

**Metropolitan Transportation Commission  
Administration Committee**

January 8, 2019

Agenda Item 2c

**MTC Financial Statements for October 2019**

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**Subject:** MTC Financial Statements for the four-month period ending October 31, 2019.

MTC's financial statements as of October 31, 2019 include the following major highlights:

- (1) **Operating Balance:** For the four-month period ending October 31, 2019, we have collected \$22 million of revenue and have recorded expenditures of \$12 million and encumbered \$3.4 million.
- (2) **Operating Income:** Total operating income for the four month period is below projections at 27.2%, mainly due to the Federal, State and Local Revenues which are below budget; since it is only four months of the year and our revenues are based on reimbursement, this is not unusual. However, the total transfers are above budget, and this is due to the 1% BATA administration fee (\$8.1 million) transferred at the beginning of the year.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are slightly below budget at 29% at the fourth month, or 33.3% of the fiscal year. Computer Services are slightly ahead of budget since these include consultant contracts and license renewals that run over multiple years.
- (4) **Federal Grants:** The Federal grant budget is now at \$164 million, and during the 2019-20 fiscal year we will be applying for new and amending seven Surface Transportation Block Grants – most commonly known as (STP) – totaling \$22.2 million. We will also apply for five new Congestion Mitigation and Air Quality (CMAQ) improvement grants totaling \$45.2 million. During the month of October, we expended and encumbered \$71.5 million and currently our remaining balance is \$165.4 million.
- (5) **Actions under Executive Director Signature Authority:** Please see Attachment A, pages 23 and 24 for relevant actions.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

**Issues:** None.

**Recommendation:** This item is provided for information only and no action is required of the Committee.

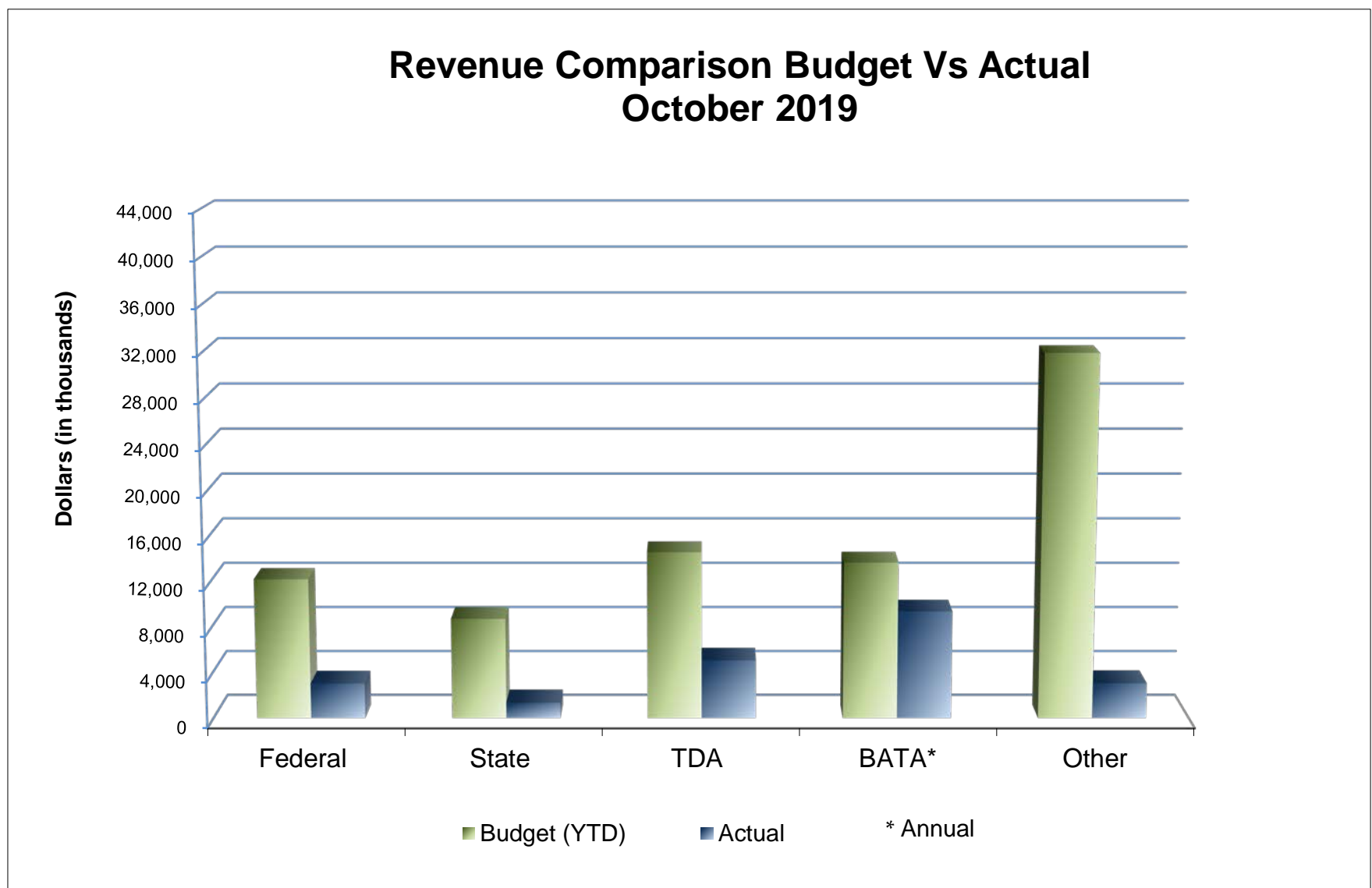
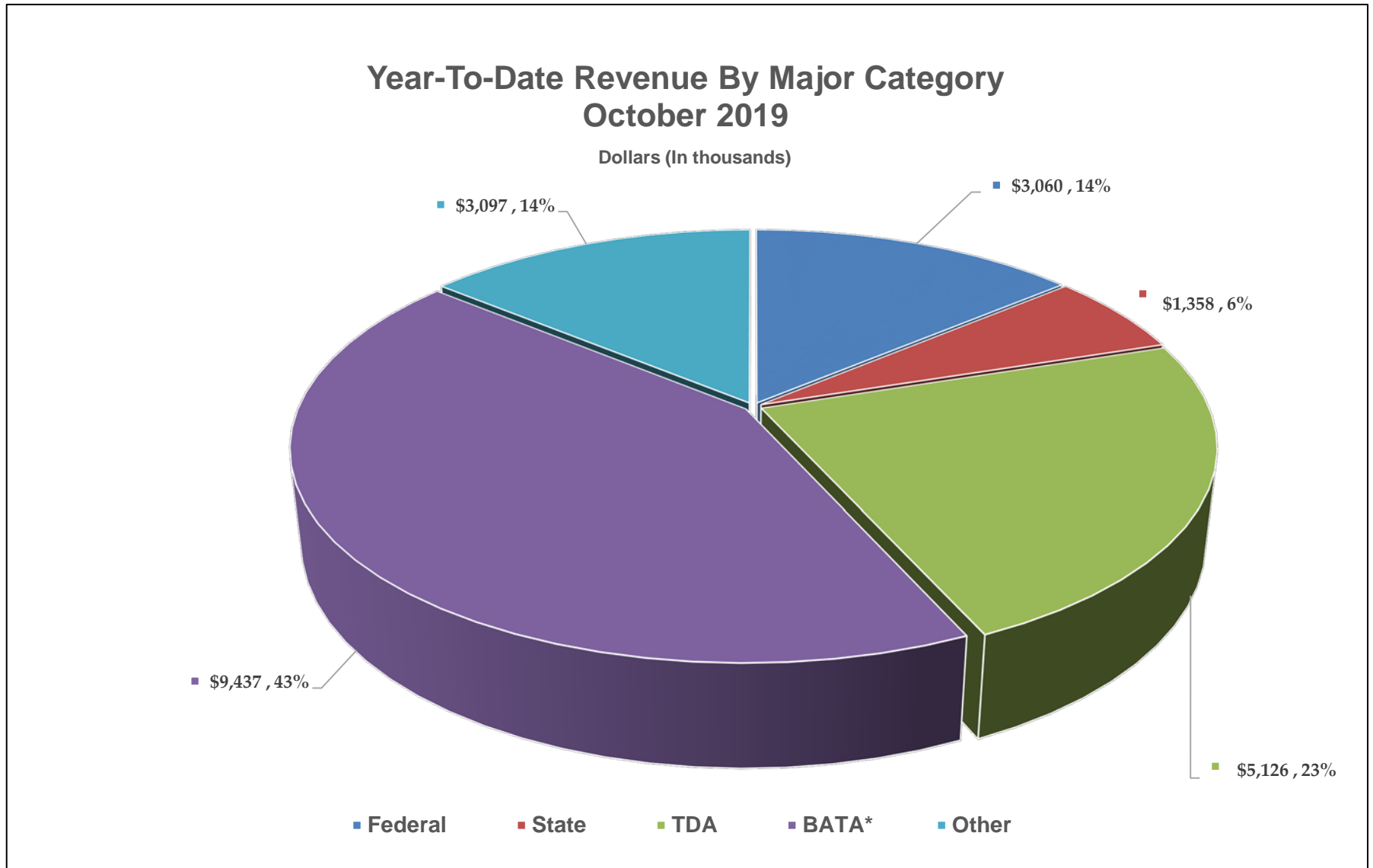
**Attachments:** Attachment A – Financial Statements for period ending October 31, 2019



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Therese W. McMillan

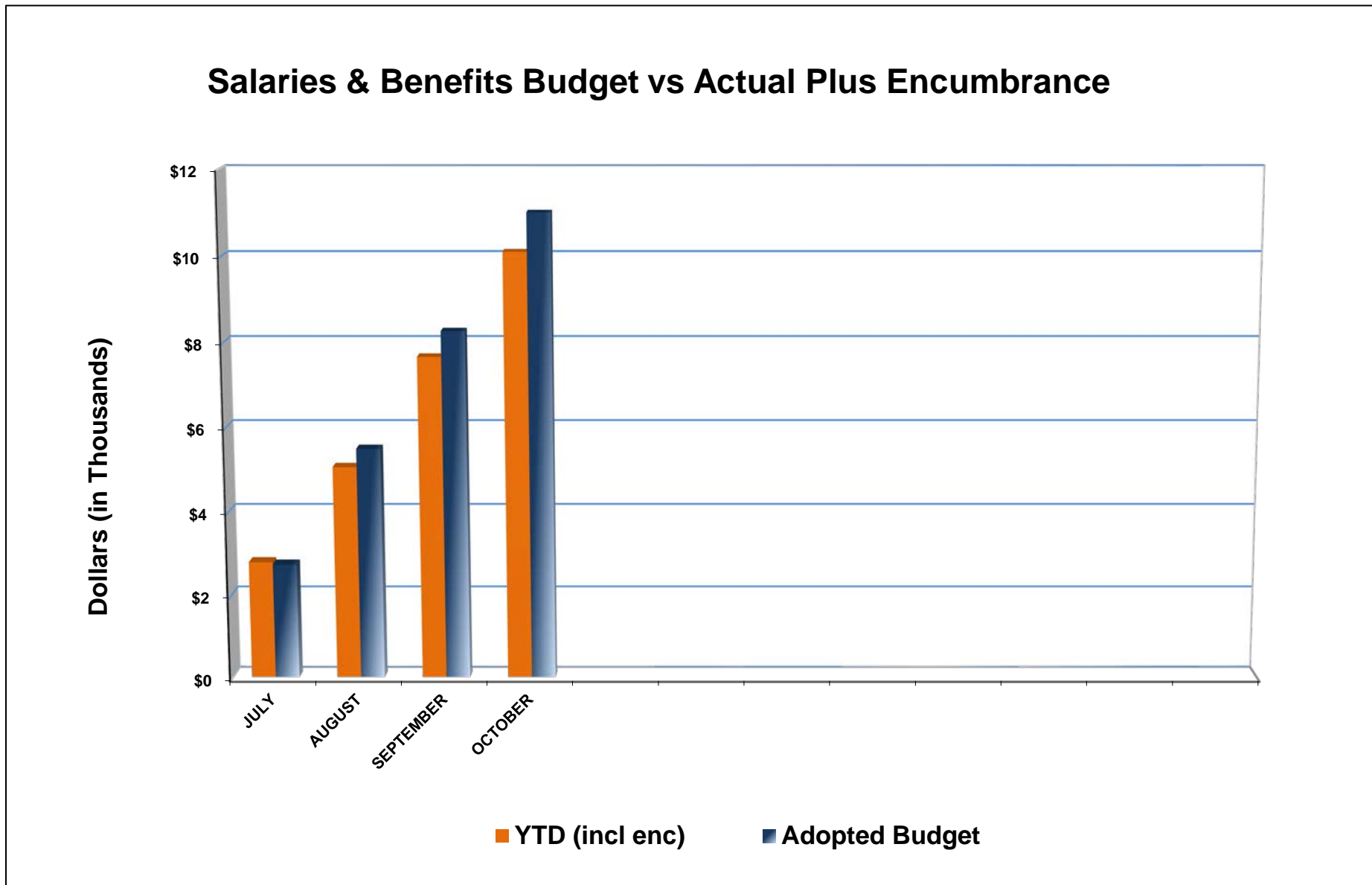
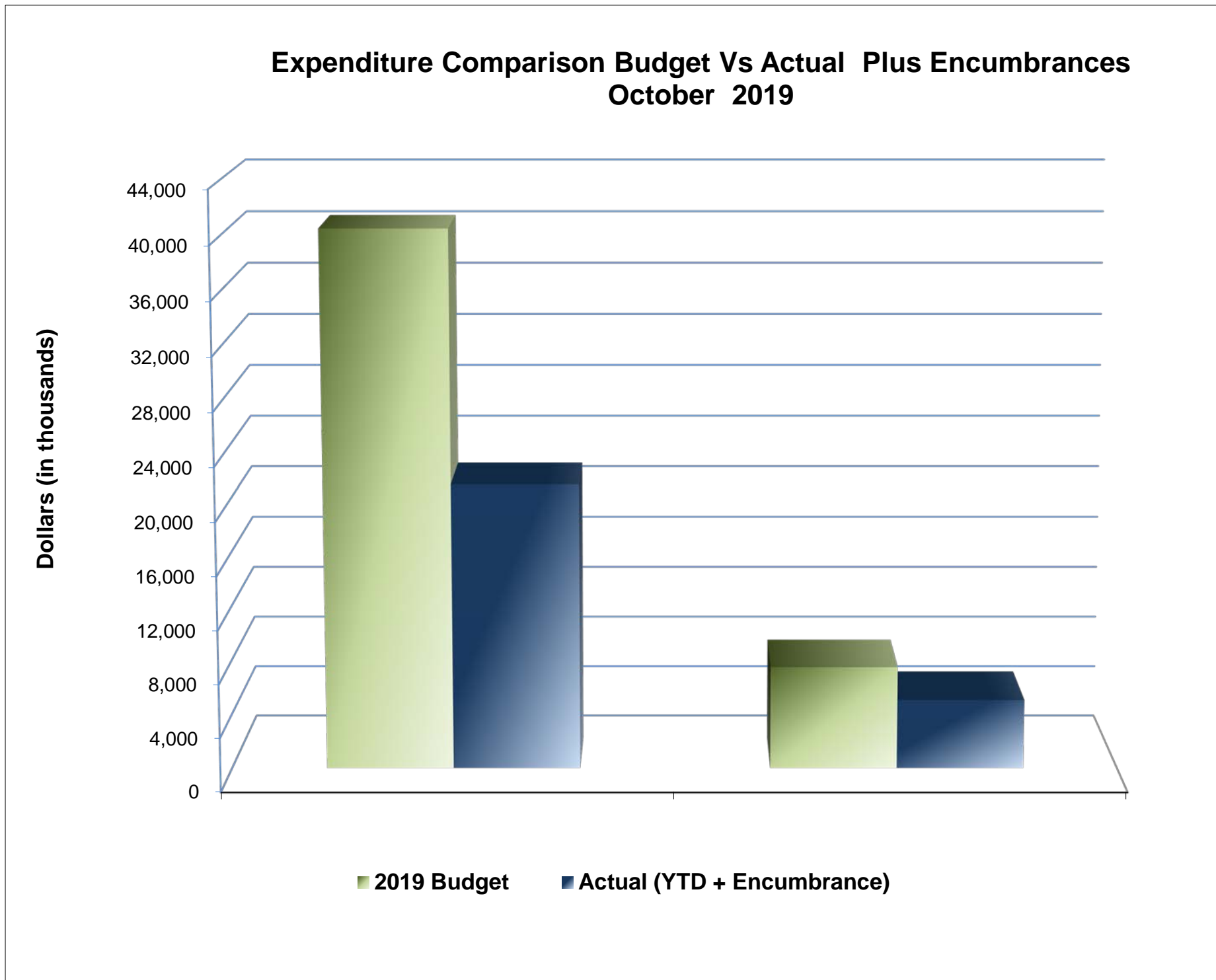
OPERATING INCOME  
MTC OPERATING BUDGET FOR FY 2019-20  
As of October 2019 (33.3% of year)

	1	2	3	4
Operating Revenue	FY 2019-20 Adopted Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
<b>General Fund Revenue:</b>				
TDA	14,616,697	5,126,327	(9,490,370)	35.1%
Interest	115,000	248,853	133,853	216.4%
<b>General Fund Total</b>	<b>14,731,697</b>	<b>5,375,180</b>	<b>(9,356,517)</b>	<b>36.5%</b>
<b>Federal Planning Revenue:</b>				
FHWA - PL	8,256,122	1,233,709	(7,022,413)	14.9%
FHWA SP&R	16,165	-	(16,165)	0.0%
FTA 5303	3,980,686	1,826,161	(2,154,525)	45.9%
<b>Federal Planning Revenue Total</b>	<b>12,252,973</b>	<b>3,059,870</b>	<b>(9,193,103)</b>	<b>25.0%</b>
<b>State Funding Revenue:</b>				
STIP	701,377	321,351	(380,026)	45.8%
Senate Bill 1 (SB1)	3,155,484	1,036,570	(2,118,913)	32.8%
California State Transportation Agency (Cal	4,923,200	-	(4,923,200)	0.0%
<b>State Funding Revenue Total</b>	<b>8,780,061</b>	<b>1,357,922</b>	<b>(7,422,139)</b>	<b>15.5%</b>
<b>Local Funding Revenue:</b>				
TFCA	1,257,954	-	(1,257,954)	0.0%
HOV	520,000	197,763	(322,237)	38.0%
Pavement Management	2,182,139	375,796	(1,806,343)	17.2%
BAAQMD	351,067	214,850	(136,217)	61.2%
Miscellaneous	2,945,462	134,990	(2,810,472)	4.6%
<b>Local Funding Revenue Total</b>	<b>7,256,621</b>	<b>923,398</b>	<b>(6,333,223)</b>	<b>12.7%</b>
<b>Transfers From Other Funds:</b>				
BATA 1%	8,096,994	8,096,994	-	100.0%
Transfer BATA	5,612,443	1,340,413	(4,272,030)	23.9%
SAFE	2,496,321	73,198	(2,423,123)	2.9%
2% Transit Transfers	293,204	10,039	(283,165)	3.4%
Transfers in - STA	8,435,828	9,600	(8,426,228)	0.1%
Bay Trail 2% Bridge Tolls & 5%	723,421	165,684	(557,737)	22.9%
Membership Dues	530,000	-	(530,000)	0.0%
Transfer from or (to) Reserve/Capital/O.H.	11,990,831	1,666,066	(10,324,765)	13.9%
<b>Transfers Total</b>	<b>38,179,043</b>	<b>11,361,995</b>	<b>(26,817,048)</b>	<b>29.8%</b>
<b>Total Operating Revenue</b>	<b>81,200,396</b>	<b>22,078,365</b>	<b>(59,122,031)</b>	<b>27.2%</b>



**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2019-20**  
**As of October 2019 (33.3% of year)**

	1	2	3	4	5
Operating Expenditures	FY 2019-20 Adopted Budget	Actual Expense	Budget Balance Over/(Under)	% of Budget (col 2/1)	Encumbrance
Salaries & Benefits	33,038,439	9,770,955	(23,267,484)	29.6%	333,661
Travel & Training	590,419	129,581	(460,838)	21.9%	60,429
Commission Expense					
Commissioner Expense	150,000	22,628	(127,372)	15.1%	-
Advisory Committees	15,000	3,900	(11,100)	26.0%	-
Printing & Graphics	137,700	5,000	(132,700)	3.6%	27,119
Computer Services	3,506,550	1,229,958	(2,276,592)	35.1%	1,405,353
General Operations	3,310,988	791,611	(2,519,377)	23.9%	1,526,606
<b>Total operating</b>	<b>40,749,096</b>	<b>11,953,634</b>	<b>(28,795,462)</b>	<b>29.3%</b>	<b>3,353,168</b>
Contract Services	40,451,298	2,767,819	(37,683,479)	6.8%	18,739,733
<b>Total Operating Expenditures</b>	<b>81,200,396</b>	<b>14,721,453</b>	<b>(66,478,943)</b>	<b>18.1%</b>	<b>22,092,901</b>



**MTC CAPITAL BUDGETS**  
**As of October 2019 (33.3% of year)**

<u>Capital</u>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
<b>Transfer from Reserves</b>	<b>\$540,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$540,000</b>
<b>Expense</b>	<b>\$540,000</b>	<b>\$0</b>	<b>\$30,323</b>	<b>\$509,677</b>

**Bay Bridge Forward Project**

<b>Operating</b>	<b>Total Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
STP	9,038,923	453,618	-	8,585,305
CMAQ	7,499,000	380	-	7,498,620
RM2 Capital	13,400,000	226,548	-	13,173,452
SAFE Capital	975,000	1,068	-	973,932
Local - Cities	2,802,151	1,318,833	-	1,483,318
<b>Revenue</b>	<b>\$33,715,074</b>	<b>\$2,000,447</b>	<b>\$0</b>	<b>\$31,714,627</b>
<b>Expense</b>	<b>\$33,715,074</b>	<b>\$2,000,447</b>	<b>\$20,732,479</b>	<b>\$10,982,148</b>

**Hub Signage Program**

<b>Capital</b>	<b>LTD Total Budget</b>	<b>LTD Actual</b>	<b>Encumbrance</b>	<b>LTD Balance</b>
Prop 1B	9,729,204	9,413,720	-	315,484
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	3,106,789	564,561	-	2,542,228
<b>Revenue</b>	<b>\$13,197,993</b>	<b>\$10,137,166</b>	<b>\$0</b>	<b>\$3,060,827</b>
<b>Expense</b>	<b>\$13,197,993</b>	<b>\$10,454,977</b>	<b>\$0</b>	<b>\$2,743,016</b>

LIFE TO DATE FEDERAL GRANT BUDGET  
As of October 2019 (33.3% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>STP GRANTS</b>								
6084-180 1809	FPI	29,391	-	29,391	-	21,158	8,233	-
6084-186 1812	OBAG Regional PDA	2,125,228	-	2,125,228	-	86,928	2,038,301	(0)
6084-198 1818	Pavement Management	3,177,288	-	3,177,288	-	80,470	1,379,804	1,717,014
6084-199 1819	511 Traveler Information	964,772	-	964,772	-	53,976	766,539	144,257
6084-201 1820	Freeway Performance Initiative	564,588	-	564,588	-	5,365	525,704	33,520
6084-205 1822	Pavement Management	1,025,993	-	1,025,993	-	-	523,478	502,515
6084-206 1826	CMA Planning	38,102,828	-	38,102,828	-	-	7,799,000	30,303,828
6084-207 1827	MTC Planning	6,695,747	35,000	6,730,747	142,143	28,541	5,096	6,554,966
6084-213 1833	511 Next Generation	8,306,241	-	8,306,241	35,200	-	4,150,851	4,120,190
6084-222 1835	Incident Management	3,897,606	-	3,897,606	116,943	-	-	3,780,663
6084-225 1836	TMC Asset	1,071,911	-	1,071,911	25,608	-	-	1,046,304
6084-228 1838	Freeway Performance -SR 84	114,359	-	114,359	-	2,101	27,383	84,874
6084-232 1839	PDA Planning & Implementation	8,007,554	-	8,007,554	7,957	78,259	4,892,416	3,028,922
6084-226-1841	Arterial Operations Management	9,293,749	2,000,000	11,293,749	593,344	22,941	659,246	10,018,217
6084-227-1842	Enhance Arterial: CAT1	6,474,482	3,915,000	10,389,482	-	35,422	7,949,712	2,404,349
6084-230 1843	Commuter Parking O&M	2,469,073	-	2,469,073	-	-	41,961	2,427,113
6084-231 1844	Freeway Performance - I880 Corridor	2,834,286	-	2,834,286	-	-	1,225,490	1,608,796
6084-233 1845	Freeway Performance - I 680 Corridor	13,992,875	-	13,992,875	-	8,369	13,978,047	6,459
6084-235 1846	Bay Area Forward - TMS	2,488,143	-	2,488,143	2,225	-	347,015	2,138,903
6084-241 1847	Shared Mobility	2,497,680	-	2,497,680	19,506	-	600,000	1,878,173
6084-250 1850	511 - Traveler Information Program	1,146,030	4,553,970	5,700,000	290,476	-	-	5,409,524
6084-244 1852	Connected Automated Vehicle Projects	2,500,000	3,200,000	5,700,000	-	-	-	5,700,000
New	Commuter Challenge	-	2,500,000	2,500,000	-	-	-	2,500,000
New	Shared Use Mobility	-	6,000,000	6,000,000	-	-	-	6,000,000
<b>TOTAL</b>		<b>117,779,824</b>	<b>22,203,970</b>	<b>139,983,794</b>	<b>1,233,402</b>	<b>423,529</b>	<b>46,918,277</b>	<b>91,408,586</b>
<b>CMAQ GRANTS</b>								
6084-160 1589	Arterial Operations	194,743	-	194,743	-	-	-	194,743
6084-188 1814	Regional Bicycle Program	64,080	-	64,080	-	-	-	64,080
6084-202 1824	Climate Initiatives	600,000	-	600,000	-	-	595,390	4,610
6084-209 1825	Operate Car Pool Program	5,872,198	-	5,872,198	43,668	389,887	1,850,021	3,588,622
6084-211 1828	Commuter Benefits Implementation	990,601	-	990,601	29,003	41,100	197,430	723,069
6084-210-1829	Incident Management	18,412,548	-	18,412,548	-	902,881	14,170,479	3,339,188
6084-215 1830	Spare the Air Youth Program	1,919,076	-	1,919,076	-	57,555	1,850,289	11,232
6084-216 1831	Arterial/Transit Performance/Rideshare	3,499,531	-	3,499,531	-	15,261	227,806	3,256,464
6084-208 1832	Vanpool Program	1,922,613	-	1,922,613	-	38,413	135,200	1,749,000
6084-212 1834	Connected Vehicles/Shared Mobility - TM	2,370,163	-	2,370,163	106,904	-	-	2,263,259
6084-220 1837	I-880 ICM Central	1,115,752	-	1,115,752	2,849	-	-	1,112,902
6084-219 1840	Bay Area Forward - BBF West Grand TSP	998,400	-	998,400	-	380	100,000	898,020
6084-242 1848	Regional Car Sharing	1,200,411	-	1,200,411	1,339	-	-	1,199,072
6084-243 1849	Targeted Transportation Alternatives	325,000	-	325,000	1,825	-	-	323,175
6084-254 1851	FPI - US 101	500,000	2,500,000	3,000,000	-	-	-	3,000,000
New	FPI - I 580	-	5,000,000	5,000,000	-	-	-	5,000,000
New	FPI - SR-37/Other	-	18,000,000	18,000,000	-	-	-	18,000,000
New	I880 Central Segment Project Study	-	8,840,000	8,840,000	-	-	-	8,840,000
New	Climate Initiatives	-	10,875,000	10,875,000	-	-	-	10,875,000
<b>TOTAL</b>		<b>39,985,115</b>	<b>45,215,000</b>	<b>85,200,115</b>	<b>185,588</b>	<b>1,445,477</b>	<b>19,126,614</b>	<b>64,442,436</b>
<b>FTA GRANTS</b>								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	82,578	13
CA37-X133 1627	JARC	77,310	-	77,310	-	35,408	41,902	-
CA37-X164 1629	JARC	45,757	-	45,757	-	-	45,757	0
CA37-X177 1630	JARC	653,387	-	653,387	-	-	70,064	583,323
CA57-X109 1632	New Freedom	232,947	-	232,947	-	-	183,977	48,970
CA34-0024 1633	FTA 5339 - Bus Purchases	503,308	-	503,308	-	-	-	503,308
CA34-0032 1634	FTA 5339 - Bus Purchases	452,441	-	452,441	-	-	450,307	2,134
64AM18-00758 1636		336,189	-	336,189	146,444	-	-	189,745
<b>TOTAL</b>		<b>2,383,930</b>	<b>-</b>	<b>2,383,930</b>	<b>146,444</b>	<b>35,408</b>	<b>874,585</b>	<b>1,327,493</b>

LIFE TO DATE FEDERAL GRANT BUDGET

As of October 2019 (33.3% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2019	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>Other Federal and State Grants</b>								
SHA 6084-184 1112	FHWA - SHRP2	74,932	-	74,932	-	-	74,932	-
BF-99T455 1340	Environmental Protection Agency (EPA)	331,445	-	331,445	2,969	-	-	328,476
CA000007-01 1342	Environmental Protection Agency (EPA)	545,039	-	545,039	2,969	-	-	542,071
EMF2016 1372	Federal Emergency Management Agency	11,679	-	11,679	7,781	-	43	3,855
CARB 2404	California Air Resources Board	1,906,439	-	1,906,439	-	116,015	764,244	1,026,180
14 -003 2800	Coastal Conservancy	363,387	-	363,387	-	-	100,000	263,387
10-092 2801	Coastal Conservancy	267,809	-	267,809	28,489	-	-	239,321
New	SSARP Planning Grant	-	500,000	500,000	-	-	-	500,000
New	State Coastal Conservancy Prop 68	-	3,000,000	3,000,000	-	-	-	3,000,000
New	State Coastal Conservancy Prop 69	-	2,000,000	2,000,000	-	-	-	2,000,000
New	Federal Emergency Management Agency	-	300,000	300,000	-	-	-	300,000
New	USGS National Grant	-	75,000	75,000	-	-	-	75,000
<b>TOTAL</b>		<b>3,500,731</b>	<b>5,875,000</b>	<b>9,375,731</b>	<b>42,207</b>	<b>116,015</b>	<b>939,220</b>	<b>8,278,289</b>
<b>Total Federal Grants Budget</b>		<b>163,649,601</b>	<b>73,293,970</b>	<b>236,943,571</b>	<b>1,607,642</b>	<b>2,020,428</b>	<b>67,858,696</b>	<b>165,456,804</b>



**CLIPPER OPERATING BUDGET**  
As of October 2019 (33.3% of year)

<b>Clipper Operating</b>	<b>Total FY 2018-19 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	3,469,614	459,825	-	3,009,789
STA	9,747,119	2,308,359	-	7,438,760
Clipper Escheatment	2,960,359	-	-	2,960,359
Transit Operators	23,773,381	3,415,235	-	20,358,146
<b>Revenue</b>	<b>\$39,950,473</b>	<b>\$6,183,418</b>	<b>\$0</b>	<b>\$33,767,055</b>
<b>Expense</b>	<b>\$39,950,473</b>	<b>\$6,231,386</b>	<b>\$28,737,143</b>	<b>\$4,981,944</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
As of October 2019 (33.3% of year)

<b>Clipper I - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	66,669,515	66,903,705	-	(234,190)
Card Sales	17,951,267	14,906,020	-	3,045,247
Cap and Trade (LCTOP)	7,777,971	7,316,352	-	461,619
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,533,717	-	(9,461,152)
STP	31,790,753	34,154,957	-	(2,364,204)
STA	21,946,540	21,675,912	-	270,628
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,725,816	-	3,138,997
Transit Operators	10,279,437	1,932,383	-	8,347,054
WETA	603,707	618,862	-	-
Sales Tax	890,216	890,216	-	-
<b>Revenue</b>	<b>\$230,409,357</b>	<b>\$221,802,417</b>	<b>\$0</b>	<b>\$8,606,940</b>
<b>Expense</b>	<b>\$230,409,357</b>	<b>\$211,345,741</b>	<b>\$13,709,044</b>	<b>\$5,354,572</b>

CLIPPER II - CAPITAL BUDGET (Life to Date)  
As of October 2019 (33.3% of year)

Clipper II - Capital	LTD Budget Thru FY 2018-19	Actual	Encumbrance	Project Balance L-T-D
STP	11,912,911	7,276,443	-	4,636,468
FTA	133,903,689	5,176,332	-	128,727,357
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	500,000	-	3,500,000
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	2,766,601	-	(355,760)
<b>Revenue</b>	<b>\$220,599,324</b>	<b>\$15,979,179</b>	<b>\$16,510,996</b>	<b>\$204,620,145</b>
<b>Expense</b>	<b>\$220,599,324</b>	<b>\$15,567,096</b>	<b>\$16,510,996</b>	<b>\$188,521,232</b>

**DISBURSEMENT REPORT (Non- Federal Funded)  
As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Support to the Commission</b>				
Consultants	200,000		35,188	
<b>1051111 - Subtotal</b>	<b>200,000</b>	<b>-</b>	<b>35,188</b>	<b>164,812</b>
<b>Implement Public Information Program</b>	2,481,457			
Alta Planning and Design			15,000	
Circlepoint		47,455	29,197	
Consultants		161,013	1,756,744	
Craft & Commerce, LLP		17,934	63,323	
League f Women Voters			25,000	
<b>1051112 - Subtotal</b>	<b>2,481,457</b>	<b>226,402</b>	<b>1,889,264</b>	<b>365,791</b>
<b>Regional Transportation Plan</b>	1,640,144			
AECOM		25,740		
AECOM Technical Services			12,500	
Consultants		68,603	192,606	
Craft & Commerce, LLP			30,000	
Economic & Planning Systems			29,970	
EMC Research			110,000	
Exygy, Inc.		50,000	9,579	
Urban Institute			52,641	
<b>1051121 - Subtotal</b>	<b>1,640,144</b>	<b>144,343</b>	<b>437,296</b>	<b>1,058,505</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>	2,115,306			
Consultants			18,257	
Corey, Canapary & Galanis			297,068	
ETC Institute			79,338	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.		24,098	31,402	
Resource Systems Group			205,093	
RSG, Inc.		16,561	282,825	
WSP USA Inc.			100,040	
<b>1051122 - Subtotal</b>	<b>2,115,306</b>	<b>40,659</b>	<b>1,025,397</b>	<b>1,049,250</b>
<b>Airport/Seaport/Freight Planning</b>	66,870			
Cambridge Systematics			66,870	
<b>1051124 - Subtotal</b>	<b>66,870</b>	<b>-</b>	<b>66,870</b>	<b>-</b>
<b>Active Transportation Planning</b>	685,355			
Consultants			135,230	
<b>1051125- Subtotal</b>	<b>685,355</b>	<b>-</b>	<b>135,230</b>	<b>550,125</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Resiliency (Sea Level Rise/Adaptation) PL</b>	2,406			
<b>1051126 - Subtotal</b>	<b>2,406</b>	<b>-</b>	<b>-</b>	<b>2,406</b>
<b>Regional Trails</b>	90,000			
<b>1051127 - Subtotal</b>	<b>90,000</b>	<b>-</b>	<b>-</b>	<b>90,000</b>
<b>Resilience and Hazards Planning</b>	88,196			
Consultants		625	7,281	
Rutherford & Chekene		9,219	71,071	
<b>1051128 - Subtotal</b>	<b>88,196</b>	<b>9,844</b>	<b>78,352</b>	<b>0</b>
<b>Regional Research and Economic</b>	140,375			
Bay Area Council Economics Ins.			10,000	
Consultants		3,500	16,500	
<b>1051129 - Subtotal</b>	<b>140,375</b>	<b>3,500</b>	<b>26,500</b>	<b>110,375</b>
<b>Advocate Legislative Programs</b>	475,000			
Carter, Welch & Associates		25,273		
Government Relations		75,000		
<b>1051132- Subtotal</b>	<b>475,000</b>	<b>100,273</b>	<b>-</b>	<b>374,727</b>
<b>Agency Financial Management</b>	863,302			
SunGard Bi-Tech Inc.			1,194	
Gray CPA Consulting			3,000	
PWC		273,404	-	
<b>1011152 - Subtotal</b>	<b>863,302</b>	<b>273,404</b>	<b>4,194</b>	<b>585,704</b>
<b>Administrative Services</b>	1,049,359			
Koff & Associates			98,229	
Management Partners		814	49,716	
Carl Warren & Co.			91,696	
Pathways for High School		118,151	10,242	
Keenan & Associates			30,333	
San Jose State University			100,000	
Perfromance Based Ergonomics		7,253	14,667	
The Solis Group			21,852	
<b>1011153 - Subtotal</b>	<b>1,049,359</b>	<b>126,218</b>	<b>416,736</b>	<b>506,406</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Information Technology Services</b>	2,118,821			
Informatix, Inc.			50,016	
Visual Strategies		4,999	245,001	
Management Partners			74,536	
Ruben Marcia			5,653	
SSP Data			73,092	
Core BTS Inc.			60,210	
Elite Automotion LLC			30	
KIS Computer Center			18,000	
Larry Cabrera		8,388	16,612	
<b>1011161 - Subtotal</b>	<b>2,118,821</b>	<b>13,387</b>	<b>543,151</b>	<b>1,562,283</b>
<b>Performance Measurement and Monitoring</b>	405,861			
Consultants			125,000	
Exygy, Inc.			279,739	
<b>1051212 - Subtotal</b>	<b>405,861</b>	<b>-</b>	<b>404,739</b>	<b>1,123</b>
<b>Regional Rideshare Program</b>	1,266,328			
Enterprise Rent-A-Car		115,238	405,600	
Parsons Brinkerhoff		83,341	9,374	
<b>1051222 - Subtotal</b>	<b>1,266,328</b>	<b>198,579</b>	<b>414,974</b>	<b>652,775</b>
<b>Support Regional Operations Program</b>	701,526			
Iteris Inc.		6,711	65,052	
Consultants			83,763	
<b>1051223 - Subtotal</b>	<b>701,526</b>	<b>6,711</b>	<b>148,815</b>	<b>546,000</b>
<b>Implement Regional Traveler Information Services</b>	635,472			
Civic Resource Group			33,534	
Consultants		24,333	154,067	
Iteris Inc.			139,909	
Kimly Horn & Associates			2,029	
<b>1051224 - Subtotal</b>	<b>635,472</b>	<b>24,333</b>	<b>329,539</b>	<b>281,600</b>
<b>Pavement Management Program (PMP)</b>	3,298,367			
AMS Consulting			34,169	
Bellecci & Associates		2,753	5,735	
CA State University, Chico			100,000	
Capitol Asset & Pavement			35,936	
Devmecca.Com		289,285	1,210,715	
Fugro Roadware, Inc.			26,542	
Harris & Associates			28,193	
Nichols		36,093	321,046	
Nichols Consulting			49,713	
Pavement Engineering Inc.		3,859	24,587	
Quality Engineering Solutions		1,973	23,089	
URS Corporation			55,151	
<b>1051233 - Subtotal</b>	<b>3,298,367</b>	<b>333,962</b>	<b>1,914,876</b>	<b>1,049,529</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Arterial Operations</b>	1,871,672			
City of San Rafael		-	207,844	
City of Concord		-	588,854	
DKS Associates		3,692	11,086	
City of hayward		-	53,554	
Iteris, DBA, MMA		26,796	140,804	
Kimly Horn		4,637		
City of Pleasanton		-	47,644	
City of San Francisco		-	95,764	
<b>1051234 - Subtotal</b>	<b>1,871,672</b>	<b>35,125</b>	<b>1,145,550</b>	<b>690,997</b>
<b>Incident Management</b>	600,870			
Circlepoint Consultants			63,650 112,600	
City of Fremont			57,286	
Iteris, Inc.			124,000	
Kimly Horn		9,264	24,140	
<b>1051235 - Subtotal</b>	<b>600,870</b>	<b>9,264</b>	<b>381,676</b>	<b>209,930</b>
<b>Technologically -Based Operations &amp; Mobility</b>	2,000,000			
<b>1051238 - Subtotal</b>	<b>2,000,000</b>	<b>-</b>	<b>-</b>	<b>2,000,000</b>
<b>Lifeline Transportation Program</b>	20,000			
<b>1051310 - Subtotal</b>	<b>20,000</b>	<b>-</b>	<b>-</b>	<b>20,000</b>
	6,875,828			
Carshoft Technology Corporation Consultants		93,173	9,500	
Cubic Transportation Systems		366,312	958,798	
Moore,Iacofano, Goltsman			188,000	
Resource Development Association		4,600	76,119	
Vertiba,LLC		322,973	999,950	
<b>1051311 - Subtotal</b>	<b>6,875,828</b>	<b>787,058</b>	<b>2,232,367</b>	<b>3,856,403</b>
<b>Climate Reslilience for People with Disabilitites</b>	308,601			
World Institute on Disability			308,601	
<b>1051313 - Subtotal</b>	<b>308,601</b>	<b>-</b>	<b>308,601</b>	<b>-</b>
<b>Climate Assessment Initiative</b>	285,000			
Nelson\Nygaard Consulting			75,000	
<b>1051413 - Subtotal</b>	<b>285,000</b>	<b>-</b>	<b>75,000</b>	<b>210,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)  
As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>East Palo Alto &amp; Dumbarton Bridge Resilience Study</b>	161,648			
AECOM		8,634	153,014	
<b>1051415 - Subtotal</b>	<b>161,648</b>	<b>8,634</b>	<b>153,014</b>	<b>(0)</b>
<b>Regional Assistance Program</b>	274,000			
Pieriott & Associates, LLC			62,000	
<b>1051514 - Subtotal</b>	<b>274,000</b>	<b>-</b>	<b>62,000</b>	<b>212,000</b>
<b>State Programing, Monitoring and TIP Development</b>	187,200			
<b>1051515 - Subtotal</b>	<b>187,200</b>	<b>-</b>	<b>-</b>	<b>187,200</b>
<b>Transit Sustainability Project</b>	6,550,056			
Arup North America Ltd.			3,684	
BART			42,857	
Golden Gate Bridge & Highway			42,857	
HDR Engineering Inc.		191,472	4,728,045	
Nelson Nygaard			30,000	
Nelson Nygard			6,840	
Parsons Brinckerhoff, Inc.		1,100	102,140	
Sonoma County Transit			30,000	
Sonoma County Transportation			32,538	
Sonoma County Transportation			30,000	
UCLA		10,039	11,628	
Water Transit Authority			30,000	
WestCat			30,000	
<b>1051517 - Subtotal</b>	<b>6,550,056</b>	<b>202,611</b>	<b>5,120,588</b>	<b>1,226,857</b>
<b>Transportation for Livable Communities Program</b>	607,242			
California Houing Partnership			12,750	
Consultants			36,710	
Fehr & Peers Associates			19,873	
Nelson Nygard		1,945	31,875	
Placeworks		5,258	29,769	
TJKM Tansportation Consultant		505	20,733	
<b>1051611 - Subtotal</b>	<b>607,242</b>	<b>7,708</b>	<b>151,710</b>	<b>447,824</b>
<b>Climate Adaptation Consulting (BARC)</b>	194,384			
Consultants		6,331	8,669	
Hassell esign Ltd.			20,000	
San Francisco Estuary Institute		3,005	21,995	
Tom Leader Studion, Inc.			20,000	
<b>1051612- Subtotal</b>	<b>194,384</b>	<b>9,336</b>	<b>70,664</b>	<b>114,384</b>

**DISBURSEMENT REPORT (Non- Federal Funded)  
As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Road Maintenance and Rehabilitation</b>	285,445			
Consultants		2,860		
Hassell Design Ltd.		11,659	103,381	
State Coastal Conservancy		16,078	13,922	
Tom Leader Studio, Inc.		14,855	122,689	
<b>1051613- Subtotal</b>	<b>285,445</b>	<b>45,452</b>	<b>239,992</b>	<b>-</b>
<b>Connecting Housing and Transportation</b>	149,999			
Baird & Driskell Planning			23,500	
Community Outreach			6,000	
Consultants			50,113	
TransIight LLC			70,386	
<b>1051615- Subtotal</b>	<b>149,999</b>	<b>-</b>	<b>149,999</b>	<b>(0)</b>
<b>Regional Advance Mitigation Projects</b>	100,000			
Consultants		50,000		
<b>1051616- Subtotal</b>	<b>100,000</b>	<b>50,000</b>	<b>-</b>	<b>50,000</b>
<b>Technical Assistance Strategic Planning</b>	82,856			
Estolano Lesar Advisors		20,053	22,359	
<b>1051617- Subtotal</b>	<b>82,856</b>	<b>20,053</b>	<b>22,359</b>	<b>40,445</b>
<b>Affordable Mobility Pilot Program</b>	248,278			
TransForm		32,722	215,556	
<b>1051618- Subtotal</b>	<b>248,278</b>	<b>32,722</b>	<b>215,556</b>	<b>-</b>
<b>General Operations c/o</b>	225,325			
<b>1011998 &amp; 1011999</b>	<b>225,325</b>	<b>-</b>	<b>-</b>	<b>225,325</b>
<b>Legal</b>	1,098,750			
Davis Wright TR Legal Fees		10,044		
Glen & Finley LLP		12,270	28,286	
Hanson Bridgett		3,208	51,880	
Meyers Nave		325	32,558	
BEST BEST & Kreiger LLP		2,262	33,123	
Rene Public Law Group		30,133	373,692	
Farella Braun And Martel LLP		-	20,000	
<b>1060000 - Subtotal</b>	<b>1,098,750</b>	<b>58,241</b>	<b>539,538</b>	<b>500,971</b>
<b>Total Operating Contract Services</b>	<b>40,451,297</b>	<b>2,767,819</b>	<b>18,739,733</b>	<b>18,943,745</b>
<b>Bay Area Forward - Capital</b>				
<b>11051237 - Subtotal</b>	<b>19,156,767</b>	<b>1,532,003</b>	<b>2,880,605</b>	<b>14,744,159</b>
<b>Total Non-Federal Grant Funded</b>	<b>59,608,064</b>	<b>4,299,822</b>	<b>21,620,338</b>	<b>33,687,905</b>



**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2019 (33.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants		50,082
San Francisco Transportation Authority		24,850
<b>1051122 - Subtotal</b>	<b>-</b>	<b>74,932</b>
<b>Regional Trails</b>		
East Bay Regional Park District		100,000
Petaluma Small Craft Center		7,590
<b>1051127 - Subtotal</b>	<b>-</b>	<b>107,590</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		
Enterprise Renta-A-Car	38,413	135,000
Parsons Brinkerhoff	430,987	2,047,451
Parsons Brinkerhoff		484,700
Sonoma County Transportation		28,038
Valley Transportation Authority		70,000
<b>1051222 - Subtotal</b>	<b>469,400</b>	<b>2,765,189</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates		351,663
<b>1051223 - Subtotal</b>	<b>-</b>	<b>351,663</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	53,976	429,258
Faneuil Inc.,		608,934
Iteris, Inc.		2,522,441
Kimley-Horn & Associates		579,371
<b>1051224 - Subtotal</b>	<b>53,976</b>	<b>4,140,004</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2019 (33.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Pavement Management Program (PMP)</b>		
AMS Consulting		263,731
Bellecci & Associates	21,247	44,265
Capitol Asset & Pavement Services		277,364
Fugro Roadware, Inc.		204,858
Harris & Associates		217,607
Nichols Consulting Engineers	14,214	527,473
Pavement Engineering Inc.	29,781	189,773
Quality Engineering Solutions	15,227	178,211
<b>1051233 - Subtotal</b>	<b>80,469</b>	<b>1,903,282</b>
<b>Arterial Operations Coordination</b>		
AC Transit		2,300,000
City of Emeryville		726,913
City of San Rafael		704,691
DKS Associates	28,497	95,294
City of Hayward	-	263,279
IBI Group		195,000
Iteris, DBA MMA	4,092	54,180
Kimly Horn	18,094	334,673
City of Pleasanton		233,791
City of San Jose		1,342,688
City of San Ramon		444,949
City of San Francisco		469,566
TJKM Transportation Consultant		23,406
Town of Los Gatos		643,662
City of Union City		618,916
<b>1051234 - Subtotal</b>	<b>50,683</b>	<b>8,451,008</b>
<b>Implement Incident Management Program</b>		
AC Transit	-	308,160
Consultants	7,448	182,552
MNS Engineers (former S&C)	55,708	1,302,997
Sturgeon Electric California	834,745	12,524,345
URS Corporation	4,981	42,424
<b>1051235 - Subtotal</b>	<b>902,882</b>	<b>14,360,478</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)  
As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Expended</b>	<b>Encumbered</b>
<b>Lifeline Program</b>		
Contra Costa County		9,924
County of Contra Costa		29,721
Cycles of Change		751
San Mateo County Human Service		29,668
<b>1051310 - Subtotal</b>	<b>-</b>	<b>70,064</b>
<b>Implement Lifeline Transportation Program</b>		
Marin Transit		45,757
Peninsula Family Services	35,408	41,902
<b>1051311 - Subtotal</b>	<b>35,408</b>	<b>87,659</b>
<b>Lifeline Planning</b>		
Alta Plannign & Design	57,555	1,850,289
Silicon Valley Bicycle Coalition		595,390
<b>1051413 - Subtotal</b>	<b>57,555</b>	<b>2,445,679</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
ECCTA		450,307
<b>1051512 - Subtotal</b>	<b>0</b>	<b>450,307</b>
<b>New Freedom - Non - Planning Funds</b>		
County Connection		15,000
Outreach & Escort Inc.		183,977
San Mateo County Transit District		67,578
<b>1051518 - Subtotal</b>	<b>-</b>	<b>266,555</b>
<b>Transportation for Livable Communities</b>		
Bay Conservation & Development	28,541	5,096
City of Berkeley		79,975
City & County of San Francisco		500,000
City of San Rafael		339,704
City of Santa Rosa		763,636
City of Union City		800,000
Consultant	71,220	
City of El Cerrito		317,844
Fehr & Peers Associates	6,900	146,487
Nelson Niggard	15,016	458,187
City of Oakland		34,800
Placeworks	33,827	236,525
City and County of San Francisco		400,000

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of October 2019 (33.3% of year)**

<b>Work Element/Consultant</b>	<b>Expended</b>	<b>Encumbered</b>
City of San Jose		437,119
Santa Clara VTA		1,464,735
City of Sunnyvale		335,200
TJKM Transportation Consultant	12,707	160,023
City of Vacaville	25,518	324,482
Valley Transportation Authority		132,000
<b>1051611 - Subtotal</b>	<b>193,729</b>	<b>6,935,813</b>
<b>Affordable Mobility Pilot Program</b>		
Transform	116,015	764,244
<b>1051618 - Subtotal</b>	<b>116,015</b>	<b>764,244</b>
<b>Fund 190 CMA PLANNING</b>	<b>-</b>	<b>7,891,992</b>
<b>Total Federal Grant Funded</b>	<b>1,960,114</b>	<b>51,066,502</b>
<b>Bay Area Forward - Capital</b>		
<b>11051237 - Subtotal</b>	<b>60,314</b>	<b>16,792,194</b>
<b>Total Federal Grant Funded</b>	<b>2,020,428</b>	<b>67,858,696</b>

**CAPITAL PROJECTS DISBURSEMENT REPORT**  
As of October 2019 (33.3% of year)

<b>Work Element/Consultant</b>	<b>Budgeted</b>	<b>Expended</b>	<b>Encumbered</b>	<b>Balance</b>
<b>Capital Expenditures</b>	<b>540,000</b>		30,323	
<b>Subtotal</b>	<b>540,000</b>	<b>-</b>	<b>30,323</b>	<b>509,677</b>
<b>Bay Bridge Forward</b>	<b>33,715,074</b>			
California Engng. Contractors			26,154	
California Highway Patrol			686,231	
Caribou Public Relations			300,000	
CONSULTANTS (PO)		2,438	431,900	
CONTRA COSTA TRANSP AUTHORITY		8,369	13,978,047	
GRAY-BOWEN-SCOTT			80,000	
HDR Engineering, Inc.		23,259	178,222	
KIMLEY-HORN		26,998	525,288	
Kittelson & Associates			330,000	
Kittelson & Associates, Inc			125,000	
LUUM		56,000		
MTC STAFF COSTS		407,380	1,004,431	
O.C. Jones & Sons, Inc.		1,249,455	1,005,654	
Parsons Transportation Group			850,798	
Placeworks			45,711	
San Mateo County Transit Dist			27,390	
Steer Davis & Gleave Inc.			300,000	
Transp Mobility Solutions			41,961	
Wilson, Sparling & Associates			30,000	
WSP USA Inc.		222,309	597,691	
WSP USA, Inc.			100,000	
WSP, USA INC.		4,239	68,001	
<b>Subtotal</b>	<b>33,715,074</b>	<b>2,000,447</b>	<b>20,732,479</b>	<b>10,982,148</b>
<b>Hub Signage Program</b>	<b>13,197,993</b>			
Staff Costs		1,474,838		
Consultants		1,444,765		
Kimly-Horn and Associates		792,395		
BART		4,760,658		
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
<b>3322650,2651,2652,2654 &amp; 2655 Subtotal</b>	<b>13,197,993</b>	<b>10,454,977</b>	<b>-</b>	<b>2,743,016</b>

CLIPPER PROJECTS DISBURSEMENT REPORT  
As of October 2019 (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>39,950,473</b>			
AC Transit		61,200	183,600	
CONSULTANTS (PO)		140,602	2,515,916	
Cubic Transportation Systems		5,358,839	24,854,661	
MTC STAFF COSTS		325,551	920,125	
Faneuil, Inc.		69,161		
Moore, Iacofano, Golstman		166,806		
Nematode Holdings		99,023	173,045	
Resource Development Assoc.		10,204	89,796	
<b>320122116 Clipper Operating Expenses</b>	<b>\$39,950,473</b>	<b>\$6,231,386</b>	<b>\$28,737,143</b>	<b>\$4,981,944</b>
<b>Clipper I - Capital</b>	<b>230,409,357</b>			
Staff costs		13,165,736		
Auriga Corporation		12,293		
A T & T		90,557		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
Auriga Corporation		373,734		
BART		3,704,732		
Booz Allen Hamilton		22,458,743		
Caporicci & Larson		11,530		
Consultants		6,224,324		
Cornerstone Transp. Consulting		110,119		
CH2M Hill			1,312,551	
Cubic Transportation Systems		94,607,465	8,917,496	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		110,407		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		1,077,224	100,000	
KPMG consulting		1,127,033		
Local Government Services		915,517		

CLIPPER PROJECTS DISBURSEMENT REPORT

As of October 2019 (33.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		842,352		
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,440,738	3,378,997	
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$230,409,357</b>	<b>\$211,345,741</b>	<b>\$13,709,044</b>	<b>\$5,354,572</b>
<b>Clipper II- Capital</b>	<b>220,599,324</b>			
CH2M Hill		505,489	539,511	
CONSULTANTS (PO)		316,686	197,022	
CUBIC		4,254,595	14,172,712	
IBI GROUP		3,578,546	1,419,093	
Invoke Technologies		353,426	46,574	
KPMG CONSULTING		245,435	50	
MTC STAFF COSTS		5,698,953		
Thompson Coburn LLP		613,965	136,034	
<b>312 Clipper II - Total Expenses</b>	<b>\$220,599,324</b>	<b>\$15,567,096</b>	<b>\$16,510,996</b>	<b>\$188,521,232</b>

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR  
\$2,500-\$200,000**

		<b>October, 2019</b>
Bay Nature Institute		3,000
	Advertising and Public Awareness	
ICX Transportation		150,000
	Computer Maintenance Services	
CDW Government Inc.		98,358
	Computer Hardware Upgrade	
ABC Security Services		24,575
	Security Service	
Interline Technologies		23,000
	Software License Renewal	
Granicus		13,700
	FY2019-20 On Site Staff Training	
O'Reilly Media Inc.		2,885
	Software License Renewal	
Altec Products Inc.		3,000
	Office Supplies	
Everbridge Inc.		7,992
	Hosted Services	
Ralph Anderson and Associates		44,250
	FY2019-20 Recruitment Assistance	
Caseware International		9,990
	Software License Renewal	
Inro Consultants		50,900
	Software License Renewal	
Gray CPA Consulting		3,000
	Caseware Financial Statement Preparation	



**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-200,000**

<b>Consultant</b>	<b>Purpose</b>	<b>October, 2019</b>
Kimley Horn and Associates	Operational Improvements - Caltrans	\$100,000
Nelson Nygaard Consultants	AECOM Technical Services	\$12,500