



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort  
MetroCenter  
Oakland, CA

## Meeting Agenda

### Metropolitan Transportation Commission

*Dave Cortese, Chair Jake Mackenzie, Vice Chair*

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Wednesday, January 27, 2016

9:35 AM

Lawrence D. Dahms Auditorium

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This meeting is scheduled to be audiocast live on the Metropolitan Transportation Commission's Web site: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:35 a.m. or immediately following the 9:30 a.m. Bay Area Toll Authority Meeting.

#### Call Meeting to Order

#### 1. Roll Call / Confirm Quorum

*Quorum: A quorum of this Commission shall be a majority of its voting members (10)*

#### 2. Chair's Report – Cortese

#### 3. Policy Advisory Council Report – Randi Kinman

#### 4. Executive Director's Report – Heminger

#### 5. Commissioner Comments

#### 6. Consent Calendar:

6a. [15-1249](#) Minutes - December 16, 2015.

Action: Commission Approval

Attachments: [Commission 6 Minutes - December 16, 2015](#)

#### ***Programming and Allocations Committee***

6b. [15-1139](#) MTC Resolution No. 3882, Revised. Approval of \$4 million in allocation requests for Proposition 1B Transit Security population-based funds.

Action: Commission Approval

Attachments: [Commission 6b Reso-3882 Tranist Security Allocation](#)  
[2d Reso-3882 Tranist Security Allocation.pdf](#)

- 6c.**     [15-1144](#)     MTC Resolution No. 3914, Revised. Rescission of \$2.2 million in AB 1171 capital funds from the construction phase of the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project, and allocation of \$2.2 million in AB 1171 capital funds to the right-of-way phase of I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project, both in Solano County.
- Action:**             Commission Approval
- Attachments:**     [Commission 6c Reso-3914 STA Allocation](#)  
                                      [2e Reso-3914 STA Allocation.pdf](#)
- 6d.**     [15-1145](#)     MTC Resolution No. 4035, Revised. Revisions to the Surface Transportation Program/Congestion Mitigation and Air Quality Improvement (STP/CMAQ) One Bay Area Grant (OBAG1) Program.
- Action:**             Commission Approval
- Attachments:**     [Commission 6d Reso-4035 STP-CMAQ-OBAG1 Revisions](#)  
                                      [2f Reso-4035 STP-CMAQ-OBAG1 Revisions.pdf](#)
- 6e.**     [15-1140](#)     MTC Resolution No. 4172, Revised. Revision to the Cycle 2 Regional Active Transportation Program (ATP) Program of Projects.
- Action:**             Commission Approval
- Attachments:**     [Commission 6e Reso-4172 Cycle2 ATP Revision](#)  
                                      [2g Reso-4172 Cycle2 ATP Revision.pdf](#)
- 6f.**     [15-1146](#)     MTC Resolution No. 4175, Revised. 2015 Transportation Improvement Program (TIP) Amendment 2015-24.
- Action:**             Commission Approval
- Attachments:**     [Commission 6f Reso-4175 TIP Amend-2015-24](#)  
                                      [2h Reso-4175 TIP Amend-2015-24.pdf](#)
- 6g.**     [15-1141](#)     MTC Resolution No. 4187, Revised. Transportation Development Act (TDA) allocation to Santa Rosa for transit operations.
- Action:**             Commission Approval
- Attachments:**     [Commission 6g Reso-4187 TDA Allocation](#)  
                                      [2i Reso-4187 TDA Allocation.pdf](#)
- 6h.**     [15-1142](#)     MTC Resolution No. 4216. FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17.
- Action:**             Commission Approval
- Attachments:**     [Commission 6h Reso-4216 Rural Area Program](#)  
                                      [2c Reso-4216 Rural Area Program.pdf](#)

## Committee Reports

### 7. Administration Committee – Tissier

- 7a. [15-1255](#) MTC Resolution No. 4181, Revised - FY 2015-16 MTC Agency Budget Amendment.

Amendment to MTC agency budget for FY 2015-16 to convert 25 temporary project positions to new regular positions as a result of recent CalPERS audit.

**Action:** Commission Approval

**Attachments:** [Commission 7a-Reso-4181\\_MemoandAttachments.](#)

- 7b. [15-1081](#) MTC Resolution No. 4215 - MTC Agency Pay Schedules.

Review and retroactive approval and adoption of MTC salary schedules for fiscal years 2012, 2013, 2014 and 2015 per request of CalPERS.

**Action:** Commission Approval

**Attachments:** [Commission 7b Reso-4215 MTC Pay Schedules](#)  
[4b\\_Reso-4215\\_MTC\\_Pay\\_Schedules.pdf](#)

## Programming and Allocations Committee – Wiener

8. [15-1053](#) MTC Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213, and 4219. FY2015-16 Transit Capital Priorities (TCP) and Bridge Toll Revenues Preliminary Programs, Partial FY2016-17 and FY2017-18 TCP Preliminary Programs, Revisions to FY2013-14 and FY2014-15 TCP Programs and FY2014-15 AB664 Program, and Revisions to the BART fleet replacement funding plans in the Core Capacity Challenge Grant Program and BART Rail Car Replacement Program.

(i) This item programs roughly \$494 million in Federal Transit Administration (FTA) Sections 5307, 5337, and 5339 funds, One Bay Area Grant Program (OBAG 1) Surface Transportation Program (STP) funds, and Bridge Toll Funds in FY2015-16, \$24 million in FTA funds in FY2016-17, and \$24 million in FTA funds in FY 2017-18, all to support transit capital replacement and rehabilitation projects, and maintenance and operating costs. This item also updates the FY2013-14 and FY2014-15 TCP Programs to program previously reserved funds to Caltrain fixed guideway rehabilitation projects, and amends the FY2014-15 AB664 program to re-allocate prior-year lapsed funds.

(ii) This item also revises funding plans for BART fleet replacement and expansion in the Core Capacity Challenge Grant Program and the BART Rail Car Replacement Program Phase 1 to address timing and eligibility issues.

**Action:** Commission Approval

**Attachments:** [Commission 8 Reso-3738-4035-4084-4123-4126-4162-4163-4165-4169-4212-3a Reso-3738-4035-4084-4123-4126-4162-4163-4165-4169-4212-4213-4219](#)

## Planning Committee - Spering

9. [15-1047](#) MTC Resolution No. 4217: Equity Framework for Plan Bay Area 2040.

Approve the draft Equity Framework, developed with input from the Regional Equity Working Group. The Framework includes two components: 1) equity measures to analyze Plan Bay Area 2040 scenarios; and 2) the Communities of Concern that define disadvantaged communities for scenario analysis and the Plan.

**Action:** Commission Approval

**Attachments:** [Commission 9 Resolution- 4217- Equity Framework for Plan Bay Area 2040](#)  
[4a MTC Resolution No. 4217- Equity Framework for Plan Bay Area 2040 - redu](#)

10. [15-1215](#) Closed Session - Conference with Real Property Negotiators  
MTC will meet in Closed Session pursuant to Government Code Section 54956.8: to confer with real property negotiators to discuss a potential commercial lease opportunity as follows:  
Property: 101 - 8th Street, the MTC Condominium Unit consisting of the entire 2nd and 3rd floors and the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the unit, and the Cafeteria, Meeting, Parking and Library Tenancy in Common units, Oakland, CA 94607  
Agency negotiators:  
For MTC: Metropolitan Transportation Commission, Cushman & Wakefield  
· MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director  
· Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director  
  
Negotiating Parties: MTC with broker Cushman & Wakefield and Amethod Public Schools with Broker Avison Young  
Under Negotiation: Both price and terms
11. [15-1256](#) Closed Session - Conference with Real Property Negotiators  
MTC will meet in Closed Session pursuant to Government Code Section 54956.8: to confer with real property negotiators to discuss a potential commercial purchase and sale agreement as follows:  
Property: 101 - 8th Street, the MTC Condominium Unit consisting of the entire 2nd and 3rd floors and the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the unit, and the Cafeteria, Meeting, Parking and Library Tenancy in Common units, Oakland, CA 94607  
Agency negotiators:  
For MTC: Metropolitan Transportation Commission, Cushman & Wakefield  
· MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director  
· Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director  
  
Negotiating Parties: MTC with broker Cushman & Wakefield and East Bay Asian Local Development Corporation  
Under Negotiation: Both price and terms

12. [15-1226](#) Open Session - Authority to enter into lease with Amethod Public Schools for entire 2nd and 3rd floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8th Street, Oakland, CA 94607.

Action: Commission Approval

13. [15-1258](#) Open Session - Authority to enter into a purchase sale agreement with East Bay Asian Local Development Corporation for entire 2nd and 3rd floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8th Street, Oakland, CA 94607.

Action: Commission Approval

#### 14. Public Comment / Other Business

#### 15. Adjournment / Next Meeting

The next meeting of the Commission will be held on Wednesday, February 24, 2016 at 9:35 a.m. in the Lawrence D. Dahms Auditorium, First Floor, 101 Eighth Street, Oakland, CA.

**Public Comment:** The public is encouraged to comment on agenda items at Commission meetings by completing a request-to-speak card (available from staff) and passing it to the Commission secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

**Meeting Conduct:** If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Commission may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

**Record of Meeting:** Commission meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site ([mtc.ca.gov](http://mtc.ca.gov)) for public review for at least one year.

**Accessibility and Title VI:** MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 510.817.5757 or 510.810.5769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章：MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 510.817.5757 或 510.817.5769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

**Acceso y el Título VI:** La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 510.817.5757 o al 510.817.5769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

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Attachments are sent to Commission members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Commission. Actions recommended by staff are subject to change by the Commission.



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1249      **Version:** 1      **Name:**

**Type:** Minutes      **Status:** Commission Consent

**File created:** 1/15/2016      **In control:** Metropolitan Transportation Commission

**On agenda:** 1/27/2016      **Final action:** 1/27/2016

**Title:** Minutes - December 16, 2015.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6 Minutes - December 16, 2015](#)

Date	Ver.	Action By	Action	Result
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Minutes - December 16, 2015.

Commission Approval



# Metropolitan Transportation Commission Meeting Minutes

101 Eighth Street,  
Joseph P. Bort  
MetroCenter  
Oakland, CA

## Metropolitan Transportation Commission

*Dave Cortese, Chair Jake Mackenzie, Vice Chair*

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Wednesday, December 16, 2015

1:40 PM

Lawrence D. Dahms Auditorium

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### Call Meeting to Order

#### 1. Roll Call / Confirm Quorum

**Present:** 17 - Chairperson Cortese, Vice Chair Mackenzie, Commissioner Aguirre, Commissioner Baker, Commissioner Bates, Commissioner Campos, Commissioner Glover, Commissioner Haggerty, Commissioner Halsted, Commissioner Kinsey, Commissioner Liccardo, Commissioner Luce, Commissioner Pierce, Commissioner Rein Worth, Commissioner Schaaf, Commissioner Tissier, and Commissioner Wiener

**Absent:** 1 - Commissioner Spering

Non-Voting Members Present: Commissioner Giacopini

Non-Voting Members Absent: Commissioner Azumbrado and Commissioner Sartipi

#### 2. Chair's Report – Cortese

##### 2a. [15-1123](#) Appointments to the Mega-Region Working Group.

**Action:** Commission Approval

**Upon the motion by Commissioner Haggerty and second by Commissioner Rein Worth, the Commission unanimously approved the appointments of Commissioners Baker, Haggerty, Mackenzie and Spering to the Mega-Region Working Group. The motion carried by the following vote:**

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

##### 2b. [15-1124](#) Addition of a second regular Planning Committee meeting on the 4th Friday of each month for the period from January 2016 to June 2016.

**Action:** Commission Approval

**Upon the motion by Chairperson Cortese and second by Vice Chair Mackenzie, the Commission unanimously approved the addition of a second regular Planning Committee meeting on the 4th Friday of each month for the period from January 2016 to June 2016. The motion carried by the following vote:**

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

- 2c.**     [15-1117](#)     MTC/ABAG Merger Study and Merger Implementation Plan (MIP) Contract: Management Partners (\$275,000).

Authorize the Executive Director or his designated representative, with concurrence from the ABAG Executive Director or his designated representative, to negotiate and enter into a consulting contract with Management Partners in an amount not to exceed \$275,000, with all costs to be shared equally by ABAG and MTC, to conduct the MTC/ABAG Merger Study and MIP.

**Action:** Commission Approval

Upon the motion by Chairperson Cortese and second by Commissioner Haggerty, the Commission unanimously approved to authorize the Executive Director or his designated representative, with concurrence from the ABAG Executive Director or his designated representative to negotiate and enter into a consulting contract with Management Partners in an amount not to exceed \$275,000, with all costs to be shared equally by ABAG and MTC, to conduct the MTC/ABAG Merger Study and Merger Implementation Plan. The motion carried by the following vote:

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

- 2d.**     [15-1099](#)     MTC Resolution No. 4207 - Resolution of Appreciation to Ying Cai on the Occasion of her Retirement from MTC after 27 years of service.

**Action:** Commission Approval

Upon the motion by Commissioner Tissier and second by Vice Chair Mackenzie, Resolution No. 4207 was unanimously adopted. The motion carried by the following vote:

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

### **3. Policy Advisory Council Report – Randi Kinman**

### **4. Executive Director’s Report – Heminger**

### **5. Commissioner Comments**

## 6. Consent Calendar:

Upon the motion by Commissioner Aguirre and second by Commissioner Halsted, the Consent Calendar was unanimously approved by the following vote:

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

6a. [15-1093](#) Minutes - November 18, 2015.

**Action:** Commission Approval

### ***Programming and Allocations Committee***

6b. [15-0976](#) MTC Resolution No. 3667, Revised. Allocation of \$13.5 million in Regional Measure 2 (RM2) Capital funds for right-of-way and construction on the BART Warm Springs Extension project; rescission of \$11.4 million in earlier-phase construction funds from the same project.

**Action:** Commission Approval

6c. [15-1064](#) MTC Resolution Nos. 4186, Revised, 4187, Revised and 4188, Revised. Transit Operating Allocations to Golden Gate Transit and BART.

**Action:** Commission Approval

6d. [15-1108](#) MTC Resolution No. 3649, Revised. Allocation of \$750,000 in Regional Measure 2 (RM2) Capital funds for the construction phase of the Central Marin Ferry Connection Multi-use Pathway project.

**Action:** Commission Approval

### ***Administration Committee***

6e. [15-0911](#) MTC Resolution No. 3619, Revised - Recommended Revisions to Executive Director's Signature Authority.

Recommendation for approval to authorize the Executive Director and a designated Deputy Executive Director to sign contracts and contract amendments totaling \$200,000 without referral to a standing Committee of the Commission and to execute emergency contracts up to \$1,000,000.

**Action:** Commission Approval

## Committee Reports

### 7. Programming and Allocations Committee – Wiener

- 7a. [15-1043](#) MTC Resolution No. 4208, Revised. Adoption of the 2016 Regional Transportation Improvement Program (RTIP).
- Recommendation to adopt the 2016 RTIP Program of Projects, and transmittal of the 2016 RTIP to the California Transportation Commission for inclusion in the 2016 State Transportation Improvement Program (STIP).
- Action:** Commission Approval
- Upon the motion by Commissioner Wiener and second by Commissioner Tissier, the Commission unanimously adopted Resolution No. 4208, Revised. The motion carried by the following vote:**
- Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener
- Absent:** 1 - Spering
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- 7b. [15-1044](#) MTC Resolution No. 4035, Revised. Recommendation of Parking Management and Transportation Demand Management (TDM) projects for the One Bay Area Grant Program (OBAG 1) Climate Initiatives.
- As part of the Climate Initiatives Program, staff will provide recommendations for \$6 million of CMAQ funds for parking management and transportation demand management projects.
- Action:** Commission Approval
- Upon the motion by Commissioner Wiener and second by Commissioner Kinsey, the Commission unanimously adopted Resolution No. 4035, Revised. The motion carried by the following vote:**
- Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener
- Absent:** 1 - Spering

## Legislation Committee - Aguirre

### 8. [15-1066](#) 2016 Final Advocacy Program

The final version of state and federal legislative priorities for 2016.

**Action:** Commission Approval

**Upon the motion by Commissioner Aguirre and second by Commissioner Halsted, Commissioner Liccardo offered a friendly amendment to delete the second sentence on Issue 1F, the Commission unanimously approved the 2016 Final Advocacy Program as amended. The motion carried by the following vote:**

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

## Planning Committee - Spering

### 9. [15-1055](#) MTC Resolution No. 4209 - Mega-Region Planning and Collaboration.

Given that many transportation, labor, housing, land use, economic and other system boundaries extend beyond the nine-county Bay Area, staff recommends establishing a Mega-Region Working Group to include MTC, San Joaquin Council of Governments, and the Sacramento Council of Governments. The Mega-Regional Working Group would include two to four Board members and senior staff from each MPO and meet at least three times each year to identify issues of common interest and recommend joint activities for consideration by the three governing boards.

**Action:** Commission Approval

**Upon the motion by Commissioner Halsted and second by Commissioner Rein Worth, the Commission unanimously adopted Resolution No. 4209. The motion carried by the following vote:**

**Aye:** 17 - Cortese, Mackenzie, Aguirre, Baker, Bates, Campos, Glover, Haggerty, Halsted, Kinsey, Liccardo, Luce, Pierce, Rein Worth, Schaaf, Tissier and Wiener

**Absent:** 1 - Spering

## 10. Public Comment / Other Business

## 11. Adjournment / Next Meeting

**The next meeting of the Commission will be held on January 27, 2016, at 9:35 a.m. in the Lawrence D. Dahms Auditorium, First Floor, 101 Eighth Street, Oakland, CA**



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1139      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/15/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 3882, Revised. Approval of \$4 million in allocation requests for Proposition 1B Transit Security population-based funds.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6b Reso-3882 Tranist Security Allocation](#)  
[2d Reso-3882 Tranist Security Allocation.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 3882, Revised. Approval of \$4 million in allocation requests for Proposition 1B Transit Security population-based funds.

**Presenter:**

Kenneth Folan

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6b

## MTC Resolution No. 3882, Revised

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- Subject:** Approval of approximately \$4 million in allocation requests for Proposition 1B Transit Security population-based funds.
- Background:** Proposition 1B, approved by California voters in November 2006, includes \$1 billion in the Transit System Safety, Security and Disaster Response Account. Sixty-percent of the funding in this account is directed to eligible recipients of State Transit Assistance funds. Senate Bill 88 directs funds allocated to MTC based on population to be sub-allocated to transit operators within its jurisdiction. Based on the state's FY2015-16 Proposition 1B – Transit Security appropriation as well as unrequested funds carried over from previous years, roughly \$5.8 million is available to MTC this fiscal year for distribution to the transit agencies. Of this amount, \$1.7 million was allocated in December 2015.
- Staff recommends requesting allocations for approximately \$4 million in population-based funds this month for the projects listed in Attachment A. A balance of roughly \$50,000 will be reserved for future project selection. Revenue-based fund requests have been included in Attachment A for informational purposes only.
- Projects were selected by transit agency staff based on the program guidelines approved by the California Governor's Office of Emergency Services (Cal OES) and the security expertise of the sponsoring transit agencies and are subject to final review by Cal OES staff.
- Issues:** None.
- Recommendation:** Refer MTC Resolution No. 3882, Revised to the Commission for approval.
- Attachment:** MTC Resolution No. 3882, Revised

Date:	January 28, 2009	
W.I.:	1515	
Referred by:	PAC	
Revised:	02/24/10-C	02/23/11-C
	05/25/11-C	07/27/11-ED
	10/26/11-ED	01/25/12-C
	02/22/12-ED	03/28/12-C
	07/25/12-ED	01/23/13-C
	12/18/13-C	01/22/14-ED
	01/28/15-C	10/28/15-ED
	12/16/15-ED	01/27/16-C

### ABSTRACT

#### Resolution No. 3882, Revised

This resolution adopts the allocation requests for the Proposition 1B – Transit Security – Population-based Funds for the San Francisco Bay Area.

Attachment A            Proposition 1B Transit Security - Population-based Funds Project List

Attachment A of this resolution was amended on February 24, 2010 to include requests for allocations in FY2009-10.

Attachment A of this resolution was amended on February 23, 2011 to include requests for allocations in FY2010-11.

Attachment A of this resolution was amended through Commission action on May 25, 2011 to add a request for an allocation of about \$254,000 in FY2009-10 for the Peninsula Corridor Joint Powers Board. Attachment A was also revised to rename SFMTA's \$1.9 million in FY2010-11 allocation requests to the Safety and Security Enhancements project.

Attachment A of this resolution was amended through Executive Director Administrative Authority on July 27, 2011 to reprogram \$95,754 in FY2009-10 and \$254,101 in FY2010-11 population-based funds for the Peninsula Corridor Joint Powers Board from the Safety and Security Fencing to the Cameras on Trains project.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 26, 2011 to program \$299,324 in FY2009-10 and FY2010-11 population-based funds for the San Mateo County Transit District to the Sequoia Station Garage, Integrated Security Center and Central Office Access Control projects; and to program \$4,479 in FY2009-10 and FY2010-11 population-based funds for the City of Fairfield to the Video Surveillance Systems project.

Attachment A of this resolution was amended through Commission Action on January 25, 2012 to include requests for allocations in FY2011-12.

Attachment A of this resolution was amended through Executive Director Administrative Authority on February 22, 2012 to program \$15,359 in both FY2010-11 and FY2011-12 population-based funds to the Western Contra Costa Transit Authority for the Video Surveillance Equipment for Transit Vehicles project and to program \$14,658 in FY2010-11 population-based funds to the Santa Clara Valley Transportation Authority for the CCTV Surveillance Equipment and Related Infrastructure project.

Attachment A of this resolution was amended through Commission Action on March 28, 2012 to rename SFMTA's allocation requests for \$1.9 million in both FY2010-11 and FY2011-12 population-based funds to the Radio Communications System and CAD Replacement project.

Attachment A of this resolution was amended through Executive Director Administrative Authority on July 25, 2012 to program \$36,675 in both FY2010-11 and FY2011-12 population-based funds to the Solano County Transit for the Video Surveillance System Components project and to program \$12,834 in population-based FY2010-11 funds to the ECCTA for the Surveillance and Security Equipment project.

Attachment A of this resolution was amended through Commission Action on January 23, 2013 to include requests for allocations in FY2010-11, FY2011-12 and FY2012-13.

Attachment A of this resolution was amended through Commission Action on December 18, 2013 to include requests for allocations in FY2012-13 and FY2013-14.

Attachment A of this resolution was amended through Executive Director Administrative Authority on January 22, 2014 to include requests for allocations in FY2012-13 and FY2013-14.

Attachment A of this resolution was amended through Commission Action on January 28, 2015 to include requests for allocations in FY2013-14 and FY2014-15.

Attachment A of this resolution was amended through Executive Director Administrative Authority on October 28, 2015 to include requests for allocations in FY2013-14 and FY2014-15.

Attachment A of this resolution was amended through Executive Director's Administrative Authority on December 16, 2015 to include requests for allocations in FY2015-16.

Attachment A of this resolution was amended through Commission Action on January 27, 2016 to include requests for allocations in FY2015-16.

Further discussion of this action is contained in the Programming and Allocations Committee summary dated January 14, 2009, February 10, 2010, February 9, 2011, May 11, 2011, October 12, 2011, January 11, 2012, March 7, 2012, January 9, 2013, December 11, 2013 and January 13, 2016.

Date: January 28, 2009  
W.I.: 1515  
Referred by: PAC

RE: Proposition 1B - Transit Security – Population-based Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3882

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to California Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has adopted, pursuant to Government Code Sections 66508 and 65080, a Regional Transportation Plan (RTP); and

WHEREAS, Senate Bill 1266 (Statutes 2006, Chapter 25) establishes the Transit System Safety, Security, and Disaster Response Account as part of the Highway, Safety, Traffic Reduction, Air Quality, and Port Security Fund of 2006 (Government Code §8879.20 *et seq.*) ; and

WHEREAS, Senate Bill 88 (Statutes 2007 Chapter 12) directs Transit System Safety, Security, and Disaster Response Account funds allocated to MTC pursuant to Section 99313 of the California Public Utilities Code shall be suballocated to transit operators within its jurisdiction pursuant to Section 99314 of the Public Utilities Code; and

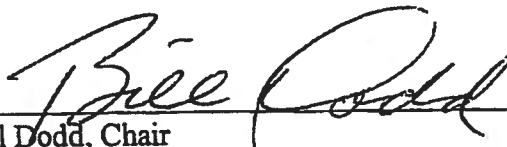
WHEREAS, staff has prepared a FY2008-09 Proposition 1B – Transit Security population-based funding allocation request list, Attachment A, for submittal to the Governor's Office of Homeland Security (OHS), said attachment attached hereto and incorporated herein as though set forth at length; and now, therefore, be it

RESOLVED, that MTC adopts Proposition 1B Transit Security Population-based Funds, attached hereto as Attachment A, and finds it consistent with the RTP; and, be it further

RESOLVED, that the Executive Director is authorized to make changes to Attachment A, including revisions to existing allocation requests up to \$1,000,000, and authorize new allocations up to \$500,000 to conform to sponsor requests; and OHS actions; and, be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required to Caltrans and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Bill Dodd, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 28, 2009.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2007-08 Requests</b>					
Alameda-Contra Costa Transit District	High Speed Fabric Doors	581,219	318,781	900,000	05/28/08
Alameda-Contra Costa Transit District	Mobile Command Post Vehicle		1,248,388	1,248,388	05/28/08
Central Contra Costa Transit Authority	Facility Security Access Control	11,631	1,203	12,834	05/28/08
Central Contra Costa Transit Authority	Facility Security Cameras	20,000		20,000	05/28/08
Central Contra Costa Transit Authority	On-Board Security Cameras		84,085	84,085	05/28/08
Golden Gate Bridge Highway Transportation District	Communication Information System	217,103	585,385	802,488	05/28/08
Peninsula Corridor Joint Powers Board	Replace Switches & Locks	13,772	22,458	36,230	05/28/08
Peninsula Corridor Joint Powers Board	Safety & Security Improvements	140,000		140,000	05/28/08
Peninsula Corridor Joint Powers Board	Closed Circuit TVs at CEMOF		162,687	162,687	05/28/08
Peninsula Corridor Joint Powers Board	Cameras-on-Trains		500,000	500,000	05/28/08
Peninsula Corridor Joint Powers Board	Disaster Relief Equipment	100,329		100,329	05/28/08
San Francisco Bay Area Rapid Transit District	Critical Infrastructure Barriers	1,454,058	3,920,646	5,374,704	05/28/08
San Francisco Municipal Transportation Agency	Site Hardening	1,820,000		1,820,000	05/28/08
San Francisco Municipal Transportation Agency	Security Fiber Network		3,156,567	3,156,567	05/28/08
San Francisco Municipal Transportation Agency	Mobile Message Boards	80,000		80,000	05/28/08
San Francisco Municipal Transportation Agency	Bullet Resistant Vests	12,853	57,147	70,000	05/28/08
San Francisco Municipal Transportation Agency	Command Bus		1,250,000	1,250,000	05/28/08
San Francisco Municipal Transportation Agency	2 Mobile Command Vans		360,000	360,000	05/28/08
San Francisco Municipal Transportation Agency	Security on drawbridges		334,000	334,000	05/28/08
San Mateo County Transit District	North and South Base Emergency Generator	299,324	807,082	1,106,406	05/28/08
Santa Clara Valley Transportation Authority	Emergency Security Telephones		550,000	550,000	05/28/08
Santa Clara Valley Transportation Authority	High Security Fence		1,644,748	1,644,748	05/28/08
Santa Clara Valley Transportation Authority	Intrusion Detection Systems	890,054	205,146	1,095,200	05/28/08
Sonoma County Transit	Video Surveillance Equipment	8,607	23,208	31,815	05/28/08
Western Contra Costa Transit Authority	Video security camera system	15,359	41,413	56,772	05/28/08
<b>FY2007-08 Total</b>		<b>5,664,309</b>	<b>15,272,944</b>	<b>20,937,253</b>	

The Commission adopted the above projects as part of Resolution 3859 on May 28, 2008. Projects are listed here for informational purposes.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2008-09 Requests</b>					
Alameda-Contra Costa Transit District	Mobile Command Post Vehicle - Phase 2		751,612	751,612	01/28/09
Alameda-Contra Costa Transit District	Construction of Security Building at Transbay Terminal	581,219	815,557	1,396,776	01/28/09
Bay Area Rapid Transit District	Transit Infrastructure Hardening	1,454,058	3,920,646	5,374,704	01/28/09
Central Contra Costa Transit Authority	Perimeter Security	31,631	85,288	116,919	01/28/09
East Contra Costa Transit Authority	Disaster Preparedness, Communications Equipment	25,668	35,000	60,668	01/28/09
East Contra Costa Transit Authority	Transit Facility Security Enhancements		34,212	34,212	01/28/09
City of Fairfield	Security Cameras	8,958		8,958	01/28/09
Golden Gate Bridge Highway Transportation District	Advanced Communications Information System	217,103	585,385	802,488	01/28/09
Livermore Amador Valley Transit Authority	Radio Frequency Communication Strategic Plan	19,856	26,768	46,624	01/28/09
Napa County Transportation and Planning Agency	Security Gates at Bus Yard	5,304	7,202	12,506	01/28/09
Peninsula Corridor Joint Powers Board	Integrated Security Center		30,809	30,809	01/28/09
Peninsula Corridor Joint Powers Board	Anti-Terrorism Substation		110,177	110,177	01/28/09
Peninsula Corridor Joint Powers Board	Security Fencing	254,101	544,159	798,260	01/28/09
San Mateo County Transit District	Integrated Security Center Staff Costs		109,231	109,231	01/28/09
San Mateo County Transit District	Fire Alarm System Upgrade	299,324	697,851	997,175	01/28/09
San Francisco Municipal Transportation Agency	Facility Security	1,912,853	1,887,147	3,800,000	01/28/09
San Francisco Municipal Transportation Agency	Security Equipment		2,570,567	2,570,567	01/28/09
San Francisco Municipal Transportation Agency	Security Communications		700,000	700,000	01/28/09
Santa Rosa CityBus	Remote Security Camera	6,794	18,319	25,113	01/28/09
Sonoma County Transit	Cloverdale Depot Video Surveillance Equipment	8,607	23,208	31,815	01/28/09
City of Vallejo	Bus Maintenance Facility Transit Security Enhancements	73,350	197,774	271,124	01/28/09
Santa Clara Valley Transportation Authority	Visual Surveillance Equipment		589,415	589,415	01/28/09
Santa Clara Valley Transportation Authority	Emergency Generator Upgrade	890,054	52,133	942,187	01/28/09
Santa Clara Valley Transportation Authority	Laser Intrusion Detection System		562,994	562,994	01/28/09
Santa Clara Valley Transportation Authority	Site Hardening		637,345	637,345	01/28/09
Santa Clara Valley Transportation Authority	Visual Surveillance Equipment on Buses		558,007	558,007	01/28/09
Western Contra Costa Transit Authority	Site Security - Gate Access	15,359	41,413	56,772	01/28/09
<b>FY2008-09 Total</b>		<b>5,804,239</b>	<b>15,592,219</b>	<b>21,396,458</b>	

Notes:

- 1) Revenue-based requests are included for informational purposes only.
- 2) Population- and Revenue-based Amounts may include carryover funds from FY2007-08.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2009-10 Requests</b>					
Alameda-Contra Costa Transit District	Security Improvements at Transbay Terminal	581,219	1,567,169	2,148,388	02/24/10
Bay Area Rapid Transit District	Harden Critical Infrastructure	1,454,058	3,920,646	5,374,704	02/24/10
Central Contra Costa Transit Authority	Perimeter Security	31,631	85,288	116,919	02/24/10
City of Dixon	Security Gate for Bus Yard	771	2,076	2,847	02/24/10
City of Santa Rosa	Transit Surveillance Cameras	18,319	6,794	25,113	02/24/10
City of Union City	Surveillance Equipment	7,626	20,562	28,188	02/24/10
City of Vallejo	Security Equipment for Vallejo Bus Transit Center	39,077	105,363	144,440	02/24/10
East Contra Costa Transit Authority	Security Access Card System	12,834	34,606	47,440	02/24/10
Golden Gate Bridge Highway Transportation District	Advanced Communications Information System	217,103	585,385	802,488	02/24/10
Livermore Amador Valley Transit Authority	Visual Surveillance Equipment	9,928	26,768	36,696	02/24/10
Napa County Transportation and Planning Agency	Transit Security System	2,652	7,151	9,803	02/24/10
San Francisco Municipal Transportation Agency	Site Hardening	1,912,853	241,147	2,154,000	02/24/10
San Francisco Municipal Transportation Agency	Security Fiber Network		3,156,567	3,156,567	02/24/10
San Francisco Municipal Transportation Agency	Command and Communications Equipment		1,400,000	1,400,000	02/24/10
San Francisco Municipal Transportation Agency	Emergency Command Equipment		360,000	360,000	02/24/10
Santa Clara Valley Transportation Authority	Radio Tower		500,000	500,000	02/24/10
Santa Clara Valley Transportation Authority	Light Rail Security Improvements	890,054	109,946	1,000,000	02/24/10
Santa Clara Valley Transportation Authority	Site Hardening		750,000	750,000	02/24/10
Santa Clara Valley Transportation Authority	Visual Surveillance Equipment		750,000	750,000	02/24/10
Santa Clara Valley Transportation Authority	Emergency Generators	43,974	408,517	452,491	02/24/10
Sonoma County Transit	Video Surveillance System for Paratransit Vehicles	8,814	23,769	32,583	02/24/10
Western Contra Costa Transit Authority	Improving Maintenance and Vehicle Storage Facility	15,359	41,414	56,773	02/24/10
Peninsula Corridor Joint Powers Board	Safety and Security Fencing	158,347	685,145	843,492	7/27/11, ED
Peninsula Corridor Joint Powers Board	Cameras on Trains	95,754	-	95,754	7/27/11, ED
City of Fairfield	Video Surveillance Systems	4,479	12,078	16,557	10/26/11, ED
San Mateo County Transit District	Sequoia Station Garage	142,978	807,082	950,060	10/26/11, ED
San Mateo County Transit District	Integrated Security Center	156,346	-	156,346	10/26/11, ED
<b>FY2009-10 Total</b>		<b>5,804,176</b>	<b>15,607,473</b>	<b>21,411,649</b>	

Notes:

- 1) Revenue-based requests are included for informational purposes only.
- 2) Population- and Revenue-based Amounts may include carryover funds from FY2007-08 and FY2008-09.
- 3) PCJPB moved \$95,754 in FY09-10 population-based funds from the Safety and Security Fencing to the Cameras on Trains project via Delegated Authority action on July 27, 2011.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2010-11 Requests</b>					
Alameda-Contra Costa Transit District	Security Improvements at Transbay Terminal	581,219	1,567,169	2,148,388	02/23/11
Bay Area Rapid Transit District	Harden Critical Infrastructure	1,454,058	3,920,646	5,374,704	02/23/11
Central Contra Costa Transit Authority	Facility Security	31,631	85,288	116,919	02/23/11
Golden Gate Bridge Highway Transportation District	Advanced Communications Information System	217,103	585,385	802,488	02/23/11
Livermore Amador Valley Transit Authority	Visual Surveillance Equipment	9,928		9,928	02/23/11
Napa County Transportation and Planning Agency	Entry System	2,652	7,151	9,803	02/23/11
Santa Clara Valley Transportation Authority	Radio Tower	890,054	69,946	960,000	02/23/11
Santa Rosa CityBus	Facility Security	6,794	18,319	25,113	02/23/11
Sonoma County Transit	Video Surveillance System for Paratransit Vehicles	8,607	23,208	31,815	02/23/11
Peninsula Corridor Joint Powers Board	Cameras on Trains	254,101	685,145	939,246	7/27/11, ED
City of Fairfield	Video Surveillance Systems	4,479	12,078	16,557	10/26/11, ED
San Mateo County Transit District	Central Office Access Control	299,324	50,676	350,000	10/26/11, ED
Santa Clara Valley Transportation Authority	CCTV Surveillance Equipment and Related Infrastructure	14,658	2,029,471	2,044,129	2/22/12, ED
Western Contra Costa Transit Authority	Video Surveillance Equipment for Transit Vehicles	15,359	41,414	56,773	2/22/12, ED
San Francisco Municipal Transportation Agency	Radio Communications System and CAD Replacement	1,912,853	5,157,714	7,070,567	03/28/12
East Contra Costa Transit Authority	Surveillance & Security Equipment	12,834	34,606	47,440	07/25/12, ED
SoTrans	Video Surveillance System Components	36,675	98,887	135,562	07/25/12, ED
Alameda CTC - ACE	Station Security Cameras	10,504	28,322	38,826	01/23/13
City of Union City	Corporation Yard Video Surveillance System	2,542	6,854	9,396	01/23/13
<b>FY2010-11 Total</b>		<b>5,765,375</b>	<b>14,422,279</b>	<b>20,187,654</b>	

Notes:

- 1) Revenue-based requests are included for informational purposes only.
- 2) Population- and Revenue-based Amounts may include carryover funds from FY2008-09 and FY2009-10.
- 3) SFMTA renamed FY2010-11 projects to Security and Safety Enhancements, and the total funds requested remain the same.
- 4) PCJPB moved \$254,101 in FY10-11 population-based funds from the Safety and Security Fencing to the Cameras on Trains project via Delegated Authority action on July 27, 2011.
- 5) SFMTA moved \$1,912,853 in FY10-11 population-based funds from Security and Safety Enhancements to Radio Communications System and CAD Replacement via Commission Action on March 28, 2012

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2011-12 Requests</b>					
Alameda-Contra Costa Transit District	Transbay Transit Center - Emergency Preparedness, Safety, and Security Elements	581,219	1,567,169	2,148,388	01/25/12
Bay Area Rapid Transit District	Harden Critical Infrastructure	1,454,058	3,920,646	5,374,704	01/25/12
Central Contra Costa Transit Authority	On-Board Security Cameras	31,631	85,288	116,919	01/25/12
City of Fairfield	Video Surveillance System Components	4,479	12,078	16,557	01/25/12
Golden Gate Bridge Highway Transportation District	Advanced Communications & Information Systems	217,103	585,385	802,488	01/25/12
Napa County Transportation and Planning Agency	Soscol Gateway Transit Center Security Equipment	2,652	7,151	9,803	1/22/14, ED
Livermore Amador Valley Transit Authority	Purchase and refurbish digital video recorders (DVR) for the fleet	9,928		9,928	01/25/12
Peninsula Corridor Joint Powers Board	Caltrain Facilities Security Improvements	254,101	685,145	939,246	01/25/12
San Mateo County Transit District	SamTrans Facilities Security Improvements	299,324	807,082	1,106,406	01/25/12
Santa Clara Valley Transportation Authority	Passenger Safety Improvements	904,712	1,095,288	2,000,000	01/25/12
City of Santa Rosa	Transit Bus Rear Wheel Safety Deflector Installation	6,794	18,319	25,113	1/22/14, ED
Western Contra Costa Transit Authority	Video Surveillance Equipment for Transit Vehicles	15,359	41,414	56,773	2/22/12, ED
San Francisco Municipal Transportation Agency	Radio Communications System and CAD Replacement	1,912,853	5,157,714	7,070,567	03/28/12
SolTrans	Video Surveillance System Components	36,675	98,887	135,562	07/25/12, ED
Alameda CTC - ACE	Station Security Cameras	10,504	28,322	38,826	01/23/13
City of Union City	Corporation Yard Video Surveillance System	2,542	6,854	9,396	01/23/13
ECCTA	Solar Electrical Emer Back Up	12,834	34,606	47,440	01/23/13
Sonoma County Transit	Bus Yard Security Gates	8,607	23,208	31,815	01/23/13
<b>FY2011-12 Total</b>		<b>5,765,375</b>	<b>14,174,556</b>	<b>19,939,931</b>	

Notes:

- 1) Revenue-based requests are included for informational purposes only.
- 2) Population- and Revenue-based Amounts may include carryover funds from FY2009-10 and FY2010-11.
- 3) Santa Clara Valley Transportation Authority's request includes \$14,658 in FY2011-12 population-based funds corresponding to ACE.
- 4) SFMTA updated the Radio Communications System Replacement to include CAD Replacement via Commission Action on March 28, 2012

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2012-13 Requests</b>					
Alameda-Contra Costa Transit District	Transbay Transit Center – Emergency Preparedness, Safety & Security Elements	581,219	1,567,169	2,148,388	01/23/13
Alameda CTC - ACE	Electronic Fare Collection Project	10,504	28,322	38,826	1/22/14, ED
Bay Area Rapid Transit District	Harden Transit Critical Assets	1,454,058	3,920,646	5,374,704	01/23/13
Central Contra Costa Transit Authority	Computer Aided Dispatch	31,631	85,288	116,919	01/23/13
City of Fairfield	Video Surveillance System Improvements	4,479	12,078	16,557	01/23/13
City of Union City	Corporation Yard Video Surveillance System	2,542	6,854	9,396	01/23/13
ECCTA	Solar Electrical Emergency Back-Up	12,834	34,606	47,440	01/23/13
Golden Gate Bridge Highway Transportation District	Advanced Communication and Information System (ACIS)	217,103	585,385	802,488	01/23/13
Livermore Amador Valley Transit Authority	Fleet DVR Refurbishment	9,928	26,768	36,696	01/23/13
Napa County Transportation and Planning Agency	Soscol Gateway Transit Center Security Equipment	2,652	7,151	9,803	1/22/14, ED
Peninsula Corridor Joint Powers Board	Caltrain Facilities Security Improvements	254,101	685,145	939,246	01/23/13
San Francisco Municipal Transportation Agency	Radio Communications System and CAD Replacement	1,912,853	5,157,714	7,070,567	01/23/13
Santa Clara Valley Transportation Authority	Mobile Command Center	904,712	120,288	1,025,000	01/23/13
City of Santa Rosa	Transit Bus Rear Wheel Safety Deflector Installation	6,794	18,319	25,113	1/22/14, ED
SolTrans	Video Surveillance Components	37,476	101,046	138,522	01/23/13
SolTrans	Video Surveillance Components	(37,476)	(101,046)	(138,522)	12/18/13
SolTrans	Automatic Vehicle Location System Components	37,476	101,046	138,522	12/18/13
Sonoma County Transit	Video Security Systems for Fixed-Route Vehicles	8,607	23,208	31,815	01/23/13
San Mateo County Transit District	SamTrans Facilities Security Improvements	299,324	807,082	1,106,406	12/18/13
Western Contra Costa Transit Authority	Video Surveillance Equipment for Transit Vehicles	15,359	41,414	56,773	12/18/13
<b>FY2012-13 Total</b>		<b>5,766,176</b>	<b>13,228,483</b>	<b>18,994,659</b>	

Notes:

- 1) Revenue-based requests are included for informational purposes only.
- 2) Population- and Revenue-based Amounts may include carryover funds from FY2009-10 and FY2010-11.
- 3) Santa Clara Valley Transportation Authority's request includes \$14,658 in FY2011-12 population-based funds corresponding to ACE.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2013-14 Requests</b>					
Alameda-Contra Costa Transit District	Transbay Transit Center – Emergency Preparedness, Safety & Security Elements	581,219	1,567,169	2,148,388	12/18/13
Bay Area Rapid Transit District	Transit Infrastructure Hardening	1,454,058	3,920,646	5,374,704	12/18/13
Peninsula Corridor Joint Powers Board	Caltrain Facilities Security Improvements	254,101	685,145	939,246	12/18/13
San Francisco Municipal Transportation Agency	Radio Communications System & CAD Replacement	1,300,740	3,487,827	4,788,567	12/18/13
San Francisco Municipal Transportation Agency	Bluelight Emergency Telephone Replacement Project	612,113	1,669,887	2,282,000	12/18/13
San Mateo County Transit District	SamTrans Facilities Security Improvements	299,324	807,082	1,106,406	12/18/13
Santa Clara Valley Transportation Authority	CCTV Surveillance Equipment	904,712	595,288	1,500,000	12/18/13
SoTrans	Automatic Vehicle Location System Components	37,476	101,046	138,522	12/18/13
Western Contra Costa Transit Authority	Perimeter Fence and Site Security Upgrade	15,359	41,414	56,773	12/18/13
Central Contra Costa Transit Authority	ITS Maintenance	31,631	85,288	116,919	1/22/14, ED
ECCTA	Solar Electrical Emergency Back-Up	12,834	34,606	47,440	1/22/14, ED
City of Fairfield	Video Surveillance System Components	4,479	12,078	16,557	1/22/14, ED
Golden Gate Bridge Highway Transportation District	Security Cameras on Buses	217,103	585,385	802,488	1/22/14, ED
Napa County Transportation and Planning Agency	Security Cameras on VINE Transit Vehicles	2,652	7,151	9,803	1/22/14, ED
Sonoma County Transit	Video Security Systems	8,607	23,208	31,815	1/22/14, ED
Alameda CTC - ACE	Electronic Fare Collection Project	10,504	28,322	38,826	1/22/14, ED
Livermore Amador Valley Transit Authority	Fleet DVR Refurbishment	9,928	26,768	36,696	10/28/15, ED
City of Union City	Corporation Yard Security Door Lock System	2,542	6,854	9,396	10/28/15, ED
Santa Rosa	Transit Security and Access Improvements	6,794	18,319	25,113	10/28/15, ED
<b>FY2013-14 Total</b>		<b>5,766,176</b>	<b>13,703,483</b>	<b>19,469,659</b>	
<b>Total</b>		<b>40,335,826</b>	<b>102,001,437</b>	<b>142,337,263</b>	

Notes:

1) Revenue-based requests are included for informational purposes only.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2014-15 Requests</b>					
Alameda CTC - ACE	Electronic Fare Collection Project	10,504	28,322	38,826	01/28/15
Peninsula Corridor Joint Powers Board	Caltrain Facilities Security Improvements	254,101	685,145	939,246	01/28/15
Central Contra Costa Transit Authority	ITS Maintenance	31,631	85,288	116,919	01/28/15
ECCTA	Interoperable Communications Equipment	12,834	34,606	47,440	01/28/15
City of Fairfield	Video Surveillance System Components	4,479	12,078	16,557	01/28/15
Golden Gate Bridge Highway Transportation District	Transit Network Access Security Enhancements	217,103	585,385	802,488	01/28/15
Livermore Amador Valley Transit Authority	Security Lighting Upgrades	9,928	26,768	36,696	01/28/15
Napa County Transportation and Planning Agency	Security Cameras on VINE Transit Vehicles	2,652	7,151	9,803	01/28/15
San Mateo County Transit District	SamTrans Facilities Security Improvements	299,324	807,082	1,106,406	01/28/15
Santa Rosa	Transit Security and Access Improvements	6,794	18,319	25,113	01/28/15
Sonoma County Transit	Video Security Systems	8,607	23,208	31,815	01/28/15
SolTrans	Operations and Maintenance Facility for CNG Fueling station	37,476	101,046	138,522	01/28/15
Santa Clara Valley Transportation Authority	Surveillance Equipment, Locking Systems, Emergency Generators, CAD/AVL	904,712	2,439,417	3,344,129	01/28/15
Alameda-Contra Costa Transit District	Transbay Transit Center – Emergency Preparedness, Safety & Security Elements	581,219	1,567,169	2,148,388	01/28/15
Bay Area Rapid Transit District	Transit Infrastructure Hardening	1,454,058	3,920,646	5,374,704	01/28/15
San Francisco Municipal Transportation Agency	Miscellaneous Security Enhancements	1,000,659	2,698,124	3,698,783	01/28/15
San Francisco Municipal Transportation Agency	Radio Communications System and CAD Replacement	912,194	2,459,590	3,371,784	01/28/15
City of Union City	Corporation Yard Security Door Lock System	2,542	6,854	9,396	10/28/15, ED
<b>FY2014-15 Total</b>		<b>5,750,817</b>	<b>15,506,198</b>	<b>21,257,015</b>	

Notes:

1) Revenue-based requests are included for informational purposes only.

Sponsor	Project Description	Population-based Amount	Revenue-based Amount	Total Prop 1B - Security	MTC Approval Date
<b>FY2015-16 Requests</b>					
Central Contra Costa Transit Authority	ITS Maintenance	31,631	85,288	116,919	12/16/15
Golden Gate Bridge Highway Transportation District	Advanced Communication and Information Systems (ACIS)	217,103	585,385	802,488	12/16/15
Napa County Transportation and Planning Agency	Security Cameras on VINE Transit Vehicles	2,652	7,151	9,803	12/16/15
Peninsula Corridor Joint Powers Board	Caltrain Facilities Security Improvements	254,101	685,145	939,246	12/16/15
San Mateo County Transit District	SamTrans Facilities Security Improvements	299,324	807,082	1,106,406	12/16/15
Santa Clara Valley Transportation Authority	CCTV Surveillance Equipment on Light Rail Vehicles	904,712	2,439,417	3,344,129	12/16/15
Santa Rosa	Bus and Facility Cameras	6,794	18,319	25,113	12/16/15
Sonoma County Transit	Video Security Systems	8,607	23,208	31,815	12/16/15
Bay Area Rapid Transit District	Transit Infrastructure Hardening	1,454,058	3,920,646	5,374,704	01/27/16
San Francisco Municipal Transportation Agency	Subway Tunnel Intrusion Detection System project	1,912,853	5,157,714	7,070,567	01/27/16
Alameda-Contra Costa Transit District	Transbay Transit Center – Emergency Preparedness, Safety & Security Elements	581,219	1,567,169	2,148,388	01/27/16
Alameda CTC - ACE	Electronic Fare Collection Project	10,504	28,322	38,826	01/27/16
City of Fairfield	Video Surveillance System Components	4,479	12,078	16,557	01/27/16
ECCTA	Surveillance and Security Equipment	12,834	34,606	47,440	01/27/16
SolTrans	Operations & Maintenance (O&M) Facility Safety and Security Project	37,476	101,046	138,522	01/27/16
Western Contra Costa Transit Authority	Non-Revenue Supervisor Vehicle	15,359	41,414	56,773	01/27/16
<b>FY2015-16 Total</b>		<b>5,753,706</b>	<b>15,513,990</b>	<b>21,267,696</b>	

Notes:

1) Revenue-based requests are included for informational purposes only.



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1144      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/16/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 3914, Revised. Rescission of \$2.2 million in AB 1171 capital funds from the construction phase of the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project, and allocation of \$2.2 million in AB 1171 capital funds to the right-of-way phase of I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project, both in Solano County.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6c Reso-3914 STA Allocation](#)  
[2e Reso-3914 STA Allocation.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 3914, Revised. Rescission of \$2.2 million in AB 1171 capital funds from the construction phase of the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project, and allocation of \$2.2 million in AB 1171 capital funds to the right-of-way phase of I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project, both in Solano County.

**Presenter:**

Kenneth Kao

**Recommended Action:**

Commission Approval

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

**January 13, 2016**

**Commission Agenda #6c**

**Resolution No. 3914, Revised**

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**Subject:** Rescission of \$2.2 million in AB 1171 capital funds from the construction phase of the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project, and allocation of \$2.2 million in AB 1171 capital funds to the right-of-way phase of I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project, both in Solano County.

**Background:** The Solano Transportation Authority (STA) requests rescinding \$2.2 million of AB 1171 funds from the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project in Solano County. The rescission is due to a lower-than-anticipated contract award amount. Package #1 is currently under construction.

STA also requests allocating \$2.2 million from the above rescission to fund the utility relocation and right-of-way phase of the I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project in Solano County. STA expects to enter into utility relocation agreements within the next three months. This allocation is being requested in order to take advantage of an early opportunity to do utility work in advance of other non-project related development in the area. STA has not identified construction funds for Package 2, which is expected to cost about \$40 million.

**Issues:** None.

**Recommendation:** Refer Resolution No. 3914, Revised to the Commission for approval.

**Attachments:** Resolution No. 3914, Revised.

Date: June 24, 2009  
W.I.: 1255  
Referred by: PAC  
Revised: 12/16/09-C 02/24/10-C 03/24/10-C  
06/23/10-C 07/28/10-C 10/27/10-C  
12/15/10-C 03/23/11-C 05/25/11-C  
06/22/11-C 07/27/11-C 09/28/11-C  
11/16/11-C 03/28/12-C 06/27/12-C  
07/25/12-C 11/28/12-C 01/23/13-C  
06/26/13-C 07/24/13-C 09/25/13-C  
10/23/13-C 12/18/13-C 02/26/14-C  
03/26/14-C 10/22/14-C 12/17/14-C  
01/27/16-C

### ABSTRACT

#### Resolution No. 3914, Revised

This resolution allocates AB 1171 Bridge Toll funds to eligible projects.

This resolution includes the following attachments:

#### Attachment A – Allocations of AB 1171 Bridge Toll funds

This resolution was revised on December 16, 2009 to allocate \$13.9 million to BART towards the eBART project for construction of the transfer station at the Pittsburg Bay Point BART station and guideway to Railroad Avenue.

This resolution was revised on February 24, 2010 to allocate AB 1171 funds to the Transbay Joint Powers Authority, \$10.7 million towards the final design phase of the Transbay Transit Center, and \$5.226 million towards the Program Management/Program Controls (PMPC) services for the project.

This resolution was revised on March 24, 2010 to allocate a total of \$13 million in AB 1171 funds to CCTA towards the construction of eBART median structures to be integrated into Segments 1, 2, 3, 4, and 5 of Caltrans/CCTA State Route 4 contracts, and towards right-of-way to accommodate e-BART.

This resolution was revised on June 23, 2010 to allocate a total of \$11 million in AB 1171 funds to BART towards the completion of final design on the eBART project. This resolution was also revised to allocate \$134 million towards the final design phase for the Transit Center building

## ABSTRACT

MTC Resolution No. 3914, Revised

Page 2

and ramps and construction of the Transit Center, including the below-grade rail levels of the Transit Center.

This resolution was revised on July 28, 2010 to allocate \$5 million to BART towards the Line, Trackwork, Systems & Station (LTSS) construction and related activities for the BART Warm Springs Extension project; \$1.25 million to ACCMA towards purchase of right-of-way and \$250,000 to MTC for an independent Opportunity/Risk Analysis for the BART to Livermore ROW Preservation project; and \$2.8 million towards the initial project development activities for the Regional Express Lane Network.

This resolution was revised on October 27, 2010 to allocate a total of \$73.6 million to BART towards the purchase of Diesel Multiple Unit (DMU) vehicles for the eBART project.

This resolution was revised on December 15, 2010 through Commission action to allocate \$7 million for environmental and preliminary engineering for the I-80/I-680/SR-12 Interchange project in Solano County.

This resolution was revised on March 23, 2011 through Commission action to rescind \$52 million from the October 27, 2010 allocation of \$73.6 million for the purchase of Diesel Multiple Unit (DMU) vehicles for the eBART project. This resolution was also revised to allocate \$19 million for construction and construction management activities on State Route 4 related to eBART.

This resolution was revised on May 25, 2011 through Commission action to rescind \$76 million from the June 23, 2010 allocation of \$134 million towards the final design phase for the Transit Center building and ramps and construction of the Transit Center, including the below-grade rail levels of the Transit Center.

This resolution was revised on June 22, 2011 through Commission action to allocate \$26.4 million for the construction of the I-80 Eastbound Cordelia Truck Scales Relocation project in Solano County.

This resolution was revised on July 27, 2011 to update the allocation conditions for the BART Warm Springs project to add principles for addressing potential cost increases.

## ABSTRACT

MTC Resolution No. 3914, Revised

Page 3

This resolution was revised on September 28, 2011 to allocate \$27.1 million to CCTA towards construction and construction management activities for the integration of eBART median structures into Caltrans/CCTA SR 4 contract segments and to accommodate eBART in the SR4 median.

This resolution was revised on November 16, 2011 to allocate \$6.5 million to VTA towards construction and construction management activities for the Mission/Warren/Truck-Rail Facility.

This resolution was revised on March 28, 2012 to rescind \$3,817,000 from allocation #17 for the I-80 Eastbound Cordelia Truck Scales Relocation project; and allocate \$14,280,000 for the I-80/680/12 Interchange Initial Construction Package 1 project towards right-of-way acquisition.

This resolution was revised on June 27, 2012 to allocate \$73.7 million to the Transbay Joint Powers Authority to certify upcoming construction contracts, finalize the Transbay Transit Center design, fund remaining Construction Management/General Contractor (CM/GC) services on the project, and fund pre-bid construction management for the “steel cast nodes” elements of glass exterior shell.

This resolution was revised on June 27, 2012 to allocate \$9.41 million to BART for eBART for the completion of Final Design and Construction Management (CM) and Design Service during Construction (DSDC) for the maintenance shop shell, Hillcrest parking lot and re-alignment construction at the Slatten Ranch Rd. This resolution is also being revised to rescind \$13.5 million in savings from prior allocations on this project.

This resolution was revised on July 25, 2012 to allocate \$8.5 million to the Solano Transportation Authority for the completion of the environmental document and preliminary engineering of the I-80/680/12 Interchange project, and to amend the scope of allocation #14 to include eligible expenses from all three phases of the interchange project, effective as of the original date of allocation.

This resolution was revised on November 28, 2012 to allocate \$5.98 million to the Solano Transportation Authority for utility relocation and right-of-way activities for the I-80/680/12 Interchange project.

## ABSTRACT

MTC Resolution No. 3914, Revised

Page 4

This resolution was revised on January 23, 2013 to allocate \$5.8 million to the Solano Transportation Authority for utility relocation and right-of-way activities for the I-80/680/12 Interchange project; \$8.6 million to BART towards the environmental, conceptual engineering, and project approval phase of the BART to Livermore Extension project; and \$0.75 million to the SMART project towards design for the re-construction of the SMART track facilities between Santa Rosa North and Sonoma County Airport area. The Commission also approved program commitments of: 1) \$4.4 million, subject to future allocation, towards the re-construction of the SMART track facilities between Santa Rosa North and the Sonoma County Airport area; and 2) \$0.5 million to BART for the eBART project.

This resolution was revised on June 26, 2013 to allocate \$822,008 to the Solano Transportation Authority for the final design of the I-80/680/12 Interchange project.

This resolution was revised on July 24, 2013 to extend the timeframe for a condition on a prior allocation of \$8.6 million in AB1171 funds, towards the completion of environmental documentation for proposed BART to Livermore project.

This resolution was revised on September 25, 2013 to allocate \$5.5 million in AB 1171 funds for the final design of packages 2 and 3, and \$29.5 million for the construction of package 1 of the I-80/680/12 Interchange project in Solano County.

This resolution was revised on October 23, 2013 to allocate \$0.1 million in AB 1171 funds for the right-of-way phase of package 1 of the I-80/680/12 Interchange project in Solano Count.

This resolution was revised on December 18, 2013 to allocate \$9.533 million in AB 1171 funds to BART for the construction of eBART trackwork, system, and facility finishes, construction management, and design services during construction; and \$9.4 million in AB 1171 funds to the SMART project for re-construction of the SMART track facilities between Santa Rosa North and the Sonoma County Airport area and construction of a station at the Sonoma County Airport.

This resolution was revised on February 26, 2014 to allocate \$1,124,327 in AB 1171 funds to the Transbay Joint Powers Authority for Construction Manager/General Contractor pre-construction services for the Transbay Transit Center building and related structures.

## ABSTRACT

MTC Resolution No. 3914, Revised

Page 5

This resolution was revised on March 26, 2014 to rescind \$1 million in AB 1171 funds from the I-80/680/12 Interchange project in Solano County (allocation number 30) and allocate \$1 million in AB 1171 funds to the I-80 Freeway Performance Initiative work element of the I-80/680/12 Interchange project in Solano County, which benefits the I-80/680/12 Interchange area.

This resolution was revised on October 22, 2014 to allocate \$9 million in AB 1171 funds to the City of Fairfield for construction of the Fairfield/Vacaville Intermodal Train Station.

This resolution was revised on December 17, 2014 to allocate \$500,000 in AB 1171 funds to BART for the eBART project.

This resolution was revised on January 27, 2016 to rescind \$2,189,000 in AB 1171 funds from the construction phase of the I-80/680/12 Interchange Initial Construction Package #1 (I-80/SR-12 Interchange) project (allocation number 30) and allocate \$2,189,000 in AB 1171 funds to the right-of-way phase of the I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) project, both of which are located in Solano County.

Additional discussion of this allocation is contained in the Executive Director's memoranda and MTC Programming and Allocations Committee Summary sheet dated June 10, 2009, December 9, 2009, February 10, 2010, March 10, 2010, June 9, 2010, July 14, 2010, October 13, 2010, December 8, 2010, March 9, 2011, May 11, 2011, June 8, 2011, July 13, 2011, September 14, 2011, November 9, 2011, March 7, 2012, June 13, 2012, July 11, 2012, November 14, 2012, January 9, 2013, July 10, 2013, September 11, 2013, October 9, 2013, December 11, 2013, March 5, 2014, October 8, 2014, December 10, 2014, and January 13, 2016.

Date: June 24, 2009  
W.I.: 1255  
Referred By: PAC

RE: Allocation of AB 1171 Bridge Toll funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3914

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 *et seq.*; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, pursuant to Streets and Highways Code (SHC) Section 31010(b), funds generated in excess of those needed to meet the toll commitments as specified by paragraph (4) of subdivision (b) of Section 188.5 of the SHC shall be available to BATA for funding projects consistent with SHC Sections 30913 and 30914; and

WHEREAS, MTC adopted Resolution 3434, Revised, which establishes commitments of AB 1171 bridge toll funds to specific projects and corridors; and be it

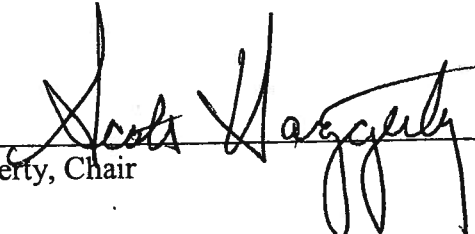
RESOLVED, that MTC approves the allocation and reimbursement of AB 1171 bridge toll funds in accordance with the amount, conditions and reimbursement schedule for the phase, and activities as set forth in Attachment A; and, be it further

RESOLVED, that should the allocation of AB 1171 Bridge Toll Funds be conditioned on the execution of a funding agreement, that the Executive Director or his designee is authorized to negotiate and enter into a funding agreement with claimant that includes the provisions contained in Attachment A.

RESOLVED, that a certified copy of this resolution, shall be forwarded to each project sponsor.

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METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Scott Haggerty, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in Oakland, California on June 24, 2009.

Date June 24, 2009  
 W.I.: 1255  
 Referred by: PAC  
 Revised: 12/16/09-C 02/24/10-C 03/24/10-C  
 06/23/10-C 07/28/10-C 10/27/10-C  
 12/15/10-C 03/23/11-C 05/25/11-C  
 06/22/11-C 07/27/11-C 09/28/11-C  
 11/16/11-C 03/28/12-C 06/27/12-C  
 07/25/12-C 11/28/12-C 01/23/13-C  
 06/26/13-C 07/24/13-C 09/25/13-C  
 10/23/13-C 12/18/13-C 02/26/14-C  
 03/26/14-C 10/22/14-C 12/17/14-C  
 01/27/16-C

Attachment A  
 Resolution No. 3914  
 Page 1 of 31

ALLOCATION OF AB 1171 Bridge Toll Funds  
 Allocation Authorization: S&H § 31010(b)

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
01	2008-09	San Francisco County Transportation Authority (SFCTA)	Doyle Drive project	\$80,000,000	06/24/2009	<p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and SFCTA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>SFCTA shall agree to comply with the provisions of MTC Resolution No. 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement be subject to MTC Resolution No. 3636, Revised, unless otherwise stated in the agreement.</p>
02	2009-10	Bay Area Rapid Transit District (BART)	e-BART	\$13,890,000	12/16/2009	<p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and BART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>BART shall agree to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement be subject to MTC Resolution No. 3636, Revised, unless otherwise stated in the agreement.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
03	2009-10	TJPA	Transbay Transit Center/ Downtown Caltrain Extension	\$10,700,000	02/24/10	<p>Scope of Work: This allocation will fund the final design phase for the Transit Center building and ramps, including the below-grade rail levels of the Transit Center. The scope includes final design work, various consulting services, coordination with public agencies, and permits and fees.</p> <p>TJPA shall agree to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised, unless otherwise stated in the agreement.</p> <p>TJPA shall submit to MTC an “Implementing Agency Resolution of Project Compliance” which resolves that the TJPA will comply with the provisions of MTC Resolution No 3636 for the drawdown of AB 1171 funds.</p> <p>This allocation is also conditioned on the approval of the IPR package by the TJPA board.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
04	2009-10	Transbay Joint Powers Authority (TJPA)	Transbay Transit Center/ Downtown Caltrain Extension	\$5,226,000	02/24/10	<p>Scope of Work: This allocation will fund the Program Management/Program Controls (PMPC) services for the project. The PMPC provides assistance with the design, oversight, and management of the entire project.</p> <p>TJPA shall agree to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised, unless otherwise stated in the agreement.</p> <p>TJPA shall submit to MTC an “Implementing Agency Resolution of Project Compliance” which resolves that the TJPA will comply with the provisions of MTC Resolution No 3636 for the drawdown of AB 1171 funds.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
05	2009-10	CCTA	e-BART	\$11,000,000	03/24/10	<p>Scope of Work: This allocation will fund \$11,000,000 for ROW Activities and associated utility coordination and construction between Somersville Rd and SR160. This is a contribution towards BART and CCTA's agreed upon right-of-way cost for median.</p> <p>Allocation is conditioned on the approval of the IPR package by the CCTA Board and concurrence by the BART board.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and CCTA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>CCTA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise stated in the agreement.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
06	2009-10	CCTA	e-BART	\$2,000,000	03/24/10	<p>Scope of Work: This allocation will fund \$2,000,000 for construction activities associated with eBART costs in the median between Loveridge Road and SR160.</p> <p>Allocation is conditioned on the approval of the IPR package by the CCTA Board and concurrence by the BART board.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and CCTA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>CCTA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
07	2009-10	BART	e-BART	\$11,000,000	06/23/10	<p>Scope of Work: This allocation is towards the completion of the final design for the eBART project. The specific elements of this allocation include final design for the Hillcrest station, parking lot and maintenance facility, trackworks &amp; systems, vehicle procurement, and various Caltrans &amp; Utility agreements.</p> <p>Allocation is conditioned on the approval of the Initial Project Report (IPR) package by the BART board and concurrence by the CCTA board.</p> <p>BART shall agree to comply with the provisions of MTC Resolution No. 3636, Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised.</p> <p>BART shall submit to MTC an “Implementing Agency Resolution of Project Compliance” which resolves that BART will comply with the provisions of MTC Resolution No. 3636 for the drawdown of AB 1171 funds.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
08	2009-10	Transbay Joint Powers Authority (TJPA)	Transbay Transit Center/ Downtown Caltrain Extension	\$134,074,000	06/23/10	<p>Scope of Work: This allocation is towards the final design phase for the Transit Center building and ramps and construction of the Transit Center, including the below-grade rail levels of the Transit Center. The elements that will proceed to NTP using AB 1171 funds are: <i>Construction Management Oversight, Existing Terminal &amp; Ramps Demolition, Construction Docs/Final Design, City Agency Inspection, Permits &amp; Fees, PMPC, Utility Relocation, Buttress Shoring Wall &amp; Excavation and Construction Management General Contractor services.</i></p> <p>The allocation of funds is conditioned on the following:</p> <p>a) Approval of the Initial Project Report (IPR) package by the TJPA board.</p> <p>b) *Once the ARRA funds are secured in a grant agreement, MTC will rescind the remaining AB 1171 funds from this allocation so that they may be used for future elements of this project.</p> <p>The demolition and construction allocation of roughly \$112 million is conditioned on:</p> <p>a) Federal Railroad Administration (FRA) issuance of the Record of Decision adopting those portions of the 2004 EIS dealing with Phase 1.</p> <p>(cont. next page)</p>
08 (cont.)						<p>b) Execution of a funding agreement between MTC and TJPA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions: TJPA shall agree to comply with the provisions of MTC Resolution No. 3636,</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
						<p>Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised.</p> <p>*The TJPA is currently working with the Federal Railroad Administration (FRA) on finalizing a grant agreement for \$400 million in American Reinvestment and Recovery Act (ARRA) High Speed and Intercity Passenger Rail (HSIPR) funds. Though these funds have been committed, the timing of the grant agreement is unknown at this time. TJPA anticipates receiving a grant before the end of the calendar year. TJPA is requesting this allocation of AB 1171 funds in order to maintain the project schedule while awaiting the grant agreement.</p>
09	2010-11	BART	BART Warm Springs Extension	\$5,000,000	07/28/10  Conditions Revised 7/27/11	<p>Scope of Work: This allocation is towards the following costs for the Line, Trackwork, Station and Systems (LTSS) contract on the Warm Springs Extension project: a) Award of the LTSS contract, b) Construction Management, c) Design support during construction, d) BART staff support, e) Coordination with other jurisdictional agencies and development of agreements, f) Owner Controlled Insurance Program (OCIP), and g) Community Relations. The allocation of funds is conditioned on the following:</p> <p>a) Approval of the Initial Project Report (IPR) package by the BART board.</p> <p>b) Execution of a funding agreement between MTC and BART prior to the Notice-to-Proceed (NTP) of the LTSS construction contract for the RM1, RM2, and AB 1171 Bridge Toll funds. Such agreement shall include: BART shall agree to comply with the provisions of MTC Resolution No. 3636, Revised and that any Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised. The agreement shall include the following:</p> <ul style="list-style-type: none"> <li>The approved BART to Warm Springs LTSS construction plus soft cost, as of June 2011, totals \$437 million, which includes a 12.4% contingency. The 12.4% contingency is a reduction of</li> </ul>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
						<p>approximately \$10 million from the 15% contingency previously estimated by BART to be required for successful completion of the LTSS phase. While this amount is within the financial envelope of \$890 million, it is higher than the currently identified and available funding.</p> <ul style="list-style-type: none"> <li>• Bridge Tolls, Measure B, State Proposition 1B, BART, and VTA Measure A funds total \$421 million.</li> <li>• Roughly \$16 million from the Right of Way phase and Central Park Subway segment combined can be assigned to the LTSS funding plan based on identified cost savings and budget adjustments as of June 2011.</li> <li>• BART and the funding partners have agreed to proceed with the project using the available funding.</li> <li>• Principles for addressing construction costs up to the \$10 million difference between approved project cost and available funding include, in priority order:</li> </ul> <p>(cont. next page)</p>
						<ol style="list-style-type: none"> <li>1. Apply any additional savings from the Right of Way phase or Subway Segment after June 2011;</li> <li>2. Apply any savings from the LTSS construction contract or soft costs; and</li> <li>3. If additional funding is still needed, direct SFO net operating surplus revenues and Alameda STIP funds or other funds controlled by the Alameda County Transportation Commission to the project, in equal share to the original funding plan adopted in September 2008 (44% and 56%, respectively).</li> </ol> <ul style="list-style-type: none"> <li>• Should unexpected changes to the LTSS funding plan or costs occur beyond the \$10 million described above, the funding partners would need to agree on new principles for delivering the LTSS phase.</li> </ul> <p>c) All the funding partners maintaining their funding commitment for the estimated \$890 million project as outlined in the 2008 revision to MTC Resolution No. 3434, unless agreed otherwise as part of condition d) below.</p>

Alloc. #	Fiscal Year	Claimant	Project Title	Allocation Amount	Date of MTC Approval	Allocation Conditions
						d) Funding partners reaching an agreement prior to BART's NTP of the LTSS contract that outlines the distribution of potential total project cost savings or overruns, given disproportionate contributions by partners to date.

10	2010-11	ACCMA (Co-sponsor - BART)	BART to Livermore ROW Preservation	\$1,250,000	07/28/10	<p>Scope of Work: This allocation is to fund the purchase of right-of-way in the vicinity of I-580 and El Charro Rd to retain land for future transit use. The allocation of funds is conditioned on the following:</p> <p>I- Execution of a funding agreement between MTC and ACCMA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>ACCMA shall agree to comply with the provisions of MTC Resolution No. 3636, Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised.</p> <p>BART and ACCMA concur with an additional AB 1171 allocation, not to exceed \$500,000, to MTC for an independent Opportunity/Risk Assessment Study administered by MTC related to the \$95 million in AB 1171 funds committed to the project in Resolution 3434.</p> <p>Establishment of a Land Trust (or similar mechanism) including, but not limited to the following terms: a) property shall be held for the benefit of a BART Extension to Livermore or other transit project in corridor consistent with Resolution 3434 – Tri-Valley Transit Access Improvements to/from BART (PROJECT); and b) if PROJECT does not commence construction within ten years, property in the Land trust shall be sold for fair market value and proceeds distributed equally to funding partners, based on funding participation.</p>
11	2010-11	MTC	Opportunity/Risk Analysis for the BART to Livermore ROW Preservation project	\$250,000	07/28/10	<p>Scope of Work: Develop an Opportunity/Risk Analysis related to future allocations of AB 1171 funds for ROW preservation for transit use in the corridor in the context of the programmatic level Environmental Impact Report certified by the BART Board.</p>

12	2010-11	MTC	Regional Express Lane Network	\$2,800,000	07/28/10	Scope of work: The funds requested in this allocation will be used to develop a project initiation document and application to the CTC for authority to implement the Regional Express Lanes Network. Additional planning and project development will be funded with this allocation, including: a) development of concepts of operation, b) exploration of options to enhance project delivery, c) development of an overall program delivery strategy.
13	2010-11	BART	e-BART	\$73,600,000	10/27/10	<p>Scope of work: This allocation is to fund the purchase of 8 Diesel Multiple Unit (DMU) vehicles for the eBART project.</p> <p><i>Conditions:</i> Allocation is conditioned on the concurrence of the IPR package by the CCTA board.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and BART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>BART agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

14	2010-11	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$7,000,000	12/15/10	<p>Scope of work: This allocation funds the environmental document and preliminary engineering for the Interchange Complex, including three segments of the interchange – the I-80 Westbound to SR-12 Westbound Connector, the I-80 Westbound to I-680 Southbound Connector, and the Red Top/I-680 Interchange.</p> <p>Scope change approved 07/25/12 and effective as of the original allocation approval date of 12/15/10.</p> <p><i>Conditions:</i> Allocation is conditioned on the concurrence of the IPR package by the STA board.</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
13	2010-11	BART	e-BART	(\$52,000,000)	03/23/11	<p>This rescission of \$52 million reduces Allocation #13 to \$21.6 million for the purchase of 8 Diesel Multiple Unit (DMU) vehicles for the eBART project. The remaining \$21.6 million allocation in AB 1171 funds is subject to the conditions listed under Allocation #13.</p>

16	2010-11	CCTA	e-BART	\$19,000,000	03/23/11	<p>Scope of work: This allocation will fund \$19,000,000 for construction and construction management activities associated with e-BART costs in the median of State Route 4 between Somersville Road and SR160.</p> <p>Allocation is conditioned on concurrence by the BART board with the IPR package.</p> <p>Allocation and disbursement are also conditioned upon the execution of a funding agreement between MTC and CCTA for the AB 1171 funds. Such agreement shall include the following provisions:</p> <p>CCTA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
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08	2010-11	Transbay Joint Powers Authority (TJPA)	Transbay Transit Center/ Downtown Caltrain Extension	(\$76,024,000)	05/25/11	<p>This rescission of \$76,024,000 reduces Allocation #8 to \$58,050,000 for final design and construction of the Transit Center building, including:</p> <ul style="list-style-type: none"> <li>Construction Management Oversight</li> <li>Demolition of the Transbay Terminal and ramps</li> <li>Transit Center Final Design</li> <li>City Agency Inspection</li> <li>Transit Center Permits and Fees</li> <li>Program Management / Program Controls (PMPC)</li> <li>Utility Relocation</li> <li>Buttress, Shoring Wall and Excavation (BSE) construction</li> <li>Construction Management / General Contractor (CMGC) services</li> </ul> <p>The remaining \$58.05 million allocation in AB 1171 funds is subject to the conditions listed under Allocation #8.</p>
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17	2010-11	Solano Transp. Authority (STA)	I-80 Eastbound Cordelia Truck Scales Relocation	\$26,400,000	06/22/11	<p>Scope of work: This allocation funds the construction of the I-80 Eastbound Cordelia Truck Scales Relocation project in Solano County.</p> <p><i>Conditions:</i> Allocation is conditioned on the allocation of Proposition 1B Trade Corridor Improvement Fund (TCIF) / State Highway Operations and Protection Program (SHOPP) funds by the California Transportation Commission.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and STA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
18	2011-12	CCTA	e-BART	\$27,100,000	09/28/11	<p>Scope of Work: This allocation will fund construction and construction management activities for integration of eBART median structures into Caltrans/CCTA SR 4 contract segments (3,4,5) and to accommodate eBART in the median between Somersville Road and State Route 160.</p> <p><i>Conditions:</i> Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and CCTA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>CCTA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

19	2011-12	VTA	Mission/Warren/ Truck-Rail Facility	\$6,500,000	11/16/11	<p>Scope of Work: This allocation will fund construction and construction management activities for the Mission/Warren/Truck-Rail Facility project.</p> <p><i>Conditions:</i> The \$6.5 million in AB 1171 funds shall be the last fund source expended on the original estimated cost of \$148 million project. If the project cost is less than the \$148 million, MTC would rescind or reduce this allocation.</p> <p>Additionally, allocation and disbursement is contingent upon the execution of a funding agreement between MTC and VTA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>VTA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
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17	2010-11	Solano Transp. Authority (STA)	I-80 Eastbound Cordelia Truck Scales Relocation	(\$3,817,000)	03/28/12	This rescission of \$3,817,000 reduces Allocation #17 to \$22,583,000 for construction of the I-80 Eastbound Cordelia Truck Scales Relocation project. The remaining \$22,583,000 allocation in AB 1171 funds is subject to the conditions listed under Allocation #17.
20	2011-12	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$14,280,000	03/28/12	<p>Scope of work: This allocation funds right-of-way acquisition related to the I-80/680/12 Interchange Initial Construction Package 1 project.</p> <p><i>Conditions:</i> Allocation is conditioned on the concurrence of the IPR package and approval of the CEQA environmental document by the STA board on March 14, 2012.</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

21	2011-12	Transbay Joint Powers Authority (TJPA)	Transbay Transit Center/ Downtown Caltrain Extension	\$73,700,000	06/27/12	<p>Scope of work: This allocation funds the following:</p> <ol style="list-style-type: none"> <li>1) Construction of the Transit Center “below grade structure” - \$41.5 M</li> <li>2) Finalize Transit Center design - \$27.4 M</li> <li>3) Complete remaining CM/GC pre-construction services - \$2.8 M</li> <li>4) Pre-bid construction administration for structural cast steel nodes - \$2 M</li> </ol> <p><i>Conditions:</i> Allocation and disbursement is contingent upon: a) Approval of the Initial Project Report (IPR) package by the TJPA board; and b) execution of a funding agreement between MTC and TJPA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>TJPA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p> <p>Note: For this allocation, TJPA may send more than one invoice per month to MTC, as long as they don’t invoice more frequently than monthly for each vendor/contractor.</p>
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22	2011-12	BART	eBART	\$9,410,000	06/27/12	<p>Scope of work: This allocation funds the following:</p> <ul style="list-style-type: none"> <li>a) Completion of Final Design (\$3.4M) and;</li> <li>b) Construction Management (CM) and Design Service During Construction (DSDC) for the maintenance shop shell, Hillcrest parking lot and Slatten Ranch Road (\$6.01M).</li> </ul> <p><i>Conditions:</i> Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and BART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>BART agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
2	2009-10	BART	e-BART	(\$7,933,300)	06/27/12	<p>This rescission of \$7.9 million reduces Allocation #2 to \$5.9 million for the construction of the transfer station at the Pittsburg Bay Point BART station and guideway to Railroad Avenue for the eBART project.</p> <p>The remaining \$5.9 million allocation in AB 1171 funds is subject to the conditions listed under Allocation #2.</p>

13	2010-11	BART	e-BART	(\$5,600,000)	06/27/12	<p>This rescission of \$5.6 million reduces Allocation #13 to \$16 million for the purchase of 8 Diesel Multiple Unit (DMU) vehicles for the eBART project and CM/DSDC costs associated with this contract.</p> <p>The remaining \$16 million allocation in AB 1171 funds is subject to the conditions listed under Allocation #13.</p>
23	2012-13	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$8,500,000	07/25/12	<p>Scope of work: This allocation funds the environmental document and preliminary engineering for the Interchange Complex, including three segments of the interchange – the I-80 Westbound to SR-12 Westbound Connector, the I-80 Westbound to I-680 Southbound Connector, and the Red Top/I-680 Interchange.</p> <p><i>Conditions:</i> Allocation is conditioned on the concurrence of the IPR package by the STA board.</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

24	2012-13	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$5,980,000	11/28/12	<p>Scope of work: This allocation funds the utility relocation and right-of-way activities related to the I-80/680/12 Interchange project.</p> <p><i>Conditions:</i> STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
25	2012-13	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$5,796,000	1/23/13	<p>Scope of work: This allocation funds additional work for utility relocation and right-of-way activities related to the I-80/680/12 Interchange project.</p> <p><i>Conditions:</i> STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

26	2012-13	BART	BART To Livermore Extension Project	\$8,600,000	1/23/13	<p>Scope of Work: This allocation is for the completion of CEQA-level environmental documentation for proposed transit improvements in the I-580 corridor and the related modifications to I-580 and SR-84, and for a Ridership Development Plan to be conducted by the City of Livermore to sufficient detail to support selection of a preferred alternative.</p> <p>Conditions: The allocation is conditioned on:</p> <ul style="list-style-type: none"> <li>a) BART agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</li> <li>b) BART staff to report a decision on whether to pursue a joint NEPA/CEQA or CEQA-only document, including having a lead Federal agency, by Jan 31, 2014. (date revised on July 24, 2013)</li> </ul>
27	2012-13	Sonoma Marin Area Rail Transit (SMART)	SMART Extension	750,000	1/23/13	<p>Scope of work: Re-construction of the SMART track facilities between Santa Rosa North and Sonoma County Airport area. (Design costs).*</p> <p>Conditions: The allocation is conditioned on:</p> <p>SMART shall agree to comply with the provisions of MTC Resolution No. 3636, Revised and that any AB1171 funds received under this allocation be subject to MTC Resolution No. 3636, Revised.</p>

28	2012-13	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$822,008	6/26/13	<p>Scope of work: This allocation funds final design of the I-80/680/12 Interchange Initial Construction Package.</p> <p>Conditions: The allocation is conditioned on:</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
29	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$5,513,000	9/25/13	<p>Scope of work: This allocation funds final design of the I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange) and Package #3 (I-80 Westbound to I-680 Southbound Connector).</p> <p>Conditions: The allocation is conditioned on:</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

30	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$29,448,000	9/25/13	<p>Scope of work: This allocation funds construction of the I-80/680/12 Interchange Initial Construction Package #1 (I-80 Westbound to SR-12 Westbound Connector).</p> <p><i>Note:</i> Allocation was reduced by \$1 million on 03/26/14. New allocation amount is \$28,448,000. See page 27.</p> <p>Conditions: Allocation is conditioned on the allocation of Proposition 1B Trade Corridor Improvement Fund (TCIF) / funds by the California Transportation Commission.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and STA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
31	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$77,992	10/23/13	<p>Scope of work: This allocation funds additional work for utility relocation and right-of-way activities related to the I-80/680/12 Interchange project.</p> <p>Conditions: STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

32	2013-14	BART	e-BART	\$9,533,000	12/18/13	<p>Scope of Work: This allocation is for the construction of eBART Trackwork, System, and Facility Finishes, and Construction Management and Design Services During Construction.</p> <p><i>Conditions:</i> Allocation and disbursement is contingent upon the following:</p> <ul style="list-style-type: none"><li>a) Approval of local support resolution by CCTA and BART Boards.</li><li>b) Execution of a funding agreement between MTC and BART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions: BART shall agree: (1) to complete the project described in its updated Initial Project Report, through its contractor; (2) to comply with all provisions of MTC Resolution No. 3636, Revised and that any AB 1171 funds received under the funding agreement be subject to MTC Resolution No. 3636, Revised.</li></ul>
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33	2013-14	SMART	SMART	\$9,400,000	12/18/13	<p>Scope of Work: This allocation is for the reconstruction of the SMART track facilities, including associated system work, between Santa Rosa North and the Sonoma County Airport area, and a station at the Sonoma County Airport.**</p> <p><i>Conditions:</i> Allocation and disbursement is contingent upon the following conditions:</p> <ol style="list-style-type: none"> <li>1. SCTA approval of \$4.35 million in funds for the airport extension.</li> <li>2. SMART Board approval of the Initial Project Report.</li> <li>3. Environmental clearance of the station at the Sonoma County Airport.</li> <li>4. Execution of a funding agreement between MTC and SMART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</li> </ol> <p>SMART agrees to comply with the provisions of MTC Resolution No. 3636, Revised and that any AB 1171 Bridge Toll funds received under the funding agreement shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
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34	2013-14	Transbay Joint Powers Authority (TJPA)	Transbay Transit Center/ Downtown Caltrain Extension	\$1,124,327	2/26/14	<p>Scope of Work: Construction Manager/General Contractor pre-construction services for Transbay Transit Center building and related structures.</p> <p>TJPA shall agree to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received be subject to MTC Resolution No. 3636, Revised.</p> <p>TJPA shall submit to MTC an “Implementing Agency Resolution of Project Compliance” which resolves that the TJPA will comply with the provisions of MTC Resolution No 3636 for the drawdown of AB 1171 funds.</p> <p>This allocation is also conditioned on the approval of the IPR package by the TJPA board.</p> <p>Note: For this allocation, TJPA may send more than one invoice per month to MTC, as long as they don’t invoice more frequently than monthly for each vendor/contractor.</p>
30	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	(\$1,000,000)	3/26/14	<p>This rescission of \$1 million reduces Allocation #30 to \$28,448,000 for the construction of the I-80/680/12 Interchange Initial Construction Package.</p> <p>The remaining \$28,448,000 allocation in AB 1171 funds is subject to the conditions listed under Allocation #30.</p>

35	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange – I-80 Freeway Performance Initiative in Solano County	\$1,000,000	3/26/14	<p>Scope of work: This allocation funds construction of the I-80 Freeway Performance Initiative work elements in Solano County, related to the I-80/680/12 Interchange project.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and STA for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>
36	2014-15	City of Fairfield	Fairfield/Vacaville Intermodal Train Station	\$9,000,000	10/22/14	<p>Scope of work: This allocation funds construction of the Fairfield/Vacaville Intermodal Train Station.</p> <p>Allocation and disbursement is contingent upon the execution of a funding agreement between MTC and City of Fairfield for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions:</p> <p>City of Fairfield agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

37	2014-15	BART	e-BART	\$500,000	12/17/14	<p>Scope of Work: This allocation is for Construction Management and Design Services During Construction.</p> <p><i>Conditions:</i> Allocation and disbursement is contingent upon the following:</p> <ul style="list-style-type: none"> <li>a) Approval of local support resolution by CCTA and BART Boards.</li> <li>b) Execution of a funding agreement between MTC and BART for the AB 1171 Bridge Toll funds. Such agreement shall include the following provisions: BART shall agree: (1) to complete the project described in its updated Initial Project Report, through its contractor; (2) to comply with all provisions of MTC Resolution No. 3636, Revised and that any AB 1171 funds received under the funding agreement be subject to MTC Resolution No. 3636, Revised.</li> </ul>
30	2013-14	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	(\$2,189,000)	1/27/16	<p>This rescission of \$2,189,000 reduces Allocation #30 to \$26,259,000 for the construction of the I-80/680/12 Interchange Initial Construction Package.</p> <p>The remaining \$26,259,000 allocation in AB 1171 funds is subject to the conditions listed under Allocation #30.</p>
38	2015-16	Solano Transp. Authority (STA)	I-80/680/12 Interchange Initial Construction Package (ICP)	\$2,189,000	1/27/16	<p>Scope of work: This allocation funds the utility relocation and right-of-way activities related to the I-80/680/12 Interchange Initial Construction Package #2 (Red Top Road Interchange).</p> <p>Conditions: STA agrees to comply with the provisions of MTC Resolution No 3636, Revised and that any AB 1171 Bridge Toll funds received under the allocation shall be subject to MTC Resolution No. 3636, Revised, unless otherwise agreed herein.</p>

Total Allocated	\$483,850,027
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\* On January 23, 2013, MTC approved program commitments of: 1) \$4.4 million (subject to future allocation action) towards the reconstruction of the SMART track facilities between Santa Rosa North and the Sonoma County Airport area; and 2) \$0.5 million to BART for the eBART project.

\*\* The December 18, 2013 allocation (#32) to SMART includes the \$4.4 million indicated in the footnote above.



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1145      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/16/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016

**Title:** MTC Resolution No. 4035, Revised. Revisions to the Surface Transportation Program/Congestion Mitigation and Air Quality Improvement (STP/CMAQ) One Bay Area Grant (OBAG1) Program.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6d Reso-4035 STP-CMAQ-OBAG1 Revisions](#)  
[2f\\_Reso-4035\\_STP-CMAQ-OBAG1\\_Revisions.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4035, Revised. Revisions to the Surface Transportation Program/Congestion Mitigation and Air Quality Improvement (STP/CMAQ) One Bay Area Grant (OBAG1) Program.

**Presenter:**

Ross McKeown

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6d

## MTC Resolution No. 4035, Revised

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**Subject:** Revisions to the Surface Transportation Program/Congestion Mitigation and Air Quality Improvement (STP/CMAQ) One Bay Area Grant (OBAG1) Program.

**Background:** The OBAG1 Program adopted by the Commission establishes commitments and policies for investing Surface Transportation Program (STP), Congestion Mitigation and Air Quality Improvement (CMAQ) and Regional Transportation Improvement Program (RTIP) funds for regional and local programs through FY2016-17.

This month, staff recommends the following changes:

### 1. OBAG 1 County Program:

- The San Francisco County Transportation Authority requests the reprogramming of \$67,000 from the Edward R. Taylor Elementary School Safe Routes to School project to the Chinatown Broadway Complete Streets Phase IV project.
- The City/County Association of Governments of San Mateo County requests reprogramming \$440,000 million from Menlo Park's Various Streets and Roads Preservation project and San Bruno's San Bruno Avenue Pedestrian Improvements project to Daly City's John Daly Blvd. Bicycle and Pedestrian Improvements project and San Carlos's Streetscape and Pedestrian Improvements project.
- The Solano Transportation Authority requests the reprogramming of \$90,000 from Vacaville's Ulati Creek Bicycle and Pedestrian Path and Streetscape project to Suisun City's Driftwood Drive Path project as Vacaville determined through the environmental process that the project will not move forward as a federal project.

### 2. Regional Safe Routes to School Program (RSRTS):

- The Transportation Authority of Marin is recommending the programming of \$74,000 to San Rafael's Grand Avenue Bicycle and Pedestrian Improvements project. The funding is available from the County's share of the RSRTS program.

**Issues:** None.

**Recommendation:** Refer MTC Resolution No. 4035, Revised to the Commission for approval. Because Resolution No. 4035, Revised is proposed for revision under another agenda item, it is included once under agenda item 3a with all proposed revisions.

**Attachments:** None. See Agenda Item 3a for MTC Resolution No. 4035, Revised



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1140      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/15/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4172, Revised. Revision to the Cycle 2 Regional Active Transportation Program (ATP) Program of Projects.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6e Reso-4172 Cycle2 ATP Revision](#)  
[2g Reso-4172 Cycle2 ATP Revision.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4172, Revised. Revision to the Cycle 2 Regional Active Transportation Program (ATP) Program of Projects.

**Presenter:**

Kenneth Kao

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6e

## Resolution No. 4172, Revised

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- Subject:** Revision to Cycle 2 Regional Active Transportation Program (ATP) Program of Projects
- Background:** MTC adopted the Cycle 2 Regional ATP Program of Projects in October 2015. Subsequently, staff identified a funding error of \$30,000 in available funding. The ATP Fund Estimate provided \$30.225 million to MTC; MTC's October 2015 ATP adoption action programmed \$30.255 million, which represents an overprogramming of \$30,000.
- This revision proposes to correct this error, with the overprogrammed amount coming from San Francisco's Lombard Street Vision Zero project. The Lombard Street project initially received partial funding, since the project used the remaining ATP funds after funding higher-scoring projects. San Francisco Department of Public Works, the project sponsor, is still able to fully fund the project using other funds. This revision will also remove the Lombard Street project from the contingency list, since the California Transportation Commission will not allow any further ATP funds to be added to an existing project.
- Issues:** Caltrans is performing eligibility reviews on MTC's Cycle 2 ATP Projects. Any elements determined to be ineligible will be removed from the program. If any funds are returned to the program, staff will return to the Commission to revise the ATP project listing using the contingency list.
- Recommendation:** Refer MTC Resolution No. 4172, Revised to the Commission for approval.
- Attachments:** MTC Resolution No. 4172, Revised – Attachment B

Date: February 25, 2015  
W.I.: 1515  
Referred by: PAC  
Revised: 10/28/15-C  
01/27/16-C

### ABSTRACT

#### Resolution No. 4172

This resolution adopts the Active Transportation Program (ATP) Regional Program Cycle 2 Guidelines and Program of Projects for the San Francisco Bay Area, for submission to the California Transportation Commission (CTC), consistent with the provisions of Senate Bill 99 and Assembly Bill 101.

This resolution includes the following attachments:

- Attachment A – Guidelines: Policies, Procedures and Project Selection Criteria
- Attachment B – Regional Active Transportation Program of Projects

This resolution was amended by Commission Action on October 28, 2015 to include Attachment B, the Regional Active Transportation Program of Projects.

This resolution was amended by Commission Action on January 27, 2016 to revise Attachment B, the Regional Active Transportation Program of Projects, to reflect updated total program/funding amount of \$30.225 million, and to reduce the San Francisco Department of Public Work's Lombard Street Vision Zero project by \$30,000 to \$1,824,000, and to remove the project from the contingency list.

Further discussion of these actions is contained in the Summary Sheet to the MTC Programming and Allocations Committee dated February 11, 2015, October 14, 2015, and January 13, 2016.

Date: February 25, 2015  
W.I.: 1515  
Referred by: PAC

RE: Adoption of Regional Active Transportation Program (ATP)  
Cycle 2 Guidelines and Program of Projects

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4172

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has adopted and periodically revises, pursuant to Government Code Sections 66508 and 65080, a Regional Transportation Plan (RTP); and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county San Francisco Bay Area region and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes federal funds; and

WHEREAS, MTC is the designated recipient for federal funding administered by the Federal Highway Administration (FHWA) assigned to the MPO/Regional Transportation Planning Agency (RTPA) of the San Francisco Bay Area for the programming of projects (regional federal funds); and

WHEREAS, the California State Legislature passed and the Governor signed into law Senate Bill 99 (Chapter 359, Statutes 2013) and Assembly Bill 101 (Chapter 354, Statutes 2013), establishing the Active Transportation Program (ATP); and

WHEREAS, MTC adopts, pursuant to Streets and Highways Code Section 2381(a)(1), an Active Transportation Program of Projects using a competitive process consistent with guidelines adopted by the California Transportation Commission (CTC) pursuant to Streets and Highways Code Section 2382(a), that is submitted to the CTC and the California Department of Transportation (Caltrans); and

WHEREAS, MTC has developed, in cooperation with CTC, Caltrans, operators of publicly owned mass transportation services, congestion management agencies, countywide

transportation planning agencies, and local governments, guidelines to be used in the development of the ATP; and

WHEREAS, a multi-disciplinary advisory group evaluates and recommends candidate ATP projects for MTC inclusion in the Active Transportation Program of Projects; and

WHEREAS, the ATP is subject to public review and comment; now, therefore, be it

RESOLVED, that MTC approves the guidelines to be used in the evaluation of candidate projects for inclusion in the ATP, as set forth in Attachment A of this resolution, and be it further

RESOLVED, that MTC approves the Active Transportation Program of Projects, as set forth in Attachment B of this resolution, and be it further

RESOLVED that the Executive Director or designee can make technical adjustments and other non-substantial revisions; and be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution, and such other information as may be required to the CTC, Caltrans, and to such other agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in blue ink, appearing to read "Joel Cant", is written over a horizontal line.

Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on February 25, 2015.

**Attachment B**  
**Metropolitan Transportation Commission**  
**2015 Active Transportation Program (ATP)**  
**Cycle 2**  
**FY 2016-17 through FY 2018-19**  
**Regional ATP Cycle 2 List of Projects**  
**January 2016**

MTC Resolution No. 4172  
Attachment B  
Adopted: 02/25/15-C  
Revised: 10/28/15-C  
01/27/16-C

**Regional ATP Cycle 2 Projects (in county order)**

County	Implementing Agency	Project	Regional ATP
Alameda	Alameda Co PW	Castro Valley Elementary Safe Routes to School (PS&E)	\$250,000
Alameda	Alameda Co PW	Creekside MS Safe Routes to School	\$475,000
Alameda	Alameda Co PW	Stanton ES Safe Routes to School (PS&E/ROW)	\$300,000
Alameda	Oakland	Telegraph Ave Complete Streets	\$4,554,000
Contra Costa	San Pablo	Rumrill Blvd Complete Streets Improvements	\$4,310,000
Marin	Marin Transit	Novato Transit Facility: Ped Access & Safety Imps	\$1,286,000
Napa	Napa Co (NCTPA)	Napa Valley Vine Trail - St. Helena to Calistoga	\$6,106,000
San Francisco	San Francisco DPH	SF Safe Routes to School Non-Infrastructure	\$2,797,000
<b>San Francisco</b>	<b>San Francisco DPW</b>	<b>Lombard St Vision Zero</b>	<b>\$1,824,000</b>
Santa Clara	San Jose	Coyote Creek Trail: Mabury to Empire	\$5,256,000
Solano	Solano TA	SRTS Infrastructure & NI: Benicia, Rio Vista, Vallejo	\$3,067,000
<b>TOTAL:</b>			<b>\$30,225,000</b>

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**Regional ATP Cycle 2 Contingency List (in descending score order)**

County	Implementing Agency	Project	Regional ATP
<b>San Francisco</b>	<b>San Francisco PW</b>	<b>Lombard St Vision Zero *Remaining Amount*</b>	<b>\$0</b>
Alameda	ACTC	East Bay Greenway (PS&E)	\$4,125,000
Contra Costa	Contra Costa Co	Pacheco Blvd Sidewalk Gap Closure Ph III	\$759,000
San Francisco	SFMTA	SE SF Multi-Modal Safety Upgrades	\$10,164,000
Alameda	Piedmont	Pedestrian Safety & Bike Lane Implementation	\$3,062,000
Santa Clara	San Jose	ATP Safety and Behavior Change Campaign	\$889,000
Alameda	Alameda Co PW	Somerset Ave School Corridor SRTS (PS&E)	\$330,000
Contra Costa	Richmond	Goodrick Ave Bay Trail Gap Closure	\$1,271,000
Solano	Solano TA	Bay/Napa Vine Trail Gap Closure (Vallejo/Amer Cyn)	\$6,208,000
<b>TOTAL:</b>			<b>\$26,808,000</b>

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# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1146      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/16/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4175, Revised. 2015 Transportation Improvement Program (TIP) Amendment 2015-24.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6f Reso-4175 TIP Amend-2015-24](#)  
[2h Reso-4175 TIP Amend-2015-24.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4175, Revised. 2015 Transportation Improvement Program (TIP) Amendment 2015-24.

**Presenter:**

Adam Crenshaw

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6f

## Resolution No. 4175, Revised

**Subject:** 2015 Transportation Improvement Program (TIP) Amendment 2015-24.

**Background:** The federally required TIP is a comprehensive listing of Bay Area surface transportation projects that are to receive federal funding, are subject to a federally required action, or are considered regionally significant for air quality conformity purposes during the four-year period from fiscal year 2014-15 through fiscal year 2017-18. MTC, as the federally designated Metropolitan Planning Organization (MPO) for the nine-county San Francisco Bay Area, is required to prepare and adopt an updated TIP every four years. The 2015 TIP was adopted by the Commission on September 24, 2014, and approved by the Federal Highway Administration (FHWA) and Federal Transit Administration (FTA) on December 15, 2014. The 2015 TIP is valid for four years. The TIP may be revised to make necessary changes prior to the next update. The TIP is posted on the Internet at: <http://www.mtc.ca.gov/funding/tip/>.

Amendment 2015-24 makes revisions to 77 projects with a net increase in funding of approximately \$617 million. Among other changes, the revision:

- Amends 21 new projects into the TIP and revises the funding plans of 24 other projects to reflect the adoption of the Fiscal Year 2016 Transit Capital Priorities (TCP) Programs of Projects (POPs), partial TCP POPs for FY2017 and FY2018, and changes to the Fiscal Years 2014 and 2015 TCP POPs;
- Updates the funding plan and back-up listing of the Highway Bridge Program (HBP) grouped listing and updates the funding plans of eight individually-listed, HBP funded projects to reflect the latest programming information from Caltrans;
- Updates the funding plan and back-up listing of the Highway Safety Improvement Program (HSIP) grouped listing and amends two new non-exempt, not regionally significant, HSIP funded projects into the TIP based on the latest programming information from Caltrans;
- Updates the funding plan and back-up listing of two State Highway Operations and Protection Program funded grouped listings based on the latest information from Caltrans;
- Amends a previously archived Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded project into the TIP and revises the funding plans of this and two other STP/CMAQ funded projects;
- Amends one Federal Transit Administration (FTA) Transit Oriented Development Planning Program funded project, two new locally funded projects, and one FTA Section 5311 Rural Area Formula Program funded grouped listing into the TIP;
- Deletes one project as it will not continue as a federal project; and
- Archives six projects as they have been completed.

The revisions made pursuant to this amendment will not change the air quality conformity finding or conflict with the financial constraint requirements of the TIP; therefore, a conformity determination is not required and the 2015 TIP remains financially constrained. The TIP Revision Summary for this amendment is attached and is also available in the MTC/ABAG Library in Oakland, CA, and is posted on the Internet at: <http://www.mtc.ca.gov/funding/tip/revisions>.

The TIP public participation process also serves to satisfy the public involvement requirements of the FTA annual Program of Projects, for applicable funds.

This amendment will be transmitted to Caltrans after the Commission's approval; after its review, Caltrans will forward the amendment to FTA/FHWA as required for final federal agency review and final approval.

**Issues:**

Amendment 2015-24 contains changes that are contingent upon Commission approval of programming changes included in the Programming and Allocation's Committee Item 2c FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17; Item 2f Revisions to the Surface Transportation Program/Congestion Mitigation and Air Quality Improvement (STP/CMAQ) One Bay Area Grant (OBAG1) Program; and Item 3a FY2015-16 Transit Capital Priorities (TCP) and Bridge Toll Revenues Preliminary Programs, Partial FY2016-17 and FY2017-18 TCP Preliminary Programs, Revisions to FY2013-14 and FY2014-15 TCP Programs and FY2014-15 AB664 Program, and Revisions to the BART fleet replacement funding plans in the Core Capacity Challenge Grant Program and BART Rail Car Replacement Program.

This revision also contains programming changes that are contingent upon the Regional Air Quality Conformity Task Force's concurrence on the regional air quality conformity status of Oakland's Telegraph Ave. Improvements and Shattuck and Claremont Improvements projects. While staff does not expect a negative finding, the task force will not meet until January 28, 2016. If the Task Force does make a negative finding for these projects, they will be removed from this revision to the 2015 TIP.

**Recommendation:** Refer Resolution No. 4175, Revised to the Commission for approval.

**Attachments:** Attachment 1, Summary Report of Amended Projects for TIP Amendment 2015-24  
MTC Resolution No. 4175, Revised

## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
<b>System: Local Road</b>					
ALA110072	Oakland	Lake Merritt Improvement Project	Update the funding plan to reprogram \$828K in CON Earmark-STP funds from FY13 to FY16	\$0	0.0%
ALA150042	Oakland	Oakland: Telegraph Ave Bike/Ped Imps and Road Diet	Amend a new non-exempt, not regionally significant project into the TIP with \$1.34M in HSIP and \$149K in Local funds	\$1,493,900	~%
ALA150043	Oakland	Oakland: Shattuck and Claremont Bike/Ped Imps	Amend a new non-exempt, not regionally significant project into the TIP with \$1.4M in HSIP and \$156K in Local funds	\$1,560,100	~%
MRN110035	Marin County	Mountain View Rd Bridge Replacement - 27C0154	Update the funding plan to reprogram \$911K in CON HBP from FY19 to FY21 and \$8K in HBP from FY19 CON to FY15 PE and add \$168K in FY15 PE HBP and \$73K in FY18 ROW HBP.	\$240,712	19.3%
NAP110026	Napa County	Hardin Rd Bridge Replacement - 21C0058	Update the funding plan to reprogram \$248K in PE HBP from FY17 to FY18, \$118K in ROW HBP from FY18 to FY20, and \$1.4M from CON HBP from FY19 to FY21 and add \$452K in PE, \$83K in ROW and \$1.6M in CON HBP	\$2,144,050	122.1%
NAP110027	Napa County	Loma Vista Dr Bridge Replacement - 21C0080	Update the funding plan to reprogram \$100K in PE HBP from FY17 to FY18, \$60K in ROW HBP from FY18 to FY20, and \$560K in CON HBP from FY19 to FY21 and add \$400K in PE HBP, \$40K in FY19 ROW HBP and \$2.44M in CON HBP	\$2,880,000	400.0%
NAP150002	Napa County	Garnett Bridge Greenwood Ave	Update the funding plan to add \$200K in FY16 PE HBP funds, \$1.7M in FY21 CON HBP funds, and \$200K in FY18 ROW HBP funds and reprogram \$3.5M in CON HBP funds from FY19 to FY21	\$2,131,080	51.7%
SCL130035	Milpitas	Milpitas Various Streets and Roads Preservation	Archive this project as it has been completed and is open to traffic	\$0	0.0%
SF-150004	San Francisco Municipal Transport Agency (SFMTA)	SFMTA Station-Area Ped and Bicycle Access Imp.	Update the funding plan to add \$500K in 5337 and \$125K in sales tax funds to reflect the FY16 TCP POP.	\$625,000	100.0%
SF-150013	San Francisco County Transport Authority (SFCTA)	SB I-280 Off-Ramp at Ocean Ave Realignment	Amend a new exempt project into the TIP with \$2M in Other Local, \$750K in Sales Tax and \$2.85M in RTP-LRP funds	\$5,600,000	~%
SM-150009	San Carlos	US 101 Holly Pedestrian/Bicycle Overcrossing	Amend a new exempt project into the TIP with \$400K in TDA, \$1.3M in Local, and \$3.4M in RTP-LRP funds	\$5,100,000	~%
SOL130006	Vacaville	Ulati Creek Bike/Ped Path & Stscpe McCellan-Depot	Update the funding plan to remove \$90K in FY14 PE CMAQ funds as the funds are being transferred to SOL130020 and remove \$12K in FY14 PE Other Local funds and delete this project from the TIP as it will not move forward as a federal project	-\$101,703	-60.0%
SOL130015	Vallejo	Vallejo SRTS Infrastructure Improvements	Update the project description to remove one of the schools with no change in the funding plan	\$0	0.0%
SOL130020	Suisun City	Driftwood Drive Path	Update the funding plan to add an additional \$90K in CMAQ funds as the funds are being transferred form SOL130006 and add \$39K in TDA3, \$108K in TFCA, and \$57K in Other Local funds. Toll credits will no longer be used for CON	\$293,618	73.6%

## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
SON050001	Sonoma County	Replace Laughlin Bridge over Mark West Crk 20C0246	Update the funding plan to reprogram \$12.6M in CON HBP from FY19 to FY21, \$100K in ROW HBP from FY18 to FY19, \$560K in PE HBP from FY16 to FY19, and \$140K in local funds from FY16 to FY19 and update the RTP ID to 240748	\$0	0.0%
VAR110045	Caltrans	GL: Bridge Rehab/Recon. - Local Hwy Bridge Program	Update the funding plan and back-up listing to reflect the latest information from Caltrans including the addition of \$64.6M in HBP funds	\$76,922,848	14.9%
<b>System: State Highway</b>					
SF-070027	San Francisco County Transport Authority (SFCTA)	Yerba Buena Island (YBI) Ramp Improvements	Update the funding plan based on the latest information from Caltrans	\$24,321,486	11.3%
SOL130014	Rio Vista	SR 12 crossing with updated lighting	Update the funding plan to add \$70K in FY16 CON YSAQMD TFCA and 30K in FY16 CON TDA funds	\$100,330	77.2%
VAR110004	Caltrans	GL: Safety Imprv. - SHOPP Collision Reduction	Update the funding plan and backup listing to reflect the latest changes from Caltrans, including the addition of \$62.6M in SHOPP funds.	\$62,631,000	15.1%
VAR110005	Caltrans	GL: Emergency Repair - SHOPP Emergency Response	Update the funding plan and back-up listing based on the latest information from Caltrans including the addition of \$21.5M in SHOPP funds	\$21,482,000	4.6%
VAR110007	Caltrans	GL: Safety Imprv - Highway Safety Improvement Prog	Update the funding plan and back-up listing to reflect the latest information from Caltrans including the addition of \$18.5M in HSIP funds	\$19,987,999	34.9%
<b>System: Tollway</b>					
MRN050018	Golden Gate Bridge, Highway and Transit District	Golden Gate Bridge Seismic Retrofit, Phase 3B	Update the funding plan to remove \$8.0M in AC Local and \$8.0M in HBP as the funds are being transferred to MRN050019 and reprogram \$41.6M in AC Local from FY16 to FY18, \$9.5M in HBP from FY16 to FY19, \$10.5M from FY18 to FY19, and \$21.6M from FY18 to FY20	-\$16,000,000	-10.4%
MRN050019	Golden Gate Bridge, Highway and Transit District	Golden Gate Bridge-Suicide Deterrent SafetyBarrier	Update the funding plan to reprogram \$10M in CON HBP from FY18 to FY16 and \$2M in CON HBP from FY18 to FY17 and add \$8M in FY15 CON AC Local and \$8M in FY 17 CON HBP as the funds are being transferred from MRN050018	\$16,000,000	11.7%
<b>System: Transit</b>					
ALA030030	Livermore Amador Valley Transit (LAVTA)	LAVTA: Preventive Maintenance	Update the funding plan to add \$1.3M in 5307 and \$318K in Local funds to reflect the FY16 TCP POP	\$1,590,625	13.6%
ALA150031	Livermore Amador Valley Transit (LAVTA)	LAVTA: Replacement (10) 40' Hybrid Buses	Amend a new exempt project into the TIP with \$5.4M in 5307, \$964K in 5339 and \$1.58M in Local funds to reflect the FY16 TCP POP	\$7,902,750	~%
ALA150032	Livermore Amador Valley Transit (LAVTA)	LAVTA: Replacement (10) 30' Hybrid Buses	Amend a new exempt project into the TIP with \$6M in 5307 and \$1.5M in Local funds to reflect the FY16 TCP POP	\$7,441,500	~%
ALA150033	Livermore Amador Valley Transit (LAVTA)	LAVTA: Service Vehicles (2) Trucks	Amend a new exempt project into the TIP with \$82K in 5307 and \$20K in Local funds to reflect the 2016 TCP POP	\$102,000	~%
ALA150034	Livermore Amador Valley Transit (LAVTA)	LAVTA: Trapeze Upgrade	Amend a new exempt project into the TIP with \$130K in 5307 and \$33K in Local funds to reflect the FY16 TCP POP.	\$162,500	~%
ALA150035	Livermore Amador Valley Transit (LAVTA)	LAVTA: Farebox Replacement	Amend a new exempt project into the TIP with \$398K in 5307 and \$100K in Local funds to reflect the FY16 TCP POP.	\$497,803	~%

## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
ALA150036	Livermore Amador Valley Transit (LAVTA)	LAVTA: Service Vehicles (3) Road Supervisor	Amend a new exempt project into the TIP with \$122K in 5307 and \$31K in Local funds to reflect the FY16 TCP POP.	\$153,000	~%
ALA150037	Livermore Amador Valley Transit (LAVTA)	LAVTA: Service Vehicles (4) shift trade	Amend a new exempt project into the TIP with \$163K in 5307 and \$41K in Local funds to reflect the FY16 TCP POP.	\$204,000	~%
ALA150038	Alameda Contra Costa Transit District (AC Transit)	AC Transit: Purchase (10) Double-Deck Diesel Buses	Amend a new exempt project into the TIP with \$3.6M in 5307, \$1.5M in 5337, \$1.5 in AB664, and \$2.0M in local matching funds to reflect the FY16 TCP POP.	\$8,610,000	~%
ALA150039	Alameda Contra Costa Transit District (AC Transit)	AC Transit: Purchase 10 40ft Buses - Fuel Cell ZEB	Amend a new exempt project into the TIP with \$979K in 5307, \$5.0M in AB664, and \$1.8M in local funds to reflect the FY16 TCP POP.	\$7,710,000	~%
ALA150040	Alameda Contra Costa Transit District (AC Transit)	AC Transit: Replace (10) 40ft Urban Buses- Diesels	Amend a new exempt project into the TIP with \$4.1M in 5307 and \$1.2M in local funds to reflect the FY16 TCP POP.	\$5,300,000	~%
ALA150041	Alameda Contra Costa Transit District (AC Transit)	AC Transit: Replace 29 60ft Artic Buses - Diesels	Amend a new exempt project into the TIP with \$754K in 5307, \$18.5M in AB664, and \$5.7M in local funds to reflect the FY16 TCP POP.	\$24,969,000	~%
BRT030004	Bay Area Rapid Transit District (BART)	BART Train Control Renovation	Update the funding plan to add \$13.0M in 5337 and \$3.3M in local funds to reflect the FY16 TCP POP.	\$16,250,000	8.0%
BRT030005	Bay Area Rapid Transit District (BART)	BART: Traction Power System Renovation	Update the funding plan to add \$13.0M in 5337 and \$3.3M in local funds to reflect the FY16 TCP POP.	\$16,250,000	9.7%
BRT97100B	Bay Area Rapid Transit District (BART)	BART: Rail, Way and Structures Program	Update the funding plan to add \$11.6M in 5307, \$5.5M in 5337 and \$4.3M in local funds to reflect the FY16 TCP POP.	\$21,337,535	12.6%
CC-070092	Eastern Contra Costa Transit Agency (Tri Delta)	ECCTA: Transit Bus Replacements	Update the funding plan to add \$1.6M in 5307, \$420K in 5339, and \$505K in local funds and update the project description to add 5 buses to reflect FY16 TCP POP.	\$2,525,600	5.9%
CC-150014	Western Contra Costa Transit Authority (WestCAT)	WestCAT: Replace (1) 1998 40 ft Vehicle	Amend a new exempt project into the TIP with \$435K in 5307 and \$95K in other local funds to reflect the FY16 TCP POP	\$530,000	~%
CC-150015	Western Contra Costa Transit Authority (WestCAT)	WestCAT: Purchase (1) Fast Fare Electronic Farebox	Amend a new exempt project into the TIP with \$14K in 5307 and \$4K in other local funds to reflect the FY16 TCP POP.	\$17,811	~%
MRN010035	Golden Gate Bridge, Highway and Transit District	ACIS Radio Communications System	Amend an exempt project back into the TIP and update the funding plan to add \$2M in FY17 STP funds and \$259K in FY17 Local funds	\$2,259,122	10.9%
MRN150003	Marin County Transit District	MCTD: Fareboxes	Update the funding plan to add \$172K in 5307 and \$38K in local sales tax funds to reflect the FY16 TCP POP.	\$210,000	125.7%
MRN150011	Marin County Transit District	MCTD- Replace 2 Shuttle Vehicles	Amend a new exempt project into the TIP with \$200K in 5307 funds and \$44K in Sales Tax funds to reflect the FY16 TCP POP	\$244,000	~%

## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
MRN150012	Marin County Transit District	MCTD - Replace 13 -40ft Buses	Amend a new exempt project into the TIP with \$8.2M in 5307 funds and \$1.8M in Sales Tax funds to reflect the FY16 TCP POP	\$10,023,000	~%
MRN150013	Marin County Transit District	MCTD - Emergency Radio System	Amend a new exempt project into the TIP with \$285K in 5307 funds and \$63K in Sales Tax funds to reflect the FY16 TCP POP	\$348,000	~%
NAP090005	Napa County Transportation Planning Agency	NCTPA: Replace Rolling Stock	Update the funding plan to add \$4.3M in FY16 CON TDA funds	\$4,300,000	41.2%
NAP130005	Napa County Transportation Planning Agency	NapaVINE Bus Mobility Device Retrofit Project- TPI	Archive project from the TIP as it has been completed.	\$0	0.0%
REG090057	Water Emergency Transportation Authority (WETA)	WETA: Ferry Major Component Rehab/Replacement	Update funding plan to add \$5.4 million in 5337 funds, \$1.15 million in RM1 funds, and \$200K in sales tax funds to reflect the FY16 TCP POP	\$6,750,000	62.0%
REG150006	Caltrain	Caltrain Station Management Toolbox	Amend a new exempt project into the TIP with \$150K in local funds and \$600K in FTA TOD Planning Program funds	\$750,000	~%
SCL050001	Santa Clara Valley Transportation Authority (VTA)	VTA: Standard & Small Bus Replacement	Update the funding plan to add \$31.5M in 5307, \$2.8M in 5339, and \$8.6M in local funds to reflect the FY16 TCP POP.	\$42,883,723	26.0%
SCL050049	Santa Clara Valley Transportation Authority (VTA)	VTA: Rail Substation Rehab/Replacement	Update the funding plan to add \$3M in 5337 and \$750K in local funds to reflect the FY16 TCP POP.	\$3,750,000	17.1%
SCL090041	Santa Clara Valley Transportation Authority (VTA)	VTA: Photovoltaic Solar Panel Alternative Energy	Update the project description to change the scope from upgrading lighting to installing photovoltaic solar panels on the Berryessa BART Station parking structure	\$0	0.0%
SCL150003	Santa Clara Valley Transportation Authority (VTA)	Mountain View Double Track Improvements - Phase II	Archive project from the TIP as it has been completed.	\$0	0.0%
SCL150008	Santa Clara Valley Transportation Authority (VTA)	VTA Track Intrusion Abatement	Update the funding plan to add \$1.6M in 5337 and \$400K in local funds to reflect the FY16 TCP POP.	\$2,000,000	100.0%
SCL150013	Santa Clara Valley Transportation Authority (VTA)	Mountain View Double Track Improvements - Phase I	Archive project from the TIP as it has been completed.	\$0	0.0%
SF-090035	San Francisco Municipal Transport Agency (SFMTA)	SFMTA: Paratransit Vehicle Replacements	Update the funding plan to add \$1.9M in 5307 and \$487K in Local funds to reflect the FY16 TCP POP.	\$2,435,400	26.0%
SF-110053	Water Emergency Transportation Authority (WETA)	WETA: Replace Ferry Vessels	Update the funding plan to add \$16.8M in 5337 funds, \$3M in Prop 1B funds and \$1.2M in AB664 funds to reflect the FY16 TCP POP	\$21,052,000	80.4%



## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
SF-150005	San Francisco Municipal Transport Agency (SFMTA)	SFMTA - Replacement of (67) 40' Motor Coaches	Update the funding plan to add \$3.3M in 5307, \$6.4 in 5339, and \$8.2M in local funds to reflect the FY16 TCP POP and update the RTP ID to 94636.	\$17,923,437	81.9%
SF-150006	San Francisco Municipal Transport Agency (SFMTA)	SFMTA Replacement of 98 60' Motor Coaches	Update the funding plan to add \$45.4M in 5307 and \$11.4M in sales tax funds to reflect the FY16 TCP POP and update the RTP ID to 94636	\$56,772,188	160.1%
SF-150007	San Francisco Municipal Transport Agency (SFMTA)	SFMTA Farebox Replacement	Update the funding plan to add \$2.2M in 5307 and \$557K in sales tax funds to reflect the FY16 TCP POP and update the RTP ID to 94636	\$2,786,000	199.0%
SM-03006B	Caltrain	Caltrain: Systemwide Track Rehab & Related Struct.	Update the funding plan to add \$10.6M in 5337 and \$2.7M in local match funds to reflect the updated FY14 and FY15 TCP programs.	\$13,279,994	12.6%
SM-050041	Caltrain	Caltrain: Signal/Communication Rehab. & Upgrades	Update the funding plan to add \$560K in 5337 and \$140K in Local funds to reflect changes in the FY15 TCP Program	\$700,000	1.8%
SM-150008	San Mateo County Transit District (SAMTRANS)	SamTrans - Replacement of Non-Rev Vehicles	Update the funding plan to add \$297K in 5307 and \$74K in local sales tax funds to reflect the FY16 TCP POP.	\$371,000	93.0%
SM-150010	San Mateo County Transit District (SAMTRANS)	SamTrans - Replacement of Cutaway Buses	Amend a new exempt project into the TIP with \$900K in 5307 and \$198K in sales tax funds to reflect the FY16 TCP POP	\$1,098,000	~%
SM-150011	San Mateo County Transit District (SAMTRANS)	SamTrans - Purchase of Replacement Minivans	Amend a new exempt project into the TIP with \$92K in sales tax and \$418K in 5307 funds to reflect the FY16 TCP POP	\$510,000	~%
SOL070032	Solano County Transit (SolTrans)	SolTrans: Preventive Maintenance	Update the funding plan to add \$542K in 5307 and \$136K in local funds to reflect the FY16 TCP POP.	\$677,829	31.8%
SOL090034	Solano County Transit (SolTrans)	Bus Replacement (Alternative Fuel)	Update the funding plan to add \$2.4M in 5307, \$361K in 5339 and \$699K in local funds and update the project scope to add 4 buses to reflect the FY16 TCP POP.	\$3,496,746	101.1%
SON070024	Sonoma County Transit	Sonoma County Transit: Replacement Bus Purchase	Archive project from the TIP as it has been completed	\$0	0.0%
SON110003	Sonoma County Transit	Sonoma County Transit: Bus Yard Rehab.	Archive project from the TIP as it has been completed	\$0	0.0%
SON150007	Petaluma	Petaluma Transit: ADA Set-Aside	Update the funding plan to add \$84K in 5307 and \$21K in Local funds to reflect the FY16 TCP POP	\$105,356	102.0%
SON150013	Sonoma County Transit	Sonoma County Transit: Replace 2006 CNG Buses	Amend a new exempt project into the TIP with \$511K in 5307, \$187K in 5339, and \$465K in other local funds to reflect the FY16 TCP POP.	\$1,163,111	~%
SON150014	Petaluma	Petaluma Transit: Purchase (2) Fixed Route Buses	Amend a new exempt project into the TIP with \$261K in TDA4, \$1.1M in 5307, and \$118K in 5339 funds to reflect the FY16 TCP POP.	\$1,452,000	~%
SON150015	Petaluma	PetalumaTransit:Clipper Equip for FixedRoute Buses	Amend a new exempt project into the TIP with \$14K in 5307 and \$4K in TDA funds to reflect the FY16 TCP POP.	\$18,000	~%
SON150016	Petaluma	PetalumaTransit:Comm Equip for 3 Fixed Route Buses	Amend a new exempt project into the TIP with \$7K in TDA and \$28K in 5307 funds to reflect the FY16 TCP POP.	\$34,419	~%

## TIP Revision Summary 2015-24

TIP ID	Sponsor	Project Name	Description of Change	Funding Change (\$)	Funding Change (%)
VAR150001	Metropolitan Transportation Commission (MTC)	GL: FTA 5311 Rural Area FY16	Amend a new grouped listing into the TIP with \$1.6M in FY16 5311 and \$1.5M in FY16 Other Local funds	\$3,129,425	~%
Total Funding Change:				\$549,489,294	

### TIP Revision Summary

	Federal	State	Regional	Local	Total	2015 TIP Only
Current:	\$1,558,261,294	\$937,499,077	\$25,922,819	\$590,541,127	\$3,112,224,317	\$1,356,438,622
Proposed:	\$1,892,143,897	\$1,026,465,210	\$53,384,541	\$689,719,963	\$3,661,713,611	\$1,791,529,734
Delta:	\$333,882,603	\$88,966,133	\$27,461,722	\$99,178,836	\$549,489,294	\$435,091,112



Date: September 24, 2014  
W.I.: 1512  
Referred by: PAC  
Revised: 12/17/14-C 02/25/15-C 04/22/15-C  
05/27/15-C 07/22/15-C 09/23/15-C  
11/18/15-C 01/27/16-C

ABSTRACT

Resolution No. 4175, Revised

This resolution adopts the 2015 Transportation Improvement Program (TIP) for the San Francisco Bay Area.

Further discussion of the 2015 TIP adoption is contained in the Programming & Allocations Committee summary sheets dated September 10, 2014, December 10, 2014, February 11, 2015, April 8, 2015, May 13, 2015, July 8, 2015 and September 9, 2015, the Planning Committee summary sheet dated September 11, 2015, and the Programming & Allocations Committee summary sheet dated November 4, 2015 and January 13, 2016. This resolution was revised as outlined below. Additional information for each revision is included in attachment B: 'Revisions to the 2015 TIP'.

2015 TIP Revisions

Revision #	Revision Type	# of Projects	Net Funding Change (\$)	MTC Approval Date	Final Approval Date
15-01	Admin. Modification	73	\$8,615,185	12/22/2014	12/22/2014
15-02	Amendment	150	1,391,772,107	12/17/2014	2/2/2015
15-03	Admin. Modification	23	13,255,907	2/9/2015	2/9/2015
15-04	Admin. Modification	21	7,357,165	2/25/2015	2/25/2015
15-05	Admin. Modification	23	6,,232,283	4/2/2015	4/2/2015
15-06	Amendment	23	64,304,889	2/25/2015	4/7/2015
15-07	Admin. Modification	19	2,987,431	5/5/2015	5/5/2015
15-08	Admin. Modification	12	13,486,116	6/1/2015	6/1/2015
15-09	Amendment	26	116,688,953	4/22/2015	6/3/2015
15-10	Admin. Modification	18	6,538,872	7/2/2015	7/2/2015
15-11	Amendment	34	111,557,395	5/27/2015	6/29/2015

# ABSTRACT

MTC Resolution No. 4175, Revised

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Revision #	Revision Type	# of Projects	Net Funding Change (\$)	MTC Approval Date	Final Approval Date
15-12	Admin. Modification	15	\$14,932,722	7/31/2015	7/31/2015
15-13	Admin. Modification	29	6,179,978	8/28/2015	8/28/2015
15-14	Amendment	13	241,439,661	7/22/2015	8/19/2015
15-15	Admin. Modification	83	74,000	10/5/2015	10/5/2015
15-16	Admin. Modification	29	(419,091)	11/4/2015	11/4/2015
15-17	Amendment	81	11,988,189	9/23/2015	10/29/2015
15-18	Amendment	1	73,584,000	9/23/2015	10/29/2015
15-19	Admin. Modification	9	3,152,195	12/2/2015	12/2/2015
15-20	Admin. Modification	Pending	Pending	Pending	Pending
15-21	Amendment	11	76,891,473	11/18/15	Pending
15-22	Admin. Modification	Pending	Pending	Pending	Pending
15-23	Admin. Modification	Pending	Pending	Pending	Pending
15-24	Amendment	77	616,820,269	1/27/16	Pending
Net Funding Change		770	\$2,787,439,699		
Absolute Funding Change			\$2,788,277,881		

Date: September 24, 2014  
W.I.: 1512  
Referred by: PAC

Re: Adoption of the 2015 Transportation Improvement Program (TIP)

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4175

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to California Government Code Section 66500 et seq.; and

WHEREAS, MTC is the federally designated Metropolitan Planning Organization (MPO), pursuant to Section 134(d) of Title 23 of the United States Code (USC) for the nine-county San Francisco Bay Area region (the region); and

WHEREAS, Title 23 Code of Federal Regulations Part 450 (23 CFR §450) requires the region to carry out a continuing, cooperative and comprehensive transportation planning process as a condition to the receipt of federal assistance to develop and update at least every four years, a Transportation Improvement Program (TIP) consisting of a comprehensive listing of transportation projects that receive federal funds or that are subject to a federally required action, or that are regionally significant; and

WHEREAS, the TIP must be consistent with the Regional Transportation Plan (RTP) adopted pursuant to Government Code Section 66508, the State Implementation Plan (SIP) as required by the federal Clean Air Act (42 U.S.C. Section 7401 et seq.); and the San Francisco Bay Area Transportation Air Quality Conformity Protocol (MTC Resolution 3757), which establish the Air Quality Conformity Procedures for MTC's TIP and RTP; and

WHEREAS, federal regulations (23 CFR §450.324(i)) require that the TIP be financially constrained, by year, to reasonable estimates of available federal and state transportation funds; and

WHEREAS, federal regulations (23 CFR §450.316) require that the MPO develop and use a documented public participation plan that defines a process for providing citizens, affected public agencies and interested parties with reasonable opportunities to be involved in the metropolitan transportation planning process; and

WHEREAS, federal regulations (23 CFR §450.330(a)) allow MTC to move projects between years in the first four years of the TIP without a TIP amendment, if Expedited Project Selection Procedures (EPSP) are adopted to ensure such shifts are consistent with the required year by year financial constraints; and

WHEREAS, MTC, the State, and public transportation operators within the region have developed and implemented EPSP for the federal TIP as required by Federal Regulations (23 CFR 450.330(a)) and Section 134 of Title 23 United States Code (USC §134), as outlined in Attachment A of MTC Resolution No. 4175, and MTC Resolution 3606, Revised; and

WHEREAS, MTC has found in MTC Resolution No. 4176 that the 2015 TIP, as set forth in this resolution, conforms to the applicable provisions of the SIP for the San Francisco Bay Area; and

WHEREAS, the San Francisco Bay Area air basin was designated by U.S. Environmental Protection Agency as nonattainment for the fine particulate matter (PM<sub>2.5</sub>) standard in December 2009, and MTC must demonstrate conformance to this standard through an interim emissions test until a PM<sub>2.5</sub> SIP is approved by the federal Environmental Protection Agency (U.S. EPA); now, therefore be it

RESOLVED, that MTC adopts the 2015 TIP, attached hereto as Attachment A and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC has developed the 2015 TIP in cooperation with the county Congestion Management Agencies, transit operators, the Bay Area Air Quality Management District (BAAQMD), the California Department of Transportation (Caltrans), and other partner agencies and interested stakeholders, and in consultation with the Federal Highway Administration (FHWA), Federal Transit Administration (FTA) and U.S. EPA; and, be it further

RESOLVED, that the 2015 TIP was developed in accordance with the region's Public Participation Plan and consultation process (MTC Resolution No. 3821, Revised) as required by Federal Regulations (23 CFR §450.316); and, be it further

RESOLVED, that the projects and programs included in the 2015 TIP, attached hereto as Attachment A to this resolution, and incorporated herein as though set forth at length, are consistent with the RTP; and, be it further

RESOLVED, that the 2015 TIP is financially constrained, by year, to reasonable estimates of available federal, state and local transportation funds; and, be it further

RESOLVED, that MTC approves the EPSP developed by MTC, the State, and public transportation operators within the region for the federal TIP as required by federal regulations (23 CFR 450.330(a)) and Section 134 of Title 23 United States Code (USC §134), as outlined in Attachment A of MTC Resolution No. 4175, and MTC Resolution 3606, Revised; and, be it further

RESOLVED, that MTC will support, where appropriate, efforts by project sponsors to obtain letters of no prejudice or full funding agreements from FTA for projects contained in the transit element of the TIP; and, be it further

RESOLVED, that the public hearing and public participation process conducted for the 2015 TIP satisfies the public involvement requirements of the FTA annual Program of Projects; and, be it further

RESOLVED, that except as to those projects that are identified as administratively approved in Attachment A, the adoption of the TIP shall not constitute MTC's review or approval of those projects included in the TIP pursuant to Government Code Sections 66518 and 66520, or provisions in federal regulations (49 CFR Part 17) regarding Intergovernmental Review of Federal Programs; and, be it further

RESOLVED, that MTC's review of projects contained in the TIP was accomplished in accordance with procedures and guidelines set forth in the San Francisco Bay Area Transportation Air Quality Conformity Protocol (MTC Resolution 3757); and, be it further

RESOLVED, that MTC finds that the 2015 TIP conforms to the applicable provisions of the State Implementation Plan (SIP) and the applicable transportation conformity budgets in the SIP approved for the national 8-hour ozone standard and national carbon monoxide standard, and to the emissions test for the national fine particulate matter standard (MTC Resolution 4176); and, be it further

RESOLVED, that the projects and programs included in the 2015 TIP do not interfere with the timely implementation of the traffic control measures (TCMs) contained in the SIP; and, be it further

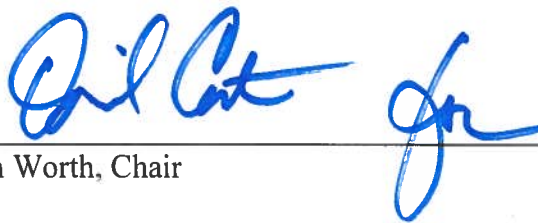
RESOLVED, that MTC finds all regionally significant capacity-increasing projects included in the 2015 TIP are consistent with Plan Bay Area (the 2040 Regional Transportation Plan including the Sustainable Communities Strategy for the San Francisco Bay Area); and, be it further

RESOLVED, that revisions to the 2015 TIP as set forth in Attachment B to this resolution and incorporated herein as though set forth at length, shall be made in accordance with rules and procedures established in the public participation plan and in MTC Resolution No. 4175, and that MTC's review of projects revised in the TIP shall be accomplished in accordance with procedures and guidelines set forth in the San Francisco Bay Area Transportation Air Quality Conformity Protocol (MTC Resolution 3757) and as otherwise adopted by MTC; and, be it further

RESOLVED, that staff have the authority to make technical corrections, and the Executive Director and Deputy Executive Directors have signature authority to approve administrative modifications for the TIP and Federal Statewide Transportation Improvement Program (FSTIP) under delegated authority by Caltrans and to forward all required TIP amendments once approved by MTC to the appropriate state and federal agencies for review and approval; and, be it further

RESOLVED, that the Executive Director shall forward a copy of this resolution to FHWA, the FTA, U.S. EPA, Caltrans, the Association of Bay Area Governments (ABAG), and to such other agencies and local officials as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION



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Amy Rein Worth, Chair

This resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on September 24, 2014.

Date: September 24, 2014  
W.I.: 1512  
Referred by: PAC  
Revised: 12/17/14-C 02/25/15-C 04/22/15-C  
05/27/15-C 07/22/15-C 09/23/15-C  
11/18/15-C 01/27/16-C

Attachment B  
Resolution No. 4175, Revised  
Page 1 of 13

## **Revisions to the 2015 TIP**

Revisions to the 2015 Transportation Improvement Program (TIP) will be included as they are approved.

**Revision 15-01** is an administrative modification that revises 73 projects with a net increase in funding of \$8.6 million. The revision was approved into the FSTIP by the deputy executive director on December 22, 2015. Among other changes, the revision:

- Updates the funding plan of MTC's Regional Planning Activities and PPM listing to reflect the programming of \$1 million in Transportation Investment Generating Economic Recovery (TIGER) funds;
- Updates the funding plans of the Regional Bicycle Sharing Program and Reconstruct I-80/San Pablo Dam Rd Interchange project to reflect the programming of \$7.7 million and \$682,000 in Alternative Transportation Program (ATP) Regional funds, respectively;
- Updates the funding plans of six Transit Capital Priority (TCP) funded projects, to reflect the FY14-15 TCP Program of Projects and the latest information on the FY13-14 Program, including the addition of \$6.5 million in FTA 5337 funds and removal of \$158,000 in FTA 5307 funds;
- Updates the funding plans of 40 Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects, including the addition of \$6.6 million in STP/CMAQ funds and the transfer of \$3 million in STP funds from the Freeway Performance Initiative (FPI) project to the Regional Arterial Operations and Signal Timing project;
- Updates the San Mateo Local Priority Development Area (PDA) Planning project to split off a portion of the project's scope and funding to three new local PDA projects in Millbrae, Redwood City, and Belmont;
- Updates the funding plans of two Highway Safety Improvement Program (HSIP) funded projects and updates the funding plan and back-up listing of the HSIP grouped listing to reflect the latest information from Caltrans, including the removal of \$3.3 million in HSIP funds and the splitting out of the scope and funding for three projects to the individually listed Concord New and Upgraded Signals at Various Locations project;
- Updates the funding plans of seven Highway Bridge Program (HBP) funded projects to reflect the latest programming information from Caltrans, including the addition of \$2.9 million in HBP funds; and

- Updates the funding plans and back-up listings of two State Highway Operations and Protection Program (SHOPP) funded grouped listings to reflect the latest information from Caltrans, including the addition of \$11.5 million in SHOPP funds.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$1 million in TIGER funds, \$8.4 million in ATP funds, \$1.2 million in HBP funds, and \$11.5 million in SHOPP funds. MTC's 2015 TIP, as revised with Revision No. 2015-01, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-02** is an amendment that revises 150 projects with a net increase in funding of approximately \$1.39 billion. The revision was referred by the Programming and Allocations Committee on December 10, 2014 and approved by the MTC Commission on December 17, 2014. Caltrans approval was received on January 9, 2015, and final federal approval was received on February 2, 2015. Among other changes, the revision:

- Amends 24 new exempt Transit Capital Priority Program (TCP) funded projects into the TIP and updates the funding plans of 55 existing TCP funded projects to reflect the adoption of the FY2014-15 TCP Program of Projects, including the programming of approximately \$379 million in Federal Transit Administration (FTA) formula funding;
- Amends two new exempt Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects into the TIP and updates the funding plans of 12 other STP/CMAQ funded projects to reflect the latest programming decisions and obligations, including the programming of Transit Performance Initiative (TPI) funds for three projects;
- Amends 14 new exempt Active Transportation Program (ATP) funded projects into the TIP and updates the funding plans of four existing projects to reflect the programming of ATP funds;
- Amends three new locally-funded exempt project, one new locally-funded non-exempt-not regionally significant project, and the preliminary engineering phase of one new locally-funded non-exempt projects into the TIP;
- Updates the project description, funding plan, and regional air quality conformity project type for Solano County's Redwood Parkway-Fairgrounds Drive Interchange Improvements project to reflect that the project has changed from a study to a non-exempt construction project;
- Updates the funding plan of the San Francisco County Transportation Authority's Van Ness Avenue Bus Rapid Transit project to increase the total cost of the project by approximately \$37 million to reflect the total cost of project implementation;
- Updates the funding plan of BART's Hayward Shop and Yard Expansion project to add approximately \$39 million in prior year Proposition 1A funding;
- Updates the funding plans of the Golden Gate Bridge Highway and Transportation District's Golden Gate Bridge Seismic Retrofit Phase 3B and Golden Gate Bridge Suicide Deterrent-Safety Barrier projects to reflect the use of advanced construction financing;

- Updates the funding plan of the San Francisco Municipal Transportation Agency's Motor Coach Expansion project to reflect the award of approximately \$9 million in funding available through the FTA's Ladders of Opportunity Initiative (LOI) grant program;
- Updates the funding plan of the Water Emergency Transportation Authority's Central Bay Operations and Maintenance Facility project to reflect the award of \$3 million in funding available through the FTA's Passenger Ferry Grant Program;
- Archives two projects as they have been completed;
- Updates the funding plans and back-up listings of nine Caltrans managed grouped listings and amends one new exempt project into the TIP to reflect the latest programming decisions, including the addition of \$343 million in State Highway Operation and Protection Program funding; and
- Updates the funding plans of eight individually-listed Highway Bridge Program (HBP) projects and one Highway Safety Improvement Program funded project and amends one HBP funded exempt project into the TIP to reflect the latest information from Caltrans.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-03** is an administrative modification that revises 23 projects with a net increase in funding of approximately \$13.3 million. The revision was approved into the FSTIP by the deputy executive director on February 9, 2015. Among other changes, the revision:

- Updates the funding plans of nine Regional Planning Activities and PPM listings to reflect the programming of \$7.35 million in Surface Transportation Program (STP) funds;
- Updates the Regional Car Sharing project to add \$220,000 in Strategic Growth Council Sustainable Communities funds for the Santa Rosa Car Share portion of the project and split out the project's scope and funding to six individual projects listings for Hayward, Oakland, Santa Rosa, San Mateo, Contra Costa County, and Marin County based on a recent programming action;
- Updates the funding plan of the Adobe Creek/Highway 101 Bicycle Pedestrian Bridge project to change the fund source of \$1.35 million from local funds to Regional Improvement Program (RIP) funds;
- Updates the funding plans of three STP/Congestion Mitigation and Air Quality Improvement Program (CMAQ) funded projects, with no net increase in programmed amounts; and
- Updates the funding plan of the Non-Motorized Transportation Pilot Program – Marin County project to add \$529,000 in Earmark-NMTPP funds to prior years and reprogram prior year funds to reconcile programming with obligation.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$220,000 in Strategic Growth Council Sustainable Communities funds. MTC's 2015 TIP, as revised with Revision No. 2015-03, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-04** is an administrative modification that revises 21 projects with a net increase in funding of approximately \$7.4 million. The revision was approved into the FSTIP by the deputy executive director on February 25, 2015. Among other changes, the revision:

- Updates the funding plans of 10 Transit Capital Priority (TCP) funded projects, with no net increase in programmed amounts;
- Updates the funding plans of four Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects, with no net increase in programmed amounts;
- Updates the funding plan and back-up listing of the Railway/Highway Crossing grouped listing to reflect the latest information from Caltrans, including the addition of \$825,000 in State STP funds;
- Updates the funding plan and back-up listing of the Local Highway Bridge Program (HBP) grouped listing to reflect the latest information from Caltrans, including the addition of \$3.3 million in HBP funds and \$424,000 in local funds; and
- Updates the funding plan and back-up listing of the Highway Safety Improvement Program (HSIP) grouped listing to reflect the latest information from Caltrans, including the addition of \$851,000 in HSIP funds and \$1.7 million in local funds.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$825,000 in State STP funds, \$3.3 million in HBP funds, and \$851,000 in HSIP funds. MTC's 2015 TIP, as revised with Revision No. 2015-04, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-05** is an administrative modification that revises 23 projects with a net increase in funding of approximately \$6.2 million. The revision was approved into the FSTIP by the deputy executive director on April 2, 2015. Among other changes the revision:

- Updates the funding plans of 10 Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects, including the addition of \$320,526 in CMAQ funds;
- Updates the funding plans of four Non-Motorized Transportation Pilot Program (NMTPP) funded projects, including the addition of \$189,682 in NMTPP funds;
- Updates the implementing agency of the US 101 Doyle Drive Replacement project from San Francisco County Transportation Authority to Caltrans, and updates the funding plan of the project with no net change in programmed amounts;
- Updates the funding plans of four Transit Capital Priority (TCP) funded projects, with the addition of \$2.8 million in 5307 funds and \$387,398 in 5339 funds; and
- Updates the funding plan of AC Transit's East Bay Bus Rapid Transit project to change the fund source of \$25.6 million in RTP-LRP funds to FTA Small Starts funds and for \$925,000 from Bridge Toll to Transportation Fund for Clean Air (TFCA) funds.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$189,682 in NMTTP funds, \$25.6 million in Small Starts funds, and \$925,000 in TFCA funds. MTC's 2015 TIP, as revised with Revision No. 2015-05, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-06** is an amendment that revises 23 projects with a net increase in funding of approximately \$64 million. The revision was referred by the Programming and Allocations Committee on February 11, 2015 and approved by the MTC Commission on February 25, 2015. Caltrans approval was received on February 27, 2015 and final federal approval was received on April 7, 2015. Among other changes, the revision:

- Updates the funding plans of three projects funded through the Non-Motorized Transportation Pilot Program (NMTTP) and amends one previously archived, exempt, NMTTP funded project back into the TIP to reflect the latest programming decisions;
- Amends five new exempt projects and one new non-exempt project into TIP with funding available through the Federal Transit Administration's (FTA) Passenger Ferry Grant Program, the Federal Highway Administration's (FHWA) Ferry Boat Program, the Surface Transportation Program (STP), the Transit Capital Priorities (TCP) Program and local programs;
- Amends two new grouped listings into the TIP and updates the funding plan and back-up listing of one existing grouped listing to reflect the latest information from Caltrans; and
- Deletes one locally funded project from the TIP as the funding has been redirected.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-07** is an administrative modification that revises 19 projects with a net increase in funding of approximately \$3 million. The revision was approved into the FSTIP by the deputy executive director on May 5, 2015. Among other changes the revision:

- Updates the funding plans of three Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects, including changing the fund source of \$10,623,591 in local funds to CMAQ funds;
- Updates the funding plan of the San Francisco Ferry Terminal/Berthing Facilities project, including changing the fund source of \$27,367,854 in RTP-LRP funds and \$200,000 in Sales Tax funds to \$24,000,000 in Proposition 1B funds, \$2,660,200 in Regional Measure 2 funds, and \$907,654 in FHWA Ferry Boat Formula funds;
- Updates the funding plan of the I-80/San Pablo Dam Rd Interchange Reconstruction project to change the fund source of \$1,318,000 in Sales Tax funds to Alternative Transportation Program (ATP) funds;
- Updates the funding plan and back-up listing of the Highway Safety Improvement Program (HSIP) grouped listing to reflect the latest information from Caltrans, including the addition of \$1.7 million in HSIP funds and the splitting out of the scope and funding

for one individually listed Contra Costa Boulevard Improvement (Beth to Harriet) project;

- Updates the funding plan and back-up listing of the Bridge Rehabilitation and Reconstruction State Highway Operations and Protection Program (SHOPP) funded grouped listing to reflect the latest information from Caltrans, including the addition of \$311,000 in SHOPP funds;
- Updates the funding plan and back-up listing of the Railroad/Highway Crossings grouped listing to reflect the latest information from Caltrans, including the addition of \$1,465,200 in State STP funds; and
- Updates the funding plans of five Highway Bridge Program (HBP) funded projects with no net change in program amounts.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$907,654 in FHWA Ferry Boat Formula funds, \$1,318,000 in ATP funds, \$1,673,377 in HSIP funds, \$311,000 in SHOPP funds, \$24 million in Proposition 1B funds, and \$1,465,200 in State STP funds. MTC's 2015 TIP, as revised with Revision No. 2015-07, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-08** is an administrative modification that revises 12 projects with a net increase in funding of approximately \$13.5 million. The revision was approved into the FSTIP by the deputy executive director on June 1, 2015. Among other changes the revision:

- Updates the funding plans of five Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects, including the addition of \$6.2 million in STP funds;
- Updates the funding plan of three Transit Capital Priority program funded projects, including the addition of \$370,520 in FTA Section 5307 funds and \$174,228 in FTA Section 5339 funds;
- Updates the funding plan and back-up listing of the Enhanced Mobility of Seniors and Persons with Disabilities Program grouped listing to reflect the latest information, including the addition of \$3.3 million in FTA Section 5310 funds; and
- Updates the funding plan and back-up listing of the Railroad/Highway Crossings grouped listing to reflect the latest information from Caltrans, including the addition of \$3.2 million in State STP funds.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$3.3 million in prior year FTA Section 5310 funds, \$3,268 in Proposition 1B funds, and \$3.2 million in State STP funds. MTC's 2015 TIP, as revised with Revision No. 2015-08, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-09** is an amendment that revises 26 projects with a net increase in funding of approximately \$117 million. The revision was referred by the Programming and Allocations

Committee on April 8, 2015, and approved by the MTC Commission on April 22, 2015. Caltrans approval was received on May 7, 2015, and final federal approval was received on June 3, 2015. Among other changes, the revision:

- Updates the funding plans of seven projects funded through the Transit Capital Priorities program, including the addition of \$6.6 million in Federal Transit Administration Section 5307 funding;
- Updates the scope of SFMTA's Additional Light Rail Vehicles to Expand Muni Rail project to include 10 additional vehicles and increase the cost of the project by \$92 million to reflect the expanded scope of the project;
- Updates the funding plans of two Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects and updates the scopes of two other STP/CMAQ funded projects to reflect the latest project changes;
- Adds one new grouped listing (GL: Lifeline Cycle 4 5307 JARC) and nine new exempt projects to the TIP; and
- Deletes the non-exempt, not regionally significant, Masonic Avenue Complete Streets project from the TIP as it is not a federal project.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-10** revises 18 projects with a net increase in funding of approximately \$6.5 million. The revision was approved into the FSTIP by the deputy executive director on July 2, 2015. Among other changes, this revision:

- Updates the funding plans of nine Active Transportation Program (ATP) funded projects, including changing the fund source of \$8.9 million in local funds to ATP funds;
- Updates the funding plan of MTC's Regional Bike Sharing Program to change the fund source of \$7.7 million in ATP and \$7 million in Congestion Mitigation and Air Quality Improvement Program (CMAQ) funds to local funds;
- Updates the Santa Clara County Local Priority Development Area (PDA Planning project to split off a portion of the project's scope and funding to a new local PDA planning project in Palo Alto;
- Updates the funding plan and back-up listings of two State Highway Operations and Protection Program (SHOPP) funded grouped listings to reflect the latest information from Caltrans, including the addition of \$6.6 million in SHOPP funds;
- Updates the funding plan and back-up listing of the FTA 5311 Rural Area funded grouped listing to reflect the latest project information, including the addition of approximately \$300,000 in FTA 5311f and \$242,000 in local funds;
- Updates the funding plan and back-up listing of the Lifeline Cycle 4 FTA 5307 JARC funded grouped listing to reflect the latest project information, including the removal of approximately \$608,000 in local funds; and
- Updates the funding plan and back-up listing of the New Freedom Small Urban Area and Rural Program funded group listing to reflect the latest information, with no net change in funding.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$6,648,000 in SHOPP funds, \$1,225,000 in ATP funds, and \$300,000 in FTA 5311f funds. MTC's 2015 TIP, as revised with Revision No. 2015-10, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-11** is an amendment that revises 34 projects with a net increase in funding of approximately \$112 million. The revision was referred by the Programming and Allocations Committee on May 13, 2015, and approved by the MTC Commission on May 27, 2015. Caltrans approval was received on June 5, 2015, and final federal approval was received on June 29, 2015. Among other changes, the revision:

- Updates the funding plans of five Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects and adds five new exempt and one new non-exempt, not regionally significant STP/CMAQ funded projects to the TIP to reflect new programming through the Transit Performance Initiative – Incentive Program and the Safe Routes to School Program;
- Updates the funding plans of seven projects and adds one exempt project to the TIP to reflect changes in the Transit Capital Priorities Program;
- Adds one new exempt project funded through the Federal Highway Administration's Integrated Corridor Management Deployment Planning Grant Program;
- Updates the funding plans and back-up listings of two State Highway Operations and Preservation Program funded grouped listings to reflect the latest programming information from Caltrans;
- Update the funding plans of three individually listed projects and the funding plan and back-up listing of one grouped listing to reflect changes in the Highway Bridge Program;
- Deletes one project from the TIP as it will not be implemented; and
- Archives three projects from the TIP as they have been completed.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-12** revises 15 projects with a net increase in funding of approximately \$15 million. The revision was approved into the FSTIP by the executive director on July 31, 2015. Among other changes, this revision:

- Updates the funding plans of two projects to reflect the programming of Active Transportation Program (ATP) funded projects;
- Updates the funding plan of the Highway Safety Improvement Program (HSIP) grouped listing to reflect the latest information from Caltrans and to transfer \$391,600 in HSIP funds to an individual listing;
- Updates the State Highway Operation and Protection Program (SHOPP) Minor Program grouped listing to reflect the latest information from Caltrans including the addition of \$8.6 million in SHOPP Minor funds;

- Updates the funding plans of three project to reflect recent changes to the State Transportation Improvement Program (STIP) including changing the fund source for \$3.7 million from STIP to Proposition 1B; and
- Updates the funding plans of two projects to reflect the programming of Federal Transit Administration 5307 funds.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$8.6 million in SHOPP Minor Program funds, \$297,000 in High Priority Project funds, \$169,185 in Value Pricing Pilot Program funds and \$3.7 million in Proposition 1B funds. MTC's 2015 TIP, as revised with Revision No. 2015-12, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-13** revises 29 projects with a net increase in funding of approximately \$6.2 million. The revision was approved into the FSTIP by the deputy executive director on August 28, 2015. Among other changes, this revision:

- Updates the funding plans of nine Surface Transportation Program/ Congestion Mitigation and Air Quality Improvement Program funded projects to reflect the latest programming decisions;
- Splits out Palo Alto's Local Priority Development Area (PDA) Planning project from the Santa Clara countywide Local PDA Planning project;
- Splits out the Value Pricing Pilot Program funded UC Berkeley Parking Price Auction Study from the Regional Planning Activities and Planning/ Programming/Monitoring (PPM) project;
- Updates the funding plan of VTA's Regional Planning Activities and PPM project to reflect the award of \$200,000 in Federal Transit Administration (FTA) Ladders of Opportunity Initiative (LOI) grant funding;
- Updates the funding plans and back-up listings of three Caltrans managed State Highway Operation and Protection Program (SHOPP) grouped listing to reflect the latest information from Caltrans including the addition of \$13.3 million in SHOPP funds and programs \$23 million in SHOPP funds to the Freeway Performance Initiative project; and
- Updates the funding plans of three Non-Motorized Transportation Pilot Program (NMTTP) funded projects to reflect the latest programming decisions.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$36 million in SHOPP funds, \$7,044 in NMTTP funds, \$200,000 in FTA LOI funds, and \$3 million in FTA transfers from a prior FTIP. MTC's 2015 TIP, as revised with Revision No. 2015-13, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-14** is an amendment that revises 13 projects with a net increase in funding of approximately \$241 million. The revision was referred by the Programming and Allocations Committee on July 8, 2015, and approved by the MTC Commission on July 22, 2015. Caltrans

approval was received on July 28, 2015, and final federal approval was received on August 19, 2015. Among other changes, the revision:

- Updates the project listing for Bay Area Rapid Transit's (BART) Station Modernization Program to expand the scope to include all stations in the system and reflect the programming of \$199 million in Proposition 1B funding and \$3.7 million in additional State Transportation Improvement Program funding;
- Updates the scope and funding of the Great Highway Restoration project to reflect changes to the second phase of the project;
- Updates the funding plans of two Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program funded projects;
- Updates the funding plans and back-up listings for three Caltrans managed grouped listings to reflect the latest programming decisions; and
- Amends a new exempt joint San Francisco County Transportation Authority and BART project into the TIP with \$508,000 in Value Pricing Pilot Program funds.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-15** revises 83 projects with a net increase in funding of \$74,000. The revision was approved into the FSTIP by the deputy executive director on October 5, 2015. Among other changes, this revision:

- Updates the funding plans of 78 Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects and one Regional Transportation Improvement Program funded project to reconcile the TIP with past and planned obligations; and
- Updates the funding plans of two projects to reflect the transfer of \$500,000 in CMAQ funds from the Sonoma Marin Area Rail Corridor project to the Clipper Fare Collection System program.

MTC's 2015 TIP, as revised with Revision No. 2015-15, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP and the TIP remains financially constrained by year.

**Revision 15-16** revises 29 projects with a net decrease in funding of \$419,091. The revision was approved into the FSTIP by the deputy executive director on November 4, 2015. Among other changes, this revision:

- Updates the funding plans of five Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects to reflect planned obligations;
- Updates the funding plans of two San Francisco Department of Public Works projects to reflect the transfer of \$957,200 in High Priority Project funds from the Southeast Waterfront Transportation Improvements Project to the Bayview Transportation Improvement Project;

- Updates the funding plan and back-up listing of the State Highway Operations and Protection Program (SHOPP) funded Emergency Response grouped listing; and
- Updates the funding plans of 20 Transit Capital Priorities funded projects to reflect changes in the Fiscal Year 2015 Program of Projects.

MTC's 2015 TIP, as revised with Revision No. 2015-16, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP and the TIP remains financially constrained by year.

**Revision 15-17** is an amendment that revises 81 projects with a net increase in funding of approximately \$12 million. The revision was referred by the Programming and Allocations Committee on September 9, 2015, and approved by the MTC Commission on September 23, 2015. Caltrans approval was received on October 5, 2015, and final federal approval was received on October 29, 2015. Among other changes, the revision:

- Archives 72 projects as they have been completed or all of the funding for the project has been obligated and deletes one project that will not move forward;
- Updates the scope and funding of the City of Napa's California Blvd. Roundabouts project to add a third roundabout and \$5.5 million in State Highway Operations and Protection Program (SHOPP) funds;
- Amends the preliminary engineering phase of the Marin County Transit District's (MCTD) Relocate Transit Maintenance Facility project into the TIP;
- Amends Bay Area Rapid Transit's exempt Ladders of Opportunity - Careers in Transit project into the TIP with \$750,000 in Federal Transit Administration Ladders of Opportunity Initiative funding; and
- Update the funding plans and back-up listings for the Caltrans managed SHOPP – Collision Reduction grouped listing to reflect the latest programming decisions.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-18** adds one new non-exempt project, the Richmond-San Rafael Bridge Access Improvements project, to the 2015 TIP with a net increase in funding of approximately \$74 million. The revision was referred by the Planning Committee on September 11, 2015, and approved by the MTC Commission on September 23, 2015. Caltrans approval was received on October 5, 2015, and final federal approval was received on October 29, 2015. The addition of the funding for this project does not conflict with the financial constraint requirements of the TIP. The addition of the scope of this project to the 2015 TIP requires a new Transportation-Air Quality Conformity Analysis on Plan Bay Area and the 2015 TIP.

**Revision 15-19** revises 9 projects with a net increase in funding of approximately \$3 million. The revision was approved into the FSTIP by the deputy executive director on December 2, 2015. Among other changes, this revision:

- Updates the funding plan of four Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects to reflect planned obligations;
- Updates the funding plan of Marin Transit's Replace 3 Stagecoach Vehicles project to reflect the award of \$138,800 in Federal Transit Administration (FTA) Section 5339 Discretionary funding; and
- Updates the funding plans of two Highway Bridge Program funded projects to reflect the latest information from Caltrans.

The administrative modification is financially constrained by year and MTC relies on the State's programming capacity in the amount of \$138,300 in FTA Section 5339 Discretionary funding and \$104,415 in Proposition 1B funding. MTC's 2015 TIP, as revised with Revision No. 2015-19, remains in conformity with the applicable State Implementation Plan (SIP) for air quality and the revision does not interfere with the timely implementation of the Transportation Control Measures contained in the SIP.

**Revision 15-20** is a pending administrative modification.

**Revision 15-21** is an amendment that revises 11 projects with a net increase in funding of approximately \$77 million. The revision was referred by the Programming and Allocations Committee on November 4, 2015, and approved by the MTC Commission on November 18, 2015. Caltrans approval was received on December 4, 2015, and final federal approval is expected in mid-January, 2016. Among other changes, the revision:

- Updates the scopes of three Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded projects and revises the funding plans of two other STP/CMAQ funded projects to reflect the transfer of funding from Vacaville's Ulatis Creek Bicycle and Pedestrian Path & Streetscape project to Vallejo's Downtown Streetscape project;
- Updates the funding plan and back-up listing for the State Highway Operations and Protection Program (SHOPP) Bridge Rehabilitation and Reconstruction Program grouped listing to reflect the latest information from Caltrans, including the addition of \$13.9 million in SHOPP funding;
- Updates the funding plan of the Sonoma Marin Area Rail Corridor project to add \$20 million in Regional Measure 2 funding and to update the total cost of the project;
- Amend Bay Area Rapid Transit's Transit-Oriented Development (TOD) Pilot Planning Program into the TIP with \$1.1 million in Federal Transit Administration TOD Planning Pilot Program funds; and
- Archive one project as it has been completed.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.

**Revision 15-22** is a pending administrative modification.

**Revision 15-23** is a pending administrative modification.

**Revision 15-24** is an amendment that revises 77 projects with a net increase in funding of approximately \$617 million. The revision was referred by the Programming and Allocations Committee on January 13, 2016, and approved by the MTC Commission on January 27, 2016. Caltrans approval is expected in mid-February, 2016, and final federal approval is expected in mid-March, 2016. Among other changes, the revision:

- Amends 21 new projects into the TIP and revises the funding plans of 24 other projects to reflect the adoption of the Fiscal Year 2016 Transit Capital Priorities (TCP) Programs of Projects (POPs), partial TCP POPs for FY2017 and FY2018, and changes to the Fiscal Years 2014 and 2015 TCP POPs;
- Updates the funding plan and back-up listing of the Highway Bridge Program (HBP) grouped listing and updates the funding plans of eight individually-listed, HBP funded projects to reflect the latest programming information from Caltrans;
- Updates the funding plan and back-up listing of the Highway Safety Improvement Program (HSIP) and amends two new non-exempt, not regionally significant, HSIP funded projects into the TIP based on the latest programming information from Caltrans;
- Updates the funding plan and back-up listing of two State Highway Operations and Protection Program funded grouped listings based on the latest information from Caltrans;
- Amends a previously archived Surface Transportation Program/Congestion Mitigation and Air Quality Improvement Program (STP/CMAQ) funded project back into the TIP and revises the funding plans of that project and two other STP/CMAQ funded projects;
- Amends one Federal Transit Administration (FTA) Transit Oriented Development Planning Program funded project, two new locally funded projects, and one FTA Section 5311 Rural Area Formula Program funded grouped listing into the TIP;
- Deletes one project as it will not continue as a federal project; and
- Archives six projects as they have been completed.

Changes made with this revision do not affect the air quality conformity finding or conflict with the financial constraint requirements.



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1141      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/15/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4187, Revised. Transportation Development Act (TDA) allocation to Santa Rosa for transit operations.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6g Reso-4187 TDA Allocation](#)  
[2i Reso-4187 TDA Allocation.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4187, Revised. Transportation Development Act (TDA) allocation to Santa Rosa for transit operations.

**Presenter:**

Cheryl Chi

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6g

## Resolution No. 4187, Revised

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**Subject:** Transportation Development Act (TDA) allocation to Santa Rosa for transit operations.

**Background:** This month's proposed action concludes the annual transit operating fund allocation process for FY2015-16. Santa Rosa requests \$6.4 million in FY2015-16 Transportation Development Act (TDA) funds to support their transit operations. The allocation request is consistent with the adopted MTC Fund Estimate (Resolution 4177, Revised). Allocation requests that are less than \$1 million will be approved separately through the Executive Director's Delegated Authority process.

Santa Rosa's transit budget is increasing 9% from \$11.6 million to \$12.6 million. The two primary reasons for the cost increase are:

1. Increase in the cost of labor and fringe benefits of \$512,000 which accounts for 51% of the budget increase; and
2. \$423,000 increase in the fuel and lubricant budget which is a conservative response to the recent low prices in fuel and comprises 43% of the budget increase.

Santa Rosa is projecting a 3% decrease in revenue vehicle hours. There is no change anticipated in fixed route service; however, Santa Rosa expects a 16% decrease in paratransit service hours as a result of changes to the paratransit service parameters to improve service delivery utilizing existing resources. This effort was a Productivity Improvement Program (PIP) project of the City. PIP projects to improve efficiency and effectiveness of transit service are required in order to receive TDA and State Transit Assistance funds.

Santa Rosa will adopt its Comprehensive Operational Analysis (COA) in the next few months and hopes to implement any resulting short-term recommendations next fiscal year. The COA is another of the City's PIP projects.

**Issues:** Santa Rosa continues to follow the unenviable trend identified in our transit sustainability analysis: operating costs are growing much faster than hours of service provided. As the economy recovers, many agencies have approved salary increases. These costs coupled with the increasing cost to maintain benefits at existing levels are some of the reasons why operating costs are increasing at a faster rate in comparison to service expansion.

**Recommendation:** Refer MTC Resolution No. 4187, Revised to the Commission for approval.

**Attachments:** MTC Resolution No. 4187, Revised

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Date: June 24, 2015  
Referred by: PAC  
Revised: 07/22/15-C 08/26/15-DA  
09/23/15-C 10/28/15-C  
11/18/15-C 12/16/15-C  
01/27/15-C

### ABSTRACT

#### Resolution No. 4187, Revised

This resolution approves the allocation of fiscal year 2015-16 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to AC Transit, County Connection (CCCTA), LAVTA, NCTPA, Tri Delta Transit (ECCTA), Petaluma, and WestCAT.

This resolution was revised on July 22, 2015 to allocate funds to Napa County Transportation and Planning Agency (NCTPA), San Francisco Municipal Transportation Agency (SFMTA), Solano County Transit (SolTrans), Sonoma County Transit, Tri Delta Transit (ECCTA), and Santa Clara Valley Transportation Authority (VTA).

This resolution was revised on August 26, 2015 to both allocate and rescind funds from County Connection (CCCTA).

This resolution was revised on September 23, 2015 to allocate funds to Fairfield and Suisun Transit (FAST).

This resolution was revised on October 28, 2015 to allocate funds to San Mateo County Transit District (SamTrans), and Union City.

This resolution was revised on November 18, 2015 to allocate funds to the City of Petaluma.

This resolution was revised on December 16, 2015 to allocate funds to Golden Gate Bridge, Highway, and Transportation District (GGBHTD).

This resolution was revised on January 27, 2016 to allocate funds to Santa Rosa.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 10, 2015, July 8, 2015, September 9, 2015, October 14, 2015, November 4, 2015, December 9, 2015, and January 13, 2016.

Date: June 24, 2015  
Referred by: PAC

Re: Allocation of Fiscal Year 2015-16 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4187

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2015-16 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2015-16 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2015-16 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



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Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on June 24, 2015.

Date: June 25, 2014  
 Referred by: PAC  
 Revised: 07/22/15-C 08/26/15-DA  
 09/23/15-C 10/28/15-C  
 11/18/15-C 12/16/15-C  
 01/27/16-C

Attachment A  
 MTC Resolution No. 4187  
 Page 1 of 2

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2015-16

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area/Footnotes	Note
<b>5801 - 99233.7, 99275 Community Transit Service - Operations</b>						
SamTrans	Transit Operating	1,771,554	23	10/28/15	San Mateo County	
	<b>Subtotal</b>	<b>1,771,554</b>				
<b>5802 - 99260A Transit - Operations</b>						
LAVTA	Transit Operating	9,476,888	01	06/24/15	LAVTA	
CCCTA	Transit Operating	17,978,531	02	06/24/15	CCCTA	
WCCTA	Transit Operating	2,474,911	03	06/24/15	WCCTA	
AC Transit	Transit Operating	42,419,679	04	06/24/15	AC Transit Alameda D1	
AC Transit	Transit Operating	11,315,000	05	06/24/15	AC Transit Alameda D2	
AC Transit	Transit Operating	6,254,093	06	06/24/15	AC Transit Contra Costa	
AC Transit	Transit Operating	3,161,732	07	06/24/15	Alameda County	1
ECCTA	Transit Operating	9,729,397	08	07/22/15	ECCTA	
SFMTA	Transit Operating	43,280,753	10	07/22/15	SFMTA	
SFMTA	Transit Operating	2,278,290	11	07/22/15	San Francisco County	1
VTA	Transit Operating	91,430,754	12	07/22/15	VTA	
VTA	Transit Operating	4,812,145	13	07/22/15	Santa Clara County	1
NCTPA	Transit Operating	4,452,969	14	07/22/15	NCTPA	
SolTrans	Transit Operating	3,896,195	15	07/22/15	Vallejo/Benicia	
Sonoma County	Transit Operating	7,188,143	16	07/22/15	Sonoma County	
Sonoma County	Transit Operating	216,445	16	07/22/15	Petaluma	
CCCTA	Transit Operating	(568,378)	02	08/26/15-DA	CCCTA	
FAST	Transit Operating	1,425,348	21	09/23/15	Fairfield	
FAST	Transit Operating	923,878	21	09/23/15	Suisun City	
SamTrans	Transit Operating	32,212,723	24	10/28/15	SamTrans	
Union City	Transit Operating	2,416,816	25	10/28/15	Union City	
Petaluma	Transit Operating	1,243,007	26	11/18/15	Petaluma	
GGBHTD	Transit Operating	12,381,914	27	12/16/15	GGBHTD (Marin)	
GGBHTD	Transit Operating	5,430,108	28	12/16/15	GGBHTD (Sonoma)	
Santa Rosa	Transit Operating	6,400,170	29	01/27/16	Santa Rosa	
	<b>Subtotal</b>	<b>322,231,511</b>				

**5803 - 99260A Transit - Capital**

CCCTA	Transit Capital	1,304,000	09	06/24/15	CCCTA
SolTrans	Transit Capital	1,841,204	17	07/22/15	Vallejo/Benicia
NCTPA	Transit Capital	4,054,800	18	07/22/15	NCTPA
CCCTA	Transit Capital	790,000	09	08/26/15-DA	CCCTA
	<b>Subtotal</b>	<b>7,990,004</b>			

**5807 - 99400C General Public - Operating**

Sonoma County	Transit Operating	1,252,084	19	07/22/15	Sonoma County
Sonoma County	Transit Operating	43,289	19	07/22/15	Petaluma
Fairfield	Transit Operating	1,244,880	22	09/23/15	Fairfield
	<b>Subtotal</b>	<b>2,540,253</b>			

**5812 - 99400D Planning & Admin - Operating**

NCTPA	Planning and Administration	1,822,850	20	07/22/15	NCTPA
	<b>Subtotal</b>	<b>1,822,850</b>			

**TOTAL 336,356,172**

**Note:**

(1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.

Date: June 24, 2015  
Referred by: PAC  
Revised: 07/22/15-C

Attachment B  
Resolution No. 4187  
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2015-16  
TRANSPORTATION DEVELOPMENT ACT  
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8  
FUNDS TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

**Transportation Development Act Article 4 Funds**

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California. Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

6. As allowed by to Public Utilities Code § 99268.12, the farebox recovery for TDA 4 service operated by Napa County Transportation and Planning Agency (NCTPA), the farebox is set at 15% commencing with FY2015-16. NCTPA qualifies for a reduced farebox due its high proportion of riders that receive a discount fare.

### **Transportation Development Act Article 4.5 Funds**

#### **Public Utilities Code § 99275**

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

**Transportation Development Act Article 8 Transit Funds**

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1142      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 12/15/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4216. FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 6h Reso-4216 Rural Area Program](#)  
[2c Reso-4216 Rural Area Program.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

**Subject:**

MTC Resolution No. 4216. FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17.

**Presenter:**

Glen Tepke

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #6h

## MTC Resolution No. 4216

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**Subject:** FTA Section 5311 Rural Area Program of Projects for FY2015-16 and FY2016-17

**Background:** The Federal Transit Administration (FTA) Rural Area Formula Program (Section 5311) makes funding available to each state for public transportation projects in rural areas.

MTC annually develops a regional Program of Projects for submittal to Caltrans. Caltrans then submits a statewide program to FTA for approval. MTC's objective is to program capital and operating funds to maintain needed transit services in the rural areas of the MTC region.

### **Funding availability**

Caltrans has instructed MTC to prepare the FY2015-16 regional program of projects under the assumption that the amount of funds available in FY2015-16 will be equal to the amount of funds available in FY2014-15. Furthermore, in order to prepare a two-year program, MTC assumed that funding will remain level in FY2016-17. The estimated amount of Rural Area funds available for the MTC region is approximately \$1.6 million in each fiscal year, or \$3.2 million over the two-year period.

These estimates are for programming purposes only, and were developed prior to the enactment of the FAST Act federal reauthorization, which increased Section 5311 authorizations by approximately two percent in FY2015-16 and another two percent in FY2016-17. The increased authorizations are expected to result in increased Section 5311 funds for the region. Should the actual regional apportionments be higher (or lower) than the amount estimated to be available, project sponsors will be notified and adjustments may be made in order to financially constrain the program.

### **Programming Process**

MTC Resolution No. 4036, the FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria, states that the region's Section 5311 funds will be distributed to transit operators using a formula system based on rural area population and rural area route miles.

Consistent with the Funding Objectives and Criteria, MTC requires recipients to give the highest priority to the replacement of capital equipment. If recipients request funds for operations, they are required to submit documentation explaining why the funds are not needed for capital replacement. Furthermore, if an operator does not want to participate in the Section 5311 program (e.g., if the operator's 5311 share is so small that the administrative effort required to apply for and report on the funds outweighs the benefits to the operator), then they are able to opt out of the program.

Per the request of the Solano Transportation Authority (STA), MTC provides a target programming amount for all of Solano County, and STA works with the Solano County transit operators to determine individual shares.

**Recommended Program of Projects**

MTC conducted a call for projects in November 2015. Some eligible operators did elect to opt out. Most operators who applied for funds are requesting operating rather than capital support, and submitted the required justification.

The following table lists the amounts recommended for Commission adoption for the two-year program of projects:

Sponsoring Agency	Project Title	Purpose	5311 Amount (\$)		
			FY16	FY17	Two-Year Total
LAVTA	Routes 2, 11, 12, 20	Operating	43,683	43,683	87,366
Marin Transit	West Marin Stagecoach	Operating	210,793	210,793	421,586
NCTPA	Northern Napa Co.	Operating	211,771	211,771	423,542
SamTrans	Coastside, Rt. 17	Operating	156,796	156,796	313,592
Solano County					
Dixon	Readi-Ride	Operating	204,090	240,590	444,680
Fairfield	Route 30	Operating	100,000	100,000	200,000
Rio Vista	Delta Breeze	Operating	105,000	68,500	173,500
Sonoma County Transit	Vehicle Replacements	Capital	485,124	485,124	970,248
VTA	Route 68	Operating	80,450	80,450	160,900
Total			1,597,707	1,597,707	3,195,414

**Issues:** None.

**Recommendation:** Refer MTC Resolution No. 4216 to the Commission for approval.

**Attachments:** MTC Resolution No. 4216

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4216

This resolution adopts the FY2015-16 and FY2016-17 Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area.

The resolution includes the following attachment:

Attachment A - FTA Section 5311 Rural Area Formula Program for FY2015-16 and  
FY2016-17

Further discussion of this action is contained in the MTC Programming and Allocations Committee Summary Sheet dated January 13, 2016.

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

Re: Program of Projects in the San Francisco Bay Area for the FY2015-16 and FY2016-17  
Federal Transit Administration (FTA) Rural Area Formula (Section 5311) Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4216

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code sections 66500 et. seq.; and

WHEREAS, MTC is the designated metropolitan planning organization (MPO) for the nine-county San Francisco Bay Area; and

WHEREAS, the U.S. Department of Transportation (DOT) has adopted rules and regulations (23 CFR 450 and CFR 613) which require that the MPO, in cooperation with the state and publicly-owned operators of mass transportation services, carry on a continuing, cooperative and comprehensive transportation planning process that results in plans and programs consistent with the comprehensively planned development of the urbanized area, as a condition to the receipt of federal capital or operating assistance; and

WHEREAS, Section 5311 Title 49 of the United States Code (formerly Section 18 of the Federal Transit Act) provides for a Federal Transit Administration (FTA) formula grant program for public transportation projects in areas other than urbanized areas (49 U.S.C. Section 5311); and

WHEREAS, MTC has adopted Resolution No. 4036, which sets forth MTC's FTA Section 5311 Rural Area Formula Program Funding Objectives and Criteria for the San Francisco Bay Area; and

WHEREAS, MTC has developed, in consultation with interested transportation providers and in accordance with the MTC's Section 5311 Funding Objectives and Criteria, a FY2015-16

and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects for the San Francisco Bay Area, attached hereto as Attachment A, and incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2015-16 and FY2016-17 FTA Rural Area Formula (Section 5311) Program of Projects as listed on Attachment A; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to modify the FY2015-16 and FY2016-17 Program of Projects as listed on Attachment A to match the actual FTA Rural Area Formula fund appropriation if needed; and, be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to Caltrans, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 27, 2016.

**Federal Transit Administration**  
**Section 5311 Rural Area Formula Program**  
**FY2015-16 and FY2016-17**

**FY2015-16 Funding Available:**

Estimated Apportionments	\$ 1,597,707
Prior Year Carryover:	\$ -
<b>Total Funding Available:</b>	<b>\$ 1,597,707</b>

**FY 2015-16 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 204,090	\$ 381,206	\$ 585,296
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 229,003	\$ 329,003
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 43,683	\$ 35,267	\$ 78,950
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 210,793	\$ 170,181	\$ 380,974
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 211,771	\$ 170,971	\$ 382,742
Rio Vista	Operating Assistance (Delta Breeze)	\$ 105,000	\$ 290,700	\$ 395,700
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 156,796	\$ 126,587	\$ 283,383
Sonoma County Transit	Vehicle Replacements	\$ 485,124	\$ 62,853	\$ 547,977
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 80,450</u>	<u>\$ 64,950</u>	<u>\$ 145,400</u>
Total Programming		\$ 1,597,707	\$ 1,531,718	\$ 3,129,425
Total Available		<u>\$ 1,597,707</u>		
Available for Carryover		\$ -		

**FY2016-17 Funding Available:**

Estimated Apportionments:	\$ 1,597,707
Prior Year Carryover:	\$ -
<b>Total Funding Available:</b>	<b>\$ 1,597,707</b>

**FY 2016-17 Programming:**

<u>Applicant</u>	<u>Project Description</u>	<u>Sect. 5311 Program</u>	<u>Local Match</u>	<u>Total Project Cost</u>
Dixon	Operating Assistance (Readi-Ride)	\$ 240,590	\$ 344,706	\$ 585,296
Fairfield	Operating Assistance (Rt. 30)	\$ 100,000	\$ 235,874	\$ 335,874
LAVTA	Operating Assistance (Rts. 2, 11, 12, 20)	\$ 43,683	\$ 35,267	\$ 78,950
Marin Transit	Operating Assistance (West Marin Stagecoach)	\$ 210,793	\$ 170,181	\$ 380,974
NCTPA	Operating Assistance (Northern Napa Co.)	\$ 211,771	\$ 170,971	\$ 382,742
Rio Vista	Operating Assistance (Delta Breeze)	\$ 68,500	\$ 152,803	\$ 221,303
SamTrans	Operating Assistance (Coastside, Rt. 17)	\$ 156,796	\$ 126,587	\$ 283,383
Sonoma County Transit	Vehicle Replacements	\$ 485,124	\$ 62,853	\$ 547,977
<u>VTA</u>	<u>Operating Assistance (Rt. 68)</u>	<u>\$ 80,450</u>	<u>\$ 64,950</u>	<u>\$ 145,400</u>
Total Programming		\$ 1,597,707	\$ 1,364,192	\$ 2,961,899
Total Available		<u>\$ 1,597,707</u>		
Available for Carryover		\$ -		



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1255      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 1/21/2016      **In control:** Metropolitan Transportation Commission  
**On agenda:** 1/27/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4181, Revised - FY 2015-16 MTC Agency Budget Amendment.

Amendment to MTC agency budget for FY 2015-16 to convert 25 temporary project positions to new regular positions as a result of recent CalPERS audit.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission\\_7a-Reso-4181\\_MemoandAttachments.](#)

Date	Ver.	Action By	Action	Result
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MTC Resolution No. 4181, Revised - FY 2015-16 MTC Agency Budget Amendment.

Amendment to MTC agency budget for FY 2015-16 to convert 25 temporary project positions to new regular positions as a result of recent CalPERS audit.

Commission Approval



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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Agenda Item 7a

## *Memorandum*

TO: Commission

DATE: January 20, 2016

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4181, Revised - FY 2015-16 MTC Agency Budget Amendment

This memorandum provides additional information on the staff recommendation to convert 25 current contract-based agency positions to regular full time MTC employee positions, as requested by the Administration Committee. The additional information includes:

- Comparison of current staffing agency costs and estimated MTC employment costs (Attachment A)
- Five year projection of MTC employment costs, including OPEB impacts
- Information on the positions recommended for conversion and the programs they support
- Copy of the proposed organization chart (Attachment B)
- Copy of the CalPERS audit findings (Attachment C)

As a reminder, the CalPERS audit concluded that staff retained through the staffing agency Local Government Services (LGS) were actually “common law” employees under the direction and control of MTC. As such, CalPERS may require MTC to report and pay all CalPERS retirement benefit funds through the MTC payroll despite the fact that the individuals provided by LGS are currently provided CalPERS retirement benefits and the agency itself is a contracting member of CalPERS. To avoid the potential of double retirement payments and after careful evaluation of MTC’s on-going operational needs, staff is recommending that 25 of the current 42 staff positions be converted to regular MTC employee positions and the contracts for the 17 other LGS positions be terminated at the end of the fiscal year. Attachment C is a copy of the CalPERS audit; finding #4 refers to the CalPERS membership issue.

### Staffing Agency Costs

The FY 2015-16 budget includes \$5.4 million for positions supplied by staffing agencies. A total of \$3,641,000 covers the cost of the 25 staff positions recommended for conversion. Based on our budget protocols, which is to budget all positions at top of range for salary and salary based benefits, we estimate a post-conversion cost of \$3,604,000 producing a slight cost savings after conversion. Attachment A compares the proposed salary and costs to the staffing costs for each of the 25 positions.

Staff believes this neutral cost to modest savings will continue over the next few years for the following reasons:

- The MTC budget estimates costs at the top of range and most employees are actually below the top of the range.
- The billing rates by the contract agencies have increased faster than our budget estimates and forecast. Billing rates have increased 35% in the past five years with the lowest year being 3%. Our budget and expense forecast assumes 2.5% annual growth.

The modest savings is the result of eliminating the administrative fee assessed by the staffing agency to provide the service.

A comparison of MTC employee budget and staffing agency costs using the 2.5% budget model and a 3% minimum growth rate annually is shown in Table 1 below:

Table 1	MTC Cost	Staffing Agency Cost	Difference
Annual Growth Rate	2.5%	3.0%	
FY 2015-16	\$3,604,000	\$3,641,000	\$37,000
FY 2016-17 (Est.)	\$3,695,000	\$3,750,000	\$55,000
FY 2017-18 (Est.)	\$3,787,000	\$3,863,000	\$76,000
FY 2018-19 (Est.)	\$3,882,000	\$3,979,000	\$97,000
FY 2019-20 (Est.)	\$3,979,000	\$4,097,000	\$118,000
FY 2020-21 (Est.)	\$4,079,000	\$4,221,000	\$142,000

#### Future PERS/OPEB Cost Considerations

MTC and LGS generally have similar benefit structures for their current employees but differ in post-employment considerations. That said, no significant change is expected in MTC's PERS rate or level of unfunded liability going forward with this proposed conversion of staff positions. A primary reason that no change is expected is that 21 of the 25 staff currently in these positions are covered by the new PERS retirement plan (PEPRA) so they have not had enough time in the PERS system to accumulate an unfunded liability.

The other post-employment benefits (OPEB) obligation is another consideration.


- Annual OPEB costs – MTC's current OPEB costs average \$11,690 per employee annually. Based on this average, MTC's annual contribution to the OPEB trust will increase by about 10% from roughly \$3.1 million to \$3.4 million. The costs identified in Table 1 above include the OPEB costs.
- Actuarial accrued liability (AAL) – In the long term, this translates into an increase in the AAL of \$3.5 million, or 12%. We estimate that the annual contribution included in the costs above should be adequate to cover the increase in the AAL.
- OPEB trust balance – Lastly, MTC has approximately \$22 million in the OPEB trust to cover a 2015 current retiree medical cost of \$744,000 (3.4%). Thus, the addition of the \$3.5 million to the AAL should not materially affect our ability to meet post-employment obligations.

Positions and MTC On-going Programs

Attachment B shows the proposed revision to the MTC organization chart. In broad strokes, the positions support the following:

- Policy Initiatives (5 positions): Increased and on-going work in the areas of public outreach, performance measures, Vital Signs, GIS, database and asset management.
- Operational Projects (5 positions): Increased and on-going work in the areas of FasTrak®, Clipper®, and BATA network engineering.
- Business Operations Support (10 positions): Expanded and on-going regional initiatives resulting in commensurate need for support in the areas of finance, human resources, contract management, and technical services.
- Other Partner Agency Collaboration (5 positions): Staffing to support the Bay Area Regional Collaborative; shared services for 375 Beale Street, and the traffic management system operations center.

Staff recommends approval of MTC Resolution No. 4181, Revised.



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Steve Heminger

Attachment A

Position/Title	PERS CLASSIC		PERS PEPRA		OTHER Benefits	Total BENEFITS	TOTAL SALARIES & Benefits	Staffing Agency Budget	Difference
	SALARIES	19.721%	17.449%	OPEB					
1 Contract Admin Assistant	86,994		15,180	11,690	16,627	43,497	130,491	131,535	(1,044)
2 Human Resource Analyst	100,305		17,502	11,690	20,960	50,153	150,458	136,620	13,838
3 Human Resource Assistant	78,865		13,761	11,690	13,981	39,433	118,298	126,261	(7,964)
4 Contract Specialist*	86,994		15,180	11,690	16,627	43,497	130,491	154,702	(24,211)
5 Meeting Coordinator/Beale*	78,865		13,761	11,690	13,981	39,433	118,298	125,432	(7,135)
6 Receptionist- Beale	65,179		11,373	11,690	9,526	32,590	97,769	106,198	(8,430)
7 BATA Network Engineer	115,644		20,179	11,690	25,953	57,822	173,466	202,738	(29,272)
8 BATA System Support	115,644	22,806		11,690	23,326	57,822	173,466	160,056	13,410
9 Program Coordinator, FasTrak Cust Srv	100,305		17,502	11,690	20,960	50,153	150,458	135,000	15,458
10 BARC Advisor Director	160,393		27,987	11,690	40,520	80,197	240,590	242,136	(1,547)
11 Financial Analyst	100,305		17,502	11,690	20,960	50,153	150,458	138,382	12,076
12 Revenue Auditor	86,994	17,156		11,690	14,651	43,497	130,491	123,881	6,610
13 Revenue Auditor	86,994	17,156		11,690	14,651	43,497	130,491	135,902	(5,411)
14 Assistant Public Information Officer	86,994		15,180	11,690	16,627	43,497	130,491	133,391	(2,900)
15 Traffic Management Systems Operations Coordinator*	115,644		20,179	11,690	25,953	57,822	173,466	156,960	16,506
16 SAFE Program Coordinator*	86,994		15,180	11,690	16,627	43,497	130,491	136,544	(6,053)
17 Administrative Support - Planning	26,288		4,587	11,690	(3,133)	13,144	39,433	-	39,433
18 Performance Measures - Planner	86,994		15,180	11,690	16,627	43,497	130,491	141,788	(11,297)
19 GIS Planner Analyst	115,644	22,806		11,690	23,326	57,822	173,466	160,167	13,299
20 SQL Programmer*	86,994		15,180	11,690	16,627	43,497	130,491	136,612	(6,121)
21 Desktop Support	100,305		17,502	11,690	20,960	50,153	150,458	150,478	(21)
22 Human Resource and Payroll Analyst Systems Maint	115,644		20,179	11,690	25,953	57,822	173,466	169,545	3,921
23 Technical Support	86,994		15,180	11,690	16,627	43,497	130,491	141,782	(11,291)
24 Fund Management System Database Developer	115,644		20,179	11,690	25,953	57,822	173,466	192,067	(18,601)
25 Network Engineer	115,644		20,179	11,690	25,953	57,822	173,466	202,800	(29,334)
	<b>2,403,264</b>	<b>79,924</b>	<b>348,629</b>	<b>292,250</b>	<b>480,829</b>	<b>1,201,632</b>	<b>3,604,897</b>	<b>3,640,977</b>	<b>(36,081)</b>

\* Fulltime Equivalents

MTC	1,725,235	1,713,055	12,180
BATA	1,212,786	1,184,454	28,332
SAFE	130,491	136,544	(6,053)
BAHA	536,384	606,924	(70,540)
	<b>3,604,897</b>	<b>3,640,977</b>	<b>(36,081)</b>

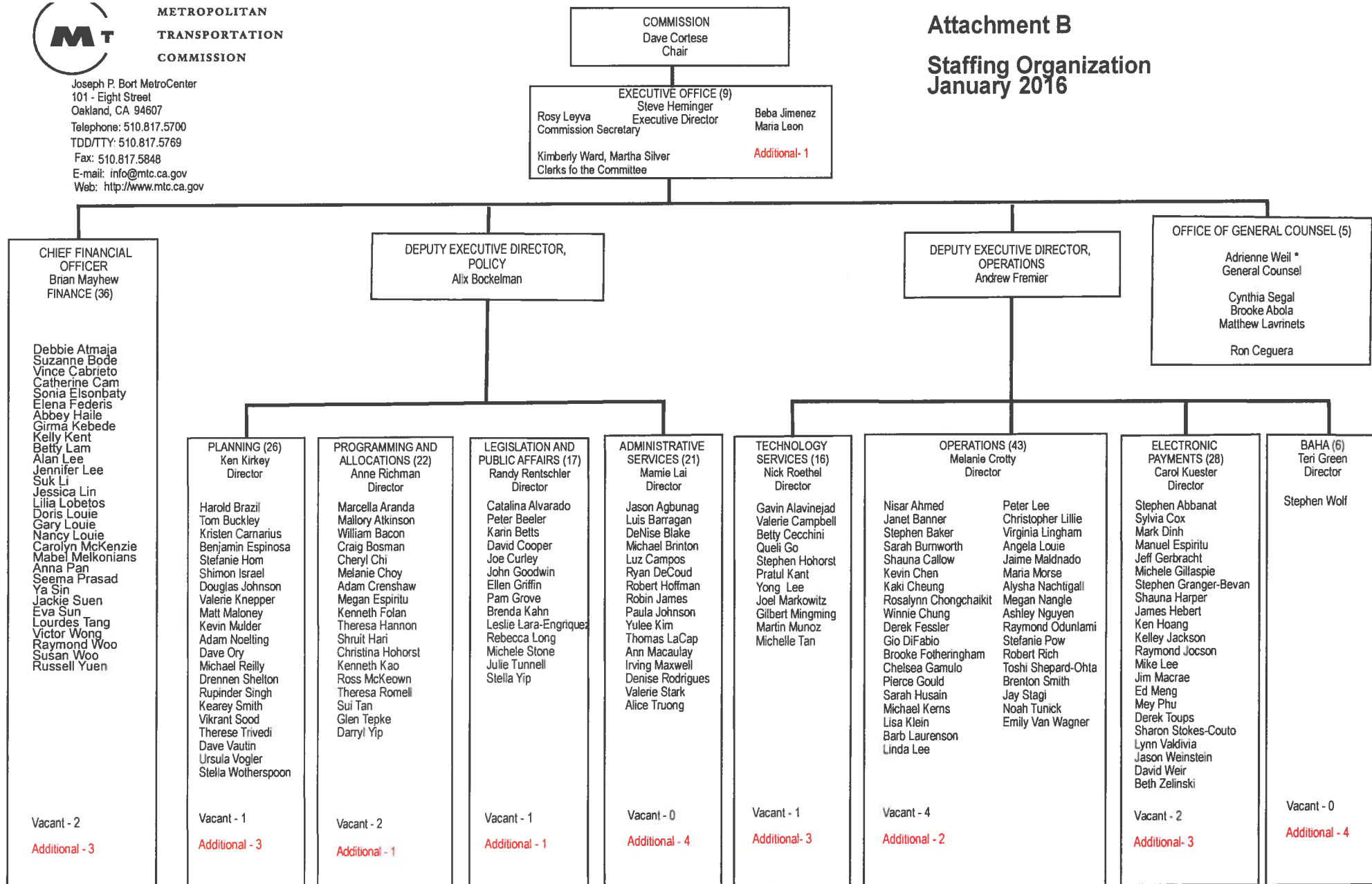


**METROPOLITAN  
TRANSPORTATION  
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## Attachment B

### Staffing Organization January 2016

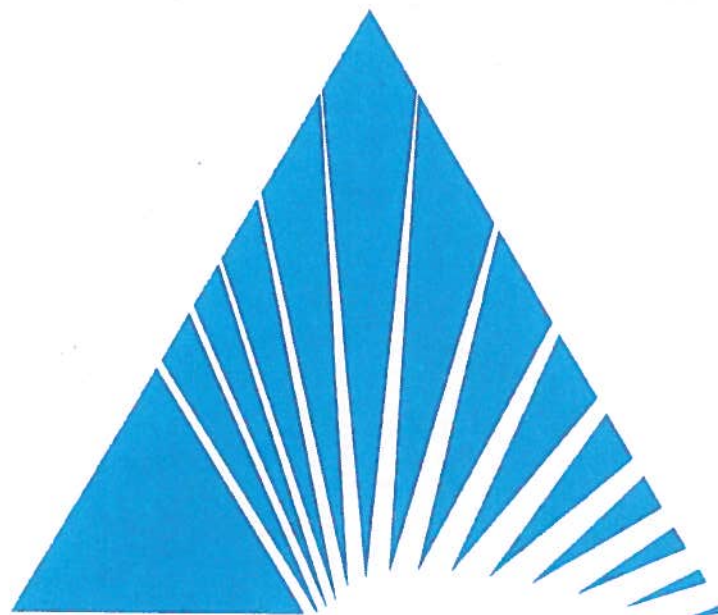


204 Existing Positions  
25 Additional Positions  
229 Total Requested Positions

\* Advises Commission Directly

Steve Heminger  
Executive Director

# Office of Audit Services



# CalPERS

## Public Agency Review

## Metropolitan Transportation Commission

CalPERS ID: 6361598907  
Job Number: P11-025

August 2015



California Public Employees' Retirement System  
Office of Audit Services  
P.O. Box 942701  
Sacramento, CA 94229-2701  
TTY: (877) 249-7442  
(916) 795-0802 phone, (916) 795-7836 fax  
[www.calpers.ca.gov](http://www.calpers.ca.gov)

August 28, 2015

CalPERS ID: 6361598907  
Job Number: P11-025

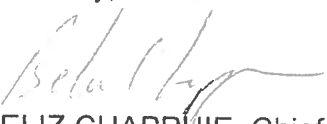
Steve Heminger, Executive Director  
Metropolitan Transportation Commission  
101 8<sup>th</sup> Street  
Oakland, CA 94607-4707

Dear Mr. Heminger:

Enclosed is our final report on the results of the public agency review completed for the Metropolitan Transportation Commission (Agency). Your written response, included as an appendix to the report, indicates agreement with the issues noted in the report except for Finding 4. Although CalPERS reviewed and considered your Agency's responses, the information and contentions provided did not change our findings. CalPERS acknowledges in reference to Finding 1, that an updated salary schedule and resolution was provided as an appendix to the Agency's response. We forwarded this additional documentation to the appropriate CalPERS program area for review.

In accordance with our resolution policy, we have referred the issues identified in the report to the appropriate divisions at CalPERS. Please work with these divisions to address the recommendations specified in our report. It was our pleasure to work with your Agency. We appreciate the time and assistance of you and your staff during this review.

Sincerely,

  
BELIZ CHAPPUIE, Chief  
Office of Audit Services

Enclosure

cc: Commissioners, Metropolitan Transportation Commission  
Risk and Audit Committee Members, CalPERS  
Matthew G. Jacobs, General Counsel, CalPERS  
Anthony Suine, Chief, BNSD, CalPERS  
Renee Ostrander, Chief, EAMD, CalPERS  
Carene Carolan, Chief, MAMD, CalPERS

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# METROPOLITAN TRANSPORTATION COMMISSION

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# METROPOLITAN TRANSPORTATION COMMISSION

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## RESULTS IN BRIEF

The primary objective of our review was to determine whether the Metropolitan Transportation Commission (Agency) complied with applicable sections of the California Government Code (Government Code), California Code of Regulations (CCR) and its contract with the California Public Employees' Retirement System (CalPERS).

The Office of Audit Services (OAS) noted the following findings during the review. Details are noted in the Results section beginning on page three of this report.

- Pay schedule did not meet all the Government Code and CCR requirements and reported payrates did not meet the definition of compensation earnable.
- Part-time employee was not enrolled in CalPERS when membership eligibility requirement was met.
- Retired annuitant was not reinstated upon exceeding the 960 hour threshold.
- Eligible employees were not enrolled in CalPERS membership.

OAS recommends the Agency comply with applicable sections of the Government Code, CCR and its contract with CalPERS. We also recommend the Agency work with the appropriate CalPERS divisions to resolve issues identified in this report.

## SCOPE

The Agency was formed in 1970 to provide comprehensive regional transportation planning for the nine counties that comprise the San Francisco Bay Area. Resolutions and employment agreements outline all Agency employees' salaries and benefits and state the terms of employment agreed upon between the Agency and its employees.

The Agency contracted with CalPERS effective March 1, 1972 to provide retirement benefits for local miscellaneous employees. By way of the Agency's contract with CalPERS, the Agency agreed to be bound by the terms of the contract and by the Public Employees' Retirement Law (PERL). The Agency also agreed to make its employees members of CalPERS subject to all provisions of the PERL.

As part of the Board approved plan for Fiscal Year 2010-11, OAS reviewed the Agency's payroll reporting and member enrollment processes related to the Agency's retirement contract with CalPERS. The review was limited to the examination of sampled employees, records, and pay periods from January 1, 2009 through December 31, 2011. The on-site fieldwork for this review was conducted from February 6, 2012 through February 10, 2012. Subsequent to the on-site field work, we expanded the scope of review to include the review of the 2007 and 2008 Agreements for Management and Administrative Services between the Agency and

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## **METROPOLITAN TRANSPORTATION COMMISSION**

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the Local Government Services Authority (LGS). The review objectives and a summary of the procedures performed are listed in Appendix A.

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# METROPOLITAN TRANSPORTATION COMMISSION

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## OFFICE OF AUDIT SERVICES REVIEW RESULTS

**1:** The Agency's pay schedule did not meet all the Government Code and CCR requirements and reported payrates did not meet the definition of compensation earnable.

### *Condition:*

The Agency reported compensation that did not meet the definition of compensation earnable in Government Code Section 20636. Only compensation earnable as defined under Government Code Section 20636 and corresponding regulations can be reported to CalPERS and considered in calculating retirement benefits. Payrate is defined as the normal monthly rate of pay or base pay of the member paid in cash to similarly situated members of the same group or class of employment for services rendered on a full-time basis during normal working hours, pursuant to a publicly available pay schedules. Payrate for a member who is not in a group or class is subject to the limitations in Government Code Section 20636 (e)(2). Government Code Section 20636(d) also requires that payrate and special compensation schedules, ordinances, or similar documents shall be public records available for public scrutiny.

- A. The Agency did not provide any pay schedules that met all of the Government Code and CCR requirements. Specifically, the pay schedules were not duly approved and adopted by the Agency's governing body in accordance with the requirements of applicable public meetings laws. Additionally, the pay schedules did not identify all position titles, time bases, and referenced another document in lieu of disclosing certain payrates and did not indicate the effective or revision dates. The pay schedules listed salary grades on a matrix but it did not associate each payrate with a position. In order to identify a payrate for a position, a second document was required to associate the salary grade with each position. As a result, pay amounts did not meet the definition of payrate under Government Code Section 20636 and the pay schedule did not meet the CCR Section 570.5 requirements.

For the purposes of determining the amount of compensation earnable, a member's payrate is limited to the amount identified on a publicly available pay schedule. Per CCR Section 570.5, a pay schedule, among other things, must:

- Be duly approved and adopted by the employer's governing body in accordance with requirements of applicable public meetings laws;
- Identify the position title for every employee position;

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## METROPOLITAN TRANSPORTATION COMMISSION

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- Show the payrate as a single amount or multiple amounts within a range for each identified position;
- Indicate the time base such as hourly, daily, bi-weekly, monthly, bi-monthly, or annually;
- Be posted at the office of the employer or immediately accessible and available for public review from the employer during normal business hours or posted on the employer's internet website;
- Indicate an effective date and date of any revisions;
- Be retained by the employer and available for public inspection for not less than five years; and
- Not reference another document in lieu of disclosing the payrate.

Pay amounts reported for positions that do not comply with the payrate definition and pay schedule requirements cannot be used to calculate retirement benefits because the amounts do not meet the definition of payrate under Government Code Section 20636(b)(1). There are no exceptions included in Government Code Section 20636(b)(1). When an employer does not meet the requirements for a publicly available salary schedule, CalPERS, in its sole discretion, may determine an amount that will be considered to be payrate as detailed in CCR Section 570.5.

- B. The positions of Executive Director and General Counsel were not listed on a publicly available pay schedule. The Agency provided employment contracts for both positions; however, contracts do not meet the definition of publicly available pay schedule set forth in Government Code Section 20636 (b), 20636 (d), and CCR Section 570.5. OAS found no other publicly available documents that were available for public scrutiny that included the payrates for these positions. Therefore, OAS determined that the compensation reported for these positions did not meet the definition of payrate, did not meet the requirement in Government Code Section 20636(d), did not meet the requirements of CCR section 570.5, and therefore may not be considered when retirement benefits are calculated.

Additionally, these two contracted positions received several pay increases during the scope of the review. OAS reviewed each of these increases to determine whether the increases met the definition of payrate and whether these additional amounts should have been reported. OAS concluded that the compensations reported for these pay increases did not meet the definition of compensation earnable, because the Agency was unable to provide publicly available documents that approved the pay increases. Pay amounts reported for positions that do not comply with the pay schedule requirements cannot be used to calculate retirement benefits because the amounts do not meet the definition of payrate under Government Code Section 20636(b)(1).

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# METROPOLITAN TRANSPORTATION COMMISSION

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***Recommendation:***

The Agency should ensure reported payrates are set forth in a publicly available pay schedule and that pay schedules meet the other requirements of payrate under Government Code Section 20636 and CCR Section 570.5. Government Code Section 20636(d) also requires that payrate and special compensation schedules, ordinances, or similar documents must be public records available for public scrutiny.

The Agency should ensure that payrates and earnings are correctly reported to CalPERS in accordance with the relevant statutes, regulations and approved pay schedules.

The Agency should work with CalPERS Employer Account Management Division (EAMD) to make adjustments, if necessary, to any impacted active and retired member accounts pursuant to Government Code Section 20160.

***Criteria:***

Government Codes: § 20160, § 20636  
CCR: § 570.5

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## METROPOLITAN TRANSPORTATION COMMISSION

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<p>2: The Agency did not enroll an eligible part-time employee as required.</p>
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***Condition:***

The Agency did not enroll a part-time employee who worked a total of 1,032 hours in the pay period ended June 11, 2010. Government Code Section 20305 requires employees who complete 1,000 hours within a fiscal year to be enrolled into membership effective not later than the first day of the first pay period of the month following the month in which 1,000 hours of service were completed. Government Code Section 20283 provides in pertinent part that any employer that fails to enroll an employee into membership when he or she becomes eligible, or within 90 days thereof, when the employer knows or can reasonably be expected to have known of that eligibility shall be required to pay all arrears costs for member contributions and administrative costs of five hundred dollars (\$500) per member as a reimbursement to this system's current year budget.

***Recommendation:***

The Agency should monitor the hours worked by part-time employees and ensure employees are enrolled when membership eligibility requirements are met.

The Agency should work with EAMD to make adjustments, if necessary, to any impacted active and retired member accounts pursuant to Government Code Section 20160. The Agency should also work with EAMD to assess whether Government Code Section 20283 will apply.

***Criteria:***

Government Codes: § 20044, § 20160, § 20283, § 20305

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# METROPOLITAN TRANSPORTATION COMMISSION

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**3:** The Agency unlawfully employed a retired annuitant.

***Condition:***

The Agency did not reinstate a retired annuitant who exceeded the 960-hour threshold for hours worked in Fiscal Year 2010-11. Specifically, the retired annuitant worked a total of 973 hours, and was not reinstated in CalPERS membership. The Government Code limits the number of hours a retired person can work to no more than 960 hours each fiscal year.

Government Code Section 21220 addresses the conditions and consequences of unlawful employment of a person who has been retired under this system. The Government Code states that any retired member employed in violation of this article shall reimburse this system for any retirement allowance received during the period or periods of employment that are in violation of law, pay to this system an amount of money equal to the employee contributions that would otherwise have been paid during the period or periods of unlawful employment plus interest thereon, and contribute toward reimbursement of this system for administrative expenses incurred in responding to this situation, to the extent the member is determined by the executive officer to be at fault.

The Government Code also states that any public employer that employs a retired member in violation of this article shall pay to this system an amount of money equal to employer contributions that would otherwise have been paid for the period or periods of time that the member is employed in violation of this article, plus interest thereon and contribute toward reimbursement of this system for administrative expenses incurred in responding to this situation, to the extent the employer is determined by the executive officer of this system to be at fault.

***Recommendation:***

The Agency should monitor the hours worked and payrate of retired annuitants in order to ensure the Agency complies with applicable working after retirement statutes contained in the Government Codes.

OAS recommends the Agency work with CalPERS Benefit Services Division (BNSD) to determine the appropriate course of action to address this finding.

***Criteria:***

Government Code: § 20160, § 21202, § 21220, § 21221, § 21224

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## METROPOLITAN TRANSPORTATION COMMISSION

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4: The Agency did not enroll eligible employees into CalPERS membership.

**Condition:**

The Agency did not enroll, report compensation earnable, or submit contributions for certain common-law employees. OAS identified two individuals assigned to provide services for the Agency under an employment agreement with a temporary agency, Local Government Services (LGS), that were actually common-law employees of the Agency. OAS determined in applying the common-law employment test that these individuals were under the control of the Agency and therefore, common-law employees of the Agency for the reasons discussed below. As a result, the Agency should have reported these individuals to CalPERS for retirement purposes. Government Code Section 20283 provides that any employer that fails to enroll an employee into membership when he or she becomes eligible, or within 90 days thereof, when the employer knows or can reasonably be expected to have known of that eligibility shall be required to pay all arrears costs for member contributions and administrative costs of five hundred dollars (\$500) per member as a reimbursement to this system's current year budget.

Government Code Section 20460 provides in relevant part that any public agency may participate in and make all or part of its employees members of this system by contract. Government Code Section 20022 defines a contracting agency as any public agency that has elected to have all or any part of its employees become members of this system and that has contracted with the Board for that purpose. Government Code 20028 defines an employee as any person in the employ of any contracting agency. Management and control of CalPERS is vested in the CalPERS Board of Administration (Board) as provided in Government Code Section 20120. Each member and each person retired is subject to the PERL and the rules adopted by the Board pursuant to Government Code Section 20122. Government Code Section 20125 provides that the Board shall determine who are employees and is the sole judge of the conditions under which persons may be admitted to and continue to receive benefits under this system.

For the purposes of the PERL and for programs administered by the Board, the standard used for determining whether an individual is the employee of another entity is the California common-law employment test as set forth in the California Supreme Court case titled *Tieberg v. Unemployment Ins. App. Bd.*, (1970) 2 Cal. 3d 943, which was cited with approval in *Metropolitan Water Dist. v. Superior Court (Cargill)*, (2004) 32 Cal. 4th 491, and which was adopted by the Board in two precedential decisions, *In the Matter of Lee Neidengard*, Precedential Decision No. 05-01, effective April 22, 2005, and *In the Matter of Galt Services Authority*, Precedential Decision No. 08-01, effective October 22, 2008.

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## METROPOLITAN TRANSPORTATION COMMISSION

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In applying the California common-law employment test, the most important factor in determining whether an individual performs services for another employer is the right of the principal to control the manner and means of job performance and the desired result, whether or not this right is exercised. Where there is independent evidence that the principal has the right to control the manner and means of performing the service in question, CalPERS will determine that an employer-employee relationship exists between the employee and the principal.

Other factors to be taken into consideration under the common-law employment test are as follows:

- Whether or not the one performing services is engaged in a distinct occupation or business.
- The kind of occupation, with reference to whether, in the locality, the work is usually done under the direction of a principal or by a specialist without supervision.
- The skill required in the particular occupation.
- Whether the principal or the individual performing the services supplies the instrumentalities, tools, and the place of work for the person doing the work.
- The length of time for which the services are to be performed.
- The method of payment, whether by the time or by the job.
- Whether or not the work is a part of the regular business of the principal.
- Whether or not the parties believe they are creating the relationship of employer-employee.

OAS reviewed the services provided by the individuals assigned by LGS in the positions of Regional Transportation Coordinator and Revenue Auditor and determined these individuals performed services as common-law employees of the Agency rather than of LGS. As such, OAS determined the Agency did not enroll eligible employees in membership.

Our review included information obtained from the employment relationship questionnaires, the management services agreement between LGS and MTC, individual employment agreements, personnel action forms, and other information related to two individuals. The facts outlined below support a finding of common-law employment with the Agency for the sampled individuals:

- In the event that the Agency, in its sole discretion, at any time during the term of this agreement, desires the reassignment of any such persons, LGS shall reassign such person or persons.
- The Agency has the sole discretion to determine if the services performed by LGS are satisfactory to the Agency.

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## METROPOLITAN TRANSPORTATION COMMISSION

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- The Executive Director of LGS will not reassign any of the LGS staff without first consulting with the Agency.
- Although the individual is paid by LGS, LGS bills MTC for the work performed by invoice using an hourly rate that includes the individual's salary and all benefits. It also appears that MTC agreed to pay LGS a flat fee over and above the cost of this individual's salary and benefits. In a document entitled "Agreement for Management and Administrative Services" (Agreement) dated June 29, 2007, in Exhibit A, it states that the amounts stated, "... includes overhead charges and insurance costs at a flat rate of \$17,402 per position . . ."
- Pursuant to the Agreement, reimbursed compensation is intended to include the costs of contributions to any pensions and/or annuities for which LGS may be obligated for individuals providing services on its behalf or may otherwise be contractually obligated.
- The Agency reserves the right to review the recommended candidate's resume, qualifications, experience, education and references prior to assignment.
- The Agency reserves the right to review with the assigned staff the proposed work plan including project deliverables, deadlines, and actual performance towards achieving the assigned work plan.
- LGS shall assign the individuals to serve in the Agency's positions that require performing the functions and duties specified in the Agency's job descriptions for those positions and such other legally permissible and proper duties and functions as the Agency may, from time to time assign.
- Individuals providing services through LGS are assigned to provide these services and shall be available to perform the services during the Agency's normal workweek.
- Individuals providing services through LGS are assigned to provide these services and will meet regularly and as often as necessary with the Agency's Executive Director or his designated Agency staff for the purpose of consulting with them about the scope of work he or she performs.
- The individuals are also required to meet with other Agency staff, members of the public, and attend Agency and other meetings as necessary. Such individuals providing services through LGS are required to perform Agency services at the Agency's offices in Oakland.

In addition to the above characteristics shared by both individuals, OAS noted the following specific facts, employment details, and responsibilities ascribed to the positions, further supporting a finding that the individuals were common-law employees of the Agency. Pertinent information identified for each of the individuals reviewed is as follows:

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# METROPOLITAN TRANSPORTATION COMMISSION

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## Regional Transportation Funding Coordinator

The Regional Transportation Funding Coordinator served as program administrator for non-transit elements of Regional Measure 2 (RM2), a voter-approved measure that provides funds for congestion relief projects in the Bay Area Bridge corridors. The individual also managed several local street and road coordination elements, conducted complex financial analysis, monitored transportation programs as necessary, produced and presented reports on transportation programs as necessary, and performed various analyses to support Programming and Allocation Section functions as needed. The facts outlined below support a finding of common-law employment with the Agency:

- The individual began providing services for the Agency on October 1, 2008.
- The services are performed at Agency locations.
- The Individual is required to attend Agency meetings that relate to the scope of the project.
- The position requires a full-time employee.
- The individual is required to do the work personally.
- The Agency controls how the individual does the work.
- The manager or lead of the Agency directs the individual and provides LGS with performance information so that LGS can complete the performance appraisal.
- The Agency provides the individual with office space, office equipment, stationery, and e-mail.
- The individual may be required to attend training that is applicable to the project. Training is paid for by the Agency.
- The work performed by this individual is considered to be the work of MTC.

## Revenue Auditor

The Revenue Auditor performed daily functions related to toll bridge revenue operations such as: monitored daily reconciliation and audit of revenue for toll collectors for the seven Bay Area Bridges; evaluated and analyzed variances for toll revenue; interpreted and reconciled daily reports; reported any cash and electronic toll variances and lane equipment malfunctions; monitored daily reports from bridge operations; prepared a variety of reports including daily reports, daily collection summaries, weekly and monthly bridge statistics, and reconciliations; worked with audit staff on testing and implementation of new toll collection systems; undertook other specific assignments as required; and worked closely and cooperatively with the Agency's Finance section, bridge personnel, and other Agency staff. The facts outlined below support a finding of common-law employment with the Agency:

- The individual began providing services for the Agency on June 11, 2012.
- The services are performed at Agency locations.

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## METROPOLITAN TRANSPORTATION COMMISSION

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- The individual is required to attend Agency meetings that relate to the project.
- The position requires a full-time employee.
- The individual is required to do the work personally.
- The Agency controls how the individual does the work.
- The manager or lead of the Agency directs the individual and provides LGS with performance information so that LGS can complete the performance appraisal.
- The Agency provides the individual with office space, office equipment, stationary, and an e-mail account.
- The individual may be required to attend training that is applicable to the project. Training is paid for by the Agency.
- The work performed by this individual is considered to be the work of MTC.

OAS determined that the control over the individuals was with the Agency and after considering the secondary factors, OAS concluded the Agency was the common-law employer of these individuals. The contract for both employees state a term of full-time, continuous employment in excess of six months. Therefore, the Agency should have enrolled, reported compensation earnable, and submitted contributions for both employees beginning the first day of their employment with the Agency.

### ***Recommendation:***

The Agency should immediately ensure that all common-law employees are enrolled and reported to CalPERS.

The Agency should work with EAMD to assess the impact of the membership enrollment issue and make the necessary adjustments to all active and retired member accounts pursuant to Government Code Section 20160. In each of the sampled Fiscal Years 2008-09 through 2011-12, MTC had between eight and 17 individuals providing services through LGS. EAMD should also work with the Agency to determine whether any of the other individuals providing services through LGS for the Agency are the common-law employees of the Agency and should make any necessary corrections required as a result of those determinations. The Agency should also work with EAMD to assess whether Government Code Section 20283 will apply.

### ***Criteria:***

Government Codes: § 20028, § 20056, § 20125, § 20160, § 20283, § 20502

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# METROPOLITAN TRANSPORTATION COMMISSION

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## CONCLUSION

OAS limited this review to the areas specified in the scope section of this report and in the objectives outlined in Appendix A. The procedures performed provide reasonable, but not absolute, assurance that the Agency complied with the specific provisions of the PERL and CalPERS contract except as noted.

The findings and conclusions outlined in this report are based on information made available or otherwise obtained at the time this report was prepared. This report does not constitute a final determination in regard to the findings noted within the report. The appropriate CalPERS divisions will notify the Agency of the final determinations on the report findings and provide appeal rights, if applicable, at that time. All appeals must be made to the appropriate CalPERS division by filing a written appeal with CalPERS, in Sacramento, within 30 days of the date of the mailing of the determination letter, in accordance with Government Code Section 20134 and Sections 555-555.4, Title 2, of California Code of Regulations.

Respectfully submitted,



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BELIZ CHAPPUÉ, CPA, MBA  
Chief, Office of Audit Services

Staff: Cheryl Dietz, CPA, Assistant Division Chief  
Diana Thomas, CIA, CIDA, Senior Manager  
Chris Wall, Senior Manager

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**METROPOLITAN TRANSPORTATION COMMISSION**

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# **APPENDIX A**

## **OBJECTIVES**

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# METROPOLITAN TRANSPORTATION COMMISSION

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## OBJECTIVES

The objectives of this review were limited to determine whether the Agency complied with:

- Applicable sections of the California Government Code (Sections 20000 et seq.) and Title 2 of the CCR.
- Reporting and enrollment procedures prescribed in the Agency's retirement contract with CalPERS.

This review did not include an assessment as to whether the Agency is a "public agency," and expresses no opinion or finding with respect to whether the Agency is a public agency or whether its employees are employed by a public agency.

## METHODOLOGY

To accomplish the review objectives, OAS interviewed key staff members to obtain an understanding of the Agency's personnel and payroll procedures, reviewed documents, and performed the following procedures.

- ✓ Reviewed:
  - Provisions of the contract and contract amendments between the Agency and CalPERS
  - Correspondence files maintained at CalPERS
  - Agency Board minutes and Agency Board resolutions
  - Agency written labor policies and agreements
  - Agency salary, wage, and benefit agreements including applicable resolutions
  - Agency personnel records and employee time records
  - Agency payroll information including Contribution Detail Transaction History reports
  - Documents related to employee payrate, special compensation, and benefits
  - Various other relevant documents
- ✓ Reviewed Agency payroll records and compared the records to data reported to CalPERS to determine whether the Agency correctly reported compensation.
- ✓ Reviewed payrates reported to CalPERS and reconciled the payrates to Agency public salary records to determine whether base payrates reported were accurate, pursuant to publicly available pay schedules that identify the position title, payrate and time base for each position, and duly approved by the Agency's governing body in accordance with requirements of applicable public meetings laws.

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## METROPOLITAN TRANSPORTATION COMMISSION

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- ✓ Reviewed CalPERS reports to determine whether the payroll reporting elements were reported correctly.
- ✓ Reviewed the Agency's enrollment practices for temporary and part-time employees to determine whether individuals met CalPERS membership requirements.
- ✓ Reviewed the Agency's employment practices for retired annuitants to determine if retirees were lawfully employed and reinstated when unlawful employment occurs.
- ✓ Reviewed the Agency's independent contractors to determine whether the individuals were either eligible or correctly excluded from CalPERS membership.
- ✓ Reviewed the Agency's affiliated entity organizational structure to determine whether employees of the affiliated entity qualified for CalPERS membership and were enrolled as required.
- ✓ Reviewed the Agency's calculation and reporting of unused sick leave balances, if contracted to provide additional service credits for unused sick leave.

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# METROPOLITAN TRANSPORTATION COMMISSION

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## APPENDIX B

### AGENCY'S WRITTEN RESPONSE

Note: The Agency provided an attachment to the response that was intentionally omitted from this appendix.



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Joseph P. Bort MetroCenter  
101 Eighth Street  
Oakland, CA 94607-4700  
TEL 510.817.5700  
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EMAIL [info@mtc.ca.gov](mailto:info@mtc.ca.gov)  
WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

July 30, 2015

*Dave Cortese, Chair*  
Santa Clara County

*Jake Mackenzie, Vice Chair*  
Sonoma County and Cities

*Alicia C. Aguirre*  
Cities of San Mateo County

*Tom Azumbrado*  
U.S. Department of Housing  
and Urban Development

*Jason Baker*  
Cities of Santa Clara County

*Tom Bates*  
Cities of Alameda County

*David Campos*  
City and County of San Francisco

*Dorene M. Giacopini*  
U.S. Department of Transportation

*Federal D. Glover*  
Contra Costa County

*Scott Haggerty*  
Alameda County

*Anne W. Halsted*  
San Francisco Bay Conservation  
and Development Commission

*Steve Kinsey*  
Marin County and Cities

*Sam Liccardo*  
San Jose Mayor's Appointee

*Mark Luce*  
Napa County and Cities

*Julie Pierce*  
Association of Bay Area Governments

*Bijan Saripi*  
California State  
Transportation Agency

*Lihby Schaaf*  
Oakland Mayor's Appointee

*James P. Sperring*  
Solano County and Cities

*Adrienne J. Tissier*  
San Mateo County

*Scott Wiener*  
San Francisco Mayor's Appointee

*Amy Rein Worth*  
Cities of Contra Costa County

*Steve Heminger*  
Executive Director

*Alix Borkelman*  
Deputy Executive Director, Policy

*Andrew B. Frenier*  
Deputy Executive Director, Operations

Ms. Young Hamilton, Acting Chief  
California Public Employees' Retirement System  
Office of Audit Services  
400 "Q" Street  
Sacramento, CA 95811

Re: Response to Draft Report on Compliance Review CalPERS ID: 6361598907

Dear Ms. Hamilton:

The Metropolitan Transportation Commission (MTC) is in receipt of the Office of Audit Service's (OAS) May 2013 draft report relating to the contract between MTC and the California Public Employees' Retirement System (CalPERS). MTC welcomes the opportunity to respond to the draft report.

For the first three findings, MTC will implement the recommendations connected to these three findings and already has taken steps to do so.

As to the fourth finding, we disagree and present further information for OAS to consider before issuing the final report.

FINDINGS, RECOMMENDATIONS, AND MTC'S RESPONSE

Finding No. 1: The Agency pay schedule did not meet all the Government Code and CCR requirements

Recommendation:

The Agency should ensure reported payrates are set forth in a publicly available pay schedule and that pay schedules meet the other requirements of payrate under Government Code Section 20636 and CCR Section 570.5. Government Code Section 20636(d) also requires that payrate and special compensation schedules, ordinances, or similar documents must be public records available for public scrutiny. The Agency should ensure that payrates and earnings are correctly reported to CalPERS in accordance with the relevant statutes, regulations and approved pay schedules. The Agency should work with CalPERS Employer Account Management Division (EAMD) to make adjustments, if necessary, to any impacted active and retired member accounts pursuant to Government Code Section 20160.

Ms. Young Hamilton, Acting Chief  
California Public Employees' Retirement System  
July 30, 2015  
Page 2

MTC response:

Finding 1A. MTC maintained duly approved pay schedules that listed the pay grade and salary steps for each pay grade. The pay schedules are public documents. However, in order to resolve this matter, MTC has added information to these schedules. The revised pay schedules include the following: position title for every employee position, pay rate for each position and time base for each pay rate position. This information is all contained in a single board approved document. We believe the new schedule meets code requirements and will resolve this finding. Attachment 1 is the board approved document which includes the resolution and the pay schedule. The link to the pay schedule is: <http://jobs.mtc.ca.gov/SalarySchedules/list.html>.

Finding 1B. MTC maintained duly approved written contracts that listed the pay for the current Executive Director and General Counsel. The contracts are public documents. However, in order to resolve this matter, MTC developed an appropriate resolution for approval by the MTC Commission. The resolution includes the pay schedule for the Executive Director and General Counsel as required by CCR Title 2, Section 570.5. The information included in the resolution includes: position title, pay rate and time base. This information is all contained in a single board approved document. There was also another resolution that approved the pay schedules for the two positions for the last three years. We believe the new resolution meets the audit requirement and will resolve this finding. A copy of the board approved resolution and pay schedule is included in Attachment 1. The link to the pay schedule is: <http://jobs.mtc.ca.gov/SalarySchedules/list.html>.

Finding No. 2: The Agency did not enroll an eligible part-time employee as required

Recommendation:

The Agency should monitor the hours worked by part-time employees and ensure employees are enrolled when membership eligibility requirements are met. The Agency should work with EAMD to make adjustments, if necessary, to any impacted active and retired member accounts pursuant to Government Code Section 20160. The Agency should also work with EAMD to assess whether Government Code Section 20283 will apply.

MTC response:

MTC monitors the hours worked by part-time employees to ensure that they are enrolled in CalPERS when eligibility requirements are met. In this instance, MTC tracked hours based on a calendar rather than a fiscal year, whereas CalPERS used a fiscal year, resulting in one employee exceeding the 1,000 hour limit. While this is a singular event, MTC will avoid a repeat of the error in the future by running and monitoring a Time History report from our Ceridian HR/Payroll system. The report will be run to cover hours worked by all part-time employees. MTC will take appropriate action should a part time employee exceed 1,000 hours in a single fiscal year. MTC will work with PERS on any corrective action that is required for this one employee.

Ms. Young Hamilton, Acting Chief  
California Public Employees' Retirement System  
July 30, 2015  
Page 3

Finding No. 3: The Agency unlawfully employed a retired annuitant

Recommendation:

Agency should monitor the hours worked by retired annuitants in order to ensure the Agency complies with applicable working after retirement statutes contained in the Government Codes. OAS recommends the Agency work with CalPERS Benefit Services Division (BNSD) to determine the appropriate course of action to address this finding.

MTC response:

MTC monitors the hours worked by retired annuitants to ensure that they do not exceed limitations on number of hours worked. In this instance, MTC tracked hours based on a calendar rather than a fiscal year, whereas CalPERS used a fiscal year, resulting in one retired annuitant exceeding the 960 hour threshold. MTC will run a Time History report from our Ceridian HR/Payroll system for retired annuitants to prevent a repeat in the future. The report will be run and monitored on a monthly basis by fiscal year to monitor hours worked and ensure they do not exceed the 960 hour threshold. We will notify the employee(s) and supervisor of the accumulated hours worked and that the 960 threshold is not breached without the employee and PERS being informed. MTC will work with PERS on any corrective action that is required for this one employee.

Finding No. 4: The Agency did not enroll eligible employees into CalPERS membership

Recommendation:

The Agency should immediately ensure that all common law employees are enrolled and reported to CalPERS. The Agency should work with EAMD to assess the impact of the membership enrollment issue and make the necessary adjustments to all active and retired member accounts pursuant to Government Code Section 20160. In each of the sampled fiscal years 2008-09 through 2011-12, MTC had between eight and 17 individuals providing services through LGS. EAMD should also work with the Agency to determine whether any of the other individuals that providing services through LGS for the Agency are the common law employees of the agency and should make any necessary corrections required as a result of those determinations. The Agency should also work with EAMD to assess whether Government Code Section 20283 will apply.

MTC response:

MTC disagrees with the conclusion that MTC was required to offer the LGS employees CalPERS membership and to report benefit earnings through MTC's payroll. The LGS employees were in fact provided CalPERS membership and benefit earnings were reported by LGS throughout the employees' assignment to MTC.

Ms. Young Hamilton, Acting Chief  
California Public Employees' Retirement System  
July 30, 2015  
Page 4

MTC response: (continued)

MTC has a valid contract with LGS to provide project-based staffing for specific assignments. LGS is not a "temporary agency" as suggested in the report but rather a Joint Powers Authority (JPA) that provides various governmental services, including temporary staffing, exclusively to government agencies. As part of the LGS/MTC agreement:

- LGS meets the criteria for membership in CalPERS
- LGS has a valid contract with CalPERS to provide retirement services
- LGS directly provides CalPERS membership to all employees
- All LGS employees assigned to MTC were provided immediate CalPERS membership
- LGS reports the appropriate benefit earnings, including salary and service credits to CalPERS

MTC did not fail to enroll "an employee into membership when he or she becomes eligible or within 90 days thereof ..." specifically because MTC was certain as part of our LGS agreement that the employees assigned to MTC were offered immediate PERS membership through the LGS agreement with CalPERS and that LGS employees maintained their PERS benefits throughout their relationship with MTC.

By contracting with LGS, a valid CalPERS contract agency, MTC was assured that the employees would receive CalPERS retirement benefits. If there is a question about LGS administration of PERS membership benefits, that issue should be addressed with LGS, not transferred to MTC. We respectfully request that this finding be removed.

If you have any questions about MTC's responses to the draft audit, please do not hesitate to contact me or one of my staff that have been working with you during the audit process: Robin James, Human Resource Manager, at 510-817-5740 ([rjames@mtc.ca.gov](mailto:rjames@mtc.ca.gov)) or Eva Sun, Principal Finance Director at 510-817-5795 ([esun@mtc.ca.gov](mailto:esun@mtc.ca.gov)).



Steve Heminger  
Executive Director

cc: Anthony Suine, Chief, BNSD, CalPERS  
Renee Ostrander, Chief, EAMD, CalPERS  
Carene Carolan, Chief, MAMD, CalPERS  
Alix Bockelman, Deputy Executive Director, Policy, MTC  
Mamie Lai, Director, Administrative & Technology Services and EEO Officer, MTC  
Eva Sun, Finance, Principal Finance Director, MTC  
Robin James, Human Resource Manager, MTC

Attachment

J:\SECTION\EXEC\EO\Steve Heminger\Correspondence 2015\CalPERS Draft Report Response 073015.docx



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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Commission  
Agenda #7a

*Memorandum*

TO: Administration Committee

DATE: January 6, 2016

FR: Executive Director

W. I. 1152

RE: MTC Resolution No. 4181, Revised - FY 2015-16 MTC Agency Budget Amendment

Attached for your review and referral to the Commission for approval is Resolution No. 4181, Revised, amending the MTC budget for FY 2015-16.

There are two primary reasons for the budget adjustment: 1) the addition of approximately \$1.9 million in revenue and expenses that were not known when the budget was originally adopted; and 2) the conversion of 25 contract-based positions to regular employees as a result of findings from the 2015 CalPERS audit report.

Bike Share:

The proposed change to the operating budget is mainly related to the Bike Share program. Staff has been working on a series of complex agreements between MTC, the Bay Area Air Quality Management District (Air District), and Bay Area Motivate, LLC (Motivate) to develop and operate a comprehensive Bike Share program. At the time of the budget approval, staff did not know the exact start-up costs of the program. We can now estimate the start-up costs at \$1.2 million with the funding coming from the Air District and Motivate, the Bike Share contractor. These costs cover the transfer of assets, transition operating costs, and Peninsula city operations through June 30, 2016. The remaining items include new grant funding for the travel model and ABAG's share of the merger study costs.

Staffing:

We are also requesting approval to convert 25 of our Local Government Services (LGS) and contract employees into regular MTC employees. Of these positions, five perform work for and are partially funded by other partner agencies such as shared services for our new headquarters at 375 Beale Street as well as the Bay Area Regional Collaborative. While this request will have no impact on the FY 2015-16 budget, the result will increase the authorized staffing level from 204 to 229.

As we have explained in the past, MTC requires a combination of regular, temporary and contract agency employees to manage the nature of our grant and project based work. MTC currently contracts with outside agencies, including LGS, to provide approximately 42 project-based employees. However, the work on our major projects such as Clipper® and Express Lanes has expanded over time requiring most of the employees to remain here for a number of years.

The project-based contract employees were a key subject area in a recently completed August 2015 audit conducted by California Public Employees' Retirement System (PERS). One key finding in the audit was that all LGS employees with more than 1,000 hours of service time were actually "common law" employees and, as such, are required to be granted PERS membership. Despite the fact that all LGS employees are enrolled in PERS and eligible for PERS benefits through LGS, PERS insists they be placed on MTC's payroll for PERS reporting purposes. Rather than risk paying double benefits as well as facing potential penalties assessed by PERS, staff proposes to convert 25 of the 42 employees to regular positions and terminate the LGS contract as of June 30, 2016. The remaining 17 LGS employees are no longer needed to perform ongoing MTC work and their positions will be phased out by June 30, 2016.

The detail of the regular positions breakdown by fund is as follows:

MTC	12
BATA	8
MTC SAFE	1
BAHA	4
Total	<u>25</u>

Because the full LGS contract amounts are already included in the FY 2015-16 operating budget, there is no budget increase required for this year.

<b>MTC Operating Surplus Amendment # 1</b>		<b>\$ 2,912</b>
<b>New Revenue</b>		
FHWA SP& R	\$ 150,000	
Local - Motivate	696,898	
Local – BAAQMD	500,000	
PMP Sales	400,000	
ABAG funds for the Merger study	<u>137,500</u>	
<b>Total New Revenue</b>		<b>\$ 1,884,398</b>
<b>Additional Expenses</b>		
Consultants		
Travel model	\$ 150,000	
Bike share -Motivate	696,898	
Bike share –BAAQMD	500,000	
Technical Service Plans	400,000	
ABAG Shortfall per MOU	<u>163,608</u>	
<b>Total additional Expenses</b>		<b><u>\$ 1,910,506</u></b>
<b>Surplus/(Shortfall)</b>		<b>(\$ 26,108)</b>
<b>Transfer in from Reserve</b>		<b><u>\$ 26,108</u></b>
		<b>\$ 0</b>

Grants Budget:

There is a total reduction of \$7.7 million in new grants for the Bike Share program as MTC will no longer be applying for those funds because a private business has agreed to provide the intended program at no cost. A new FTA grant of \$454,000 was added to administer the FTA 5310 program.


Clipper® Capital Budget:

A new agreement with SFMTA for the Central Subway Clipper® project will add an additional \$4.1 million to the Clipper® capital budget. The new revenue will fund \$180,000 in staff cost and \$3.9 million in consultant and contractor costs for the implementation of the wayside fare collection equipment for the Central Subway project.

Reserve Adjustments:

The full incorporation of all these revenue and expense adjustments will create a budgetary deficit of \$26,108 that will be covered from undesignated operating reserves. A revised copy of the MTC Budget is attached.

Staff recommends that this Committee forward MTC Resolution No. 4181, Revised, to the Commission for approval.

  
Steve Heminger

Date: June 24, 2015  
W.I.: 1152  
Referred By: Administration  
Revised: 11/18/15-C  
01/27/16-C

ABSTRACT

Resolution No. 4181, Revised

This resolution approves the Agency Budget for FY 2015-16.

This resolution was revised on November 18, 2015 for budget changes. The changes include FY 2014-15 Budget amendments as well as carryover funding approved in prior years. In addition a transfer of \$275,000 from reserve is required for a study of the MTC/ABAG merger implementation plan.

This resolution was revised on January 27, 2016 for budget changes. The changes include FY 2015-16 Budget amendments for the addition of approximately \$1.9 million in revenue and expenses that were not known when the budget was originally adopted; and the conversion of 25 contract based positions to regular employees as a result of findings from the 2015 CalPERS audit report.

Further discussion of the agency budget is contained in the MTC Executive Director's memorandum to the Administration Committee dated June 3, 2015, and January 6, 2016. An updated budget is attached as Attachments A, B and C.

Date: June 24, 2015  
W.I.: 1152  
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2015-16

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4181

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on April 22, 2015 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2015-16 with the adoption of MTC Resolution No. 4183; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2015-16; and

WHEREAS, the final draft MTC Agency Budget for FY 2015-16 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4183; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2015-16, prepared in accordance to generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2015-16, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY 2015-16; and, be it further

RESOLVED, that MTC's Executive Director, and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2015-16; and, be it further

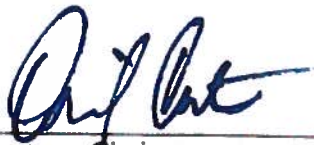
RESOLVED, that the Commission authorizes that the use of funds from the general fund for cash flow purposes, as an advance on authorized expenditures until the expenditures have been reimbursed; and, be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2015-16 as follows: Benefits, Liability, Compensated leave, Encumbrances, Building, and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside a \$1,250,000 liability reserve and establish a \$800,000 contract budget against the liability reserve. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from the requirements of or changes in the employee labor agreements. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2015-16 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that the total of full time regular and project employees is established at 204 and will not be increased without approved increase to the appropriate FY 2015-16 budget and that the Executive Director or Designee is authorized to manage all contract, hourly or agency employees within the authorized FY 2015-16 budgets; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION



Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on June 24, 2015.

Date: June 24, 2015  
W.I.: 1152  
Referred by: Administration  
Revised: 11/18/15-C  
01/27/16-C  
  
Attachments A, B, C  
Resolution No. 4181, Revised

**METROPOLITAN TRANSPORTATION COMMISSION**  
  
**AGENCY BUDGET**  
  
**FY 2015-16**

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# METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2015-16

Attachment A

## SUMMARY

### PART 1: OPERATING REVENUE-EXPENSE SUMMARY

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./(Dec)	Change \$ Inc./(Dec)
General Planning Revenue	\$25,055,751	\$25,205,751	1%	\$150,000
Other MTC Revenue	1,652,067	1,652,067	0%	0
Transfers from other Funds	33,184,712	33,210,820	0%	26,108
Local Revenue Grants	2,546,278	4,280,676	68%	1,734,398
<b>Total Operating Revenue</b>	<b>\$62,438,808</b>	<b>\$64,349,314</b>	<b>3%</b>	<b>\$1,910,506</b>
<b>Total Operating Expense</b>	<b>\$62,435,896</b>	<b>\$64,346,402</b>	<b>3%</b>	<b>\$1,910,506</b>
Operating Surplus (Shortfall)	\$2,912	\$2,912	0%	\$0
Total Operating Revenue - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Total Operating Expense - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Operating Surplus (Shortfall)- Prior year	\$0	\$0	0%	\$0
Total Operating Surplus (Shortfall)	\$2,912	\$2,912	0%	\$0

### PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

Total Annual Capital Revenue	\$1,543,000	\$1,543,000	0%	\$0
Total Annual Capital Expense	\$1,543,000	\$1,543,000	0%	\$0
Capital Surplus(Shortfall)	\$0	\$0	0%	\$0
<b>TOTAL FISCAL YEAR SURPLUS (SHORTFALL)</b>	<b>\$2,912</b>	<b>\$2,912</b>	<b>0%</b>	<b>\$0</b>

### PART3: CHANGES IN RESERVES

Transfer To Designated Reserve	\$0	\$0		
Net MTC Reserves - in(out)	\$2,912	\$2,912	0%	\$0
Current Year Ending Balance	\$0	\$0		

# REVENUE DETAIL

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./Dec	Change \$ Inc./Dec
<b>General Planning Revenue</b>				
FTA Section 5303	\$3,247,073	\$3,247,073	0%	\$0
FTA 5303 carryover FY15	1,159,642	1,159,642	0%	0
FTA 5303 Final Allocation FY15	5,506	5,506	0%	0
Sustainable Transportation Planning Grant	300,000	300,000	0%	0
FHWA SP&R - SACOG	0	150,000	-100%	150,000
FHWA 1/2 % PL	7,546,404	7,546,404	0%	0
FHWA PL Final Allocation FY15	(200,702)	(200,702)	0%	0
FHWA PL carryover FY15	697,828	697,828	0%	0
TDA (Planning/Administrative)	12,300,000	12,300,000	0%	0
<b>Subtotal: General Planning Revenue</b>	<b>\$25,055,751</b>	<b>\$25,205,751</b>	<b>1%</b>	<b>\$150,000</b>
<b>Other MTC Revenue</b>				
STIP-PPM	\$1,142,067	\$1,142,067	0%	\$0
HOV lane fines	490,000	490,000	0%	0
Interest	20,000	20,000	0%	0
<b>Subtotal: MTC Other Revenue</b>	<b>\$1,652,067</b>	<b>\$1,652,067</b>	<b>0%</b>	<b>\$0</b>
<b>Operating Transfers</b>				
BATA 1%	\$7,087,000	\$7,087,000	0%	\$0
Transfer BATA RM2	1,792,000	1,792,000	0%	0
BATA Reimbursements (Audit/misc. contracts)	1,367,900	1,367,900	0%	0
Express Lanes	375,000	375,000	0%	0
RAFC Management Services	531,769	531,769	0%	0
Service Authority Freeways Expressways (SAFE)	2,527,886	2,527,886	0%	0
Exchange Fund Transfer	10,000,000	10,000,000	0%	0
STA Transfer	2,749,000	2,749,000	0%	0
2% Transit Transfers	511,254	511,254	0%	0
Transfer in from Reserve - Move related	1,000,000	1,000,000	0%	0
Transfer in from Reserve - MTC/ABAG Study	275,000	275,000	0%	0
Transfer in from Reserve - to cover shortfall	0	26,108	-100%	26,108
Transfer in from Liability Reserve	800,000	800,000	0%	0
Transfer in from SAFE & BATA for computer capital	280,000	280,000	0%	0
Grant Funded - Overhead	2,031,713	2,031,713	0%	0
Capital Programs - Overhead	1,856,190	1,856,190	0%	0
<b>Subtotal: Transfers from other funds</b>	<b>\$33,184,712</b>	<b>\$33,210,820</b>	<b>0%</b>	<b>\$26,108</b>
<b>MTC Total Planning Revenue</b>	<b>\$59,892,530</b>	<b>\$60,068,638</b>	<b>0%</b>	<b>\$176,108</b>
<b>Local Revenue Grants</b>				
Misc. Revenue (PMP Sales)	\$800,000	\$1,200,000	50%	\$400,000
ABAG	0	137,500	-100%	\$137,500
TFCA (Regional Rideshare), Spare the Air	1,204,967	1,204,967	0%	0
BAAQMD	0	500,000	-100%	500,000
Motivate	0	696,898	-100%	696,898
Cities	541,311	541,311	0%	0
<b>Subtotal: Local Revenue Grants</b>	<b>\$2,546,278</b>	<b>\$4,280,676</b>	<b>68%</b>	<b>\$1,734,398</b>
<b>Total Current Year Revenue</b>	<b>\$62,438,808</b>	<b>\$64,349,314</b>	<b>3%</b>	<b>\$1,910,506</b>
<b>MTC Prior Year Project Revenue</b>				
<b>Prior Year Project Revenue - Federal/State</b>				
FTA 5303	774,481	774,481		
FHWA	34,522	34,522		
State Transit Assistance (STA)	630,327	630,327		
<b>Subtotal:</b>	<b>\$1,439,330</b>	<b>\$1,439,330</b>		
<b>Prior Year Project Revenue - Local</b>				
General Fund	1,954,000	1,954,000		
Transportation Funds for Clean Air (TFCA)	76,565	76,565		
Service Authority for Freeways/Expressways (SAFE)	1,422,154	1,422,154		
PTAP LM	174,730	174,730		
PPM	75,017	75,017		
RM2/BATA Reimb.	216,803	216,803		
AB 664	51,525	51,525		
local Cities	718,830	718,830		
2% Transit	2,255	2,255		
<b>Subtotal:</b>	<b>\$4,691,879</b>	<b>\$4,691,879</b>		
<b>Total Prior Year Project Revenue</b>	<b>\$6,131,209</b>	<b>\$6,131,209</b>		

**EXPENSE SUMMMARY  
BUDGET FY 2015-16**

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./Dec)	Change \$ Inc./Dec)
Operating Expense				
I. Salaries and Benefits	\$23,015,039	\$22,865,039	-1%	(\$150,000)
MTC Staff - Regular	\$18,439,029	\$18,439,029	0%	\$0
OPEB	1,589,625	1,589,625	0%	0
Temporary Staff	128,688	128,688	0%	0
Project Based Staff & LGS	2,830,697	2,680,697	-5%	(150,000)
Interns	27,000	27,000	0%	0
II. Travel and Training	\$402,000	\$402,000	0%	\$0
III. Printing, Repro. & Graphics	\$134,100	\$134,100	0%	\$0
IV. Computer Services	\$1,404,500	\$1,404,500	0%	\$0
V. Commissioner Expense	\$70,000	\$70,000	0%	\$0
VI. Advisory Committees	\$15,000	\$15,000	0%	\$0
VII. General Operations	\$3,708,656	\$3,708,656	0%	\$0
Subtotal Staff Cost	\$28,749,295	\$28,599,295	-1%	(\$150,000)
IX. Contractual Services	\$33,686,601	\$35,747,107	6%	\$2,060,506
<b>Total Operating Expense Current Year</b>	<b>\$62,435,896</b>	<b>\$64,346,402</b>	<b>3%</b>	<b>\$1,910,506</b>
IX. Contractual Services - Prior Year	\$6,131,209	\$6,131,209	0%	\$0
Transfer out to BAHA	\$0	\$0	0%	\$0
<b>Total Operating Expense</b>	<b>\$68,567,105</b>	<b>\$70,477,611</b>	<b>3%</b>	<b>\$1,910,506</b>

# CAPITAL PROJECTS

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change % Inc./Dec)	Change \$ Inc./Dec)
Annual Transfer from Reserve to Capital	\$1,543,000	\$1,543,000	0%	\$0
Annual Capital Expense	\$1,543,000	\$1,543,000	0%	\$0
	LTD Budget Thru FY 2015-16	Amended BUDGET FY 2015-16		LTD Budget Thru FY 2015-16
Hub Signage Program				
Revenue				
Prop. 1B	\$9,856,450	\$0		\$9,856,450
RM2	362,000	0		362,000
Real Time Sign - BART	300,000	0		300,000
Real Flap Sign - STA	166,300	0		166,300
	\$10,654,450	\$0		\$10,684,750
Expense				
Staff	\$1,200,000	\$0		\$1,200,000
Consultants	9,484,750	0		9,484,750
	\$10,654,450	\$0		\$10,684,750

**CONTRACTUAL SERVICES DETAIL  
New Contractual and Professional Services**

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1111	<b>Support Commission Standing Committees</b>			
	Planning Programs - Other	\$150,000	\$150,000	\$0
	TOTAL	\$150,000	\$150,000	\$0
1112	<b>Implement Public Information Program</b>			
	LWV Monitor	\$25,000	\$25,000	\$0
	Photography services for MTC/BATA	50,000	50,000	0
	Design & Production Services	150,000	150,000	0
	On-call Facilitation and Outreach	25,000	25,000	0
	PDA Communication	50,000	50,000	0
	Digital Promotion & Analysis	25,000	25,000	0
	Awards Program	15,000	15,000	0
	MTC web integration/portal	125,000	125,000	0
	TOTAL	\$465,000	\$465,000	\$0
1121	<b>Plan Bay Area</b>			
	Develop Public Involvement	175,000	175,000	0
	Event Expenses	100,000	100,000	0
	CBO Outreach	50,000	50,000	0
	Public Opinion/Revenue Polls (2 total)	145,000	145,000	0
	Digital Tools/Visualization	75,000	75,000	0
	EIR Development	370,000	520,000	150,000
	MTC/ABAG Merger Implementation Plan	275,000	137,500	(137,500)
	Express Lane Settlement Agreement	50,000	50,000	0
	Plan Bay Area Implementation	0	0	0
	Plan Bay Area Future Trends	0	0	0
	SCS/RTP Plan Development	0	0	0
	Economic Development Strategy	0	0	0
	TOTAL	\$1,240,000	\$1,252,500	\$12,500
1122	<b>Analyze Regional Data using GIS and Travel Models</b>			
	Travel Model Assistance	\$150,000	\$150,000	\$0
	Land use Model Research	250,000	250,000	0
	Travel Model Research	50,000	50,000	0
	Technical Support for Web Based Projects	100,000	100,000	0
	Leverage SHRP2 Investment	100,000	100,000	0
	Consolidated household travel	75,000	75,000	0
	Regional Transit on Board	1,824,565	1,974,565	150,000
	Freight Modeling Program	50,000	50,000	0
	Evolving Transport Research Program Development	50,000	50,000	0
	Pilot fare Coordination project	0	0	0
	Evolving Transport Research Program Development	0	0	0
	TOTAL	\$2,649,565	\$2,799,565	\$150,000
1124	<b>Regional Goods Movement Plan</b>			
	Regional Goods Movement Study	\$0	\$0	\$0
	HUD Follow-up Efforts	150,000	150,000	0
	Sustainable Transportation Planning	450,000	450,000	0
	Zero Emission Freight Study	0	0	0
	TOTAL	\$600,000	\$600,000	\$0
1125	<b>Non-Motorized Transportation</b>			
	Bike Share Program - Operating Expenses	0	500,000	500,000
	Bike Share Program - Assets/Equipment	0	696,898	696,898
	TOTAL	\$0	\$1,196,898	\$1,196,898
1132	<b>Advocacy Coalitions</b>			
	Legislative advocates - Sacramento	\$151,000	\$151,000	\$0
	Mineta Transportation Institute	100,000	100,000	0
	Legislative advocates - Washington D.C.	263,000	263,000	0
	TOTAL	\$514,000	\$514,000	\$0
1152	<b>Agency Financial Management</b>			
	Financial Audit	\$400,000	\$400,000	\$0
	Project Audits	0	0	\$0
	OPEB Actuary	15,000	15,000	0
	Financial System Upgrade	20,000	20,000	0
	TOTAL	\$435,000	\$435,000	\$0
1153	<b>Administrative Services</b>			
	Organizational and Compensation	\$100,000	\$100,000	\$0
	Ergonomics	75,000	75,000	0
	Move related Projects	150,000	150,000	0
	Internship Program	136,000	136,000	0
	TOTAL	\$461,000	\$461,000	\$0

**CONTRACTUAL SERVICES DETAIL**

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1161	<b>Information Technology Services</b>			
	Network/Security Support	\$0	\$0	\$0
	Web/DB Application Development/Integration	50,000	50,000	0
	Network Assistance	50,000	50,000	0
	Enterprise data and process review	350,000	350,000	0
	Document scanning	50,000	50,000	0
	Move Assistance/Project Management	150,000	150,000	0
	<b>TOTAL</b>	<b>\$650,000</b>	<b>\$650,000</b>	<b>\$0</b>
1212	<b>Performance Measuring and Monitoring</b>			
	State of the Region/Performance Monitoring	\$150,000	\$150,000	\$0
	RTP Performance	75,000	75,000	0
	State of Good Repair Performance Analysis	75,000	75,000	0
	<b>TOTAL</b>	<b>\$300,000</b>	<b>\$300,000</b>	<b>\$0</b>
1222	<b>Regional Rideshare Program</b>			
	511 Ridesharing Program Operations	\$1,000,000	\$1,000,000	\$0
	511 Program Marketing	200,000	200,000	0
	SB 1339 Implementation	0	0	0
	<b>TOTAL</b>	<b>\$1,200,000</b>	<b>\$1,200,000</b>	<b>\$0</b>
1223	<b>Operational Support for Regional Programs</b>			
	TMC Legacy Projects and Contingency	\$0	\$0	\$0
	Park N Ride	150,000	150,000	0
	ITS Architecture	200,000	200,000	0
	TMS Technical Advisor & Guidance Bench	0	0	0
	<b>TOTAL</b>	<b>\$350,000</b>	<b>\$350,000</b>	<b>\$0</b>
1224	<b>Regional Traveler Information</b>			
	511 Traffic/Real Time Transit	\$2,191,500	\$2,191,500	\$0
	HSP:511 Real Time	127,000	127,000	0
	511 Web Services	121,000	121,000	0
	511 Transit	891,000	891,000	0
	511 ESRI License	5,900	5,900	0
	Trip planner License	14,000	14,000	0
	Connected Vehicle	0	0	0
	<b>TOTAL</b>	<b>\$3,350,400</b>	<b>\$3,350,400</b>	<b>\$0</b>
1228	<b>Regional Transportation Emergency Operation</b>			
	Satellite Telephone-Annual Operations	\$50,000	\$50,000	\$0
	Transit Emergency Response	0	0	0
	EOC Training & Support	75,000	75,000	0
	<b>TOTAL</b>	<b>\$125,000</b>	<b>\$125,000</b>	<b>\$0</b>
1229	<b>Regional Transportation Emergency Planning</b>			
	Ongoing Emergency Exercise Support	\$300,000	\$300,000	\$0
	Emergency Response Strategies	100,000	100,000	0
	Joint Operations at @ Beale Street	1,100,000	1,100,000	0
	Transit Service Contingency	334,000	334,000	0
	<b>TOTAL</b>	<b>\$1,834,000</b>	<b>\$1,834,000</b>	<b>\$0</b>
1233	<b>Transportation Asset Management</b>			
	Software Development and Maintenance	\$900,000	\$1,300,000	\$400,000
	Transit Capital Inventory	100,000	100,000	0
	Software Training Support	34,410	34,410	0
	TAM Plan Development and Performance	75,000	75,000	0
	PTAP Projects	183,976	183,976	0
	Quality Assurance Program	50,000	50,000	0
	<b>TOTAL</b>	<b>\$1,343,386</b>	<b>\$1,743,386</b>	<b>\$400,000</b>
1234	<b>Arterial Operations</b>			
	Arterial Operations - Next Generation	\$0	\$0	\$0
	<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
1235	<b>Incident Management</b>			
	Incident Management Task Force	\$100,000	\$100,000	\$0
	<b>TOTAL</b>	<b>\$100,000</b>	<b>\$100,000</b>	<b>\$0</b>
1237	<b>Freeway Performance Initiative</b>			
	Managed LANES Master Plan (Study)	\$300,000	\$300,000	\$0
	FPI Traffic Operations/Corridor Analysis	50,000	50,000	0
	Active Traffic Management Strategies	625,000	625,000	0
	<b>TOTAL</b>	<b>\$975,000</b>	<b>\$975,000</b>	<b>\$0</b>
1311	<b>Lifeline Planning</b>			
	Community - Based Transportation Plan Funding Ag.	\$360,000	\$360,000	\$0
	CBTP Grant Program	89,000	89,000	0
	Lifeline Cycle 3	800,000	800,000	0
	Coordinated Plan Update	100,000	100,000	0
	<b>TOTAL</b>	<b>\$1,349,000</b>	<b>\$1,349,000</b>	<b>\$0</b>

**CONTRACTUAL SERVICES DETAIL**

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1413	<b>Climate Initiative</b>			
	Climate Adaption Consulting (BARC)	\$80,000	\$80,000	\$0
	EV Strategic Council	35,000	35,000	0
	Regional Transportation Sea Level Rise	0	0	0
	<b>TOTAL</b>	<b>\$115,000</b>	<b>\$115,000</b>	<b>\$0</b>
1512	<b>Federal TIP Development</b>			
	REMI Financial Forecast Model	\$50,000	\$50,000	\$0
	<b>TOTAL</b>	<b>\$50,000</b>	<b>\$50,000</b>	<b>\$0</b>
1514	<b>Regional Assistance Programs</b>			
	Performance audits - TDA audit & RM2 Oversight	\$250,000	\$250,000	\$0
	<b>TOTAL</b>	<b>\$250,000</b>	<b>\$250,000</b>	<b>\$0</b>
1515	<b>Regional Assistance Programs</b>			
	FMS TIP-RTP Linkage & Mapping	\$0	\$0	0
	FMS User Interface Upgrade	125,000	125,000	0
	<b>TOTAL</b>	<b>\$125,000</b>	<b>\$125,000</b>	<b>\$0</b>
1517	<b>Transit Sustainability</b>			
	Transit Sustainability Planning	\$1,544,159	\$1,544,159	\$0
	West Contra Costa Rapid Transit Study	0	0	0
	S RTP	300,000	300,000	0
	Transit Core Capacity Analysis	0	0	0
	<b>TOTAL</b>	<b>\$1,844,159</b>	<b>\$1,844,159</b>	<b>\$0</b>
1518	<b>New Freedom</b>			
	On Call Facilitation for Mobility Management	\$5,000	\$5,000	\$0
	<b>TOTAL</b>	<b>\$5,000</b>	<b>\$5,000</b>	<b>\$0</b>
1611	<b>Transportation and Land Use Coordination</b>			
	ABAG - FHWA/FTA5303/TDA/Prop.84	\$2,166,091	\$2,329,699	\$163,608
	Transit Oriented Affordable Housing Fund	10,000,000	10,000,000	0
	PDA Assessment	0	0	0
	Complete Streets Technical Assistance	40,000	40,000	0
	Bike/ Ped Counts Program	60,000	60,000	0
	Conference Sponsorship for Rail-Volution	15,000	15,000	0
	Parking Program	125,000	125,000	0
	<b>TOTAL</b>	<b>\$12,406,091</b>	<b>\$12,569,699</b>	<b>\$163,608</b>
106	<b>Legal Services</b>	\$800,000	\$800,000	\$0
101	<b>Encumbrances Contracts</b>	\$0	\$0	\$0
	<b>Total consultant contracts:</b>	<b>\$33,686,601</b>	<b>\$35,609,607</b>	<b>\$1,923,006</b>

## LTD Federal Grants Budget

## Attachment B

		1	2	3 = (1-2)	4	5	6	7 = (3+4-5-6)
STP Grants		LTD Grant thru FY 2014	LTD Actual thru FY 2015	Balance thru FY 2015	New Grant FY 2015-16	staff budget FY 2015-16	Consultant budget FY 2015-16	Balance FY 2015-16
Grant # / Fund Source #	Project Description							
6084-146 1580	Station Area Planning	\$4,256,088	\$2,096,406	\$2,159,682	\$0	\$0	\$358,500	\$1,801,182
6084-156 1585	Regional Streets and Roads	378,695	\$378,695	0	0	0	0	0
6084-162 1590	Freeway Performance Initiative	424,555	\$215,451	209,104	0	0	0	209,104
6160-018 1595	Freeway Performance Initiative	410,412	\$0	410,412	0	410,412	0	(0)
6084-175 1801	CMA Planning	26,270,089	\$10,683,339	15,586,750	0	1,094,196	8,183,000	6,309,554
6084-176 1803	511 Grant	28,112,035	\$8,569,979	19,542,056	0	1,274,193	13,475,377	4,792,486
6084-178 1805	Regional Streets and Roads	736,817	\$111,042	625,775	0	34,410	265,590	325,775
6084-179 1806	Pavement Management	4,136,598	\$1,478,360	2,658,236	0	0	1,420,000	1,238,236
6084-188 1812	OBAG Regional PDA	8,740,305	\$615,735	8,124,570	0	0	2,834,000	5,290,570
6084-187 1811	OBAG Regional PDA - ABAG	1,340,000	\$660,000	680,000	0	0	660,000	20,000
NEW	Arterial Operations	3,000,000	\$500,000	2,500,000	0	0	2,500,000	0
		<b>\$77,805,592</b>	<b>\$25,309,007</b>	<b>\$52,496,585</b>	<b>\$0</b>	<b>\$2,813,211</b>	<b>\$29,896,467</b>	<b>\$19,986,906</b>

## CMAQ Grants

6084-139 1584	Incident Management & FPI	\$837,149	\$837,149	\$0	\$0	\$0	\$0	\$0
6084-160 1589	Arterial Operations	5,216,365	1,659,858	3,562,507	0	641,756	1,200,000	1,720,751
6084-164 1591	Climate Initiatives Program Public Outreach	3,700,527	1,654,817	2,045,710	0	0	1,542,678	503,032
6084-165 1592	Climate Initiatives Evaluation	2,040,085	984,831	1,055,254	0	141,788	0	913,466
6160-018 1596	Freeway Performance Initiative	7,153,941	1,767,305	5,386,636	0	1,417,423	1,500,000	2,469,213
6160-020 1800	Incident Management	5,935,774	867,620	5,068,154	0	402,040	2,408,000	2,258,114
6084-176 1804	511 Grant	11,273,187	5,297,216	5,975,971	0	1,108,147	1,613,623	3,254,201
6084-180 1809	Freeway Performance Corridor Studies	3,833,946	671,234	3,162,712	0	0	1,500,000	1,662,712
6084-188 1814	Regional Bicycle Program	1,725,000	168,253	1,556,747	0	0	1,185,000	371,747
NEW	Incident Management	0	0	0	10,840,000	0	10,840,000	0
NEW	Climate Initiatives CYCLE 2	0	0	0	7,000,000	0	7,000,000	0
		<b>\$41,715,974</b>	<b>\$13,902,283</b>	<b>\$27,813,691</b>	<b>\$17,840,000</b>	<b>\$3,711,154</b>	<b>\$28,789,301</b>	<b>\$13,153,236</b>

## FTA GRANTS

90-Y555 1613	JARC	\$18,613	14,064	\$4,549	\$0	\$0	\$4,549	\$0
37-X076 1614	JARC	347,421	0	347,421	0	0	265,248	82,173
37-X043 1620	JARC	29,252	0	29,252	0	0	0	29,252
37-X064 1622	JARC	88,993	0	88,993	0	0	0	88,993
37-X104 1625	JARC	304,533	0	304,533	0	0	0	304,533
37-X133 1627	JARC	369,493	82,311	287,182	0	0	0	287,182
37-X164 1629	JARC	684,619	2,200	682,419	0	15,526	0	666,893
37-X177 1630	JARC	2,430,952	276,685	2,154,267	0	0	0	2,154,267
34-001 1631	FTA 5339	10,506,277	840,438	9,665,839	0	0	9,665,839	0
34-0024 1633	FTA 5339	12,240,015	0	12,240,015	0	0	12,240,015	0
New	FTA 5339	0	0	0	11,565,979	0	11,565,979	0
New	TIGER GRANT	1,000,000	112,140	887,860	0	0	887,860	0
57-X023 1623	New Freedom	150,928	24,334	126,594	0	0	0	126,594
57-X032 1624	New Freedom	41,250	34,157	7,093	0	0	0	7,093
57-X050 1626	New Freedom	375,031	179,106	195,925	0	0	0	195,925
57-X074 1628	New Freedom	1,308,460	1,014,849	293,611	0	0	0	293,611
57-X109 1632	New Freedom	1,383,631	425,793	957,838	0	0	100,166	857,672
		<b>\$31,279,468</b>	<b>\$3,006,077</b>	<b>\$28,273,391</b>	<b>\$11,565,979</b>	<b>\$15,526</b>	<b>\$34,729,656</b>	<b>\$5,094,188</b>

## HPP/VPP GRANTS

1739	VPPL Value PRICING	\$482,045	362,769	\$119,276	\$0	\$0	\$0	\$119,276
		<b>\$482,045</b>	<b>\$362,769</b>	<b>\$119,276</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$119,276</b>

## HUD Grant

1737	HUD Grant	\$2,997,213	\$2,694,396	\$302,817	\$0	\$0	\$0	\$302,817
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## Other Grants

1111	FHWA - Climate Change	\$167,356	167,251	\$105		\$0	\$0	\$105
1110	HEPP Travel Model (Reobligated)	\$90,000	\$0	\$90,000	0	0	\$90,000	0
1112	FHWA - SHRP2	700,000	41,944	658,056	0	0	0	658,056
New	FTA 5310				454,430	0	0	454,430
		<b>\$957,356</b>	<b>\$209,195</b>	<b>\$748,161</b>	<b>\$454,430</b>	<b>\$0</b>	<b>\$90,000</b>	<b>\$1,112,591</b>

## Total Federal Grants Budget

<b>\$155,237,648</b>	<b>\$45,483,727</b>	<b>\$109,753,921</b>	<b>\$29,880,409</b>	<b>\$6,539,891</b>	<b>\$93,305,424</b>	<b>\$39,769,015</b>
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**CONTRACTUAL SERVICES DETAIL Federal Grants**

Work Element	Description/Purpose	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec)
1122	Analyze Regional Data using GIS and Travel Models			
	Travel Model	\$90,000	\$90,000	\$0
	TOTAL	\$90,000	\$90,000	\$0
1152	Agency Financial Management			
	Project Audits	\$200,000	\$200,000	\$0
	TOTAL	\$200,000	\$200,000	\$0
1222	Regional Rideshare Program			
	511 Program Operations	\$2,549,000	\$2,549,000	\$0
	511 Program Marketing	\$374,000	\$374,000	\$0
	Rideshare: Employer Services (CMAs)	450,000	450,000	0
	SB 1339	93,000	93,000	0
	TOTAL	\$3,466,000	\$3,466,000	\$0
1223	Operational Support for Regional Programs			
	TMS Technical Advisor & Guidance Bench	\$0	\$0	\$0
	TOTAL	\$0	\$0	\$0
1224	Regional Traveler Information			
	511 Traffic Real Time Transit	\$6,103,000	\$6,103,000	\$0
	511 Transit	5,302,000	5,302,000	0
	RG	0	0	0
	Connected Vehicles	0	0	0
	511 ESRI License	111,000	111,000	0
	TOTAL	\$11,516,000	\$11,516,000	\$0
1233	Pavement Management System			
	Software Training Support	\$265,590	\$265,590	\$0
	P-TAP Projects	1,420,000	1,420,000	0
	TOTAL	\$1,685,590	\$1,685,590	\$0
1234	Arterial Operations Coordination			
	Program for Arterial System	\$1,200,000	\$1,200,000	\$0
	Arterial Operations - Next Generation	2,500,000	2,500,000	0
	TOTAL	\$3,700,000	\$3,700,000	\$0
1235	Incident Management			
	Incident Management Task Force	\$140,000	\$140,000	\$0
	I-880 ICM	13,108,000	13,108,000	0
	TOTAL	\$13,248,000	\$13,248,000	\$0
1237	Freeway Performance Initiative			
	FPI Implementation and Ramp Metering	\$1,500,000	\$1,500,000	\$0
	FPI Traffic Operations/Corridor Analysis	1,500,000	1,500,000	0
	TOTAL	\$3,000,000	\$3,000,000	\$0
1310	Implement Lifeline Transportation Program			
	Lifeline Planning	\$269,797	\$269,797	\$0
	TOTAL	\$269,797	\$269,797	\$0
1413	Climate Initiative			
	Bike to Work Day	\$150,000	\$150,000	\$0
	Climate Initiative Outreach and Marketing program	\$17,197,678	\$9,484,678	(\$7,713,000)
	TOTAL	\$17,347,678	\$9,634,678	(\$7,713,000)
1512	Federal TIP Development			
	Transit Capital Inventory	\$0	\$0	\$0
	Transit Operators	33,471,833	33,471,833	0
	TOTAL	\$33,471,833	\$33,471,833	\$0
1517	Transit Sustainability			
	Transit Core Capacity Analysis	\$0	\$0	\$0
	TOTAL	\$0	\$0	\$0
1518	New Freedom			
	New Freedom Projects	\$100,166	\$100,166	\$0
	TOTAL	\$100,166	\$100,166	\$0
1519	Transit Core			
	Transit Core Study	\$887,860	\$887,860	\$0
	TOTAL	\$887,860	\$887,860	\$0
1611	Transportation and Land Use Coordination			
	ABAG - STP	\$1,359,000	\$1,359,000	\$0
	BCDC STP	351,000	351,000	0
	CMAs - STP	7,133,000	7,133,000	0
	Access Public Lands near Transit	500,000	500,000	0
	PDA Implementation Studies	515,000	515,000	0
	SR 82 Study	275,000	275,000	0
	PDA Planning Grant	1,902,500	1,902,500	0
	TOTAL	\$12,035,500	\$12,035,500	\$0
	<b>Total Federal funded Consultants</b>	<b>\$101,018,424</b>	<b>\$93,305,424</b>	<b>(\$7,713,000)</b>

## Attachment C

**Clipper Operating:**

	Amended BUDGET FY 2015-16	Amended BUDGET FY 2015-16	Change \$ Inc./Dec
Revenue:			
CMAQ	\$0	\$0 0%	\$0
RM2	2,825,000	2,825,000 0%	0
STA	17,856,667	17,856,667 0%	0
Transit Operators	17,914,400	17,914,400 0%	0
	<u>\$38,596,067</u>	<u>\$38,596,067 0%</u>	<u>\$0</u>
Expenses:			
Salaries and Benefits	\$1,574,567	\$1,574,567 0%	\$0
Temporary Agency	0	0 0%	0
Travel & Membership	72,100	72,100 0%	0
Promotion/Outreach/Fare Inc.	3,890,000	3,890,000 0%	0
Bad Debt	0	0 0%	0
Clipper Operations	<u>33,059,400</u>	<u>33,059,400 0%</u>	<u>0</u>
	<u>\$38,596,067</u>	<u>\$38,596,067 0%</u>	<u>\$0</u>

**Clipper Capital:**

	LTD Budget Thru FY2015-16	Amended BUDGET FY 2015-16	LTD Budget Thru FY2015-16
Revenue:			
CMAQ	\$71,675,201	(\$180,000)	\$71,495,201
Card Sales	\$4,851,267	0	\$4,851,267
ARRA	11,000,000	0	11,000,000
FTA	25,177,072	0	25,177,072
STP	43,605,002	0	43,605,002
STA	21,207,597	0	21,207,597
Prop 1B	1,000,000	0	1,000,000
SFMTA	3,905,421	4,100,000	8,005,421
GGGHTD	2,975,000	0	2,975,000
BART	725,000	0	725,000
MTC Exchange Fund	8,269,158	0	8,269,158
BATA	27,124,813	0	27,124,813
Transit Operators	11,807,000	0	11,807,000
WETA	603,707	0	603,707
Sales Tax	<u>99,311</u>	<u>0</u>	<u>99,311</u>
	<u>\$225,763,898</u>	<u>\$3,920,000</u>	<u>\$237,945,549</u>
Expense:			
Staff Costs	\$11,022,524	\$0	\$11,022,524
Travel	3,208	0	3,208
Pilot Equipment Maintenance	3,093,834	0	3,093,834
Transit Agency Funded Projects	11,860,707	0	11,860,707
Design	54,690,574	0	54,690,574
Site Preparation	3,899,437	0	3,899,437
Construction	21,867,682	0	21,867,682
Consultants	22,365,903	3,920,000	26,285,903
Engineering	7,953,061	0	7,953,061
Communications	1,583,000	0	1,583,000
Marketing	2,212,029	0	2,212,029
Financial Services	391,600	0	391,600
Equipment	44,074,714	0	44,074,714
Clipper Cards	13,140,095	0	13,140,095
Other	<u>35,867,181</u>	<u>0</u>	<u>35,867,181</u>
	<u>\$225,763,898</u>	<u>\$3,920,000</u>	<u>\$229,683,898</u>

**CONTRACTUAL SERVICES DETAIL**

Prior Year Contractual and Professional Services

**AMENDED BUDGET  
FY 2015-16**
**Work Element Description/Purpose**

1121	<b>Plan Bay Area</b>	
	Bay Area Council Economic	\$82,775
	Barabary Coast	\$43,707
	Visual Strategies	\$10,000
	Rose Foundation for Communities	\$5,000
	Richmond Main Street	\$5,000
	Southern Hayward Parish	\$5,000
	SELA Learning	\$5,000
	Sound of Hope Radio Network	\$5,000
	<b>TOTAL</b>	<b>\$161,482</b>
1122	<b>Analyze Regional Data using GIS and Travel Models</b>	
	Corey, Canapary	\$238,410
	ETC Institute	\$145,255
	Redhill Group	\$25,274
	Synthicity LLC	\$216,000
	Parsons Brinkerhoff	\$142,151
	<b>TOTAL</b>	<b>\$767,090</b>
1124	<b>Regional Goods Movement Plan</b>	
	ACTC	\$137,654
	San Francisco Transp. Authority	\$32,625
	Cambridge Systematics	\$174,920
	<b>TOTAL</b>	<b>\$345,199</b>
1152	<b>Agency Financial Management</b>	
	Pricewaterhouse Coopers	\$184,422
	<b>TOTAL</b>	<b>\$184,422</b>
1153	<b>Administrative Services</b>	
	Pathways for Students	\$5,794
	Carl Warren	\$20,000
	Cushman & Wakefield	\$5,000
	Koff & Associates	\$9,990
	Pamela Hurt	\$18,241
	International Effectiveness	\$4,941
	GovDelivery	\$11,918
	Customized	\$11,666
	Ergonomic	\$955
	Share Squared	\$38,873
	<b>TOTAL</b>	<b>\$127,378</b>
1212	<b>Performance Measuring and Monitoring</b>	
	Lowercase Productions	\$26,025
	<b>TOTAL</b>	<b>\$26,025</b>
1222	<b>Regional Rideshare Program</b>	
	Parsons Brinkerhoff	\$83,626
	<b>TOTAL</b>	<b>\$83,626</b>
1223	<b>Operational Support for Regional Programs</b>	
	Atkins North America Inc. (PBS & J)	\$84
	Iteris, Inc.	\$57,000
	Delcan	\$122,263
	Kimley Horn	\$10,559
	Cambridge Systematics	\$52
	<b>TOTAL</b>	<b>\$189,958</b>
1224	<b>Regional Traveler Information</b>	
	Civic Resource Group	\$41,381
	LEIDOS	\$619,707
	LEIDOS	\$289,457
	Kimley Horn	\$12,366
	CALTRANS	\$4,254
	<b>TOTAL</b>	<b>\$967,165</b>
1229	<b>Regional Transportation Emergency Planning</b>	
	URS	\$39,619
	DKS & Associates	\$41,628
	<b>TOTAL</b>	<b>\$81,247</b>
1233	<b>Pavement Management System</b>	
	Adhara Systems	\$103,908
	Quality Engng Solutions	\$6,916
	DevMecca.com	\$25,017
	Nichols Consulting	\$51
	CA State University Chico	\$50,000
	AMS Consulting	\$31,967
	JG3 Consulting	\$6,446
	Bellecci & Associates	\$2,039
	Capitol Asset & Pavement	\$32,024
	Harris & Associates	\$54,152
	Nichols Consulting	\$35,993
	<b>TOTAL</b>	<b>\$348,513</b>
1234	<b>Arterial Operations Coordination</b>	
	Kimley Horn & Assoc.	\$12,665
	Iteris	\$306,768
	<b>TOTAL</b>	<b>\$319,433</b>
1235	<b>Incident Management</b>	
	Kimley Horn	\$40,000
	<b>TOTAL</b>	<b>\$40,000</b>

1237	<b>Freeway Performance Initiative</b>	
	Fehr & Peers	\$1,859
	Kimley Horn	\$1,346
	Kittleson	\$26,837
	Cambridge	\$27,437
1311	URS	\$100,000
	<b>TOTAL</b>	<b>\$157,479</b>
1512	<b>Implement Lifeline Program</b>	
	CH2M Hill	\$199,940
1514	<b>Federal TIP Development</b>	
	CH2M Hill	\$161,865
1517	<b>Regional Assistance Programs</b>	
	Perlott & Associates	\$18,047
1611	<b>Transit Sustainability</b>	
	MIG, Inc	\$20,629
1161	PCJPB	\$40,000
	AC Transit	\$40,000
Fund 106	Nelson Nygaard	\$100,000
	ARUP North America Ltd.	\$762,669
	WETA	\$30,000
	Sonoma County Transit	\$30,000
	ECCTA	\$30,000
	Marin Transit	\$20,000
	CCCTA	\$30,000
	WCCTA	\$30,000
	Vacaville, City of	\$10,000
	Sonoma Cnty Transp. Authority	\$20,000
	LAVTA	\$30,000
	Union, City of	\$30,000
	West Contra Costa Transit	\$89,921
	<b>TOTAL</b>	<b>\$1,313,219</b>
1611	<b>Transportation for Livable Communities</b>	
	Toole Design	\$53,085
1161	Economic & Planning	\$62,000
	<b>TOTAL</b>	<b>\$115,085</b>
1161	<b>Information Technology Services</b>	
	Share Squared	\$103,871
	<b>TOTAL</b>	<b>\$103,871</b>
Fund 106		
	Thomas Law Group	\$302,922
	Glynn & Finley	\$80,000
	Hanson Bridgett	\$33,059
	Myers Nave	\$4,184
	<b>TOTAL</b>	<b>\$420,165</b>
	<b>Total Prior Year Contractual and Professional Services</b>	<b>\$6,131,209</b>



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1081      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 11/17/2015      **In control:** Administration Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4215 - MTC Agency Pay Schedules.

Review and retroactive approval and adoption of MTC salary schedules for fiscal years 2012, 2013, 2014 and 2015 per request of CalPERS.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:** [Commission 7b Reso-4215 MTC Pay Schedules](#)  
[4b Reso-4215 MTC Pay Schedules.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Administration Committee		

**Subject:**

MTC Resolution No. 4215 - MTC Agency Pay Schedules.

*Review and retroactive approval and adoption of MTC salary schedules for fiscal years 2012, 2013, 2014 and 2015 per request of CalPERS.*

**Presenter:**

Robin James

**Recommended Action:**

Commission Approval



METROPOLITAN  
TRANSPORTATION  
COMMISSION

Joseph P. Bort MetroCenter  
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## Memorandum

TO: Administration Committee

DATE: January 6, 2016

FR: Executive Director

WI: 1153

RE: MTC Resolution No. 4215 – MTC Agency Pay Schedules

This memorandum requests referral of MTC Resolution No. 4215, approving MTC's agency pay schedules for Committee for Staff Representation (CSR) represented employees and specific executive employees for FY2011-12, FY2012-13, FY2013-14, and FY2014-15 and for MTC's Executive Director and General Counsel for FY2011-12, to the Commission for approval.

As background, MTC's California Public Employees' Retirement System (CalPERS) audit review findings dated August 28, 2015 stated that MTC's existing salary charts did not meet Government Code Section 20636 and CCR Section 570.5 requirements. MTC reformatted its pay schedules for all employee classifications and obtained approval of the new format and the pay schedules for FY2015-16 with the adoption of MTC Resolution No. 4200 on July 22, 2015. Pay schedules for the Executive Director and General Counsel for FY2012-13, FY2013-14, and FY2014-15 were also approved on July 22, 2015 with the adoption of MTC Resolution No. 4201.

As a final remedy for the review finding, CalPERS requests that MTC create pay schedules in this new format for Commission approval and adoption retroactively for CSR represented employees and specific executive employees for FY2011-12, FY2012-13, FY2013-14, and FY2014-15; and for the Executive Director and General Counsel for FY2011-12.

This action has no current or retroactive budgetary impact. Staff recommends that this Committee refer MTC Resolution No. 4215 to the Commission for approval.

  
\_\_\_\_\_  
Steve Heminger

SH:rj

### Attachments

J:\COMMITTEE\Administration\2016 by Month\01\_Jan'2016\_Admin\4b\_Reso-4215\_Pay\_Schedules.docx

Date: January 27, 2016  
W.I.: 1153  
Referred by: Administration Committee

ABSTRACT

Resolution No. 4215

This resolution sets forth the MTC agency pay schedule for CSR represented employees and specific executive employees for FY2011-12, FY2012-13, FY2013-14, and FY2014-15, and for MTC's Executive Director and General Counsel for FY2011-12.

Further discussion of this action is contained in the MTC Executive Director's memorandum to the Administration Committee dated January 6, 2016.

Date: January 27, 2016  
W.I.: 1153  
Referred By: Administration Committee

RE: MTC Agency Pay Schedules for FY 2011-12, FY 2012-13, FY 2013-14, and FY 2014-15

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4215

WHEREAS, the Metropolitan Transportation Commission (MTC) is the Regional Transportation Planning Authority for the San Francisco Bay Area pursuant to Government Code §§ 66500 *et seq.*; and

WHEREAS, MTC Resolutions Nos. 4023 and 4153, set forth the employment benefits and salary schedule for CSR represented employees and confidential employees from August 1, 2011 through June 30, 2014 and July 1, 2014 through June 30, 2018, respectively; and

WHEREAS, MTC Resolutions Nos. 4024 and 4154, set forth the employment benefits and salary schedule for specific executive employees and confidential employees from August 1, 2011 through June 30, 2014 and July 1, 2014 through June 30, 2018, respectively; and

WHEREAS, the MTC has approved an employment agreement dated August 2, 2010 for the period of August 2, 2010 through June 30, 2013 for the General Counsel, and an employment agreement dated June 23, 2010 for the period of July 1, 2010 through June 30, 2013 for the Executive Director (“Employment Agreement”); and

WHEREAS, the MTC contracts with the California Public Employees Retirement System (CalPERS) to provide retirement benefits for its employees; and

WHEREAS, CalPERS uses the MTC’s pay schedules to calculate retirement benefits earned by the MTC’s employees; and

WHEREAS, the MTC as a contracting public employer is adhering to California Code of Regulations, Title 2, Section 570.5 which sets forth reporting regulations for CalPERS member agencies to have a duly approved and adopted publicly available pay schedule; now therefore be it

RESOLVED, that this resolution sets forth the MTC pay schedules contemplated in MTC Resolution Nos. 4023, 4024, 4153, and 4154 for FY2011-12, FY2012-13, FY2013-14 and FY2014-15 and the Employment Agreements for FY2011-12; and be it further

RESOLVED, that MTC agency pay schedule for CSR represented regular staff employees and Confidential employees effective July 1, 2011 through June 30, 2015 shall be as set forth in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC agency pay schedule for specific executive employees effective July 1, 2011 through June 30, 2015 shall be as set forth in Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length; and be it further

RESOLVED, that MTC agency pay schedule for the Executive Director and General Counsel effective July 1, 2011 through June 30, 2012 shall be as set forth in Attachment C to this resolution, attached hereto and incorporated herein as though set forth at length; and be it further

RESOLVED, that the attached pay schedules will be posted at MTC's offices or immediately accessible for public review during normal business hours or posted on MTC's internet site.

METROPOLITAN TRANSPORTATION COMMISSION

---

Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on January 27, 2016.

**Attachment A**  
**Page 1 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$17.8580	\$18.3045	\$18.7621	\$19.2312	\$19.7120	\$20.2048	\$20.7099	\$21.2276	\$21.7583	\$22.3023	\$22.8599	HOURLY BASE RATE
		\$1,285.78	\$1,317.92	\$1,350.87	\$1,384.65	\$1,419.26	\$1,454.75	\$1,491.11	\$1,528.39	\$1,566.60	\$1,605.77	\$1,645.91	BI-WEEKLY
		\$2,785.86	\$2,855.49	\$2,926.89	\$3,000.08	\$3,075.06	\$3,151.96	\$3,230.74	\$3,311.51	\$3,394.30	\$3,479.17	\$3,566.14	MONTHLY
		\$33,430.28	\$34,265.92	\$35,122.62	\$36,000.90	\$36,900.76	\$37,823.50	\$38,768.86	\$39,738.14	\$40,731.60	\$41,750.02	\$42,793.66	ANNUAL
Intern													
ADMINISTRATOR II	II	\$20.2844	\$20.7915	\$21.3113	\$21.8441	\$22.3902	\$22.9500	\$23.5238	\$24.1119	\$24.7147	\$25.3326	\$25.9659	HOURLY BASE RATE
		\$1,460.48	\$1,496.99	\$1,534.41	\$1,572.78	\$1,612.09	\$1,652.40	\$1,693.71	\$1,736.06	\$1,779.46	\$1,823.95	\$1,869.54	BI-WEEKLY
		\$3,164.37	\$3,243.48	\$3,324.56	\$3,407.69	\$3,492.86	\$3,580.20	\$3,669.71	\$3,761.46	\$3,855.50	\$3,951.89	\$4,050.67	MONTHLY
		\$37,972.48	\$38,921.74	\$39,894.66	\$40,892.28	\$41,914.34	\$42,962.40	\$44,036.46	\$45,137.56	\$46,265.96	\$47,422.70	\$48,608.04	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
ADMINISTRATOR III	III	\$22.3519	\$22.9107	\$21.4835	\$24.0706	\$24.6724	\$25.2892	\$25.9214	\$26.5694	\$27.2336	\$27.9144	\$28.6123	HOURLY BASE RATE
		\$1,609.34	\$1,649.57	\$1,690.81	\$1,733.08	\$1,776.41	\$1,820.82	\$1,866.34	\$1,913.00	\$1,960.82	\$2,009.84	\$2,060.09	BI-WEEKLY
		\$3,486.90	\$3,574.07	\$3,663.42	\$3,755.01	\$3,848.89	\$3,945.11	\$4,043.74	\$4,144.83	\$4,248.44	\$4,354.65	\$4,463.53	MONTHLY
		\$41,842.84	\$42,888.82	\$43,961.06	\$45,060.08	\$46,186.66	\$47,341.32	\$48,524.84	\$49,738.00	\$50,981.32	\$52,255.84	\$53,562.34	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$24.5801	\$25.1946	\$25.8245	\$26.4701	\$27.1319	\$27.8102	\$28.5055	\$29.2181	\$29.9486	\$30.6973	\$31.4647	HOURLY BASE RATE
		\$1,769.77	\$1,814.01	\$1,859.36	\$1,905.85	\$1,953.50	\$2,002.33	\$2,052.40	\$2,103.70	\$2,156.30	\$2,210.21	\$2,265.46	BI-WEEKLY
		\$3,834.50	\$3,930.36	\$4,028.61	\$4,129.34	\$4,232.58	\$4,338.38	\$4,446.87	\$4,558.02	\$4,671.98	\$4,788.79	\$4,908.50	MONTHLY
		\$46,014.02	\$47,164.26	\$48,343.36	\$49,552.10	\$50,791.00	\$52,060.58	\$53,362.40	\$54,696.20	\$56,063.80	\$57,465.46	\$58,901.96	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

**Attachment A**  
**Page 2 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$27.0452	\$27.7213	\$28.4143	\$29.1247	\$29.8528	\$30.5991	\$31.3641	\$32.1482	\$32.9519	\$33.7757	\$34.6201	HOURLY BASE RATE
		\$1,947.25	\$1,995.93	\$2,045.83	\$2,096.98	\$2,149.40	\$2,203.14	\$2,258.22	\$2,314.67	\$2,372.54	\$2,431.85	\$2,492.65	BI-WEEKLY
		\$4,219.04	\$4,324.52	\$4,432.63	\$4,543.46	\$4,657.03	\$4,773.47	\$4,892.81	\$5,015.12	\$5,140.50	\$5,269.01	\$5,400.74	MONTHLY
		\$50,628.50	\$51,894.18	\$53,191.58	\$54,521.48	\$55,884.40	\$57,281.64	\$58,713.72	\$60,181.42	\$61,686.04	\$63,228.10	\$64,808.90	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$29.8327	\$30.5785	\$31.3430	\$32.1266	\$32.9298	\$33.7530	\$34.5968	\$35.4617	\$36.3482	\$37.2569	\$38.1883	HOURLY BASE RATE
		\$2,147.95	\$2,201.65	\$2,256.70	\$2,313.12	\$2,370.95	\$2,430.22	\$2,490.97	\$2,553.24	\$2,617.07	\$2,682.50	\$2,749.56	BI-WEEKLY
		\$4,653.89	\$4,770.24	\$4,889.52	\$5,011.76	\$5,137.06	\$5,265.48	\$5,397.10	\$5,532.02	\$5,670.32	\$5,812.08	\$5,957.38	MONTHLY
		\$55,846.70	\$57,242.90	\$58,674.20	\$60,141.12	\$61,644.70	\$63,185.72	\$64,765.22	\$66,384.24	\$68,043.82	\$69,745.00	\$71,488.56	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

**Attachment A**  
**Page 3 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$34.3974	\$35.2573	\$36.1387	\$37.0422	\$37.9683	\$38.9175	\$39.8904	\$40.8877	\$41.9099	\$42.9576	\$44.0315	HOURLY BASE RATE
		\$2,476.61	\$2,538.53	\$2,601.99	\$2,667.04	\$2,733.72	\$2,802.06	\$2,872.11	\$2,943.91	\$3,017.51	\$3,092.95	\$3,170.27	BI-WEEKLY
		\$5,365.99	\$5,500.15	\$5,637.65	\$5,778.59	\$5,923.06	\$6,071.13	\$6,222.91	\$6,378.47	\$6,537.94	\$6,701.39	\$6,868.92	MONTHLY
		\$64,391.86	\$66,001.78	\$67,651.74	\$69,343.04	\$71,076.72	\$72,853.56	\$74,674.86	\$76,541.66	\$78,455.26	\$80,416.70	\$82,427.02	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$39.6574	\$40.6488	\$41.6650	\$42.7066	\$43.7743	\$44.8687	\$45.9904	\$47.1402	\$48.3187	\$49.5267	\$50.7649	HOURLY BASE RATE
		\$2,855.33	\$2,926.71	\$2,999.88	\$3,074.88	\$3,151.75	\$3,230.55	\$3,311.31	\$3,394.09	\$3,478.95	\$3,565.92	\$3,655.07	BI-WEEKLY
		\$6,186.55	\$6,341.21	\$6,499.74	\$6,662.24	\$6,828.79	\$6,999.53	\$7,174.51	\$7,353.86	\$7,537.73	\$7,726.16	\$7,919.32	MONTHLY
		\$74,238.58	\$76,094.46	\$77,996.88	\$79,946.88	\$81,945.50	\$83,994.30	\$86,094.06	\$88,246.34	\$90,452.70	\$92,713.92	\$95,031.82	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
**Page 4 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$48.5557	\$49.7696	\$51.0138	\$52.2891	\$53.5963	\$54.9362	\$56.3096	\$57.7173	\$59.1602	\$60.6392	\$62.1552	HOURLY BASE RATE
		\$3,496.01	\$3,583.41	\$3,672.99	\$3,764.82	\$3,858.93	\$3,955.41	\$4,054.29	\$4,155.65	\$4,259.53	\$4,366.02	\$4,475.17	BI-WEEKLY
		\$7,574.69	\$7,764.06	\$7,958.15	\$8,157.11	\$8,361.02	\$8,570.06	\$8,784.30	\$9,003.91	\$9,228.98	\$9,459.71	\$9,696.20	MONTHLY
		\$90,896.26	\$93,168.66	\$95,497.74	\$97,885.32	\$100,332.18	\$102,840.66	\$105,411.54	\$108,046.90	\$110,747.78	\$113,516.52	\$116,354.42	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

**Attachment A**  
**Page 5 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$17.8580	\$18.3045	\$18.7621	\$19.2312	\$19.7120	\$20.2048	\$20.7099	\$21.2276	\$21.7583	\$22.3023	\$22.8599	HOURLY BASE RATE
		\$1,428.64	\$1,464.36	\$1,500.97	\$1,538.50	\$1,576.96	\$1,616.38	\$1,656.79	\$1,698.21	\$1,740.66	\$1,784.18	\$1,828.79	BI-WEEKLY
		\$3,095.39	\$3,172.78	\$3,252.10	\$3,333.42	\$3,416.75	\$3,502.16	\$3,589.71	\$3,679.46	\$3,771.43	\$3,865.72	\$3,962.38	MONTHLY
		\$37,144.64	\$38,073.36	\$39,025.22	\$40,001.00	\$41,000.96	\$42,025.88	\$43,076.54	\$44,153.46	\$45,257.16	\$46,388.68	\$47,548.54	ANNUAL
Intern													
ADMINISTRATOR II	II	\$20.2844	\$20.7915	\$21.3113	\$21.8441	\$22.3902	\$22.9500	\$23.5238	\$24.1119	\$24.7147	\$25.3326	\$25.9659	HOURLY BASE RATE
		\$1,622.75	\$1,663.32	\$1,704.90	\$1,747.53	\$1,791.22	\$1,836.00	\$1,881.90	\$1,928.95	\$1,977.18	\$2,026.61	\$2,077.27	BI-WEEKLY
		\$3,515.96	\$3,603.86	\$3,693.95	\$3,786.32	\$3,880.98	\$3,978.00	\$4,077.45	\$4,179.39	\$4,283.89	\$4,390.99	\$4,500.75	MONTHLY
		\$42,191.50	\$43,246.32	\$44,327.40	\$45,435.78	\$46,571.62	\$47,736.00	\$48,929.40	\$50,152.70	\$51,406.68	\$52,691.86	\$54,009.02	ANNUAL
Administrative Assistant I GSU Assistant I Accounting Assistant I													
ADMINISTRATOR III	III	\$22.3519	\$22.9107	\$23.4835	\$24.0706	\$24.6724	\$25.2892	\$25.9214	\$26.5694	\$27.2336	\$27.9144	\$28.6123	HOURLY BASE RATE
		\$1,788.15	\$1,832.86	\$1,878.68	\$1,925.65	\$1,973.79	\$2,023.14	\$2,073.71	\$2,125.55	\$2,178.69	\$2,233.15	\$2,288.98	BI-WEEKLY
		\$3,874.33	\$3,971.20	\$4,070.47	\$4,172.24	\$4,276.55	\$4,383.47	\$4,493.04	\$4,605.36	\$4,720.50	\$4,838.49	\$4,959.46	MONTHLY
		\$46,491.90	\$47,654.36	\$48,845.68	\$50,066.90	\$51,318.54	\$52,601.64	\$53,916.46	\$55,264.30	\$56,645.94	\$58,061.90	\$59,513.48	ANNUAL
Administrative Assistant II GSU Assistant II Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$24.5801	\$25.1946	\$25.8245	\$26.4701	\$27.1319	\$27.8102	\$28.5055	\$29.2181	\$29.9486	\$30.6973	\$31.4647	HOURLY BASE RATE
		\$1,966.41	\$2,015.57	\$2,065.96	\$2,117.61	\$2,170.55	\$2,224.82	\$2,280.44	\$2,337.45	\$2,395.89	\$2,455.78	\$2,517.18	BI-WEEKLY
		\$4,260.56	\$4,367.07	\$4,476.25	\$4,588.16	\$4,702.86	\$4,820.44	\$4,940.95	\$5,064.48	\$5,191.10	\$5,320.86	\$5,453.89	MONTHLY
		\$51,126.66	\$52,404.82	\$53,714.96	\$55,057.86	\$56,434.30	\$57,845.32	\$59,291.44	\$60,773.70	\$62,293.14	\$63,850.28	\$65,446.68	ANNUAL
Administrative Assistant III Purchasing Technician GSU Assistant III Building Services Assistant I Information Systems Technician I Accounting Assistant III Library Technician I Public Info & Outreach Technician I													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$27.0452	\$27.7213	\$28.4143	\$29.1247	\$29.8528	\$30.5991	\$31.3641	\$32.1482	\$32.9519	\$33.7757	\$34.6201	HOURLY BASE RATE
		\$2,163.62	\$2,217.70	\$2,273.14	\$2,329.98	\$2,388.22	\$2,447.93	\$2,509.13	\$2,571.86	\$2,636.15	\$2,702.06	\$2,769.61	BI-WEEKLY
		\$4,687.84	\$4,805.02	\$4,925.14	\$5,048.29	\$5,174.48	\$5,303.85	\$5,436.45	\$5,572.36	\$5,711.66	\$5,854.46	\$6,000.82	MONTHLY
		\$56,254.12	\$57,660.20	\$59,101.64	\$60,579.48	\$62,093.72	\$63,646.18	\$65,237.38	\$66,868.36	\$68,539.90	\$70,253.56	\$72,009.86	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$29.8327	\$30.5785	\$31.3430	\$32.1266	\$32.9298	\$33.7530	\$34.5968	\$35.4617	\$36.3482	\$37.2569	\$38.1883	HOURLY BASE RATE
		\$2,386.62	\$2,446.28	\$2,507.44	\$2,570.13	\$2,634.38	\$2,700.24	\$2,767.74	\$2,836.94	\$2,907.86	\$2,980.55	\$3,055.06	BI-WEEKLY
		\$5,171.01	\$5,300.27	\$5,432.79	\$5,568.62	\$5,707.82	\$5,850.52	\$5,996.77	\$6,146.70	\$6,300.36	\$6,457.86	\$6,619.30	MONTHLY
		\$62,052.12	\$63,603.28	\$65,193.44	\$66,823.38	\$68,493.88	\$70,206.24	\$71,961.24	\$73,760.44	\$75,604.36	\$77,494.30	\$79,431.56	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$34.3974	\$35.2573	\$36.1387	\$37.0422	\$37.9683	\$38.9175	\$39.8904	\$40.8877	\$41.9099	\$42.9576	\$44.0315	HOURLY BASE RATE
		\$2,751.79	\$2,820.58	\$2,891.10	\$2,963.38	\$3,037.46	\$3,113.40	\$3,191.23	\$3,271.02	\$3,352.79	\$3,436.61	\$3,522.52	BI-WEEKLY
		\$5,962.21	\$6,111.26	\$6,264.05	\$6,420.66	\$6,581.16	\$6,745.70	\$6,914.33	\$7,087.21	\$7,264.38	\$7,445.99	\$7,632.13	MONTHLY
		\$71,546.54	\$73,335.08	\$75,168.60	\$77,047.78	\$78,973.96	\$80,948.40	\$82,971.98	\$85,046.52	\$87,172.54	\$89,351.86	\$91,585.52	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$39.6574	\$40.6488	\$41.6650	\$42.7066	\$43.7743	\$44.8687	\$45.9904	\$47.1402	\$48.3187	\$49.5267	\$50.7649	HOURLY BASE RATE
		\$3,172.59	\$3,251.90	\$3,333.20	\$3,416.53	\$3,501.94	\$3,589.50	\$3,679.23	\$3,771.22	\$3,865.50	\$3,962.14	\$4,061.19	BI-WEEKLY
		\$6,873.95	\$7,045.78	\$7,221.93	\$7,402.48	\$7,587.54	\$7,777.25	\$7,971.67	\$8,170.98	\$8,375.25	\$8,584.64	\$8,799.25	MONTHLY
		\$82,487.34	\$84,549.40	\$86,663.20	\$88,829.78	\$91,050.54	\$93,327.00	\$95,659.98	\$98,051.72	\$100,503.00	\$103,015.64	\$105,590.94	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$48.5557	\$49.7696	\$51.0138	\$52.2891	\$53.5963	\$54.9362	\$56.3096	\$57.7173	\$59.1602	\$60.6392	\$62.1552	HOURLY BASE RATE
		\$3,884.46	\$3,981.57	\$4,081.10	\$4,183.13	\$4,287.70	\$4,394.90	\$4,504.77	\$4,617.38	\$4,732.82	\$4,851.14	\$4,972.42	BI-WEEKLY
		\$8,416.33	\$8,626.74	\$8,842.38	\$9,063.45	\$9,290.02	\$9,522.28	\$9,760.34	\$10,004.32	\$10,254.44	\$10,510.80	\$10,773.58	MONTHLY
		\$100,995.96	\$103,520.82	\$106,108.60	\$108,761.38	\$111,480.20	\$114,267.40	\$117,124.02	\$120,051.88	\$123,053.22	\$126,129.64	\$129,282.92	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$18.2152	\$18.6706	\$19.1373	\$19.6158	\$20.1062	\$20.6089	\$21.1241	\$21.6522	\$22.1935	\$22.7483	\$23.3171	HOURLY BASE RATE
		\$1,311.49	\$1,344.28	\$1,377.89	\$1,412.34	\$1,447.65	\$1,483.84	\$1,520.94	\$1,558.95	\$1,597.93	\$1,637.88	\$1,678.83	BI-WEEKLY
		\$2,841.56	\$2,912.61	\$2,985.43	\$3,060.07	\$3,136.57	\$3,214.99	\$3,295.36	\$3,377.74	\$3,462.18	\$3,548.74	\$3,637.47	MONTHLY
		\$34,098.78	\$34,951.34	\$35,825.10	\$36,720.82	\$37,638.88	\$38,579.85	\$39,544.31	\$40,532.83	\$41,546.17	\$42,584.90	\$43,649.61	ANNUAL
Intern													
ADMINISTRATOR II	II	\$20.6901	\$21.2073	\$21.7375	\$22.2810	\$22.8380	\$23.4090	\$23.9943	\$24.5941	\$25.2090	\$25.8393	\$26.4852	HOURLY BASE RATE
		\$1,489.69	\$1,526.93	\$1,565.10	\$1,604.23	\$1,644.34	\$1,685.45	\$1,727.59	\$1,770.78	\$1,815.05	\$1,860.43	\$1,906.94	BI-WEEKLY
		\$3,227.65	\$3,308.34	\$3,391.05	\$3,475.83	\$3,562.73	\$3,651.80	\$3,743.11	\$3,836.69	\$3,932.60	\$4,030.92	\$4,131.69	MONTHLY
		\$38,731.84	\$39,700.12	\$40,692.65	\$41,710.00	\$42,752.74	\$43,821.65	\$44,917.28	\$46,040.23	\$47,191.24	\$48,371.08	\$49,580.33	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
ADMINISTRATOR III	III	\$22.7989	\$23.3689	\$23.9532	\$24.5520	\$25.1658	\$25.7950	\$26.4398	\$27.1008	\$27.7783	\$28.4727	\$29.1845	HOURLY BASE RATE
		\$1,641.52	\$1,682.56	\$1,724.63	\$1,767.74	\$1,811.94	\$1,857.24	\$1,903.67	\$1,951.26	\$2,000.04	\$2,050.03	\$2,101.29	BI-WEEKLY
		\$3,556.63	\$3,645.55	\$3,736.69	\$3,830.11	\$3,925.87	\$4,024.02	\$4,124.61	\$4,227.72	\$4,333.41	\$4,441.74	\$4,552.79	MONTHLY
		\$42,679.61	\$43,746.61	\$44,840.33	\$45,961.37	\$47,110.47	\$48,288.21	\$49,495.36	\$50,732.68	\$52,000.93	\$53,300.87	\$54,633.47	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$25.0717	\$25.6985	\$26.3410	\$26.9995	\$27.6745	\$28.3664	\$29.0756	\$29.8025	\$30.5476	\$31.3112	\$32.0940	HOURLY BASE RATE
		\$1,805.16	\$1,850.29	\$1,896.55	\$1,943.96	\$1,992.57	\$2,042.38	\$2,093.44	\$2,145.78	\$2,199.43	\$2,254.41	\$2,310.77	BI-WEEKLY
		\$3,911.19	\$4,008.96	\$4,109.19	\$4,211.92	\$4,317.23	\$4,425.16	\$4,535.80	\$4,649.18	\$4,765.42	\$4,884.55	\$5,006.66	MONTHLY
		\$46,934.23	\$48,107.58	\$49,310.33	\$50,543.07	\$51,806.74	\$53,101.91	\$54,429.54	\$55,790.21	\$57,185.05	\$58,614.65	\$60,079.96	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

**Attachment A**  
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CLASS/POSITION		GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN		V	\$27.5861	\$28.2757	\$28.9826	\$29.7072	\$30.4499	\$31.2111	\$31.9914	\$32.7912	\$33.6109	\$34.4512	\$35.3125	HOURLY BASE RATE
			\$1,986.20	\$2,035.85	\$2,086.75	\$2,138.92	\$2,192.39	\$2,247.20	\$2,303.38	\$2,360.96	\$2,419.99	\$2,480.49	\$2,542.50	BI-WEEKLY
			\$4,303.43	\$4,411.01	\$4,521.28	\$4,634.32	\$4,750.18	\$4,868.93	\$4,990.66	\$5,115.42	\$5,243.31	\$5,374.39	\$5,508.75	MONTHLY
			\$51,641.19	\$52,932.16	\$54,255.40	\$55,611.87	\$57,002.13	\$58,427.15	\$59,887.87	\$61,385.06	\$62,919.68	\$64,492.67	\$66,105.00	ANNUAL
Executive Assistant I														
Legal Assistant I														
Purchasing/Procurement Specialist														
Building Services Assistant II														
Human Resources Technician														
Information Systems Technician II														
Finance Technician I														
GIS Planner/Analyst I														
Graphic Artist I														
Library Technician II														
Public Info & Outreach Technician II														
Planning Technician														
Program Technician														
JUNIOR		VI	\$30.4294	\$31.1901	\$31.9699	\$32.7691	\$33.5884	\$34.4281	\$35.2887	\$36.1709	\$37.0752	\$38.0020	\$38.9521	HOURLY BASE RATE
			\$2,190.91	\$2,245.69	\$2,301.83	\$2,359.38	\$2,418.36	\$2,478.82	\$2,540.79	\$2,604.31	\$2,669.41	\$2,736.15	\$2,804.55	BI-WEEKLY
			\$4,746.98	\$4,865.65	\$4,987.30	\$5,111.98	\$5,239.79	\$5,370.78	\$5,505.04	\$5,642.67	\$5,783.73	\$5,928.32	\$6,076.52	MONTHLY
			\$56,963.75	\$58,387.81	\$59,847.58	\$61,343.82	\$62,877.48	\$64,449.33	\$66,060.51	\$67,711.99	\$69,404.71	\$71,139.82	\$72,918.27	ANNUAL
Executive Assistant II														
Legal Assistant II														
Contract Assistant														
Assistant Building Engineer														
Human Resources Analyst I														
Information Systems Specialist I														
Systems Analyst I														
Junior Financial Analyst														
Accountant/Auditor I														
Finance Technician II														
GIS Planner/Analyst II														
Graphic Artist II														
Librarian I														
Junior Public Info/Outreach Analyst														
Junior Planner/Analyst														
Junior Program Coordinator														

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$35.0853	\$35.9624	\$36.8615	\$37.7830	\$38.7277	\$39.6959	\$40.6882	\$41.7055	\$42.7481	\$43.8168	\$44.9121	HOURLY BASE RATE
		\$2,526.15	\$2,589.30	\$2,654.03	\$2,720.38	\$2,788.39	\$2,858.10	\$2,929.55	\$3,002.79	\$3,077.86	\$3,154.81	\$3,233.67	BI-WEEKLY
		\$5,473.31	\$5,610.14	\$5,750.39	\$5,894.15	\$6,041.52	\$6,192.55	\$6,347.36	\$6,506.05	\$6,668.70	\$6,835.41	\$7,006.29	MONTHLY
		\$65,679.77	\$67,321.70	\$69,004.68	\$70,729.86	\$72,498.19	\$74,310.63	\$76,168.33	\$78,072.61	\$80,024.44	\$82,024.96	\$84,075.51	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$40.4505	\$41.4618	\$42.4983	\$43.5607	\$44.6498	\$45.7661	\$46.9102	\$48.0830	\$49.2851	\$50.5172	\$51.7802	HOURLY BASE RATE
		\$2,912.44	\$2,985.25	\$3,059.88	\$3,136.37	\$3,214.78	\$3,295.16	\$3,377.53	\$3,461.98	\$3,548.16	\$3,637.24	\$3,728.17	BI-WEEKLY
		\$6,310.29	\$6,468.04	\$6,629.73	\$6,795.47	\$6,965.37	\$7,139.51	\$7,317.99	\$7,500.95	\$7,687.68	\$7,880.69	\$8,077.71	MONTHLY
		\$75,723.43	\$77,616.44	\$79,556.82	\$81,545.69	\$83,584.40	\$85,674.09	\$87,815.91	\$90,011.38	\$92,261.71	\$94,568.26	\$96,932.53	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$49.5268	\$50.7650	\$52.0341	\$53.3349	\$54.6682	\$56.0349	\$57.4358	\$58.8716	\$60.3434	\$61.8520	\$63.3983	HOURLY BASE RATE
		\$3,565.93	\$3,655.08	\$3,746.45	\$3,840.11	\$3,936.11	\$4,034.51	\$4,135.38	\$4,238.76	\$4,344.73	\$4,453.34	\$4,564.68	BI-WEEKLY
		\$7,726.18	\$7,919.34	\$8,117.32	\$8,320.24	\$8,528.24	\$8,741.45	\$8,959.98	\$9,183.98	\$9,413.57	\$9,648.91	\$9,890.14	MONTHLY
		\$92,714.20	\$95,032.07	\$97,407.79	\$99,842.90	\$102,338.92	\$104,897.38	\$107,519.80	\$110,207.72	\$112,962.85	\$115,786.91	\$118,681.63	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
<b>ADMINISTRATOR I</b>	<b>I</b>	\$18.2152	\$18.6706	\$19.1373	\$19.6158	\$20.1062	\$20.6089	\$21.1241	\$21.6522	\$22.1935	\$22.7483	\$23.3171	HOURLY BASE RATE
		\$1,457.21	\$1,493.65	\$1,530.99	\$1,569.27	\$1,608.50	\$1,648.71	\$1,689.93	\$1,732.17	\$1,775.48	\$1,819.87	\$1,865.37	BI-WEEKLY
		\$3,157.29	\$3,236.24	\$3,317.14	\$3,400.08	\$3,485.08	\$3,572.21	\$3,661.51	\$3,753.04	\$3,846.87	\$3,943.05	\$4,041.63	MONTHLY
		\$37,887.53	\$38,834.83	\$39,805.67	\$40,800.91	\$41,820.98	\$42,866.50	\$43,938.12	\$45,036.48	\$46,162.41	\$47,316.56	\$48,499.56	ANNUAL
Intern													
<b>ADMINISTRATOR II</b>	<b>II</b>	\$20.6901	\$21.2073	\$21.7375	\$22.2810	\$22.8380	\$23.4090	\$23.9943	\$24.5941	\$25.2090	\$25.8393	\$26.4852	HOURLY BASE RATE
		\$1,655.21	\$1,696.59	\$1,739.00	\$1,782.48	\$1,827.04	\$1,872.72	\$1,919.54	\$1,967.53	\$2,016.72	\$2,067.14	\$2,118.82	BI-WEEKLY
		\$3,586.28	\$3,675.94	\$3,767.84	\$3,862.04	\$3,958.59	\$4,057.56	\$4,159.01	\$4,262.98	\$4,369.56	\$4,478.80	\$4,590.77	MONTHLY
		\$43,035.38	\$44,111.25	\$45,214.05	\$46,344.44	\$47,503.05	\$48,690.72	\$49,908.09	\$51,155.81	\$52,434.71	\$53,745.64	\$55,089.25	ANNUAL
Administrative Assistant I GSU Assistant I Accounting Assistant I													
<b>ADMINISTRATOR III</b>	<b>III</b>	\$22.7989	\$23.3689	\$23.9532	\$24.5520	\$25.1658	\$25.7950	\$26.4398	\$27.1008	\$27.7783	\$28.4727	\$29.1845	HOURLY BASE RATE
		\$1,823.92	\$1,869.51	\$1,916.25	\$1,964.16	\$2,013.27	\$2,063.60	\$2,115.19	\$2,168.06	\$2,222.26	\$2,277.82	\$2,334.76	BI-WEEKLY
		\$3,951.82	\$4,050.61	\$4,151.88	\$4,255.68	\$4,362.08	\$4,471.13	\$4,582.90	\$4,697.47	\$4,814.90	\$4,935.27	\$5,058.65	MONTHLY
		\$47,421.79	\$48,607.34	\$49,822.59	\$51,068.18	\$52,344.96	\$53,653.57	\$54,994.84	\$56,369.64	\$57,778.81	\$59,223.19	\$60,703.86	ANNUAL
Administrative Assistant II GSU Assistant II Accounting Assistant II													
<b>ADMINISTRATOR/TECHNICIAN IV</b>	<b>IV</b>	\$25.0717	\$25.6985	\$26.3410	\$26.9995	\$27.6745	\$28.3664	\$29.0756	\$29.8025	\$30.5476	\$31.3112	\$32.0940	HOURLY BASE RATE
		\$2,005.74	\$2,055.88	\$2,107.28	\$2,159.96	\$2,213.96	\$2,269.31	\$2,326.05	\$2,384.20	\$2,443.81	\$2,504.90	\$2,567.52	BI-WEEKLY
		\$4,345.76	\$4,454.41	\$4,565.77	\$4,679.91	\$4,796.92	\$4,916.84	\$5,039.77	\$5,165.76	\$5,294.91	\$5,427.28	\$5,562.96	MONTHLY
		\$52,149.14	\$53,452.86	\$54,789.26	\$56,158.96	\$57,563.04	\$59,002.12	\$60,477.27	\$61,989.12	\$63,538.95	\$65,127.39	\$66,755.51	ANNUAL
Administrative Assistant III Purchasing Technician GSU Assistant III Building Services Assistant I Information Systems Technician I Accounting Assistant III Library Technician I Public Info & Outreach Technician I													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$27.5861	\$28.2757	\$28.9826	\$29.7072	\$30.4499	\$31.2111	\$31.9914	\$32.7912	\$33.6109	\$34.4512	\$35.3125	HOURLY BASE RATE
		\$2,206.89	\$2,262.06	\$2,318.61	\$2,376.58	\$2,435.99	\$2,496.89	\$2,559.31	\$2,623.29	\$2,688.88	\$2,756.10	\$2,825.00	BI-WEEKLY
		\$4,781.59	\$4,901.13	\$5,023.65	\$5,149.25	\$5,277.98	\$5,409.92	\$5,545.17	\$5,683.80	\$5,825.90	\$5,971.54	\$6,120.83	MONTHLY
		\$57,379.10	\$58,813.51	\$60,283.78	\$61,790.96	\$63,335.70	\$64,919.05	\$66,542.07	\$68,205.62	\$69,910.75	\$71,658.53	\$73,450.00	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$30.4294	\$31.1901	\$31.9699	\$32.7691	\$33.5884	\$34.4281	\$35.2887	\$36.1709	\$37.0752	\$38.0020	\$38.9521	HOURLY BASE RATE
		\$2,434.35	\$2,495.21	\$2,557.59	\$2,621.53	\$2,687.07	\$2,754.24	\$2,823.10	\$2,893.67	\$2,966.01	\$3,040.16	\$3,116.17	BI-WEEKLY
		\$5,274.42	\$5,406.28	\$5,541.44	\$5,679.98	\$5,821.99	\$5,967.53	\$6,116.71	\$6,269.63	\$6,426.36	\$6,587.02	\$6,751.69	MONTHLY
		\$63,293.06	\$64,875.35	\$66,497.31	\$68,159.79	\$69,863.86	\$71,610.36	\$73,400.57	\$75,235.54	\$77,116.34	\$79,044.24	\$81,020.30	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
<b>ASSISTANT</b>	<b>VII</b>	\$35.0853	\$35.9624	\$36.8615	\$37.7830	\$38.7277	\$39.6959	\$40.6882	\$41.7055	\$42.7481	\$43.8168	\$44.9121	HOURLY BASE RATE
		\$2,806.83	\$2,877.00	\$2,948.92	\$3,022.64	\$3,098.21	\$3,175.67	\$3,255.06	\$3,336.44	\$3,419.85	\$3,505.34	\$3,592.97	BI-WEEKLY
		\$6,081.46	\$6,233.49	\$6,389.32	\$6,549.06	\$6,712.80	\$6,880.61	\$7,052.62	\$7,228.95	\$7,409.67	\$7,594.90	\$7,784.77	MONTHLY
		\$72,977.52	\$74,801.89	\$76,671.87	\$78,588.73	\$80,553.55	\$82,567.37	\$84,631.47	\$86,747.34	\$88,916.04	\$91,138.84	\$93,417.23	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
<b>ASSOCIATE</b>	<b>VIII</b>	\$40.4505	\$41.4618	\$42.4983	\$43.5607	\$44.6498	\$45.7661	\$46.9102	\$48.0830	\$49.2851	\$50.5172	\$51.7802	HOURLY BASE RATE
		\$3,236.04	\$3,316.94	\$3,399.86	\$3,484.86	\$3,571.98	\$3,661.29	\$3,752.82	\$3,846.64	\$3,942.40	\$4,041.38	\$4,142.42	BI-WEEKLY
		\$7,011.43	\$7,186.71	\$7,366.37	\$7,550.53	\$7,739.30	\$7,932.79	\$8,131.10	\$8,334.39	\$8,541.87	\$8,756.32	\$8,975.23	MONTHLY
		\$84,137.14	\$86,240.49	\$88,396.46	\$90,606.32	\$92,871.55	\$95,193.43	\$97,573.23	\$100,012.65	\$102,512.95	\$105,075.85	\$107,702.81	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$49.5268	\$50.7650	\$52.0341	\$53.3349	\$54.6682	\$56.0349	\$57.4358	\$58.8716	\$60.3434	\$61.8520	\$63.3983	HOURLY BASE RATE
		\$3,962.15	\$4,061.20	\$4,162.73	\$4,266.79	\$4,373.46	\$4,482.79	\$4,594.86	\$4,709.73	\$4,827.47	\$4,948.16	\$5,071.86	BI-WEEKLY
		\$8,584.65	\$8,799.27	\$9,019.24	\$9,244.71	\$9,475.83	\$9,712.72	\$9,955.54	\$10,204.42	\$10,459.52	\$10,721.01	\$10,989.04	MONTHLY
		\$103,015.77	\$105,591.18	\$108,230.88	\$110,936.55	\$113,709.91	\$116,552.64	\$119,466.45	\$122,453.02	\$125,514.28	\$128,652.13	\$131,868.47	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$18.5795	\$19.0440	\$19.5200	\$20.0081	\$20.5083	\$21.0211	\$21.5466	\$22.0852	\$22.6374	\$23.2033	\$23.7834	HOURLY BASE RATE
		\$1,337.72	\$1,371.17	\$1,405.44	\$1,440.58	\$1,476.60	\$1,513.52	\$1,551.35	\$1,590.14	\$1,629.89	\$1,670.64	\$1,712.41	BI-WEEKLY
		\$2,898.40	\$2,970.87	\$3,045.13	\$3,121.27	\$3,199.30	\$3,279.29	\$3,361.27	\$3,445.30	\$3,531.43	\$3,619.71	\$3,710.22	MONTHLY
		\$34,780.83	\$35,650.39	\$36,541.53	\$37,455.19	\$38,391.58	\$39,351.46	\$40,335.20	\$41,343.58	\$42,377.16	\$43,436.51	\$44,522.60	ANNUAL
Intern													
ADMINISTRATOR II	II	\$21.1039	\$21.6314	\$22.1723	\$22.7266	\$23.2948	\$23.8772	\$24.4742	\$25.0860	\$25.7132	\$26.3561	\$27.0149	HOURLY BASE RATE
		\$1,519.48	\$1,557.46	\$1,596.40	\$1,636.32	\$1,677.22	\$1,719.16	\$1,762.14	\$1,806.19	\$1,851.35	\$1,897.64	\$1,945.07	BI-WEEKLY
		\$3,292.21	\$3,374.51	\$3,458.87	\$3,545.35	\$3,633.98	\$3,724.84	\$3,817.97	\$3,913.41	\$4,011.26	\$4,111.55	\$4,214.33	MONTHLY
		\$39,506.50	\$40,494.07	\$41,506.45	\$42,544.23	\$43,607.79	\$44,698.08	\$45,815.68	\$46,960.96	\$48,135.07	\$49,338.59	\$50,571.90	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
ADMINISTRATOR III	III	\$23.2549	\$23.8363	\$24.4323	\$25.0430	\$25.6691	\$26.3109	\$26.9686	\$27.6428	\$28.3339	\$29.0422	\$29.7682	HOURLY BASE RATE
		\$1,674.35	\$1,716.21	\$1,759.12	\$1,803.10	\$1,848.18	\$1,894.38	\$1,941.74	\$1,990.28	\$2,040.04	\$2,091.04	\$2,143.31	BI-WEEKLY
		\$3,627.76	\$3,718.46	\$3,811.43	\$3,906.71	\$4,004.38	\$4,104.50	\$4,207.10	\$4,312.28	\$4,420.08	\$4,530.58	\$4,643.84	MONTHLY
		\$43,533.13	\$44,621.51	\$45,737.20	\$46,880.57	\$48,052.59	\$49,254.00	\$50,485.21	\$51,747.35	\$53,041.00	\$54,366.91	\$55,726.05	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$25.5731	\$26.2125	\$26.8678	\$27.5395	\$28.2280	\$28.9337	\$29.6571	\$30.3985	\$31.1585	\$31.9375	\$32.7359	HOURLY BASE RATE
		\$1,841.27	\$1,887.30	\$1,934.48	\$1,982.84	\$2,032.42	\$2,083.23	\$2,135.31	\$2,188.70	\$2,243.42	\$2,299.49	\$2,356.98	BI-WEEKLY
		\$3,989.41	\$4,089.15	\$4,191.38	\$4,296.16	\$4,403.57	\$4,513.66	\$4,626.51	\$4,742.17	\$4,860.73	\$4,982.24	\$5,106.80	MONTHLY
		\$47,872.91	\$49,069.74	\$50,296.56	\$51,553.93	\$52,842.80	\$54,163.94	\$55,518.11	\$56,906.09	\$58,328.81	\$59,786.86	\$61,281.57	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$28.1378	\$28.8412	\$29.5622	\$30.3013	\$31.0589	\$31.8353	\$32.6312	\$33.4470	\$34.2832	\$35.1402	\$36.0188	HOURLY BASE RATE
		\$2,025.92	\$2,076.57	\$2,128.48	\$2,181.70	\$2,236.24	\$2,292.14	\$2,349.45	\$2,408.19	\$2,468.38	\$2,530.10	\$2,593.35	BI-WEEKLY
		\$4,389.50	\$4,499.23	\$4,611.71	\$4,727.01	\$4,845.19	\$4,966.31	\$5,090.47	\$5,217.74	\$5,348.17	\$5,481.87	\$5,618.93	MONTHLY
		\$52,674.00	\$53,990.75	\$55,340.54	\$56,724.12	\$58,142.26	\$59,595.72	\$61,085.66	\$62,612.83	\$64,178.00	\$65,782.50	\$67,427.10	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$31.0379	\$31.8139	\$32.6093	\$33.4245	\$34.2602	\$35.1166	\$35.9945	\$36.8944	\$37.8167	\$38.7621	\$39.7311	HOURLY BASE RATE
		\$2,234.74	\$2,290.60	\$2,347.87	\$2,406.56	\$2,466.73	\$2,528.40	\$2,591.60	\$2,656.39	\$2,722.80	\$2,790.87	\$2,860.64	BI-WEEKLY
		\$4,841.93	\$4,962.97	\$5,087.05	\$5,214.22	\$5,344.59	\$5,478.20	\$5,615.14	\$5,755.51	\$5,899.41	\$6,046.88	\$6,198.06	MONTHLY
		\$58,103.11	\$59,555.62	\$61,044.61	\$62,570.63	\$64,135.03	\$65,738.39	\$67,381.66	\$69,066.16	\$70,792.87	\$72,562.54	\$74,376.70	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$35.7871	\$36.6817	\$37.5987	\$38.5387	\$39.5022	\$40.4898	\$41.5020	\$42.5396	\$43.6031	\$44.6931	\$45.8104	HOURLY BASE RATE
		\$2,576.66	\$2,641.08	\$2,707.11	\$2,774.78	\$2,844.16	\$2,915.27	\$2,988.14	\$3,062.85	\$3,139.42	\$3,217.91	\$3,298.34	BI-WEEKLY
		\$5,582.77	\$5,722.34	\$5,865.40	\$6,012.03	\$6,162.35	\$6,316.41	\$6,474.31	\$6,636.18	\$6,802.08	\$6,972.13	\$7,146.41	MONTHLY
		\$66,993.28	\$68,668.05	\$70,384.82	\$72,144.37	\$73,948.22	\$75,796.94	\$77,691.68	\$79,634.15	\$81,624.93	\$83,665.55	\$85,756.96	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$41.2596	\$42.2910	\$43.3483	\$44.4319	\$45.5428	\$46.6814	\$47.8484	\$49.0447	\$50.2708	\$51.5276	\$52.8158	HOURLY BASE RATE
		\$2,970.68	\$3,044.95	\$3,121.08	\$3,199.10	\$3,279.08	\$3,361.06	\$3,445.09	\$3,531.22	\$3,619.50	\$3,709.98	\$3,802.74	BI-WEEKLY
		\$6,436.48	\$6,597.40	\$6,762.33	\$6,931.38	\$7,104.68	\$7,282.30	\$7,464.35	\$7,650.97	\$7,842.25	\$8,038.30	\$8,239.27	MONTHLY
		\$77,237.80	\$79,168.82	\$81,147.95	\$83,176.54	\$85,256.11	\$87,387.62	\$89,572.21	\$91,811.60	\$94,106.94	\$96,459.56	\$98,871.19	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$50.5174	\$51.7803	\$53.0748	\$54.4016	\$55.7616	\$57.1556	\$58.5845	\$60.0491	\$61.5503	\$63.0890	\$64.6663	HOURLY BASE RATE
		\$3,637.25	\$3,728.18	\$3,821.38	\$3,916.92	\$4,014.83	\$4,115.20	\$4,218.09	\$4,323.53	\$4,431.62	\$4,542.41	\$4,655.97	BI-WEEKLY
		\$7,880.70	\$8,077.73	\$8,279.67	\$8,486.65	\$8,698.80	\$8,916.27	\$9,139.18	\$9,367.65	\$9,601.84	\$9,841.89	\$10,087.94	MONTHLY
		\$94,568.45	\$96,932.72	\$99,355.99	\$101,839.79	\$104,385.65	\$106,995.28	\$109,670.21	\$112,411.79	\$115,222.10	\$118,102.68	\$121,055.25	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$18.5795	\$19.0440	\$19.5200	\$20.0081	\$20.5083	\$21.0211	\$21.5466	\$22.0852	\$22.6374	\$23.2033	\$23.7834	HOURLY BASE RATE
		\$1,486.36	\$1,523.52	\$1,561.60	\$1,600.65	\$1,640.66	\$1,681.69	\$1,723.73	\$1,766.82	\$1,810.99	\$1,856.26	\$1,902.67	BI-WEEKLY
		\$3,220.45	\$3,300.96	\$3,383.47	\$3,468.07	\$3,554.77	\$3,643.66	\$3,734.74	\$3,828.10	\$3,923.82	\$4,021.91	\$4,122.46	MONTHLY
		\$38,645.36	\$39,611.52	\$40,601.60	\$41,616.85	\$42,657.26	\$43,723.89	\$44,816.93	\$45,937.22	\$47,085.79	\$48,262.86	\$49,469.47	ANNUAL
Intern													
ADMINISTRATOR II	II	\$21.1039	\$21.6314	\$22.1723	\$22.7266	\$23.2948	\$23.8772	\$24.4742	\$25.0860	\$25.7132	\$26.3561	\$27.0149	HOURLY BASE RATE
		\$1,688.31	\$1,730.51	\$1,773.78	\$1,818.13	\$1,863.58	\$1,910.18	\$1,957.94	\$2,006.88	\$2,057.06	\$2,108.49	\$2,161.19	BI-WEEKLY
		\$3,658.01	\$3,749.44	\$3,843.20	\$3,939.28	\$4,037.77	\$4,138.72	\$4,242.20	\$4,348.24	\$4,456.96	\$4,568.39	\$4,682.58	MONTHLY
		\$43,896.11	\$44,993.31	\$46,118.38	\$47,271.33	\$48,453.18	\$49,664.58	\$50,906.34	\$52,178.88	\$53,483.46	\$54,820.69	\$56,190.99	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
ADMINISTRATOR III	III	\$23.2549	\$23.8363	\$24.4323	\$25.0430	\$25.6691	\$26.3109	\$26.9686	\$27.6428	\$28.3339	\$29.0422	\$29.7682	HOURLY BASE RATE
		\$1,860.39	\$1,906.90	\$1,954.58	\$2,003.44	\$2,053.53	\$2,104.87	\$2,157.49	\$2,211.42	\$2,266.71	\$2,323.38	\$2,381.46	BI-WEEKLY
		\$4,030.85	\$4,131.63	\$4,234.93	\$4,340.79	\$4,449.31	\$4,560.56	\$4,674.56	\$4,791.42	\$4,911.21	\$5,033.98	\$5,159.82	MONTHLY
		\$48,370.19	\$49,579.50	\$50,819.18	\$52,089.44	\$53,391.73	\$54,726.67	\$56,094.69	\$57,497.02	\$58,934.51	\$60,407.78	\$61,917.86	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$25.5731	\$26.2125	\$26.8678	\$27.5395	\$28.2280	\$28.9337	\$29.6571	\$30.3985	\$31.1585	\$31.9375	\$32.7359	HOURLY BASE RATE
		\$2,045.85	\$2,097.00	\$2,149.42	\$2,203.16	\$2,258.24	\$2,314.70	\$2,372.57	\$2,431.88	\$2,492.68	\$2,555.00	\$2,618.87	BI-WEEKLY
		\$4,432.67	\$4,543.50	\$4,657.09	\$4,773.51	\$4,892.85	\$5,015.18	\$5,140.56	\$5,269.07	\$5,400.81	\$5,535.83	\$5,674.22	MONTHLY
		\$53,192.05	\$54,522.00	\$55,885.02	\$57,282.16	\$58,714.24	\$60,182.10	\$61,686.77	\$63,228.88	\$64,809.68	\$66,430.00	\$68,090.67	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$28.1378	\$28.8412	\$29.5622	\$30.3013	\$31.0589	\$31.8353	\$32.6312	\$33.4470	\$34.2832	\$35.1402	\$36.0188	HOURLY BASE RATE
		\$2,251.02	\$2,307.30	\$2,364.98	\$2,424.10	\$2,484.71	\$2,546.82	\$2,610.50	\$2,675.76	\$2,742.66	\$2,811.22	\$2,881.50	BI-WEEKLY
		\$4,877.22	\$4,999.14	\$5,124.12	\$5,252.23	\$5,383.54	\$5,518.12	\$5,656.08	\$5,797.48	\$5,942.42	\$6,090.97	\$6,243.26	MONTHLY
		\$58,526.62	\$59,989.70	\$61,489.38	\$63,026.70	\$64,602.51	\$66,217.42	\$67,872.90	\$69,569.76	\$71,309.06	\$73,091.62	\$74,919.10	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$31.0379	\$31.8139	\$32.6093	\$33.4245	\$34.2602	\$35.1166	\$35.9945	\$36.8944	\$37.8167	\$38.7621	\$39.7311	HOURLY BASE RATE
		\$2,483.03	\$2,545.11	\$2,608.74	\$2,673.96	\$2,740.82	\$2,809.33	\$2,879.56	\$2,951.55	\$3,025.34	\$3,100.97	\$3,178.49	BI-WEEKLY
		\$5,379.90	\$5,514.41	\$5,652.28	\$5,793.58	\$5,938.44	\$6,086.88	\$6,239.05	\$6,395.03	\$6,554.90	\$6,718.76	\$6,886.72	MONTHLY
		\$64,558.83	\$66,172.91	\$67,827.34	\$69,522.96	\$71,261.22	\$73,042.53	\$74,868.56	\$76,740.35	\$78,658.74	\$80,625.17	\$82,640.69	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$35.7871	\$36.6817	\$37.5987	\$38.5387	\$39.5022	\$40.4898	\$41.5020	\$42.5396	\$43.6031	\$44.6931	\$45.8104	HOURLY BASE RATE
		\$2,862.97	\$2,934.54	\$3,007.90	\$3,083.10	\$3,160.18	\$3,239.18	\$3,320.16	\$3,403.17	\$3,488.25	\$3,575.45	\$3,664.83	BI-WEEKLY
		\$6,203.10	\$6,358.16	\$6,517.11	\$6,680.04	\$6,847.05	\$7,018.23	\$7,193.68	\$7,373.53	\$7,557.87	\$7,746.80	\$7,940.47	MONTHLY
		\$74,437.17	\$76,297.94	\$78,205.30	\$80,160.50	\$82,164.58	\$84,218.78	\$86,324.16	\$88,482.37	\$90,694.45	\$92,961.65	\$95,285.63	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$41.2596	\$42.2910	\$43.3483	\$44.4319	\$45.5428	\$46.6814	\$47.8484	\$49.0447	\$50.2708	\$51.5276	\$52.8158	HOURLY BASE RATE
		\$3,300.77	\$3,383.28	\$3,467.86	\$3,554.55	\$3,643.42	\$3,734.51	\$3,827.87	\$3,923.58	\$4,021.66	\$4,122.21	\$4,225.26	BI-WEEKLY
		\$7,151.66	\$7,330.44	\$7,513.71	\$7,701.53	\$7,894.09	\$8,091.44	\$8,293.72	\$8,501.08	\$8,713.61	\$8,931.45	\$9,154.74	MONTHLY
		\$85,819.97	\$87,965.28	\$90,164.46	\$92,418.35	\$94,729.02	\$97,097.31	\$99,524.67	\$102,012.98	\$104,563.26	\$107,177.41	\$109,856.86	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$50.5174	\$51.7803	\$53.0748	\$54.4016	\$55.7616	\$57.1556	\$58.5845	\$60.0491	\$61.5503	\$63.0890	\$64.6663	HOURLY BASE RATE
		\$4,041.39	\$4,142.42	\$4,245.98	\$4,352.13	\$4,460.93	\$4,572.45	\$4,686.76	\$4,803.93	\$4,924.02	\$5,047.12	\$5,173.30	BI-WEEKLY
		\$8,756.35	\$8,975.25	\$9,199.63	\$9,429.61	\$9,665.34	\$9,906.97	\$10,154.65	\$10,408.51	\$10,668.72	\$10,935.43	\$11,208.83	MONTHLY
		\$105,076.19	\$107,703.02	\$110,395.58	\$113,155.33	\$115,984.13	\$118,883.65	\$121,855.76	\$124,902.13	\$128,024.62	\$131,225.12	\$134,505.90	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$19.0626	\$19.5392	\$20.0276	\$20.5283	\$21.0415	\$21.5676	\$22.1068	\$22.6595	\$23.2259	\$23.8066	\$24.4018	HOURLY BASE RATE
		\$1,372.51	\$1,406.82	\$1,441.98	\$1,478.04	\$1,514.99	\$1,552.87	\$1,591.69	\$1,631.48	\$1,672.27	\$1,714.07	\$1,756.93	BI-WEEKLY
		\$2,973.76	\$3,048.11	\$3,124.30	\$3,202.42	\$3,282.48	\$3,364.55	\$3,448.66	\$3,534.88	\$3,623.25	\$3,713.82	\$3,806.68	MONTHLY
		\$35,685.13	\$36,577.30	\$37,491.61	\$38,429.03	\$39,389.76	\$40,374.60	\$41,383.92	\$42,418.51	\$43,478.96	\$44,565.86	\$45,680.19	ANNUAL
Intern													
ADMINISTRATOR II	II	\$21.6526	\$22.1939	\$22.7487	\$23.3175	\$23.9004	\$24.4980	\$25.1105	\$25.7382	\$26.3817	\$27.0413	\$27.7173	HOURLY BASE RATE
		\$1,558.99	\$1,597.96	\$1,637.91	\$1,678.86	\$1,720.83	\$1,763.86	\$1,807.96	\$1,853.15	\$1,899.48	\$1,946.98	\$1,995.64	BI-WEEKLY
		\$3,377.81	\$3,462.24	\$3,548.80	\$3,637.53	\$3,728.47	\$3,821.69	\$3,917.24	\$4,015.16	\$4,115.55	\$4,218.45	\$4,323.90	MONTHLY
		\$40,533.67	\$41,546.91	\$42,585.62	\$43,650.38	\$44,741.59	\$45,860.23	\$47,006.88	\$48,181.94	\$49,386.58	\$50,621.40	\$51,886.77	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
ADMINISTRATOR III	III	\$23.8595	\$24.4560	\$25.0675	\$25.6942	\$26.3365	\$26.9950	\$27.6698	\$28.3615	\$29.0705	\$29.7973	\$30.5422	HOURLY BASE RATE
		\$1,717.88	\$1,760.83	\$1,804.86	\$1,849.98	\$1,896.23	\$1,943.64	\$1,992.22	\$2,042.03	\$2,093.08	\$2,145.40	\$2,199.04	BI-WEEKLY
		\$3,722.08	\$3,815.14	\$3,910.53	\$4,008.29	\$4,108.50	\$4,211.22	\$4,316.49	\$4,424.40	\$4,535.01	\$4,648.37	\$4,764.58	MONTHLY
		\$44,664.99	\$45,781.67	\$46,926.37	\$48,099.47	\$49,301.95	\$50,534.61	\$51,797.83	\$53,092.78	\$54,420.06	\$55,780.45	\$57,174.93	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$26.2380	\$26.8940	\$27.5664	\$28.2555	\$28.9620	\$29.6860	\$30.4282	\$31.1889	\$31.9686	\$32.7678	\$33.5870	HOURLY BASE RATE
		\$1,889.14	\$1,936.37	\$1,984.78	\$2,034.40	\$2,085.26	\$2,137.39	\$2,190.83	\$2,245.60	\$2,301.74	\$2,359.29	\$2,418.26	BI-WEEKLY
		\$4,093.13	\$4,195.46	\$4,300.36	\$4,407.86	\$4,518.06	\$4,631.02	\$4,746.80	\$4,865.46	\$4,987.10	\$5,111.79	\$5,239.57	MONTHLY
		\$49,117.60	\$50,345.56	\$51,604.27	\$52,894.33	\$54,216.71	\$55,572.20	\$56,961.58	\$58,385.55	\$59,845.26	\$61,341.46	\$62,874.89	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$28.8694	\$29.5911	\$30.3309	\$31.0892	\$31.8664	\$32.6630	\$33.4796	\$34.3166	\$35.1745	\$36.0539	\$36.9552	HOURLY BASE RATE
		\$2,078.60	\$2,130.56	\$2,183.82	\$2,238.42	\$2,294.38	\$2,351.74	\$2,410.53	\$2,470.80	\$2,532.57	\$2,595.88	\$2,660.78	BI-WEEKLY
		\$4,503.63	\$4,616.21	\$4,731.61	\$4,849.91	\$4,971.16	\$5,095.43	\$5,222.82	\$5,353.40	\$5,487.23	\$5,624.40	\$5,765.02	MONTHLY
		\$54,043.53	\$55,394.51	\$56,779.29	\$58,198.94	\$59,653.96	\$61,145.21	\$62,673.89	\$64,240.76	\$65,846.78	\$67,492.84	\$69,180.20	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$31.8449	\$32.6410	\$33.4571	\$34.2936	\$35.1509	\$36.0297	\$36.9304	\$37.8536	\$38.7999	\$39.7699	\$40.7641	HOURLY BASE RATE
		\$2,292.83	\$2,350.16	\$2,408.91	\$2,469.13	\$2,530.87	\$2,594.13	\$2,658.98	\$2,725.46	\$2,793.60	\$2,863.43	\$2,935.02	BI-WEEKLY
		\$4,967.80	\$5,092.01	\$5,219.31	\$5,349.79	\$5,483.55	\$5,620.62	\$5,761.13	\$5,905.17	\$6,052.79	\$6,204.11	\$6,359.21	MONTHLY
		\$59,613.63	\$61,104.07	\$62,631.77	\$64,197.47	\$65,802.55	\$67,447.47	\$69,133.58	\$70,862.04	\$72,633.48	\$74,449.28	\$76,310.49	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
Assistant	VII	\$36.7175	\$37.6354	\$38.5763	\$39.5407	\$40.5293	\$41.5425	\$42.5810	\$43.6456	\$44.7367	\$45.8551	\$47.0014	HOURLY BASE RATE
		\$2,643.66	\$2,709.75	\$2,777.49	\$2,846.93	\$2,918.11	\$2,991.06	\$3,065.83	\$3,142.49	\$3,221.05	\$3,301.57	\$3,384.11	BI-WEEKLY
		\$5,727.94	\$5,871.13	\$6,017.90	\$6,168.34	\$6,322.56	\$6,480.64	\$6,642.64	\$6,808.72	\$6,978.93	\$7,153.40	\$7,332.23	MONTHLY
		\$68,735.28	\$70,453.51	\$72,214.83	\$74,020.13	\$75,870.77	\$77,767.66	\$79,711.66	\$81,704.64	\$83,747.18	\$85,840.85	\$87,986.75	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$42.3323	\$43.3906	\$44.4753	\$45.5872	\$46.7269	\$47.8951	\$49.0925	\$50.3198	\$51.5778	\$52.8673	\$54.1890	HOURLY BASE RATE
		\$3,047.92	\$3,124.12	\$3,202.22	\$3,282.27	\$3,364.34	\$3,448.45	\$3,534.66	\$3,623.03	\$3,713.60	\$3,806.45	\$3,901.61	BI-WEEKLY
		\$6,603.83	\$6,768.93	\$6,938.15	\$7,111.59	\$7,289.40	\$7,471.64	\$7,658.42	\$7,849.89	\$8,046.14	\$8,247.30	\$8,453.49	MONTHLY
		\$79,245.99	\$81,227.21	\$83,257.80	\$85,339.13	\$87,472.77	\$89,659.70	\$91,901.09	\$94,198.71	\$96,553.72	\$98,967.62	\$101,441.84	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$51.8308	\$53.1266	\$54.4547	\$55.8160	\$57.2114	\$58.6417	\$60.1077	\$61.6104	\$63.1506	\$64.7293	\$66.3476	HOURLY BASE RATE
		\$3,731.82	\$3,825.11	\$3,920.74	\$4,018.75	\$4,119.22	\$4,222.20	\$4,327.76	\$4,435.94	\$4,546.84	\$4,660.51	\$4,777.03	BI-WEEKLY
		\$8,085.61	\$8,287.75	\$8,494.94	\$8,707.30	\$8,924.97	\$9,148.10	\$9,376.80	\$9,611.21	\$9,851.49	\$10,097.78	\$10,350.22	MONTHLY
		\$97,027.36	\$99,452.97	\$101,939.28	\$104,487.63	\$107,099.67	\$109,777.16	\$112,521.64	\$115,334.49	\$118,217.88	\$121,173.35	\$124,202.69	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ADMINISTRATOR I	I	\$19.0626	\$19.5392	\$20.0276	\$20.5283	\$21.0415	\$21.5676	\$22.1068	\$22.6595	\$23.2259	\$23.8066	\$24.4018	HOURLY BASE RATE
		\$1,525.01	\$1,563.13	\$1,602.21	\$1,642.27	\$1,683.32	\$1,725.41	\$1,768.54	\$1,812.76	\$1,858.08	\$1,904.52	\$1,952.14	BI-WEEKLY
		\$3,304.18	\$3,386.79	\$3,471.44	\$3,558.24	\$3,647.20	\$3,738.39	\$3,831.84	\$3,927.64	\$4,025.83	\$4,126.47	\$4,229.65	MONTHLY
		\$39,650.15	\$40,641.45	\$41,657.34	\$42,698.92	\$43,766.40	\$44,860.66	\$45,982.13	\$47,131.68	\$48,309.96	\$49,517.63	\$50,755.77	ANNUAL
Intern													
ADMINISTRATOR II	II	\$21.6526	\$22.1939	\$22.7487	\$23.3175	\$23.9004	\$24.4980	\$25.1105	\$25.7382	\$26.3817	\$27.0413	\$27.7173	HOURLY BASE RATE
		\$1,732.21	\$1,775.51	\$1,819.90	\$1,865.40	\$1,912.03	\$1,959.84	\$2,008.84	\$2,059.06	\$2,110.54	\$2,163.31	\$2,217.38	BI-WEEKLY
		\$3,753.12	\$3,846.94	\$3,943.11	\$4,041.70	\$4,142.74	\$4,246.32	\$4,352.49	\$4,461.29	\$4,572.83	\$4,687.17	\$4,804.33	MONTHLY
		\$45,037.42	\$46,163.24	\$47,317.36	\$48,500.43	\$49,712.88	\$50,955.81	\$52,229.87	\$53,535.49	\$54,873.98	\$56,246.00	\$57,651.97	ANNUAL
Administrative Assistant I													
GSU Assistant I													
Accounting Assistant I													
988.3863654													
ADMINISTRATOR III	III	\$23.8595	\$24.4560	\$25.0675	\$25.6942	\$26.3365	\$26.9950	\$27.6698	\$28.3615	\$29.0705	\$29.7973	\$30.5422	HOURLY BASE RATE
		\$1,908.76	\$1,956.48	\$2,005.40	\$2,055.53	\$2,106.92	\$2,159.60	\$2,213.58	\$2,268.92	\$2,325.64	\$2,383.78	\$2,443.37	BI-WEEKLY
		\$4,135.65	\$4,239.04	\$4,345.03	\$4,453.65	\$4,565.00	\$4,679.13	\$4,796.10	\$4,916.00	\$5,038.89	\$5,164.86	\$5,293.97	MONTHLY
		\$49,627.77	\$50,868.52	\$52,140.41	\$53,443.85	\$54,779.95	\$56,149.57	\$57,553.14	\$58,991.98	\$60,466.74	\$61,978.28	\$63,527.70	ANNUAL
Administrative Assistant II													
GSU Assistant II													
Accounting Assistant II													
ADMINISTRATOR/TECHNICIAN	IV	\$26.2380	\$26.8940	\$27.5664	\$28.2555	\$28.9620	\$29.6860	\$30.4282	\$31.1889	\$31.9686	\$32.7678	\$33.5870	HOURLY BASE RATE
		\$2,099.04	\$2,151.52	\$2,205.31	\$2,260.44	\$2,316.96	\$2,374.88	\$2,434.26	\$2,495.11	\$2,557.49	\$2,621.43	\$2,686.96	BI-WEEKLY
		\$4,547.93	\$4,661.62	\$4,778.17	\$4,897.62	\$5,020.07	\$5,145.57	\$5,274.22	\$5,406.07	\$5,541.23	\$5,679.76	\$5,821.75	MONTHLY
		\$54,575.12	\$55,939.49	\$57,338.06	\$58,771.48	\$60,240.87	\$61,746.90	\$63,290.67	\$64,872.85	\$66,494.78	\$68,157.12	\$69,860.97	ANNUAL
Administrative Assistant III													
Purchasing Technician													
GSU Assistant III													
Building Services Assistant I													
Information Systems Technician I													
Accounting Assistant III													
Library Technician I													
Public Info & Outreach Technician I													

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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
TECHNICIAN	V	\$28.8694	\$29.5911	\$30.3309	\$31.0892	\$31.8664	\$32.6630	\$33.4796	\$34.3166	\$35.1745	\$36.0539	\$36.9552	HOURLY BASE RATE
		\$2,309.55	\$2,367.29	\$2,426.47	\$2,487.13	\$2,549.31	\$2,613.04	\$2,678.37	\$2,745.33	\$2,813.96	\$2,884.31	\$2,956.42	BI-WEEKLY
		\$5,004.03	\$5,129.13	\$5,257.35	\$5,388.79	\$5,523.51	\$5,661.59	\$5,803.13	\$5,948.21	\$6,096.92	\$6,249.34	\$6,405.57	MONTHLY
		\$60,048.37	\$61,549.51	\$63,088.18	\$64,665.48	\$66,282.08	\$67,939.08	\$69,637.61	\$71,378.55	\$73,163.00	\$74,992.08	\$76,866.90	ANNUAL
Executive Assistant I													
Legal Assistant I													
Purchasing/Procurement Specialist													
Building Services Assistant II													
Human Resources Technician													
Information Systems Technician II													
Finance Technician I													
GIS Planner/Analyst I													
Graphic Artist I													
Library Technician II													
Public Info & Outreach Technician II													
Planning Technician													
Program Technician													
JUNIOR	VI	\$31.8449	\$32.6410	\$33.4571	\$34.2936	\$35.1509	\$36.0297	\$36.9304	\$37.8536	\$38.7999	\$39.7699	\$40.7641	HOURLY BASE RATE
		\$2,547.59	\$2,611.28	\$2,676.57	\$2,743.48	\$2,812.07	\$2,882.37	\$2,954.43	\$3,028.29	\$3,103.99	\$3,181.59	\$3,261.13	BI-WEEKLY
		\$5,519.79	\$5,657.78	\$5,799.23	\$5,944.22	\$6,092.83	\$6,245.14	\$6,401.26	\$6,561.29	\$6,725.32	\$6,893.45	\$7,065.78	MONTHLY
		\$66,237.45	\$67,893.35	\$69,590.76	\$71,330.59	\$73,113.93	\$74,941.68	\$76,815.17	\$78,735.50	\$80,703.79	\$82,721.38	\$84,789.36	ANNUAL
Executive Assistant II													
Legal Assistant II													
Contract Assistant													
Assistant Building Engineer													
Human Resources Analyst I													
Information Systems Specialist I													
Systems Analyst I													
Junior Financial Analyst													
Accountant/Auditor I													
Finance Technician II													
GIS Planner/Analyst II													
Graphic Artist II													
Librarian I													
Junior Public Info/Outreach Analyst													
Junior Planner/Analyst													
Junior Program Coordinator													

**Attachment A**  
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CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
ASSISTANT	VII	\$36.7175	\$37.6354	\$38.5763	\$39.5407	\$40.5293	\$41.5425	\$42.5810	\$43.6456	\$44.7367	\$45.8551	\$47.0014	HOURLY BASE RATE
		\$2,937.40	\$3,010.83	\$3,086.10	\$3,163.26	\$3,242.34	\$3,323.40	\$3,406.48	\$3,491.65	\$3,578.94	\$3,668.41	\$3,760.12	BI-WEEKLY
		\$6,364.37	\$6,523.47	\$6,686.55	\$6,853.72	\$7,025.07	\$7,200.70	\$7,380.71	\$7,565.24	\$7,754.37	\$7,948.22	\$8,146.92	MONTHLY
		\$76,372.44	\$78,281.67	\$80,238.64	\$82,244.68	\$84,300.90	\$86,408.40	\$88,568.53	\$90,782.83	\$93,052.42	\$95,378.62	\$97,763.00	ANNUAL
Executive Assistant III													
Legal Assistant III													
Contract Specialist													
Building Engineer													
Human Resources Analyst II													
Information Systems Specialist II													
Systems Analyst II													
Assistant Financial Analyst													
Accountant/Auditor II													
GIS Planner/Analyst III													
Graphic Artist III													
Librarian II													
Assistant Public Info/Outreach Analyst													
Assistant Legislative Analyst													
Assistant Planner/Analyst													
Assistant Program Coordinator													
ASSOCIATE	VIII	\$42.3323	\$43.3906	\$44.4753	\$45.5872	\$46.7269	\$47.8951	\$49.0925	\$50.3198	\$51.5778	\$52.8673	\$54.1890	HOURLY BASE RATE
		\$3,386.58	\$3,471.25	\$3,558.03	\$3,646.97	\$3,738.15	\$3,831.61	\$3,927.40	\$4,025.59	\$4,126.23	\$4,229.38	\$4,335.12	BI-WEEKLY
		\$7,337.60	\$7,521.03	\$7,709.06	\$7,901.78	\$8,099.33	\$8,301.82	\$8,509.36	\$8,722.10	\$8,940.16	\$9,163.66	\$9,392.76	MONTHLY
		\$88,051.20	\$90,252.40	\$92,508.67	\$94,821.33	\$97,191.94	\$99,621.83	\$102,112.34	\$104,665.24	\$107,281.91	\$109,963.98	\$112,713.15	ANNUAL
Contract Administrator													
Building Administrator													
Human Resources Analyst III													
Information Systems Specialist III													
Systems Analyst III													
Associate Financial Analyst													
Accountant/Auditor III													
GIS Coordinator													
Graphic Artist Coordinator													
Head Librarian													
Associate Public Info/Outreach Analyst													
Associate Legislative Analyst													
Associate Planner/Analyst													
Associate Program Coordinator													

**Attachment A**  
**Page 32 of 32**

CLASS/POSITION	GRADE	STEP A	STEP A1	STEP B	STEP B1	STEP C	STEP C1	STEP D	STEP D1	STEP E	STEP E1	STEP F	PAY TYPES
SENIOR	IX	\$51.8308	\$53.1266	\$54.4547	\$55.8160	\$57.2114	\$58.6417	\$60.1077	\$61.6104	\$63.1506	\$64.7293	\$66.3476	HOURLY BASE RATE
		\$4,146.46	\$4,250.13	\$4,356.38	\$4,465.28	\$4,576.91	\$4,691.33	\$4,808.62	\$4,928.83	\$5,052.05	\$5,178.35	\$5,307.81	BI-WEEKLY
		\$8,984.01	\$9,208.61	\$9,438.81	\$9,674.78	\$9,916.64	\$10,164.56	\$10,418.67	\$10,679.13	\$10,946.10	\$11,219.75	\$11,500.25	MONTHLY
		\$107,808.07	\$110,503.29	\$113,265.78	\$116,097.32	\$118,999.70	\$121,974.67	\$125,024.03	\$128,149.54	\$131,353.20	\$134,637.02	\$138,002.99	ANNUAL
Contract Manager													
Building Manager													
Senior Information Systems Manager													
Senior Systems Manager													
Revenue & Budget Manager													
Senior Financial Analyst													
Accounting Manager													
GIS Senior													
Senior Public Info/Outreach Analyst													
Senior Legislative Analyst													
Senior Planner/Analyst													
Senior Program Coordinator													

**METROPOPLITAN TRANSPORTATION COMMISSION**  
**FISCAL YEAR 2012**

Attachment B  
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**Pay Schedule for Specific Executive Employees**  
**Fiscal Year 2011-2012, Effective August 1, 2011**

CLASS/POSITION	GRADE	MIN	MAX	PAY TYPES
ASSOCIATE COUNSEL I	L/1	\$51.2446	\$66.9125	HOURLY BASE RATE
		\$4,099.57	\$5,353.00	BI-WEEKLY
		\$8,882.40	\$11,598.17	MONTHLY
		\$106,588.76	\$139,178.02	ANNUAL
Associate Counsel I				
ASSOCIATE COUNSEL II	L/2	\$60.9635	\$75.3600	HOURLY BASE RATE
		\$4,877.08	\$6,028.80	BI-WEEKLY
		\$10,567.00	\$13,062.40	MONTHLY
		\$126,804.00	\$156,748.77	ANNUAL
Associate Counsel II				
EXECUTIVE ADMINISTRATIVE	X/B	\$51.2446	\$66.9125	HOURLY BASE RATE
		\$4,099.57	\$5,353.00	BI-WEEKLY
		\$8,882.40	\$11,598.17	MONTHLY
		\$106,588.76	\$139,178.02	ANNUAL
Principal				
DEPUTY GENERAL COUNSEL	L/3	\$68.6945	\$86.6533	HOURLY BASE RATE
		\$5,495.56	\$6,932.26	BI-WEEKLY
		\$11,907.04	\$15,019.90	MONTHLY
		\$142,884.43	\$180,238.83	ANNUAL
Deputy General Counsel Senior Attorney				
EXECUTIVE MANAGEMENT	X/A	\$62.5794	\$86.6533	HOURLY BASE RATE
		\$5,006.36	\$6,932.26	BI-WEEKLY
		\$10,847.10	\$15,019.90	MONTHLY
		\$130,165.25	\$180,238.83	ANNUAL
Section Director				
One Set Rate				
DEPUTY EXECUTIVE DIRECTOR	X/3-X/4	\$102.6512		HOURLY BASE RATE
		\$8,212.09		BI-WEEKLY
		\$17,792.87		MONTHLY
		\$213,514.43		ANNUAL
Chief Financial Officer Deputy Executive Director				

**METROPOLITAN TRANSPORTATION COMMISSION**  
**FISCAL YEAR 2013**

Attachment B  
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**Pay Schedule for Specific Executive Employees**  
**Fiscal Year 2012-13, Effective Sept 6, 2012**

CLASS/POSITION	GRADE	MIN	MAX	PAY TYPES
ASSOCIATE COUNSEL I/II	L/2	\$57.6900	\$76.8700	HOURLY BASE RATE
		\$4,615.38	\$6,149.38	BI-WEEKLY
		\$10,000.00	\$13,323.65	MONTHLY
		\$120,000.00	\$159,883.78	ANNUAL
Associate Counsel I				
Associate Counsel II				
EXECUTIVE ADMINISTRATIVE	X/B	\$57.6900	\$76.8700	HOURLY BASE RATE
		\$4,615.38	\$6,149.38	BI-WEEKLY
		\$10,000.00	\$13,323.65	MONTHLY
		\$120,000.00	\$159,883.78	ANNUAL
Principal				
DEPUTY GENERAL COUNSEL	L/3	\$70.0700	\$88.3900	HOURLY BASE RATE
		\$5,605.47	\$7,070.91	BI-WEEKLY
		\$12,145.19	\$15,320.30	MONTHLY
		\$145,742.25	\$183,843.64	ANNUAL
Deputy General Counsel				
Senior Attorney				
EXECUTIVE MANAGEMENT	X/A	\$63.8300	\$88.3900	HOURLY BASE RATE
		\$5,106.48	\$7,070.91	BI-WEEKLY
		\$11,064.04	\$15,320.30	MONTHLY
		\$132,768.48	\$183,843.64	ANNUAL
Section Director				
One Set Rate				
DEPUTY EXECUTIVE DIRECTOR	X/3-X/4	\$104.7000		HOURLY BASE RATE
		\$8,376.34		BI-WEEKLY
		\$18,148.73		MONTHLY
		\$217,784.72		ANNUAL
Chief Financial Officer				
Deputy Executive Director				

**METROPOLITAN TRANSPORTATION COMMISSION**  
**FISCAL YEAR 2014**

Attachment B  
Page 3 of 4

**Pay Schedule for Specific Executive Employees**  
**Fiscal Year 2013-14, Effective January 1, 2014**

CLASS/POSITION	GRADE	MIN	MAX	PAY TYPES
ASSOCIATE COUNSEL I/II	L/2	\$58.8500	\$78.4000	HOURLY BASE RATE
		\$4,707.69	\$6,272.36	BI-WEEKLY
		\$10,200.00	\$13,590.12	MONTHLY
		\$122,400.00	\$163,081.46	ANNUAL
Associate Counsel I				
Associate Counsel II				
EXECUTIVE ADMINISTRATIVE	X/B	\$58.8500	\$78.4000	HOURLY BASE RATE
		\$4,707.69	\$6,272.36	BI-WEEKLY
		\$10,200.00	\$13,590.12	MONTHLY
		\$122,400.00	\$163,081.46	ANNUAL
Principal				
DEPUTY GENERAL COUNSEL	L/3	\$77.2100	\$96.0000	HOURLY BASE RATE
		\$6,176.88	\$7,680.19	BI-WEEKLY
		\$13,383.25	\$16,640.42	MONTHLY
		\$160,599.00	\$199,685.00	ANNUAL
Deputy General Counsel				
Senior Attorney				
EXECUTIVE MANAGEMENT	X/A	\$77.2100	\$96.0000	HOURLY BASE RATE
		\$6,176.88	\$7,680.19	BI-WEEKLY
		\$13,383.25	\$16,640.42	MONTHLY
		\$160,599.00	\$199,685.00	ANNUAL
Section Director				
DEPUTY EXECUTIVE DIRECTOR	X/3-X/4	\$96.1500	\$114.9100	HOURLY BASE RATE
		\$7,692.31	\$9,193.04	BI-WEEKLY
		\$16,666.67	\$19,918.25	MONTHLY
		\$200,000.00	\$239,019.00	ANNUAL
Chief Financial Officer				
Deputy Executive Director				

**METROPOLITAN TRANSPORTATION COMMISSION**  
**FISCAL YEAR 2015**

Attachment B  
Page 4 of 4

**Pay Schedule for Specific Executive Employees**  
**Fiscal Year 2014-15, Effective July 1, 2014**

CLASS/POSITION	GRADE	MIN	MAX	PAY TYPES
ASSOCIATE COUNSEL I/II	L/2	\$60.3800	\$80.4400	HOURLY BASE RATE
		\$4,830.10	\$6,435.45	BI-WEEKLY
		\$10,465.20	\$13,943.47	MONTHLY
		\$125,582.40	\$167,321.58	ANNUAL
Associate Counsel I				
Associate Counsel II				
EXECUTIVE ADMINISTRATIVE	X/B	\$60.3800	\$80.4400	HOURLY BASE RATE
		\$4,830.10	\$6,435.45	BI-WEEKLY
		\$10,465.20	\$13,943.47	MONTHLY
		\$125,582.40	\$167,321.58	ANNUAL
Principal				
DEPUTY GENERAL COUNSEL	L/3	\$79.2200	\$98.5000	HOURLY BASE RATE
		\$6,337.48	\$7,879.88	BI-WEEKLY
		\$13,731.21	\$17,073.07	MONTHLY
		\$164,774.57	\$204,876.81	ANNUAL
Deputy General Counsel				
Senior Attorney				
EXECUTIVE MANAGEMENT	X/A	\$79.2200	\$98.5000	HOURLY BASE RATE
		\$6,337.48	\$7,879.88	BI-WEEKLY
		\$13,731.21	\$17,073.07	MONTHLY
		\$164,774.57	\$204,876.81	ANNUAL
Section Director				
DEPUTY EXECUTIVE DIRECTOR	X/3-X/4	\$98.6500	\$117.9000	HOURLY BASE RATE
		\$7,892.31	\$9,432.06	BI-WEEKLY
		\$17,100.00	\$20,436.12	MONTHLY
		\$205,200.00	\$245,233.49	ANNUAL
Chief Financial Officer				
Deputy Executive Director				

**METROPOLITAN TRANSPORTATION COMMISSION  
FISCAL YEAR 2012**

Attachment C  
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**Pay Schedule for General Counsel**

**Fiscal Year 2011-2012, Effective July 1, 2011**

CLASS/POSITION	GRADE	RATE	PAY TYPE
GENERAL COUNSEL	X/2	\$105.3089	HOURLY BASE RATE
		\$8,424.71	BI-WEEKLY
		\$18,253.54	MONTHLY
		\$219,042.43	ANNUAL
General Counsel			

**METROPOLITAN TRANSPORTATION COMMISSION**  
**FISCAL YEAR 2012**

Attachment C  
Page 2 of 2

**Pay Schedule for Executive Director**  
**Fiscal Year 2011-2012, Effective July 1, 2011**

CLASS/POSITION	GRADE	RATE	PAY TYPE
EXECUTIVE DIRECTOR	X/1	\$134.8753	HOURLY BASE RATE
		\$10,790.03	BI-WEEKLY
		\$23,378.39	MONTHLY
		\$280,540.71	ANNUAL
Executive Director			



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1053      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 11/10/2015      **In control:** Programming and Allocations Committee  
**On agenda:** 1/13/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213, and 4219. FY2015-16 Transit Capital Priorities (TCP) and Bridge Toll Revenues Preliminary Programs, Partial FY2016-17 and FY2017-18 TCP Preliminary Programs, Revisions to FY2013-14 and FY2014-15 TCP Programs and FY2014-15 AB664 Program, and Revisions to the BART fleet replacement funding plans in the Core Capacity Challenge Grant Program and BART Rail Car Replacement Program.

(i) This item programs roughly \$494 million in Federal Transit Administration (FTA) Sections 5307, 5337, and 5339 funds, One Bay Area Grant Program (OBAG 1) Surface Transportation Program (STP) funds, and Bridge Toll Funds in FY2015-16, \$24 million in FTA funds in FY2016-17, and \$24 million in FTA funds in FY 2017-18, all to support transit capital replacement and rehabilitation projects, and maintenance and operating costs. This item also updates the FY2013-14 and FY2014-15 TCP Programs to program previously reserved funds to Caltrain fixed guideway rehabilitation projects, and amends the FY2014-15 AB664 program to re-allocate prior-year lapsed funds.

(ii) This item also revises funding plans for BART fleet replacement and expansion in the Core Capacity Challenge Grant Program and the BART Rail Car Replacement Program Phase 1 to address timing and eligibility issues.

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### Indexes:

### Code sections:

**Attachments:** [Commission 8 Reso-3738-4035-4084-4123-4126-4162-4163-4165-4169-4212-4213-3a Reso-3738-4035-4084-4123-4126-4162-4163-4165-4169-4212-4213-4219 TCP Revisions.pdf](#)

Date	Ver.	Action By	Action	Result
1/13/2016	1	Programming and Allocations Committee		

### Subject:

MTC Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213, and 4219. FY2015-16 Transit Capital Priorities (TCP) and Bridge Toll Revenues Preliminary Programs, Partial FY2016-17 and FY2017-18 TCP Preliminary Programs, Revisions to FY2013-14 and FY2014-15 TCP Programs and FY2014-15 AB664 Program, and Revisions to the BART fleet replacement funding plans in the Core Capacity Challenge Grant Program and BART Rail Car Replacement Program.

*(i) This item programs roughly \$494 million in Federal Transit Administration (FTA) Sections 5307, 5337, and 5339 funds, One Bay Area Grant Program (OBAG 1) Surface Transportation Program (STP) funds, and Bridge Toll Funds in FY2015-16, \$24 million in FTA funds in FY2016-17, and \$24 million in FTA funds in FY 2017-18, all to support transit capital replacement and rehabilitation projects, and maintenance and operating costs. This item also updates the FY2013-14 and FY2014-*

*15 TCP Programs to program previously reserved funds to Caltrain fixed guideway rehabilitation projects, and amends the FY2014-15 AB664 program to re-allocate prior-year lapsed funds.*

*(ii) This item also revises funding plans for BART fleet replacement and expansion in the Core Capacity Challenge Grant Program and the BART Rail Car Replacement Program Phase 1 to address timing and eligibility issues.*

**Presenter:**

Shruti Hari

**Recommended Action:**

Commission Approval

# Metropolitan Transportation Commission Programming and Allocations Committee

January 13, 2016

Commission Agenda #8

**Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213 and 4219**

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<b>Subject:</b>	FY2015-16 Transit Capital Priorities (TCP) and Bridge Toll Revenues Preliminary Programs, Partial FY2016-17 and FY2017-18 TCP Preliminary Programs, Revisions to FY2013-14 and FY2014-15 TCP Programs and FY2014-15 AB664 Program, and Revisions to the BART fleet replacement funding plans in the Core Capacity Challenge Grant Program and BART Rail Car Replacement Program.
<b>Background:</b>	<p>(i) This item programs roughly \$494 million in Federal Transit Administration (FTA) Sections 5307, 5337, and 5339 funds, One Bay Area Grant Program (OBAG 1) Surface Transportation Program (STP) funds, and Bridge Toll Funds in FY2015-16, \$24 million in FTA funds in FY2016-17, and \$24 million in FTA funds in FY 2017-18, all to support transit capital replacement and rehabilitation projects, and maintenance and operating costs. This item also updates the FY2013-14 and FY2014-15 TCP Programs to program previously reserved funds to Caltrain fixed guideway rehabilitation projects, and amends the FY2014-15 AB664 program to re-allocate prior-year lapsed funds.</p> <p>(ii) This item also revises funding plans for BART fleet replacement and expansion in the Core Capacity Challenge Grant Program and the BART Rail Car Replacement Program Phase 1 to address timing and eligibility issues.</p> <p>The proposed program has been developed in cooperation with the transit operators over the last six months.</p>
<b>Issues:</b>	<p>1. <u>Preliminary Program Shortfall</u>. Prior to the enactment of the FAST Act, staff planned to develop a three-year program for FY2015-16, FY2016-17 and FY2017-18, in anticipation that Congress might enact a multi-year reauthorization. However, funding requests from transit operators exceeded the projected FTA revenue levels by more than \$350 million for the three years. The shortfall is primarily in the San Francisco/Oakland (SFO) Urbanized Area and is the result of several major vehicle replacement projects coinciding with level-funding of FTA formula programs under MAP-21.</p> <p>The FAST Act (discussed further below) provides for increased FTA funding levels compared to staff's preliminary projections, but not enough to resolve the shortfalls. Therefore, staff proposes a balanced one-year program for FY2015-16 which resolves the first-year shortfall mainly by a) deferring funding for projects with less immediate cash flow needs in FY2015-16 to later years, b) programming more than a proportionate share of Core Capacity bridge tolls for Core Capacity projects, and c) deferring programming for fixed guideway infrastructure projects to future years for operators that did not meet grant spending targets. These program elements are discussed further below.</p>

2. FAST Act Reauthorization. The increased authorizations for FTA formula programs in the FAST Act are expected to yield about \$422 million in FY2015-16 apportionments for the region, an increase of about \$30 million over FY2014-15 levels under MAP-21. The additional funds have been included in the proposed program. The program also reflects some minor changes to FTA program requirements made by the FAST Act. The preliminary program, which is based on estimates of FTA funds, will be revised later in 2016 to match final federal funding levels after FTA apportions the funds to the region's urbanized areas.

3. Unexpended Prior-Year Grants. The TCP policy conditions new programming on the expenditure of prior year grants in order to direct the region's limited federal funds to those projects most in need of additional funding. Most operators have prior-year FTA grants that have not yet been expended, with the grants for fixed guideway infrastructure projects, such as track and train control system rehabilitation, the primary concern; grants for vehicle replacements are usually spent in a timely manner.

For the FY2015-16 program, MTC adopted targets for spending of prior-year grant balances over the past year for each fixed guideway operator, equal to 1/3 of older (2012 or earlier) grant balances. In accordance with the policy, the proposed program includes the full allotment of fixed guideway funds for operators that met their targets. For operators that were unable to meet the targets, staff is proposing to defer fixed guideway funds by the percentage of older (2001-2012) active TCP grants that are currently undisbursed. Deferrals are net of voluntary deferrals. Results are shown in the table below.

Operator	Grant Disbursement Targets vs. Actual Disbursements		Met or Exceeded Target
	Targets	Disbursements	
ACE	<b>\$878,049</b>	\$2,634,148	X
BART	<b>\$70,314,605</b>	\$71,879,860	X
Caltrain	<b>\$8,147,405</b>	\$3,522,818	
GGBHTD	<b>\$6,475,900</b>	\$6,902,944	X
SFMTA	<b>\$61,684,843</b>	\$48,544,421	
WETA	<b>\$904,992</b>	\$1,556,203	X
<b>Total</b>	<b>\$148,405,795</b>	<b>\$135,040,394</b>	

Based on this formula, SFMTA did not meet the target and is proposed for a \$5.3 million deferral: \$15.3 million according to the formula, offset by a \$10 million voluntary deferral. Caltrain also did not meet the grant spending target, but staff has not proposed to defer Caltrain's fixed guideway funding because Caltrain's fixed guideway caps are still committed to the Electrification project (see issue #6 below).

For the FY2016-17 and the FY2017-18 programs, staff proposes to again adopt targets for spending of prior-year grant balances over the preceding federal fiscal year (October to September timeframe) for each fixed guideway operator, equal to 1/3 of older (2012 or earlier) grant balances. If the target is met, the full fixed guideway cap amounts specified for each operator in the TCP policy would be programmed, subject to the availability of funds. If the target is not met, staff would propose to reduce fixed guideway funding based on grant balances.

4. Deferral of Projects Based on Cash Flow Needs to Reduce Shortfall.

Programming for the BART Car Replacement project is proposed to be reduced from \$74.5 million to about \$50 million to help resolve the FY2015-16 shortfall. The reduction will not affect the near-term cash flow for the project, but will make meeting the remainder of the region's commitment of \$871 million for the first 350 cars (Phase 1) by FY2018-19 more challenging. Proposed revisions to the BART car funding plans to help MTC meet its commitments are discussed further below.

Programming for Clipper<sup>®</sup> equipment replacement is proposed to be reduced from \$19.2 million (requested) to \$5.0 million, as from a cash flow standpoint, this would not impede Clipper<sup>®</sup>'s ability to fund current equipment replacement or the next generation Clipper<sup>®</sup> system (C2) contracts. However, the entire amount that is being deferred may need to be available for programming in FY2016-17 along with the original FY2016-17 request to prevent delays in contract awards.

5. Replacement of FTA Funds with Bridge Tolls for Core Capacity Projects.

Bridge tolls revenues of approximately \$47 million (more than a proportionate share per the Core Capacity funding plan), are being proposed to fund AC Transit's and SFMTA's Core Capacity projects (bus replacements) in FY2015-16 to reduce the shortfall and to enable SFMTA to exercise a major option for buses (discussed further below). This would reduce the amount of bridge toll funds available to the operators in the future to help meet the match requirements for FTA funds.

6. Caltrain Rail Cars and Electrification. The proposed FY2015-16 program reserves Caltrain's \$12.6 million in fixed guideway cap funds for the Electrification project pursuant to the current 9-party Memorandum of Understanding (MOU) regarding the Caltrain Electrification funding strategy. The program also reserves about \$39 million for Caltrain's rail car replacement. Depending on the timing of the vehicle contract award and funding needs, the reserved funds can be programmed either later in FY2015-16 or in later years.

The FY2013-14 and FY2014-15 TCP programs also include funds reserved for Caltrain Electrification totaling approximately \$11 million. Staff determined that these funds could be reprogrammed to rehabilitation projects as requested by Caltrain due to delays in the Electrification project schedule, so this item also amends the two earlier programs to release the reserved funds for rehabilitation needs.

The TCP policy incorporates a Peninsula Corridor JPA agreement that Caltrain's TCP projects are funded 2/3 from the San Francisco-Oakland urbanized area, and 1/3 from the San Jose urbanized area. By agreement with VTA and Caltrain, the reserve for Caltrain's railcars in the FY2015-16 program is funded entirely from the San Jose urbanized area to help address the San Francisco-Oakland shortfall. This still leaves sufficient San Jose funds to cover VTA's high-scoring needs. Future Caltrain Electrification programming will need to come more from San Francisco-Oakland to maintain a 2/3-1/3 split overall.

7. SFMTA Bus Procurements. In November, SFMTA requested that \$71 million in TCP funds for bus replacements currently scheduled to be programmed in FY2016-17 and FY2017-18 be included in the FY2015-16 program (in addition to \$63 million for SFMTA buses already included in the FY2015-16 program). This would enable SFMTA to execute a contract option that would result in earlier delivery of the new buses. The total cost of the procurement, including the option ("Contract Amendment 2"), is approximately \$474 million. The proposed funding plan for the procurement is summarized in the attached table on page 7.

Staff worked with SFMTA to develop the following funding plan for the additional \$71 million request:

- \$5.5 million of AB 664 bridge tolls committed to SFMTA fleet replacement in the Core Capacity Challenge Grant Program (MTC Resolution 4123), but currently programmed to light rail fleet expansion due to a somewhat similar request from SFMTA in FY2014-15 to advance TCP funds so it could exercise an option for the LRVs. Programming of the bridge tolls would be conditioned on the SFMTA Board approving San Francisco Proposition B General Fund set-aside funds to replace the bridge tolls for the LRVs. The remaining \$22 million of AB 664 and another \$84 million of other bridge tolls (BATA project savings) would remain assigned to the LRVs until they can be replaced with other funds such as state Cap and Trade funds.
- The remaining \$8.2 million of AB 664 bridge tolls committed to SFMTA fleet replacement in the Core Capacity program (previously unprogrammed). This is in addition to \$8.2 million of AB 664 funds previously included in the proposed program, and would fully program all AB 664 and BATA bridge tolls allotted to SFMTA in the Core Capacity program, leaving zero bridge tolls available as local match for federal grants through at least FY2029-30. Allocation of all AB 664 funds for the bus project would be conditioned on a commitment by SFCTA to allocate Prop K funds to complete the funding plan.
- \$10 million of OBAG 1 STP funds that were programmed to SFMTA trolley replacement in FY2012-13. Due to savings on the trolley procurement, these funds can be reprogrammed to the bus replacement project.

- \$23.8 million of FY2016-17 and \$23.8 million of FY2017-18 FTA funds, constituting a partial preliminary TCP program for the two additional years. While the program shortfalls in FY2016-17 and FY2017-18 remain to be resolved (discussed further below), staff believes that committing this level of future funds to SFMTA now will not unduly hamper our efforts to resolve the shortfalls.

The additional programming would be conditioned on:

- The SFMTA Board approval of budgeting of \$5.5 million of Prop B funds to replace AB 664 for the LRVs; and
- Allocation of \$48 million of Prop K funds by SFCTA to serve as match for the regional funds.

Both of these actions are expected to occur in January/February 2016.

Completing the funding for the entire bus option will require another \$147 million in regional and Prop K funds, which will be the subject of future programming actions. In the meantime, SFMTA would only exercise contract options up to the level of funding available.

8. Revisions to BART Rail Car Funding Plans. MTC has made two policy-level funding commitments to the BART car replacement project: \$871 million toward the cost of the first 350 replacement vehicles by FY2018-19 in the Phase 1 Funding Agreement (MTC Resolution 4126), and \$1.1 billion toward the cost of the remaining 319 replacement cars and 144 expansion cars by FY2029-30 in the Core Capacity Challenge Grant Program. The \$50 million for BART car replacement in the proposed FY2015-16 TCP program is part of the Phase 1 commitment. The Phase 1 funding plan also includes \$150 million of future STP/CMAQ funds included in OBAG 2 (MTC Resolution 4202). The Core Capacity funding plan includes \$165 million of AB 664 Bridge Tolls and BATA Project Savings for the BART cars.

This item proposes to revise the two funding commitments by transferring the \$150 million of OBAG 2 STP/CMAQ revenues from the Phase 1 plan to the Core Capacity plan, and transferring \$150 million of the AB 664 Bridge Tolls and BATA Project Savings from the Core Capacity plan to the Phase 1 plan. This funding exchange, which does not change the total amounts committed to BART in either of the plans, serves two purposes: it provides greater assurance that MTC can meet the Phase 1 commitment by FY2018-19 by replacing future STP/CMAQ funds with bridge tolls that are already available, and it provides the flexibility to use CMAQ rather than STP for the \$150 million OBAG 2 commitment because expansion cars are eligible for CMAQ while replacement cars are not, and the Core Capacity plan includes expansion cars, while the Phase 1 plan includes only replacement vehicles. The \$150 million of bridge toll funds combined with \$417 million of previously programmed TCP funds and \$50 million included in the proposed program comprise \$618 million or 70% of the \$871 million Phase 1

commitment. This item also revises the BART Car Replacement Funding Exchange Agreement to reflect these changes.

9. Looking Ahead to FY2016-17 and FY2017-18. The gap between funding requests and projected revenues in FY2016-17 and FY2017-18 stood at roughly \$250 million prior to the enactment of the FAST Act and before deferrals from the FY2015-16 program. Options for addressing the shortfall that staff will consider before presenting the program to the Commission later this year include:

- Revise apportionment projections based on FAST Act authorizations and actual FY2015-16 revenues.
- Request operators to confirm funding requests or voluntarily defer projects.
- Defer fixed guideway funding based on grant balances and meeting proposed spending targets as described above.
- Reduce programming for vehicle replacement projects (bus or railcar) consistent with project schedules and/or cash flow needs.
- Assess the capacity and timing of financing for certain projects.
- As a last resort, apply across the board reductions to all projects in San Francisco-Oakland, Concord and Antioch Urbanized Areas to eliminate any remaining shortfall.

**Recommendation:** Refer MTC Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213, and 4219 to the Commission for approval. Because Resolution No. 4035 is proposed for revision under other agenda items, it is included under this Agenda Item with all proposed revisions. Only items approved by the Committee will be forwarded to the Commission.

**Attachments:** SFMTA Bus Procurement Proposed Funding Plan Summary Table  
January 5, 2016 Letter from SFMTA Director of Transportation, Ed Reiskin  
Presentation Slides  
MTC Resolution Nos. 3738, Revised, 4035, Revised, 4084, Revised, 4123, Revised, 4126, Revised, 4162, Revised, 4163, Revised, 4165, Revised, 4169, Revised, 4212, 4213 and 4219.

<b>SFMTA Bus Procurement Proposed Funding Plan</b>			
\$ Millions			
<b>Funding Source</b>	<b>Base/ Amend. 1</b>	<b>Amend. 2</b>	<b>Total</b>
Prior Year Programming			
TCP Funds	69.5		69.5
SFCTA Prop K	45.8		45.8
Prop B (General Fund Set Aside)	17.8		17.8
State I-Bonds	3.1		3.1
FTA Ladders of Opportunity	9.0		9.0
Subtotal Prior Year	145.1	0.0	145.1
Proposed Current Programming			
FY2015-16 TCP Program Before Request			
FY2015-16 TCP Funds	45.1	10.0	55.1
Core Capacity AB 664 Bridge Tolls		8.2	8.2
Subtotal TCP Program Before Request	45.1	18.3	63.3
Proposed Additional Programming			
Core Capacity AB 664 from LRVs*		5.5	5.5
Core Capacity AB 664 Bridge Tolls		8.2	8.2
Reprogrammed FY2012-13 TCP Funds		10.0	10.0
FY2016-17 TCP Funds		23.8	23.8
FY2017-18 TCP Funds		23.8	23.8
Subtotal Additional Programming	0.0	71.4	71.4
SFCTA Prop K		47.9	47.9
Subtotal Current & Additional Programming	45.1	137.5	182.6
Future Programming			
FY2016-17 to FY2018-19 TCP Funds		116.5	116.5
SFCTA Prop K		30.1	30.1
Subtotal Future Programming	0.0	146.6	146.6
Grand Total	190.1	284.1	474.3
* Conditioned on SFMTA Board Action to program Prop B General Fund to replace AB 664 bridge tolls on LRV project.			



**METROPOLITAN  
TRANSPORTATION  
COMMISSION**

# **TRANSIT CAPITAL PRIORITIES PROGRAMMING**

January 13, 2016  
Programming & Allocations Committee

# Transit Capital Priorities Program

- Programming of FTA and STP Formula Funds, and Bridge Tolls for transit capital replacement, rehab, maintenance and operations

## **FY2015-16 Transit Capital Priorities Funding (\$ millions)**

\$211 FTA Urbanized Area Formula (5307)

222 FTA State of Good Repair (5337)

12 FTA Bus & Bus Facilities (5339)

2 OBAG 1 STP Transit Capital Rehabilitation

47 AB 664 & BATA Savings for Core Capacity Projects

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\$494 Total Funding

# Transit Capital Priorities - Shortfall

- Initial shortfall before programming actions/reductions:

- Shortfall primarily in San Francisco-Oakland Urbanized Area

Year	Millions
FY2016	\$108
FY2017	\$117
FY2018	\$132
Total	\$357

- Shortfall Result of Two Factors
  - ▣ Major Vehicle Replacements – Confluence of Needs
  - ▣ Lower Revenues - No growth in FTA apportionments under MAP-21
    - FAST Act to increase revenues by \$30M in FY16 but not enough to eliminate shortfalls

# Transit Capital Priorities - Shortfall

## ■ Major Vehicle Replacement Funding Requests

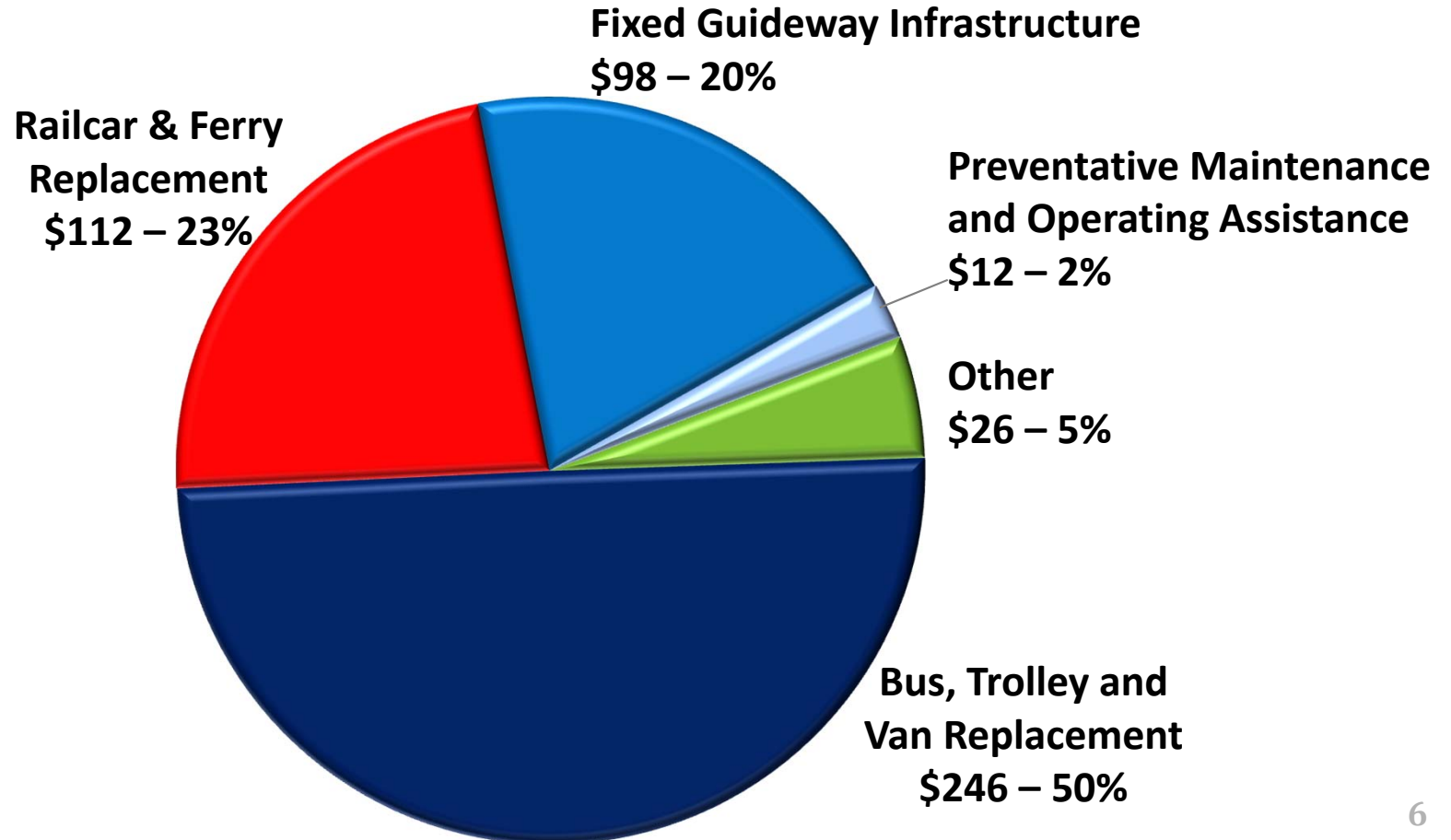
- ▣ SFMTA Fleet Replacement: \$230M
- ▣ BART Car Replacement: \$74M
- ▣ AC Transit Fleet Replacement: \$36M
- ▣ VTA Fleet Replacement: \$34M
- ▣ WETA Ferry Vessel Replacement: \$17M
- ▣ Caltrain Rail Car Replacement: \$39M (reserved)

# Addressing Shortfall

- **One-Year Program** – FY2015-16 only
- **Voluntary deferrals to FY2016-17 and Beyond** – Golden Gate, WETA
- **\$20M Cap on Bus Replacement Projects** – remainder to be fully funded in subsequent years
- **Defer** part of BART Car Funding and Clipper requests to later years based on later cashflow needs
- **Additional Core Capacity Bridge Tolls** for AC Transit and SFMTA's Core Capacity projects
- **Defer Fixed Guideway (FG) Rehab Funding based on Undisbursed Grant Balances** – for operators that did not meet spending target of 1/3 of older grant balances

# FY2015-16 Program Summary

## Transit Capital Priorities Projects FY 2015-16 — \$494 million (\$ millions)



# Program Highlights

- Fund projects most essential to region
- Policy successful in accelerating state of good repair projects
- Programs \$206M towards implementing Core Capacity projects
- Accelerates funding for replacement of SFMTA's Fleet
- Funds replacement of BART's Fleet
- Promotes hybrids and zero-emission buses

# Program Issues

## ■ Prior-Year Grant Balances

- TCP policy conditions programming on expenditure of prior year grants

Operator	Grant Disbursement Targets vs. Actual Disbursements		Met or Exceeded Target
	Targets	Disbursements	
ACE	\$878,049	\$2,634,148	X
BART	\$70,314,605	\$71,879,860	X
Caltrain	\$8,147,405	\$3,522,818	
GGBHTD	\$6,475,900	\$6,902,944	X
SFMTA	\$61,684,843	\$48,544,421	
WETA	\$904,992	\$1,556,203	X
Total	\$148,405,795	\$135,040,394	

# Program Issues, continued

## ■ **BART Car Replacement Project**

- Defer \$24M of \$74M request to later years to reduce shortfall
- Exchange \$150M of OBAG2 STP/CMAQ in Phase 1 with \$150M of Bridge Tolls in Core Capacity Plan, to help meet Phase 1 commitment

## ■ **Clipper** Defer \$14M of \$19M requested to reduce shortfall

## ■ **AC Transit's Fleet Replacement**

- Supplant \$18M in FTA funds with Bridge Tolls to reduce shortfall, reduces amount of bridge tolls available later to meet match requirements

## ■ **Caltrain's Railcar Replacement**

- Reserve \$39M for EMU procurement and \$13M FG funds for Electrification
- Release \$11 million in FY14 and FY15 funds previously reserved per MOU, to be used for FG rehab projects instead, due to timing of Electrification project awards

# Program Issues, continued

## ■ SFMTA Bus Replacement

- SFMTA requested \$71 million in additional funds scheduled for FY17 and FY18 to execute a contract option for earlier delivery of buses.
- FY16 FTA revenues insufficient to include additional projects; additional bridge toll funds and advance programming of FY17 and FY18 TCP funds to complete funding plan as shown below, conditioned on allocation of Prop K matching funds by SFCTA:

SFMTA Bus Option Proposed Additional Funding	
Funding Source	\$Millions
Core Capacity AB 664 Bridge Tolls from LRVs*	\$5.5
Remaining Core Capacity AB 664 Bridge Tolls	\$8.2
Reprogrammed FY 2012-13 TCP Funds	\$10.0
FY 2016-17 TCP Funds	\$23.8
FY 2017-18 TCP Funds	\$23.8
<b>Total</b>	<b>\$71.4</b>
*Conditioned on SFMTA Board Action to Program Prop B General Funds	

# FY17 & FY18 Look-ahead

- Shortfalls of roughly \$250M through FY18 prior to the enactment of FAST Act and deferrals from FY16 program
- Options for reducing shortfall include:
  - ▣ Update revenue projections based on FAST Act authorizations and actual FY16 revenues
  - ▣ Request operators to confirm funding requests or voluntarily defer projects
  - ▣ Defer fixed guideway funding based on grant balances and meeting proposed spending targets
  - ▣ Reduce programming for vehicle replacement projects consistent with project schedules and/or cash flow needs
  - ▣ Assess the capacity and timing of financing for certain projects
  - ▣ Apply across the board reductions to projects in competitive urbanized areas to eliminate any remaining shortfall

# Transit Capital Priorities Program

- **Recommendation:** Refer MTC Resolutions to the Commission for approval
  - **4212** – FY 2015-16 TCP Program
  - **4219** – FY 2016-17 and FY2017-18 TCP program
  - **4213** – FY 2015-16 AB 664 Program
  - **4169**, Revised – BATA Project Savings Program and Allocations
  - **4035**, Revised – OBAG 1 STP Transit Capital Rehabilitation Program
  - **4163 & 4165**, Revised – Updates to FY2014-15 AB664 program to re-allocate prior year lapsed funds
  - **4162 & 4084**, Revised – Updates to FY2013-14 and FY2014-15 TCP programs to program previously reserved funds to Caltrain's FG rehab projects
  - **3738, 4123 & 4126**, Revised – Revisions to BART Car Funding Plans



**SFMTA**  
Municipal  
Transportation  
Agency

Edwin M. Lee, *Mayor*

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Malcolm Heinicke, *Director*

Joél Ramos, *Director*

Cristina Rubke, *Director*

**January 5, 2016**

**Steve Heminger**  
**Executive Director**  
**Metropolitan Transportation Commission**  
**101 Eighth Street**  
**Oakland, CA 94607**

***Re: Motor Coach Replacement Project Funding Request***

**Dear Mr. Heminger:**

The SFMTA operates an expansive rubber tire fleet of 40-foot standard buses and 60-foot articulated buses. Consistent with the region's SB 375 goals, modernization and expansion of this fleet is critical to meet projected ridership growth and achieve greenhouse gas reduction emission goals. In line with modernizing this fleet, the SFMTA entered into a contract with New Flyer, in December 2014, to replace all buses that have reached the end of their 12-year useful life. This contract was originally structured as a base contract to purchase 61 articulated buses and periodic options over the six-year term of the agreement to purchase up to 200 additional standard buses and up to 163 additional articulated buses.

In June 2015, the City issued Contract Amendment No. 1 to exercise an option to purchase 48 standard buses and 50 articulated buses, leaving 265 vehicles remaining under the original contract options. The vehicles that we have received to date have delivered an immediate benefit to our riders. The new vehicles are cleaner, quieter, more spacious, and easier for people with disabilities to use. They also represent a dramatic improvement in service reliability and ease of maintenance.

At this time, the SFMTA wishes to exercise the remaining options on the contract, to purchase 265 vehicles, including 152 standard buses and 113 articulated buses. Executing the remaining options will ensure a consistent and expedited production schedule and better pricing from New Flyer, allowing the Agency to replace all 40- and 60-foot motor coaches by early 2018. This schedule will lead to a more cost-effective maintenance program and continue the positive trend in vehicle reliability.

SFMTA requests MTC's help to exercise this option. New Flyer has stated that SFMTA need only certify a portion of the contract now, and can commit to a final number of vehicles within a year. Thus, SFMTA is seeking MTC's assistance to support a strategy that allows for immediate certification of a portion of the option. A determination of the total number of vehicles to be purchased in this option will be finalized through the upcoming Transit Capital Priorities (TCP) process set to begin in spring 2016.

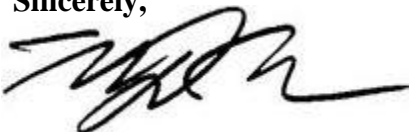
- The overall cost to execute this full option (Amendment #2) is \$284 million.
- Of that \$284 million, \$18.3 million has been identified through the FY16 TCP process currently underway.
- An additional \$47.9 million of Proposition K sales tax funding is expected to be secured from the San Francisco Transportation Authority (SFCTA) as matching funds in February 2015.
- Given that future years of TCP funding will not be programmed until the next TCP cycle completes (early 2017), SFMTA is requesting that a portion of future TCP funds be programmed into the TIP now; this early programming will allow SFMTA the authority to certify the portion of the contract that keeps production moving until the end of calendar 2016.
- The amount of funding requested to be programmed is \$71.4 million.
  - \$23.7 million of this request can be accommodated through MTC actions to re-program funding already allocated to SFMTA.
  - SFMTA is requesting that MTC program the remaining \$47.7 million into the TIP, split between FY17 and FY18, ahead of the formal TCP process.
- Please see the attached table for a detailed breakdown of the funding request.

While we understand this to be a significant request, the replacement of our revenue fleet is consistent with the TCP policies and a top capital priority for our Agency. Staff at MTC and the SFCTA have been extremely helpful in working with us to develop this requested solution and we are thankful for the work that has been done to pursue the procurement in this expedited timeframe.

Please don't hesitate to contact me should you have any questions or require additional information.

Thank you for your consideration.

Sincerely,



**Edward D. Reiskin**  
**Director of Transportation**

cc: SFMTA Board of Directors  
D. Campos, A. Halsted, S. Wiener – MTC Commission  
T. Chang – Executive Director, SFCTA  
G. Gillett – Office of Mayor Edwin M. Lee

SFMTA Bus Option Proposed Funding Plan			
\$ Millions			
Funding Source	Base/ Amend. 1	Amend. 2	Total
<b>Prior Year Programming</b>			
TCP Funds	69.480		69.480
SFCTA Prop K	45.757		45.757
Prop B (General Fund Set Aside)	17.768		17.768
State I-Bonds	3.055		3.055
FTA Ladders of Opportunity	8.995		8.995
<b>Subtotal Prior Year</b>	<b>145.055</b>	<b>0.000</b>	<b>145.055</b>
<b>Programming Proposed Through Current FY16 TCP</b>			
FY2015-16 TCP Funds	45.083	10.047	55.130
Core Capacity AB 664		8.211	8.211
<b>Subtotal TCP Program Before Request</b>	<b>45.083</b>	<b>18.258</b>	<b>63.341</b>
<b>Proposed Additional Programming</b>			
<b>Re-programming of SFMTA Funds Requiring MTC Action</b>			
Core Capacity AB 664 from LRVs		5.500	5.500
Remaining Core Capacity AB 664		8.211	8.211
Reprogrammed FY2012-13 TCP Funds		10.000	10.000
<b>Early Programming of TCP Funds</b>			
FY2016-17 TCP Funds		23.831	23.831
FY2017-18 TCP Funds		23.831	23.831
<b>Subtotal Additional Programming</b>		<b>71.373</b>	<b>71.373</b>
<b>Funds Requiring SFCTA Programming Action</b>			
SFCTA Prop K		47.869	47.869
<b>Subtotal Programming Available for Certification</b>		<b>137.500</b>	<b>137.500</b>
<b>Future Programming</b>			
FY2016-17 to FY2018-19 TCP Funds		116.517	116.517
SFCTA Prop K		30.097	30.097
<b>Subtotal Future Programming</b>		<b>146.614</b>	<b>146.614</b>
<b>Grand Total</b>	<b>190.138</b>	<b>284.114</b>	<b>474.252</b>

Date: May 24, 2006  
W.I.: 1512  
Referred By: PAC  
Revised: 11/15/06-ED 09/26/07-C  
12/15/10-C 11/28/12-C  
12/18/13-C 1/27/16-C

### ABSTRACT

#### Resolution No. 3738, Revised

This resolution approves the principles, process, and schedule for exchanging federal, state, and local funds and the criteria for reserving these funds for BART's A, B, C1, and C2 cars until the cars are eligible for replacement, beginning in 2013, and the funds are needed by BART.

This resolution was revised through administrative action by the Executive Director on November 15, 2006 to include the final version of the agreement between MTC and BART. The revisions specify that the amount of local funds BART agrees to deposit into the Account is equal to 100% of the federal reimbursement less any BART principal previously withheld for retention in the Account, delete a reference to early termination of the agreement, and made a few other terminology changes.

This resolution was revised by the Commission on September 26, 2007 to make a minor correction in Attachment B to the amount programmed in FY 2006-07, and to include in Attachment B a reference to \$45 million in Third Cycle STP funds that were programmed to the BART Car Replacement project by the Commission in MTC Resolution 3723, Revised.

This resolution was revised by the Commission on December 15, 2010 to incorporate references to FTA funds programmed to the BART Car Replacement Project in conjunction with MTC Resolution 3918.

This resolution was revised by the Commission on November 28, 2012 to amend Attachment B to update the amount of federal programming subject to the Funding Exchange Agreement, and to authorize the withdrawal of up to \$15.5 million from the Funding Exchange Account under specified conditions.

This resolution was revised by the Commission on December 18, 2013 to revise the language of Attachment A regarding BART's deposits of local funds into the Funding Exchange Account,

ABSTRACT

MTC Resolution No. 3738, Revised

Page 2 of 2

and to amend Attachment B to update the amount of federal programming subject to the Funding Exchange Agreement.

This resolution was revised by the Commission on January 27, 2016 to amend Attachment B to update the amount of federal programming subject to the Funding Exchange Agreement.

Further discussion of the BART car fund exchange and reserve account is contained in the “Executive Director” memorandum and the Programming and Allocations Summary Sheet dated May 10, 2006, and the Programming and Allocations Summary Sheets dated September 12, 2007, November 10, 2010, November 14, 2012, December 11, 2013 and January 13, 2016.

Date: May 24, 2006  
W.I.: 1512  
Referred By: PAC

RE: BART Car Replacement Funding Exchange and Reserve Account

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 3738

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, MTC has adopted a 25-year regional transportation plan (RTP), entitled the Transportation 2030 Plan, (MTC Resolution No. 3681, approved February 23, 2005); and

WHEREAS, the Transportation 2030 Plan identifies a funding shortfall of \$1.3 billion over the next twenty-five years for high priority transit capital projects; and

WHEREAS, the Bay Area Rapid Transit District's (BART) fleet replacement program, which is scheduled to begin in 2013, comprises a significant portion of the region's high priority transit capital shortfall; and

WHEREAS, MTC and BART staff have been in discussions over the development of a program to fund the BART A, B, C1, and C2 car replacement program, hereinafter called "Project", set to begin in 2013, by exchanging federal, state, and local funds for BART local funds and establishing a reserve for such funds, and propose that MTC and BART enter into an agreement articulating the principles for accomplishing such fund exchanges and establishing a reserve capital funding account for the Project; and

WHEREAS, MTC as the regional planning agency has facilitated discussions about financing the Project, including exchanging regional funds and reserving funds for this purpose in coordination with members of the Bay Area Partnership and have afforded opportunity for comment; and

WHEREAS, MTC and BART have specified the terms and conditions of the Project in an agreement which is set forth in Attachment A to this Resolution, attached hereto and incorporated herein as though set forth at length; now, therefore be it


RESOLVED, that MTC approves Attachment A; and, be it further

RESOLVED, that Attachment B, Programmed Amounts, attached hereto and incorporated herein as though set forth at length, sets forth the agreed-upon funding amounts for the fund exchange, which may be amended from time to time by amendment to this Resolution; and, be it further

RESOLVED, that the Executive Director is authorized to execute Attachment A on behalf of MTC, and to make non-substantive changes or minor amendments as deemed appropriate subject to review by MTC's Office of General Counsel for form; and, be it further

RESOLVED, that upon execution of Attachment A by the signatory agencies, MTC staff shall commence implementing the fund exchange and establishing the BART Car Replacement Restricted Account.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in black ink, appearing to read "Jon Rubin", is written over a horizontal line.

Jon Rubin, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on May 24, 2006.

Date: May 24, 2006  
 W.I.: 1512  
 Referred By: PAC  
 Revised: 11/15/06-ED 09/26/07-C  
 12/15/10-C 11/28/12-C  
 12/18/13-C 1/27/16-C

Attachment B  
 Resolution No. 3738, Revised  
 Page 1 of 2

ATTACHMENT B  
 TABLE 1. PROGRAMMED FEDERAL FUNDING AMOUNTS SUBJECT TO  
 BART CAR REPLACEMENT FUNDING EXCHANGE AGREEMENT

Ready-to-go Project Title	Transportation Improvement Program	Fund Source	Amount	Fiscal Year Programming
Preventive Maintenance	REG050020	Surface Transportation Program	\$22,680,000	FY 2005-06
Preventive Maintenance	REG050020	Surface Transportation Program	\$22,681,000	FY 2006-07
Preventive Maintenance	REG050020	Surface Transportation Program	\$22,682,000	FY 2007-08
Preventive Maintenance	REG050020	Surface Transportation Program	\$22,683,000	FY 2008-09
Preventive Maintenance	REG050020	FTA Section 5307	\$25,940,067	FY 2010-11
Preventive Maintenance	REG050020	FTA Sections 5307 & 5309 FG	\$23,979,594	FY 2011-12
Preventive Maintenance	REG050020	FTA Sections 5307 & 5337	\$72,000,000	FY 2012-13
Preventive Maintenance	REG050020	FTA Sections 5307 & 5337	\$77,000,000	FY 2013-14
Preventive Maintenance	REG050020	FTA Sections 5307 & 5337	\$52,671,798	FY 2014-15
Preventive Maintenance	REG050020	FTA Sections 5307 & 5337	\$49,971,976	FY 2015-16

ATTACHMENT B  
TABLE 2. AUTHORIZED WITHDRAWALS FROM BART CAR  
REPLACEMENT FUNDING EXCHANGE ACCOUNT

Withdrawal No.	Fiscal Year	MTC Approval Date	Authorized Amount	Conditions
01	2012-13	November 28, 2012	\$15,500,000	Funds to be withdrawn from the ACCOUNT are to be expended for PROJECT costs only.

Date: May 17, 2012  
W.I.: 1512  
Referred by: Planning  
Revised: 10/24/12-C 11/28/12-C 12/19/12-C  
01/23/13-C 02/27/13-C 05/22/13-C  
09/25/13-C 11/20/13-C 12/18/13-C  
01/22/14-C 02/26/14-C 03/26/14-C  
04/23/14-C 05/28/14-C 06/25/14-C  
07/23/14-C 09/24/14-C 12/17/14-C  
03/25/15-C 05/27/15-C 06/24/15-C  
07/22/15-C 09/23/15-C 10/28/15-C  
11/18/15-C 12/16/15-C 01/27/16-C

### ABSTRACT

#### Resolution No. 4035, Revised

This resolution adopts the Project Selection Policies and Programming for federal Surface Transportation Authorization Act following the Safe, Accountable, Flexible and Efficient Transportation Equity Act (SAFETEA), and any extensions of SAFETEA in the interim. The Project Selection Policies contain the project categories that are to be funded with various fund sources including federal surface transportation act funding available to MTC for its programming discretion to be included in the federal Transportation Improvement Program (TIP).

The resolution includes the following attachments:

- Attachment A – Project Selection Policies
- Attachment B-1 – Regional Program Project List
- Attachment B-2 – OneBayArea Grant (OBAG) Project List

Attachment A (page 13) was revised on October 24, 2012 to update the PDA Investment & Growth Strategy (Appendix A-6) and to update county OBAG fund distributions using the most current RHNA data (Appendix A-1 and Appendix A-4). The Commission also directed \$20 million of the \$40 million in the regional PDA Implementation program to eight CMAAs and the San Francisco Planning Department for local PDA planning implementation. Attachment B-1 and B-2 were revised to add new projects selected by the Solano Transportation Authority and Santa Clara Valley Transportation Authority and to add projects under the Freeway Performance Initiative and to reflect the redirection of the \$20 million in PDA planning implementation funds.

Attachment A (pages 8, 9 and 13) was revised on November 28, 2012 to confirm and clarify the actions on October 24, 2012 with respect to the County PDA Planning Program.

## ABSTRACT

MTC Resolution No. 4035, Revised

Page 2

Attachment A (page 12) was revised on December 19, 2012 to provide an extension for the Complete Streets policy requirement. Attachments B-1 and B-2 were revised to add new projects selected by the Solano Transportation Authority, Sonoma County Transportation Authority and Santa Clara Valley Transportation Authority; add funding for CMA Planning activities; and to shift funding between two San Francisco Municipal Transportation Agency projects under the Transit Performance Initiatives Program.

Attachments B-1 and B-2 were revised on January 23, 2013 to add new projects selected by various Congestion Management Agencies and to add new projects selected by the Commission in the Transit Rehabilitation Program.

As referred by the Programming and Allocations Committee, Attachment B-1 and Appendix A-2 were revised on February 27, 2013 to add Regional Safe Routes to School programs for Alameda and San Mateo counties, and to reflect previous Commission actions pertaining to the Transit Capital Rehabilitation Program, and to reflect earlier Commission approvals of fund augmentations to the county congestion management agencies for regional planning activities. As referred by the Planning Committee, Attachments A and B-1 were revised to reflect Commission approval of the regional Priority Development Area (PDA) Planning and Implementation program and Priority Conservation Area (PCA) program.

As referred by the Programming and Allocations Committee, Attachments B-1 and B-2 and Appendix A-2 to Attachment A were revised on May 22, 2013 to shift funding between components of the Freeway Performance Initiative Program with no change in total funding; and split the FSP/Incident Management project into the Incident Management Program and FSP/Callbox Program with no change in total funding; and redirect funding from ACE fare collection equipment to ACE positive train control; and add new OBAG projects selected by the Contra Costa Transportation Authority, Napa County Transportation and Planning Agency, City/County Association of Governments of San Mateo (CCAG), and the Solano Transportation Authority, including OBAG augmentation for CCAG Planning activities.

Attachments B-1 and B-2 were revised on September 25, 2013 to add new projects selected by various Congestion Management Agencies in the OneBayArea Grant, Regional Safe Routes to School, and Priority Conservation Area Programs.

## ABSTRACT

MTC Resolution No. 4035, Revised

Page 3

Attachment A, Attachments B-1 and B-2 and Appendix A-2 to Attachment A were revised on November 20, 2013 to add new projects and make grant amount changes as directed by various Congestion Management Agencies in the OneBayArea Grant Program. Also the deadline for jurisdictions' adoption of general plans meeting the latest RHNA was updated to reflect the later than scheduled adoption of Plan Bay Area.

Attachment B-1 to the resolution was revised on December 18, 2013 to add an FPI project for environmental studies for the I-280/Winchester I/C modification.

Attachment B-2 was revised on January 22, 2014 to adjust project grant amounts as directed by various Congestion Management Agencies in the OneBayArea Grant Program, including changes as a result of the 2014 RTIP.

Attachments B-1 and B-2 were revised on February 26, 2014 to add six OBAG projects selected by the CMA's, make adjustments between two Santa Clara OBAG projects, and add three PDA Planning Program projects in Sonoma County.

Attachment B-1 was revised on March 26, 2014 to add 15 projects to the Transit Performance Initiative Program and 3 projects in Marin County to the North Bay Priority Conservation Area Program.

On April 23, 2014, Attachment B-1 was revised to add 13 projects to the Priority Conservation Grant Program, revise the grant amount for the BART Car Exchange Preventative Maintenance Project in the Transit Capital Rehabilitation Program, and add three projects to the Climate Initiatives Program totaling \$14,000,000.

As referred by the Planning Committee, Attachment B-1 was revised on May 28, 2014 to reflect Commission approval of the selection of projects for the PDA Planning Technical Assistance and PDA Staffing Assistance Programs.

As referred by the Programming and Allocations Committee, Attachment A and Attachment B-2 were revised on May 28, 2014 to change the program delivery deadline from March 31, 2016 to January 31, 2017, and to adjust two projects as requested by Congestion Management Agencies in the OneBayArea Grant Program.

## ABSTRACT

MTC Resolution No. 4035, Revised

Page 4

On June 25, 2014, Attachment B-1 was revised to add an additional \$500,000 to the Breuner Marsh Project in the regional PCA Program and to identify a transportation exchange project (Silverado Trail Phase G) for the Soscot Headwaters Preserve Acquisition in the North Bay PCA Program, and to Redirect \$2,500,000 from Ramp Metering and Traffic Operations System (TOS) elements to the Program for Arterial System Synchronization (PASS), within the Freeway Performance Initiatives (FPI) Program.

On July 23, 2014, Attachment B-1 was revised to redirect \$22.0 million from the Cycles 1 & 2 Freeway Performance Initiatives (FPI) Programs and \$5 million from other projects and savings to the Golden Gate Bridge Suicide Deterrent System.

On September 24, 2014, Attachments B-1 and B-2 were revised to add 5 projects totaling \$19M to the Transit Performance Initiative Program (TPI), to shift funding within the Freeway Performance Initiative Program; to add a project for \$4 million for SFMTA for priority identified TPI funding; to provide an additional \$500,000 to the Freeway Performance Initiative (FPI); and to amend programming for two projects in Santa Clara County: San Jose's The Alameda "Beautiful Way" Phase 2 project, and Palo Alto's US-101/Adobe Creek Bicycle and Pedestrian Bridge project.

On December 17, 2014, Attachments A, B-1, and B-2 and Appendices A-1 and A-2 to Attachment A were revised to add a fifth year – FY 2016-17 - to the Cycle 2/OBAG 1 program to address the overall funding shortfall and provide additional programming in FY 2016-17 to maintain on-going commitments in FY 2016-17; make adjustments within the Freeway Performance Initiatives Program; rescind the Brentwood Wallace Ranch Easement Acquisition from the Priority Conservation Area (PCA) Program reducing the PCA program from \$5 million to \$4.5 million and use this funding to help with the FY 17 shortfall; identify two Santa Clara Local Priority Development Area Planning Program projects totaling \$740,305 to be included within MTC's Regional Priority Development Area Program grants; make revisions to local OBAG compliance policies for complete streets and housing as they pertain to jurisdictions' general plans update deadlines; add five car sharing projects totaling \$2,000,000 under the climate initiatives program; and add the Clipper Fare Collection Back Office Equipment Replacement Project to the Transit Capital Priority Program for \$2,684,772.

On March 25, 2015, Attachments B-1 and B-2 were revised to: add FY 2016-17 regional planning funds to Attachment B-1 per Commission action in December 2014; Redirect

## ABSTRACT

MTC Resolution No. 4035, Revised

Page 5

\$1.0 million from the ALA-I-680 Freeway Performance Initiative (FPI) project to Preliminary Engineering (PE) for various FPI corridors and redirect \$270,000 in FPI Right of Way (ROW) savings to the SCL I-680 FPI project to cover an increase in Caltrans support costs; direct funding to the statewide local streets and roads needs assessment; identify specific Priority Development Area (PDA) planning grants in San Mateo County; delete the \$10.2 million Masonic Avenue Complete Streets project and add the SF Light Rail Vehicle Procurement project in San Francisco County; and redirect \$0.5 million from the Capitol Expressway Traffic ITS and Bike/Pedestrian Improvement project to the San Tomas Expressway Box Culvert Rehabilitation project in Santa Clara County.

On May 27, 2015, Attachment B-1 was revised to add Round 3 (\$9,529,829) of the Transit Performance Incentive Program which involves 7 new projects and augmentations to 7 existing projects; and to add the Grand Avenue Bicycle / Pedestrian Improvements Project (\$717,000) in San Rafael to the Safe Routes to School Program, and delete the Bicycle sharing project (\$6,000,000).

On June 24, 2015, Attachment B-1 was revised to identify a \$265,000 Local Priority Development Area Planning Grant for the City of Palo Alto.

On July 22, 2015, Attachments B-1 and Attachment B-2 were revised to redirect \$3,000,000 from the SFMTA N-Judah Mobility Maximization project to the SFMTA Colored Lanes on MTC Rapid Network project within the Transit Performance Initiative program, identify a \$252,000 Safe Routes to Schools grant for San Mateo County, redirect \$2,100,000 in Freeway Performance Initiative funding from the Alameda County I-680 project to the Various Corridors – Caltrans Preliminary Engineering project, delete \$500,000 from the SMART Vehicle Purchase project in Sonoma County (revised from \$6,600,000 to \$6,100,000), and add the SMART Clipper Card Service project in Sonoma County for \$500,000.

On September 23, 2015, Attachment B-2 was revised to redirect \$6,100,000 from the SMART Vehicle Purchase project to the SMART San Rafael to Larkspur Extension project.

On October 28, 2015, Attachment B-1 and B-2 were revised to redirect \$350,000 from Vacaville's Ulatis Creek Bicycle/Pedestrian Pathway and Streetscape project to Vallejo's Downtown Streetscape – Phases 3 and 4 project, and to redirect \$122,249 from Marin Transit's Preventive Maintenance program to the preliminary engineering phase of Marin Transit's Relocate Transit Maintenance Facility project.

## ABSTRACT

MTC Resolution No. 4035, Revised

Page 6

On November 18, 2015, Attachment B-1 and Appendix A-3 to Attachment A were revised to increase the program amount for the Safe Routes to School Program by \$2.35 million increasing the FY 2016-17 program amount to \$5.0 million.

On December 16, 2015, Attachment B-1 was revised to add six parking management and transportation demand management projects totaling \$6,000,000 under the Climate Initiatives Program.

On January 27, 2016, Attachments B-1 and B-2 were revised to: add the Golden Gate Bridge Highway and Transportation District's Advanced Communications and Information System (ACIS) project for \$2,000,000 under the Transit Capital Rehabilitation program; redirect \$10,000,000 under the Transit Capital Rehabilitation program from SFMTA's New 60' Flyer Trolley Bus Replacement project to SFMTA's New 40' Neoplan Bus Replacement project; and add \$74,000 in grant funding to the City of San Rafael's Grand Avenue Bicycle/Pedestrian Improvements project under the Regional Safe Routes to School program; and redirect \$67,265 from the San Francisco Department of Public Work's ER Taylor Safe Routes to School project to the Chinatown Broadway Complete Streets Phase IV project; and redirect \$298,000 from Menlo Park's Various Streets and Roads Preservation project and \$142,000 from San Bruno's San Bruno Avenue Pedestrian Improvements project to Daly City's John Daly Boulevard Bicycle and Pedestrian Improvements project (\$290,000) and San Carlo's Streetscape and Pedestrian Improvements project (\$150,000); and redirect \$89,980 from Vacaville's Ulatis Creek Bicycle and Pedestrian Path and Streetscape project to Suisun City's Driftwood Drive Path project.

Further discussion of the Project Selection Criteria and Programming Policies is contained in the memorandum to the Joint Planning Committee dated May 11, 2012; to the Programming and Allocations Committee dated October 10, 2012; to the Commission dated November 28, 2012; to the Programming and Allocations Committee dated December 12, 2012 and January 9, 2013; to the Joint Planning Committee dated February 8, 2013; to the Programming and Allocations Committee dated February 13, 2013, May 8, 2013, September 11, 2013, November 13, 2013, December 11, 2013, January 8, 2014, February 12, 2014, March 5, 2014, April 9, 2014; and to the Planning Committee dated May 9, 2014; and to the MTC Programming and Allocations Committee Summary Sheet dated May 14, 2014, June 11, 2014, July 9, 2014, September 10, 2014, December 10, 2014, March 11, 2015, May 13, 2015, and to the Administration Committee on May 13, 2015,

ABSTRACT

MTC Resolution No. 4035, Revised

Page 2

and to the Programming and Allocations Committee on June 10, 2015, July 8, 2015, September 9, 2015, October 14, 2015, November 4, 2015, December 9, 2015, and January 13, 2016.

Date: May 17, 2012  
W.I.: 1512  
Referred By: Planning

RE: Federal Cycle 2 Program covering FY 2012-13, FY 2013-14, FY 2014-15 and FY 2015-16:  
Project Selection Policies and Programming

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4035

WHEREAS, the Metropolitan Transportation Commission (MTC) is the Regional Transportation Planning Agency (RTPA) for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county San Francisco Bay Area region and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes federal funds; and

WHEREAS, MTC is the designated recipient for federal funding administered by the Federal Highway Administration (FHWA) assigned to the MPO/RTPA of the San Francisco Bay Area for the programming of projects (regional federal funds); and

WHEREAS, the federal funds assigned to the MPOs/RTPAs for their discretion are subject to availability and must be used within prescribed funding deadlines regardless of project readiness; and

WHEREAS, MTC, in cooperation with the Association of Bay Area Governments, (ABAG), the Bay Area Air Quality Management District (BAAQMD), the Bay Conservation and Development Commission (BCDC), California Department of Transportation (Caltrans), Congestion Management Agencies (CMAs), transit operators, counties, cities, and interested stakeholders, has developed criteria, policies and procedures to be used in the selection of projects to be funded with various funding including regional federal funds as set forth in Attachments A, B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS, using the policies set forth in Attachment A of this Resolution, MTC, in cooperation with the Bay Area Partnership and interested stakeholders, has or will develop a program of projects to be funded with these funds for inclusion in the federal Transportation Improvement Program (TIP), as set forth in Attachments B-1 and B-2 of this Resolution, incorporated herein as though set forth at length; and

WHEREAS the federal TIP and subsequent TIP amendments and updates are subject to public review and comment; now therefore be it

RESOLVED that MTC approves the "Project Selection Policies and Programming" for projects to be funded with Cycle 2 Program funds as set forth in Attachments A, B-1 and B-2 of this Resolution; and be it further

RESOLVED that the federal funding shall be pooled and redistributed on a regional basis for implementation of Project Selection Criteria, Policies, Procedures and Programming, consistent with the Regional Transportation Plan (RTP); and be it further

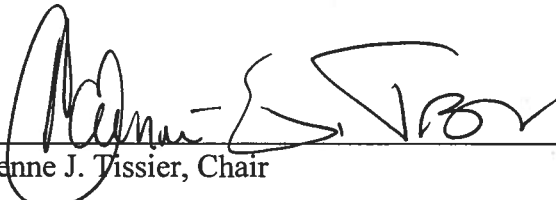
RESOLVED that the projects will be included in the federal TIP subject to final federal approval; and be it further

RESOLVED that the Executive Director or his designee can make technical adjustments and other non-substantial revisions, including updates to fund distributions to reflect final 2014-2022 FHWA figures; and be it further

RESOLVED that the Executive Director or designee is authorized to revise Attachments B-1 and B-2 as necessary to reflect the programming of projects as the projects are selected and included in the federal TIP; and be it further

RESOLVED that the Executive Director shall make available a copy of this resolution, and such other information as may be required, to the Governor, Caltrans, and to other such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in Oakland, California, on May 17, 2012

# Attachment B-1

## OBAG 1 Regional Programs FY 2012-13 through FY 2016-17 January 2016

MTC Res. No. 4035, Attachment B-1

Adopted: 05/17/12-C

Revised: 10/24/12-C

11/28/12-C 12/19/12-C 01/23/13-C 02/27/13-C 05/22/13-C 09/25/13-C  
11/20/13-C 12/18/13-C 02/26/14-C 03/26/14-C 04/23/14-C 05/28/14-C  
06/25/14-C 07/23/14-C 09/24/14-C 11/19/14-C 12/17/14-C 03/25/15-C  
05/27/15-C 06/24/15-C 07/22/15-C 10/28/15-C 11/18/15-C 12/16/15-C  
01/27/16-C

### OBAG 1 Regional Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other RTIP/TAP/TFCA	Total OBAG 1
<b>OBAG 1 REGIONAL PROGRAMS</b>		<b>\$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>
<b>1. REGIONAL PLANNING ACTIVITIES (STP Planning)</b>				
ABAG Planning	ABAG	\$3,393,000	\$0	\$3,393,000
BCDC Planning	BCDC	\$1,701,000	\$0	\$1,701,000
MTC Planning	MTC	\$3,393,000	\$0	\$3,393,000
<b>1. REGIONAL PLANNING ACTIVITIES (STP Planning) TOTAL:</b>		<b>\$8,487,000</b>	<b>\$0</b>	<b>\$8,487,000</b>
<b>2. REGIONAL OPERATIONS (RO)</b>				
511 - Traveler Information	MTC	\$57,800,000	\$0	\$57,800,000
Clipper® Fare Media Collection	MTC	\$21,400,000	\$0	\$21,400,000
<b>SUBTOTAL</b>		<b>\$79,200,000</b>	<b>\$0</b>	<b>\$79,200,000</b>
Incident Management Program	MTC/SAFE	\$12,240,000	\$0	\$12,240,000
FSP/Call Box Program	MTC/SAFE	\$14,462,000	\$0	\$14,462,000
<b>SUBTOTAL</b>		<b>\$26,702,000</b>	<b>\$0</b>	<b>\$26,702,000</b>
<b>2. REGIONAL OPERATIONS (RO) TOTAL:</b>		<b>\$105,902,000</b>	<b>\$0</b>	<b>\$105,902,000</b>
<b>3. FREEWAY PERFORMANCE INITIATIVE (FPI)</b>				
Regional Performance Initiatives Implementation	MTC	\$5,750,000	\$0	\$5,750,000
Regional Performance Initiatives Corridor Implementation	MTC/SAFE	\$9,200,000	\$0	\$9,200,000
Program for Arterial System Synchronization (PASS)	MTC	\$9,000,000	\$0	\$9,000,000
PASS - LAVTA Dublin Blvd Transit Performance Initiative	MTC	\$500,000	\$0	\$500,000
PASS - AC Transit South Alameda County Corridors Travel Time Imps	MTC	\$500,000	\$0	\$500,000
<b>SUBTOTAL</b>		<b>\$24,950,000</b>	<b>\$0</b>	<b>\$24,950,000</b>
<b>Ramp Metering and TOS Elements</b>				
FPI - ALA I-580: SJ Co. Line to Vasco & Foothill to Crow Canyon	Caltrans	\$5,150,000	\$0	\$5,150,000
FPI - ALA I-680: SCL Co. Line to CC Co. Line	Caltrans	\$3,192,000	\$14,430,000	\$17,622,000
FPI - ALA SR92 & I-880: Clawiter to Hesperian & Decoto Road	Caltrans	\$656,000	\$0	\$656,000
FPI - CC SR4 & SR242: Liveridge to Alhambra & I-680 to SR 4 Ph. 1	MTC/SAFE	\$750,000	\$0	\$750,000
FPI - CC SR4 & SR242: Liveridge to Alhambra & I-680 to SR 4 Ph. 2	Caltrans	\$8,118,000	\$0	\$8,118,000
FPI - Various Corridors Caltrans Right of Way (ROW)	Caltrans	\$975,000	\$0	\$975,000
FPI - Various Corridors - Caltrans Preliminary Engineering (PE)	Caltrans	\$7,200,000	\$19,570,000	\$26,770,000
FPI - SCL US 101: San Benito County Line to SR 85	Caltrans	\$3,417,000	\$0	\$3,417,000
FPI - MRN 101 - SF Co Line - Son Co Line	Caltrans	\$10,000,000	\$0	\$10,000,000
FPI - SON 101 - MRN Co Line - Men Co Line	MTC	\$350,000	\$0	\$350,000
FPI - SCL I-680: US 101 to ALA Co. Line	Caltrans	\$270,000	\$0	\$270,000
<b>SUBTOTAL</b>		<b>\$40,078,000</b>	<b>\$34,000,000</b>	<b>\$74,078,000</b>
<b>3. FREEWAY PERFORMANCE INITIATIVE (FPI) TOTAL:</b>		<b>\$65,028,000</b>	<b>\$34,000,000</b>	<b>\$99,028,000</b>
<b>4. PAVEMENT MANAGEMENT PROGRAM (PMP)</b>				
Pavement Management Program (PMP)	MTC	\$1,547,000	\$0	\$1,547,000
Pavement Technical Advisory Program (PTAP)	MTC	\$7,500,000	\$0	\$7,500,000
Statewide Local Streets and Roads (LSR) Needs Assessment	MTC/Caltrans	\$53,000	\$0	\$53,000
<b>4. PAVEMENT MANAGEMENT PROGRAM (PMP) TOTAL:</b>		<b>\$9,100,000</b>	<b>\$0</b>	<b>\$9,100,000</b>
<b>5. PRIORITY DEVELOPMENT AREA (PDA) PLANNING AND IMPLEMENTATION</b>				
<b>Regional PDA Implementation</b>				
PDA Planning - ABAG	ABAG	\$2,000,000	\$0	\$2,000,000
<b>SUBTOTAL</b>		<b>\$2,000,000</b>	<b>\$0</b>	<b>\$2,000,000</b>
<b>Transit Oriented Affordable Housing (TOAH)</b>				
SF Park Parking Pricing (Transit Oriented Affordable Housing Exchange)	SFMTA	\$10,000,000	\$0	\$10,000,000
<b>SUBTOTAL</b>		<b>\$10,000,000</b>	<b>\$0</b>	<b>\$10,000,000</b>
<b>Local PDA Planning</b>				
Local PDA Planning - Alameda	ACTC	\$3,905,000	\$0	\$3,905,000
Local PDA Planning - Contra Costa	CCTA	\$2,745,000	\$0	\$2,745,000
Local PDA Planning - Marin	TAM	\$750,000	\$0	\$750,000
Local PDA Planning - City of Napa	Napa	\$275,000	\$0	\$275,000
Local PDA Planning - American Canyon	American Canyon	\$475,000	\$0	\$475,000
Local PDA Planning - San Francisco	SF City/County	\$2,380,000	\$0	\$2,380,000
Local PDA Planning - San Mateo	SMCCAG	\$218,000	\$0	\$218,000
Belmont Village Specific/Implementation Plan	Belmont	\$440,000	\$0	\$440,000
Millbrae PDA Specific Plan	Millbrae	\$500,000	\$0	\$500,000
Redwood City Downtown Sequoia Station and Streetcar Planning Study	Redwood City	\$450,000	\$0	\$450,000
Local PDA Planning - Santa Clara	VTA	\$4,343,695	\$0	\$4,343,695
San Jose Stevens Creek/Santana Row/Winchester Specific Plan	MTC/San Jose	\$640,305	\$0	\$640,305
Santa Clara El Camino Corridor Precise Plan	MTC/Santa Clara	\$100,000	\$0	\$100,000
Local PDA Planning - Palo Alto	Palo Alto	\$265,000	\$0	\$265,000
Local PDA Planning - Solano	STA	\$1,066,000	\$0	\$1,066,000
Santa Rosa - Roseland/Sebastopol Road PDA Planning	Santa Rosa	\$647,000	\$0	\$647,000

# Attachment B-1

## OBAG 1 Regional Programs FY 2012-13 through FY 2016-17 January 2016

MTC Res. No. 4035, Attachment B-1

Adopted: 05/17/12-C

Revised: 10/24/12-C

11/28/12-C 12/19/12-C 01/23/13-C 02/27/13-C 05/22/13-C 09/25/13-C  
11/20/13-C 12/18/13-C 02/26/14-C 03/26/14-C 04/23/14-C 05/28/14-C  
06/25/14-C 07/23/14-C 09/24/14-C 11/19/14-C 12/17/14-C 03/25/15-C  
05/27/15-C 06/24/15-C 07/22/15-C 10/28/15-C 11/18/15-C 12/16/15-C  
01/27/16-C

### OBAG 1 Regional Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other RTIP/TAP/TFCA	Total OBAG 1
<b>OBAG 1 REGIONAL PROGRAMS</b>		<b>\$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>
Sonoma County - Sonoma Springs Area Plan	Sonoma County	\$450,000	\$0	\$450,000
Sonoma County - Airport Employment Center Planning	Sonoma County	\$350,000	\$0	\$350,000
<b>SUBTOTAL</b>		<b>\$20,000,000</b>	<b>\$0</b>	<b>\$20,000,000</b>
<b>Regional PDA Planning</b>				
<i>Regional PDA Implementation Priorities</i>				
Bay Area Transit Core Capacity Study	MTC	\$250,000	\$0	\$250,000
Public Lands Near Rail Corridors Assessment	MTC	\$500,000	\$0	\$500,000
PDA Implementation Studies/Forums	MTC	\$156,500	\$0	\$156,500
State Route 82 Relinquishment Exploration Study	MTC/VTA	\$275,000	\$0	\$275,000
<i>PDA Planning</i>				
Oakland Downtown Specific Plan	Oakland	\$750,000	\$0	\$750,000
South Berkeley/ Adeline/Ashby BART Specific Plan	Berkeley	\$750,000	\$0	\$750,000
Bay Fair BART Transit Village Specific Plan	San Leandro	\$440,000	\$0	\$440,000
Alameda Naval Air Station Specific Plan	Alameda	\$250,000	\$0	\$250,000
Del Norte BART Station Precise Plan	El Cerrito	\$302,500	\$0	\$302,500
Mission Bay Railyard and I-280 Alternatives	San Francisco	\$700,000	\$0	\$700,000
Santa Clara El Camino Corridor Precise Plan	Santa Clara	\$750,000	\$0	\$750,000
Sunnyvale El Camino Corridor Precise Plan	Sunnyvale	\$587,000	\$0	\$587,000
San Jose Stevens Creek/Santana Row/Winchester Specific Plan	San Jose	\$750,000	\$0	\$750,000
<i>Staff Assistance</i>				
Alameda PDA TDM Plan	Alameda	\$150,000	\$0	\$150,000
Downtown Livermore Parking Implementation Plan	Livermore	\$100,000	\$0	\$100,000
Oakland Transportation Impact Review Streamlining	Oakland	\$300,000	\$0	\$300,000
Oakland Complete Streets, Design Guidance, Circulation Element Update	Oakland	\$235,000	\$0	\$235,000
Downtown Oakland Parking Management Strategy	Oakland	\$200,000	\$0	\$200,000
<i>Technical Assistance</i>				
Concord Salvio Streetscape	Concord	\$50,000	\$0	\$50,000
South Richmond Affordable Housing and Commercial Linkage	Richmond	\$60,000	\$0	\$60,000
San Mateo Planning/Growth Forum Series	San Mateo	\$25,000	\$0	\$25,000
South San Francisco El Camino/Chestnut Ave Infrastructure Financing Analysis	SSF	\$60,000	\$0	\$60,000
Milpitas Transit Area Parking Analysis	Milpitas	\$60,000	\$0	\$60,000
Morgan Hill Housing/Employment Market Demand/Circulation Analysis	Morgan Hill	\$60,000	\$0	\$60,000
Sab Jose West San Carlos Master Streetscape Plan	San Jose	\$60,000	\$0	\$60,000
Sunnyvale Mathilda Ave Downtown Plan Line	Sunnyvale	\$60,000	\$0	\$60,000
Downtown Sunnyvale Block 15 Sale/Land Exchange	Sunnyvale	\$59,000	\$0	\$59,000
Sunnyvale El Camino Street Space Allocation Study	Sunnyvale	\$60,000	\$0	\$60,000
<b>SUBTOTAL</b>		<b>\$8,000,000</b>	<b>\$0</b>	<b>\$8,000,000</b>
<b>5. PRIORITY DEVELOPMENT AREA (PDA) PLANNING AND IMPLEMENTATION</b>		<b>TOTAL: \$40,000,000</b>	<b>\$0</b>	<b>\$40,000,000</b>
<b>6. CLIMATE INITIATIVES PROGRAM (CIP)</b>				
<i>Car Sharing</i>				
Hayward RFP for Car Sharing Services	Hayward	\$200,480	\$0	\$200,480
Oakland Car Share and Outreach Program	Oakland	\$320,526	\$0	\$320,526
CCTA Car Share4All	CCTA	\$973,864	\$0	\$973,864
TAM Car Share CANAL	TAM	\$125,000	\$0	\$125,000
City of San Mateo Car Sharing - A Catalyst for Change	San Mateo	\$210,000	\$0	\$210,000
Santa Rosa Car Share	SCTA	\$170,130	\$0	\$170,130
Public Education Outreach	MTC	\$312,000	\$0	\$312,000
<i>Transportation Demand Management</i>				
goBerkeley Residential Shared Parking Pilot	Berkeley	\$950,000	\$0	\$950,000
Hayward Comprehensive Parking Mgmt Plan Implementation	Hayward	\$338,000	\$0	\$338,000
Oakland Demand-Responsive Parking and Mobility Mgmt Initiative	Oakland	\$1,300,000	\$0	\$1,300,000
Downtown San Mateo Parking Technology Implementation	San Mateo	\$1,500,000	\$0	\$1,500,000
Walnut Creek Parking Guidance System Pilot	Walnut Creek	\$783,000	\$0	\$783,000
Peery Park Rides	VTA/Sunnyvale	\$1,129,000	\$0	\$1,129,000
To Be Determined	TBD	\$6,000,000	\$0	\$6,000,000
EV Charging Infrastructure and Vehicles (Programmed by BAAQMD)*	BAAQMD	\$0	\$6,000,000	\$6,000,000
<b>6. CLIMATE INITIATIVES PROGRAM (CIP)</b>		<b>TOTAL: \$14,312,000</b>	<b>\$6,000,000</b>	<b>\$20,312,000</b>

\* Selected and funded by the BAAQMD. Listed here for informational purposes only

## Attachment B-1

MTC Res. No. 4035, Attachment B-1

Adopted: 05/17/12-C

Revised: 10/24/12-C

**OBAG 1 Regional Programs**  
**FY 2012-13 through FY 2016-17**  
**January 2016**

11/28/12-C 12/19/12-C 01/23/13-C 02/27/13-C 05/22/13-C 09/25/13-C  
 11/20/13-C 12/18/13-C 02/26/14-C 03/26/14-C 04/23/14-C 05/28/14-C  
 06/25/14-C 07/23/14-C 09/24/14-C 11/19/14-C 12/17/14-C 03/25/15-C  
 05/27/15-C 06/24/15-C 07/22/15-C 10/28/15-C 11/18/15-C 12/16/15-C  
 01/27/16-C

## OBAG 1 Regional Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other RTIP/TAP/TFCA	Total OBAG 1
<b>OBAG 1 REGIONAL PROGRAMS</b>		<b>\$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>
<b>7. REGIONAL SAFE ROUTES TO SCHOOL (RSRTS)</b>				
<i>Specific projects TBD by CMAAs</i>				
Alameda County SRTS Program - Supplemental	ACTC	\$1,073,000	\$0	\$1,073,000
Contra Costa County SRTS Program - Supplemental	CCTA	\$822,000	\$0	\$822,000
<del>Marin County SRTS Program - Supplemental</del>	<del>TAM</del>	<del>\$74,000</del>	<del>\$0</del>	<del>\$74,000</del>
Napa County SRTS Program - Supplemental	NCTPA	\$105,000	\$0	\$105,000
San Francisco County SRTS Program - Supplemental	SFCTA	\$360,000	\$0	\$360,000
San Mateo County SRTS Program - Supplemental	SMCCAG	\$225,000	\$0	\$225,000
Santa Clara County SRTS Program - Supplemental	Santa Clara	\$1,346,000	\$0	\$1,346,000
Solano County SRTS Program - Supplemental	STA	\$314,000	\$0	\$314,000
Sonoma County SRTS Program - Supplemental	SCTA	\$345,000	\$0	\$345,000
Alameda County SRTS Program	ACTC	\$4,293,000	\$0	\$4,293,000
Cavallo Rd, Drake St, and 'G' Street Safe Routes to School Imps	Antioch	\$330,000	\$0	\$330,000
Actuated Ped /Bicycle Traffic Signal on Oak Grove Rd at Sierra Rd	Concord	\$504,900	\$0	\$504,900
Port Chicago Hwy/Willow Pass Rd Pedestrian & Bicycle Imps	Contra Costa County	\$441,700	\$0	\$441,700
West Contra Costa SRTS Non-Infrastructure Program	Contra Costa County	\$709,800	\$0	\$709,800
Vista Grande Street Pedestrian Safe Routes to School Imps	Danville	\$157,000	\$0	\$157,000
Happy Valley Road Walkway Safe Routes to School Imps	Lafayette	\$100,000	\$0	\$100,000
Moraga Road Safe Routes to School Bicycle/Pedestrian Imps	Moraga	\$100,000	\$0	\$100,000
Orinda Sidewalk Imps	Orinda	\$100,000	\$0	\$100,000
Pittsburg School Area Safety Imps	Pittsburg	\$203,000	\$0	\$203,000
Pleasant Hill - Boyd Road and Elinora Drive Sidewalks	Pleasant Hill	\$395,000	\$0	\$395,000
San Ramon School Crossings Enhancements	San Ramon	\$247,600	\$0	\$247,600
San Rafael Grand Ave Bike/Ped Imps	San Rafael	<b>\$791,000</b>	<b>\$0</b>	<b>\$791,000</b>
Napa County SRTS Non-Infrastructure Program	NCTPA	\$420,000	\$0	\$420,000
San Francisco SRTS Non-Infrastructure Program	SFDPH	\$1,439,000	\$0	\$1,439,000
San Mateo County SRTS Program	SMCCAG	\$2,157,000	\$0	\$2,157,000
Campbell - Virginia Avenue Sidewalks	Campbell	\$708,000	\$0	\$708,000
Mountain View - El Camino to Miramonte Complete Streets	Mountain View	\$840,000	\$0	\$840,000
Mountain View SRTS Non-Infrastructure Program	Mountain View	\$500,000	\$0	\$500,000
Palo Alto - Arastradero Road Schoolscape/Multi-use Trail	Palo Alto	\$1,000,000	\$0	\$1,000,000
San Jose - Walk N' Roll Phase 2	San Jose	\$1,000,000	\$0	\$1,000,000
City of Santa Clara SRTS Non-Infrastructure Program Phase 2	Santa Clara	\$500,000	\$0	\$500,000
Santa Clara County SRTS Non-Infrastructure Program	Santa Clara County	\$838,000	\$0	\$838,000
Solano County SRTS Non-Infrastructure Program	STA	\$1,256,000	\$0	\$1,256,000
Sonoma County SRTS Program	Sonoma County TPW	\$1,379,000	\$0	\$1,379,000
<b>7. REGIONAL SAFE ROUTES TO SCHOOL (RSRTS)</b>	<b>TOTAL:</b>	<b>\$25,000,000</b>	<b>\$0</b>	<b>\$25,000,000</b>
<b>8. TRANSIT CAPITAL REHABILITATION PROGRAM</b>				
SolTrans - Preventive Maintenance	SolTrans	\$1,000,000	\$0	\$1,000,000
<b>Transit Capital Rehabilitation</b>				
<i>Specific Projects TBD by Commission</i>				
ECCTA Replace Eleven 2001 40' Buses	ECCTA	\$636,763	\$0	\$636,763
BART Car Exchange Preventative Maintenance	BART	\$2,831,849	\$0	\$2,831,849
Clipper Fare Collection Equipment Replacement	MTC	\$9,994,633	\$0	\$9,994,633
SFMTA - New 60' Flyer Trolley Bus Replacement	SFMTA	<b>\$5,502,261</b>	<b>\$0</b>	<b>\$5,502,261</b>
<b>SFMTA - New 40' Neoplan Bus Replacement</b>	<b>SFMTA</b>	<b>\$10,000,000</b>	<b>\$0</b>	<b>\$10,000,000</b>
VTA Preventive Maintenance (for vehicle replacement)	VTA	\$3,349,722	\$0	\$3,349,722
Clipper Back Office Fare Collection Equipment Replacement	MTC	\$2,684,772	\$0	\$2,684,772
<b>Advanced Communications and Information System (ACIS)</b>	<b>GGBHTD</b>	<b>\$2,000,000</b>	<b>\$0</b>	<b>\$2,000,000</b>
<b>SUBTOTAL</b>		<b>\$37,000,000</b>	<b>\$0</b>	<b>\$37,000,000</b>
<b>Transit Performance Initiative (TPI) Incentive Program</b>				
<i>Specific Projects TBD by Commission</i>				
TPI - AC Transit Spectrum Ridership Growth	AC Transit	\$1,802,676	\$0	\$1,802,676
TPI - ACE Positive Train Control	SJRR/ACE	\$129,156	\$0	\$129,156
TPI - Marin Transit Preventive Maintenance (for low income youth pass)	Marin Transit	\$99,289	\$0	\$99,289
TPI - BART Train Car Accident Repair	BART	\$1,493,189	\$0	\$1,493,189
TPI - BART 24th Street Train Control Upgrade	BART	\$2,000,000	\$0	\$2,000,000
TPI - SFMTA Preventive Maintenance (for low income youth pass)	SFMTA	\$1,600,000	\$0	\$1,600,000
TPI - SFMTA Light Rail Vehicle Rehabilitation	SFMTA	\$5,120,704	\$0	\$5,120,704
TPI - VTA Preventive Maintenance (for low income fare pilot)	VTA	\$1,302,018	\$0	\$1,302,018

# Attachment B-1

MTC Res. No. 4035, Attachment B-1

Adopted: 05/17/12-C

Revised: 10/24/12-C

## OBAG 1 Regional Programs FY 2012-13 through FY 2016-17 January 2016

11/28/12-C 12/19/12-C 01/23/13-C 02/27/13-C 05/22/13-C 09/25/13-C  
11/20/13-C 12/18/13-C 02/26/14-C 03/26/14-C 04/23/14-C 05/28/14-C  
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05/27/15-C 06/24/15-C 07/22/15-C 10/28/15-C 11/18/15-C 12/16/15-C  
01/27/16-C

### OBAG 1 Regional Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other RTIP/TAP/TFCA	Total OBAG 1
<b>OBAG 1 REGIONAL PROGRAMS</b>		<b>\$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>
TPI - AC Transit - East Bay Bus Rapid Transit	AC Transit	\$3,340,781	\$0	\$3,340,781
TPI - BART - Metro Priority Track Elements	BART	\$3,459,057	\$0	\$3,459,057
TPI - Caltrain - Off-peak Marketing Campaign	Caltrain	\$44,200	\$0	\$44,200
TPI - Caltrain - Control Point Installation	Caltrain	\$2,840,952	\$0	\$2,840,952
TPI - CCCTA - 511 Real-Time Interface	CCCTA	\$100,000	\$0	\$100,000
TPI - CCCTA - Implementation of Access Improvement	CCCTA	\$465,899	\$0	\$465,899
TPI - Petaluma - Transit Signal Priority, Phase I & II	Petaluma	\$287,902	\$0	\$287,902
TPI - Santa Rosa - CityBus COA and Service Plan	Santa Rosa	\$100,000	\$0	\$100,000
TPI - Vacaville - City Coach Public Transit Marketing / Public Outreach	Vacaville	\$171,388	\$0	\$171,388
TPI - MCTD Preventative Maintenance (Youth Pass Program)	Marin Transit	\$116,728	\$0	\$116,728
TPI - Relocate Transit Maintenance Facility (PE only) (Youth Pass Program)	Marin Transit	\$122,249	\$0	\$122,249
TPI - NCTPA - Bus Mobility Device Retrofits	NCTPA	\$120,988	\$0	\$120,988
TPI - SamTrans - Preventative Maintenance (Service Plan Implementation)	SMCTD	\$992,535	\$0	\$992,535
TPI - SFMTA - Light Rail Vehicle (LRV) Propulsion System	SFMTA	\$9,285,937	\$0	\$9,285,937
TPI - Sonoma County Transit - 30-foot CNG Bus Replacements	Sonoma County	\$173,052	\$0	\$173,052
TPI - Caltrain - Map-Based Real-Time Train Display	Caltrain	\$44,000	\$0	\$44,000
TPI - GGBHTD - Regional Customer Study: On-Board Bus and Ferry Surveys	GGBHTD	\$402,572	\$0	\$402,572
TPI - GGBHTD - Building Ridership to Meet Capacity Campaign	GGBHTD	\$177,060	\$0	\$177,060
TPI - CCCTA - TRANSITMIX Software Implementation Project	CCCTA	\$17,851	\$0	\$17,851
TPI - NCTPA - Am. Canyon Priority Signal Interconnection on SR 29	NCTPA	\$91,757	\$0	\$91,757
TPI - Santa Rosa CityBus - Clean Diesel Bus Purchase	Santa Rosa	\$525,787	\$0	\$525,787
TPI - Sonoma County Transit - 40-foot CNG Bus Replacements	Sonoma County	\$114,656	\$0	\$114,656
Specific Transit Performance Initiative Incentive Program projects - TBD	TBD	\$23,457,617	\$0	\$23,457,617
<b>SUBTOTAL</b>		<b>\$60,000,000</b>	<b>\$0</b>	<b>\$60,000,000</b>
<b>8. TRANSIT CAPITAL REHABILITATION PROGRAM</b>		<b>TOTAL: \$98,000,000</b>	<b>\$0</b>	<b>\$98,000,000</b>
<b>9. TRANSIT PERFORMANCE INITIATIVE (TPI)</b>				
<b>TPI - Capital Investment Program</b>				
TPI-1 - AC Transit Line 51 Corridor Speed Protection and Restoration	AC Transit	\$10,515,624	\$0	\$10,515,624
TPI-1 - SFMTA Mission Mobility Maximization	SFMTA	\$5,383,109	\$0	\$5,383,109
TPI-1 - SFMTA N-Judah Mobility Maximization	SFMTA	\$2,383,860	\$0	\$2,383,860
TPI-1 - SFMTA Potrero Ave Fast Track Transit and Streetscape Imps	SFMTA	\$4,133,031	\$0	\$4,133,031
TPI-1 - VTA Light Rail Transit Signal Priority	VTA	\$1,587,176	\$0	\$1,587,176
TPI-1 - VTA Stevens Creek - Limited 323 Transit Signal Priority	VTA	\$712,888	\$0	\$712,888
TPI-1 - MTC Clipper Phase III Implementation	MTC	\$8,000,000	\$0	\$8,000,000
TPI-2 - AC Transit South Alameda County Corridors Travel Time Imps	AC Transit	\$5,000,000	\$0	\$5,000,000
TPI-2 - LAVTA Dublin Blvd Transit Performance Initiative	LAVTA	\$1,009,440	\$0	\$1,009,440
TPI-2 - SFMTA Colored Lanes on MTA Rapid Network	SFMTA	\$4,784,880	\$0	\$4,784,880
TPI-2 - SFMTA Muni Forward Capital Transit Enhancements	SFMTA	\$3,205,680	\$0	\$3,205,680
TPI-2 - VTA Prev. Maint. (Mountain View Double Track Phase 1)	VTA	\$8,000,000	\$0	\$8,000,000
Unprogrammed Transit Performance Initiative Reserve	TBD	\$27,284,312	\$0	\$27,284,312
<b>9. TRANSIT PERFORMANCE INITIATIVE (TPI)</b>		<b>TOTAL: \$82,000,000</b>	<b>\$0</b>	<b>\$82,000,000</b>
<b>10. PRIORITY CONSERVATION AREA (PCA)</b>				
<b>North Bay PCA Program</b>				
<i>Specific projects TBD by North Bay CMAs</i>				
Marin PCA - Bayfront Park Recreational Bay Access	Mill Valley	\$100,000	\$0	\$100,000
Marin PCA - Mill Valley - Sausalito Pathway Preservation	Marin County	\$320,000	\$0	\$320,000
Marin PCA - Sunny Hill Ridge and Red Hill Trails	San Anselmo	\$80,000	\$0	\$80,000
Marin PCA - Thatcher Ranch Easement Acq. (pending exchange)	Novato	\$250,000	\$0	\$250,000
Marin PCA - Pacheco Hill Parkland Acq. (pending exchange)	Novato	\$500,000	\$0	\$500,000
Napa PCA - Silverado Trail Yountville-Napa Safety Imps	Napa County	\$143,000	\$0	\$143,000
Napa PCA: Napa Soscol Headwaters Preserve Acq. (SilveradoTrail Phase G Overlay)	Napa County	\$1,107,000	\$0	\$1,107,000
Solano PCA - Suisun Valley Bicycle and Pedestrian Imps	Solano County	\$1,175,000	\$0	\$1,175,000
Solano PCA - Solano PCA Assessment Plan	STA	\$75,000	\$0	\$75,000
Sonoma PCA - Bodega Hwy Roadway Preservation	Sonoma County	\$1,000,000	\$0	\$1,000,000
Sonoma PCA - Sonoma County Urban Footprint Planning	Sonoma County	\$250,000	\$0	\$250,000
<b>SUBTOTAL</b>		<b>\$5,000,000</b>	<b>\$0</b>	<b>\$5,000,000</b>

## Attachment B-1

### OBAG 1 Regional Programs FY 2012-13 through FY 2016-17 January 2016

MTC Res. No. 4035, Attachment B-1

Adopted: 05/17/12-C

Revised: 10/24/12-C

11/28/12-C 12/19/12-C 01/23/13-C 02/27/13-C 05/22/13-C 09/25/13-C

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05/27/15-C 06/24/15-C 07/22/15-C 10/28/15-C 11/18/15-C 12/16/15-C

01/27/16-C

### OBAG 1 Regional Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other RTIP/TAP/TFCA	Total OBAG 1
<b>OBAG 1 REGIONAL PROGRAMS</b>		<b>\$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>
<b>Peninsula, Southern and Eastern Counties PCA Program</b>				
Bay Trail Shoreline Access Staging Area	Berkeley	\$500,000	\$0	\$500,000
Breuner Marsh Restoration and Public Access	EBRPD	\$1,000,000	\$0	\$1,000,000
SF Bay Trail, Pinole Shores to Bay Front Park	EBRPD	\$119,711	\$0	\$119,711
Coyote Creek Trail: Brokaw Road to Union Pacific Railroad	San Jose	\$712,700	\$0	\$712,700
Pier 70 - Crane Cove Park	Port of SF	\$1,000,000	\$0	\$1,000,000
Twin Peaks Connectivity Conceptual Plan	SF Rec. and Parks	\$167,589	\$0	\$167,589
Southern Skyline Blvd. Ridge Trail Extension	SF PUC	\$1,000,000	\$0	\$1,000,000
SUBTOTAL		\$4,500,000	\$0	\$4,500,000
<b>10. PRIORITY CONSERVATION AREA (PCA)</b>		<b>TOTAL: \$9,500,000</b>	<b>\$0</b>	<b>\$9,500,000</b>
<b>OBAG 1 REGIONAL PROGRAMS TOTAL</b>		<b>TOTAL: \$457,329,000</b>	<b>\$40,000,000</b>	<b>\$497,329,000</b>

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\RES-4035\_ongoing\[tmp-4035\_Attach\_B-1\_01-27-15.xlsx]Attach B-1 01-27-16

# Attachment B-2

MTC Resolution No. 4035, Attachment B-2

Adopted: 05/17/12-C

Revised: 10/24/12-C

12/19/12-C 01/23/13-C 05/22/13-C

09/25/13-C 11/20/13-C 01/22/14-C

02/26/14-C 05/28/14-C 09/24/14-C

12/17/14-C 03/25/15-C 07/22/15-C

09/23/15-C 10/28/15-C 01/27/16-C

## OBAG 1 County Program FY 2012-13 through FY 2016-17 January 2016

### OBAG 1 County Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other (RTIP, etc.)	Total Cycle 2
<b>COUNTY OBAG 1 PROGRAMMING</b>		<b>\$309,314,000</b>	<b>\$18,036,000</b>	<b>\$327,350,000</b>
<b>ALAMEDA COUNTY</b>				
<i>Specific projects TBD by Alameda CMA</i>		\$0	\$0	\$0
CMA Base Planning Activities - Alameda	ACTC	\$3,836,000	\$0	\$3,836,000
CMA Planning Activities Augmentation - Alameda	ACTC	\$3,270,000	\$0	\$3,270,000
CMA Planning Activities FY 2016-17 Supplement - Alameda	ACTC	\$1,034,000	\$0	\$1,034,000
Alameda County Safe Routes to School Program	ACTC	\$2,000,000	\$0	\$2,000,000
Alameda City Complete Streets	Alameda (City)	\$635,000	\$0	\$635,000
Alameda County Various Streets and Roads Preservation	Alameda County	\$1,665,000	\$0	\$1,665,000
Berkeley Downtown BART Plaza Streetscape	BART	\$340,000	\$3,726,000	\$4,066,000
Shattuck Ave Complete Streets and De-Couplet	Berkeley	\$2,777,000	\$0	\$2,777,000
Berkeley - Hearst Avenue Complete Streets	Berkeley	\$2,156,000	\$0	\$2,156,000
Dublin Boulevard Preservation	Dublin	\$470,000	\$0	\$470,000
Emeryville - Hollis Street Preservation	Emeryville	\$100,000	\$0	\$100,000
Fremont Various Streets and Roads Preservation	Fremont	\$2,105,000	\$0	\$2,105,000
Fremont City Center Multi-Modal Imps	Fremont	\$5,855,000	\$0	\$5,855,000
Hayward - Industrial Boulevard Preservation	Hayward	\$1,335,000	\$0	\$1,335,000
Livermore Various Streets Preservation	Livermore	\$1,053,000	\$0	\$1,053,000
Enterprise Drive Complete Streets and Road Diet	Newark	\$454,000	\$0	\$454,000
Oakland Complete Streets	Oakland	\$3,851,000	\$0	\$3,851,000
7th Street West Oakland Transit Village Phase 2	Oakland	\$3,288,000	\$0	\$3,288,000
Lakeside Complete Streets and Road Diet	Oakland	\$7,000,000	\$0	\$7,000,000
Oakland - Peralta and MLK Jr. Way Streetscape- Phase I	Oakland	\$5,452,000	\$0	\$5,452,000
Lake Merritt BART Bikeways	Oakland	\$571,000	\$0	\$571,000
Piedmont Complete Streets	Piedmont	\$129,000	\$0	\$129,000
Pleasanton Complete Streets	Pleasanton	\$832,000	\$0	\$832,000
San Leandro Boulevard Preservation	San Leandro	\$804,000	\$0	\$804,000
Whipple Road Complete Streets	Union City	\$669,000	\$0	\$669,000
Union City BART TLC Phase 2	Union City	\$8,692,000	\$0	\$8,692,000
<b>ALAMEDA COUNTY TOTAL:</b>		<b>\$60,373,000</b>	<b>\$3,726,000</b>	<b>\$64,099,000</b>

<b>CONTRA COSTA COUNTY</b>				
<i>Specific projects TBD by Contra Costa CMA</i>	TBD	\$0	\$0	\$0
CMA Base Planning Activities - Contra Costa	CCTA	\$3,036,000	\$0	\$3,036,000
CMA Planning Activities Augmentation - Contra Costa	CCTA	\$1,214,000	\$0	\$1,214,000
CMA Planning Activities FY 2016-17 Supplement - Contra Costa	CCTA	\$818,000	\$0	\$818,000
Antioch 9th Street Preservation	Antioch	\$673,000	\$0	\$673,000
Richmond BART Station Intermodal Imps.	BART	\$2,900,000	\$0	\$2,900,000
Balfour Road Preservation	Brentwood	\$290,000	\$0	\$290,000
Clayton Various Streets Preservation	Clayton	\$386,000	\$0	\$386,000
Concord BART Station Bicycle and Ped. Access Imps.	Concord	\$0	\$1,195,000	\$1,195,000
Detroit Avenue Bicycle and Pedestrian Imps.	Concord	\$965,000	\$1,189,000	\$2,154,000
Concord Various Streets Preservation	Concord	\$757,000	\$0	\$757,000
Contra Costa County Various Streets and Roads Preservation	Contra Costa County	\$1,941,000	\$0	\$1,941,000
Danville Various Streets and Roads Preservation	Danville	\$933,000	\$0	\$933,000
El Cerrito Various Streets and Roads Preservation	El Cerrito	\$630,000	\$0	\$630,000
El Cerrito Ohlone Greenway Bike and Ped. Imps.	El Cerrito	\$3,468,000	\$0	\$3,468,000
Hercules Intermodal Transit Center	Hercules	\$2,584,000	\$0	\$2,584,000
Hercules - Refugio Valley Road Preservation	Hercules	\$702,000	\$0	\$702,000
Lafayette - Mt. Diablo Blvd West Preservation	Lafayette	\$584,000	\$0	\$584,000
Martinez Various Streets and Roads Preservation	Martinez	\$1,023,000	\$0	\$1,023,000
Moraga Various Streets and Roads Preservation	Moraga	\$709,000	\$0	\$709,000
Oakley Various Streets and Roads Preservation	Oakley	\$1,031,000	\$0	\$1,031,000
Ivy Street Preservation	Orinda	\$552,000	\$0	\$552,000
Pinole - San Pablo Avenue Preservation	Pinole	\$453,000	\$0	\$453,000
Pittsburg - Railroad Avenue Preservation	Pittsburg	\$299,000	\$0	\$299,000
Pittsburg Multimodal Station Bike/Ped Access Imps.	Pittsburg	\$1,300,000	\$0	\$1,300,000
Golf Club Road Roundabout and Bike/Ped Imps.	Pleasant Hill	\$4,770,000	\$0	\$4,770,000
Pleasant Hill - Contra Costa Boulevard Preservation	Pleasant Hill	\$799,000	\$0	\$799,000
Dornan Drive/Garrard Blvd Tunnel Rehabilitation	Richmond	\$413,000	\$0	\$413,000
Richmond Local Streets and Roads Preservation	Richmond	\$3,030,000	\$0	\$3,030,000
San Pablo Various Streets and Roads Preservation	San Pablo	\$454,000	\$0	\$454,000
San Pablo Avenue Bicycle and Pedestrian Imps.	San Pablo	\$5,978,000	\$0	\$5,978,000
San Ramon Valley Blvd Preservation	San Ramon	\$291,000	\$0	\$291,000
Walnut Creek North Main Street Preservation	Walnut Creek	\$655,000	\$0	\$655,000

## Attachment B-2

MTC Resolution No. 4035, Attachment B-2

Adopted: 05/17/12-C

Revised: 10/24/12-C

12/19/12-C 01/23/13-C 05/22/13-C

09/25/13-C 11/20/13-C 01/22/14-C

02/26/14-C 05/28/14-C 09/24/14-C

12/17/14-C 03/25/15-C 07/22/15-C

09/23/15-C 10/28/15-C 01/27/16-C

### OBAG 1 County Program FY 2012-13 through FY 2016-17 January 2016

#### OBAG 1 County Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other (RTIP, etc.)	Total Cycle 2
<b>COUNTY OBAG 1 PROGRAMMING</b>		<b>\$309,314,000</b>	<b>\$18,036,000</b>	<b>\$327,350,000</b>
<b>CONTRA COSTA COUNTY</b>		<b>TOTAL: \$43,638,000</b>	<b>\$2,384,000</b>	<b>\$46,022,000</b>

#### MARIN COUNTY

<i>Specific projects TBD by Marin CMA</i>	TBD	\$0	\$0	\$0
CMA Base Planning Activities - Marin	TAM	\$2,673,000	\$0	\$2,673,000
CMA Planning Activities Augmentation - Marin	TAM	\$418,000	\$0	\$418,000
CMA Planning Activities FY 2016-17 Supplement - Marin	TAM	\$720,000	\$0	\$720,000
Central Marin Ferry Bike/Ped Connection	TAM	\$1,500,000	\$0	\$1,500,000
Bolinas Avenue and Sir Francis Drake Intersection Imps.	Ross	\$274,000	\$0	\$274,000
San Rafael Various Streets and Roads Preservation	San Rafael	\$457,000	\$0	\$457,000
San Rafael Transit Center Pedestrian Access Imps.	San Rafael	\$1,900,000	\$0	\$1,900,000
Fairfax Parkade Circulation and Safety Imps.	Fairfax	\$0	\$300,000	\$300,000
North Civic Center Bicycle and Pedestrian Imps	Marin County	\$243,000	\$407,000	\$650,000
Donahue Street Preservation	Marin County	\$1,077,000	\$0	\$1,077,000
DeLong Ave. and Ignacio Blvd Preservation	Novato	\$779,000	\$0	\$779,000
<b>MARIN COUNTY</b>	<b>TOTAL:</b>	<b>\$10,041,000</b>	<b>\$707,000</b>	<b>\$10,748,000</b>

#### NAPA COUNTY

<i>Specific projects TBD by Napa - NCTPA</i>	TBD	\$0	\$0	\$0
CMA Base Planning Activities - Napa	NCTPA	\$2,673,000	\$0	\$2,673,000
CMA Planning Activities FY 2016-17 Supplement - Napa	NCTPA	\$720,000	\$0	\$720,000
Napa City North/South Bike Connection	Napa (City)	\$300,000	\$0	\$300,000
California Boulevard Roundabouts	Napa (City)	\$2,463,000	\$431,000	\$2,894,000
Silverado Trail Phase "H" Preservation	Napa County	\$794,000	\$0	\$794,000
<b>NAPA COUNTY</b>	<b>TOTAL:</b>	<b>\$6,950,000</b>	<b>\$431,000</b>	<b>\$7,381,000</b>

#### SAN FRANCISCO COUNTY

		\$3,393,000		\$0.46
<i>Specific projects TBD by San Francisco CMA</i>		\$0	\$0	\$0
CMA Base Planning Activities - San Francisco	SFCTA	\$2,795,000	\$0	\$2,795,000
CMA Planning Activities Augmentation - San Francisco	SFCTA	\$773,000	\$0	\$773,000
CMA Planning Activities FY 2016-17 Supplement- San Francisco	SFCTA	\$753,000	\$0	\$753,000
Longfellow Safe Routes to School	SF DPW	\$670,307	\$0	\$670,307
ER Taylor Safe Routes to School	SF DPW	<b>\$452,366</b>	\$0	<b>\$452,366</b>
Chinatown Broadway Complete Streets Phase IV	SF DPW	<b>\$3,477,801</b>	\$1,910,000	<b>\$5,387,801</b>
Mansell Corridor Complete Streets	SFCTA	\$1,762,239	\$0	\$1,762,239
Additional Light Rail Vehicles to Expand Muni Rail	SFMTA	\$10,227,539	\$0	\$10,227,539
Second Street Complete Streets	SFMTA	\$10,515,748	\$0	\$10,515,748
Transbay Center Bicycle and Pedestrian Imps.	TJPA	\$6,000,000	\$0	\$6,000,000
<b>SAN FRANCISCO COUNTY</b>	<b>TOTAL:</b>	<b>\$37,427,000</b>	<b>\$1,910,000</b>	<b>\$39,337,000</b>

#### SAN MATEO COUNTY

<i>Specific projects TBD by San Mateo CMA</i>		\$0	\$0	\$0
CMA Base Planning Activities - San Mateo	SMCCAG	\$2,673,000	\$0	\$2,673,000
CMA Planning Activities Augmentation - San Mateo	SMCCAG	\$752,000	\$0	\$752,000
CMA Planning Activities FY 2016-17 Supplement - San Mateo	SMCCAG	\$720,000	\$0	\$720,000
PDA Planning Augmentation - San Mateo	SMCCAG	\$84,000	\$0	\$84,000
Atherton Various Streets and Roads Preservation	Atherton	\$285,000	\$0	\$285,000
Belmont Various Streets and Roads Preservation	Belmont	\$534,000	\$0	\$534,000
Ralston Road Pedestrian Improvements	Belmont	\$250,000	\$0	\$250,000
Old County Road Bike and Pedestrian Imps	Belmont	\$270,000	\$0	\$270,000
Carolan Avenue Complete Streets and Road Diet	Burlingame	\$986,000	\$0	\$986,000
US 101 / Broadway Interchange Bike/Ped Imps	Caltrans	\$3,613,000	\$0	\$3,613,000
Daly City Various Streets and Roads Preservation	Daly City	\$562,000	\$0	\$562,000
John Daly Boulevard Bicycle and Pedestrian Imps.	Daly City	<b>\$1,290,000</b>	\$0	<b>\$1,290,000</b>
Bay Road Bike and Ped Imps. Phase II and III	East Palo Alto	\$1,000,000	\$0	\$1,000,000
Menlo Park Various Streets and Roads Preservation	Menlo Park	\$427,000	\$0	\$427,000
Menlo Park Various Streets Bicycle and Pedestrian Imps	Menlo Park	<b>\$499,000</b>	\$0	<b>\$499,000</b>
Millbrae Various Streets and Roads Preservation	Millbrae	\$445,000	\$0	\$445,000

## Attachment B-2

MTC Resolution No. 4035, Attachment B-2

Adopted: 05/17/12-C

Revised: 10/24/12-C

12/19/12-C 01/23/13-C 05/22/13-C

09/25/13-C 11/20/13-C 01/22/14-C

02/26/14-C 05/28/14-C 09/24/14-C

12/17/14-C 03/25/15-C 07/22/15-C

09/23/15-C 10/28/15-C 01/27/16-C

### OBAG 1 County Program FY 2012-13 through FY 2016-17 January 2016

#### OBAG 1 County Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other (RTIP, etc.)	Total Cycle 2
<b>COUNTY OBAG 1 PROGRAMMING</b>		<b>\$309,314,000</b>	<b>\$18,036,000</b>	<b>\$327,350,000</b>
San Pedro Creek Bridge Replacement Bike/Ped Imps	Pacifica	\$1,141,000	\$0	\$1,141,000
Pacifica Linda Mar Blvd Preservation	Pacifica	\$431,000	\$0	\$431,000
Palmetto Avenue Streetscape	Pacifica	\$1,000,000	\$0	\$1,000,000
Portola Valley Various Streets and Roads Preservation	Portola Valley	\$224,000	\$0	\$224,000
Redwood City Various Streets and Roads Preservation	Redwood City	\$548,000	\$0	\$548,000
Middlefield Road Bicycle and Pedestrian Imps	Redwood City	\$1,752,000	\$0	\$1,752,000
San Bruno Avenue Pedestrian Improvements	San Bruno	<b>\$123,000</b>	\$0	<b>\$123,000</b>
San Bruno Avenue Street Median Imps	San Bruno	\$735,000	\$0	\$735,000
Crestview Drive Pavement Rehabilitation	San Carlos	\$412,000	\$0	\$412,000
San Carlos Streetscape and Pedestrian Imps	San Carlos	<b>\$1,000,000</b>	\$0	<b>\$1,000,000</b>
El Camino Real Ped Upgrades (Grand Boulevard Initiative)	San Carlos	\$182,000	\$0	\$182,000
Mount Diablo Ave. Rehabilitation	San Mateo (City)	\$270,000	\$0	\$270,000
North Central Pedestrian Imps	San Mateo (City)	\$1,000,000	\$0	\$1,000,000
San Mateo Citywide Crosswalk Improvements	San Mateo (City)	\$368,000	\$0	\$368,000
Semicircular Road Bicycle and Pedestrian Access Imps	San Mateo County	\$320,000	\$0	\$320,000
South San Francisco Citywide Sidewalk Gap Closures	South San Francisco	\$357,000	\$0	\$357,000
South San Francisco Grand Blvd Pedestrian Imps	South San Francisco	\$1,000,000	\$0	\$1,000,000
South San Francisco Grand Blvd Complete Streets	South San Francisco	\$0	\$1,991,000	\$1,991,000
<b>SAN MATEO COUNTY</b>	<b>TOTAL:</b>	<b>\$25,253,000</b>	<b>\$1,991,000</b>	<b>\$27,244,000</b>

<b>SANTA CLARA COUNTY</b>				
<i>Specific projects TBD by Santa Clara CMA</i>		\$0	\$0	\$0
CMA Base Planning Activities - Santa Clara	VTA	\$4,246,000	\$0	\$4,246,000
CMA Planning Activities Augmentation - Santa Clara	VTA	\$1,754,000	\$0	\$1,754,000
CMA Planning Activities FY 2016-17 Supplement - Santa Clara	VTA	\$1,145,000	\$0	\$1,145,000
Hamilton Avenue Preservation	Campbell	\$279,000	\$0	\$279,000
Campbell Avenue Bicycle and Pedestrian Imps.	Campbell	\$3,718,000	\$0	\$3,718,000
Stevens Creek Boulevard Preservation	Cupertino	\$735,000	\$0	\$735,000
Ronan Channel / Lions Creek Multi-Use Trail	Gilroy	\$1,034,000	\$0	\$1,034,000
Eagleberry Street Preservation	Gilroy	\$808,000	\$0	\$808,000
Los Altos Various Streets and Roads Preservation	Los Altos	\$312,000	\$0	\$312,000
El Monte Road Preservation	Los Altos Hills	\$186,000	\$0	\$186,000
Hillside Road Preservation	Los Gatos	\$139,000	\$0	\$139,000
Milpitas Various Streets and Roads Preservation	Milpitas	\$1,652,000	\$0	\$1,652,000
Monte Sereno Various Streets and Roads Preservation	Monte Sereno	\$250,000	\$0	\$250,000
Monterey Road Preservation	Morgan Hill	\$1,379,000	\$0	\$1,379,000
Mountain View Various Streets Preservation and Bike Lanes	Mountain View	\$1,166,000	\$0	\$1,166,000
Palo Alto Various Streets and Roads Preservation	Palo Alto	\$956,000	\$0	\$956,000
US 101/Adobe Creek Bicycle and Pedestrian Bridge	Palo Alto	\$0	\$4,350,000	\$4,350,000
San Jose Citywide Bikeway Program	San Jose	\$1,150,000	\$0	\$1,150,000
San Jose Citywide Pavement Management Program	San Jose	\$11,531,000	\$0	\$11,531,000
San Jose Citywide SRTS Infrastructure Program	San Jose	\$1,150,000	\$0	\$1,150,000
San Jose Citywide Smart Intersections Program	San Jose	\$1,150,000	\$0	\$1,150,000
Downtown San Jose Bike Lanes and De-Couplet	San Jose	\$1,500,000	\$0	\$1,500,000
East San Jose Bicycle/Pedestrian Transit Connection	San Jose	\$2,000,000	\$0	\$2,000,000
Jackson Avenue Bicycle and Pedestrian Imps.	San Jose	\$1,500,000	\$0	\$1,500,000
San Jose Pedestrian-Oriented Traffic Safety Signals	San Jose	\$3,000,000	\$0	\$3,000,000
St. Johns Bikeway and Pedestrian Improvements	San Jose	\$1,185,000	\$0	\$1,185,000
The Alameda "Beautiful Way" Grand Boulevard Phase 2	San Jose	\$3,150,000	\$0	\$3,150,000
Santa Clara Various Streets and Roads Preservation	Santa Clara (City)	\$1,891,000	\$0	\$1,891,000
San Tomas Expressway Box Culvert Rehabilitation	Santa Clara County	\$8,350,000	\$0	\$8,350,000
Capitol Expressway Traffic ITS and Bike/Ped Imps.	Santa Clara County	\$7,735,000	\$0	\$7,735,000
San Tomas Aquino Spur Multi-Use Trail Phase 2	Santa Clara County	\$3,234,000	\$0	\$3,234,000
Saratoga Village Sidewalk Preservation	Saratoga	\$162,000	\$0	\$162,000
Saratoga Ave-Prospect Rd Complete Streets	Saratoga	\$4,205,000	\$0	\$4,205,000
Duane Avenue Preservation	Sunnyvale	\$1,576,000	\$0	\$1,576,000
East & West Channel Multi-Use Trails	Sunnyvale	\$3,440,000	\$0	\$3,440,000
Fair Oaks Avenue Bikeway and Streetscape	Sunnyvale	\$956,000	\$0	\$956,000
Maude Avenue Bikeway and Streetscape	Sunnyvale	\$695,000	\$0	\$695,000

Metropolitan Transportation Commission

T4 New Act Cycle 2 Project Selection Criteria and Programming Policy - OBAG Program Project List

## Attachment B-2

MTC Resolution No. 4035, Attachment B-2

Adopted: 05/17/12-C

Revised: 10/24/12-C

12/19/12-C 01/23/13-C 05/22/13-C

09/25/13-C 11/20/13-C 01/22/14-C

02/26/14-C 05/28/14-C 09/24/14-C

12/17/14-C 03/25/15-C 07/22/15-C

09/23/15-C 10/28/15-C 01/27/16-C

### OBAG 1 County Program FY 2012-13 through FY 2016-17 January 2016

#### OBAG 1 County Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other (RTIP, etc.)	Total Cycle 2
<b>COUNTY OBAG 1 PROGRAMMING</b>		<b>\$309,314,000</b>	<b>\$18,036,000</b>	<b>\$327,350,000</b>
Sunnyvale Safe Routes to School Ped Infrastructure Imps	Sunnyvale	\$1,569,000	\$0	\$1,569,000
Sunnyvale-Saratoga Road Bike/Ped Safety Enhancements	Sunnyvale	\$524,000	\$0	\$524,000
Milpitas BART Station Montague Expwy Ped Overcrossing	VTA	\$744,000	\$0	\$744,000
VTA/San Jose: Upper Penitencia Creek Multi-Use Trail	VTA	\$1,514,000	\$0	\$1,514,000
Santa Clara Caltrain Station Bike/Ped Undercrossing	VTA	\$1,251,000	\$0	\$1,251,000
<b>SANTA CLARA COUNTY</b>	<b>TOTAL:</b>	<b>\$84,921,000</b>	<b>\$4,350,000</b>	<b>\$89,271,000</b>

<b>SOLANO COUNTY</b>				
<i>Specific projects TBD by Solano CMA</i>		\$0	\$0	\$0
CMA Base Planning Activities - Solano	STA	\$2,673,000	\$0	\$2,673,000
CMA Planning Activities Augmentation - Solano	STA	\$333,000	\$0	\$333,000
CMA Planning Activities FY 2016-17 Supplement - Solano	STA	\$720,000	\$0	\$720,000
Local PDA Planning Augmentation	STA	\$511,000	\$0	\$511,000
East 2nd Street Preservation	Benicia	\$495,000	\$0	\$495,000
Benicia Safe Routes to Schools Infrastructure Imps	Benicia	\$100,000	\$0	\$100,000
West A Street Preservation	Dixon	\$584,000	\$0	\$584,000
Dixon SRTS Infrastructure Imps	Dixon	\$100,000	\$0	\$100,000
Beck Avenue Preservation	Fairfield	\$1,424,000	\$0	\$1,424,000
SR 12 Pedestrian Crossing Improvements	Rio Vista	\$100,000	\$0	\$100,000
Solano County - Various Streets and Roads Preservation	Solano County	\$1,389,000	\$0	\$1,389,000
Vaca-Dixon Bike Route Phase 5	Solano County	\$1,800,000	\$0	\$1,800,000
West B Street Bicycle/Pedestrian RxR Undercrossing	STA	\$1,394,000	\$1,141,000	\$2,535,000
Eastern Solano / SNCI Rideshare Program	STA	\$533,000	\$0	\$533,000
Solano Transit Ambassador Program	STA	\$250,000	\$0	\$250,000
Driftwood Drive Path	Suisun City	<b>\$439,045</b>	\$0	<b>\$439,045</b>
Walters Road/Pintail Drive Preservation	Suisun City	\$356,000	\$0	\$356,000
Suisun/Fairfield Intercity Rail Station Access Imps	Suisun City	\$415,000	\$0	\$415,000
Vacaville SRTS Infrastructure Imps	Vacaville	\$303,207	\$0	\$303,207
Vacaville - Various Streets and Roads Preservation	Vacaville	\$1,231,000	\$0	\$1,231,000
Allison Bicycle/Pedestrian Imps.	Vacaville	\$450,000	\$0	\$450,000
Ulati Creek Bicycle/Pedestrian Pathway and Streetscape	Vacaville	<b>\$60,020</b>	\$0	<b>\$60,020</b>
Vallejo SRTS Infrastructure Imps	Vallejo	\$247,728	\$0	\$247,728
Vallejo Downtown Streetscape - Phases 3 and 4	Vallejo	\$2,440,000	\$0	\$2,440,000
<b>SOLANO COUNTY</b>	<b>TOTAL:</b>	<b>\$18,348,000</b>	<b>\$1,141,000</b>	<b>\$19,489,000</b>

<b>SONOMA COUNTY</b>				
<i>Specific projects TBD by Sonoma - SCTA</i>		\$0	\$0	\$0
CMA Base Planning Activities - Sonoma	SCTA	\$2,673,000	\$0	\$2,673,000
CMA Planning Activities FY 2016-17 Supplement - Sonoma	SCTA	\$720,000	\$0	\$720,000
Cloverdale Safe Routes to Schools Phase 2	Cloverdale	\$250,000	\$0	\$250,000
Cotati Old Redwood Highway South Preservation (CS)	Cotati	\$250,000	\$0	\$250,000
Healdsburg Various Streets and Roads Preservation	Healdsburg	\$250,000	\$0	\$250,000
Petaluma Complete Streets	Petaluma	\$1,848,000	\$0	\$1,848,000
Rohnert Park Various Streets Preservation	Rohnert Park	\$1,103,000	\$0	\$1,103,000
Rohnert Park Bicycle and Pedestrian Improvements	Rohnert Park	\$500,000	\$0	\$500,000
Downtown Santa Rosa Streetscape	Santa Rosa	\$360,000	\$353,000	\$713,000
Santa Rosa Complete Streets Road Diet on Transit Corridors	Santa Rosa	\$2,460,000	\$0	\$2,460,000
Sebastopol Various Streets and Roads Preservation	Sebastopol	\$250,000	\$0	\$250,000
SMART Larkspur Extension (Regional Project)	SMART	\$6,100,000	\$0	\$6,100,000
SMART Clipper Card Service	MTC	\$500,000	\$0	\$500,000
SMART Bicycle/Pedestrian Pathway	SMART	\$0	\$1,043,000	\$1,043,000
Sonoma Various Streets and Roads Preservation	Sonoma (City)	\$250,000	\$0	\$250,000
Sonoma County Various Streets and Roads Preservation	Sonoma County	\$3,377,000	\$0	\$3,377,000
Windsor Road/Jaquar Lane Bicycle/Pedestrian Imps.	Windsor	\$630,000	\$0	\$630,000
Conde Lane/Johnson Street Pedestrian Imps.	Windsor	\$432,000	\$0	\$432,000
Windsor Rd/Bell Rd/Market St Pedestrian Imps.	Windsor	\$410,000	\$0	\$410,000
<b>SONOMA COUNTY</b>	<b>TOTAL:</b>	<b>\$22,363,000</b>	<b>\$1,396,000</b>	<b>\$23,759,000</b>

## Attachment B-2

MTC Resolution No. 4035, Attachment B-2

Adopted: 05/17/12-C

Revised: 10/24/12-C

12/19/12-C 01/23/13-C 05/22/13-C

09/25/13-C 11/20/13-C 01/22/14-C

02/26/14-C 05/28/14-C 09/24/14-C

12/17/14-C 03/25/15-C 07/22/15-C

09/23/15-C 10/28/15-C 01/27/16-C

### OBAG 1 County Program FY 2012-13 through FY 2016-17 January 2016

#### OBAG 1 County Programs Project List

Project Category and Title	Implementing Agency	Total STP/CMAQ	Total Other (RTIP, etc.)	Total Cycle 2
COUNTY OBAG 1 PROGRAMMING		\$309,314,000	\$18,036,000	\$327,350,000
Cycle 2 Total		TOTAL: \$309,314,000	\$18,036,000	\$327,350,000

J:\SECTION\ALLSTAFF\Resolution\TEMP-RES\MTC\RES-4035\_ongoing\[tmp-4035\_Attach\_B-2.xlsx]Attach B-2 01-27-16

Date: January 23, 2013  
W.I.: 1512  
Referred By: PAC  
Revised: 02/27/13-C 04/24/13-C  
05/22/13-C 09/25/13-C  
02/26/14-C 04/23/14-C  
05/28/14-C 12/17/14-C  
1/27/16-C

### ABSTRACT

#### Resolution No. 4084, Revised

This resolution approves the FY2012-13 and FY2013-14 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The program includes projects funded with FTA Section 5307 Urbanized Area, Section 5309 Fixed Guideway Modernization, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities.

This Resolution includes the following attachment:

#### Attachment A – FY2012-13 and FY2013-14 Program of Projects

This resolution was amended on February 27, 2013 to transfer \$2 million in Section 5307 funds from an SFMTA bus replacement project to BART's enterprise asset management system project. The funding for the SFMTA project will be replaced with FTA Section 5309 Bus discretionary funds.

This resolution was revised on April 24, 2013 to reflect several transfers of funding between eligible projects and deferral of projects to future years.

This resolution was revised on May 22, 2013 to program previously reserved funds for ACE, Caltrain, and the Solano County operators, to program additional funding for AC Transit and SFMTA bus replacement projects, and to make program revisions to reconcile to final FY2012-13 FTA apportionments.

This resolution was revised on September 25, 2013 to make minor revisions to the Transit Capital Priorities program for FY2012-13 and FY2013-14 to reconcile the program to final FTA apportionments.

## ABSTRACT

MTC Resolution No. 4084, Revised

Page 2

This resolution was revised on February 26, 2014 to make revisions to the FY2013-14 Transit Capital Priorities program to transfer funds from two existing AC Transit Bus Procurement projects to a new AC Transit Farebox Replacement project; and to transfer funds from two existing WETA Fixed Guideway projects to an existing WETA Ferry Replacement project. The resolution was also revised to program FY 2013-14 5307 funds to Lifeline Transportation Program projects to replace JARC funds that lapsed.

This resolution was revised on April 23, 2014 to make program revisions to reconcile the program to final FY2013-14 apportionments released by FTA and to make additional changes requested by operators that were consistent with the TCP policy.

This resolution was revised on May 28, 2014 to re-program \$400,000 from Fairfield and Suisun Transit (FAST) bus engine replacements to operating assistance after the Solano County Coordinated Short Range Transit Plan was submitted demonstrating that the replacement of the engines and buses used for intercity express routes, as well as other capital needs, can be adequately funded while continuing to use FTA funds for operating costs. The resolution was also revised to re-program most of Caltrain's ADA set-aside funds to their Revenue Vehicle Rehabilitation Program, to re-program funds from Soltrans' Bus Purchase project to their Preventive Maintenance project as requested by the operator; and to revise Napa's and Soltrans' ADA operating set-aside amounts to keep them under the 10% ADA limit by Urbanized Area.

This resolution was revised on December 17, 2014 to re-program \$4,258,982 from the amount reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's San Mateo Bridges Replacement project in FY2012-13. The resolution was also revised to re-program \$2,841,018 and \$4,000,000 from the amount reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's San Mateo Bridges Replacement project and SFMTA's Global Positioning System projects, respectively, in FY14. The resolution was also revised to reflect minor transfers of funding between AC Transit projects as a result of project cost savings. The changes have been highlighted under Attachment A to this resolution.

This resolution was revised on January 27, 2016 to re-program \$413,000 reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's Systemwide Track Rehab and Related Structures project in FY2013-14. The change has been highlighted under Attachment A to this resolution.

## ABSTRACT

MTC Resolution No. 4084, Revised

Page 3

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocation Committee Executive Director memorandum dated January 9, 2013, and the Programming and Allocation Committee summary sheet dated February 13, 2013, April 10, 2013, May 8, 2013, September 11, 2013, February 12, 2014, April 9, 2014, May 14, 2014, December 10, 2014 and January 13, 2016.

Date: January 23, 2013  
W.I.: 1512  
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4084

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5309 Fixed Guideway Modernization, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 small urbanized area funds of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4072; and

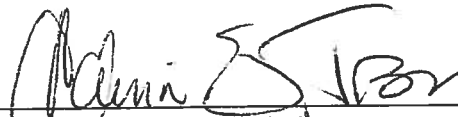
WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY2012-13 and FY 2013-14 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachments A; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION



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Adrienne J. Tissier, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on January 23, 2013.

Date: January 23, 2013  
W.I.: 1512  
Referred by: PAC  
Revised: 02/27/13-C  
04/24/13-C  
05/22/13-C  
09/25/13-C  
04/23/14-C  
12/17/14-C  
12/16/15-C

Attachment A  
Resolution No. 4084  
Page 1 of 5

FY 2012-13 Transit Capital Priorities / Transit Capital Rehabilitation Program						
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG	FTA Section 5337	FTA Section 5339
<b>Actual Apportionments</b>			206,676,575	0	167,541,738	12,658,679
<b>Previous Year Carryover</b>			36,464,600	1,683,596	0	0
<b>Funds Available for Programming</b>			243,141,175	1,683,596	167,541,738	12,658,679
<b>Lifeline Set-Aside (JARC Projects)</b>						
TBD	TBD	Reserved for projects included in the Lifeline Transportation Program Cycle 3 (MTC Resolution No. 4053, Revised).	3,456,429			
<b>ADA Operating Set-Aside</b>						
ALA990076	AC Transit	ADA Set-aside	3,933,205			
ALA050042	ACE	Preventive Maintenance	503,096			
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	2,962,267			
SM-050040	Caltrain	ADA Set-aside	79,363			
REG090051	Caltrain	Revenue Vehicle Rehab Program	868,379			
CC-99T001	CCCTA	ADA Set-aside	667,479			
CC-030035	ECCTA	ADA Set-aside	522,888			
MRN090033	GGBHTD	ADA Set-aside	445,751			
ALA990077	LAVTA	ADA Set-aside	302,768			
MRN110047	Marin Transit	ADA Set-aside	668,627			
NAP030004	Napa VINE	ADA Set-aside	29,557			
SM-990026	SamTrans	ADA Set-aside	992,293			
SF-990022	SFMTA	ADA Set-aside	3,732,102			
SOL110025	SoTrans	ADA Set-aside	665,421			
SCL050046	VTA	ADA Set-aside	3,124,039			
CC-990045	WestCat	ADA Set-aside	107,889			
<b>Prior-Year Commitments - Projects Deferred from FY2011-12</b>						
REG090067	WETA	Ferry Fixed Guideway Connectors - Main Street Terminal	1,000,000			
<b>Total Program Set-asides and Commitments</b>			<b>24,061,553</b>	<b>0</b>	<b>0</b>	<b>0</b>
<b>Funds Available for Capital Programming</b>			<b>219,079,622</b>	<b>1,683,596</b>	<b>167,541,738</b>	<b>12,658,679</b>
<b>Capital Projects</b>						
ALA010034	AC Transit	CAD/AVL	5,000,000			
ALA010034	AC Transit	Radio communication system	5,000,000			
ALA990052	AC Transit	Paratransit Van Leasing	1,433,386			
ALA110116	AC Transit	(51) Diesel Particulate Filters for 30' Buses	795,225			
REG110044	ACE	Positive Train Control (PTC)	1,664,400			
BRT030004	BART	Train Control			13,000,000	
BRT030005	BART	Traction Power			13,000,000	
BRT97100B	BART	Rail, Way, and Structures Program		726,392	12,273,608	
ALA090065	BART	Fare Collection Equipment			6,067,914	
REG050020	BART	BART Car Exchange Preventive Maintenance	11,753,191		60,246,809	
REG090037	BART	Railcar Replacement			500,000	
ALA110090	BART	Enterprise Asset Management System	2,000,000			
SM-010054	Caltrain	San Mateo Bridges Replacement			8,766,563	
SM-050041	Caltrain	Caltrain: Signal/Communication Rehab. & Upgrades			1,153,437	
SM-110076	Caltrain	Caltrain TVM Replacement			980,000	
REG110030	Caltrain	Positive Train Control/Electrification - RESERVED			0	
CC-110095	CCCTA	CCCTA: Replace 7 30' Buses	1,999,441			840,438
CC-110096	CCCTA	CCCTA: Replace 6 22' Paratransit Vans	401,592			
CC-110097	CCCTA	CCCTA: Replace 4 Paratransit Minivans	180,236			
CC-110098	CCCTA	Purchase and Install 40 Electric Cooling Fans	200,000			
CC-070092	ECCTA	Replace Ten, 2001 40' Gilligs	4,774,603			
CC-070092	ECCTA	Replace Two, 2007 Chevrolet Minivans				
CC-070092	ECCTA	Replace One, 2003 DR Cutaway/Van	89,787			
CC-070092	ECCTA	Replace One, 2006 DR Cutaway/Van	66,932			
CC-030037	ECCTA	Preventive Maintenance	266,647			
SOL010006	Fairfield	Fairfield Operating Assistance	2,378,311			
MRN110045	GGBHTD	Replace 7 - 40' Diesel Buses	3,008,005			
ALA030030	LAVTA	Preventative Maintenance	1,399,366			
MRN110043	Marin Transit	Replace 7 Local Buses	4,057,707			
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,776,524			
NAP090008	Napa Vine	Equipment Replacement & Upgrades	46,814			170,991
SON110051	Petaluma	Replace 2 Paratransit Cutaways FY13	9,360			124,504

Date: January 23, 2013  
W.I.: 1512  
Referred by: PAC  
Revised: 02/27/13-C  
04/24/13-C  
05/22/13-C  
09/25/13-C  
04/23/14-C  
12/17/14-C  
12/16/15-C

Attachment A  
Resolution No. 4084  
Page 2 of 5

FY 2012-13 Transit Capital Priorities / Transit Capital Rehabilitation Program						
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5309 FG	FTA Section 5337	FTA Section 5339
<b>Capital Projects, continued</b>						
SM-110062	Samtrans	Replacement of 1998 Gillig Buses	17,397,271			
SM-110070	Samtrans	Replacement of 14 2009 Minivans	619,597			
SM-030023	Samtrans	Preventive Maintenance	6,896,630			
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,678,872			
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	1,281,664			
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	31,093			
SON070020	Santa Rosa	Diesel-Electric Hybrid Fixed-Route Replacement Bus				231,591
SF-090043	SFMTA	45 40' NABI Replacement	7,419,719			6,690,972
SF-090035	SFMTA	35 22' Paratransit vans	4,163,725			
SF-110050	SFMTA	58 40' Neoplan Bus Replacement	15,815,991			
SF-110051	SFMTA	26 60' Neoplan Bus Replacement	16,742,037			
SF-070045	SFMTA	60 60' New Flyer Trolley Bus Replacement	0			
SF-990003	SFMTA	ITS Radio System Replacement	5,000,000			
SF-95037B	SFMTA	Muni Rail Replacement			26,992,086	
SF-970073	SFMTA	Cable Car Renovation Program			960,000	
SF-990003	SFMTA	Global Positioning System			2,600,000	
SOL110040	Soltrans	Operating Assistance	1,100,000			
SOL090033	Soltrans	Maintenance Facility	1,750,000			
SOL090034	Soltrans	Bus Purchase	416,835			
SOL110038	Soltrans	Technology Enhancements				
SON030005	Sonoma County	SCT Preventive Maintenance Program	986,845			
SON050021	Sonoma County	SCT Bus Stop Enhancements	10,364			
SON110049	Sonoma County	Replacement of One CNG 40-Foot Orion Bus				
SOL110042	Vacaville	Additional FR Buses	1,205,486			0
SOL010007	Vacaville	Operating Assistance	985,000			
SCL990046	VRTA	VRTA: Preventive Maintenance	32,541,169		2,601,175	
SCL050045	VRTA	VRTA: ADA Bus Stop Improvements	350,749			
SCL050002	VRTA	VRTA: Rail Replacement Program		957,204	705,379	
SCL050001	VRTA	VRTA: Standard and Small Bus Replacement				2,743,276
CC-110092	WestCat	Replacement of 8 (1988) 40' transit buses.	3,502,672			
SF-110053	WETA	Replacement Vessel	14,800,000			
REG090057	WETA	Ferry Major Component Rehabilitation - Solano	1,600,000			
REG090057	WETA	Ferry Major Component Rehabilitation - Vallejo	960,000			
REG090055	WETA	Ferry Propulsion System Replacement - Peralta	4,208,000			
REG090067	WETA	Ferry Fixed Guideway Connectors - Main Street Terminal	224,000			
<b>Total Capital Projects</b>			<b>189,989,246</b>	<b>1,683,596</b>	<b>149,846,971</b>	<b>10,801,772</b>
<b>Total Programmed</b>			<b>214,050,799</b>	<b>1,683,596</b>	<b>149,846,971</b>	<b>10,801,772</b>
<b>Fund Balance</b>			<b>29,090,376</b>	<b>0</b>	<b>17,694,767</b>	<b>1,856,907</b>

FY 2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<b>Actual Apportionments</b>			<b>208,984,999</b>	<b>170,320,038</b>	<b>13,072,341</b>
<b>Previous Year Carryover</b>			<b>29,090,376</b>	<b>17,694,767</b>	<b>1,856,907</b>
<b>Funds Available for Programming</b>			<b>238,075,375</b>	<b>188,014,805</b>	<b>14,929,248</b>
<b>Lifeline Set-Aside (JARC Projects)</b>					
To be programmed	To be programmed	Reserved for projects included in the Lifeline Transportation Program Cycle 3 (MTC Resolution No. 4053, Revised) and Cycle 4.	2,889,856		
<b>ADA Operating Set-Aside</b>					
ALA990076	AC Transit	ADA Set-aside	3,987,520		
ALA050042	ACE	Preventive Maintenance	510,043		
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	3,003,174		
SM-050040	Caltrain	ADA Set-aside	0		
REG090051	Caltrain	Revenue Vehicle Rehab Program	960,667		
CC-99T001	CCCTA	ADA Set-aside	676,696		
CC-030035	ECCTA	ADA Set-aside	530,109		
MRN090033	GGBHTD	ADA Set-aside	451,907		
ALA990077	LAVTA	ADA Set-aside	306,948		
MRN110047	Marin Transit	ADA Set-aside	677,860		
NAP030004	Napa VINE	ADA Set-aside	23,847		
SM-990026	SamTrans	ADA Set-aside	1,005,996		
SF-990022	SFMTA	ADA Set-aside	3,783,639		
SOL110025	SolTrans	ADA Set-aside	590,647		
SCL050046	VTa	ADA Set-aside	3,166,259		
CC-990045	WestCat	ADA Set-aside	109,379		
<b>Vehicle Procurement Reserve</b>					
New	Caltrain	Railcar Replacement - RESERVED		24,323,719	
<b>Total Program Set-asides and Commitments</b>			<b>22,674,547</b>	<b>24,323,719</b>	<b>0</b>
<b>Funds Available for Capital Programming</b>			<b>215,400,828</b>	<b>163,691,086</b>	<b>14,929,248</b>
<b>Capital Projects</b>					
ALA990052	AC Transit	Paratransit Van Leasing	1,433,386		
ALA110117	AC Transit	Replace (28) 2000 40' Urban buses	10,200,964		
ALA110118	AC Transit	Replace (40) 2002 40' Urban buses	14,572,805		
ALA130002	AC Transit	Replace (27) 2003 60' articulated buses	22,303,145		
ALA110106	AC Transit	Farebox Replacement	2,000,000		
ALA010034	AC Transit	Facilities Upgrade	746,788		
REG110044	ACE	Positive Train Control (PTC)	1,664,400		
BRT030004	BART	Train Control		13,000,000	
BRT030005	BART	Traction Power		13,000,000	
BRT97100B	BART	Rail, Way, and Structures Program		13,000,000	
ALA090065	BART	Fare Collection Equipment		6,067,914	
REG050020	BART	BART Car Exchange Preventive Maintenance	7,267,896	66,900,255	
REG090037	BART	Railcar Replacement		500,000	
SM-010054	Caltrain	San Mateo Bridges Replacement		2,841,018	
SM-03006B	Caltrain	Caltrain: Systemwide Track Rehab & Related Struct.		7,471,982	
CC-110100	CCCTA	Replace 18 40' Heavy Duty Diesel Over the Road Buses	8,334,023		863,162
CC-110099	CCCTA	CCCTA: Replace 15 40' Heavy Duty Diesel Transit Buses	6,578,760		
CC-070092	ECCTA	Replace Ten, 2001 40' Gilligs	4,960,618		893,992
CC-070092	ECCTA	Replace Four, 2010 Dodge Minivans	183,572		
CC-030037	ECCTA	Preventive Maintenance	64,251		
CC-070092	ECCTA	Replace Two, 2007 Chevrolet Minivans	90,118		
SOL010006	Fairfield	Fairfield Operating Assistance	2,422,394		
SOL110044	Fairfield	Intercity Bus Engine Replacements	0		
SOL110041	Fairfield	Bus Replacement			564,485
MRN110046	GGBHTD	Replace 14 - 45' OTR Coaches	7,709,590		
ALA030030	LAVTA	Preventative Maintenance	196,984		
MRN110044	Marin Transit	13 Paratransit Vehicles	891,338		
MRN110042	Marin Transit	4 Local Buses	2,235,772		
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,432,231		
NAP090008	Napa Vine	Equipment Replacement & Upgrades	48,035		174,228
SON110052	Petaluma	Replace 2 Paratransit Cutaways FY14	10,657		126,859

Date: January 23, 2013  
W.I.: 1512  
Referred by: PAC  
Revised: 02/27/13-C  
04/24/13-C  
05/22/13-C  
09/25/13-C  
02/26/14-C  
04/23/14-C  
05/28/14-C  
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01/27/16-C

Attachment A  
Resolution No. 4084  
Page 4 of 5

FY 2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<b>Capital Projects, continued</b>					
SM-110053	SamTrans	Advanced Communication System Upgrades	2,653,250		
SM-110069	SamTrans	Replacement of 19 2007 Cutaway Buses	1,837,710		
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,701,083		
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	672,263		
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	24,768		
SON070020	Santa Rosa	Diesel-Electric Hybrid Fixed-Route Replacement Bus			277,289
New	SFMTA	30 60' Motor Coaches	30,500,000		
New	SFMTA	Farebox Replacement	1,120,000		
SF-110050	SFMTA	50 40' Neoplan Bus Replacement	5,855,020		6,908,739
SF-110051	SFMTA	26 60' Neoplan Bus Replacement	4,116,619		
SF-110050	SFMTA	8 40' Neoplan Bus Replacement	4,643,523		
SF-070045	SFMTA	60 60' New Flyer Trolley Bus Replacement		12,677,488	
New	SFMTA	42 40' Neoplan Bus Replacement	5,000,000		
New	SFMTA	49 60' Neoplan Bus Replacement	8,365,234		
SF-95037B	SFMTA	Muni Rail Replacement		4,092,086	
SF-970073	SFMTA	Cable Car Renovation Program		960,000	
SF-990003	SFMTA	Global Positioning System		4,000,000	
SOL110040	SolTrans	Operating Assistance	5,706,408		
SOL090034	SolTrans	Bus Purchase	975,000		767,581
SOL070032	SolTrans	Preventive Maintenance	599,674		
New	Sonoma County	CNG Bus Replacement	410,123		
SON030005	Sonoma County	SCT Preventive Maintenance Program	1,308,507		
SON050021	Sonoma County	SCT Bus Stop Enhancements	17,935		
SON110049	Sonoma County	Replacement of One CNG 40-Foot Orion Bus			432,386
ALA110114	Union City	Replacement of Two (2) Transit Buses	953,135		
SOL010007	Vacaville	Operating Assistance	985,000		
New	Vacaville	Paratransit Bus Purchase 3 40' ARBOC Low-Floor Gasoline			394,072
SCL990046	VTa	VTa: Preventive Maintenance	32,874,210	2,072,309	
SCL050045	VTa	VTa: ADA Bus Stop Improvements	361,097		
SCL050049	VTa	VTa: Rail Substation Rehab/ Replacement		4,560,000	
SCL090044	VTa	VTa: TP OCS Rehab and Replacement			
SCL050002	VTa	VTa: Rail Replacement Program		5,556,034	
SCL050001	VTa	VTa: Standard and Small Bus Replacement			3,185,141
CC-110093	WestCat	Replacement of 2 35' suburban diesel transit bus	735,324		
CC-110094	WestCat	Replacement of 2 35' suburban diesel transit bus	223,954		
REG090054	WETA	Ferry Channel Dredging		1,600,000	
REG090057	WETA	Ferry Major Component Replacement			
REG090067	WETA	Ferry Fixed Guideway Connectors			
SF-110053	WETA	Replacement Vessel	749,345	5,392,000	
<b>Total Capital Projects</b>			<b>207,736,909</b>	<b>163,691,086</b>	<b>14,587,934</b>
<b>Total Programmed</b>			<b>230,411,456</b>	<b>188,014,805</b>	<b>14,587,934</b>
<b>Fund Balance</b>			<b>7,663,919</b>	<b>0</b>	<b>341,314</b>

Date: January 23, 2013

W.L.: 1512

Referred by: PAC

Revised: 02/27/13-C

04/24/13-C

05/22/13-C

04/23/14-C

12/17/14-C

1/27/16-C

Attachment A

Resolution No. 4084

Page 5 of 5

**FY2012-13 - FY2013-14 Transit Capital Priorities / Transit Capital Rehabilitation Program Notes**

1. Apportionment projections are based on MAP-21 authorizations and FY13 partial-year apportionments released by FTA. The program will be reconciled to the final apportionments for each year after they are released by FTA.
2. Operators in the Fairfield, Napa, Petaluma, Santa Rosa and Vacaville Urbanized Areas did not wish to participate in the ADA operating set-aside programming element at the time the current ADA set-aside formula was developed. Future revisions to the ADA set-aside formula may include operators in these urbanized areas.
3. \$400,000 of FY2013-14 Section 5307 programmed to Fairfield & Suisun Transit for intercity bus engine replacements based on the intercity bus replacement strategy agreed to by the operators may be reprogrammed to another FAST project if review of the draft Solano County Short Range Transit Plan demonstrates that the engine replacements can be funded with other sources while providing sufficient funding for other capital and operating needs.
4. Caltrain deferred \$1,706,500 of its FY13 fixed guideway cap to FY14. \$413,000 of Caltrain's FY14 fixed guideway cap funds are reserved for the Positive Train Control/Electrification project pending discussions with HSR Early Investment Strategy MOU partner agencies and a final request that aligns with the MOU. Proposed FY15 program includes \$11.1 million FY13 and FY14 funds for bridge replacement projects as requested by Caltrain - previously reserved for electrification. \$4M of the \$11.1M was programmed to SFMTA's GPS project in FY14 in exchange for \$4M of SFCTA Prop K funds for Caltrain's Quint St. Bridge project. On January 27, 2016 this resolution was revised to program \$413,000, previously reserved for Caltrain's Positive Train Control/Electrification project, to Caltrain's Systemwide Track Rehab & Related Structures.
5. \$24,323,719 for Caltrain's Railcar Replacement project will be held in a Vehicle Procurement Reserve pending development of the project schedule, and will be programmed in a future amendment.
6. ECCTA exercised the Capital Exchange element of the TCP policy by deferring replacement of two 1998 40' diesel buses to FY22 in exchange for \$266,647 for Preventive Maintenance in FY13, and by deferring replacement of two 2001 Trolley Replicas to FY25 in exchange for \$55,042 in Preventive Maintenance in FY14.
7. GGBHTD deferred \$22,074,000 of fixed guideway cap funds from FY11, FY12, FY13 and FY14 to FY15. These funds will have priority for programming in FY15 as a prior-year commitment.
8. LAVTA exercised the Capital Exchange element of the TCP policy by deferring replacement of nine 2006 22' cutaways to FY20 in exchange for \$1,157,841 for Preventive Maintenance in FY13. LAVTA also deferred replacement of five 2000 40' hybrid buses to FY15 in exchange for \$241,525 in Preventive Maintenance in FY13, and deferred replacement of eight 2002 40' hybrid buses to FY15 in exchange for \$196,984 in Preventive Maintenance in FY14.
9. Programming for Santa Rosa CityBus and Sonoma County Transit in FY14 is based on a renegotiated agreement to share apportionments in the Santa Rosa urbanized area between the two agencies.
10. SFMTA deferred \$5,000,000 of its FY13 fixed guideway cap to FY15 in exchange for advancing funding for two bus replacement projects from FY14 to FY13.
11. VTA deferred \$1,138,534 of its fixed guideway cap from FY13 to FY14.
12. WestCAT deferred \$849,920 for replacement of two buses from FY13 to FY15 in exchange for advancing funding for two different bus replacements from FY15 to FY14.
13. WETA deferred \$5,392,000 of its FY14 fixed guideway cap funds to FY15 in exchange for advancing funding for a ferry vessel replacement from FY16 to FY14.
14. SamTrans deferred \$20,000,000 of FY14 5307 for articulated bus replacement to FY15 in exchange for \$2,653,250 for Advanced Communication System Upgrades in FY14.
15. The balance of the regional share of AC Transit's Replace (27) 2003 60' articulated buses project (\$3,567,594), SFMTA's 42 40' Neoplan Bus Replacement project (\$19,378,498) and SFMTA's 49 60' Neoplan Bus Replacement project (\$20,000,000 annual cap) will have priority for funding in FY2014-15 as prior-year commitments.

Date: December 18, 2013  
W.I.: 1512  
Referred by: PAC  
Revised: 1/27/16-C

ABSTRACT

Resolution No. 4123, Revised

This resolution establishes an investment plan for MTC's Transit Core Capacity Challenge Grant Program that targets federal, state, and regional funds to high-priority transit capital projects between FY2014-15 and FY2029-30.

This resolution includes the following attachments:

- A – Funding Plan and Revenue Sources and Assumptions
- B – Project Descriptions
- C – Terms and Conditions

This resolution was revised by the Commission on January 27, 2016 to revise the funding plan for the BART Rail Car project by increasing the commitment of FTA/STP funds by \$150 million and reducing the commitment of AB 664 Bridge Tolls and BATA Project Savings by a total of \$150 million. The revision also corrected a typographical error in the funding plan table in Attachment A concerning the number of BART Cars included in the program.

Further discussion of these actions is contained in the Programming and Allocations memorandum dated November 13, 2013 and Summary Sheets dated December 11, 2013 and January 13, 2016.

Date: December 18, 2013  
W.I.: 1512  
Referred by: PAC

RE: Transit Core Capacity Challenge Grant Program

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4123

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq.; and

WHEREAS, the San Francisco Bay Area Rapid Transit District (BART) is a rapid transit district providing rail transit service in the San Francisco Bay Area; and

WHEREAS, the San Francisco Municipal Transportation Agency (SFMTA) provides bus, trolley, light rail, and cable car/historic rail car service in the City of San Francisco, California; and

WHEREAS, the Alameda-Contra Costa Transit District (AC Transit) is constituted as a special district under California law and is an Oakland based public transit agency serving the western portions of Alameda and Contra Costa counties in the San Francisco Bay Area; and

WHEREAS, Plan Bay Area (“Plan”), the region’s long-range transportation and housing plan adopted by MTC, provides the planning foundation for transportation improvements and regional growth throughout the San Francisco Bay Area through 2040; and

WHEREAS, the Plan’s in-fill and transit-oriented growth strategy relies on a well-maintained and robust transit system to meet greenhouse gas emissions reduction targets and other Plan performance objectives; and

WHEREAS, the Plan identifies a total funding need of \$47 billion over nearly three decades to achieve an optimal state of repair for the region’s public transit network; and

WHEREAS, the Core Capacity Challenge Grant Program (“the Program”) addresses funding for transit capital replacement and rehabilitation needs as well as for key transit infrastructure enhancements needed to support future transit service expansion for AC Transit, BART and SFMTA; and

WHEREAS, the Program targets federal, state and regional funds to high-priority transit capital projects for AC transit, BART and SFMTA; and

WHEREAS, federal, state and regional funds will continue to be required to finance the Program including those funds which are reasonably expected to be available under current conditions, and new funds which need to be secured in the future through advocacy with state and federal legislatures and the electorate; now therefore be it

RESOLVED, that the Core Capacity Challenge Grant Program is a comprehensive funding strategy of local, regional, state and federal funding sources as outlined in Attachment A, attached hereto and incorporated herein as though set forth at length; and, be it further


RESOLVED, that MTC’s commitment of funding for the Program is limited to the total amount of MTC funding shown in Attachment A; and be it further

RESOLVED, that MTC agrees to program Federal Transit Administration (FTA) and Federal Highway Administration (FHWA) funds as set forth in Attachment A in a timely manner in order to meet cash flow needs and minimize financing costs, subject to Congressional authorization and appropriation of funds, and MTC’s ability to meet other critical regional transit capital needs; and be it further

RESOLVED, that Attachment B lists the descriptions of the AC Transit, BART and SFMTA projects that will be funded under the Program; and be it further

RESOLVED, that the funding commitments included in Attachment A are subject to the terms and conditions outlined in Attachment C, attached hereto and incorporated herein as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Amy Rein Worth, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held  
in Oakland, California, on December 18, 2013

## Attachment A

### Core Capacity Challenge Grants - Funding Plan (\$ Millions)

Project	Project Cost	Proposed Local \$				Funding Sources for Remaining Need						Total Funding
		BART	SFMTA	AL/CC Sales Tax/Parcel Tax	VTA	FTA/STP	AB664 Bridge Tolls	BATA Project Savings	SFO Net Op Revenue	Cap & Trade	Core Capacity New Starts	
BART Rail Cars (463 cars beyond current funding commitment)	1,521	393				893		15	145	75		1,521
BART Train Control	700	267				163				126	144	700
BART Hayward Maint. Center	433	258			175							433
<b>Subtotal BART</b>	<b>2,654</b>	<b>918</b>	<b>-</b>	<b>-</b>	<b>175</b>	<b>1,056</b>	<b>-</b>	<b>15</b>	<b>145</b>	<b>201</b>	<b>144</b>	<b>2,654</b>
SFMTA Fleet Replacement	2,644		770			1,746	44	84				2,644
SFMTA Fleet Enhance & Expand	648		248							400		648
SFMTA Facilities Core Improvements	209		142							67		209
<b>Subtotal SFMTA (2)</b>	<b>3,502</b>	<b>-</b>	<b>1,160</b>	<b>-</b>	<b>-</b>	<b>1,746</b>	<b>44</b>	<b>84</b>	<b>-</b>	<b>467</b>	<b>-</b>	<b>3,502</b>
AC Transit Fleet Replacement	780			195		477	25	83				780
AC Transit Fleet Expansion	90			40		5				45		90
AC Transit Facility Repl/Rehab	268			106						162		268
<b>Subtotal AC Transit</b>	<b>1,138</b>	<b>-</b>	<b>-</b>	<b>341</b>	<b>-</b>	<b>481</b>	<b>25</b>	<b>83</b>	<b>-</b>	<b>207</b>	<b>-</b>	<b>1,138</b>
<b>Financing</b>	<b>200</b>					<b>200</b>						<b>200</b>
<b>TOTAL</b>	<b>7,494</b>	<b>918</b>	<b>1,160</b>	<b>341</b>	<b>175</b>	<b>3,483</b>	<b>70</b>	<b>182</b>	<b>145</b>	<b>875</b>	<b>144</b>	<b>7,494</b>

(1) Funding sources for BART Rail Cars were revised by Commission in January 2016 to redirect \$150 million of AB664 Bridge Tolls and BATA Project Savings to the BART Car Phase 1 Funding Plan (MTC Res. 4126), and to replace the bridge tolls with \$150 million of OBAG 2 STP/CMAQ funds transferred from the Phase 1 funding plan.

(2) SFMTA cost estimates adjusted to use regional cost estimates for buses and LRVs, and converted to year-of-expenditure dollars

## **Attachment A**

### **Revenue Sources and Assumptions**

- Local Funding:
  - BART: For BART, the approximately \$900 million local contribution is comprised of fare revenue and Proposition 1A High Speed Rail connecting operator funds approved for the rail car replacement project. In addition, \$175 million in funding will be provided by the Santa Clara Valley Transit Authority as part of their agreement to contribute to core capacity projects, as BART will soon extend into Santa Clara County and its residents will also benefit from these projects.
  - SFMTA: For SFMTA, the nearly \$1.2 billion in local revenues include existing sales tax and revenue bonds as well as anticipated future sales tax, vehicle license fee, and general obligation bonds. A task force has been convened by the San Francisco Mayor's Office and will be finalizing its recommendations by the close of 2013 with the expectation of going to the ballot in 2014 and 2016 to support this local contribution.
  - AC Transit: For AC Transit, the assumed local contribution of \$340 million would come from a portion of future Alameda County and Contra Costa sales tax measures and/or parcel tax augmentations for AC Transit operations and maintenance needs.
- Regional/Other Funding:
  - FTA/STP Funds: The program assumes \$3.5 billion in FTA formula and STP funds. These funds have historically supported transit capital replacement through the Transit Capital Priorities process. Generally, the program timeframe has been 2 to 3 years in duration. The proposed Core Capacity Challenge Grant Program investment strategy would extend the commitment for the identified projects to 15 years. The program would also accelerate FTA and STP funds availability through financing as needed; roughly \$200 million is assumed to pay for financing for timely delivery of this aggressive capital program. The proposal acknowledges the needs of other transit operators in the region by retaining an estimated 33% of expected FTA formula funds for the remaining operators. The proposal also retains funding for the BART Car Phase 1 and on-going fixed guideway priorities for rail and ferry operators. A portion of the federal funds for the BART Railcar Replacement and Expansion project may be programmed to BART's preventive maintenance or another capital project and exchanged for BART local funds deposited into the BART Railcar Replacement Funding

Exchange Account, depending on the cash flow needs of the project. The table below provides more detail.

Projected FTA/STP Revenues 2015-2030:	\$	7,549	
Core Capacity Challenge Grants	\$	3,283	43%
Core Capacity Financing Costs	\$	200	3%
BART & SFMTA Fixed Guideway Caps	\$	1,235	16%
Remaining BART Car Phase 1 Commitment	\$	331	4%
Other Operators	\$	2,500	33%
Total	\$	7,549	100%

- *AB664 Funds:* The program assumes \$70 million in AB664 bridge toll funds. These funds have historically supported transit capital replacement by contributing towards local matching funds for eligible operators in the Transit Capital Priorities process. Generally, the funds are programmed annually. The proposed Core Capacity Challenge Grant Program investment strategy would extend the commitment for the identified projects to 15 years, and would accelerate availability of these toll funds by frontloading AB664 revenues that are available as part of a Bay Area Toll Authority (BATA) revenue transfer to MTC in 2010.
- *BATA Project Savings:* With the completion of the Regional Measure 1 highway and bridge projects and the opening of the New East Span of the Bay Bridge, BATA project savings are proposed to be directed to the Core Capacity Challenge Grant Program. Staff has determined that these transit projects are eligible bridge improvement projects because they will improve functioning or use of one or more of the state-owned bridges. As such, these project expenditures, in an amount of \$250 million, are proposed to be added to BATA's long-range plan and budget. The total includes approximately \$182 million for Core Capacity Challenge Grant Program projects and \$68 million for the BART Rail Car Replacement Phase 1 project (MTC Resolution 4126, Revised).
- *SFO Net Operating Revenues:* A commitment dating from 1988 and the first rail extension agreement, MTC Resolution 1876, directs \$145 million of the net operating surplus generated by the San Francisco International Airport (SFO) BART Extension to fulfill the remaining SamTrans' capital contribution to the BART system, for the Warm Springs Extension. This proposal would direct these funds to BART's rail car purchase project, which includes rail cars for the Warm Springs extension project. The Warm Springs construction costs were met through other regional funding contributions as part of the 2008 MTC Resolution 3434 Strategic Plan. SFO Net Operating Revenue satisfies SamTrans buy-in to the BART District originally dedicated to the BART to Warm Springs project.

- Cap and Trade Revenues: AB 574 (Lowenthal) seeks to reserve California Cap and Trade allowance revenue from transportation fuels for transportation-related expenditures. Plan Bay Area included an estimated \$3.1 billion over the 28-year period as available to the region. While the distribution of funds to the MPO's has not yet been finalized, a draft framework and set of principles for Cap and Trade revenues, including \$875 million for the Core Capacity Challenge Grant program, has been proposed and is pending Commission approval.
- FTA New Starts Core Capacity: With the enactment of MAP-21, this new category of eligible projects was added to the New Starts Program. Projects eligible for this funding must expand capacity by at least 10% in existing fixed-guideway transit corridors that are already at or above capacity today, or are expected to be at or above capacity within five years. As part of Plan Bay Area's investment strategy, a reserve of \$660 million in New Starts was established for future East Bay and North Bay projects. BART's train control project aligns well with the intent of this new category of FTA competitive funding and the direction outlined in Plan Bay Area.

Date: December 18, 2013  
W.I.: 1512  
Referred By: PAC  
Revised: 01/27/16-C

### ABSTRACT

#### Resolution No. 4126, Revised

This resolution supersedes MTC Resolution No. 3918 by revising the Funding Plan for Phase 1 of the BART Rail Car Replacement Program, which includes a policy-level commitment of approximately \$871 million in regional funding in fiscal years 2006 through 2019. The funding framework for Phase 2 of the project established by Resolution No. 3918 is superseded by the investment plan included in the Core Capacity Challenge Grant Program, MTC Resolution No. 4123.

This Resolution includes the following attachments:

A – Principles for Phase 1 Funding Plan

B – Phase 1 Funding Plan

Attachment B of this resolution was revised by the Commission on January 27, 2016 to 1) revise the Funding Plan for Phase 1 of the BART Rail Car Replacement Program by reducing the commitment of FTA and STP funds by \$150 million and adding a new commitment of AB 664 Bridge Tolls and BATA Project Savings totaling \$150 million, and 2) to update the amounts programmed through FY 2015-16 and the amounts remaining to be programmed.

Further discussion of the BART Rail Car Replacement Program is contained in the Programming and Allocations Summary Sheet dated December 11, 2013 and January 13, 2016.

Date: December 18, 2013  
W.I.: 1512  
Referred by: PAC

Re: BART Rail Car Replacement Program

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4126

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code 66500 et. seq.; and

WHEREAS, the San Francisco Bay Area Rapid Transit District (BART) is a rapid transit district providing heavy rail transit service in the San Francisco Bay Area; and

WHEREAS, BART has approved Resolution No. 5134 regarding the BART Rail Car Replacement Program and therein agreed to the matters referenced in the succeeding WHEREAS and RESOLVED clauses; and

WHEREAS, BART wishes to replace 669 rail cars through its Rail Car Replacement Program (PROJECT); and

WHEREAS, BART and MTC wish to establish a funding framework and understanding for the PROJECT; and

WHEREAS, BART and MTC wish to establish a policy-level commitment of funding toward a PHASE 1 FUNDING PLAN in fiscal years 2011 through 2019 in order for BART to award a contract for the PROJECT; and

WHEREAS, MTC previously adopted MTC Resolution No. 3866, the Transit Coordination Implementation Plan; and

WHEREAS, pursuant to Resolution No. 3866, MTC may, at its discretion, withhold, restrict or re-program funds and allocations to an operator that has not made reasonable efforts to implement the requirements of Resolution No. 3866; now be it therefore,

RESOLVED, that BART and MTC have agreed to and approve the PRINCIPLES FOR PHASE 1 FUNDING PLAN set forth in Attachment A and incorporated herein; and be it further

RESOLVED, that BART and MTC have agreed to and approve the PHASE 1 FUNDING PLAN set forth in Attachment B and incorporated herein; and be it further

RESOLVED, that BART and MTC agree that MTC's commitment of funding for the PHASE 1 FUNDING PLAN for the PROJECT is limited to the total amount of MTC Funding shown in the PHASE 1 FUNDING PLAN ; and be it further

RESOLVED, that MTC agrees to program in a timely manner Federal Transit Administration (FTA) and Federal Highway Administration (FHWA) funds as set forth in Attachment B, subject to Congressional authorization and appropriation, availability of funds, and other critical regional transit capital needs in order to meet PHASE 1 FUNDING PLAN cash flow needs and minimize financing costs; and be it further

RESOLVED, that MTC may substitute other MTC-controlled funds in place of available FTA and FHWA funds specified in the PHASE 1 FUNDING PLAN; and be it further

RESOLVED, that BART agrees to use the funds as shown in Attachment B to meet the local match requirements of federal funds for the PHASE 1 FUNDING PLAN; and be it further

RESOLVED, that BART agrees to comply with all applicable local, state, and federal requirements for funds programmed by MTC; and be it further

RESOLVED, that BART and MTC agree to work with the Bay Area Partnership to ensure that the PROJECT funding plan will be developed and programmed in agreement with the region's overall approach to the Transit Capital Priorities program; and be it further

RESOLVED, that BART acknowledges that it has received regional funds from MTC to extend the life of some of its current fleet of rail cars so that they will remain in service while the replacement cars are being procured and delivered, and agrees to maintain its current fleet of rail

cars so that they will remain in service while the replacement cars are being procured and delivered; and be it further

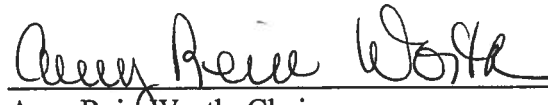
RESOLVED, that BART agrees that it will not request regional funds from MTC for a rehabilitation of its current fleet of railcars; and be it further

RESOLVED, that MTC may withhold amending annual PHASE 1 FUNDING PLAN funds in the Transportation Improvement Program if the Commission finds that BART is not in compliance with MTC Resolution No. 3866; and be it further

RESOLVED, that BART and MTC will work with the FTA to ensure the federal funds are available to the PROJECT; and be it further

RESOLVED, that this resolution supersedes MTC Resolution No. 3918.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Amy Rein Worth, Chair

This resolution was entered into  
by the Metropolitan Transportation  
Commission at a regular meeting of  
the Commission held in Oakland,  
California, on December 18, 2013.

Date: December 18, 2013  
W.I.: 1512  
Referred by: PAC  
Revised: 01/27/16-C

Attachment B  
Resolution No. 4126  
Page 1 of 1

## **PHASE 1 FUNDING PLAN FOR BART CAR REPLACEMENT PROGRAM**

### **BART Car Replacement Project Phase 1 Preliminary Funding Plan Summary \$000**

<b>Funding Source</b>	<b>Prior to FY16</b>	<b>FY16 - FY19</b>	<b>Total</b>
<b>MTC Funding</b>			
FTA Formula Programs	370,189	250,811	621,000
FHWA Regional Discretionary Programs	97,474	2,526	100,000
AB 664 Bridge Tolls & BATA Project Savings	-	150,000	150,000
Subtotal MTC Funding *	467,663	403,337	871,000
BART Funding	204,900	93,100	298,000
Total Funding	672,563	496,437	1,169,000

\* MTC Fundng includes programming to other BART projects in exchange for BART funds deposited into the BART Car Replacement Funding Exchange Account, and earnings credited to the account.

Date: December 17, 2014  
W.I.: 1512  
Referred By: PAC  
Revised: 01/28/15-C 03/25/15-C  
05/27/15-C 07/22/15-C  
09/23/15-C 10/28/15-C  
01/27/16-C

### ABSTRACT

#### Resolution No. 4162, Revised

This resolution approves the FY2014-15 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The program includes projects funded with FTA Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities. In addition, Surface Transportation Program Cycle 2 Transit Capital Rehabilitation funds are being programmed in MTC Resolution No. 4035, Revised, and AB 664 Bridge Toll revenues are programmed in MTC Resolution No. 4163 for FY2014-15 Transit Capital Priorities projects.

This Resolution includes the following attachment:

#### Attachment A – FY2014-15 Program of Projects

This resolution was revised on January 28, 2015 to reprogram \$24.8 million from SFMTA's fixed guideway rehabilitation projects towards SFMTA's light rail vehicles (LRV) purchase.

This resolution was revised on March 25, 2015 to program \$10.5 million in San Jose urbanized area 5337 funds, previously held in a vehicle procurement reserve for Caltrain's Railcar Replacement project, to VTA for preventive maintenance.

This resolution was revised on May 27, 2015 to make minor programming changes requested by the operators, which are consistent with the TCP policy.

This resolution was revised on July 22, 2015 to make minor programming changes, to transfer funds between SolTrans' projects, which are consistent with the TCP policy.

This resolution was revised on September 23, 2015 to reprogram \$24.7 million from SFMTA's LRV purchase (previously programmed on January 28, 2015 to serve as a back-stop for the

## ABSTRACT

MTC Resolution No. 4162, Revised

Page 2

receipt of Cap and Trade funds), back to the fixed guideway rehabilitation projects they were originally programmed to.

This resolution was revised on October 28, 2015 to make minor revisions to the Transit Capital Priorities program for FY2014-15 to reconcile the program to final FTA apportionments.

This resolution was revised on January 27, 2016 to re-program \$10,770,994 previously reserved for Caltrain's Positive Train Control/Electrification project to Caltrain's Systemwide Track Rehab and Related Structures and Signal/Communication Rehab and Upgrades projects. The changes have been highlighted under Attachment A to this resolution.

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocations Committee summary sheet dated December 10, 2014, January 14, 2015, March 11, 2015, May 13, 2015, July 8, 2015, September 9, 2015, October 14, 2015, and January 13, 2016.

Date: December 17, 2014  
W.I.: 1512  
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 4162

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 small urbanized area funds of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4140; and


WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2014-15 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachment A; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Amy Rein Worth, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on December 17, 2014.

FY 2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<b>Actual Apportionments</b>			<b>208,447,779</b>	<b>171,411,774</b>	<b>13,021,534</b>
<b>Previous Year Carryover</b>			<b>7,663,919</b>	<b>0</b>	<b>695,353</b>
<b>Funds Available for Programming</b>			<b>216,111,698</b>	<b>171,411,774</b>	<b>13,716,887</b>
<b>Lifeline Set-Aside (JARC Projects)</b>					
To be programmed	To be programmed	Reserved for future programming in Lifeline Transportation Program Cycle 4.	2,889,856		
<b>ADA Operating Set-Aside</b>					
ALA990076	AC Transit	ADA Set-aside	3,913,691		
ALA050042	ACE	Preventive Maintenance	8,836		
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improve	2,678,954		
REG090051	Caltrain	Revenue Vehicle Rehab Program	163,267		
CC-99T001	CCCTA	ADA Set-aside	1,178,716		
CC-030035	ECCTA	ADA Set-aside	523,153		
MRN130015	GGBHTD	Transit System Enhancements	307,963		
ALA990077	LAVTA	ADA Set-aside	335,328		
MRN110047	Marin Transit	ADA Set-aside	461,944		
NAP030004	Napa VINE	ADA Set-aside	38,496		
SON150007	Petaluma Transit	ADA Set-aside	82,649		
SM-990026	SamTrans	ADA Set-aside	1,112,576		
SM-070049	SamTrans	Facility/Equipment Rehab/Replacement	416,000		
SM-150008	SamTrans	Replacement of Non-Revenue Vehicles	319,200		
SF-990022	SFMTA	ADA Set-aside	3,990,682		
SOL110025	SoiTrans	ADA Set-aside	302,177		
New	Sonoma City Transit	ADA Set-aside	28,888		
New	Union City Transit	ADA Set-aside	128,318		
SCL050046	VTA	ADA Set-aside	3,645,530		
CC-990045	WestCat	ADA Set-aside	243,804		
New	WETA	Ferry Major Component Rehab/Replacement	5,133		
<b>Total Program Set-asides and Commitments</b>			<b>22,775,161</b>	<b>0</b>	<b>0</b>
<b>Funds Available for Capital Programming</b>			<b>193,336,537</b>	<b>171,411,774</b>	<b>13,716,887</b>
<b>Capital Projects</b>					
ALA010034	AC Transit	Replace CAD/AVL/Radio System	8,567,594		
ALA150018	AC Transit	Replace (25) 40ft Urban Buses - Hybrids	9,940,433		
ALA150018	AC Transit	Replace (40) 40ft Urban Buses - Diesels	13,953,720		
ALA150013	AC Transit	Purchase (15) 40ft Expansion Urban Buses - Diesels	5,232,645		
ALA990052	AC Transit	ADA Paratransit Van Replacement	1,363,034		
REG110044	ACE	Positive Train Control		1,240,810	
REG050020	BART	BART Car Exchange Preventive Maintenance	1,202,349	51,469,449	
BRT030004	BART	Train Control		11,000,000	
BRT030005	BART	Traction Power		4,000,000	
BRT97100B	BART	Rail, Way, and Structures Program		14,875,097	
ALA090065	BART	Fare Collection Equipment		6,000,000	
REG090037	BART	Railcar Replacement		500,000	
SF-010028	Caltrain	Railcar Replacement		5,234,766	
SM-03006B	Caltrain	Systemwide Track Rehab and Related Structures		10,210,994	
SM-050041	Caltrain	Signal/Communication Rehab & Upgrades		560,000	
CC-150006	CCCTA	Replace (18) 30' Buses	5,995,811		852,829
CC-150007	CCCTA	Replace (13) 35' Buses	5,106,140		
CC-150008	CCCTA	Replace (3) Paratransit Vans	295,200		
REG090045	Clipper	Golden Gate Bus - Fare Collection Equipment Replacement	918,823		
REG090045	Clipper	AC Transit - Fare Collection Equipment Replacement	4,000,957		
REG090045	Clipper	MTC - Fare Collection Back Office Equipment Replacement		2,315,228	
REG090045	Clipper	SFMTA - Fare Collection Equipment Replacement		2,538,052	
REG090045	Clipper	Golden Gate Ferry - Fare Collection Equipment Replacement		195,958	
REG090045	Clipper	Golden Gate Bus - Fare Collection Equipment Replacement		1,228,907	
CC-070092	ECCTA	Replace (5), 45' diesel, over the road coaches	2,038,393		450,307
CC-070092	ECCTA	Replace (20) Ford four year gas cutaway/vans	1,410,400		
CC-070092	ECCTA	Replace (30) MDTs for paratransit fleet	360,000		
SOL100006	Fairfield	Fairfield Operating Assistance	2,417,873		
SOL110041	Fairfield	(2) 40' Transit Hybrid Buses			284,891
MRN990017	GGBHTD	Ferry Channel and Berth Dredging		5,200,000	
MRN030010	GGBHTD	Fixed Guideway Connectors		4,000,000	
ALA150017	LAVTA	Replace (5) 2000 40' Diesel Vehicles with 5 40' Hybrids	2,594,228		513,572
ALA150015	LAVTA	Replace (4) 2002- Over the Road Diesel vehicles with 4 40' Hybrids	2,486,240		
ALA150014	LAVTA	Replace (4) 2002- Low Floor Diesel vehicles with 4 40' Hybrids	2,486,240		
ALA150016	LAVTA	Replace (7) 2003- Diesel vehicles with 7 40' Hybrids	4,350,920		
MRN150001	Marin Transit	Replace (9) ADA Paratransit Vehicles	634,680		
MRN150002	Marin Transit	Replace (3) Stage Coach Vehicles	364,080		
MRN150003	Marin Transit	Install fareboxes on Marin County Paratransit Vehicles	76,260		
MRN150003	Marin Transit	Install fareboxes on Marin County Dial-A-Ride Vehicles	22,960		
MRN150003	Marin Transit	Replace Marin Transit Fixed Route Fareboxes	34,440		
MRN150001	Marin Transit	Replace Paratransit Radios	49,200		
MRN150001	Marin Transit	Replace Paratransit MDTs	29,520		
NAP090008	Napa Vine	Equipment Replacement & Upgrades			174,228
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,477,490		

FY 2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<b>Capital Projects, continued</b>					
SON150004	Petaluma	(1) 40' Diesel Electric Replacement Standard Bus	494,701		126,859
SON090030	Petaluma	AVL/CAD Communications System	352,302		
SON150005	Petaluma	Purchase new Bus Radios	1,476		
SM-150005	Samtrans	Replacement of (60) 2003 Gillig Buses	20,000,000		
SM-110068	Samtrans	Replacement of (55) NABI articulated buses	20,000,000		
SON150008	Santa Rosa	Replace 40' New Flyer buses with new 40' Diesel Buses	154,203		273,017
SON150008	Santa Rosa	Equip new fixed route fleet buses with farebox	24,000		
SON150008	Santa Rosa	Equip new fixed route fleet buses with radio systems	60,000		
SON030012	Santa Rosa	Security improvements for access at bus stops	43,724		
SON090023	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,645,512		
SON090024	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	408,030		
SON030012	Santa Rosa	Santa Rosa CityBus: Transit Enhancements	24,379		
SF-150004	SFMTA	Station-Area Pedestrian and Bicycle Access Improvements	500,000		
SF-95037B	SFMTA	Muni Rail Replacement		6,316,972	
SF-030013	SFMTA	Wayside Fare Collection		1,000,000	
SF-970170	SFMTA	Overhead Line Rehabilitation		10,481,371	
SF-050024	SFMTA	Wayside/Central Train Control & Trolley Signal Systems Rehabilitation		5,000,000	
SF-99T002	SFMTA	Cable Car Infrastructure		1,000,000	
SF-970073	SFMTA	Cable Car Renovation Program		960,000	
SF-150005	SFMTA	Replacement of (67) 40' Motor Coaches	5,625,263		6,874,737
SF-150006	SFMTA	Replacement of (98) 60' Motor Coaches	20,000,000		
SOL110040	Soltrans	Operating Assistance	5,584,630		
SOL090033	Soltrans	Maintenance Facility			387,398
SON030005	Sonoma County	SCT Preventive Maintenance Program	1,248,007		
SON110049	Sonoma County	Replacement of (1) CNG 40-Foot Heavy-Duty Bus in SCT's Fixed-Route Fleet	442,294		197,701
SON050021	Sonoma County	Installation of Passenger Shelters and Other Amenities at Various SCT Bus Stops	17,654		
ALA130033	Union City	Union City: Replacement of Two (2) Transit Buses	588,728		
SOL010007	Vacaville	Operating Assistance	985,000		
SCL050045	VTA	ADA Bus Stop Improvements	358,222		
SCL050001	VTA	(61) 40' Hybrid Bus Procurement	30,683,245		3,187,275
SCL990046	VTA	Preventive Maintenance	1,845,840	10,625,493	
SCL050002	VTA	Rail Replacement Program		943,088	
SCL110104	VTA	Light Rail Track Crossovers and Switches		2,179,440	
SCL150011	VTA	North First Street Corridor Light Rail Speed Improvements		400,000	
SCL150005	VTA	Train to Wayside Communication System Upgrade		200,000	
SCL150007	VTA	Upgrade Ohlone/Chynoweth Interlocking		960,000	
SCL150008	VTA	Track Intrusion Abatement		1,600,000	
SCL150009	VTA	LR Signal Shop Modification		396,000	
SCL150010	VTA	Upgrade LR Ring #1 Communications Equipment		1,760,000	
SCL150006	VTA	Back-up Power Devices for Elevated Station		320,000	
CC-150001	WestCat	Replacement of (10) Cut Away Vans	984,000		
CC-150004	WestCat	Replacement of (1) 40 Ft Revenue Vehicle	427,220		
CC-150005	WestCat	Replacement of (1) 40 Ft Revenue Vehicle	497,740		
CC-150002	WestCat	Purchase of (10) Radio systems for (10) Cut Away Van's	8,000		
CC-150003	WestCat	Purchase of (2) Fast Fare Electronic Fareboxes	28,498		
CC-030025	WestCat	Preventive Maintenance	232,200		
REG090057	WETA	Ferry Major Component Rehab/Replacement		3,496,000	
REG090055	WETA	Ferry Propulsion System Replacement		2,288,000	
REG090067	WETA	Fixed Guideway Connectors		376,000	
<b>Total Capital Projects</b>			<b>190,674,498</b>	<b>170,871,625</b>	<b>13,322,814</b>
<b>Total Programmed</b>			<b>213,449,659</b>	<b>170,871,625</b>	<b>13,322,814</b>
<b>Fund Balance</b>			<b>2,662,039</b>	<b>540,149</b>	<b>394,073</b>

Date: December 17, 2014

W.I.: 1512

Referred by: PAC

Revised: 01/28/15-C

03/25/15-C

05/27/15-C

07/22/15-C

09/23/15-C

01/27/16-C

Attachment A

Resolution No. 4162

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**FY2014-15 Transit Capital Priorities / Transit Capital Rehabilitation Program Notes**

1. Apportionment projections are based on 0% escalation relative to FY14 apportionments provided by the current extension of MAP-21. The program will be reconciled to the final apportionments after they are released by FTA.
2. Operators in the Fairfield, Napa, Santa Rosa and Vacaville Urbanized Areas did not wish to participate in the ADA operating set-aside programming element at the time the current ADA set-aside formula was developed. Future revisions to the ADA set-aside formula may include operators in these urbanized areas.
3. Programming for Santa Rosa CityBus and Sonoma County Transit in FY15 is based on a renegotiated agreement to share apportionments in the Santa Rosa urbanized area between the two agencies.
4. AC Transit: \$5M provisionally programmed for CAD-AVL System project pending discussions with AC Transit and ACTC on funding plan for CCCGP projects that were to be funded with Cap & Trade and local funds in CCCGP funding plan.
5. ACE: \$146,190 of FY15 FG cap deferred by formula based on grant balances to FY17.
6. BART: \$13,194,931 of FY15 FG cap deferred by formula based on grant balances to FY18.
7. Caltrain: \$1,835,506 of FG cap deferred by formula based on grant balances to FY17.
8. Caltrain: Reserved \$10.7 million FG cap for Electrification consistent with HSR/CalMod MOU, pending potential revision of Electrification funding plan. On January 27, 2016, this resolution was revised to program this \$10.7 million reserved for Caltrain's Positive Train Control/Electrification project, to Caltrain's Systemwide Track Rehab & Related Structures and Signal/Communication Rehabilitation and Upgrades projects.
9. Caltrain/VTA: On December 17, 2014, the Commission directed staff to withhold programming these funds into the TIP. Staff is directed to return in two months with an update on the schedule and funding plan for Caltrain's railcars and Electrification project that reflects additional work by MTC and the Joint Powers Board member agencies, and to confirm the programming approach for the \$10.5 million for the railcar vehicles.  On March 25, 2015, the Commission programmed the \$10,469,721 that were held in a Vehicle Procurement Reserve for Caltrain's Railcar Replacement project, to VTA for Preventive Maintenance with the following conditions:  1. VTA's agreement that one-third of Caltrain's Transit Capital Priorities (TCP) programming needs, including: a) electric vehicle procurement needs over the life of the railcar project, b) fixed guideway caps, and c) ADA operating set-asides, will be programmed from San Jose and Gilroy-Morgan Hill urbanized area (UA) funds. The VTA share of the railcars may be higher than one-third in certain years to help resolve shortfalls in the San Francisco – Oakland UA, but will be equal to one-third of total project costs. MTC shall strive to balance local shares within 10 years. The total regional-funded cost is currently estimated at \$365 million.  2. VTA's agreement that it will use non-TCP sources for their capital needs that are not covered by TCP funds, or reduce its use of TCP funds for preventive maintenance so that VTA's capital needs are covered with TCP funds, for the duration of Caltrain's Railcar Replacement project.
10. GGBHTD: Voluntarily deferred \$23,628,000 of fixed guideway cap funds from FY11 through FY15 to FY17. These funds will have priority for programming in FY17 as a prior-year commitment.
11. SFMTA: Voluntarily deferred \$15,000,000 of its FY15 fixed guideway cap to FY18; also deferred their 21 40ft Trolley Coach procurement to FY15-16 in response to MTC's request for deferral of projects to reduce shortfall. An additional \$1,518,629 of SFMTA's FY15 FG Cap was deferred by formula based on grant balances to FY17.
12. SFMTA: \$500k programmed to Station Bike and Pedestrian Improvements project in exchange for \$500k of SFMTA revenue bond funds for FG cap projects.
13. WestCAT exercised the Capital Exchange element of the TCP policy by deferring replacement of six 2002 40' diesel vehicles until FY16-17. Total savings to the region equals \$464,600. WestCAT will utilize the option for using 50% (\$232,300) of these savings for a non Score 16 project, preventive maintenance.
14. WETA: Voluntarily deferred \$3,424,000 of FG cap to FY17.
15. SFMTA received \$41.2 million in TIRCP (Cap and Trade) funds in June 2015. The TCP funds (\$24.7 million), that were intended as a back-stop for the Cap and Trade funds, were therefore reprogrammed to the projects they were originally programmed to i.e. SFMTA's fixed guideway rehabilitation projects (see note 15 above). As a result of this, note 15 no longer applies and was therefore deleted.

Date: December 17, 2014  
W.I.: 1514  
Referred By: PAC  
Revised: 01/28/15-C 07/22/15-C  
09/23/15-C 10/28/15-C  
01/27/16-C

ABSTRACT

Resolution No. 4163, Revised

This resolution establishes the AB 664 Net Bridge Toll Revenues program of projects for FY2014-15. The initial program consists of \$5,219,167 being programmed to AC Transit towards their fleet replacement consistent with the Core Capacity Challenge Grant Program funding plan. The initial program also consists of \$67,304 in savings from the original allocation to the region for the Regional Transit Capital Inventory project in FY2006-07 that has lapsed and is now being re-programmed towards the same project. This resolution will be amended to add the remainder of the FY2014-15 AB 664 program in conjunction with final revisions to the FY2014-15 Transit Capital Priorities program.

The following attachment is provided with this resolution:

Attachment A. Program of AB 664 Net Bridge Toll Revenue Projects FY2014-15

This resolution was revised on January 28, 2015 to program \$44 million towards SFMTA's light rail vehicles (LRV) purchase.

This resolution was revised on July 22, 2015 to re-program \$237,424 in expired funds to SFMTA.

This resolution was revised on September 23, 2015 to reduce the programming of the AB 664 funds previously programmed to SFMTA for their LRV project on January 28, 2015, by \$16,422,657.

This resolution was revised on October 28, 2015, to add the remainder of the FY2014-15 AB 664 program based on the final revisions to the FY2014-15 Transit Capital Priorities program. This resolution was also revised to reprogram approximately \$1.3 million in lapsed funds to BART from the FY11-12 program.

This resolution was revised on January 27, 2016, to reprogram \$601,223 in lapsed funds to SFMTA from the FY11-12 program and \$389,114 in lapsed funds to AC Transit from the FY11-12 program. This resolution was also revised to reduce the programming of the AB 664 funds previously programmed to SFMTA for their LRV project on January 28, 2015, by \$5,500,000.

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated December 10, 2014, January 14, 2015, July 8, 2015, September 9, 2015, October 14, 2015 and January 13, 2015.

Date: December 17, 2014  
W.I.: 1514  
Referred by: PAC

RE: Programming of AB 664 Net Bridge Toll Revenues in Fiscal Year 2014-15

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4163

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq., and

WHEREAS, pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate toll bridge net revenues to public entities operating public transportation systems to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

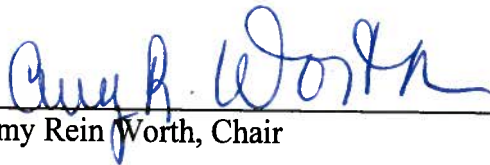
WHEREAS, pursuant to Streets and Highways Code § 30894, MTC has adopted MTC Resolution No. 4015, which sets forth MTC's Bridge Toll Revenue Allocation Policy; and

WHEREAS, MTC has adopted a transit capital priorities program which set forth the priorities for funding transit capital projects in the Transportation Improvement Program (TIP); and

WHEREAS, "claimants" certify that their respective projects programmed in the TIP are in conformance with MTC's Regional Transportation Plan, with the requirements of the California Environmental Quality Act (Public Resources Code § 2100 et seq.) and the State EIR Guidelines (14 Cal. Admin. Code § 15000 et seq.); now therefore, be it

RESOLVED, that MTC approves the FY2014-15 programming of AB 664 Net Bridge Toll Revenues to the claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Amy Rein Worth, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held in  
Oakland, California on December 17, 2014.

Date: December 17, 2014

W.I.: 1514

Referred by: PAC

Revised 01/28/15-C 07/22/15-C

09/23/15-C 10/28/15-C

01/27/16-C

Attachment A

Resolution No. 4163

Page 1 of 2

## PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS

FY2014-15 Program			East Bay	West Bay
	Revenue Projections		\$6,819,167	\$22,777,343
	Previous Year Carry-Over (if any)			
	Expirations and Rescissions		\$1,759,419	\$868,647
	Total Funds Available		\$8,578,586	\$23,645,990
Sponsor	Eligible Capital Projects	Fund Source		
Current Year Programming				
AC Transit	Replace (25) 40ft Urban Buses - Hybrids	AB664		
	Total Amount Programmed to AC Transit		5,219,167	
AC Transit	CAD-AVL Project	AB664		
	Total Amount Programmed to AC Transit - Other projects <sup>7</sup>		389,114	
Region	Regional Transit Capital Inventory <sup>1</sup>	AB664		
	Total Amount Programmed to the Region		37,304	30,000
SFMTA	Light Rail Vehicle Purchase <sup>2,4</sup>	AB664		
	Total Amount Programmed to SFMTA's Light Rail Vehicle Purchase project			22,077,343
SFMTA	Cable Car Vehicle Renovation	AB664		
SFMTA	Cable Car System Rehabilitation	AB664		
SFMTA	Central Control & Communication (C3)	AB664		
SFMTA	Escalator Rehabilitation	AB664		
SFMTA	Historic Vehicle Rehabilitation	AB664		
SFMTA	ITS Radio System Replacement	AB664		
SFMTA	Potrero-Presidio Hoists	AB664		
SFMTA	Light Rail Vehicle Safety Modifications	AB664		
SFMTA	Motor Coach Replacement	AB664		
SFMTA	Paratransit Van Replacement	AB664		
SFMTA	Rail Replacement Projects	AB664		
SFMTA	Security Projects	AB664		
SFMTA	Safety Projects	AB664		
SFMTA	Trolley Car Replacement	AB664		
SFMTA	Trolley Overhead Rehabilitation Projects	AB664		
SFMTA	Wayside Train Control Equipment Rehab and Replacement	AB664		
SFMTA	Wayside Fare Collection Equipment Rehab and Replacement	AB664		
	Total Amount Programmed to SFMTA - Other projects <sup>5,6</sup>			838,647
CCCTA	Replace (18) 30' Buses	AB664		
CCCTA	Replace (13) 35' Buses	AB664		
CCCTA	Replace (3) Paratransit Vans	AB664		
	Total Amount Programmed to CCCTA		512,363	
ECCTA	Replace (5), 45' diesel, over the road coaches	AB664		
ECCTA	Replace (20) Ford four year gas cutaway/vans	AB664		
ECCTA	Replace (30) MDTs for paratransit fleet	AB664		
	Total Amount Programmed to ECCTA		178,139	
LAVTA	Replace (5) 2000 40' Diesel Vehicles with 5 40' Hybrids	AB664		
LAVTA	Replace (4) 2002- Over the Road Diesel vehicles with 4 40' Hybrids	AB664		
LAVTA	Replace (4) 2002- Low Floor Diesel vehicles with 4 40' Hybrids	AB664		
LAVTA	Replace (7) 2003- Diesel vehicles with 7 40' Hybrids	AB664		
	Total Amount Programmed to LAVTA		519,943	
Soltrans	Maintenance Facility	AB664		
	Total Amount Programmed to Soltrans		16,203	
Union City	Union City: Replacement of Two (2) Transit Buses	AB664		
	Total Amount Programmed to Union City		24,624	
WestCat	Replacement of (10) Cut Away Vans	AB664		
WestCat	Replacement of (1) 40 Ft Revenue Vehicle	AB664		
WestCat	Replacement of (1) 40 Ft Revenue Vehicle	AB664		
WestCat	Purchase of (10) Radio systems for (10) Cut Away Van's	AB664		
WestCat	Purchase of (2) Fast Fare Electronic Fareboxes	AB664		
WestCat	Preventive Maintenance	AB664		
	Total Amount Programmed to WestCAT		91,082	
WETA	Ferry Major Component Rehab/Replacement - Gemini & Pisces	AB664		
WETA	Ferry Major Component Rehab/Replacement - Scorpio & Taurus	AB664		
WETA	Ferry Propulsion System Replacement	AB664		
WETA	Ferry Major Component Rehab/Replacement - Solano	AB664		
WETA	Fixed Guideway Connectors	AB664		
	Total Amount Programmed to WETA		257,646	
SamTrans	Replacement of (60) 2003 Gillig Buses	AB664		
SamTrans	Replacement of (55) NABI articulated buses	AB664		
	Total Amount Programmed to SamTrans			700,000
BART	Preventive Maintenance	AB664		
BART	ADA Paratransit Capital Accessibility Improvements	AB664		
BART	Traction Power	AB664		
BART	Track Replacement Rehabilitation	AB664		
BART	Replacement of Fixed Guideway Elements and Fare Collection Equipment	AB664		
	Total Amount Programmed to BART <sup>5</sup>		1,333,001	
Fund Balance			\$0	\$0

Notes:

1. Includes reallocation of lapsed savings of \$79,000 from #07-3768-8/5850 and 07-3768-13/5850 07/26/06.
  2. This programming action is conditioned on:
    - a. SFMTA is required to provide \$57 million in their local funds, which could include SFMTA Revenue Bonds, development impact fees and other non-federal sources towards, the cost of the LRV purchase.
    - b. The regional programming will serve as a back-stop for Cap and Trade (C&T) funds. SFMTA will make good faith efforts to obtain a Letter of No Prejudice or other commitment from the California State Transportation Agency to maintain eligibility of the LRVs for the C&T Transit and Intercity Rail program, and to pursue C&T funding for the LRVs when C&T funding is made available.
    - c. If C&T funds are secured for the expansion LRVs, the \$22 million of AB 664 and \$84 million of BATA project savings will be restored to SFMTA's LRV replacement project in accordance with the Core Capacity Challenge Grant Program commitment.
    - d. If C&T funds are not secured for the expansion LRVs, SFMTA will replace the \$22 million of AB 664 and \$84 million of BATA project savings for SFMTA's LRV replacement project with local funds.
    - e. If C&T funds are not secured for the expansion LRVs, SFMTA agrees to develop an agreement with MTC on the terms of the replacement funding for the LRV replacement projects.
- MTC reserves the right to withhold allocation of the AB 664 and BATA project savings funds if these conditions are not met.
3. Includes reallocation of lapsed savings of \$237,424 from #11-4014-08/5850 06/22/11.
  4. SFMTA received \$41.2 million in TIRCP (Cap and Trade) funds in June 2015. The TCP funds and the AB 664 funds programmed to the LRV project on January 28, 2015, were intended as a backstop for the Cap and Trade funds (see note 2 above). After restoring the \$24.7 million of TCP funds to SFMTA's fixed guideway rehabilitation projects, \$16.4 million in AB664 funds were de-programmed for future programming to SFMTA's fleet replacement projects in accordance with the Core Capacity Challenge Grant Program. This \$16.4 million was programmed to SFMTA's fleet replacement project as part of Resolution No. 4213 in January 2016. In January 2016, \$5.5 million of the AB664 funds on the LRV project were de-programmed and replaced with SF Prop B General Fund set-aside funds; this \$5.5 million was programmed to SFMTA's fleet replacement project as part of Resolution No. 4213 in January 2016, conditioned on budgeting of \$5.5 million of Prop B funds by SFMTA to replace AB 664 for the LRVs.
  5. Includes reprogramming of lapsed savings of \$1,333,001 from 12-4044-03/5850 06/27/12.
  6. Includes reprogramming of lapsed savings of \$101,498 from 12-4044-01/5850 and \$499,725 from 12-4044-09/5850 06/27/12.
  7. Includes reprogramming of lapsed savings of \$389,114 from 12-4044-02/5850 06/27/12.

Date: December 17, 2014  
W.I.: 1514  
Referred by: PAC  
Revised: 07/22/15-C  
10/28/15-C  
01/27/16-C

ABSTRACT

Resolution No. 4165, Revised

This resolution allocates AB 664 Net Bridge Toll Revenues to eligible transit operators for FY2014-15. The initial allocations consist of \$5,219,167 to AC Transit towards their fleet replacement consistent with the Core Capacity Challenge Grant Program funding plan, and \$67,304 to the region for the Regional Transit Capital Inventory project (this was part of the original allocation to the region for the Regional Transit Capital Inventory project in FY2006-07 that has lapsed and is now being re-allocated towards the same project). This resolution will be amended to add the remainder of the FY2014-15 AB 664 allocations in conjunction with final revisions to the FY2014-15 Transit Capital Priorities program.

The following attachment is provided with this resolution:

Attachment A. Allocation of AB 664 Net Bridge Toll Revenue FY2014-15

This resolution was revised on July 22, 2015 to re-allocate \$237,424 in expired funds to SFMTA.

This resolution was revised on October 28, 2015, to allocate the remainder of the FY2014-15 AB 664 program based on the final revisions to the FY2014-15 Transit Capital Priorities program. This resolution was also revised to reallocate approximately \$1.3 million in lapsed funds to BART from the FY11-12 program.

This resolution was revised on January 27, 2016, to reallocate \$601,223 in lapsed funds to SFMTA from the FY11-12 program and \$389,114 in lapsed funds to AC Transit from the FY11-12 program.

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated December 10, 2014, July 8, 2015, October 14, 2015 and January 13, 2016.

Date: December 17, 2014  
W.I.: 1514  
Referred by: PAC

RE: Allocation of AB 664 Net Bridge Toll Revenues for FY 2014-15

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4165

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code § 66500 et seq.; and

WHEREAS, MTC is responsible for the allocation of certain bridge toll revenues, to wit:

(1) Pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate certain toll bridge net revenues to public entities operating public transportation systems to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, MTC Resolution No. 4015 sets forth MTC's bridge toll revenue allocation policies; and

WHEREAS, pursuant to Streets and Highways Code § 30895, MTC has prepared and submitted to the Legislature a report on the capital planning and ferry system objectives of MTC to be achieved through the allocation of net toll revenues; and

WHEREAS, "Claimants" have each submitted an application to MTC for an allocation of net bridge toll revenues in FY2014-15 for the projects and purposes set forth in Attachment A to this resolution, attached hereto and in MTC Resolution No. 4163, and incorporated herein as though set forth at length; and

WHEREAS, MTC Resolution No. 4163 programs Net Bridge Toll Revenues for FY2014-15; and

WHEREAS, claimants certify that their respective projects and purposes set forth in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code § 21000 et seq.) and the State EIR Guidelines (14 Cal. Code Regs. § 15000 et seq.); now, therefore, be it

RESOLVED, that MTC finds that the Claimants' projects and purposes as set forth in Attachment A are in conformance with MTC's Regional Transportation Plan, MTC's bridge toll revenue allocation policies, and MTC's capital planning and ferry system objectives; and, be it further

RESOLVED, that MTC approves the allocation of net bridge toll revenues in FY2014-15 to Claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution and consistent with MTC Resolution 4163.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Amy Rein Worth, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held in  
Oakland, California on December 17, 2014.

Date: December 17, 2014  
W.I.: 1514  
Referred by: PAC  
Revised: 07/22/15-C  
10/28/15-C  
01/27/16-C

Attachment A  
Resolution No. 4165  
Page 1 of 1

**ALLOCATION OF AB 664 NET BRIDGE TOLL REVENUE  
FY 2014-15 Program**

PO/Acct. Code	Project Sponsor	Project	East Bay Allocation	West Bay Allocation	Approval Date
<b>Match to Federal Transit Capital Funds</b>					
15-4165-01/5850	MTC (1)	Match to capital projects programmed in MTC Resolution No. 4163 -Regional Transit Capital Inventory	37,304	30,000	12/17/14
15-4165-02/5850	AC Transit	Match to capital projects programmed in MTC Resolution No. 4163 - Replace (25) 40ft Urban Buses - Hybrids	5,219,167		12/17/14
15-4165-03/5850	SFMTA (2)	Match to capital projects programmed in MTC Resolution No. 4163		237,424	7/22/15
15-4165-04/5850	CCCTA	Match to capital projects programmed in MTC Resolution No. 4163	512,363		10/28/15
15-4165-05/5850	ECCTA	Match to capital projects programmed in MTC Resolution No. 4163	178,139		10/28/15
15-4165-06/5850	LAVTA	Match to capital projects programmed in MTC Resolution No. 4163	519,943		10/28/15
15-4165-07/5850	SamTrans	Match to capital projects programmed in MTC Resolution No. 4163		700,000	10/28/15
15-4165-08/5850	SolTrans	Match to capital projects programmed in MTC Resolution No. 4163	16,203		10/28/15
15-4165-09/5850	Union City	Match to capital projects programmed in MTC Resolution No. 4163	24,624		10/28/15
15-4165-10/5850	WestCat	Match to capital projects programmed in MTC Resolution No. 4163	91,082		10/28/15
15-4165-11/5850	WETA	Match to capital projects programmed in MTC Resolution No. 4163	257,646		10/28/15
15-4165-12/5850	BART (3)	Match to capital projects programmed in MTC Resolution No. 4163	1,333,001		10/28/15
15-4165-13/5850	SFMTA (4)	Match to capital projects programmed in MTC Resolution No. 4163		601,223	
15-4165-13/5850	AC Transit (5)	Match to capital projects programmed in MTC Resolution No. 4163	389,114		
					<b>Grand Total</b>
Total Allocations			8,578,586	1,568,647	10,147,233

Notes:

1. Includes reallocation of lapsed savings of \$67,304 from #07-3768-8/5850 and 07-3768-13/5850 07/26/06.
2. Includes reallocation of lapsed savings of \$237,424 from #11-4014-08/5850 06/22/11.
3. Includes reallocation of lapsed savings of \$1,333,001 from #12-4044-03/5850 06/27/12.
4. Includes reallocation of lapsed savings of \$101,498 from 12-4044-01/5850 and \$499,725 from 12-4044-09/5850 06/27/12.
5. Includes reallocation of lapsed savings of \$389,114 from 12-4044-02/5850 06/27/12.

Date: January 28, 2015  
W.I.: 1511  
Referred by: PAC  
Revised: 09/23/15-C  
01/27/16-C

ABSTRACT

Resolution No. 4169, Revised

This resolution establishes the program of projects for BATA Project Savings and allocates these funds to eligible projects.

The following attachment is provided with this resolution:

Attachment A. Program of Projects

Attachment B. Allocations of BATA Project Savings will be added to this resolution when the resolution is amended to allocate the programmed funds.

This resolution was revised on September 23, 2015 to update the conditions associated with the programming of \$84 million of BATA project savings to SFMTA's Light Rail Vehicle purchase (LRV) project, in order to reflect the updated amount of AB 664 funds programmed to the project.

This resolution was revised on January 27, 2016 to program and allocate \$24,922,916 in BATA Project Savings towards AC Transit's Fleet Replacement consistent with the Core Capacity Challenge Grant Program funding plan.

Further discussion of this action is contained in the MTC Programming and Allocations Committee summary sheet dated January 14, 2015, September 9, 2015 and January 13, 2016.

Date: January 28, 2015  
W.I.: 1511  
Referred by: PAC

RE: Programming and allocation of BATA Project Savings

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4169

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, pursuant to Streets and Highways Code (SHC) Section 31010(b), funds generated in excess of those needed to meet the toll commitments as specified by paragraph (4) of subdivision (b) of Section 188.5 of the SHC shall be available to BATA for funding projects consistent with SHC Sections 30913 and 30914; and

WHEREAS, the BATA Project Savings are bridge toll funds made available from project and financing savings on BATA’s Regional Measure 1 and Toll Bridge Seismic Retrofit programs; and

WHEREAS, MTC adopted Resolution No. 4123, Revised, which established an investment plan for MTC’s Transit Core Capacity Challenge Grant Program that targets federal, state, and regional funds to high-priority transit capital projects between FY2014-15 and FY2029-30, and as part of this investment plan, BATA Project Savings were assigned to certain projects; and

WHEREAS, BATA staff has determined that the Transit Core Capacity Challenge Grant Program is a bridge improvement project that improves the operations of the state-owned toll bridges; and

WHEREAS, BATA has adopted BATA Resolution No. 111, Revised, to amend the BATA budget to include the Transit Core Capacity Challenge Grant Program; and

WHEREAS, BATA has adopted BATA Resolution No. 72, Revised, to amend the BATA Long Range Plan to include the Transit Core Capacity Challenge Grant Program; now, therefore, be it

RESOLVED, that MTC approves the program of projects for BATA Project Savings, for the purposes, and subject to the conditions listed on Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and, be it further

RESOLVED, that MTC approves the allocation and reimbursement of BATA Project Savings in accordance with the amount, conditions and reimbursement schedule for the phase, and activities as set forth in Attachment B; and, be it further

RESOLVED, that should the allocation of BATA Project Savings be conditioned on the execution of a funding agreement, that the Executive Director or his designee is authorized to negotiate and enter into a funding agreement with claimant that includes the provisions contained in Attachment A and B.

METROPOLITAN TRANSPORTATION COMMISSION

A handwritten signature in blue ink, appearing to read "Amy Rein Worth", is written over a horizontal line.

Amy Rein Worth, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on January 28, 2015.

Date: January 28, 2015  
W.I.: 1511  
Referred by: PAC  
Revised: 09/23/15-C  
01/27/16-C

Attachment A  
Resolution No. 4169  
Page 1 of 2

## PROGRAM OF PROJECTS

### BATA Project Savings Project Commitments

Project	Date	Total	Conditions
SFMTA Fleet Expansion (Light Rail Vehicle purchase)	January 28, 2015	\$84,000,000	<p>a. SFMTA is required to provide \$57 million in their local funds, which could include SFMTA Revenue Bonds, development impact fees and other non-federal sources towards, the cost of the LRV purchase.</p> <p>b. The regional programming will serve as a back-stop for Cap and Trade (C&amp;T) funds. SFMTA will make good faith efforts to obtain a Letter of No Prejudice or other commitment from the California State Transportation Agency to maintain eligibility of the LRVs for the C&amp;T Transit and Intercity Rail program, and to pursue C&amp;T funding for the LRVs when C&amp;T funding is made available.</p> <p>c. If C&amp;T funds are secured for the expansion LRVs, the \$27.6 million of AB 664 and \$84 million of BATA project savings will be restored to SFMTA's LRV replacement project in accordance with the Core Capacity Challenge Grant Program commitment.</p> <p>d. If C&amp;T funds are not secured for the expansion LRVs, SFMTA will replace the \$27.6 million of AB 664 and \$84 million of BATA project savings for SFMTA's LRV replacement project with local funds.</p> <p>e. If C&amp;T funds are not secured for the expansion LRVs, SFMTA agrees to develop an agreement with MTC on the terms of the replacement funding for the LRV replacement projects.</p> <p>MTC reserves the right to withhold allocation of the AB 664 and BATA project savings funds if these conditions are not met.</p>

<b>Project</b>	<b>Date</b>	<b>Total</b>	<b>Conditions</b>
AC Transit (Purchase 10 Double-Deck Diesel Buses)	January 27, 2016	\$1,493,237	Programming & Allocation Action. Allocation No: 16-4169-01. AC Transit agrees to comply with the provisions of the AB 664 Net Bridge Toll Revenues section of MTC Resolution No. 4015 and that any BATA Project Savings funds received shall be subject to MTC Resolution No. 4015, unless otherwise agreed herein.
AC Transit (Purchase (10) 40ft Urban Buses - Zero- emission Fuel Cell)	January 27, 2016	\$4,957,547	Programming & Allocation Action. Allocation No: 16-4169-01. AC Transit agrees to comply with the provisions of the AB 664 Net Bridge Toll Revenues section of MTC Resolution No. 4015 and that any BATA Project Savings funds received shall be subject to MTC Resolution No. 4015, unless otherwise agreed herein.
AC Transit (Replace (29) 60ft Artic Urban Buses – Diesels)	January 27, 2016	\$18,472,132	Programming & Allocation Action. Allocation No: 16-4169-01. AC Transit agrees to comply with the provisions of the AB 664 Net Bridge Toll Revenues section of MTC Resolution No. 4015 and that any BATA Project Savings funds received shall be subject to MTC Resolution No. 4015, unless otherwise agreed herein.
<i>Total</i>		<i>\$108,922,916</i>	

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4212

This resolution approves the FY2015-16 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The program includes projects funded with FTA Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities. In addition, Surface Transportation Program Cycle 2 Transit Capital Rehabilitation funds are being programmed in MTC Resolution No. 4035, Revised, and AB 664 Bridge Toll revenues and BATA Project Savings are programmed in MTC Resolution No. 4213 and Resolution No. 4169, Revised, respectively, for FY2015-16 Transit Capital Priorities projects.

This Resolution includes the following attachment:

Attachment A – FY2015-16 Program of Projects

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocations Committee summary sheet dated January 13, 2016.

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4212

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 small urbanized area funds of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4140; and

WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2015-16 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachment A; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on January 27, 2016.

FY 2015-16 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<i>Estimated Apportionments</i>			<b>212,746,729</b>	<b>197,060,284</b>	<b>12,170,349</b>
<i>Previous Year Carryover</i>			<b>2,662,039</b>	<b>24,863,868</b>	<b>394,073</b>
<i>Funds Available for Programming</i>			<b>215,408,768</b>	<b>221,924,152</b>	<b>12,564,422</b>
<b>Lifeline Set-Aside (JARC Projects)</b>					
Reserved	Various	Reserved for programming in Lifeline Transportation Program Cycle 4	2,936,093		
<b>ADA Operating Set-Aside</b>					
ALA990076	AC Transit	ADA Set-aside	3,984,138		
ALA050042	ACE	Preventive Maintenance		8,996	
BRT99T01B	BART	ADA Paratransit Capital Accessibility Improvements		2,727,176	
REG090051	Caltrain	Revenue Vehicle Rehab Program		166,206	
CC-99T001	CCCTA	ADA Set-aside	1,199,933		
CC-030035	ECCTA	ADA Set-aside	532,570		
MRN130015	GGBHTD	Transit System Enhancements	156,753		
ALA990077	LAVTA	ADA Set-aside	341,636		
MRN110047	Marin Transit	ADA Set-aside	627,012		
NAP030004	Napa VINE	ADA Set-aside	39,189		
SON150007	Petaluma Transit	ADA Set-aside	84,285		
SM-990026	SamTrans	ADA Set-aside	1,584,235		
SM-150008	SamTrans	Replacement of Non-Revenue Vehicles	296,800		
SF-990022	SFMTA	ADA Set-aside	4,062,514		
SOL110025	SolTrans	ADA Set-aside	307,616		
New	Sonoma City Transit	ADA Set-aside	29,460		
New	Union City Transit	ADA Set-aside	130,627		
SCL050046	VTa	ADA Set-aside	3,711,401		
CC-990045	WestCat	ADA Set-aside	248,192		
New	WETA	Ferry Major Component Rehab/Replacement	5,225		
<b>Reserved for Future Programming</b>					
SM-03006B	Caltrain	Positive Train Control/Electrification		12,606,500	
SF-010028	Caltrain	Railcar Replacement		39,401,451	
<b>Total Program Set-asides and Commitments</b>			<b>20,277,679</b>	<b>54,910,329</b>	<b>0</b>
<b>Funds Available for Capital Programming</b>			<b>195,131,089</b>	<b>167,013,823</b>	<b>12,564,422</b>
<b>Capital Projects</b>					
REG110044	ACE	Positive Train Control		1,387,000	
New	AC Transit	Purchase (10) Double-Deck Diesel Buses	3,636,463	1,500,000	
New	AC Transit	Replace (10) 40ft Urban Buses - Diesels	4,081,000		
New	AC Transit	Purchase (10) 40ft Urban Buses - Zero-emission Fuel Cell (PM swap)	979,153		
New	AC Transit	Replace (29) 60ft Artic Urban Buses - Diesels	753,998		
ALA990052	AC Transit	ADA Paratransit Van Replacement	1,319,762		
BRT97100B	BART	Rail, Way & Structures program	11,562,677	5,507,351	
REG050020	BART	BART Car Exchange Preventive Maintenance	1,636,828	48,335,147	
BRT030004	BART	Train Control		13,000,000	
BRT030005	BART	Traction Power		13,000,000	
ALA090065	BART	Fare Collection Equipment		6,000,000	
REG090037	BART	Railcar Replacement		500,000	
MTC99002A	Clipper	Replacement of legacy Clipper fare collection system		5,000,000	
CC-070092	ECCTA	Replace (25), Ford Cutaways	1,383,610		420,390
CC-070092	ECCTA	Replace (3), Ford Cutaways	216,480		
SOL010006	Fairfield	Fairfield Operating Assistance	2,466,189		
MRN050025	GGBHTD	Misc Facilities Rehab	1,529,895		
New	LAVTA	Replacement purchase (10 ) 40' Hybrids	5,358,224		963,976
New	LAVTA	Replacement purchase (10 ) 30' Hybrids	5,953,200		
New	LAVTA	Service vehicles (2) trucks	81,600		
New	LAVTA	Service vehicles (3) road supervisor vehicles	122,400		
New	LAVTA	Service vehicles (4) shift trade vehicles	163,200		
New	LAVTA	Trapeze Upgrade	130,000		
New	LAVTA	Preventive Maintenance	1,272,500		
New	LAVTA	Farebox Replacement	398,242		
New	Marin Transit	Replace (2) Cutaways for FR Service	200,080		
New	Marin Transit	Replacement Purchase (13) 40' Hybrids	8,218,860		
New	Marin Transit	Fareboxes for (15) replaced vehicles	172,200		
New	Marin Transit	Emergency Radio System	285,360		
NAP970010	Napa Vine	Napa Vine: Operating Assistance	1,492,421		
NAP090008	Napa Vine	Equipment Replacement & Upgrades	14,635		162,206

FY 2015-16 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
<b>Capital Projects, continued</b>					
New	Petaluma	(2) 35' Diesel Hybrid Bus Replacement	1,072,534		118,106
New	Petaluma	Clipper for (3) FR Buses	14,400		
New	Petaluma	Communication equipment for (3) FR Buses	27,535		
SM-150005	Samtrans	Replacement of (60) 2003 Gillig Buses	6,914,860		
SM-110068	Samtrans	Replacement of (55) NABI Articulated Buses	20,157,000		
New	Samtrans	Replacement of (9) Cutaway Buses	900,360		
New	Samtrans	Replacement of (10) Minivans	418,200		
SON070020	Santa Rosa	Diesel Bus Purchase	233,148		258,156
New	Santa Rosa	Miscellaneous Capital Equipment	56,000		
New	Santa Rosa	Bus Stop ADA Improvements	16,433		
New	Santa Rosa	Garage Hoist for Bus Repairs	288,000		
New	Santa Rosa	Santa Rosa CityBus: Operating Assistance	1,447,856		
New	Santa Rosa	Santa Rosa CityBus: Preventative Maintenance	400,000		
SF-150005	SFMTA	Replacement of 40' Motor Coaches	3,280,072		6,432,036
SF-150006	SFMTA	Replacement of 60' Motor Coaches	45,417,750		
SF-090035	SFMTA	Replacement of (27) Type II Paratransit Vans	1,948,320		
New	SFMTA	30-Foot Motor Coach Mid-Life Overhaul	13,125,926		
SF-150007	SFMTA	Farebox Replacement	2,228,800		
SF-95037B	SFMTA	Muni Rail Replacement		5,316,972	
SF-030013	SFMTA	Wayside Fare Collection		1,000,000	
SF-970170	SFMTA	Overhead Line Rehabilitation		6,684,663	
SF-050024	SFMTA	Wayside/Central Train Control & Trolley Signal Systems Rehabilitation		5,000,000	
SF-99T002	SFMTA	Cable Car Infrastructure		2,000,000	
SF-970073	SFMTA	Cable Car Renovation Program		988,800	
SF-150004	SFMTA	Station Area and Pedestrian Improvements		500,000	
New	SFMTA	Replacement of (21) 40' Trolley Coaches		20,000,000	
SOL090034	Soltrans	Bus Purchase (4) 45' CNG Commuter Coaches	2,436,729		360,668
SOL070032	Soltrans	Preventive Maintenance	542,263		
SON030005	Sonoma County	SCT Preventive Maintenance Program	1,239,140		
SON110049	Sonoma County	Replacement of (1) CNG 40-Foot Heavy-Duty Bus in SCT's Fixed-Route Fleet	511,171		186,940
SON050021	Sonoma County	Installation of Passenger Shelters and Other Amenities at Various SCT Bus Stops	17,626		
New	Union City	Union City: Midlife Rehab of (2) 35' CNG Vehicles	410,000		
New	VTA	Radio System Upgrade	2,400,000		
SCL050001	VTA	40' Hybrid Bus Procurement	31,489,502		2,817,476
SCL050049	VTA	Rail Substation Rehab/ Replacement		3,000,000	
SCL050002	VTA	Rail Replacement Program		3,600,000	
SCL110104	VTA	Light Rail Track Crossovers and Switches		777,500	
SCL150008	VTA	Track Intrusion Abatement		1,600,000	
New	WestCat	Replacement of (1) 40-Foot Revenue Vehicle	434,600		
New	WestCat	Fast Fare Electronic Farebox (1)	14,249		
REG090057	WETA	Ferry Major Component Rehab/Replacement - Taurus		2,100,000	
REG090057	WETA	Ferry Major Component Rehab/Replacement - Pisces		2,500,000	
REG090057	WETA	Ferry Engine Overhaul		400,000	
REG090057	WETA	Ferry Engine Overhaul		400,000	
REG090067	WETA	Ferry Passenger Float/Gangway		74,790	
SF-110053	WETA	Ferry Vessel Replacement		16,841,600	
<b>Total Capital Projects</b>			<b>190,871,451</b>	<b>167,013,823</b>	<b>11,719,954</b>
<b>Total Programmed</b>			<b>211,149,130</b>	<b>221,924,152</b>	<b>11,719,954</b>
<b>Fund Balance</b>			<b>4,259,638</b>	<b>0</b>	<b>844,468</b>

**FY2015-16 Transit Capital Priorities / Transit Capital Rehabilitation Program Notes**

1.	Apportionment projections are based on a 1.8% increase for the 5307 program, 15.7% increase for the 5337 program, and a 6.9% decrease for the 5339 program relative to FY15 apportionments to be consistent with the FAST Act revenue projections. The program will be reconciled to the final apportionments after they are released by FTA.
2.	AC Transit: \$6.4M of BATA project savings have been programmed to AC Transit's Core Capacity Challenge Grant Program (CCCCGP) projects proportionately according to the CCCCCGP funding plan. An additional \$18.5M is being programmed towards AC Transit's CCCCCGP projects in order to resolve the shortfall in the San Francisco - Oakland urbanized area. BATA Project Savings are being programmed in lieu of AB664 plus BATA Project Savings (both part of CCCCCGP funding plan) in order to reduce the number of fund sources. In the next program year, AB664 funds can be programmed in lieu of BATA project savings.
3.	SFMTA: \$8.2M of AB664 funds have been programmed to SFMTA's Core Capacity Challenge Grant Program (CCCCGP) projects proportionately according to the CCCCCGP funding plan. An additional \$13.7 million in AB664 funds have been programmed to SFMTA's CCCCCGP projects to enable SFMTA to execute a contract option that would result in earlier delivery of buses.
4.	SFMTA: \$15.3M of FY15 FG (Fixed Guideway) Cap deferred by formula based on grant balances to FY18 as SFMTA did not meet their fixed guideway spending target. This deferral is reduced to \$5.3M due to a \$10M voluntary deferral.
5.	SFMTA: \$500k programmed to Station Bike and Pedestrian Improvements project in exchange for \$500k of SFMTA revenue bond funds for FG cap projects.
6.	Caltrain: Caltrain did not meet their FG spending target. However, they are being exempted from a deferral of their FG Cap because Caltrain's FG Caps are still committed to the Electrification project so the program will continue to reserve the FG cap funds for that project and not towards their FG rehab projects. The program therefore reserves \$12.6M of Caltrain's FG Cap for Electrification.
7.	Clipper: \$14.2M of Clipper's request for \$19.2M is being deferred to FY17 in order to reduce shortfall in the San Francisco Oakland Urbanized Area, as this would not from a cash flow standpoint impede Clipper's ability to fund current equipment replacement or contracts.
8.	BART Car Exchange PM: \$24M of BART's request for \$74.5M for the BART Car Replacement Project is being deferred to future years in order to reduce shortfall in the San Francisco Oakland Urbanized Area.
9.	Caltrain: The current program reserves \$39.4M in a vehicle procurement reserve for future programming. Depending on the timing of the contract award and contract needs, the reserved funds can be programmed either later in FY16 or in later years. Also, by agreement with VTA, SFMTA, and Caltrain, EMUs are being funded from San Jose in this cycle to help address the shortfall. Future EMU programming will come more from SF-O to maintain a 2/3-1/3 split overall.
10.	GGBHTD: Voluntarily deferred \$23,628,000 of fixed guideway cap funds from FY12 through FY16 to FY19. These funds will have priority for programming in FY19 as a prior-year commitment. GGBHTD voluntarily deferred their 67 40' Diesel Bus procurement to FY17; also exercised the Capital Exchange element of the TCP policy by deferring replacement of these vehicles until FY16-17. Total savings to the region equals \$3,529,895, GGBHTD will utilize the option for using these savings towards their ACIS and Miscellaneous Facilities Rehab projects.
11.	LAVTA exercised the Capital Exchange element of the TCP policy by deferring replacement of seven 2002 40' diesel vehicles for life. Total savings to the region equals \$1,769,700. LAVTA will utilize the option for using these savings towards their Service Vehicle Replacement and Preventive Maintenance projects.
12.	WETA: Voluntarily deferred \$1,517,210 of FG cap to FY17. These funds will have priority for programming in FY17 as a prior-year commitment.

Date: January 27, 2016  
W.I.: 1514  
Referred By: PAC

ABSTRACT

Resolution No. 4213

This resolution establishes the AB 664 Net Bridge Toll Revenues program of projects for FY2015-16. The initial program consists of \$21,922,657 being programmed to SFMTA towards their fleet replacement projects consistent with the Core Capacity Challenge Grant Program funding plan. This resolution will be amended to add the remainder of the FY2015-16 AB 664 program in conjunction with final revisions to the FY2015-16 Transit Capital Priorities program.

The following attachment is provided with this resolution:

Attachment A. Program of AB 664 Net Bridge Toll Revenue Projects FY2015-16

Further discussion of the AB 664 program of projects is contained in the Programming and Allocations Committee summary sheet dated January 13, 2016.

Date: January 27, 2016  
W.I.: 1514  
Referred by: PAC

RE: Programming of AB 664 Net Bridge Toll Revenues in Fiscal Year 2015-16

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4213

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 et seq., and

WHEREAS, pursuant to Streets and Highways Code § 30892, after deduction for MTC's administrative costs, MTC shall allocate toll bridge net revenues to public entities operating public transportation systems to achieve MTC's capital planning objectives in the vicinity of toll bridges as set forth in its adopted Regional Transportation Plan (RTP) ("Net Revenues"); and

WHEREAS, pursuant to Streets and Highways Code § 30894, MTC has adopted MTC Resolution No. 4015, which sets forth MTC's Bridge Toll Revenue Allocation Policy; and

WHEREAS, MTC has adopted a transit capital priorities program which set forth the priorities for funding transit capital projects in the Transportation Improvement Program (TIP); and

WHEREAS, "claimants" certify that their respective projects programmed in the TIP are in conformance with MTC's Regional Transportation Plan, with the requirements of the California Environmental Quality Act (Public Resources Code § 2100 et seq.) and the State EIR Guidelines (14 Cal. Admin. Code § 15000 et seq.); now therefore, be it

RESOLVED, that MTC approves the FY2015-16 programming of AB 664 Net Bridge Toll Revenues to the claimants, in the amounts, for the purposes, and subject to the conditions listed on Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length.

METROPOLITAN TRANSPORTATION COMMISSION

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Dave Cortese, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held in  
Oakland, California on January 27, 2016.

**PROGRAM OF AB 664 NET BRIDGE TOLL REVENUE PROJECTS**

FY2015-16 Program				
			East Bay	West Bay
	Revenue Projections		\$0	\$21,922,657
	Previous Year Carry-Over (if any)			
	Expirations and Rescissions			
	Total Funds Available		\$0	\$21,922,657
Sponsor	Eligible Capital Projects	Fund Source		
Current Year Programming				
SFMTA	Replacement of 40' Motor Coaches	AB664		\$21,922,657
	Total Amount Programmed to SFMTA's Core Capacity projects <sup>1</sup>		0	\$21,922,657
Fund Balance			\$0	\$0

**Notes:**

1. The allocation of the \$21.9 million in AB664 funds programmed above are conditioned on:  
a) budgeting of \$5.5 M of Prop B funds by SFMTA to replace AB 664 for the LRVs, and;  
b) commitment to allocate \$48 M of Prop K funds by SFCTA to complete the bus procurement project funding plan.

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

ABSTRACT

Resolution No. 4219

This resolution approves the FY2016-17 and FY2017-18 Transit Capital Priorities preliminary program of projects for inclusion in the Transportation Improvement Program (TIP). The initial program includes only one SFMTA project funded with FTA Section 5307 Urbanized Area funds in FY2016-17 and FY2017-18. This resolution will be amended to add the rest of the FY2016-17 and FY2017-18 program, which will include additional projects funded with FTA Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds.

This Resolution includes the following attachments:

Attachment A – FY2016-17 Program of Projects

Attachment B – FY2017-18 Program of Projects

Further discussion of the Transit Capital Priorities program of projects is contained in the Programming and Allocations Committee summary sheet dated January 13, 2016.

Date: January 27, 2016  
W.I.: 1512  
Referred By: PAC

RE: San Francisco Bay Area Regional Transit Capital Priorities

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4219

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, MTC is the designated Metropolitan Planning Organization (MPO) for the nine-county Bay Area and is required to prepare and endorse a Transportation Improvement Program (TIP) which includes a list of priorities for transit capital projects; and

WHEREAS, MTC is the designated recipient of the Federal Transit Administration (FTA) Section 5307 Urbanized Area, Section 5337 State of Good Repair, and Section 5339 Bus and Bus Facilities funds for the large urbanized areas of San Francisco-Oakland, San Jose, Concord, Antioch, and Santa Rosa, and has been authorized by the California Department of Transportation (Caltrans) to select projects and recommend funding allocations subject to state approval for the FTA Section 5307 and Section 5339 funds for the small urbanized areas of Vallejo, Fairfield, Vacaville, Napa, Livermore, Gilroy-Morgan Hill, and Petaluma in MTC's Federal Transportation Improvement Program; and

WHEREAS, MTC has worked cooperatively with the cities, counties and transit operators and with Caltrans in the region to establish priorities for the transit capital projects to be included in the TIP; and

WHEREAS, the process and criteria used in the selection and ranking of such projects are set forth in MTC Resolution No. 4140; and

WHEREAS, the projects to be included in the TIP are set forth in the detailed project listings in Attachment A, which are incorporated herein as though set forth at length; now, therefore, be it

RESOLVED, that MTC adopts the FY 2016-17 and FY 2017-18 Transit Capital Priorities program of projects to be included in the TIP as set forth in Attachment A and Attachment B; and, be it further

RESOLVED, that the Executive Director or designee is authorized to revise Attachment A as necessary to reflect the programming of projects as the projects are revised in the TIP; and be it further

RESOLVED, that the Executive Director of MTC is authorized and directed to forward a copy of this resolution to FTA, and such agencies as may be appropriate.

METROPOLITAN TRANSPORTATION COMMISSION

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Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California on January 27, 2016.

## Capital Projects

Attachment B  
 Motion No. 4219  
 Page 1

FY 2017-18 Transit Capital Priorities / Transit Capital Rehabilitation Program					
TIP ID	Operator	Project Description	FTA Section 5307	FTA Section 5337	FTA Section 5339
		<i>Estimated Apportionments</i>	23,830,954	N/A	N/A
		<i>Funds Available for Programming</i>	N/A	N/A	N/A
		<i>Total Program Set-asides and Commitments</i>	0	0	0
		<i>Funds Available for Capital Programming</i>	N/A	N/A	N/A

## Capital Projects

[illegible]



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1047      **Version:** 1      **Name:**  
**Type:** Resolution      **Status:** Commission Approval  
**File created:** 11/10/2015      **In control:** Planning Committee  
**On agenda:** 1/8/2016      **Final action:** 1/27/2016  
**Title:** MTC Resolution No. 4217: Equity Framework for Plan Bay Area 2040.

Approve the draft Equity Framework, developed with input from the Regional Equity Working Group. The Framework includes two components: 1) equity measures to analyze Plan Bay Area 2040 scenarios; and 2) the Communities of Concern that define disadvantaged communities for scenario analysis and the Plan.

### Sponsors:

### Indexes:

### Code sections:

**Attachments:** [Commission 9 Resolution- 4217- Equity Framework for Plan Bay Area 2040](#)  
[4a MTC Resolution No. 4217- Equity Framework for Plan Bay Area 2040 - reduced.pdf](#)

Date	Ver.	Action By	Action	Result
1/8/2016	1	Planning Committee		

### Subject:

MTC Resolution No. 4217: Equity Framework for Plan Bay Area 2040.

Approve the draft Equity Framework, developed with input from the Regional Equity Working Group. The Framework includes two components: 1) equity measures to analyze Plan Bay Area 2040 scenarios; and 2) the Communities of Concern that define disadvantaged communities for scenario analysis and the Plan.

### Presenter:

Doug Johnson, MTC

### Recommended Action:

Commission Approval

### Attachments



**METROPOLITAN  
TRANSPORTATION  
COMMISSION**

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## ***Memorandum***

TO: Planning Committee  
FR: Deputy Executive Director, Policy  
RE: MTC Resolution No. 4217: Equity Framework for Plan Bay Area 2040

DATE: December 31, 2015  
W.I. 1121

### **Summary**

This memorandum presents staff recommendations for communities of concern (CoCs) and the equity measures to be used as part of the Plan Bay Area 2040 Equity Analysis. To develop these recommendations, staff has been meeting on a monthly basis since June with stakeholders and local jurisdictions through the Regional Equity Working Group (REWG). This memo provides context on the Plan's overall equity framework, discusses the Bay Area's current demographic trends, and proposes a new set of equity measures as well as an updated definition of CoCs for your consideration.

### **Context and Overall Equity Framework**

MTC has conducted an equity analysis for the last four Regional Transportation Plans (RTPs) in compliance with federal civil rights and environmental justice laws. For each RTP, MTC used the following steps to conduct the equity analysis:

1. Identify equity measures that reflect key issues faced by vulnerable and disadvantaged communities in the region (typically a subset of the Performance Targets);
2. Define these potential disadvantaged communities based on a CoCs framework that takes into account factors such as race, income, and disability, among others;
3. Conduct an assessment during the project performance analysis phase, using the equity measures, to identify potential benefits and burdens of proposed projects on CoCs;
4. Conduct an assessment during the scenario analysis phase, using the equity measures, to identify potential benefits and burdens of scenario alternatives on CoCs, and to inform the selection of a preferred alternative; and
5. Include an assessment of benefits and burdens for the preferred alternative in the final report, and conduct a supplemental analysis of minority status to comply with federal civil rights law.

For each RTP update, the equity measures are developed with input from key stakeholders. For Plan Bay Area (PBA) 2013, the combined Sustainable Communities Strategy (SCS) and RTP, MTC and ABAG formed a Regional Equity Working Group (REWG) to provide this input. MTC and ABAG created a REWG for Plan Bay Area 2040 as well which began meeting in June and will continue to meet until fall 2016.

## Overall Equity Framework

The 2013 PBA equity analysis included three components, listed below. Staff is proposing to retain this overall framework for the Plan Bay Area 2040 equity analysis. The three components include:

- A. A ***Title VI*** analysis of PBA investments that use federal and state funds to determine whether there are any disparate impacts of distribution of these funds on populations of different race, color or national origin;
- B. An ***environmental justice*** analysis of PBA investments to determine whether there are any disproportionately high and adverse impacts on low-income and minority populations or CoCs; and
- C. An ***equity analysis*** that assesses the distribution of benefits and burdens of PBA 2040 on CoCs in comparison to the rest of the region.

## Equity Measures

To support the project performance and scenario analysis processes, staff recommends using the six Performance Targets listed below as equity measures (see Attachment B for the most recent list of adopted/proposed Performance Targets). The equity report will include a region-wide population-based analysis of benefits and burdens of the preferred alternative on CoCs based on these equity measures.

Staff recommends using the following Performance Targets as equity measures for PBA 2040 project performance and scenario analysis:

1. *Healthy and Safe Communities* Target #3 – will measure health benefits and burdens associated with air quality, road safety and physical inactivity (will also include a sub-analysis for low-income neighborhoods<sup>1</sup>);
2. *Equitable Access* Target #5 – will measure the share of lower-income residents' household income consumed by transportation and housing;
3. *Equitable Access* Target #6 – will measure the share of affordable housing in Priority Development Areas (PDAs), Transit-Priority Areas (TPAs), or high-opportunity areas<sup>2</sup>;
4. *Equitable Access* Target #7 – will measure the share of low- and moderate-income renters in PDAs that are at an increased risk of displacement;
5. *Economic Vitality* Target # 8 – will measure the share of jobs that are accessible by auto and transit in congested conditions (will also include a sub-analysis for lower-income communities); and
6. *Economic Vitality* Target #9 – will measure the current share of middle-wage jobs in the region and project the share of jobs in predominantly middle-wage industries in 2040.

In addition to an analysis based on the equity measures listed above, the equity report will summarize key demographic and socio-economic trends, including the following topics:

- *Poverty in the Suburbs* – will measure trends in the share of lower-income households that reside in suburban or inland jurisdictions, as defined by Plan Bay Area 2040, and offer a discussion of its implications for the region;

---

<sup>1</sup> Census tracts with a concentration of households that earn less than 200% of federal poverty line

<sup>2</sup> See the Fair Housing and Equity Assessment report, ABAG, 2015, for a definition of high-opportunity areas

- *Concentration of Poverty* – will measure trends in the share of low-income households that reside in neighborhoods that have a high concentration<sup>3</sup> of poverty;
- *Proximity to Services and Amenities* – will measure trends in the share of lower-income households that live in neighborhoods with a high walk score<sup>4</sup>;
- *Proximity to Opportunity Areas* – will measure trends in the share of lower-income households that live in high-opportunity areas; and
- *Exposure to Contamination and Pollutants* – will measure trends in the share of lower-income households exposed to air contaminants (diesel particulate matter and fine particulates (PM2.5))<sup>5</sup>.

### Communities of Concern and Plan Bay Area 2013

MTC defined “communities of concern” for the RTPs adopted in 1999, 2003 and 2007 as areas with a concentration of *either* 70% minority *or* 30% low-income households. For PBA 2013, CoCs were defined either as census tracts with a concentration of 70% minority population AND 30% low-income households OR as census tracts that have a concentration of 4 or more of the disadvantage factors listed in Table 1 below. The concentration threshold for each disadvantage factor was based on its current share of the region’s population plus half a standard deviation above the regional mean.

**Table 1: Communities of Concern Framework for Plan Bay Area 2013**

<i>Disadvantage Factor</i>	<i>% Regional Population<sup>6</sup></i>	<i>Concentration Threshold</i>
1. Minority	54%	70%
2. Low Income (<200% Federal Poverty Level - FPL)	23%	30%
3. Limited English Proficiency	9%	20%
4. Zero-Vehicle Household	9%	10%
5. Seniors 75 Years and Over	6%	10%
6. People with Disability	18%	25%
7. Single-Parent Family	14%	20%
8. Cost-Burdened Renter	10%	15%
<i>Definition</i> – census tracts that have a concentration of BOTH minority AND low-income households, OR that have a concentration of 4 or more factors listed above.		

Using the eight factors, concentration thresholds and definition in Table 1, PBA 2013 identified 305 out of a total of 1,405 census tracts in the region as CoCs. See Attachment C for a map of PBA 2013 CoCs. PBA 2013 used additional factors and a revised definition of COCs to respond to the changing demographics in the Bay Area. The region is far more racially diverse than in

<sup>3</sup> Census tracts with more than 40% low-income households; see Effects of Exposure to Better Neighborhoods on Children: New Evidence from the Moving to Opportunity Experiment, Chetty, Hendren, and Katz, Harvard University and NBER, May 2015

<sup>4</sup> Walk score is calculated by MTC and is based on access to a range of amenities and services including parks, schools, grocery stores, primary care facilities, transit stations, jobs and libraries, among other, subject to data availability

<sup>5</sup> See Communities Air Risk Evaluation Program, Bay Area Air Quality Management District at: <http://www.baaqmd.gov/plans-and-climate/community-air-risk-evaluation-care-program>, and the California Environmental Protection Agency (CalEPA), California Communities Environmental Health Screening Tool: CalEnviroScreen at: <http://oehha.ca.gov/ej/ces2.html>

<sup>6</sup> 2005-2009 American Community Survey and 2000 Census

previous decades. This trend has continued since the plan was adopted in 2013<sup>7</sup> (see updated data Table 2). Between 2000 and 2013, while the total population in the region increased by 7%, both the Asian and Latino populations increased by more than 30%, while the White and African-American populations decreased by 10% and 8%, respectively.

**Table 2: Racial Composition of Bay Area Population 2000-2013<sup>8</sup>**

<i>Race</i>	<i>2000</i>		<i>2013</i>		<i>Change</i>	
	<i>#</i>	<i>%</i>	<i>#</i>	<i>%</i>	<i>#</i>	<i>%</i>
White	3,392,204	50%	3,047,321	42%	(344,883)	(10%)
Black or African American	497,205	7%	456,896	6%	(40,039)	(8%)
Asian	1,278,515	19%	1,704,791	23%	426,276	33%
Hispanic (any race)	1,315,175	19%	1,711,158	24%	395,983	30%
Total Population	6,783,760	-	7,257,501	-	473,741	7%

While the region became racially more diverse, it also became poorer. Between 2000 and 2013, the share of census tracts with a concentration of minority households (defined by PBA 2013 as 70% or more minority households per tract) increased from 23% to 32% and the share of tracts with a concentration of low-income households (defined as 30% or more low-income household per tract) increased from 23% to 35%<sup>9</sup>.

**Table 3: Census Tracts with Concentration of Low-Income and Minority Households**

<i>Criteria</i>	<i>2000</i>		<i>2013</i>	
	<i>#</i>	<i>%</i>	<i>#</i>	<i>%</i>
70% or more Minority Households	324	23%	498	32%
30% or more Low-Income Households	323	23%	547	35%
Both Minority and Low-Income	186	13%	311	20%
Total Census Tracts	1,405	-	1,581	-

Since the Bay Area is experiencing a rise in the share and number of both minority and low-income households, both race and income are important measures of disadvantage. Staff recommends the inclusion of all census tracts that have concentrations of both low-income and minority households as the starting point for defining CoCs for PBA 2040 Equity Analysis. Based on REWG feedback, staff also recommends retaining the remaining six disadvantage factors (#3 to #8 in Table 1) in the CoC framework, and keeping the thresholds of significance the same as in 2013. In addition to updating the data to 2009-2013 American Community Survey (Plan Bay Area 2013 used data from the 2005-2009 ACS), the REWG is proposing one change to the definition of CoCs. See Table 4 below for the proposed new definition of CoCs.

<sup>7</sup> PBA 2013 used the 2005-2009 American Community Survey

<sup>8</sup> Bay Area Census: <http://www.bayareacensus.ca.gov/> and 2009-2013 American Community Survey<sup>9</sup> 2009-2013 American Community Survey and 2000 Census

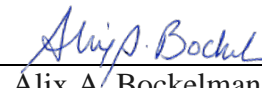
<sup>9</sup> 2009-2013 American Community Survey and 2000 Census

**Table 4: Proposed Communities of Concern Framework for Plan Bay Area 2040**

<i>Disadvantage Factor</i>	<i>% Regional Population</i>	<i>Concentration Threshold</i>
1. Minority	58%	70%
2. Low Income (<200% Federal Poverty Level - FPL)	25%	30%
3. Limited English Proficiency	9%	20%
4. Zero-Vehicle Household	10%	10%
5. Seniors 75 Years and Over	6%	10%
6. People with Disability	9%	25%
7. Single-Parent Family	14%	20%
8. Severely Rent-Burdened Household	11%	15%
<i>Definition</i> – census tracts that have a concentration of BOTH minority AND low-income households, OR that have a concentration of 3 or more of the remaining 6 factors (#3 to #8) but only IF they also have a concentration of low-income households.		

### **Recommendation**

Staff recommends the Committee refer MTC Resolution No. 4217, which sets forth the equity measures and CoCs framework for Plan Bay Area 2040, to the Commission for approval.

  
Alix A. Bockelman

AB: pg / dj / vs

### **Attachments:** MTC Resolution No. 4217

1. Plan Bay Area 2040 Goals and Performance Targets, excerpt from MTC Resolution 4204, Revised
2. Plan Bay Area 2013 Communities of Concern Map
3. Plan Bay Area 2040 Proposed Communities of Concern Map
4. Comparison Map of Plan Bay Area 2013 and 2040 Proposed Communities of Concern Boundaries
5. Presentation

Date: January 27, 2016  
W.I.: 1212  
Referred by: Planning Committee

ABSTRACT

Resolution No. 4217

This resolution adopts the equity measures and communities of concern framework for Plan Bay Area 2040.

Further discussion of this action is contained in the MTC Deputy Executive Director's Memoranda to the Planning Committee dated December 31, 2015.

Date: January 27, 2016  
W.I.: 1212  
Referred by: Planning Committee

Re: Adoption of Equity Measures and Communities of Concern Framework for Plan Bay Area 2040

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4217

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Sections 66500 et seq.; and

WHEREAS, SB 375, Chapter 728, Statutes of 2008, amended Sections 65080, 65400, 65583, 65584.01, 65584.02, 65584.04, 65587, and 65588 of, and added Sections 14522.1, 14522.2, and 65080.01 to, the Government Code, and amended Section 21061.3 of, to add Section 21159.28 to, and to add Chapter 4.2 (commencing with Section 21155) to Division 13 of, the Public Resources Code, relating to environmental quality; and

WHEREAS, SB 375 requires MTC to adopt a Sustainable Communities Strategy (SCS) as part of the Regional Transportation Plan (RTP), referred to as Plan Bay Area 2040 (“the Plan”); and

WHEREAS, MTC may elect to set performance targets for the purpose of evaluating land use and transportation scenarios to help inform selection of a draft and final Plan; and

WHEREAS, MTC and ABAG have solicited extensive input from local governments, partner transportation agencies, the MTC Policy Advisory Council, the Regional Equity Working Group, and other regional stakeholders on goals and performance targets; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the equity measures to be used for the Plan Bay Area 2040 project performance assessment and scenario analysis; and

WHEREAS, MTC has defined ‘communities of concern’ for the RTPs adopted in 1999, 2003, 2007 and 2013 to identify communities with concentrations of poverty, minority households and other factors suggesting disadvantaged communities; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, sets forth the Plan Bay Area 2040 Communities of Concern framework, now, therefore be it

RESOLVED, MTC adopts the equity measures set forth in Attachment A and the proposed communities of concern framework for Plan Bay Area 2040 outlined in Attachment B.

METROPOLITAN TRANSPORTATION COMMISSION

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David Cortese, Chair

The above resolution was entered into by the  
Metropolitan Transportation Commission  
at a regular meeting of the Commission held in  
Oakland, California, on January 27, 2016.

## Equity Measures for Plan Bay Area 2040

Goal	Performance Target #	Equity Measures
Healthy and Safe Communities	3	Measure the health benefits and burdens associated with air quality, road safety and physical inactivity (will also include a sub-analysis for low-income neighborhoods)
	5	Measure the share of lower-income residents' household income consumed by transportation and housing
Equitable Access	6	Measure the share of affordable housing in Priority Development Areas (PDAs), Transit-Priority Areas (TPAs), or high-opportunity areas
	7	Measure the share of low- and moderate-income renters in PDAs that are at an increased risk of displacement
Economic Vitality	8	Measure the share of jobs that are accessible by auto and transit in congested conditions (will also include a sub-analysis for lower-income communities)
	9	Measure the current share of middle-wage jobs in the region and project the share of jobs in predominantly middle-wage industries in 2040

Date: January 27, 2016  
W.I.: 1212  
Referred by: Planning Committee

Attachment B  
Resolution No. 4217  
Page 2 of 2

## Proposed Communities of Concern Framework for Plan Bay Area 2040

<i>Disadvantage Factor</i>	<i>% Regional Population</i>	<i>Concentration Threshold</i>
1. Minority	58%	70%
2. Low Income (<200% Federal Poverty Level - FPL)	25%	30%
3. Limited English Proficiency	9%	20%
4. Zero-Vehicle Household	10%	10%
5. Seniors 75 Years and Over	6%	10%
6. People with Disability	9%	25%
7. Single-Parent Family	14%	20%
8. Severely Rent-Burdened Household	11%	15%
<i>Definition</i> – census tracts that have a concentration of BOTH minority AND low-income households, OR that have a concentration of 3 or more of the remaining 6 factors (#3 to #8) but only IF they also have a concentration of low-income households.		

Date: September 23, 2015

W.I.: 1212

Referred by: Planning Committee

Revised: 11/18/15-C

Attachment A

Resolution No. 4204

Page 1 of 1

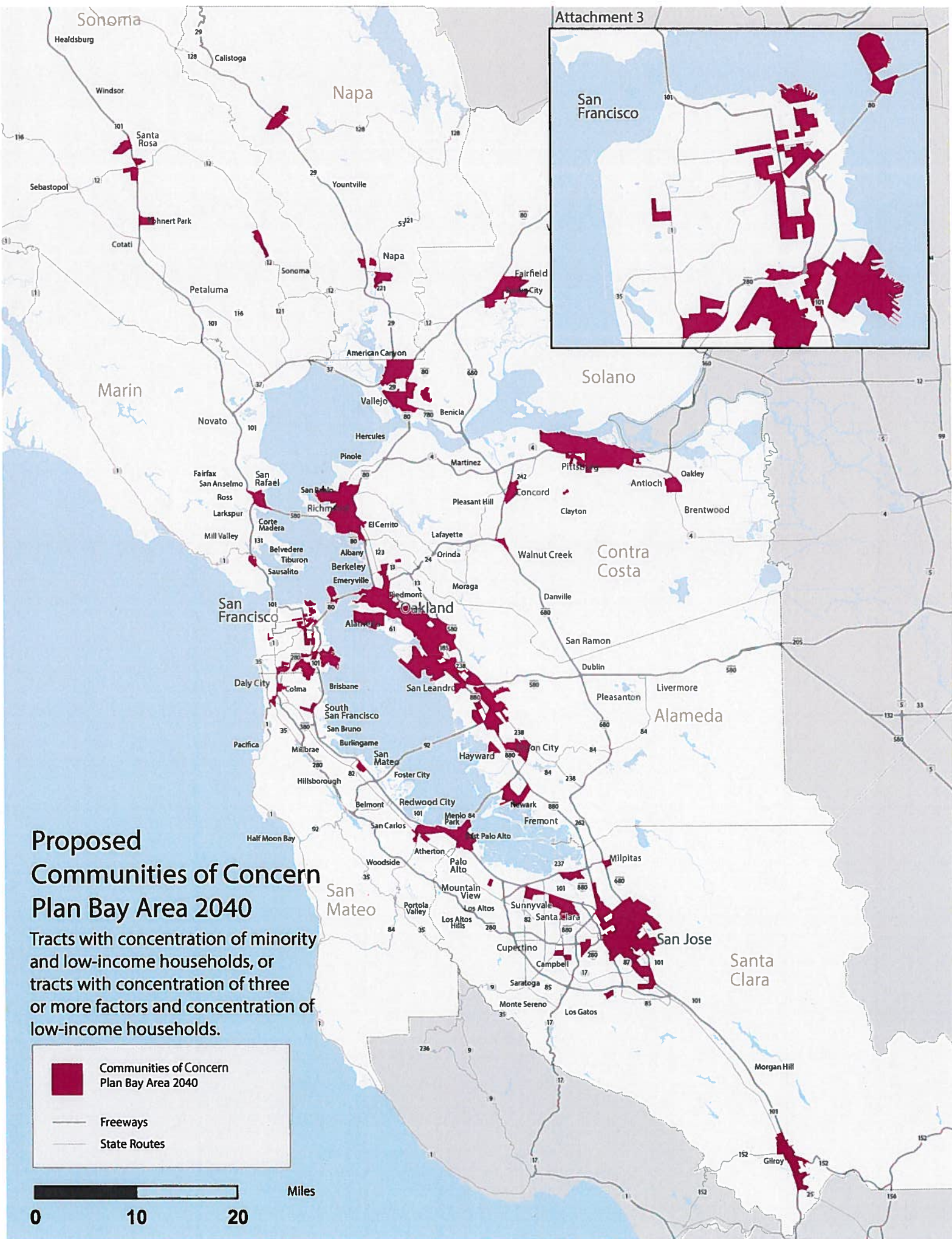
## Goals and Performance Targets for Plan Bay Area 2040

Goal	#	Performance Target
Climate Protection	<b>1</b>	Reduce per-capita CO <sub>2</sub> emissions from cars and light-duty trucks by <b>15%</b>
Adequate Housing	<b>2</b>	House <b>100%</b> of the region's projected growth by income level without displacing current low-income residents and with no increase in in-commuters over the Plan baseline year*
Healthy and Safe Communities	<b>3</b>	Reduce adverse health impacts associated with air quality, road safety, and physical inactivity by <b>10%</b>
Open Space and Agricultural Preservation	<b>4</b>	Direct <b>all</b> non-agricultural development within the urban footprint (existing urban development and UGBs)
Equitable Access	<b>5</b>	Decrease the share of lower-income residents' household income consumed by transportation and housing by <b>10%</b>
	<b>6</b>	Increase the share of affordable housing in PDAs, TPAs, or high-opportunity areas by <b>15%</b>
	<b>7</b>	Do not increase the share of low- and moderate-income renter households in PDAs, TPAs, or high-opportunity areas that are at risk of displacement
Economic Vitality	<b>8</b>	Increase by <b>20%</b> the share of jobs accessible within 30 minutes by auto or within 45 minutes by transit in congested conditions
	<b>9</b>	Increase by <b>35%**</b> the number of jobs in predominantly middle-wage industries
	<b>10</b>	Reduce per-capita delay on the Regional Freight Network by <b>20%</b>
Transportation System Effectiveness	<b>11</b>	Increase non-auto mode share by <b>10%</b>
	<b>12</b>	Reduce vehicle operating and maintenance costs due to pavement conditions by <b>100%</b>
	<b>13</b>	Reduce per-rider transit delay due to aged infrastructure by <b>100%</b>

\* = The Adequate Housing target relates to the Regional Housing Control Total per the settlement agreement signed with the Building Industry Association (BIA), which increases the housing forecast by the housing equivalent to in-commute growth.

\*\* = The numeric target for #9 will be revised later based on the final ABAG forecast for overall job growth.





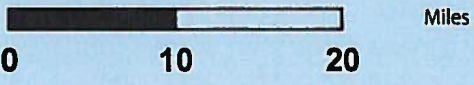
# Proposed Communities of Concern Plan Bay Area 2040

Tracts with concentration of minority and low-income households, or tracts with concentration of three or more factors and concentration of low-income households.

Communities of Concern  
Plan Bay Area 2040

Freeways

State Routes



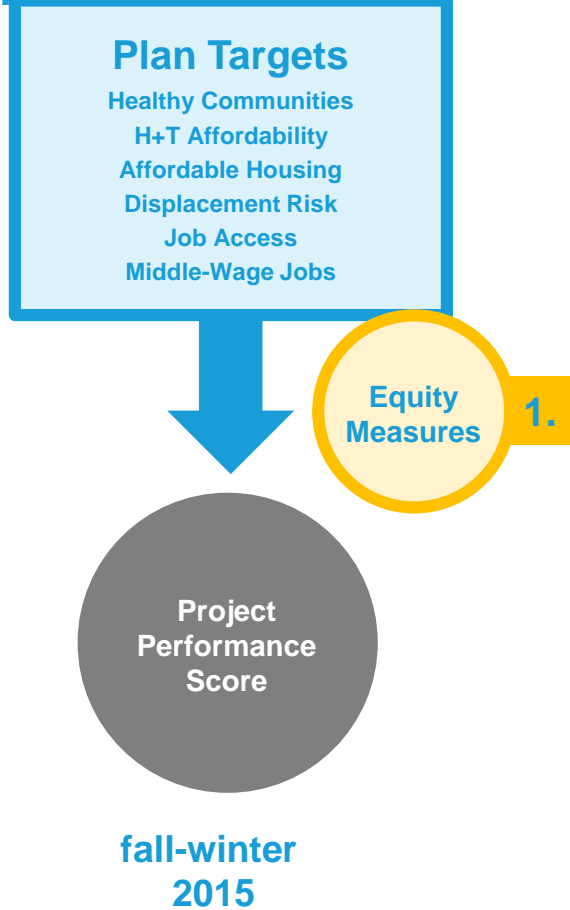


# Equity Framework

Planning Committee  
Friday, January 8, 2016

# Process and Timeline

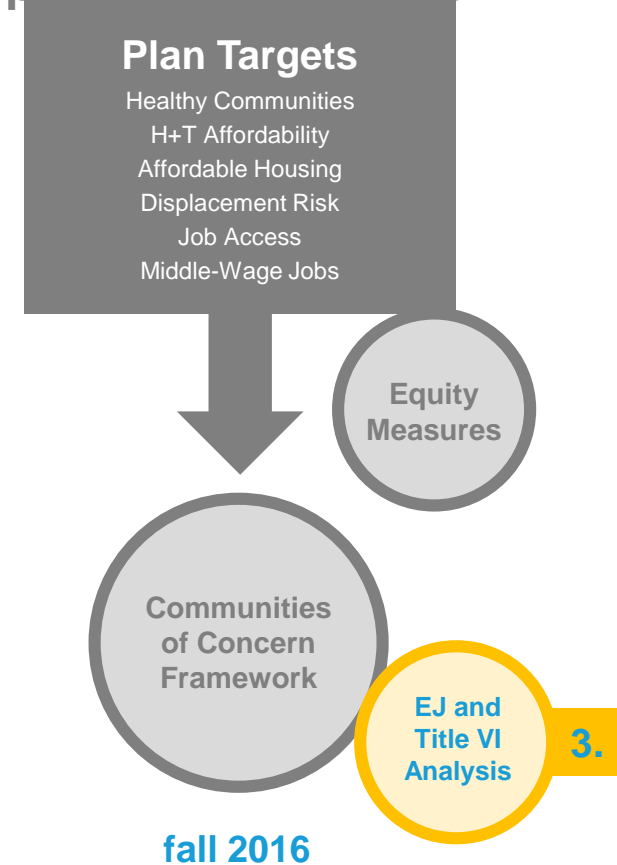
## project performance



## scenario analysis



## preferred alternative



# Regional Equity Working Group

- **Formed to provide input to staff**
- **Members of RAWG and Policy Advisory Council**
- **Monthly meetings starting in June 2015**
- **Meetings open to the public**

# Equity Measures



**Target 3: Healthy and Safe Communities**



**Target 5: Housing and Transportation Cost**



**Target 6: Affordable Housing**



**Target 7: Risk of Displacement**



**Target 8: Job Access**



**Target 9: Middle-Wage Jobs**

# Additional Analysis

- **Poverty in the suburbs**
- **Concentration of poverty**
- **Proximity to services and amenities**
- **Proximity to Opportunity Areas**
- **Exposure to contamination and pollution**

# Communities of Concern Framework

<i>Disadvantage Factor</i>	<i>% of Regional Population</i>		<i>Concentration Threshold</i>
	<i>2005-09</i>	<i>2009-13</i>	
<b>1. Minority</b>	<b>54%</b>	<b>58%</b>	<b>70%</b>
<b>2. Low-Income (&lt;200% federal poverty)</b>	<b>23%</b>	<b>25%</b>	<b>30%</b>
<b>3. Limited English Proficiency</b>	<b>9%</b>	<b>9%</b>	<b>20%</b>
<b>4. Zero-Vehicle Household</b>	<b>9%</b>	<b>10%</b>	<b>10%</b>
<b>5. Senior (&gt;75 years)</b>	<b>6%</b>	<b>6%</b>	<b>10%</b>
<b>6. Person with Disability</b>	<b>18%</b>	<b>9%</b>	<b>25%</b>
<b>7. Single-Parent Family</b>	<b>14%</b>	<b>14%</b>	<b>20%</b>
<b>8. Cost-Burdened Renter</b>	<b>10%</b>	<b>11%</b>	<b>15%</b>

# Communities of Concern Framework

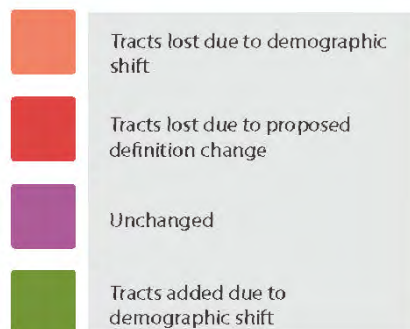
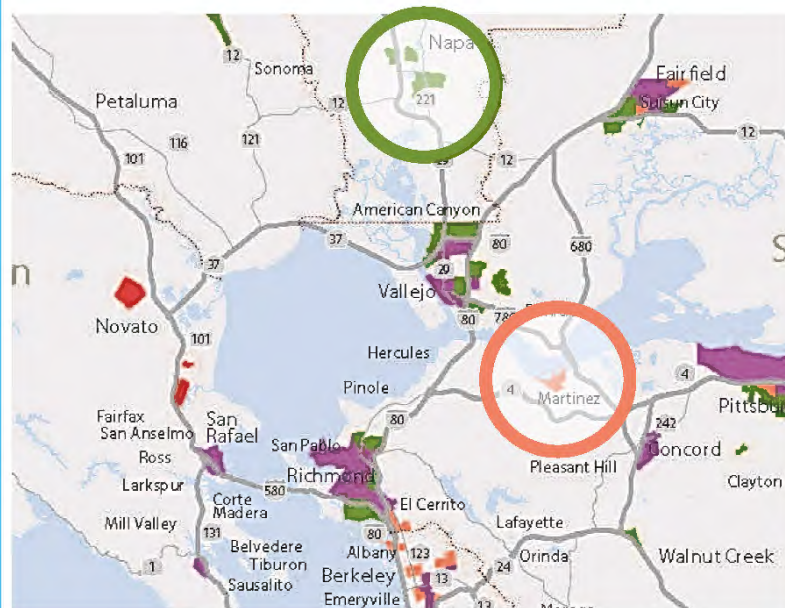
<i>Plan Year</i>	<i>Communities of Concern Definition</i>
<b>2009</b>	<b>Minority OR Low-Income</b>
<b>2013 PBA</b>	<b>Minority AND Low-Income OR Any 4 of 8 Factors</b>
<b>2017 Proposed</b>	<b>Minority AND Low-Income OR Any 3 of remaining 6 Factors if also Low-Income</b>

# Communities of Concern Framework

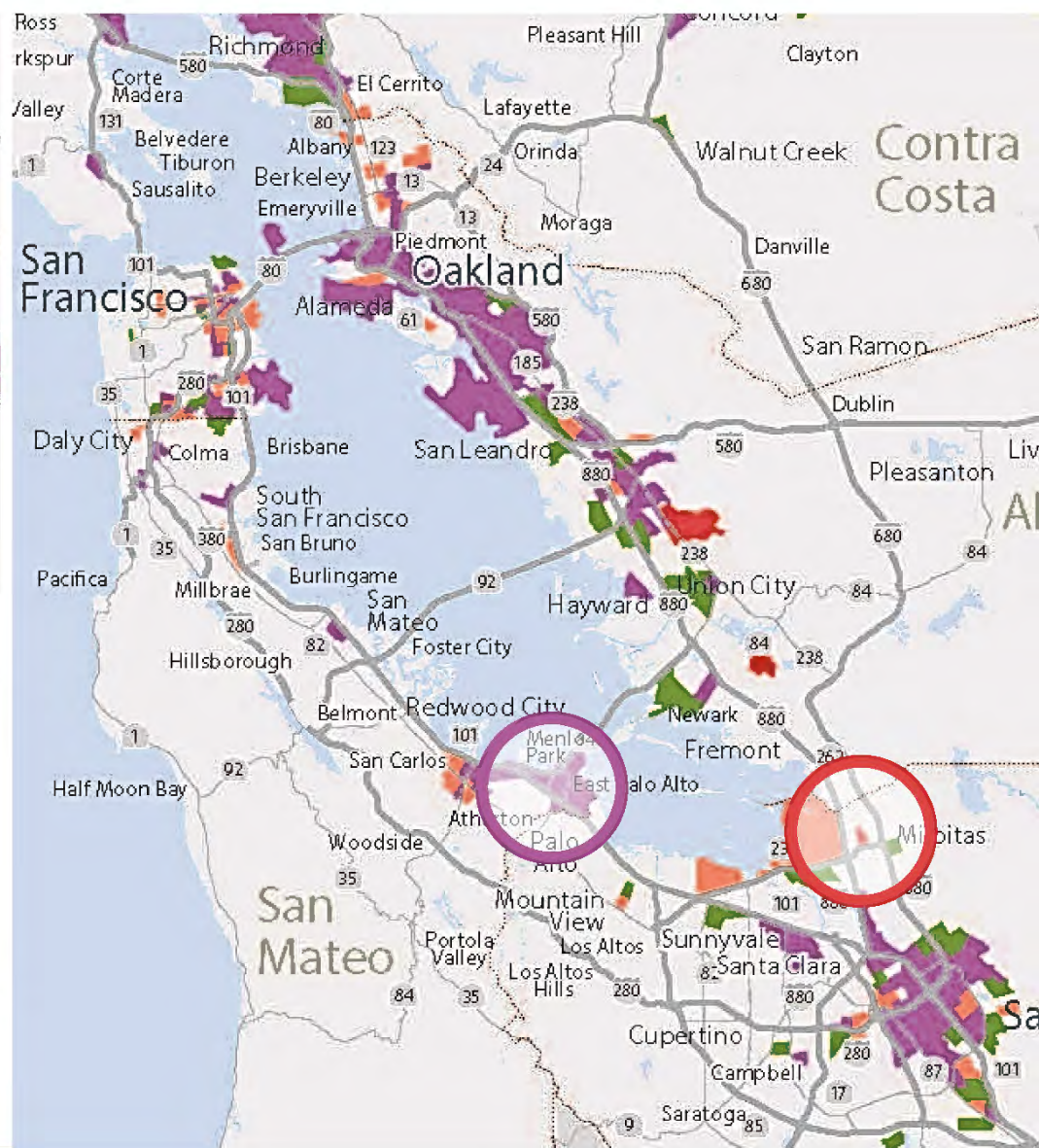
Comparison of Plan Bay Area Communities of Concern (2013) and Proposed Communities of Concern (2017)



# Communities of Concern Framework



Plan  
BayArea  
2040





# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1215      **Version:** 1      **Name:**

**Type:** Report      **Status:** Commission Approval

**File created:** 1/8/2016      **In control:** Metropolitan Transportation Commission

**On agenda:** 1/27/2016      **Final action:**

**Title:** Closed Session - Conference with Real Property Negotiators  
MTC will meet in Closed Session pursuant to Government Code Section 54956.8: to confer with real property negotiators to discuss a potential commercial lease opportunity as follows:  
Property: 101 - 8th Street, the MTC Condominium Unit consisting of the entire 2nd and 3rd floors and the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the unit, and the Cafeteria, Meeting, Parking and Library Tenancy in Common units, Oakland, CA 94607  
Agency negotiators:  
For MTC: Metropolitan Transportation Commission, Cushman & Wakefield  
· MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director  
· Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director  
  
Negotiating Parties: MTC with broker Cushman & Wakefield and Amethod Public Schools with Broker Avison Young  
Under Negotiation: Both price and terms

### Sponsors:

### Indexes:

### Code sections:

### Attachments:

Date	Ver.	Action By	Action	Result
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### Subject:

Closed Session - Conference with Real Property Negotiators

*MTC will meet in Closed Session pursuant to Government Code Section 54956.8: to confer with real property negotiators to discuss a potential commercial lease opportunity as follows:*

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*Agency negotiators:*

*For MTC: Metropolitan Transportation Commission, Cushman & Wakefield*

- MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director*

- *Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director*

*Negotiating Parties: MTC with broker Cushman & Wakefield and Amethod Public Schools with Broker Avison Young*

*Under Negotiation: Both price and terms*



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

**File #:** 15-1256      **Version:** 1      **Name:**

**Type:** Action Item      **Status:** Commission Approval

**File created:** 1/21/2016      **In control:** Metropolitan Transportation Commission

**On agenda:** 1/27/2016      **Final action:** 1/27/2016

**Title:** Closed Session - Conference with Real Property Negotiators  
MTC will meet in Closed Session pursuant to Government Code Section 54956.8: to confer with real property negotiators to discuss a potential commercial purchase and sale agreement as follows:  
Property: 101 - 8th Street, the MTC Condominium Unit consisting of the entire 2nd and 3rd floors and the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the unit, and the Cafeteria, Meeting, Parking and Library Tenancy in Common units, Oakland, CA 94607  
Agency negotiators:  
For MTC: Metropolitan Transportation Commission, Cushman & Wakefield  
· MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director  
· Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director  
  
Negotiating Parties: MTC with broker Cushman & Wakefield and East Bay Asian Local Development Corporation  
Under Negotiation: Both price and terms

### Sponsors:

### Indexes:

### Code sections:

### Attachments:

Date	Ver.	Action By	Action	Result
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### Subject:

Closed Session - Conference with Real Property Negotiators

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*Property: 101 - 8<sup>th</sup> Street, the MTC Condominium Unit consisting of the entire 2<sup>nd</sup> and 3<sup>rd</sup> floors and the ABAG Condominium Unit consisting of a portion of the 1<sup>st</sup> floor, subject to MTC/BAHA acquiring the unit, and the Cafeteria, Meeting, Parking and Library Tenancy in Common units, Oakland, CA 94607*

*Agency negotiators:*

*For MTC: Metropolitan Transportation Commission, Cushman & Wakefield*

- MTC Negotiators: Steve Heminger, Executive Director, Andrew Fremier, Deputy Executive Director, Brian Mayhew, Chief Financial Officer, Teri Green, Director*

- *Cushman & Wakefield Negotiators: Mark McGranahan, Managing Broker and Ryan Hattersly, Executive Director*

*Negotiating Parties: MTC with broker Cushman & Wakefield and East Bay Asian Local Development Corporation*

*Under Negotiation: Both price and terms*



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

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**File #:** 15-1226      **Version:** 1      **Name:**

**Type:** Report      **Status:** Commission Approval

**File created:** 1/8/2016      **In control:** Metropolitan Transportation Commission

**On agenda:** 1/27/2016      **Final action:**

**Title:** Open Session - Authority to enter into lease with Amethod Public Schools for entire 2nd and 3rd floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8th Street, Oakland, CA 94607.

**Sponsors:**

**Indexes:**

**Code sections:**

**Attachments:**

Date	Ver.	Action By	Action	Result
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**Subject:**

Open Session - Authority to enter into lease with Amethod Public Schools for entire 2<sup>nd</sup> and 3<sup>rd</sup> floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1<sup>st</sup> floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8<sup>th</sup> Street, Oakland, CA 94607.

**Recommended Action:**

Commission Approval



# Metropolitan Transportation Commission

101 Eighth Street,  
Joseph P. Bort MetroCenter  
Oakland, CA

## Legislation Details (With Text)

<b>File #:</b>	15-1258	<b>Version:</b>	1	<b>Name:</b>	
<b>Type:</b>	Report	<b>Status:</b>		Commission Approval	
<b>File created:</b>	1/21/2016	<b>In control:</b>		Metropolitan Transportation Commission	
<b>On agenda:</b>	1/27/2016	<b>Final action:</b>		1/27/2016	
<b>Title:</b>	Open Session - Authority to enter into a purchase sale agreement with East Bay Asian Local Development Corporation for entire 2nd and 3rd floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1st floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8th Street, Oakland, CA 94607.				
<b>Sponsors:</b>					
<b>Indexes:</b>					
<b>Code sections:</b>					
<b>Attachments:</b>					

Date	Ver.	Action By	Action	Result
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### Subject:

Open Session - Authority to enter into a purchase sale agreement with East Bay Asian Local Development Corporation for entire 2<sup>nd</sup> and 3<sup>rd</sup> floors and the Cafeteria, Parking, Meeting and Library Tenancy in Common Units, as well as the ABAG Condominium Unit consisting of a portion of the 1<sup>st</sup> floor, subject to MTC/BAHA acquiring the ABAG Unit, all located at 101 - 8<sup>th</sup> Street, Oakland, CA 94607.

### Recommended Action:

Commission Approval