbered
Arterial Operations		
Advantec Consulting Engineers		70,313
City of Concord	41,076	1,124
Consultants		140,000
County of Santa Clara		600,000
DKS Associates	87,085	132,720
City of Fremont		600,000
Iteris Inc.	191,835	203,847
Kimly-Horn and Associates	113,990	114,010
	8,610	18,435
TJKM Transportation	106,475	81,025
TJKM Transportation Consultant	10,143	95
Valley Transportation Authority	43,480	164,857
1051234 - Subtotal	602,694	2,126,426
Implement Incident Management Program		
Caltrans	(25,000)	133,043
URS Corporation		414,900
1051235 - Subtotal	(25,000)	547,943
Freeway Performance Initiative		
Audio Visual Innovations Inc.		195,297
Cambridge Systematics	5,248	212,227
Fehr & Peers Associates	130,737	268,550
Kimly Horn Associates	178,095	551,557
Kittelson & Associates	68,298	149,949
URS Corporation		27,437
1051237 - Subtotal	382,378	1,405,017
Lifeline Program		
City of Alameda		187,957
Central Contra Costa Transit		129,500
County of Contra Costa		109,948
Cycles of Change	69,732	227,987
Outreach		548,173
City of Richmond	25,000	90,000
San Leandro Transportation Management Organization		60,911
San Mateo County Human Service	407	59,593
Senior Helpline Services	44,728	51,272
1051310 - Subtotal	139,867	1,465,341

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

Work Element/Consultant	Expended	Encumbered
Implement Lifeline Transportation Program		
City of San Leandro		35,000
Daly City		95,860
Marin Transit	1,400	288,435
Outreach	70,739	306,319
Peninsula Family Services	22,199	267,260
Peninsula Family Services	16,285	86,305
1051311 - Subtotal	110,623	1,079,179
Lifeline Planning		
Alta Planning and Design	249,556	531,618
Bay Area Bicycle Coalition	40,000	110,000
CA Center for Sustainable Energy	33,333	76,741
Civic Resource Group		653
Consultants	9,005	2,996
ICF Consulting	158,279	651,837
O'Rorke Inc.		5,605
Toole Design Group	41,436	220,989
1051413 - Subtotal	531,609	1,600,439
Federal Programming, Monitoring and TIP Development		
AC Transit		41,314
County Connection		51,109
Lighthouse for the Blind		54,447
Outreach & Escort, Inc.	54,155	
Sonoma County Transit		432,386
1051512 - Subtotal	54,155	579,256
Transit Sustainability Planning		
Arup North America Ltd.	6,525	
1051517 - Subtotal	6,525	-
New Freedom - Non - Planning Funds		
Alameda County		26,774
Center for Independent Living	133,984	184,550
City of Alameda		148,272
City of Richmond	20,088	
City of San Leandro		37,646
Consultants	8,565	90
Peninsula Jewish Community Center		6,673
Senior Helpline Services	11,909	
Sonoma County Human Services Department	8973	62,870
1051518 - Subtotal	183,519	466,875

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of March 2016 (75% of year)

Work Element/Consultant	Expended	Encumbered
Transit Core Capacity Study		
Arup North America Ltd.	295,062	586,273
1051519 - Subtotal	295,062	586,273
Transportation for Livable Communities		
AECOM Technical Services	24,000	
City of Alameda		250,000
County of Alameda	206,780	2,224
Association of Bay Area Government	884,648	474,352
Bay Conservation and Development Community	92,444	431,649
City of Berkely	178,898	571,103
CDM Smith Inc.	118,506	
City of Santa Clara, Caltrain		850,000
City of Sunnyvale		265,702
Community Design and Architecture	4,041	235,146
Consultants (PO)	3,020	139,980
Dyett & Bhatia		16,050
Fehr & Peers Associates	168,731	234,535
Nelson Nygaard	269,898	553,551
City of Oakland	117,700	632,300
Placeworks	58,060	1,940
City of San Jose		222,080
City of Rhonert Park		448,000
San Francisco Transporation Authority		300,000
City and County of San Francisco		96,000
City of San Jose		1,390,305
City of San Leandro		440,000
Santa Clara VTA	42,505	140,385
City of Sunnyvale	56,400	530,600
City of Walnut Creek		12,225
1051611 - Subtotal	2,225,631	8,238,127
Fund 190 CMA PLANNING	3,918,522	8,857,048
Total Federal Grant Funded	16,535,995	39,904,568

CAPITAL PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	1,543,000	40,331	431,011	
Subtotal	1,543,000	40,331	431,011	1,071,658
Hub Signage Program	10,786,418			
Staff Costs		1,271,235		
Consultants		1,096,609	101,234	
Kimly-Horn and Associates		602,845	21,350	
BART		3,985,769	1,044,546	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Parsons Brinkerhoff		188,388		
Nematode Holdings, LLC		223,996		
NCPTA		133,860		
Ghirardelli Association		265,939	28,498	
3322650,2651,2652,2654 & 2655 Subtotal	10,786,418	8,888,317	1,195,628	702,473
Capital Projects Total	12,329,418	8,928,647	1,626,639	1,774,132

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	39,693,018			
Staff Costs		1,129,869		
AC Transit		122,400	122,400	
Auriga Corporation		116,566	18,847	
Caribou Public Relations		64,893	75,107	
Consultants		675,891	334,770	
Cubic Transportation systems		14,329,138	14,755,936	
Moore, Iacofano, Goltsman		333,519	216,481	
Nematode Holdings LLC		140,000	75,000	
Synapse Strategies		138,305	61,695	
320122116	39,693,018	17,050,581	15,660,236	6,982,201
Clipper Capital	237,945,549			
Staff costs		10,988,431		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		315,692	80,000	
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237		
Booz Allen Hamilton		11,854,588	916,830	
CH2M Hill		454,624	535,730	
Caporicci & Larson		11,530		
Consultants		4,348,249	177,402	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		71,583,792	14,137,124	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
IBI Group		856,071	656,810	
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	121,373	

CLIPPER PROJECTS DISBURSEMENT REPORT
As of March 2016 (75% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480	9,520	
Solutions for Transit		182,013	7,988	
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,235,535		
VenTek Transit, Inc.			1,327,565	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		17,247,862	999,947	
Grand Total	237,945,549	182,839,353	18,970,289	36,135,907

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$100,000

		As of March, 2016
McCain Inc.		\$24,763
	<i>GPS Clocks ued to establish a common time source at traffic signals</i>	
EPLUS Technology Inc.		\$11,917
	<i>Computer License Renewal</i>	
DLT Solutions LLC		\$72,153
	<i>System Center Configuration Manager - Computer Capital</i>	
Bonfire Interactive		\$18,750
	<i>Computer License Renewal</i>	
Chargon Electric Inc.		\$20,000
	<i>Electrical Maintenance</i>	
County of Ventura		\$54,600
	<i>Computer License Renewal</i>	
Costar Group Inc.		\$14,735
	<i>Computer License Renewal</i>	
Grey Wall Software		\$24,400
	<i>Computer License Renewal</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-100,000

Consultant	Purpose	As of March, 2016
Yeamans Consulting	Means Based Study Project Consultant	\$60,000

Budget vs Actual Plus Encumbrance Salaries & Benefits

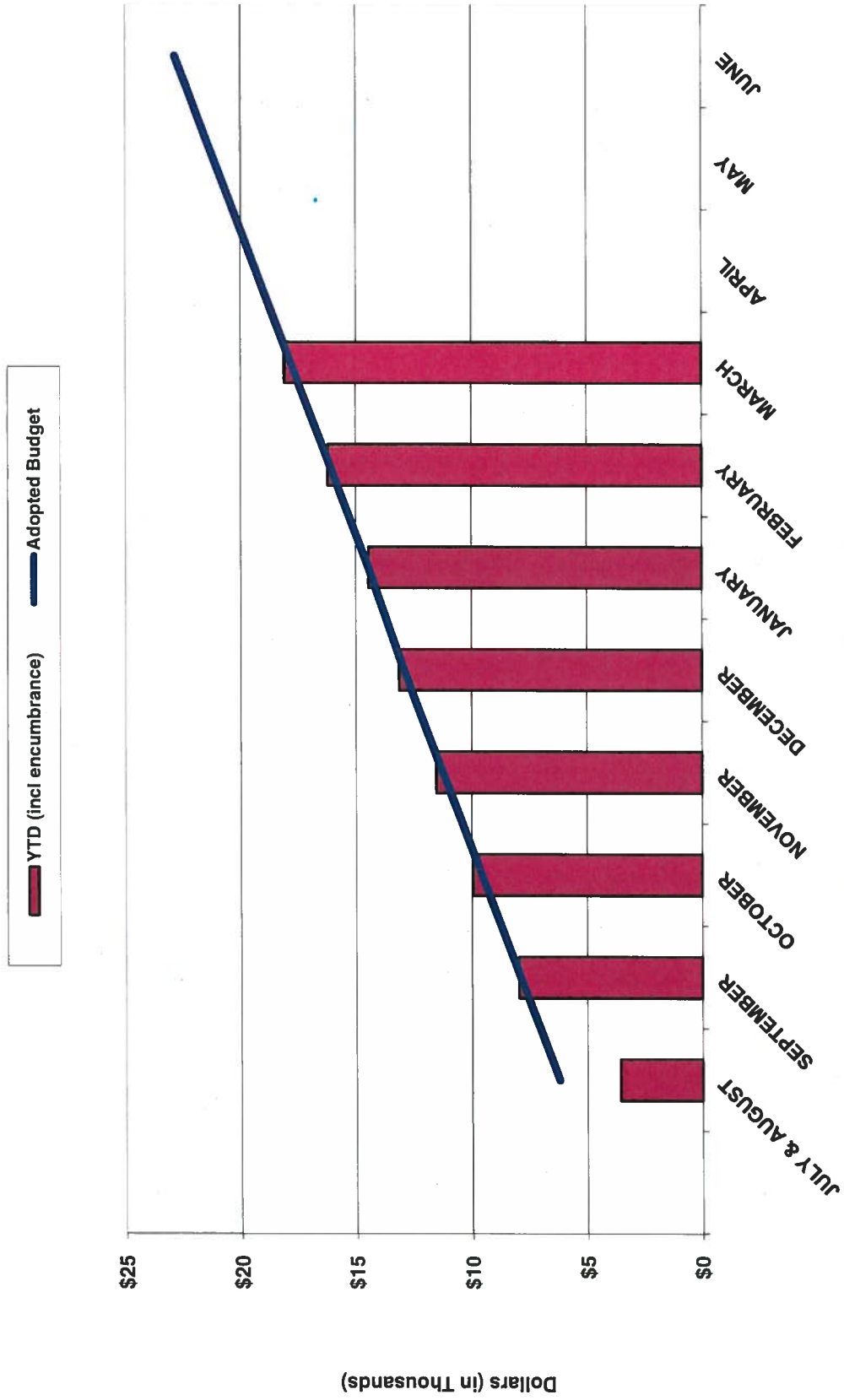
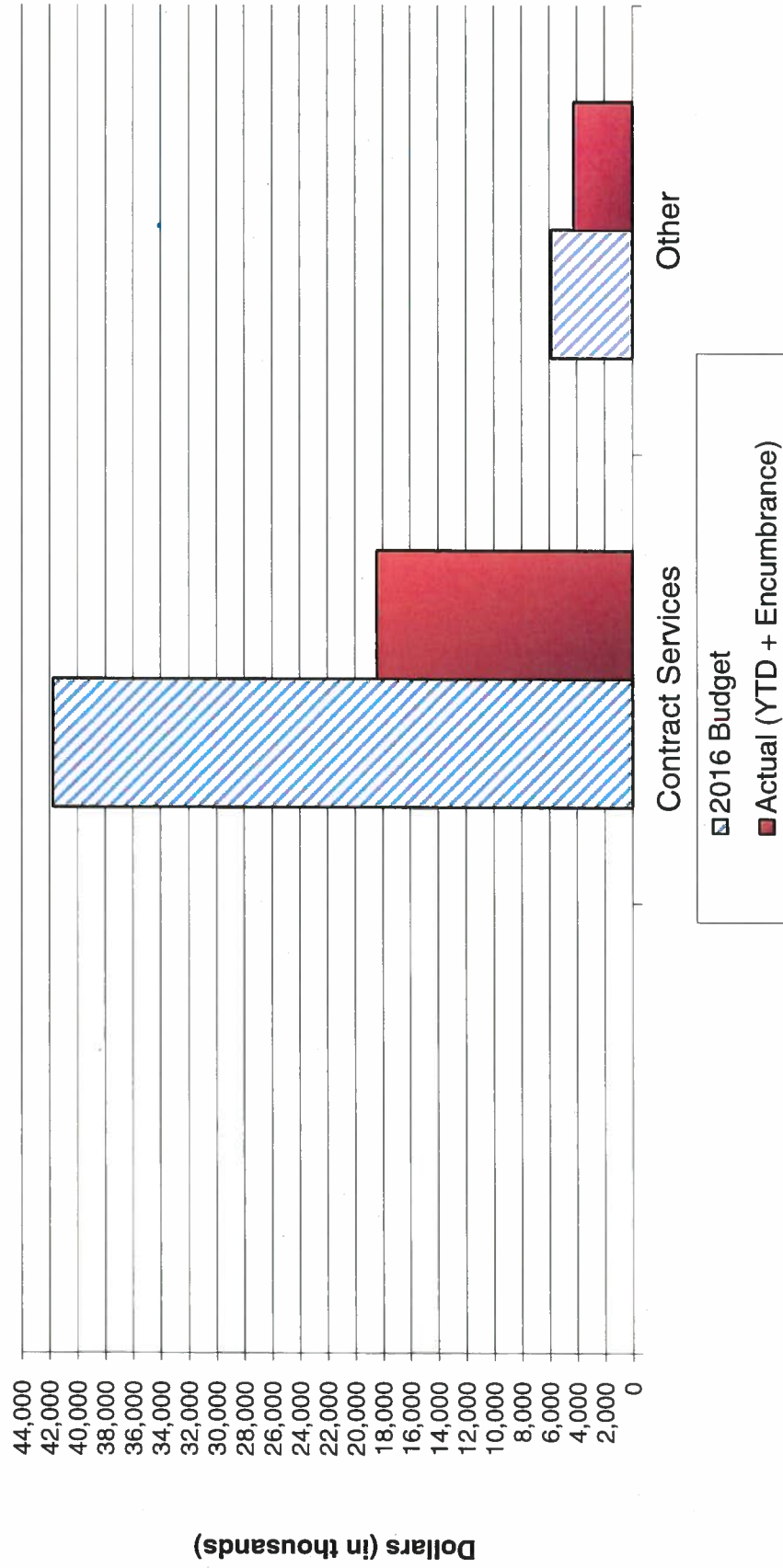
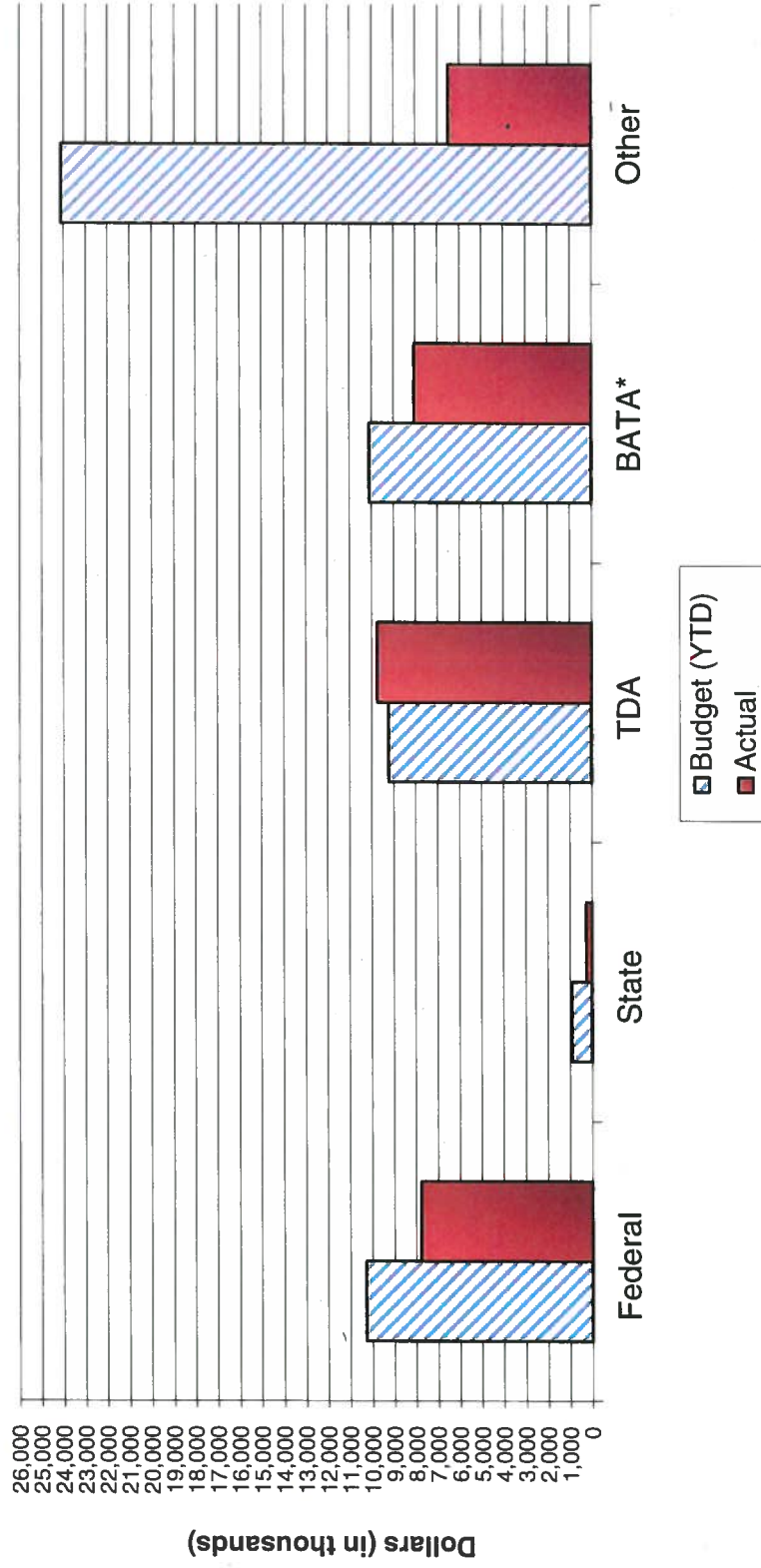


Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
March 2016



**Chart 1: Revenue Comparison between Budget and Actual
March 2016**



* Annual



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1415 **Version:** 1 **Name:**

Type: Resolution **Status:** Consent

File created: 3/11/2016 **In control:** Administration Committee

On agenda: 5/11/2016 **Final action:**

Title: MTC Resolution No. 1198, Revised. Revisions to MTC's Conflict of Interest Code to update list of designated positions - Release for Public Comment

Sponsors:

Indexes:

Code sections:

Attachments: [2d Reso-1198 Conflict of Interest Code .pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:

MTC Resolution No. 1198, Revised. Revisions to MTC's Conflict of Interest Code to update list of designated positions - Release for Public Comment

Presenter:

Adrienne Weil

Recommended Action:

Commission Approval



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Agenda Item 2d

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: General Counsel

W.I.: 1111

RE: MTC Resolution No. 1198, Revised – Revisions to MTC’s Conflict of Interest Code to update list of designated positions – Release for Public Comment

The Metropolitan Transportation Commission (MTC) conflict-of-interest code, which also serves as the conflict-of-interest code for the Bay Area Toll Authority (BATA), the MTC Service Authority for Freeways and Expressways (MTC SAFE), the Bay Area Headquarters Authority (BAHA) and the Bay Area Infrastructure Financing Authority (BAIFA) is being updated in order to update the designated positions to reflect MTC’s current organizational structure.

Incumbents of positions designated in the Appendix to MTC’s proposed conflict-of-interest code must file an annual Statement of Economic Interest (FPPC Form 700) with the agency. The attached draft code is amended consistent with FPPC Regulation 18734, which requires individuals serving in newly created positions to file Form 700, the Statement of Economic Interests. The enclosed “track changes” version (*additions in italics and underlined, deletions in strikethrough*) reflects the most recent changes from the version of the conflict of interest code attached to MTC Resolution No. 1198, last approved by the FPPC on February 4, 2015. The changes reflect the split of MTC’s Administrative and Technology Section into two new sections (the Administrative Services Section and the Technology Services Section), the addition of Clipper® Executive Board member as a designated position, and other technical changes. Clipper® Executive Board (Board) member has been added as a designated position given the Board’s role under the Amended and Restated Clipper® Memorandum of Understanding (MOU) between MTC and the transit operators participating in Clipper®. In particular, the MOU requires the Board to approve certain Clipper®-related contract actions before MTC, as the Clipper® contracting agency, can execute on such actions. The disclosure categories are tailored to the responsibilities of each role. All of the proposed changes have been reviewed and given initial approval by the FPPC.

FPPC amendment procedures require that a written notice of intent to amend the conflict-of-interest code must be given 45 days prior to the time MTC takes formal action. A copy of that written notice is attached to this memorandum. The comment period will run from Wednesday, May 11, 2016 through Monday, June 27, 2016. The notice will be posted on MTC’s website, and copies of the proposed revised code will be made available to any interested person who calls Rosy Levya, Secretary to the Commission, during business hours at (510) 817-5700 (until May 20, 2016) or at (415) 778-6700 (starting May 23, 2016). Written requests for copies of the

amendment and written comments on the amendment may be sent to Ms. Leyva at MTC's offices, 375 Beale Street, Suite 800, San Francisco, CA 94105.

Any interested person may request a public hearing to comment on the proposed amendment, if any such request is made no later than 15 days prior to the close of the comment period, or by Friday, June 10, 2016. If no request for a hearing is received, staff will return on July 13, 2016 to seek Committee authorization to submit the proposed code to the FPPC for approval, and to refer the approved code to the Commission for adoption at a date to be determined.

Staff requests this Committee's authorization to circulate the proposed changes for public comment, and for authorization to schedule a public hearing if there is a request from the public to do so.



Adrienne D. Weil

AW:BA
Attachments

NOTICE OF INTENTION TO AMEND THE CONFLICT OF INTEREST CODE OF THE METROPOLITAN TRANSPORTATION COMMISSION

NOTICE IS HEREBY GIVEN that the Metropolitan Transportation Commission (MTC), pursuant to the authority vested in it by section 87306 of the Government Code, proposes amendment to its conflict of interest code. A comment period has been established commencing on May 11, 2016 and closing on June 27, 2016. All inquiries should be directed to the contact listed below.

MTC proposes to amend its conflict of interest code to include employee positions that involve the making or participation in the making of decisions that may foreseeably have a material effect on any financial interest, as set forth in subdivision (a) of section 87302 of the Government Code. The amendment carries out the purposes of the law and no other alternative would do so and be less burdensome to affected persons.

Changes to the conflict of interest code include: changes reflecting the split of MTC's Administrative and Technology Section into two new sections (the Administrative Services Section and the Technology Services Section), the addition of Clipper® Executive Board member as a designated position, and other technical changes.

The proposed amendment and explanation of the reasons can be obtained from the agency's contact.

Any interested person may submit written comments relating to the proposed amendment by submitting them no later than June 27, 2016, or at the conclusion of the public hearing, if requested, whichever comes later. At this time, no public hearing is scheduled. A person may request a hearing no later than June 10, 2016.

MTC has determined that the proposed amendments:

1. Impose no mandate on local agencies or school districts.
2. Impose no costs or savings on any state agency.
3. Impose no costs on any local agency or school district that are required to be reimbursed under Part 7 (commencing with Section 17500) of Division 4 of Title 2 of the Government Code.
4. Will not result in any nondiscretionary costs or savings to local agencies.
5. Will not result in any costs or savings in federal funding to the state.
6. Will not have any potential cost impact on private persons, businesses or small businesses.

Copies of the proposed amendment and explanation of the reasons for it will be made available to any interested person who calls Rosy Levya, Secretary to the Commission, during business hours at (510) 817-5700 (until May 20, 2016) or at (415) 778-6700 (starting May 23, 2016). Written requests for copies of the proposed amendment and explanation of the reasons for it, and written comments on the

amendment, may be sent to Ms. Leyva at MTC's offices, 101 – 8th Street, Oakland, CA 94607-4700 (until May 20, 2016) or 375 Beale Street, Suite 800, San Francisco, CA 94105 (starting May 23, 2016). Any inquiries concerning the proposed amendment should be directed to Adrienne D. Weil, General Counsel, at (510) 817-5700 (until May 20, 2016) or (415) 778-5230 (starting May 23, 2016), or AWeil@mtc.ca.gov.

CONFLICT OF INTEREST CODE FOR THE METROPOLITAN TRANSPORTATION COMMISSION

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Metropolitan Transportation Commission (MTC), a statutorily created regional transportation planning agency pursuant to Government Code Section 66500 *et seq.*, is for the purposes of the Political Reform Act, a local government agency pursuant to Government Code Section 82041. MTC also functions as the MTC Service Authority for Freeways and Expressways (“MTC SAFE”) pursuant to Streets and Highways Code Sections 2550-2556, and the Bay Area Toll Authority (“BATA”) pursuant to Streets and Highways Code Section 30950 *et seq.* The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regs. Sec. 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Metropolitan Transportation Commission (MTC)**.

Individuals holding designated positions shall file their statements of economic interests with MTC, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) All statements will be retained by MTC. ~~Individuals holding designated positions shall file their statements of economic interests with MTC, which will make the statements available for public inspection and reproduction. (Government Code Sec. 81008.) Upon receipt of statements for the MTC Commissioners and from the Executive Director, MTC shall make and retain copies and forward the originals to the Fair Political Practices Commission. All other statements will be retained by MTC.~~

APPENDIX A

DESIGNATED POSITIONS

<u>Designated Position</u>	<u>Assigned Disclosure Category</u>
Deputy Executive Director, Policy	1, 3, 4
Deputy Executive Director, Operations	1, 3, 4
General Counsel	1, 3, 4
Deputy General Counsel	1, 3, 4
Senior Counsel	1, 3, 4
Associate Counsel	1, 3, 4
Special Counsel	1, 3, 4
Director, Administrative and Technology Services (<u>ADS</u>)	2, 3
Director, Planning (PLN)	2, 3
Director, Operations (OPS)	2
Director, Electronic Payments (EPS)	2
Director, Programming and Allocations (PAC)	2, 3
Director, Legislation and Public Affairs (LPA)	1, 3
Director, Bay Area Headquarters Authority ("BAHA")	1, 3
<u>Director, Technology Services (TSS)</u>	<u>2</u>
Principals: ATS <u>ADS</u> , PLN, PAC	2, 3
Principals: OPS, EPS, <u>TSS</u>	2, 3
Principals: LPA	1
Principals: BAHA	1, 3
Principals: Finance	1
<u>Clipper[®] Executive Board Members</u>	<u>2</u>
Consultants/New Positions	*

*Consultants and new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008.) Nothing herein excuses any such consultant from any other provision of the conflict-of-interest code.

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below manage public investments and shall file a statement of economic interests pursuant to Government Code Section 87200.

MTC Commissioners
Chief Financial Officer
Executive Director

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

APPENDIX B

DISCLOSURE CATEGORIES

Designated positions shall disclose pursuant to the appropriate disclosure category as indicated in Appendix A.

- CATEGORY 1** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, entities that provide services, products, or equipment of the type utilized by MTC, including public utilities, consultants, transportation companies, and manufacturers.
- CATEGORY 2** – Investments and business positions in business entities, and income including receipt of loans, gifts, and travel payments, from, sources that provide services, products, or equipment of the type utilized by the designated position's department or division.
- CATEGORY 3** – All interests in real property located within the jurisdiction or within two miles of the boundaries of the jurisdiction or within two miles of any land owned or used by MTC.
- CATEGORY 4** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, sources that filed a claim against MTC during the previous two years, or have a claim pending against MTC.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: Administration Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
05/25/16-C

ABSTRACT

Resolution No. 1198, Revised

Subject

This resolution adopts the amendments to the Metropolitan Transportation Commission's Conflict of Interest Code, directs the Executive Director to submit a copy of the amended code to the Fair Political Practices Commission, provides for future amendments, and revises Appendix A of the Commission Procedures Manual (Resolution No. 1058).

Resolution No. 1198 supersedes Resolution No. 859.

This resolution was revised on June 26, 1991 to include the disclosure of "business positions in business entities," to add a disclosure category for telecommunications services and equipment manufacturers to cover the activities of the MTC SAFE, to update the designated positions to reflect MTC's current organizational structure, and to clarify the definition of "consultant."

This resolution was revised on July 27, 1994 to amend the disclosure categories to cover MTC and MTC SAFE activities in the areas of towing services and intelligent vehicle highway systems (IVHS) and to update the designated positions to reflect MTC's current organizational structure.

This resolution was revised on November 18, 1998 to amend the conflict of interest code to reflect changes in FPPC regulations, amend the disclosure categories to cover the MTC Service Authority for Freeways and Expressways ("MTC SAFE") and Bay Area Toll Authority ("BATA") activities, and to update the designated positions to reflect MTC's current organizational structure. .

This resolution was revised on June 28, 2000 to add Associate Counsel as a designated position, delete the Legislation and Public Affairs and Finance sections to create one Funding and External Affairs section, and rename Treasury to Finance.

This resolution was revised on November 20, 2002, to delete the Funding and External Affairs section, to create a Programming and Allocations section and a Legislation and Public Affairs section, and to replace the Deputy Executive Director's position with two Deputy Directors' Positions.

This resolution was revised on September 28, 2011, to update the designated positions to reflect MTC's current organizational structure and disclosure categories.

This resolution was revised on May 22, 2013, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

This resolution was revised on March 25, 2015, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

This resolution was revised on May 25, 2016, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure, and to add Clipper® Executive Board member as a designated position.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee

RE: Adoption of the Amendments to the Metropolitan Transportation Commission's Conflict of Interest Code.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 1198

WHEREAS, the Metropolitan Transportation Commission (MTC), is the regional transportation planning agency pursuant to Government Code § 66500 *et seq.*; and

WHEREAS, MTC has adopted for purposes of the Political Reform Act (Government Code § 81000 *et seq.*) a Conflict of Interest Code (Resolution No. 859) which has been approved by the Fair Political Practices Commission; and

WHEREAS, MTC desires to amend its Conflict of Interest Code; and

WHEREAS, the proposed amendments have been submitted to the public for comment and subject to a public hearing; now, therefore, be it

RESOLVED, that the amended Conflict of Interest Code, incorporated herein as though set forth at length as Attachment A, is adopted; and, be it further

RESOLVED, that the Executive Director is directed to submit a copy of the Conflict of Interest Code to the California Fair Political Practices Commission for approval; and, be it further

RESOLVED, that MTC may from time to time further amend Attachment A as appropriate, in accordance with the applicable statutory and regulatory provisions; and, be it further

RESOLVED, that MTC Resolution No. 859 is superseded by Resolution No. 1198; and, be it further

RESOLVED, that Appendix A of the Commission Procedures Manual (MTC Resolution No. 1058) is revised by Resolution No. 1198.

METROPOLITAN TRANSPORTATION COMMISSION

/s/

William R. "Bill" Lucius, Chairman

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on October 27, 1982.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
05/25/16-C

Attachment A - Resolution No. 1198
Page 1 of 4

CONFLICT OF INTEREST CODE FOR THE METROPOLITAN TRANSPORTATION COMMISSION

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Metropolitan Transportation Commission (MTC), a statutorily created regional transportation planning agency pursuant to Government Code Section 66500 *et seq.*, is for the purposes of the Political Reform Act, a local government agency pursuant to Government Code Section 82041. MTC also functions as the MTC Service Authority for Freeways and Expressways (“MTC SAFE”) pursuant to Streets and Highways Code Sections 2550-2556, and the Bay Area Toll Authority (“BATA”) pursuant to Streets and Highways Code Section 30950 *et seq.* The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regs. Sec. 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Metropolitan Transportation Commission (MTC)**.

Individuals holding designated positions shall file their statements of economic interests with **MTC**, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) All statements will be retained by **MTC**.

Date: October 27, 1982
 W.I.: 99110
 I.D.: File 1
 Referred by: A&O Committee
 Revised: 06/26/91-C 07/27/94-C
 11/18/98-C 06/28/00-C
 11/20/02-C 09/28/11-C
 05/22/13-C 03/25/15-C
 05/25/16-C

Attachment A - Resolution No. 1198
 Page 2 of 4

APPENDIX A

DESIGNATED POSITIONS

<u>Designated Position</u>	<u>Assigned Disclosure Category</u>
Deputy Executive Director, Policy	1, 3, 4
Deputy Executive Director, Operations	1, 3, 4
General Counsel	1, 3, 4
Deputy General Counsel	1, 3, 4
Senior Counsel	1, 3, 4
Associate Counsel	1, 3, 4
Special Counsel	1, 3, 4
Director, Administrative Services (ADS)	2, 3
Director, Planning (PLN)	2, 3
Director, Operations (OPS)	2
Director, Electronic Payments (EPS)	2
Director, Programming and Allocations (PAC)	2, 3
Director, Legislation and Public Affairs (LPA)	1, 3
Director, Technology Services (TSS)	2
Director, Bay Area Headquarters Authority ("BAHA")	1, 3
Principals: ADS, PLN, PAC	2, 3
Principals: OPS, EPS, TSS	2
Principals: LPA	1
Principals: BAHA	1, 3
Principals: Finance	1
Clipper® Executive Board Members	2
Consultants/New Positions	*

*Consultants and new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a "designated position," is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
05/25/16-C

Attachment A - Resolution No. 1198
Page 3 of 4

determination shall include a description of the consultant's or new position's duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director's determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008.) Nothing herein excuses any such consultant from any other provision of the conflict-of-interest code.

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below manage public investments and shall file a statement of economic interests pursuant to Government Code Section 87200.

MTC Commissioners
Chief Financial Officer
Executive Director

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
05/25/16-C

Attachment A - Resolution No. 1198
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APPENDIX B

DISCLOSURE CATEGORIES

Designated positions shall disclose pursuant to the appropriate disclosure category as indicated in Appendix A.

- CATEGORY 1** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, entities that provide services, products, or equipment of the type utilized by MTC, including public utilities, consultants, transportation companies, and manufacturers.
- CATEGORY 2** – Investments and business positions in business entities, and income including receipt of loans, gifts, and travel payments, from, sources that provide services, products, or equipment of the type utilized by the designated position's department or division.
- CATEGORY 3** – All interests in real property located within the jurisdiction or within two miles of the boundaries of the jurisdiction or within two miles of any land owned or used by MTC.
- CATEGORY 4** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, sources that filed a claim against MTC during the previous two years, or have a claim pending against MTC.



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1552 **Version:** 1 **Name:**

Type: Contract **Status:** Consent

File created: 4/12/2016 **In control:** Administration Committee

On agenda: 5/11/2016 **Final action:**

Title: Purchase Order Amendment - FY 2015-16 Staff Augmentation, Software and Hardware Support: KIS Consulting Services (\$85,000)

Sponsors:

Indexes:

Code sections:

Attachments: [2e_PurchaseOrder_KIS.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:

Purchase Order Amendment - FY 2015-16 Staff Augmentation, Software and Hardware Support: KIS Consulting Services (\$85,000)

Presenter:

Nick Roethel

Recommended Action:

Committee Approval



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

WI: 1255

RE: Purchase Order Amendment – FY 2015-16 Staff Augmentation, Software and Hardware Support: KIS Consulting Services (\$85,000)

This memorandum requests the Committee's approval to issue a purchase order amendment in an amount not to exceed \$85,000 with KIS Consulting Services, to provide staff augmentation, software and hardware support to all employees in preparation for the move to San Francisco.

Background

MTC Technology Services Section (TSS) is in the process of replacing desktop devices in preparation for the move to 375 Beale Street. KIS Consulting Services will provide additional temporary expertise and hands-on resources to move this effort fully forward. KIS also provides on-site staffing services to assist in helpdesk ticket issues for TSS Premier Support during this very busy period. Staff leverages KIS Consulting Services' existing state California Multiple Award Schedules (CMAs) contract for this work, as allowed under EDMM No. 352 (Third Party Contracting Policy and Procedures, Sec. G – Intergovernmental Procurements). KIS Consulting Services is neither a small business nor a disadvantaged business enterprise and has no subcontractors.

The existing KIS Consulting Services purchase order was issued under the Executive Director's delegated authority. This purchase order amendment will add \$85,000 and two more months until the end of the current fiscal year, bringing the contract total to \$285,000. Funding for this amendment is included in the approved FY 2015-16 agency budget.

Recommendation

Staff recommends that this Committee authorize the Executive Director or his designee to issue a purchase order amendment to KIS Consulting Services in an amount not to exceed \$85,000 to provide staff augmentation, software and hardware support as described herein.



Steve Heminger

SH:nr

Attachment

REQUEST FOR COMMITTEE APPROVAL

Summary of Purchase Order Amendment

Work Item No.:	1161
Consultant:	KIS Consulting Services
Work Project Title:	Staff Augmentation, Software and Hardware Support
Purpose of Project:	Provide MTC additional staff, software and hardware support for replacing desktop devices in preparation for the 375 Beale move as well as assisting in IT related issues for TSS Premier Support.
Brief Scope of Work:	Provide consulting services for IT-related items prior to the move to 375 Beale Street.
Project Cost Not to Exceed:	\$85,000 this purchase order amendment Previously approved purchase order = \$200,000 Total approved purchase order amount based on this action = \$285,000
Funding Source:	IT Capital, General Fund
Fiscal Impact:	Funds are included in the FY 2015-16 agency budget
Motion by Committee:	That the Executive Director or his designee is authorized to issue a purchase order amendment with KIS Consulting Services as described above and in the Executive Director's memorandum dated May 4, 2016, and the Chief Financial Officer is authorized to set aside funds in the amount of \$85,000 for such amendment.
Administration Committee:	<hr/> Adrienne J. Tissier, Chair
Approved:	May 11, 2016



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1561 **Version:** 1 **Name:**

Type: Resolution **Status:** Consent

File created: 4/15/2016 **In control:** Administration Committee

On agenda: 5/11/2016 **Final action:**

Title: MTC Resolution 4181, Revised - FY 2015-16 MTC Agency Budget

Sponsors:

Indexes:

Code sections:

Attachments: [2f_Reso-4181_FY16 MTC Agency Budget_Amend.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:

MTC Resolution 4181, Revised - FY 2015-16 MTC Agency Budget

Presenter:

Brian Mayhew

Recommended Action:

Commission Approval



METROPOLITAN
TRANSPORTATION
COMMISSION

Agenda Item 2f

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

W.I.: 1152

RE: MTC Resolution No. 4181, Revised – FY 2015-16 MTC Agency Budget

The recommended budget changes include the addition of the final allocation amount for FHWA Planning and FTA 5303 for FY 2015-16 that was received from Caltrans. This additional amount of \$141,802 is now being amended into the current FY 2015-16 MTC Agency Budget for approval after it was amended in the OWP in April 2016. The funds will be used for staff costs under Work Element 1122 – Analyze Regional Data GIS and Planning Models.

The second budget change is the removal of the \$10 million transfer in for the TOD project from the MTC operating budget as it is included in the Exchange fund budget.

The breakdown of the Final Allocation increase is as follows:

	<u>Final Allocation Increase</u>
FHWA PL Funds	\$119,071
FTA 5303 Funds	<u>22,731</u>
Total Increase in the Final Allocation numbers	<u>\$141,802</u>

Recommendation:

Staff recommends that the Administration Committee refer MTC Resolution No. 4181, Revised, to the Commission for approval.



Steve Heminger

Attachment

Date: June 24, 2015
W.I.: 1152
Referred By: Administration
Revised: 11/18/15-C
01/27/16-C
05/25/16-C

ABSTRACT

Resolution No. 4181, Revised

This resolution approves the Agency Budget for FY 2015-16.

This resolution was revised on November 18, 2015 for budget changes. The changes include FY 2014-15 Budget amendments as well as carryover funding approved in prior years. In addition a transfer of \$275,000 from reserve is required for a study of the MTC/ABAG merger implementation plan.

This resolution was revised on January 27, 2016 for budget changes. The changes include FY 2015-16 Budget amendments for the addition of approximately \$1.9 million in revenue and expenses that were not known when the budget was originally adopted; and the conversion of 25 contract based positions to regular employees as a result of findings from the 2015 CalPERS audit report.

This resolution was revised on May 25, 2016 for budget changes. The changes include the addition of \$141,802 in FHWA planning and FTA 5303 funds and removal of \$10 million transfer for the TOD project.

Further discussion of the agency budget is contained in the MTC Executive Director's memorandum to the Administration Committee dated June 3, 2015, January 6, 2016 and May 4, 2016. An updated budget is attached as Attachments A, B and C.

Date: June 24, 2015
W.I.: 1152
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2015-16

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4181

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on April 22, 2015 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2015-16 with the adoption of MTC Resolution No. 4183; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2015-16; and

WHEREAS, the final draft MTC Agency Budget for FY 2015-16 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4183; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2015-16, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2015-16, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY 2015-16; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2015-16; and, be it further

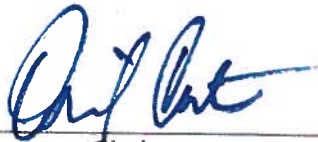
RESOLVED, that the Commission authorizes that the use of funds from the general fund for cash flow purposes, as an advance on authorized expenditures until the expenditures have been reimbursed; and, be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2015-16 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$1,250,000 liability reserve and establish a \$800,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from the requirements of or changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2015-16 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that the total of full time regular and project employees is established at 204 and will not be increased without approved increase to the appropriate FY 2015-16 budget and that the Executive Director or Designee is authorized to manage all contract, hourly or agency employees within the authorized FY 2015-16 budgets; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in blue ink, appearing to read 'Dave Cortese', is written over a horizontal line.

Dave Cortese, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in Oakland, California on June 24, 2015.

Date: June 24, 2015
W.I.: 1152
Referred by: Administration
Revised: 11/18/15-C
01/27/16-C
05/25/16-C

Attachments A, B, C
Resolution No. 4181, Revised

METROPOLITAN TRANSPORTATION COMMISSION

AGENCY BUDGET

FY 2015-16

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METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2015-16

Attachment A

SUMMARY

PART 1: OPERATING REVENUE-EXPENSE SUMMARY

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue	\$25,205,751	\$25,347,553	1%	\$141,802
Other MTC Revenue	1,652,067	1,652,067	0%	0
Transfers from other Funds	33,210,820	23,210,820	-30%	(10,000,000)
Local Revenue Grants	4,280,678	4,280,678	0%	0
Total Operating Revenue	\$64,349,314	\$54,491,116	-15%	(\$9,858,198)
Total Operating Expense	\$64,346,402	\$64,346,402	-16%	(\$10,000,000)
Operating Surplus (Shortfall)	\$2,912	\$144,714	4869%	\$141,802
Total Operating Revenue - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Total Operating Expense - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Operating Surplus (Shortfall)- Prior year	\$0	\$0	0%	\$0
Total Operating Surplus (Shortfall)	\$2,912	\$144,714	4869%	\$141,802

PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

Total Annual Capital Revenue	\$1,543,000	\$1,543,000	0%	\$0
Total Annual Capital Expense	\$1,543,000	\$1,543,000	0%	\$0
Capital Surplus(Shortfall)	\$0	\$0	0%	\$0
TOTAL FISCAL YEAR SURPLUS (SHORTFALL)	\$2,912	\$144,714	4869%	\$141,802

PART3: CHANGES IN RESERVES

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$2,912	\$144,714	4869%	\$141,802
Current Year Ending Balance	\$0	\$0		

REVENUE DETAIL

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./Dec	Change \$ Inc./Dec
General Planning Revenue				
FTA Section 5303	\$3,247,073	\$3,247,073	0%	\$0
FTA 5303 carryover FY'15	1,159,642	1,159,642	0%	0
FTA 5303 Final Allocation FY'15	5,506	5,506	0%	0
FTA 5303 Final Allocation FY'16	0	22,731		22,731
Sustainable Transportation Planning Grant	300,000	300,000	0%	0
FHWA SP&R - SACOG	150,000	150,000	0%	0
FHWA 1/2 % PL	7,546,404	7,546,404	0%	0
FHWA PL Final Allocation FY'15	(200,702)	(200,702)	0%	0
FHWA PL Final Allocation FY'16	0	119,071		119,071
FHWA PL carryover FY'15	697,828	697,828	0%	0
TDA (Planning/Administrative)	12,300,000	12,300,000	0%	0
Subtotal: General Planning Revenue	\$25,205,751	\$25,347,553	1%	\$141,802
Other MTC Revenue				
STIP-PPM	\$1,142,067	\$1,142,067	0%	\$0
HOV lane fines	490,000	490,000	0%	0
Interest	20,000	20,000	0%	0
Subtotal: MTC Other Revenue	\$1,652,067	\$1,652,067	0%	\$0
Operating Transfers				
BATA 1%	\$7,087,000	\$7,087,000	0%	\$0
Transfer BATA RM2	1,792,000	1,792,000	0%	0
BATA Reimbursements (Audit/misc. contracts)	1,367,900	1,367,900	0%	0
Express Lanes	375,000	375,000	0%	0
RAFC Management Services	531,769	531,769	0%	0
Service Authority Freeways Expressways (SAFE)	2,527,886	2,527,886	0%	0
Exchange Fund Transfer	10,000,000	0	0%	(10,000,000)
STA Transfer	2,749,000	2,749,000	0%	0
2% Transit Transfers	511,254	511,254	0%	0
Transfer in from Reserve - Move related	1,000,000	1,000,000	0%	0
Transfer in from Reserve - MTC/ABAG Study	275,000	275,000	0%	0
Transfer in from Reserve - to cover shortfall	26,108	26,108	0%	0
Transfer in from Liability Reserve	800,000	800,000	0%	0
Transfer in from SAFE & BATA for computer capital	280,000	280,000	0%	0
Grant Funded - Overhead	2,031,713	2,031,713	0%	0
Capital Programs - Overhead	1,858,190	1,858,190	0%	0
Subtotal: Transfers from other funds	\$33,210,820	\$23,210,820	-30%	(\$10,000,000)
MTC Total Planning Revenue	\$80,068,638	\$50,210,440	-16%	(\$9,858,198)
Local Revenue Grants				
Misc. Revenue (PMP Sales)	\$1,200,000	\$1,200,000	0%	\$0
ABAG	137,500	137,500	0%	\$0
TFCA (Regional Rideshare), Spare the Air	1,204,967	1,204,967	0%	0
BAAQMD	500,000	500,000	0%	0
Motivate	696,898	696,898	0%	0
Cities	541,311	541,311	0%	0
Subtotal: Local Revenue Grants	\$4,280,676	\$4,280,676	0%	\$0
Total Current Year Revenue	\$84,349,314	\$54,491,116	-15%	(\$9,858,198)
MTC Prior Year Project Revenue				
Prior Year Project Revenue - Federal/State				
FTA 5303	774,481	774,481		
FHWA	34,522	34,522		
State Transit Assistance (STA)	630,327	630,327		
Subtotal:	\$1,439,330	\$1,439,330		
Prior Year Project Revenue - Local				
General Fund	1,954,000	1,954,000		
Transportation Funds for Clean Air (TFCA)	76,565	76,565		
Service Authority for Freeways/Expressways (SAFE)	1,422,154	1,422,154		
PTAP LM	174,730	174,730		
PPM	75,017	75,017		
RM2/BATA Reimb.	216,803	216,803		
AB 684	51,525	51,525		
Local Cities	718,830	718,830		
2% Transit	2,255	2,255		
Subtotal:	\$4,691,879	\$4,691,879		
Total Prior Year Project Revenue	\$6,131,209	\$6,131,209		

**EXPENSE SUMMMARY
BUDGET FY 2015-16**

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./(Dec)	Change \$ Inc./(Dec)
Operating Expense				
I. Salaries and Benefits	\$22,865,039	\$22,865,039	0%	\$0
MTC Staff - Regular	\$18,439,029	\$18,439,029	0%	\$0
OPEB	1,589,625	1,589,625	0%	0
Temporary Staff	128,688	128,688	0%	0
Project Based Staff & LGS	2,680,697	2,680,697	0%	0
Interns	27,000	27,000	0%	0
II. Travel and Training	\$402,000	\$402,000	0%	\$0
III. Printing, Repro. & Graphics	\$134,100	\$134,100	0%	\$0
IV. Computer Services	\$1,404,500	\$1,404,500	0%	\$0
V. Commissioner Expense	\$70,000	\$70,000	0%	\$0
VI. Advisory Committees	\$15,000	\$15,000	0%	\$0
VII. General Operations	\$3,708,656	\$3,708,656	0%	\$0
Subtotal Staff Cost	\$28,599,295	\$28,599,295	-1%	\$0
IX. Contractual Services	\$35,747,107	\$25,747,107	-28%	(\$10,000,000)
Total Operating Expense Current Year	\$64,346,402	\$54,346,402	-16%	(\$10,000,000)
IX. Contractual Services - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Transfer out to BAHA	\$0	\$0	0%	\$0
Total Operating Expense	\$70,477,611	\$60,477,611	-14%	(\$10,000,000)

CAPITAL PROJECTS

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./Dec	Change \$ Inc./Dec
Annual Transfer from Reserve to Capital	\$1,543,000	\$1,543,000	0%	\$0
Annual Capital Expense	\$1,543,000	\$1,543,000	0%	\$0
	LTD Budget Thru FY 2015-16	Amended BUDGET FY 2015-16		LTD Budget Thru FY 2015-16
Hub Signage Program				
Revenue				
Prop. 1B	\$9,856,450	\$0		\$9,856,450
RM2	362,000	0		362,000
Real Time Sign - BART	300,000	0		300,000
Real Flap Sign - STA	166,300	0		166,300
	\$10,654,450	\$0		\$10,684,750
Expense				
Staff	\$1,200,000	\$0		\$1,200,000
Consultants	9,484,750	0		9,484,750
	\$10,654,450	\$0		\$10,684,750

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1111	Support Commission Standing Committees			
	Planning Programs - Other	\$150,000	\$150,000	\$0
	TOTAL	\$150,000	\$150,000	\$0
1112	Implement Public Information Program			
	LWW Monitor	\$25,000	\$25,000	\$0
	Photography services for MTC/BATA	50,000	50,000	0
	Design & Production Services	150,000	150,000	0
	On-call Facilitation and Outreach	25,000	25,000	0
	PDA Communication	50,000	50,000	0
	Digital Promotion & Analysis	25,000	25,000	0
	Awards Program	15,000	15,000	0
	MTC web integration/portal	125,000	125,000	0
	TOTAL	\$485,000	\$485,000	\$0
1121	Plan Bay Area			
	Develop Public Involvement	175,000	175,000	0
	Event Expenses	100,000	100,000	0
	CBO Outreach	50,000	50,000	0
	Public Opinion/Revenue Polls (2 total)	145,000	145,000	0
	Digital Tools/Visualization	75,000	75,000	0
	EIR Development	520,000	520,000	0
	MTC/ABAG Merger Implementation Plan	275,000	275,000	0
	Express Lane Settlement Agreement	50,000	50,000	0
	Plan Bay Area Implementation	0	0	0
	Plan Bay Area Future Trends	0	0	0
	SCS/RTP Plan Development	0	0	0
	Economic Development Strategy	0	0	0
	TOTAL	\$1,240,000	\$1,390,000	\$0
1122	Analyze Regional Data using GIS and Travel Models			
	Travel Model Assistance	\$150,000	\$150,000	\$0
	Land use Model Research	250,000	250,000	0
	Travel Model Research	50,000	50,000	0
	Technical Support for Web Based Projects	100,000	100,000	0
	Leverage SHRP2 Investment	100,000	100,000	0
	Consolidated household travel	75,000	75,000	0
	Regional Transit on Board	1,974,565	2,974,565	1,000,000
	Freight Modeling Program	50,000	50,000	0
	Evolving Transport Research Program Development	50,000	50,000	0
	Pilot fare Coordination project	0	0	0
	Evolving Transport Research Program Development	0	0	0
	TOTAL	\$2,649,565	\$3,799,565	\$1,000,000
1124	Regional Goods Movement Plan			
	Regional Goods Movement Study	\$0	\$0	\$0
	HUD Follow-up Efforts	150,000	150,000	0
	Sustainable Transportation Planning	450,000	450,000	0
	Zero Emission Freight Study	0	0	0
	TOTAL	\$600,000	\$600,000	\$0
1125	Non-Motorized Transportation			
	Bike Share Program - Operating Expenses	500,000	500,000	0
	Bike Share Program -Assets/Equipment	696,898	696,898	0
	TOTAL	\$1,196,898	\$1,196,898	\$0
1132	Advocacy Coalitions			
	Legislative advocates - Sacramento	\$151,000	\$151,000	\$0
	Mineta Transportation Institute	100,000	100,000	0
	Legislative advocates - Washington D.C.	263,000	263,000	0
	TOTAL	\$514,000	\$514,000	\$0
1152	Agency Financial Management			
	Financial Audit	\$400,000	\$400,000	\$0
	Project Audits	0	0	\$0
	OPEB Actuary	15,000	15,000	0
	Financial System Upgrade	20,000	20,000	0
	TOTAL	\$435,000	\$435,000	\$0
1153	Administrative Services			
	Organizational and Compensation	\$100,000	\$100,000	\$0
	Ergonomics	75,000	75,000	0
	Move related Projects	150,000	150,000	0
	Internship Program	136,000	136,000	0
	TOTAL	\$461,000	\$461,000	\$0

CONTRACTUAL SERVICES DETAIL

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1161	Information Technology Services			
	Network/Security Support	\$0	\$0	\$0
	Web/DB Application Development/Integration	50,000	50,000	0
	Network Assistance	50,000	50,000	0
	Enterprise data and process review	350,000	350,000	0
	Document scanning	50,000	50,000	0
	Move Assistance/Project Management	150,000	150,000	0
	TOTAL	\$650,000	\$650,000	\$0
1212	Performance Measuring and Monitoring			
	State of the Region/Performance Monitoring	\$150,000	\$150,000	\$0
	RTP Performance	75,000	75,000	0
	State of Good Repair Performance Analysis	75,000	75,000	0
	TOTAL	\$300,000	\$300,000	\$0
1222	Regional Rideshare Program			
	511 Ridesharing Program Operations	\$1,000,000	\$1,000,000	\$0
	511 Program Marketing	200,000	200,000	0
	SB 1339 Implementation	0	0	0
	TOTAL	\$1,200,000	\$1,200,000	\$0
1223	Operational Support for Regional Programs			
	TMC Legacy Projects and Contingency	\$0	\$0	\$0
	Park N Ride	150,000	150,000	0
	ITS Architecture	200,000	200,000	0
	TMS Technical Advisor & Guidance Bench	0	0	0
	TOTAL	\$350,000	\$350,000	\$0
1224	Regional Traveler Information			
	511 Traffic/Real Time Transit	\$2,191,500	\$2,191,500	\$0
	HSP.511 Real-Time	127,000	127,000	0
	511 Web Services	121,000	121,000	0
	511 Transit	891,000	891,000	0
	511 ESRI License	5,900	5,900	0
	Trip planner License	14,000	14,000	0
	Connected Vehicle	0	0	0
	TOTAL	\$3,350,400	\$3,350,400	\$0
1228	Regional Transportation Emergency Operation			
	Satellite Telephone-Annual Operations	\$50,000	\$50,000	\$0
	Transit Emergency Response	0	0	0
	EOC Training & Support	75,000	75,000	0
	TOTAL	\$125,000	\$125,000	\$0
1229	Regional Transportation Emergency Planning			
	Ongoing Emergency Exercise Support	\$300,000	\$300,000	\$0
	Emergency Response Strategies	100,000	100,000	0
	Joint Operations at @ Beale Street	1,100,000	1,100,000	0
	Transit Service Contingency	334,000	334,000	0
	TOTAL	\$1,834,000	\$1,834,000	\$0
1233	Transportation Asset Management			
	Software Development and Maintenance	\$1,300,000	\$1,300,000	\$0
	Transit Capital Inventory	100,000	100,000	0
	Software Training Support	34,410	34,410	0
	TAM Plan Development and Performance	75,000	75,000	0
	PTAP Projects	183,976	183,976	0
	Quality Assurance Program	50,000	50,000	0
	TOTAL	\$1,743,386	\$1,743,386	\$0
1234	Arterial Operations			
	Arterial Operations - Next Generation	\$0	\$0	\$0
	TOTAL	\$0	\$0	\$0
1235	Incident Management			
	Incident Management Task Force	\$100,000	\$100,000	\$0
	TOTAL	\$100,000	\$100,000	\$0
1237	Freeway Performance Initiative			
	Managed LANES Master Plan (Study)	\$300,000	\$300,000	\$0
	FPI Traffic Operations/Corridor Analysis	50,000	50,000	0
	Active Traffic Management Strategies	625,000	625,000	0
		0	0	0
	TOTAL	\$975,000	\$975,000	\$0
1311	Lifeline Planning			
	Community - Based Transportation Plan Funding Ag.	\$360,000	\$360,000	\$0
	CBTP Grant Program	89,000	89,000	0
	Lifeline Cycle 3	800,000	800,000	0
	Coordinated Plan Update	100,000	100,000	0
	TOTAL	\$1,349,000	\$1,349,000	\$0

CONTRACTUAL SERVICES DETAIL

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1413	Climate Initiative			
	Climate Adaption Consulting (BARC)	\$80,000	\$80,000	\$0
	EV Strategic Council	35,000	35,000	0
	Regional Transportation Sea Level Rise	0	0	0
	TOTAL	\$115,000	\$115,000	\$0
1512	Federal TIP Development			
	REMI Financial Forcast Model	\$50,000	\$50,000	\$0
	TOTAL	\$50,000	\$50,000	\$0
1514	Regional Assistance Programs			
	Performance audits - TDA audit & RM2 Oversight	\$250,000	\$250,000	\$0
	TOTAL	\$250,000	\$250,000	\$0
1515	Regional Assistance Programs			
	FMS TIP-RTP Linkage & Mapping	\$0	\$0	0
	FMS User interface Upgrade	125,000	125,000	0
	TOTAL	\$125,000	\$125,000	\$0
1517	Transit Sustainability			
	Transit Sustainability Planning	\$1,544,159	\$544,159	(\$1,000,000)
	West Contra Costa Rapid Transit Study	0	0	0
	SRTP	300,000	300,000	0
	Transit Core Capacity Analysis	0	0	0
	TOTAL	\$1,844,159	\$844,159	(\$1,000,000)
1518	New Freedom			
	On Call Facilitation for Mobility Management	\$5,000	\$5,000	\$0
	TOTAL	\$5,000	\$5,000	\$0
1611	Transportation and Land Use Coordination			
	ABAG - FHWA/FTA5303/TDA/Prop.84	\$2,329,699	\$2,329,699	\$0
	Transit Oriented Affordable Housing Fund	10,000,000	0	(10,000,000)
	PDA Assessment	0	0	0
	Complete Streets Technical Assistance	40,000	40,000	0
	Bike/ Ped Counts Program	60,000	60,000	0
	Conference Sponsorship for Rail-Volution	15,000	15,000	0
	Parking Program	125,000	125,000	0
	TOTAL	\$12,406,091	\$2,569,699	(\$10,000,000)
106	Legal Services	\$800,000	\$800,000	\$0
101	Encumbrances Contracts	\$0	\$0	\$0
	Total consultant contracts:	\$33,686,601	\$25,747,107	(\$10,000,000)

LTD Federal Grants Budget

Attachment B

		1	2	3 = (1-2)	4	5	6	7 = (3+4-5-6)
STP Grants		LTD Grant thru FY 2014	LTD Actual thru FY 2015	Balance thru FY 2015	New Grant FY 2015-16	staff budget FY 2015-16	Consultant budget FY 2015-16	Balance FY 2015-16
Grant # / Fund Source #	Project Description							
6084-146 1580	Station Area Planning	\$4,256,088	\$2,096,406	\$2,159,682	\$0	\$0	\$358,500	\$1,801,182
6084-156 1585	Regional Streets and Roads	378,695	\$378,695	0	0	0	0	0
6084-162 1590	Freeway Performance Initiative	424,555	\$215,451	209,104	0	0	0	209,104
6180-018 1595	Freeway Performance Initiative	410,412	\$0	410,412	0	410,412	0	(0)
6084-175 1801	CMA Planning	26,270,089	\$10,683,339	15,586,750	0	1,094,196	8,183,000	6,309,554
6084-176 1803	511 Grant	28,112,035	\$8,569,979	19,542,056	0	1,274,193	13,475,377	4,792,486
6084-178 1805	Regional Streets and Roads	736,817	\$111,042	625,775	0	34,410	265,590	325,775
6084-179 1806	Pavement Management	4,138,596	\$1,478,360	2,658,236	0	0	1,420,000	1,238,236
6084-188 1812	OBAG Regional PDA	8,740,305	\$815,735	8,124,570	0	0	2,834,000	5,290,570
6084-187 1811	OBAG Regional PDA - ABAG	1,340,000	\$660,000	680,000	0	0	660,000	20,000
NEW	Arterial Operations	3,000,000	\$500,000	2,500,000	0	0	2,500,000	0
		\$77,805,592	\$25,309,007	\$52,496,585	\$0	\$2,813,211	\$29,696,467	\$19,986,906

CMAQ Grants

6084-139 1584	Incident Management & FPI	\$837,149	\$837,149	\$0	\$0	\$0	\$0	\$0
6084-180 1589	Arterial Operations	5,216,365	1,653,858	3,562,507	0	641,756	1,200,000	1,720,751
6084-164 1591	Climate Initiatives Program Public Outreach	3,700,527	1,654,817	2,045,710	0	0	1,542,678	503,032
6084-165 1592	Climate Initiatives Evaluation	2,040,085	984,831	1,055,254	0	141,788	0	913,466
6160-018 1596	Freeway Performance Initiative	7,153,941	1,767,305	5,386,636	0	1,417,423	1,500,000	2,469,213
6160-020 1800	Incident Management	5,935,774	867,620	5,068,154	0	402,040	2,408,000	2,258,114
6084-176 1804	511 Grant	11,273,187	5,297,216	5,975,971	0	1,108,147	1,613,623	3,254,201
6084-180 1809	Freeway Performance Corridor Studies	3,833,946	671,234	3,162,712	0	0	1,500,000	1,662,712
6084-188 1814	Regional Bicycle Program	1,725,000	168,253	1,556,747	0	0	1,185,000	371,747
NEW	Incident Management	0	0	0	10,840,000	0	10,840,000	0
NEW	Climate Initiatives CYCLE 2	0	0	0	7,000,000	0	7,000,000	0
		\$41,715,974	\$13,902,283	\$27,813,691	\$17,840,000	\$3,711,154	\$28,789,301	\$13,153,236

FTA GRANTS

90-Y555 1613	JARC	\$18,613	14,064	\$4,549	\$0	\$0	\$4,549	\$0
37-X078 1614	JARC	347,421	0	347,421	0	0	265,248	82,173
37-X043 1620	JARC	29,252	0	29,252	0	0	0	29,252
37-X064 1622	JARC	88,993	0	88,993	0	0	0	88,993
37-X104 1625	JARC	304,533	0	304,533	0	0	0	304,533
37-X133 1627	JARC	369,493	82,311	287,182	0	0	0	287,182
37-X164 1629	JARC	684,619	2,200	682,419	0	15,526	0	666,893
37-X177 1630	JARC	2,430,952	276,685	2,154,267	0	0	0	2,154,267
34-001 1631	FTA 5339	10,506,277	840,438	9,665,839	0	0	9,665,839	0
34-0024 1633	FTA 5339	12,240,015	0	12,240,015	0	0	12,240,015	0
NEW	FTA 5339	0	0	0	11,565,979	0	11,565,979	0
NEW	TIGER GRANT	1,000,000	112,140	887,860	0	0	887,860	0
57-X023 1623	New Freedom	150,928	24,334	126,594	0	0	0	126,594
57-X032 1624	New Freedom	41,250	34,157	7,093	0	0	0	7,093
57-X050 1626	New Freedom	375,031	179,106	195,925	0	0	0	195,925
57-X074 1628	New Freedom	1,308,460	1,014,849	293,611	0	0	0	293,611
57-X109 1632	New Freedom	1,383,631	425,793	957,838	0	0	100,166	857,672
		\$31,279,468	\$3,006,077	\$28,273,391	\$11,565,979	\$15,526	\$34,729,656	\$5,094,188

HPP/VPP GRANTS

1739	VPPL Value PRICING	\$482,045	362,769	\$119,276	\$0	\$0	\$0	\$119,276
		\$482,045	\$362,769	\$119,276	\$0	\$0	\$0	\$119,276

HUD Grant

1737	HUD Grant	\$2,997,213	\$2,694,396	\$302,817	\$0	\$0	\$0	\$302,817
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Other Grants

1111	FHWA - Climate Change	\$167,356	167,251	\$105	0	\$0	\$0	\$105
1110	HEPP Travel Model (Reobligated)	\$90,000	\$0	\$90,000	0	0	\$90,000	0
1112	FHWA - SHRP2	700,000	41,944	658,056	0	0	0	658,056
NEW	FTA 5310	0	0	0	454,430	0	0	454,430
		\$957,356	\$209,195	\$748,161	\$454,430	\$0	\$90,000	\$1,112,591

Total Federal Grants Budget

\$155,237,648	\$45,483,727	\$109,753,921	\$29,860,409	\$6,539,891	\$93,305,424	\$39,789,015
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CONTRACTUAL SERVICES DETAIL Federal Grants

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./(Dec)
1122	Analyze Regional Data using GIS and Travel Models Travel Model TOTAL	\$90,000 \$90,000	\$90,000 \$90,000	\$0 \$0
1152	Agency Financial Management Project Audits TOTAL	\$200,000 \$200,000	\$200,000 \$200,000	\$0 \$0
1222	Regional Rideshare Program 511 Program Operations 511 Program Marketing Rideshare: Employer Services (CMAs) SB 1339 TOTAL	\$2,549,000 \$374,000 450,000 93,000 \$3,466,000	\$2,549,000 \$374,000 450,000 93,000 \$3,466,000	\$0 \$0 0 0 \$0
1223	Operational Support for Regional Programs TMS Technical Advisor & Guidance Bench TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1224	Regional Traveler Information 511 Traffic Real Time Transit 511 Transit RG Connected Vehicles 511 ESRI License TOTAL	\$6,103,000 5,302,000 0 0 111,000 \$11,516,000	\$6,103,000 5,302,000 0 0 111,000 \$11,516,000	\$0 0 0 0 0 \$0
1233	Pavement Management System Software Training Support P-TAP Projects TOTAL	\$265,590 1,420,000 \$1,685,590	\$265,590 1,420,000 \$1,685,590	\$0 0 \$0
1234	Arterial Operations Coordination Program for Arterial System Arterial Operations - Next Generation TOTAL	\$1,200,000 2,500,000 \$3,700,000	\$1,200,000 2,500,000 \$3,700,000	\$0 0 \$0
1235	Incident Management Incident Management Task Force I-880 ICM TOTAL	\$140,000 13,108,000 \$13,248,000	\$140,000 13,108,000 \$13,248,000	\$0 0 \$0
1237	Freeway Performance Initiative FPI Implementation and Ramp Metering FPI Traffic Operations/Corridor Analysis TOTAL	\$1,500,000 1,500,000 \$3,000,000	\$1,500,000 1,500,000 \$3,000,000	\$0 0 \$0
1310	Implement Lifeline Transportation Program Lifeline Planning TOTAL	\$269,797 \$269,797	\$269,797 \$269,797	\$0 \$0
1413	Climate Initiative Bike to Work Day Climate Initiative Outreach and Marketing program TOTAL	\$150,000 \$9,484,678 \$17,347,678	\$150,000 \$9,484,678 \$9,634,678	\$0 \$0 \$0
1512	Federal TIP Development Transit Capital Inventory Transit Operators TOTAL	\$0 33,471,833 \$33,471,833	\$0 33,471,833 \$33,471,833	\$0 0 \$0
1517	Transit Sustainability Transit Core Capacity Analysis TOTAL	\$0 \$0	\$0 \$0	\$0 \$0
1518	New Freedom New Freedom Projects TOTAL	\$100,166 \$100,166	\$100,166 \$100,166	\$0 \$0
1519	Transit Core Transit Core Study TOTAL	\$887,860 \$887,860	\$887,860 \$887,860	\$0 \$0
1611	Transportation and Land Use Coordination ABAG - STP BCDC STP CMAs - STP Access Public Lands near Transit PDA Implementation Studies SR 82 Study PDA Planning Grant TOTAL	\$1,359,000 351,000 7,133,000 500,000 515,000 275,000 1,902,500 \$12,035,500	\$1,359,000 351,000 7,133,000 500,000 515,000 275,000 1,902,500 \$12,035,500	\$0 0 0 0 0 0 0 \$0
	Total Federal funded Consultants	\$101,018,424	\$93,305,424	\$0

Attachment C

Clipper Operating:

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
Revenue:			
CMAQ	\$0	\$0 0%	\$0
RM2	2,825,000	2,825,000 0%	0
STA	17,856,667	17,856,667 0%	0
Transit Operators	17,914,400	17,914,400 0%	0
	<u>\$38,596,067</u>	<u>\$38,596,067 0%</u>	<u>\$0</u>
Expenses:			
Salaries and Benefits	\$1,574,567	\$1,574,567 0%	\$0
Temporary Agency	0	0 0%	0
Travel & Membership	72,100	72,100 0%	0
Promotion/Outreach/Fare Inc.	3,890,000	3,890,000 0%	0
Bad Debt	0	0 0%	0
Clipper Operations	33,059,400	33,059,400 0%	0
	<u>\$38,596,067</u>	<u>\$38,596,067 0%</u>	<u>\$0</u>

Clipper Capital:

	LTD Budget Thru FY2015-16	Amended BUDGET FY 2015-16	LTD Budget Thru FY2015-16
Revenue:			
CMAQ	\$71,495,201	\$0	\$71,495,201
Card Sales	\$4,851,267	0	\$4,851,267
ARRA	\$11,000,000	0	\$11,000,000
FTA	\$25,177,072	0	\$25,177,072
STP	\$43,605,002	0	\$43,605,002
STA	\$21,207,597	0	\$21,207,597
Prop 1B	\$1,000,000	0	\$1,000,000
SFMTA	\$8,005,421	0	\$8,005,421
GGGHTD	\$2,975,000	0	\$2,975,000
BART	\$725,000	0	\$725,000
MTC Exchange Fund	\$8,269,158	0	\$8,269,158
BATA	\$27,124,813	0	\$27,124,813
Transit Operators	\$11,807,000	0	\$11,807,000
WETA	\$603,707	0	\$603,707
Sales Tax	\$99,311	0	\$99,311
	<u>\$237,945,549</u>	<u>\$0</u>	<u>\$237,945,549</u>
Expense:			
Staff Costs	\$11,022,524	\$0	\$11,022,524
Travel	\$3,208	0	\$3,208
Pilot Equipment Maintenance	\$3,093,834	0	\$3,093,834
Transit Agency Funded Projects	\$11,860,707	0	\$11,860,707
Design	\$54,690,574	0	\$54,690,574
Site Preparation	\$3,899,437	0	\$3,899,437
Construction	\$21,867,682	0	\$21,867,682
Consultants	\$26,285,903	0	\$26,285,903
Engineering	\$7,953,061	0	\$7,953,061
Communications	\$1,583,000	0	\$1,583,000
Marketing	\$2,212,029	0	\$2,212,029
Financial Services	\$391,600	0	\$391,600
Equipment	\$44,074,714	0	\$44,074,714
Clipper Cards	\$13,140,095	0	\$13,140,095
Other	\$35,867,181	0	\$35,867,181
	<u>\$237,945,549</u>	<u>\$0</u>	<u>\$237,945,549</u>

CONTRACTUAL SERVICES DETAIL

Prior Year Contractual and Professional Services

**AMENDED BUDGET
FY 2015-16**

Work Element Description/Purpose

1121	Plan Bay Area	
	Bay Area Council Economic	\$82,775
	Barabary Coast	\$43,707
	Visual Strategies	\$10,000
	Rose Foundation for Communities	\$5,000
	Richmond Main Street	\$5,000
	Southern Hayward Parish	\$5,000
	SELA Learning	\$5,000
	Sound of Hope Radio Network	\$5,000
	TOTAL	\$161,482
1122	Analyze Regional Data using GIS and Travel Models	
	Corey, Canapary	\$238,410
	ETC Institute	\$145,255
	Redhill Group	\$25,274
	Syntheticity LLC	\$218,000
	Parsons Brinkerhoff	\$142,151
	TOTAL	\$767,090
1124	Regional Goods Movement Plan	
	ACTC	\$137,854
	San Francisco Transp. Authority	\$32,625
	Cambridge Systematics	\$174,920
	TOTAL	\$345,199
1152	Agency Financial Management	
	Pricewaterhouse Coopers	\$184,422
	TOTAL	\$184,422
1153	Administrative Services	
	Pathways for Students	\$5,794
	Carl Warren	\$20,000
	Cushman & Wakefield	\$5,000
	Koff & Associates	\$9,990
	Pamela Hurt	\$18,241
	International Effectiveness	\$4,941
	GovDelivery	\$11,918
	Customized	\$11,666
	Ergonomic	\$955
	Share Squared	\$38,873
	TOTAL	\$127,378
1212	Performance Measuring and Monitoring	
	Lowercase Productions	\$26,025
	TOTAL	\$26,025
1222	Regional Rideshare Program	
	Parsons Brinkerhoff	\$83,626
	TOTAL	\$83,626
1223	Operational Support for Regional Programs	
	Atkins North America Inc. (PBS & J)	\$84
	Iteris, Inc.	\$57,000
	Delcan	\$122,263
	Kimley Horn	\$10,559
	Cambridge Systematics	\$52
	TOTAL	\$189,958
1224	Regional Traveler Information	
	Civic Resource Group	\$41,381
	LEIDOS	\$619,707
	LEIDOS	\$289,457
	Kimley Horn	\$12,366
	CALTRANS	\$4,254
	TOTAL	\$967,165
1229	Regional Transportation Emergency Planning	
	URS	\$39,619
	DKS & Associates	\$41,628
	TOTAL	\$81,247
1233	Pavement Management System	
	Adhara Systems	\$103,908
	Quality Engng Solutions	\$6,916
	DevMecca.com	\$25,017
	Nichols Consulting	\$51
	CA State University Chico	\$50,000
	AMS Consulting	\$31,967
	JG3 Consulting	\$6,446
	Bellecci & Associates	\$2,039
	Capitol Asset & Pavement	\$32,024
	Harris & Associates	\$54,152
	Nichols Consulting	\$35,993
	TOTAL	\$348,513
1234	Arterial Operations Coordination	
	Kimley Horn & Assoc.	\$12,665
	Iteris	\$306,768
	TOTAL	\$319,433
1235	Incident Management	
	Kimley Horn	\$40,000
	TOTAL	\$40,000

1237	Freeway Performance Initiative	
	Fehr & Peers	\$1,859
	Kimley Horn	\$1,348
	Kittleson	\$26,837
	Cambridge	\$27,437
	URS	\$100,000
	TOTAL	\$157,479
1311	Implement Lifeline Program	
	CH2M Hill	\$199,940
	TOTAL	\$199,940
1512	Federal TIP Development	
	CH2M Hill	\$161,865
	TOTAL	\$161,865
1514	Regional Assistance Programs	
	Perlott & Associates	\$18,047
	TOTAL	\$18,047
1517	Transit Sustainability	
	MIG, Inc	\$20,629
	PCJPB	\$40,000
	AC Transit	\$40,000
	Nelson Nygaard	\$100,000
	ARUP North America Ltd.	\$762,669
	WETA	\$30,000
	Sonoma County Transit	\$30,000
	ECCTA	\$30,000
	Marin Transit	\$20,000
	CCCTA	\$30,000
	WCCTA	\$30,000
	Vacaville, City of	\$10,000
	Sonoma Cnty Transp. Authority	\$20,000
	LAVTA	\$30,000
	Union, City of	\$30,000
	West Contra Costa Transit	\$89,921
	TOTAL	\$1,313,219
1611	Transportation for Livable Communities	
	Toole Design	\$53,085
	Economic & Planning	\$62,000
	TOTAL	\$115,085
1161	Information Technology Services	
	Share Squared	\$103,871
	TOTAL	\$103,871
Fund 106		
	Thomas Law Group	\$302,922
	Glynn & Finley	\$80,000
	Hanson Bridgett	\$33,059
	Myers Nave	\$4,184
	TOTAL	\$420,165
	Total Prior Year Contractual and Professional Services	\$6,131,209



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1572 **Version:** 1 **Name:**

Type: Contract **Status:** Consent

File created: 4/18/2016 **In control:** Administration Committee

On agenda: 5/11/2016 **Final action:**

Title: Contract Amendment - Transit Passenger Survey Services: ETC Institute (\$350,000)

Sponsors:

Indexes:

Code sections:

Attachments: [2g_Contract_Amend_ETC_Institute.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Contract Amendment - Transit Passenger Survey Services: ETC Institute (\$350,000)

Presenter:
Shimon Israel

Recommended Action:
Committee Approval



METROPOLITAN
TRANSPORTATION
COMMISSION

Agenda Item 2g

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

W. I. 1122

RE: Contract Amendment - Transit Passenger Survey Services: ETC Institute (\$350,000)

This memorandum requests the Committee's approval to enter into a contract amendment in an amount not to exceed \$350,000 with ETC Institute (ETC) to continue work on a demographic and travel pattern survey of San Francisco Municipal Transportation Agency (SFMTA) passengers.

Background

In October 2015 and April 2016, this Committee approved funding for ETC Institute, a pre-qualified consultant, to collect and analyze transit passenger demographic and travel pattern data from SFMTA passengers. Consistent with this data-collection effort, SFMTA has requested that supplemental travel pattern data be collected from SFMTA's rail fleet, entirely at SFMTA's expense. SFMTA will fund this additional effort while continuing to work with ETC Institute and within MTC's contracting environment.

The proposed contract amendment funds a census of rail ridership that creates an inventory of rail boardings, alightings, and vehicle passenger loads for all rail stops in SFMTA's fleet. ETC's and its subcontractor's small business and disadvantaged business enterprise status is shown in Attachment A.

Recommendation

Staff recommends that this Committee authorize the Executive Director or his designee to negotiate and enter into a contract amendment with ETC in an amount not to exceed \$350,000 to continue and expand the scope of the transit passenger survey for SFMTA.



Steve Heminger

SH:SI
Attachment

Attachment A

		DBE* Firm			SBE** Firm			
	Firm Name	Role on Project	Yes	If Yes, List #	No	Yes	If Yes, List #	No
Prime Contractor	ETC Institute	Survey Development and Project Management			X			X
Subcontractor	A+ Student Staffing	Temporary Staffing of Onboard Survey Administrators	X	42197				X

*Denotes certification by the California Unified Certification Program (CUCP).

**Denotes certification by the State of California.

REQUEST FOR COMMITTEE APPROVAL

Summary of Proposed Contract Amendment

Work Item No.:	1122
Consultant(s):	ETC Institute, Olathe, KS
Work Project Title:	Transit Passenger Survey Services
Purpose of Project:	Collect and analyze transit passenger demographic and travel data from Bay Area transit agencies.
Brief Scope of Work:	Collect transit passenger survey data that will be used to support Title VI equity reporting requirements for transit riders and to refine regional analytical planning tools.
Project Cost Not to Exceed:	\$350,000 (this amendment) Total contract before this amendment: \$3,668,530 Total Authorized Contract after this amendment: \$4,018,530
Funding Source:	SFMTA Funds
Fiscal Impact:	Funds are included in the FY 2015-16 agency budget.
Motion by Committee:	That the Executive Director or his designee is authorized to negotiate and enter into a contract amendment with ETC Institute to continue transit passenger survey work, as described above and in the Executive Director's memorandum dated May 4, 2016, and the Chief Financial Officer is authorized to set aside funds in the amount of \$350,000 for such contract amendment.
Administration Committee:	<hr/> Adrienne J. Tissier, Chair
Approved:	Date: May 11, 2016



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1526 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 4/7/2016 **In control:** Administration Committee
On agenda: 5/11/2016 **Final action:**
Title: Monthly Travel Report
Sponsors:
Indexes:
Code sections:
Attachments: [2h_Travel_Report_March'2016.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Monthly Travel Report

Presenter:
Eva Sun

Recommended Action:
Information



METROPOLITAN
TRANSPORTATION
COMMISSION

Agenda Item 2h

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

RE: Monthly Travel Report

Pursuant to MTC Resolution No. 1058, Revised, this constitutes the monthly travel report to the Administration Committee. As a reminder, the Commission revised the policies governing Commissioner and staff travel to require that three items be approved by, or reported to, this Committee:

1. International travel (outside the United States and Canada) must be approved in advance by this Committee at a regular public meeting.
2. All Commissioner travel must be disclosed in regular monthly reports to this committee.
3. On a quarterly basis, actual vs. budgeted travel expenditures must be reported to this Committee.

International Travel Requests

None this month.

Commissioner Travel

None this month.

Budget Report

As outlined in Attachment 1, actual travel expenses for all combined MTC travel funds are below budget at 42% as of March 2016 with 75% of the budget year elapsed.



Steve Heminger

SH:bm

Attachment

J:\COMMITTEE\Administration\2016 by Month\05_May\2016_Admin\2h_Travel_Report_March\2016_Memo.doc

Travel Report
As of March, 2016 (75% of year)

FUND	Budget	YTD Actual	% of Budget
MTC	194,400	123,731	64%
BATA	180,400	48,617	27%
SAFE	9,400	1,496	16%
Clipper	43,600	6,315	14%
Total	427,800	180,158	42%



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1527 **Version:** 1 **Name:**
Type: Contract **Status:** Committee Approval
File created: 4/7/2016 **In control:** Administration Committee
On agenda: 5/11/2016 **Final action:**
Title: Contract - Plan Bay Area 2040 Environmental Impact Report Consultant: Ascent Environmental, Inc. (\$550,000)

Proposed contract to fund preparation of the Environmental Impact Report (EIR) for the update of Plan Bay Area, Plan Bay Area 2040.

Sponsors:

Indexes:

Code sections:

Attachments: [3_Contract-PBA 2040 EIR.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:

Contract - Plan Bay Area 2040 Environmental Impact Report Consultant: Ascent Environmental, Inc. (\$550,000)

Proposed contract to fund preparation of the Environmental Impact Report (EIR) for the update of Plan Bay Area, Plan Bay Area 2040.

Presenter:

Adam Noelting and Matt Maloney

Recommended Action:

Committee Approval



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: May 4, 2016

FR: Executive Director

WI: 1121

RE: Contract – Plan Bay Area 2040 Environmental Impact Report Consultant: Ascent Environmental, Inc. (\$550,000)

This memorandum requests the Committee's approval of a contract in an amount not to exceed \$549,761 with Ascent Environmental, Inc. to prepare the Environmental Impact Report (EIR) for the update of *Plan Bay Area*, Plan Bay Area 2040. This work is anticipated to commence on or before May 16, 2016, and to be complete by July 30, 2017.

Background

The purpose of the EIR for Plan Bay Area 2040 – the Regional Transportation Plan (RTP) and Sustainable Communities Strategy (SCS) – is to comply with the requirements of CEQA, as well as applicable provisions in the FAST Act (Title 23 CFR Parts 450 and 500), SB 375 and other relevant state and federal environmental laws. The EIR will be designed to inform decision-makers, responsible and trustee agencies, and the general public of the proposed actions and the range of potential environmental effects of implementing the transportation and land-use strategies in Plan Bay Area 2040. The EIR will propose a broad range of feasible and effective mitigation measures to minimize the significant adverse regional impacts of the RTP/SCS, and evaluate a range of alternatives to the proposed RTP/SCS. As a programmatic EIR, it will also be prepared at a level of detail necessary to facilitate effective tiering from this document by lead agencies of future transportation and development projects, particularly those development projects that can benefit from the SB 375 CEQA streamlining provisions. The preparation of this document is required under state law.

Procurement Process

On Monday, April 4, 2016, MTC issued a Request for Proposals (RFP) for consulting assistance in the above-described project. An email advertising the availability of the RFP on MTC's website was sent to individuals/firms. By the proposal due date of 4:00 p.m. (PST), Monday, April 25, 2016, MTC had received two proposals led by the following teams: Ascent Environmental, Inc. and Dyett & Bhatia. It was determined that both Proposers met the minimum qualifications.

A panel of three members comprised of staff from MTC and Tschudin Consulting Group performed an initial evaluation of the proposals and held interviews. Following the interviews a Best and Final Offer (BAFO) was initiated to modify the scope of work to remove the final phase of the project due to uncertainty in the level of effort required for completion. The panel scored the final proposals based on the evaluation criteria as listed in the table below:

Proposer	Ascent Environmental	Dyett & Bhatia
1. Individual and team expertise and experience providing similar consulting services (25%)	23	19
2. Approach to completing the project (25%)	23	18
3. Cost effectiveness (15%)	13	10
4. Cost (20%)	15	20
5. Written and oral communication skills (15%)	15	11
Total	89	78

The panel recommends the Ascent Environmental team (firm) as the proposer most advantageous to MTC based on the evaluation criteria stated in the RFP. The culmination of a number of identified strengths led to the panel's recommendation, including:

- Ascent proposed a talented technical team and their responses to questions were detailed and thoughtful.
- They clearly demonstrated their understanding of the connection between CEQA and the SCS.
- Their firm specializes in CEQA documents, has superior in-house depth in CEQA, and proposes a superior team of CEQA experts. They exhibited strong prior past experience with CEQA through their responses.
- They have excellent team experience in the areas of GHG emissions, sea level rise, and air quality emissions analysis and control. The firm and proposed principles have exhibited statewide leadership in policy and regulation in these areas.
- They proposed assignment of budget by task and position that is superior, and more cost effective on a unitized basis.
- The proposal was better written and the interview was excellent. They provided specific recommendations for method and approach. They demonstrated that they are solution oriented.
- There was a strong team dynamic evident throughout the interview. The team was cohesive and collaborative. They demonstrated superior fit for the job.
- They provided superior responses regarding integration of the settlement agreements and SB 375 streamlining into the document and the process.

- The strength and leadership of the project manager was clear in the proposal and throughout the interview. She has extensive experience (over two decades) managing EIRs. She demonstrated superior confidence, knowledge, and skill.

Ascent Environmental, Inc. is a registered Small Business Enterprise (SBE) as shown in Attachment A.

Recommendation

Staff recommends this Committee authorize the Executive Director or his designee to negotiate and enter into a consulting contract in an amount not to exceed \$549,761 with Ascent Environmental, Inc. to prepare the EIR for Plan Bay Area 2040.



Steve Heminger

SH:AN

Attachment A

			DBE* Firm			SBE** Firm		
Firm Name		Role on Project	Yes	If Yes, List #	No	Yes	If Yes, List #	No
Prime Contractor	Ascent Environmental	project management, environmental analysis			X	X	#1208561	
	Kittleson and Associates	transportation analysis			X			X
Subcontractor								

*Denotes certification by the California Unified Certification Program (CUCP).

**Denotes certification by the State of California.

REQUEST FOR COMMITTEE APPROVAL

Summary of Proposed Consultant Contract

Work Item No.:	1121
Consultant:	Ascent Environmental, Inc. (Sacramento, CA)
Work Project Title:	Plan Bay Area 2040 – the Regional Transportation Plan (RTP) and Sustainable Communities Strategy (SCS)
Purpose of Project:	To prepare the Environmental Impact Report (EIR) for Plan Bay Area 2040
Brief Scope of Work:	The preparation of the EIR will inform decision-makers, responsible and trustee agencies, and the general public of the proposed actions and the range of potential environmental effects of implementing the transportation and land-use strategies in Plan Bay Area 2040. The EIR will propose a broad range of feasible and effective mitigation measures to minimize the significant adverse regional impacts of the Plan, and evaluate a range of alternatives.
Project Cost Not to Exceed:	\$549,761
Funding Source:	General Fund
Fiscal Impact:	\$320,000 is available in the FY 2015-16 Agency Budget. \$229,761 is subject to inclusion in the FY 2016-17 Agency Budget.
Motion by Committee:	That the Executive Director or his designee is authorized to negotiate and enter into a consulting contract with Ascent Environmental, Inc., to prepare the EIR for Plan Bay Area 2040 as described above and in the Executive Director's memorandum dated May 4, 2016, and the Chief Financial Officer is directed to set aside funds in the amount of \$549,761 for such contract.
Administration Committee:	<hr/> Adrienne J. Tissier, Chair
Approved:	Date: May 11, 2016