

# Metropolitan Transportation Commission Programming and Allocations Committee

July 13, 2016

Agenda Item 2c

## Concurrence Request for STIP Amendment

**Subject:** 2016 State Transportation Improvement Program (STIP) Amendment  
Concurrence for San Mateo County SR-92/SR-82 Interchange Improvement project.

**Background:** The San Mateo County Transportation Authority (SMCTA) and the San Mateo City/County Association of Governments (SM C/CAG) request MTC concurrence for an AB 3090 STIP amendment for the SR-92/SR-82 (El Camino Real) Interchange Improvement project. In the approved 2016 STIP, CTC delayed the project by one year, from FY 2016-17 to FY 2017-18. Since the project will be ready for construction earlier than FY 2017-18, SM C/CAG requests amending the STIP to convert the SR-92/SR-82 Interchange Improvement project into an AB 3090 Reimbursement project. AB 3090 Reimbursement allows sponsors to use local funds to advance projects, and receive reimbursement from state funds at a later date. San Mateo requests payback from the state over a three year period beginning in FY 2017-18.

Since this action proposes to amend the STIP to convert a project into an AB 3090 Reimbursement project, Committee action is required to concur with the proposed amendment. This action is in accordance with RTIP Policies and Procedures, MTC Resolution No. 4208, available at <http://mtc.ca.gov/our-work/fund-invest/investment-strategies-commitments/transit-21st-century/funding-sales-tax-and>. Below is the proposed STIP amendment.

### Existing Programming:

<u>PPNO</u>	<u>Sponsor</u>	<u>Phase</u>	<u>Amount</u>	<u>FY</u>	<u>Project Title</u>
0668A	San Mateo (City)	CON	\$2,000,000	2017-18	SR-92/SR-82 Interchange Imps.
0668A	San Mateo (City)	CON-CT	\$3,000,000	2017-18	SR-92/SR-82 Interchange Imps.

### Proposed Programming:

<u>PPNO</u>	<u>Sponsor</u>	<u>Phase</u>	<u>Amount</u>	<u>FY</u>	<u>Project Title</u>
0668A	San Mateo (City)	CON	\$ 800,000	2017-18	SR-92/SR-82 Interchange (3090)
			\$ 600,000	2018-19	
			\$ 600,000	2019-20	
0668A	San Mateo (City)	CON-CT	\$1,000,000	2017-18	SR-92/SR-82 Interchange (3090)
			\$1,000,000	2018-19	
			\$1,000,000	2019-20	

**Issues:** Available STIP funding capacity is severely limited in the first three years of the STIP period (FY 2016-17 through FY 2018-19). CTC will likely delay the payback schedule proposed by San Mateo to the last two years of the STIP (FY 2019-20 and FY 2020-21), or later. SM C/CAG is aware of this risk.

**Recommendation:** Approve the requested STIP amendment concurrence from SM C/CAG and direct staff to send a letter of concurrence to the CTC.

**Attachments:** SMCTA and SM C/CAG STIP Amendment Request Letter

# C/CAG

## CITY/COUNTY ASSOCIATION OF GOVERNMENTS OF SAN MATEO COUNTY

*Atherton • Belmont • Brisbane • Burlingame • Colma • Daly City • East Palo Alto • Foster City • Half Moon Bay • Hillsborough • Menlo Park • Millbrae • Pacifica • Portola Valley • Redwood City • San Bruno • San Carlos • San Mateo • San Mateo County • South San Francisco • Woodside*

May 27, 2016

Kenneth Kao  
Metropolitan Transportation Commission  
101 Eighth Street  
Oakland, CA 94607-4700

RE: SR 92/ SR82 Interchange Improvement Project – AB 3090 Request to Advance  
Construction and Construction Support Program Year to FY 16/17

Dear Kenny:


The SR 92/ SR82 Interchange Improvement Project is essentially ready to list (RTL) except for the execution of the cooperative agreement. Currently the delay of funding in the STIP is preventing the cooperative agreement from being executed. This project was originally programmed in FY 16/17. Due to a severely limited STIP capacity, CTC has pushed this project out one fiscal year to FY 17/18.

Currently, the San Mateo County Transportation Authority (SMCTA) has allocated \$16 million for the construction capital phase. The 2016 STIP proposal was to direct \$3 of the \$5 million in STIP funding for construction support funding since Caltrans uses a higher ICRP charge rate if local funds are used to reimburse Caltrans for staffs support cost. The remaining \$2 million would fund construction capital.

Because the \$5 mil in STIP funds have been pushed out to FY 17/18 SMCTA is requesting to advance the project back to FY 16/17 by using \$5 mil in local funding under the AB 3090 process.

C/CAG concurs with the request from SMCTA to use local funding to advance the project and receive reimbursement for the programmed STIP funds. Attached is the Project Programming Request Amendment form to support your project change request process. Please contact me at 650-599-1462 if you have any questions.

Sincerely,



Jean Higaki

C/CAG Transportation Systems Coordinator

Enclosures: Project Programming Request Amendment  
AB 3090 Request from SMCTA



BOARD OF DIRECTORS 2016

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Sandy Wong, Executive Director, C/CAG  
County Office Building  
555 County Center, Fourth Floor  
Redwood City, CA 94063

May 25, 2016

Sandy,

The San Mateo County Transportation Authority (TA) through C/CAG requests an amendment to the 2016 STIP, converting the SR92/SR82 Interchange Improvement Project (PPNO 668A) into an AB 3090 Project. This AB 3090 Reimbursement Agreement will advance \$5 million of local funds to the project into FY16/17 to cover the unfunded construction capital and construction support associated with the project and will be reimbursed with STIP funding in FY17/18, the year the funding is programmed in the current 2016 STIP or shortly thereafter.

The project design is completed, right of way certification is secured, and Ready-to-List status will be achieved shortly presenting the opportunity to begin construction this summer. In October 2015, the TA took an action to allocate \$16 million to fund the capital cost of the project with the understanding that \$5 million in STIP funds would be made available to the project (\$2 million for construction capital and \$3 million for construction support). There were early efforts to retain this funding in FY16/17 as programmed in the 2014 STIP, however on May 18 the CTC adopted the 2016 STIP that deferred funding for this project until FY17/18.

**2016 STIP Amendment Summary:**

<u>PPNO</u>	<u>TIP ID</u>	<u>Sponsor</u>	<u>Project Title</u>	
668A	SM-110047	City of San Mateo	SR92/SR82 Interchange Improvements	
<u>Phase</u>	<u>Amount</u>	<u>STIP Fiscal</u>	<u>AB 3090 FY</u>	<u>AB 3090 Fund Source</u>
Construction	\$2,000,000	FY 2017/18	FY 2016/17	Local Funds
Construction Support	\$3,000,000	FY 2017/18	FY 2016/17	Local Funds

We have been working with Kenny Kao, MTC Senior Planner, and have been informed that he needs to receive the amendment request and PPR from C/CAG no later than June 10 to get this item agendized for the CTC's July meeting.

Please let me know if you have any questions or require additional information to meet the aforementioned timeline.

Thank you,

Joseph M Hurley  
Director, San Mateo County Transportation Authority Program

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (Revised September 2013)

General Instructions

<input checked="" type="checkbox"/> Amendment (Existing Project)					<b>Date:</b>	9/25/15
<b>District</b>	<b>EA</b>	<b>Project ID</b>		<b>PPNO</b>	<b>MPO ID</b>	<b>TCRP No.</b>
04	23520			0668A		
<b>County</b>	<b>Route/Corridor</b>	<b>PM Bk</b>	<b>PM Ahd</b>	<b>Project Sponsor/Lead Agency</b>		
SM	92	11.0	11.5	City of San Mateo		
SM	82	10.3	10.7	<b>MPO</b>		<b>Element</b>
				MTC		CO
<b>Project Manager/Contact</b>		<b>Phone</b>		<b>E-mail Address</b>		
Gary Heap		(650) 522-7307		<a href="mailto:gheap@cityofsanmateo.org">gheap@cityofsanmateo.org</a>		
<b>Project Title</b>						
SR 92/SR 82 Interchange Improvements - Phase 1						
<b>Location, Project Limits, Description, Scope of Work</b>						
In San Mateo. Modify existing on/off ramps at the 92/82 interchange. It is proposed to remove the southeast and the northwest quadrant loops. Two new signalized intersections would be created at new on and off ramps on SR 82 (El Camino Real).						
<input checked="" type="checkbox"/> Includes ADA Improvements <input checked="" type="checkbox"/> Includes Bike/Ped Improvements						
<b>Component</b>	<b>Implementing Agency</b>					
PA&ED	Caltrans					
PS&E	Caltrans					
Right of Way	Caltrans					
Construction	Caltrans					
<b>Purpose and Need</b>						
The purpose of the proposed project is to improve traffic operations of the 92/82 interchange and increase performance at the on and off ramps that is creating secondary operational deficiencies at SR 92 mainline.						
<b>Project Benefits</b>						
This project would eliminate the short weaving distances on SR 92 between the on and off-ramps to and from South El Camino Real and thus reduce the queuing that is the existing condition.						
<input checked="" type="checkbox"/> Supports Sustainable Communities Strategy (SCS) Goals <input checked="" type="checkbox"/> Reduces Greenhouse Gas Emissions						
<b>Project Milestone</b>					<b>Existing</b>	<b>Proposed</b>
Project Study Report Approved						
Begin Environmental (PA&ED) Phase					05/15/12	05/15/12
Circulate Draft Environmental Document				<b>Document Type</b>	10/15/13	10/15/13
Draft Project Report					10/15/13	10/15/13
End Environmental Phase (PA&ED Milestone)					12/15/13	12/15/13
Begin Design (PS&E) Phase					01/15/14	01/15/14
End Design Phase (Ready to List for Advertisement Milestone)					12/15/15	03/15/16
Begin Right of Way Phase					01/15/14	01/15/14
End Right of Way Phase (Right of Way Certification Milestone)					12/15/15	12/15/15
Begin Construction Phase (Contract Award Milestone)					01/15/16	04/15/16
End Construction Phase (Construction Contract Acceptance Milestone)					12/15/17	03/15/17
Begin Closeout Phase					12/15/17	03/15/17
End Closeout Phase (Closeout Report)					12/15/18	03/15/18

**ADA Notice**

For individuals with sensory disabilities, this document is available in alternate formats. For information call (916) 654-6410 or TDD (916) 654-3880 or write Records and Forms Management, 1120 N Street, MS-89, Sacramento, CA 95814.

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (Revised September 2013)

Date: 9/25/15

**Additional Information**

The SR 92/ SR82 Interchange Improvement Project is essentially ready to list (RTL) except for the execution of the cooperative agreement. Currently the delay of funding in the STIP is preventing the cooperative agreement from being executed. This project was originally programmed in FY 16/17. Due to a severely limited STIP capacity, CTC has pushed this project out one fiscal year to FY 17/18.

Currently, the San Mateo County Transportation Authority (SMCTA) has allocated \$16 million for the construction capital phase. The 2016 STIP proposal was to direct \$3 of the \$5 million in STIP funding for construction support funding since Caltrans uses a higher ICRP charge rate if local funds are used to reimburse Caltrans for staffs support cost. The remaining \$2 million would fund construction capital.

Because the \$5 mil in STIP funds have been pushed out to FY 17/18 SMCTA is requesting to advance the project back to FY 16/17 by using \$5 mil in local funding under the AB 3090 process.

**PROJECT PROGRAMMING REQUEST**

DTP-0001 (Revised September 2013)

Date: 9/25/15

District	County	Route	EA	Project ID	PPNO	TCRP No.
04	SM, SM,	92, 82,	23520		0668A	
<b>Project Title:</b> SR 92/SR 82 Interchange Improvements - Phase 1						

Existing Total Project Cost (\$1,000s)									Implementing Agency
Component	Prior	16/17	17/18	18/19	19/20	20/21	21/22+	Total	
E&P (PA&ED)	1,605							1,605	Caltrans
PS&E	1,600							1,600	Caltrans
R/W SUP (CT)									Caltrans
CON SUP (CT)									Caltrans
R/W		1,500						1,500	Caltrans
CON		11,395						11,395	Caltrans
<b>TOTAL</b>	<b>3,205</b>	<b>12,895</b>						<b>16,100</b>	
Proposed Total Project Cost (\$1,000s)									Notes
E&P (PA&ED)	1,605							1,605	
PS&E	2,600							2,600	
R/W SUP (CT)									
CON SUP (CT)			1,000	1,000	1,000			3,000	
R/W									
CON		18,895	800	600	600			20,895	
<b>TOTAL</b>	<b>4,205</b>	<b>18,895</b>	<b>1,800</b>	<b>1,600</b>	<b>1,600</b>			<b>28,100</b>	

Fund No. 1:	RIP - National Hwy System (NH) - AB 3090								Program Code
Existing Funding (\$1,000s)									20.XX.075.600
Component	Prior	16/17	17/18	18/19	19/20	20/21	21/22+	Total	Funding Agency
E&P (PA&ED)									MTC
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON		5,000						5,000	
<b>TOTAL</b>		<b>5,000</b>						<b>5,000</b>	
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)									
PS&E									
R/W SUP (CT)									
CON SUP (CT)			1,000	1,000	1,000			3,000	
R/W									
CON			800	600	600			2,000	
<b>TOTAL</b>			<b>1,800</b>	<b>1,600</b>	<b>1,600</b>			<b>5,000</b>	

Fund No. 2:	Demo - Demonstration-State TEA21 (DEMOS21)								Program Code
Existing Funding (\$1,000s)									20.30.010.680
Component	Prior	16/17	17/18	18/19	19/20	20/21	21/22+	Total	Funding Agency
E&P (PA&ED)	980							980	San Mateo City
PS&E									
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON		1,865						1,865	
<b>TOTAL</b>	<b>980</b>	<b>1,865</b>						<b>2,845</b>	
Proposed Funding (\$1,000s)									Notes
E&P (PA&ED)	980							980	
PS&E	1,000							1,000	
R/W SUP (CT)									
CON SUP (CT)									
R/W									
CON		865						865	
<b>TOTAL</b>	<b>1,980</b>	<b>865</b>						<b>2,845</b>	



**PROJECT PROGRAMMING REQUEST**

DTP-0001 (Revised September 2013)

**Complete this page for amendments only**

Date: 9/25/15

District	County	Route	EA	Project ID	PPNO	TCRP No.
04	SM SM	92 82	23520		0668A	

**SECTION 1 - All Projects****Project Background**

This project was originally programmed in FY 16/17. Due to a severely limited STIP capacity, CTC has pushed this project out one fiscal year to FY 17/18.

The SR 92/ SR82 Interchange Improvement Project is essentially ready to list (RTL) except for the execution of the cooperative agreement. Currently the delay of funding in the STIP is preventing the cooperative agreement from being executed.

**Programming Change Requested**

Modify Construction phase funds. Reallocate the existing construction capital as follows: 1) move \$3,000K to construction support as Caltrans will be performing the advertise, award, and administration (AAA) of the contract 2) Keep the remaining \$2,000K in construction capital.

Also modify Measure A construction capital to \$16 million.

Because the \$5 mil in STIP funds have been pushed out to FY 17/18 SMCTA is requesting to advance the project back to FY 16/17 by using \$5 mil in local funding under the AB 3090 process.

**Reason for Proposed Change**

This is an AB 3090 acceleration request

**If proposed change will delay one or more components, clearly explain 1) reason the delay, 2) cost increase related to the delay, and 3) how cost increase will be funded**

**Other Significant Information****SECTION 2 - For TCRP Projects Only**

- Alternative Project Request (Please follow Instructions at <http://www.dot.ca.gov/tcrp/LETTERguidelines>)
- Letter of No Prejudice (LONP) (Please follow Guidelines at <http://www.dot.ca.gov/tcrp/docs/042706.pdf>)

**SECTION 3 - All Projects****Approvals**

I hereby certify that the above information is complete and accurate and all approvals have been obtained for the processing of this amendment request.\*

Name (Print or Type)	Signature	Title	Date

**Attachments**

- 1) Concurrence from Implementing Agency and/or Regional Transportation Planning Agency
- 2) Project Location Map