



Metropolitan Transportation Commission

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Meeting Agenda

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy Rein Worth, Chair Tom Bates, Vice Chair

Jason Baker, David Campos, Federal D. Glover,

Mark Luce, Libby Schaaf,

Adrienne J. Tissier, Scott Wiener

Non-Voting Member: Bijan Sartipi

Wednesday, May 11, 2016

9:30 AM

The Board Room - 1st Floor

This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:30 a.m.

1. Roll Call / Confirm Quorum

Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).

2. Pledge of Allegiance

3. Compensation Announcement (Committee Secretary)

4. Consent Calendar

- 4a. [15-1518](#) Minutes of the April 11, 2016 meeting.

Action: Committee Approval

Attachments: [4a_04-13-2016_BATA_O_Draft_Minutes.pdf](#)

- 4b. [15-1519](#) BATA Financial Statements for March 2016

Action: Committee Approval

Presenter: Eva Sun

Attachments: [4b_Mar'2016_Financial_Statements.pdf](#)

5. Public Comment / Other Business

6. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on June 8, 2016 at 9:30 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA 94105.

Public Comment: The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

Meeting Conduct: If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

Record of Meeting: Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site (mtc.ca.gov) for public review for at least one year.

Accessibility and Title VI: MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 510.817.5757 or 510.810.5769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章：MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 510.817.5757 或 510.817.5769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

Acceso y el Titulo VI: La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 510.817.5757 o al 510.817.5769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



Metropolitan Transportation Commission

101 Eighth Street,
Joseph P. Bort MetroCenter
Oakland, CA

Legislation Details (With Text)

File #: 15-1518 **Version:** 1 **Name:**

Type: Minutes **Status:** Consent

File created: 4/7/2016 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 5/11/2016 **Final action:**

Title: Minutes of the April 11, 2016 meeting.

Sponsors:

Indexes:

Code sections:

Attachments: [4a_04-13-2016_BATA_O_Draft_Minutes.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
Minutes of the April 11, 2016 meeting.

Recommended Action:
Committee Approval



Meeting Minutes

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Bay Area Toll Authority Oversight Committee

Committee Members:

Amy Rein Worth, Chair Tom Bates, Vice Chair

*Jason Baker, David Campos, Federal D. Glover,
Mark Luce, Libby Schaaf,
Adrienne J. Tissier, Scott Wiener
Non-Voting Member: Bijan Sartipi*

Wednesday, April 13, 2016

9:30 AM

Lawrence D. Dahms Auditorium

Call Meeting to Order

1. Roll Call / Confirm Quorum

Present: 8 - Commissioner Baker, Vice Chair Bates, Commissioner Campos, Commissioner Luce, Commissioner Schaaf, Commissioner Tissier, Commissioner Wiener, and Chairperson Rein Worth

Absent: 1 - Commissioner Glover

Non-Voting Member Present: Commissioner Sartipi

Ex Officio Voting Member Present: Commission Chair Cortese

Ad Hoc Non-Voting Members Present: Commissioner Giacomini, Commissioner Haggerty, Commissioner Halsted, Commissioner Pierce, and Commissioner Spering

2. Pledge of Allegiance

3. Compensation Announcement (Committee Secretary)

4. Consent Calendar

Approval of the Consent Calendar

Upon the motion by Commissioner Bates and the second by Commissioner Baker, the Consent Calendar was unanimously approved by the following vote:

Aye: 6 - Commissioner Baker, Vice Chair Bates, Commissioner Luce, Commissioner Schaaf, Commissioner Wiener and Chairperson Rein Worth

Absent: 3 - Commissioner Campos, Commissioner Glover and Commissioner Tissier

4a. [15-1402](#) Minutes of the March 9, 2016 meeting

Action: Committee Approval

4b. [15-1403](#) BATA Financial Statements for February 2016

Action: Information

Presenter: Eva Sun

4c. [15-1405](#) BATA Resolution No. 119, Right of Way Certification Authority for BATA Projects.

Action: Authority Approval

Presenter: Chris Lillie

4d. [15-1406](#) FY 2017 Purchase Order Amendment for FasTrak Flex™ Toll Tags: 3M Company (\$770,000)

Action: Committee Approval

Presenter: Beth Zelinski

5. Approval

5a. [15-1408](#) Contract Change Order - License Agreement with Xerox State and Local Solutions, Inc. to Use and Occupy Approximately 26,600 Rentable Square Feet (RSF) of Suites 300C and 200A at 375 Beale Street to Operate the FasTrak® Regional Customer Service Center (RCSC)

A request to approve a license agreement with Xerox to use 375 Beale Street to operate the FasTrak® RCSC.

Action: Committee Approval

Presenter: Lynn Valdivia

Upon the motion by Commissioner Baker and the second by Vice Chair Bates, the Committee unanimously approved the Contract Change Order - License Agreement with Xerox State and Local Solutions, Inc.

Aye: 6 - Commissioner Baker, Vice Chair Bates, Commissioner Luce, Commissioner Schaaf, Commissioner Wiener and Chairperson Rein Worth

Absent: 3 - Commissioner Campos, Commissioner Glover and Commissioner Tissier

- 5b. [15-1492](#) Direct Investment in \$100 million San Francisco Certificates of Participation.

Purchase as an investment up to \$100 million in Certificates of Participation (COP) to be issued by the City and County of San Francisco. The COPs, which will have a maturity of five years with an option to renew for five more, will be issued to provide interim financing to the Transbay Joint Powers Authority (TJPA) Phase 1 Transbay Terminal Project.

Action: Authority Approval

Presenter: Brian Mayhew

Upon the motion by Commissioner Tissier and the second by Commissioner Campos, the Committee unanimously approved the referral of the Direct Investment of \$100 million in Certificates of Participation to the Authority for approval. The motion carried by the following vote:

Aye: 8 - Commissioner Baker, Vice Chair Bates, Commissioner Campos, Commissioner Luce, Commissioner Schaaf, Commissioner Tissier, Commissioner Wiener and Chairperson Rein Worth

Absent: 1 - Commissioner Glover

- 5c. [15-1483](#) Consultant Panel and Contract: Financial Advisory Services
i) Panel Selection for FY 2016-17 through FY 2020-21

- Public Financial Management, Inc.
- Sperry Capital Inc.

ii) Contract - Financial Advisory Services: Public Financial Management, Inc. (\$300,000 annually)

Action: Committee Approval

Presenter: Brian Mayhew

Upon the motion by Vice Chair Bates and the second by Commissioner Campos, the Committee unanimously approved the Consultant Panel consisting of Public Financial Management, Inc. and Sperry Capital Inc. and the Contract with Public Financial Management, Inc. The motion carried by the following vote:

Aye: 8 - Commissioner Baker, Vice Chair Bates, Commissioner Campos, Commissioner Luce, Commissioner Schaaf, Commissioner Tissier, Commissioner Wiener and Chairperson Rein Worth

Absent: 1 - Commissioner Glover

5d. [15-1442](#) Closed Session

CONFERENCE WITH LEGAL COUNSEL--EXISTING LITIGATION
The Committee met in closed session pursuant to Government Code Section 54956.9(a) and paragraph (1) of subdivision (d) of Government Code Section 54956.9 to confer with counsel regarding Michael Saliani, et al., v. BATA, et al., San Francisco Superior Court Case No. CGC-14-540384; Pamela Moore, et al. v. BATA, et al., San Francisco Superior Court Case No. CGC-15-549048; and Kristen Freeland and Michael Jefferson, et al., v. BATA, et al., San Francisco Superior Court Case No. CGC-16-550947.

Meeting went into Recess

Meeting Reconvened

5e. [15-1443](#) Open Session

The Committee reconvened in open session. Chairperson Rein Worth referred to General Counsel to report that the Committee met in closed session pursuant to Government Code Section 54959.9 (a) and paragraph (1) of subdivision (d) of Government Code Section 54956.9 to confer with Counsel concerning the litigation referenced in the agenda and that the only reportable action taken was the approval of the amendment to the Hanson Bridgett LLP Contract for Special Litigation Counsel. Upon the motion by Commissioner Tissier and the second by Commissioner Wiener, the Committee unanimously approved the amendment. The motion carried by the following vote:

Aye: 8 - Commissioner Baker, Vice Chair Bates, Commissioner Campos, Commissioner Luce, Commissioner Schaaf, Commissioner Tissier, Commissioner Wiener and Chairperson Rein Worth

Absent: 1 - Commissioner Glover

6. Public Comment / Other Business

7. Adjournment / Next Meeting

The next meeting of the Bay Area Toll Authority Oversight Committee will be held on May 11, 2016 at 9:30 a.m.at a location to be duly noticed.



Metropolitan Transportation Commission

101 Eighth Street,
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Legislation Details (With Text)

File #: 15-1519 **Version:** 1 **Name:**

Type: Report **Status:** Consent

File created: 4/7/2016 **In control:** Bay Area Toll Authority Oversight Committee

On agenda: 5/11/2016 **Final action:**

Title: BATA Financial Statements for March 2016

Sponsors:

Indexes:

Code sections:

Attachments: [4b_Mar'2016_Financial_Statements.pdf](#)

Date	Ver.	Action By	Action	Result
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Subject:
BATA Financial Statements for March 2016

Presenter:
Eva Sun

Recommended Action:
Committee Approval



BAY AREA TOLL AUTHORITY

Bay Area Metro Center

375 Beale Street

San Francisco, CA 94105

TEL 415.778.6700

WEB www.mtc.ca.gov

Memorandum

TO: BATA Oversight Committee

DATE: May 4, 2016

FR: Executive Director

W. I. 1254

RE: BATA Financial Statements for March 2016

Please find attached for receipt the BATA financial statements for the nine month period ending March 2016. Major highlights of the nine month statement include:

- (1) **Revenues:** Toll bridge revenue for the current nine months is slightly higher than budgeted. BATA has also received \$53.4 million in subsidy payments from the U.S. Government to offset the interest expense for the Build America Bonds.
- (2) **Transfers to MTC:** The annual 1% administration fee was transferred to MTC in the amount of \$7.1 million.
- (3) **Transfers to BAHA:** BATA transferred \$900,000 million to cover BAHA's staff costs.
- (4) **FasTrak®** usage for the month remains at an all-time high of 68% of total paid traffic.
- (5) **Contract carryover encumbrances:** Now that the audit is complete, funds totaling \$670,279 from FY 2014-15 are expected to be added to the budget as contract carryover encumbrances.

If you have any questions about this report, please contact Brian Mayhew at (510) 817-5730.



Steve Heminger

Attachment

BATA Operating Budget

AS of March 2016

	FY 2015-16 Budget	Actual YTD	Current Budget Balance	% of Budget (col 2/1)	year Expired	Encumbrances
REVENUE:						
1. RM 1 Toll Revenues	564,725,114	435,974,477	128,750,637	77.2%	75.0%	-
2. RM 2 Toll Revenues	122,585,163	95,086,838	27,498,325	77.6%	75.0%	-
3. Other revenue	10,000,000	17,900,172	(7,900,172)	179.0%	75.0%	-
4. Interest Income	11,400,000	7,815,927	3,584,073	68.6%	75.0%	-
5. GGB&HTD Fastrak Reimbursement	6,377,000	3,872,861	2,504,139	60.7%	75.0%	-
6. SFO Fastrak Reimbursement	463,000	321,471	141,529	69.4%	75.0%	-
7. Alameda CMA Reimbursement	1,143,000	200,374	942,626	17.5%	75.0%	-
8. VTA 237 Express Lane Reimb.	135,000	103,954	31,046	77.0%	75.0%	-
9. Rebate for Build America Bonds	70,972,545	53,420,813	17,551,732	75.3%	75.0%	-
Total Revenue	787,800,822	614,696,887	173,103,935	78.0%	75.0%	-
EXPENSE:						
Caltrans Operations and Maintenance:						
1. Toll Collection & Operations Services	22,200,000	16,487,250	5,712,750	74.3%	75.0%	-
2. Toll & Bridge Facility Maint	8,400,000	7,184,603	1,215,397	85.5%	75.0%	-
3. Caltrans Coordination	321,000	62,781	258,219	19.6%	75.0%	-
Caltrans O & M Subtotal	30,921,000	23,734,634	7,186,366	76.8%	75.0%	-
Fastrak Operations and Maintenance:						
4. RCSC Operations	23,123,000	12,910,546	10,212,454	55.8%	75.0%	10,212,453
5. ATCAS Maintenance, IT equip	5,008,468	1,572,185	3,436,283	31.4%	75.0%	2,991,348
6. Banking Costs	13,900,000	7,461,929	6,438,071	53.7%	75.0%	6,540,727
7. Collection Exp./DMV Exp.	1,900,000	1,286,905	613,095	67.7%	75.0%	1,001,692
BATA O & M Subtotal	43,931,468	23,231,566	20,699,902	52.9%	75.0%	20,746,220
BATA Toll Bridge Administration:						
8. Staff Costs - Salaries, Benefits & Ten	9,386,346	6,317,409	3,068,937	67.3%	75.0%	600,157
9. Travel, Printing, Memberships & Other	795,680	180,642	615,038	22.7%	75.0%	34,036
10. RM 1/RM2 Audit/Accounting/Other	2,672,631	901,080	1,771,551	33.7%	75.0%	550,750
11. Misc. Toll Admin Operating Expenses	1,050,000	384,623	665,377	36.6%	75.0%	1,913
12. Professional Fees	1,812,848	1,180,447	632,401	65.1%	75.0%	371,785
13. Other	1,000,000	141,302	858,698	14.1%	75.0%	23,440
Toll Bridge Admin Subtotal	16,717,505	9,105,502	7,612,003	54.5%	75.0%	1,582,081
Other/Transfers:						
14. Transfers to MTC 1% Admin	7,087,103	7,087,103	-	100.0%	75.0%	-
15. Transfers to MTC - Other	640,400	348,600	291,800	54.4%	75.0%	9,300
16. Transfers to SAFE	300,000	300,000	-	100.0%	75.0%	-
17. Transfers to BAHA	1,255,416	925,937	329,479	73.8%	75.0%	-
18. Transfer from Legal Reserve	1,175,000	203,502	971,498	17.3%	75.0%	516,498
19. Transbay Transit Terminal Maint	4,533,205	2,116,592	2,416,613	46.7%	75.0%	2,416,613
20. Depreciation and Amortization	4,900,000	2,574,647	2,325,353	52.5%	75.0%	-
21. RM2/Clipper Marketing	3,380,000	1,079,178	2,300,822	31.9%	75.0%	133,064
22. RM2 Operating	43,800,000	24,638,529	19,161,471	56.3%	75.0%	16,428,472
Transfers	67,071,124	39,274,087	27,797,037	58.6%	75.0%	19,503,948
Debt Service:						
23. Interest and principal payments	511,140,700	132,876,611	378,264,089	26.0%	75.0%	-
24. Financing Costs	13,366,750	2,308,863	11,057,887	17.3%	75.0%	923,338
Total Debt Service	524,507,450	135,185,474	389,321,976	25.8%	75.0%	923,338
Transfer to Capital Fund In (Out):						
25. Transfer to Capital Fund	104,602,275	-	104,602,275	0.0%	75.0%	-
26. Furniture/Equip./Vehicle	50,000	-	50,000	0.0%	75.0%	-
Total Capital Reserve In (Out)	104,652,275	-	104,652,275	0.0%	75.0%	-
Total Expense & Transfers	787,800,822	230,531,262	557,269,560			42,755,587
Net	-	384,165,625	(384,165,625)			

PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

March'16

San Francisco Bay Conservation and Development Commission
Permit fees for RSR project

\$85,000

Boomerang Solutions Group

Computer hardware

\$21,860

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-100,000

January'16

Silicon Transportation Consultants

\$25,000

Support services for the new tolling protocol

Regional Measure 2 Operating Budget
As of March 2016 (\$000)

Project #	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	Richmond Bridge Express Bus	2,474	2,474	-	2,474	-
2	Napa Vine Service	426	426	259	167	-
3	Express Bus North - serving SFOBB, Dumbarton, San Mateo bridges	3,751	3,580	1,754	1,826	171
4	Express Bus South - serving Carquinez and Benicia Bridges	6,493	6,493	5,079	1,414	-
5	Dumbarton Bus	2,667	2,467	2,081	386	200
6	WETA Ferry Operations	15,300	15,300	9,569	5,731	-
7	Owl Service - BART Corridor	2,004	1,827	1,111	716	177
8	MUNI Metro 3rd St	2,500	2,500	-	2,500	-
9	AC Enhanced Bus Service	3,000	3,000	2,500	500	-
11	Water Emergency Transportation Authority Regional Planning	3,000	3,000	2,285	715	-
	Subtotal for Operating Assistance Program	41,615	41,067	24,638	16,429	548
N/A	Clipper Marketing	2,825	-	986	-	1,839
N/A	RM2 Marketing	200	-	3	133	64
N/A	511 Real Time Transit	200	-	-	-	200
N/A	Route 29 Marketing	90	-	90	-	-
	Total for Clipper and RM2 Marketing	3,315	-	1,079	133	2,103
	Total	\$44,930	\$41,067	\$25,717	\$16,562	\$2,651

Regional Measure 2 Project Budget

As of March 2016 (\$000) - Life to Date

Program	Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
1	BART/MUNI Direct Connection at Embarcadero & Civic Center Stations	\$3,000	-	-	-	\$3,000
2	SF MUNI Metro 3rd Street LRT Extension	30,000	30,000	30,000	-	-
3	MUNI Historic Streetcar Expansion (E-Line)	10,000	10,000	9,581	419	-
4	Dumbarton Commuter Rail Service ^{i,iv,xii}	9,157	8,965	8,369	596	192
5	Vallejo Ferry Intermodal Station ^v	26,000	24,827	22,767	2,060	1,173
6	Solano County Express Bus Intermodal Facilities ^{vi}	12,251	12,251	11,695	556	-
7	Solano County Corridor Improvements near I-80 / I-680 Interchange	100,000	100,000	92,366	7,634	-
8	I-80 EB HOV Lane Extension from Route 4 to Carquinez Bridge	37,175	37,175	37,175	-	-
9	Richmond Parkway Park & Ride ^{vii}	3,850	1,573	842	731	2,277
10	SMART Extension to Larkspur ^{ii,vii}	56,500	42,600	37,494	5,106	13,900
11	Greenbrae Interchange Improvement ^{ii,viii}	43,500	27,059	19,974	7,085	16,441
12	Direct HOV lane connector from I-680 to the Pleasant Hill BART ^{ix}	20,425	15,742	7,053	8,689	4,683
13	Rail Extension to East Contra Costa/E-BART	96,000	96,000	90,529	5,471	-
14	Capitol Corridor Improvements in Interstate-80/Interstate 680 Corridor ^{vi,x}	35,950	35,950	17,701	18,249	-
15	Central Contra Costa Bay Area Rapid Transit (BART) Crossover	25,000	25,000	25,000	-	-
16	Benicia-Martinez Bridge: New Span	50,000	50,000	50,000	-	-
17	Remaining Regional Express Bus North - Competitive Program Projects ^{v,x}	18,799	18,799	16,409	2,390	-
18	Clipper	22,000	21,980	20,653	1,327	20
19	Real-time transit information	20,000	20,000	18,111	1,889	-
20	Safe Routes to Transit	22,500	20,512	14,186	6,326	1,988
21	BART Tube Seismic Retrofit	33,801	33,801	33,801	-	-
22	Transbay Terminal/Downtown Extension	150,000	150,000	147,040	2,960	-
23	Oakland Airport Connector	115,199	115,199	115,199	-	-
24	AC Transit Enhanced Bus - Phase 1 (International Blvd/Telegraph Ave. Corridor) ^{vii}	77,760	77,760	26,392	51,367	-
25	Commute Ferry Service for Alameda/Oakland/Harbor Bay	12,000	12,000	4,042	7,958	-
26	Commute Ferry Service for Berkeley/Albany	12,000	12,000	-	12,000	-
27	Commute Ferry Service for South San Francisco	12,000	12,000	11,998	2	-
28	Water Transit Facility Imps., Spare Vessels and Environmental Review	48,000	27,905	26,424	1,481	20,095
29	Regional Express Bus South - Remaining Projects ^{iv,vii,xi}	33,933	29,132	19,090	10,042	4,801
30	I-880 North Safety Improvements ^{xi}	12,300	12,300	9,530	2,770	-
31	BART Warm Springs Extension ⁱ	186,000	186,000	153,963	32,037	-
32	I-580 (Tri Valley) Rapid Transit Corridor Improvements	65,000	53,005	49,109	3,896	11,995
33	Regional Rail Master Plan	6,500	6,456	5,959	497	44
34	Integrated Fare Structure Program	1,500	1,500	900	600	-
35	Transit Commute Benefits Promotion	5,000	5,000	3,366	1,634	-
36	Caldecott Tunnel Improvements ^{ix}	45,075	45,075	42,373	2,702	-
37	BART's Fixed Guideway Rehab	24,000	24,000	22,873	1,127	-
38	Regional Express Lane Network ⁱⁱⁱ	4,825	4,825	-	4,825	-
39	Modifications in I-80 and San Pablo ⁱⁱⁱ	8,000	8,000	6,375	1,625	-
40	Caltrain Electrification ^{viii,xii}	20,000	-	-	-	20,000
Total		\$1,515,000	\$1,414,391	\$1,208,339	\$206,051	\$100,609

ⁱ Allocated \$91 million from the Dumbarton Commuter Rail Service to the BART to Warm Springs Extension

ⁱⁱ Allocated \$1.5 million from the SMART Project to Greenbrae Interchange Improvement Project, per Resolution #3801 dated 9/28/11.

ⁱⁱⁱ Allocated \$4.5 million to Regional Express Lane and \$7.4 million to the Modifications in I-80 from the I-80 EB HOV Lane Extension, per Resolution #3801 dated 4/24/13.

Res#3801 - Date 5/28/14		
Amount (\$000)	From	To
^{iv} \$14,843	Program 4: Dumbarton Commuter Rail Service program	Program 29: Regional Express Bus South program
^v \$2,000	Program 5: Vallejo Ferry Intermodal Station program	Program 17: Regional Express Bus North program
^{vi} \$7,749	Program 6: Solano County Express Bus program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{vii} \$12,760	Program 9: Richmond Parkway Park & Ride \$12.15 million & Program 29: Regional Express Bus North program \$610 thousand	Program 24: AC Transit Enhanced Bus program
^{viii} \$20,000	Program 11: Greenbrae Interchange Improvement program	Program 10: SMART Extension to Larkspur
^{ix} \$5,425	Program 36: Caldecott Tunnel Improvements program	Program 12: I-680 Direct HOV Lane Connector to Pleasant Hill BART program
^x \$3,202	Program 17: Regional Express Bus North program	Program 14: I-80/I-680 Capital Corridor Improvements program
^{xi} \$2,300	Program 29: Regional Express Bus South program	Program 30: I-880 North Safety Improvements program
^{xii} \$20,000	Program 4: Dumbarton Commuter Rail Service program	Program 40: Caltrain Electrification program

Shaded projects are completed

Seismic Capital Project Budget

As of March 2016 (\$000) - Life to Date

Program	Base Budget	Current Budget****	Total Expenses*	Encumbrance	Remaining Balance
San Francisco-Oakland Bay Bridge East Span Repl	\$ 5,486,600	\$ 6,471,500	\$ 6,133,711	\$ 337,789	\$ -
San Francisco-Oakland Bay Bridge West Span Retrofit	307,900	305,316	305,316	-	-
San Francisco-Oakland Bay Bridge West Approach Repl	429,000	459,500	450,345	9,155	-
Antioch Bridge Retrofit	-	71,100	71,093	7	-
Dumbarton Bridge Retrofit	-	112,400	112,295	105	-
Richmond-San Rafael Bridge Retrofit ***	808,100	795,200	794,870	*** 330	-
Benicia-Martinez Bridge Retrofit	177,800	177,830	177,817	13	-
Carquinez Bridge Retrofit	114,200	114,206	114,206	-	-
San Mateo-Hayward Bridge Retrofit	163,500	163,412	163,412	-	-
Subtotal for Bay Area Bridges	7,487,100	8,670,464	8,323,065	347,399	-
Misc Program Costs	30,000	30,000	26,024	3,976	-
Program Contingency**	989,000	72,606	-	72,606	-
Vincent Thomas Bridge Retrofit (<i>non-BATA, for information</i>)	58,500	58,510	58,411	99	-
San Diego-Coronado Bridge Retrofit (<i>non BATA, for</i>)	103,500	103,520	103,235	285	-
Subtotal for Other Bridges	162,000	162,030	161,646	384	-
Total for Toll Bridge Seismic Retrofit Program	\$ 8,668,100	\$ 8,935,100	\$ 8,510,735	\$ 424,365	\$ -

*Includes pre AB144 LTD expenses from Caltrans to April 2006	3,709,068
Bata expenses from May 2006 to current	4,801,667
	<u>8,510,735</u>

**** Contingency Allocation**

Contingency per Budget	989,000
Allocation to SFO BB East Span Repl 7/07	(179,220)
Allocation to Benicia-Martinez 7/07	(30)
Allocation to San Mateo-Hayward 7/07	(10)
Allocation to Vincent Thomas 7/07	(10)
Allocation to San Diego-Coronado 7/07	(20)
Unallocate from Carquinez 7/07	70
Allocation to SFO BB West Approach 3/26/08	(24,700)
Allocation to SFO BB East Span Repl 7/08	(36,290)
Unallocate from Richmond SR 7/08	8,500
Allocations to SFOBB West Approach 12/17/08	(17,000)
Allocation to SFOBB East Span Replacement 12/09	(50,600)
Allocation for Antioch Contingency 1/10	72,000
Allocation for Dumbarton Contingency 1/10	118,000
Allocation to SFOBB East Span Replacement 7/10	(138,390)
Unallocate from SFOBB West Approach 7/10	3,000
Unallocate from Antioch Contingency 7/10	(43,000)
Allocate to SFOBB East Span 9/10	(293,080)
Allocate to SFOBB East Span 3/23/11	(106,200)
Allocate to SFOBB East Span 6/27/12	(14,450)
Allocate to SFOBB West Approach 6/27/12	(1,000)
Allocate to Carquinez 6/27/12	(70)
Unallocate from SFOBB East Span 11/28/12	17,230
Unallocate from SFOBB West Span 11/28/12	2,584
Allocate to SFOBB West Approach 11/28/12	(1,000)
Allocate to Carquinez 11/28/12	(6)
Unallocate from San Mateo-Hayward 11/28/12	98
Unallocate Antioch Bridge 11/28/12	19,000
Unallocate Dumbarton Bridge 11/28/12	300
Allocate to SFOBB East Span 2/27/13	(5,569)
Allocate to Transit Core Capacity Challenge Grant 12/18/13	(130,000)
Allocate to SFOBB East Span 7/1/14	(103,800)
Unallocate Antioch Bridge 7/1/15	10,900
Unallocate Dumbarton Bridge 7/1/15	34,500
Remaining Balance	<u>130,737</u>

Shaded projects are completed

***Moved \$16.9 million from Richmond-San Rafael Bridge Retrofit Budget to RM 1.

****Feburary financial reflects budget update approved on 3/23/16

Rehab Project Budget

As of March 2016 (\$000) - Life to Date

Program #	Program	Total Budget	Total Expenses	Encumbrance	Balance Remaining
6812	Benicia-Martinez Bridge Rehab	1,516	-	-	1,516
6813	Carquinez Bridge Rehab	33,877	24,360	-	9,517
6814	Richmond-San Rafael Bridge Rehab	54,348	41,407	-	12,941
6825	San Francisco-Oakland Bay Bridge Rehab	171,019	129,813	-	41,206
6826	San Mateo-Hayward Bridge Rehab	106,646	50,146	1	56,500
6827	Dumbarton Bridge Rehab	4,792	4,792	-	-
6828	All Bridges Rehab	57,809	55,571	-	2,238
6829	Caltrans Reserve	996	4	-	992
8030	Completed/Defunded/Transferred Projects	117,302	116,623	-	679
8033	Minor Toll Plaza Rehab Projects	935	586	-	349
8210	New Benicia Bridge *	1,715	1,242	-	473
8315	Site Mitigation & Landscaping	154	83	-	71
8615	I-880/SR-92 Landscaping**	6,640	5,380	-	1,260
8629	Minor Bridge Rehab Projects	903	45	-	858
8637	Bay Trail Improvements	115	-	-	115
TOTAL CALTRANS REHAB BUDGET		558,767	430,052	1	128,715
8012	All Electronic Tolling Study	450	263	187	-
8528	Bay Lights Maintenance	160	-	-	160
8530	Drainage Studies for the Bridge	500	-	-	500
8531	Benicia New Toll Plaza ORT	4,153	4,153	-	-
8539	SFOBB Eyebars Repair Review	2,914	2,660	254	-
8540	Regional Transportation Sea Level Rise Asset	2,000	-	-	2,000
8594	SFOBB West Span Pathway PSR	12,300	4,387	7,163	750
8602	Hybrid/ETC Lane Modifications	874	874	-	-
8631	Procure New Callboxes	2,344	2,344	-	-
8900	ETC Regional CSC Development	14,358	10,927	3,028	403
8901	ETC Transponder Procurement	60,231	55,244	4,784	203
8902	Future CSC Upgrades/Replacement	17,450	14,708	2,482	260
8903	ATCAS Lane Host Upgrades	33,800	31,350	253	2,198
8904	Fastrak Sign & Sign Structure Improvements	29,555	29,241	269	45
8905	Misc. Bridge Improvements	9,496	3,889	829	4,778
8907	Toll Plaza Capital Improvements	14,448	11,040	1,682	1,726
8908	Enterprise Computing HW/SW	4,035	2,313	74	1,648
8909	Gateway Park Planning	29,140	8,645	5,720	14,775
8912	ETC Transponder Tag Swap	2,137	1,929	208	-
8913	SFOBB Administration Building	25,619	25,220	-	399
8914	Violation Enforcement System Upgrade	7,842	7,842	-	(0)
8916	Bay Crossing Study	540	540	-	-
8917	IT Security Procedures & Policies	750	83	11	655
8918	Maintenance Complex	531	386	109	36
8920	Plaza and Canopy Improvements	9,272	8,546	717	9
8921	SFOBB Lane 17 & 18 Lane Reconfiguration	3,575	1,654	86	1,835
8922	Metering Lights Replacement	2,450	156	953	1,341
8923	Bridge Records Recordation and Storage	500	55	20	425
8924	Antioch Bridge Approach	50,000	43,076	6,834	90
8926	Bridge Modeling & Investigations	5,000	-	-	5,000
8927	CCTV Installation	6,000	-	-	6,000
8928	BATA Program Contingency	3,259	-	-	3,259
8930	Richmond-San Rafael Bridge Rehab	23,600	6,351	1,405	15,844
8933	Plan Bay Area TMS	9,000	226	3,732	5,042
8934	Temp License Plate System Implementation	500	-	-	500
8935	Communications in Bridge Corridors	2,500	-	-	2,500
8936	Backhaul Connection Infrastructure	1,000	89	51	860
8000-05	Capital Program Audit	8,000	5,978	340	1,682
8000-16	SRA/RM1 Program Monitoring	46,045	43,763	577	1,705
Total BATA REHAB BUDGET		446,328	327,933	41,768	76,628
TOTAL REHAB BUDGET		1,005,095	757,985	41,769	205,343

Shaded projects are completed

* Moved \$5 million from RM 1 New Benicia Bridge to Caltrans Rehab.

** Moved \$5.958 million from RM 1 I-880/SR-92 Interchange Landscaping to Caltrans Rehab.

AB 1171 Project Budget

As of March 2016 (\$000) - Life to Date

Project Title	Total Budget	Allocation	Actual	Encumbrance	Balance Remaining
Doyle Drive Replacement	80,000	80,000	78,558	1,442	-
East Contra Costa BART Extension	111,500	111,500	77,220	34,280	-
Transbay Terminal/Downtown Extension:Phase 1	150,000	148,800	142,886	5,914	1,200
Tri-Valley Transit Access Improve. To BART	95,000	10,100	5,466	4,634	84,900
Regional Express Lane Network	2,800	2,800	2,800	-	-
Fairfield/Vacaville Train Station	9,000	9,000	-	9,000	-
I80/680 Interchange	100,000	100,000	81,322	18,678	-
Other Corridor Improvement	10,200	10,150	10,150	0	50
VTA Mission/Warren/Truck Rail Facility	6,500	6,500	-	6,500	-
BART to Warm Spring Extension	5,000	5,000	2,309	2,691	-
Total	\$570,000	\$483,850	\$400,711	\$83,139	\$86,150

AB 1171 Program Budget:	\$570,000
Approved Projects:	\$483,850
AB 1171 Program Balance:	<u>\$86,150</u>

Shaded projects are completed

Other Capital Projects

As of March 2016 (\$000) - Life to Date

Project Title		Total Budget	Actual	Encumbrance	Balance Remaining
6840	Program Costs: Planning, Coordination & Management	28,437	10,554	3,527	14,356
6841	Centralized Toll System	36,207	8,059	14,032	14,117
6842	CC-680 Southern Segment Conversion	48,939	20,064	27,344	1,532
6843	Capitalized Start-up O&M	16,000	39	1,236	14,724
6844	ALA-880 Conversion	77,779	14,330	46,303	17,146
6845	CC-680 Northern Segment - Southbound Conversion	32,288	525	35	31,728
6846	SOL-80 West Conversion	2,852	233	616	2,003
6847	Program Contingency	63,878	-	-	63,878
6848	CC-680 Northern Segment - Southbound HOV Completion	19,000	-	-	19,000
6849	SOL-80 East Express Lane Conversion	16,114	-	13,630	2,484
6851	84/Dumbarton Bridge	323	323	-	(0)
6852	92/San Mateo Bridge	369	369	-	(0)
849	Express Lanes Total	\$342,186	\$54,497	\$106,722	\$180,967
847	Core Capacity Challenge	250,000	-	-	250,000
Grand Total		\$592,186	\$54,497	\$106,722	\$430,967

