



METROPOLITAN  
TRANSPORTATION  
COMMISSION

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San Francisco, CA 94105  
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WEB [www.mtc.ca.gov](http://www.mtc.ca.gov)

## *Memorandum*

TO: Administration Committee

DATE: February 27, 2019

FR: Executive Director

RE: MTC Financial Statements for January 2019

Attached please find MTC financial statements for the seven-month period ending January 31, 2019. Major financial highlights include:

- (1) **Operating Income:** Total operating income for the seven-month period is slightly below projections at 50% with 58% of the budget year expired. This is mostly due to the recently added \$6 million budget item for the Southern Alameda County Integrated Rail Analysis for which we have not yet received the state revenue.
- (2) **Operating Expenditures:** Total operating expenditures, excluding contracts, are slightly under at 54% for the seven-month period, or 58% of the budget year. Contract services including encumbrances totaling \$15 million are under budget at 58% which is not unusual since most of the contracts are tied to projects and will run over multiple years.
- (3) **Federal Grants:** There are six new grants in the FY 2018-19 budget that MTC will be applying for in the near future. There are eight amended, one new grant awarded, and seven grants that have been completed and will be closed out in FY 2018-19.

If there are any questions, please contact Arleicka Conley at (415) 778-6796.

  
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Steve Heminger

SH:bm  
Attachment

**OPERATING INCOME**  
**MTC OPERATING BUDGET FOR FY 2018-19**  
As of January 2019 (58.3% of year)

	1	2	3	4
Operating Revenue	FY 2018-19 Total Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 2/1)
<b>General Fund Revenue:</b>				
TDA	13,528,282	8,794,838	(4,733,444)	65.0%
Interest	40,000	415,495	375,495	1038.7%
<b>General Fund Total</b>	<b>13,568,282</b>	<b>9,210,332</b>	<b>(4,357,950)</b>	<b>67.9%</b>
<b>Federal Planning Revenue:</b>				
FHWA - PL	8,392,923	5,168,116	(3,224,806)	61.6%
FHWA - SP&R	220,000	-	(220,000)	0.0%
FTA 5303	3,914,358	2,893,353	(1,021,005)	73.9%
FTA 5304	984,577	181,194	(803,383)	18.4%
<b>Federal Planning Total</b>	<b>13,511,858</b>	<b>8,242,663</b>	<b>(5,269,194)</b>	<b>61.0%</b>
<b>State Funding Revenue:</b>				
STIP	709,044	368,016	(341,028)	51.9%
State Funds	6,000,000	-	(6,000,000)	0.0%
SB1 Awarded Grant - Climate Resilience	406,000	-	(406,000)	0.0%
Senate Bill 1 (SB1)	2,836,455	1,456,698	(1,379,757)	51.4%
<b>State Revenue Total</b>	<b>9,951,499</b>	<b>1,824,714</b>	<b>(8,126,785)</b>	<b>18.3%</b>
<b>Local Funding Revenue:</b>				
TFCA	1,063,534	-	(1,063,534)	0.0%
HOV	520,000	367,533	(152,467)	70.7%
Pavement Management	1,847,670	904,923	(942,747)	49.0%
BAAQMD	759,134	186,747	(572,387)	24.6%
Miscellaneous	2,127,585	182,822	(1,944,763)	8.6%
<b>Local Total</b>	<b>6,317,923</b>	<b>1,642,025</b>	<b>(4,675,898)</b>	<b>26.0%</b>
<b>Transfers:</b>				
BATA 1%	7,806,994	7,846,994	40,000	100.5%
Transfer BATA	2,460,309	959,206	(1,501,103)	39.0%
SAFE	2,197,815	1,001,766	(1,196,049)	45.6%
2% Transit Transfers	324,000	-	(324,000)	0.0%
Transfers in - STA	1,443,823	158,866	(1,284,957)	11.0%
Bay Trail 2% Bridge Tolls & 5%	723,421	262,620	(460,801)	36.3%
Membership Dues	527,010	-	(527,010)	0.0%
Transfer from or (to) Reserve/Capital	10,870,033	3,846,215	(7,023,818)	35.4%
<b>Transfers Total</b>	<b>26,353,405</b>	<b>14,075,666</b>	<b>(12,277,739)</b>	<b>53.4%</b>
<b>Total Operating Revenue</b>	<b>69,702,966</b>	<b>34,995,401</b>	<b>(34,707,565)</b>	<b>50.2%</b>

**OPERATING EXPENDITURES**  
**MTC OPERATING BUDGET FOR FY 2018-19**  
**As of January 2019 (58.3% of year)**

	1	2	3	4	5
	FY 2018-19	Actual	Budget Balance	% of Budget	
Operating Expenditures	Total Budget	Expense	Over/(Under)	(col 2/1)	Encumbrance
Salaries & Benefits	30,172,295	17,090,000	(13,082,295)	56.6%	239,703
Travel & Training	590,419	286,032	(304,387)	48.4%	80,249
Commission Expense					
Commissioner Expense	150,000	106,088	(43,912)	70.7%	-
Advisory Committees	15,000	9,250	(5,750)	61.7%	-
Printing & Graphics	156,900	9,342	(147,558)	6.0%	24,838
Computer Services	3,291,900	1,905,301	(1,386,599)	57.9%	717,062
General Operations	4,199,059	1,478,454	(2,720,605)	35.2%	1,288,188
<b>Total operating</b>	<b>38,575,573</b>	<b>20,884,468</b>	<b>(17,691,105)</b>	<b>54.1%</b>	<b>2,350,039</b>
Contract Services	31,127,391	4,111,946	(27,015,446)	13.2%	10,486,259
<b>Total Operating Expenditures</b>	<b>69,702,966</b>	<b>24,996,414</b>	<b>(44,706,553)</b>	<b>35.9%</b>	<b>12,836,298</b>

**MTC CAPITAL BUDGETS**  
**As of January 2019 (58.3% of year)**

<u>Capital</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
Transfer from Reserves	\$130,000	\$0	\$0	\$130,000
Expense	\$130,000	\$0	\$87,597	\$42,403

**Bay Bridge Forward Project**

<u>Operating</u>	<u>Total Budget</u>	<u>Actual</u>	<u>Encumbrance</u>	<u>Balance</u>
STP	18,577,561	1,974,607	-	16,602,954
CMAQ	2,246,858	380,372	-	1,866,486
RM2 Capital	16,236,064	2,216,817	-	14,019,247
SAFE Capital	2,607,843	192,309	-	2,415,534
Local - Cities	3,901,346	7,476	-	3,893,870
Revenue	\$43,569,672	\$4,771,581	\$0	\$38,798,091
Expense	\$43,569,672	\$4,771,581	\$13,015,755	\$25,782,336

**Hub Signage Program**

<u>Capital</u>	<u>LTD Total Budget</u>	<u>LTD Actual</u>	<u>Encumbrance</u>	<u>LTD Balance</u>
Prop 1B	9,729,204	9,729,204	-	-
RM2	362,000	158,885	-	203,115
Real Flag Sign - STA	3,002,624	569,561	-	2,433,063
Revenue	\$13,093,828	\$10,457,651	\$0	\$2,636,177
Expense	\$13,093,828	\$10,461,227	\$0	\$2,632,601

**LIFE TO DATE FEDERAL GRANT BUDGET**

As of January 2019 (58.3% of year)

Fund Source	Project Description	Grant LTD Balance as of 6/30/2018	New & Amended Grants	Total Grants	Staff Actual	Consultant Actual	Enc.	Remaining Balance
<b>STP GRANTS</b>								
6084-175 1801	MTC Regional Planning	93,755	-	93,755	-	-	-	93,755
6084-176 1803	511 Grant	1,671,742	-	1,671,742	-	1,360,588	311,154	-
6084-179 1806	Pavement Management	60,657	-	60,657	-	-	26,471	34,186
6084-180 1809	FPI	1,003,949	-	1,003,949	-	596,279	332,670	75,000
6084-186 1812	OBAG Regional PDA	4,481,243	-	4,481,243	-	964,902	3,516,341	-
6084-193 1816	Arterial Operations	820,610	-	820,610	-	569,949	248,178	2,483
6084-198 1818	Pavement Management	4,347,454	-	4,347,454	-	321,266	1,011,987	3,014,201
6084-199 1819	511 Traveler Information	2,086,970	-	2,086,970	816	156,637	1,814,427	115,089
6084-201 1820	Freeway Performance Initiative	861,795	-	861,795	-	172,167	689,629	-
6084-205 1822	Pavement Management	1,334,614	-	1,334,614	-	134,303	74,318	1,125,993
6160-027 1823	Incident Management	223,589	-	223,589	220,768	-	-	2,821
6084-206 1826	CMA Planning	31,790,707	16,716,000	48,506,707	-	1,877,233	16,489,474	30,140,000
6084-207 1827	MTC Planning	8,757,362	35,000	8,792,362	414,120	89,758	176,242	8,112,243
6084-208 1832	Vanpool Program	2,000,000	-	2,000,000	1,000	13,913	236,088	1,749,000
6084-213 1833	511 Next Generation	11,109,378	-	11,109,378	964,846	-	3,984,997	6,159,536
6084-212 1834	Connected Vehicles/Shared Mobility	2,861,080	-	2,861,080	288,239	-	-	2,572,841
6084-225 1835	Incident Management	4,160,000	-	4,160,000	61,232	-	-	4,098,768
6084-225 1836	System Travel Demand	1,150,000	-	1,150,000	11,475	-	-	1,138,525
6084-228 1838	Freeway Performance -SR I880 - US101	1,000,000	-	1,000,000	-	207,673	67,327	725,000
6084-232 1839	PDA Planning & Implementation	8,300,433	-	8,300,433	-	76,966	1,713,390	6,510,078
6084-226-1841	Arterial Operations	4,250,000	8,000,000	12,250,000	1,542,323	97,534	118,557	10,491,585
6084-227-1842	Enhance Arterial: CAT1	1,000,000	6,000,000	7,000,000	-	90,169	6,710,293	199,539
6084-230 1843	Commuter Parking O&M	997,113	-	997,113	-	-	70,000	927,113
6084-231 1844	Freeway Performance - I880 Corridor	995,796	2,000,000	2,995,796	-	-	245,796	2,750,000
6084-233 1845	Freeway Performance - I 680 Corridor	8,000,000	6,000,000	14,000,000	-	3,541	6,459	13,990,000
6084-235 1846	Bay Area Forward	-	2,500,000	2,500,000	8,108	-	-	2,491,892
<b>TOTAL</b>		<b>103,358,248</b>	<b>41,251,000</b>	<b>144,609,248</b>	<b>3,512,928</b>	<b>6,732,878</b>	<b>37,843,796</b>	<b>96,519,646</b>
<b>CMAQ GRANTS</b>								
6084-160 1589	Arterial Operations	408,143	179,276	587,419	188,094	21,989	169,179	208,157
6160-018 1596	Freeway Performance	379,046	-	379,046	-	191,278	90,671	97,097
6084-176 1804	511 Grant	213,009	-	213,009	-	162,626	50,383	-
6084-188 1814	Regional Bicycle Program	80,654	-	80,654	4,471	-	-	76,183
6084-202 1824	Climate Initiatives	795,390	-	795,390	-	-	200,000	595,390
6084-209 1825	Operate Car Pool Program	7,408,497	-	7,408,497	121,016	555,043	972,453	5,759,986
6084-211 1828	Commuter Benefits Implementation	1,270,570	-	1,270,570	49,282	69,519	148,517	1,003,252
6084-210-1829	Incident Management	14,264,278	-	14,264,278	-	310,774	1,874,303	12,079,201
6084-215 1830	Spare the Air Youth Program	2,344,724	-	2,344,724	-	143,990	2,189,512	11,222
6084-216 1831	Arterial/Transit Performance/Rideshare	4,538,023	-	4,538,023	-	30,879	1,304,191	3,202,954
6084-220 1837	Field Equipment Devices O&M	1,142,000	-	1,142,000	14,235	-	-	1,127,765
6084-219 1840	Bay Area Forward	1,000,000	-	1,000,000	-	1,000	-	999,000
New	Climate Initiatives	-	12,000,000	12,000,000	-	-	-	12,000,000
New	I880 Central Segment Project Study	-	8,840,000	8,840,000	-	-	-	8,840,000
New	Bay Bridge Forward	-	820,000	820,000	-	-	-	820,000
New	West Grand Ave Transit Signal Priority	-	1,000,000	1,000,000	-	-	-	1,000,000
<b>TOTAL</b>		<b>33,844,334</b>	<b>22,839,276</b>	<b>56,683,610</b>	<b>377,097</b>	<b>1,487,098</b>	<b>6,999,208</b>	<b>47,820,207</b>
<b>FTA GRANTS</b>								
CA57-X023 1623	New Freedom	82,591	-	82,591	-	-	13	82,578
CA37-X104 1625	JARC	20,062	-	20,062	-	20,061	-	(0)
CA37-X133 1627	JARC	130,193	-	130,193	-	-	-	130,193
CA37-X164 1629	JARC	89,496	-	89,496	-	8,457	81,040	0
CA37-X177 1630	JARC	745,275	-	745,275	-	22,067	161,216	561,991
CA34-X001 1631	FTA 5339 - Bus Purchases	231,591	-	231,591	-	-	231,591	-
CA57-X109 1632	New Freedom	346,512	-	346,512	-	5,837	240,419	100,256
CA34-0024 1633	FTA 5339 - Bus Purchases	1,171,281	-	1,171,281	-	-	893,992	277,289
CA34-0032 1634	FTA 5339 - Bus Purchases	725,458	-	725,458	-	-	452,441	273,017
<b>TOTAL</b>		<b>3,542,459</b>	<b>-</b>	<b>3,542,459</b>	<b>-</b>	<b>56,422</b>	<b>2,060,712</b>	<b>1,425,324</b>



**LIFE TO DATE FEDERAL GRANT BUDGET**

**As of January 2019 (58.3% of year)**

<b>Fund Source</b>	<b>Project Description</b>	<b>Grant LTD Balance as of 6/30/2018</b>	<b>New &amp; Amended Grants</b>	<b>Total Grants</b>	<b>Staff Actual</b>	<b>Consultant Actual</b>	<b>Enc.</b>	<b>Remaining Balance</b>
SHA 6084-184	1112 FHWA - SHRP2	101,989	-	101,989	10,000	17,057	67,286	7,646
G16AP00172	1312 USGS National Grant - G16AC00172	14,841	8,147	22,987	11,841	3,000	-	8,147
G15AP00118	1313 USGS National Grant - G15AC00118	1,986	-	1,986	1,299	-	-	688
G17AC00239	1315 USGS National Grant - G17AC00136	1,132	-	1,132	-	-	-	1,132
G140CG0318P	1316 USGS National Grant - G140G0318P0151	16,540	-	16,540	14,403	-	-	2,137
BF-99T455	1340 Environmental Protection Agency (EPA)	424,345	-	424,345	2,782	20,650	270,450	130,463
CA000007-01	1342 Environmental Protection Agency (EPA)	600,000	-	600,000	16,366	-	442,600	141,034
EMF2016	1372 Federal Emergency Management Agency	193,641	8,788	202,429	98,040	7,650	43	96,696
CARB	2404 California Air Respoirces Board	2,500,000	-	2,500,000	-	154,354	1,069,466	1,276,180
14 -003	2800 Coastal Conservancy	341,395	21,992	363,387	-	-	100,000	263,387
10-092	2801 Coastal Conservancy	434,949	-	434,949	73,414	12,240	70,472	278,822
TSFF 2017	5005 The San Francisco Foundation	19,992	-	19,992	-	-	-	19,992
North Bay	5007 Rockefeller Philanthropy Advisors	12,150	-	12,150	1,039	7,050	100	3,961
New	FEMA	-	300,000	300,000	-	-	-	300,000
New	USGS National Grant	-	75,000	75,000	-	-	-	75,000
<b>TOTAL</b>		<b>4,662,960</b>	<b>413,927</b>	<b>5,076,887</b>	<b>229,184</b>	<b>222,000</b>	<b>2,020,417</b>	<b>2,605,286</b>
Total Federal Grants Budget		<b>145,408,001</b>	<b>64,504,203</b>	<b>209,912,204</b>	<b>4,119,208</b>	<b>8,498,399</b>	<b>48,924,122</b>	<b>148,370,463</b>

G16AP00172	1312 USGS National Grant - G16AC00172	Will be closed out in FY2018-19
G15AP00118	1313 USGS National Grant - G15AC00118	Will be closed out in FY2018-19
G17AC00239	1315 USGS National Grant - G17AC00136	Will be closed out in FY2018-19
G140C0318P	1316 USGS National Grant - G140G0318	Will be closed out in FY2018-19
North Bay	5007 Rockefeller Philanthropy Advisors	Will be closed out in FY2018-19
CA37-X104	1625 JARC	Will be closed out in FY2018-19
6160-027	1823 Incident Management	Will be closed out in FY2018-19

**CLIPPER OPERATING BUDGET**  
As of January 2019 (58.3% of year)

<b>Clipper Operating</b>	<b>Total FY 2018-19 Budget</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Balance</b>
RM2	5,088,195	955,679	-	4,132,516
STA	10,609,531	4,759,381	-	5,850,150
Inactive Accounts	3,996,255	-	-	3,996,255
Transit Operators	19,648,000	6,765,396	-	12,882,604
<b>Revenue</b>	<b>\$39,341,980</b>	<b>\$12,480,455</b>	<b>\$0</b>	<b>\$26,861,525</b>
<b>Expense</b>	<b>\$39,341,980</b>	<b>\$12,488,420</b>	<b>\$19,264,298</b>	<b>\$7,589,262</b>

**CLIPPER I - CAPITAL BUDGET (Life to Date)**  
As of January 2019 (58.3% of year)

<b>Clipper I - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
CMAQ	66,669,515	66,853,292	-	(183,777)
Card Sales	12,951,267	10,907,338	-	2,043,929
Cap and Trade (LCTOP)	7,777,971	7,816,352	-	(38,381)
ARRA	11,167,891	11,167,891	-	-
FTA	14,072,565	23,241,633	-	(9,169,068)
STP	31,790,753	33,016,555	-	(1,225,802)
STA	21,946,540	21,330,837	-	615,703
Prop 1B	1,115,383	1,045,170	-	70,213
SFMTA	8,005,421	3,213,743	-	4,791,678
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	505,671	-	219,329
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	23,725,816	-	3,138,997
Transit Operators	10,279,437	1,882,569	-	8,396,868
WETA	603,707	618,862	-	-
Sales Tax	890,216	890,216	-	-
<b>Revenue</b>	<b>\$225,409,357</b>	<b>\$216,427,948</b>	<b>\$0</b>	<b>\$8,981,409</b>
<b>Expense</b>	<b>\$225,409,357</b>	<b>\$207,361,732</b>	<b>\$13,950,194</b>	<b>\$4,097,431</b>

**CLIPPER II - CAPITAL BUDGET (Life to Date)**  
As of January 2019 (58.3% of year)

<b>Clipper II - Capital</b>	<b>LTD Budget Thru FY 2018-19</b>	<b>Actual</b>	<b>Encumbrance</b>	<b>Project Balance L-T-D</b>
STP	10,316,887	6,154,237	-	4,162,650
FTA	133,903,689	880,242	-	133,023,447
TCP - CMAQ Funds	2,034,320	-	-	2,034,320
Transit Operators	4,077,563	-	-	4,077,563
Toll Bridge	23,000,000	-	-	23,000,000
OBAG 2	34,000,000	-	-	34,000,000
Prop 1B/LCTOP	4,000,000	-	-	4,000,000
Golden Gate pass Through	5,000,000	-	-	5,000,000
BATA	260,000	259,802	-	198
STA	2,410,841	2,384,411	-	26,430
<b>Revenue</b>	<b>\$219,003,300</b>	<b>\$9,678,692</b>	<b>\$0</b>	<b>\$209,324,608</b>
<b>Expense</b>	<b>\$219,003,300</b>	<b>\$9,678,904</b>	<b>\$1,247,565</b>	<b>\$208,076,831</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Support to the Commission</b>	115,500			
Consultants		2,813	7,188	
<b>1051111 - Subtotal</b>	<b>115,500</b>	<b>2,813</b>	<b>7,188</b>	<b>105,499</b>
<b>Implement Public Information Program</b>	2,114,919			
Consultants		205,299	458,264	
Craft & Commerce, LLP		59,647	40,353	
			25,000	
<b>1051112 - Subtotal</b>	<b>2,114,919</b>	<b>264,946</b>	<b>523,617</b>	<b>1,326,356</b>
<b>Regional Transportation Plan</b>	1,801,241			
AECOM		42,353	17,647	
Consultants		99,985	426,278	
Economic & Planning Systems		45,225	-	
EMC Research		40,000	110,000	
Exygy, Inc.		10,000	140,000	
Trust for Conservation Innovation			75,000	
Urban Institute			150,000	
<b>1051121 - Subtotal</b>	<b>1,801,241</b>	<b>237,563</b>	<b>918,925</b>	<b>644,753</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>	2,420,359			
Consultants		28,700	87,092	
Corey, Canapary & Galanis		59,794	100,378	
ETC Institute		166,402	135,579	
Parsons Brinkerhoff, Inc.			11,374	
Redhill Group, Inc.			95,787	
Resource Systems Group		113,760	476,732	
RSG, Inc.		105,395	202,301	
WSP USA Inc.		64,940	25,125	
<b>1051122 - Subtotal</b>	<b>2,420,359</b>	<b>538,991</b>	<b>1,134,368</b>	<b>747,000</b>
<b>Airport/Seaport/Freight Planning</b>	660,853			
Cambridge Systematics			200,000	
The Tioga Group, Inc.			330,853	
<b>1051124 - Subtotal</b>	<b>660,853</b>	<b>-</b>	<b>530,853</b>	<b>130,000</b>
<b>Active Transportation Planning</b>	545,000			
Consultants			75,000	
<b>1051125- Subtotal</b>	<b>545,000</b>	<b>-</b>	<b>75,000</b>	<b>470,000</b>



Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Resiliency (Sea Level Rise/Adaptation) PL</b>	660,674			
AECOM		136,561	264,076	
Bay Conservation & Development		127,664	127,253	
<b>1051126 - Subtotal</b>	<b>660,674</b>	<b>264,225</b>	<b>391,329</b>	<b>5,120</b>
<b>Regional Trails</b>	10,000			
East Bay Regional Park District				
Petaluma Small craft Center				
<b>1051127 - Subtotal</b>	<b>10,000</b>	<b>-</b>	<b>-</b>	<b>10,000</b>
<b>Resilience and Hazards Planning</b>	118,667			
Consultants		21,103	38,433	
<b>1051128 - Subtotal</b>	<b>118,667</b>	<b>21,103</b>	<b>38,433</b>	<b>59,131</b>
<b>Regional Research and Economic</b>	400,000			
Bay Area Council Economics Ins.			40,000	
Consultants		18,750	1,250	
<b>1051129 - Subtotal</b>	<b>400,000</b>	<b>18,750</b>	<b>41,250</b>	<b>340,000</b>
<b>Advocate Legislative Programs</b>	571,045			
Carter, Welch & Associates		41,264	31,591	
Consultants		31,119	27,926	
Government Relations		146,000	146,000	
<b>1051132- Subtotal</b>	<b>571,045</b>	<b>218,383</b>	<b>205,517</b>	<b>147,145</b>
<b>Agency Financial Management</b>	745,679			
SunGard Bi-Tech Inc.		1,045	2,769	
Gray CPA Consulting Tech Support		50		
PWC		271,905		
<b>1011152 - Subtotal</b>	<b>745,679</b>	<b>273,000</b>	<b>2,769</b>	<b>469,910</b>
<b>Administrative Services</b>	879,407			
Koff & Associates		3,165	98,229	
Management Partners		47,394	12,119	
Carl Warren & Co.			91,100	
Pathways for High School		126,650	8,350	
Bluewater Learn Telework		21,000		
San Jose State University		6,822	193,178	
Perfromance Based Ergonomics			15,000	
The Solis Group		34,480	140,520	
Civic Edge		19,175	2,250	
<b>1011153 - Subtotal</b>	<b>879,407</b>	<b>258,686</b>	<b>560,745</b>	<b>59,975</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Information Technology Services</b>	652,581			
Management Partners Inc.		13,220	74,464	
Informatix, Inc.		32,542	77,255	
SSP Data		4,265	85,690	
<b>1011161 - Subtotal</b>	<b>652,581</b>	<b>50,027</b>	<b>237,409</b>	<b>365,145</b>
<b>Performance Measurement and Monitoring</b>	348,698			
ARUP North America Ltd.			25,000	
Consultants		23,301	398	
Exygy, Inc.			150,000	
<b>1051212 - Subtotal</b>	<b>348,698</b>	<b>23,301</b>	<b>175,398</b>	<b>149,999</b>
<b>Regional Rideshare Program</b>	1,083,694			
Enterprise Rent-A-Car		41,738	708,263	
Parsons Brinkerhoff		7,320	325,748	
<b>1051222 - Subtotal</b>	<b>1,083,694</b>	<b>49,058</b>	<b>1,034,011</b>	<b>625</b>
<b>Support Regional Operations Program</b>	254,874			
Iteris Inc.			34,031	
Consultants			83,763	
<b>1051223 - Subtotal</b>	<b>254,874</b>	<b>-</b>	<b>117,794</b>	<b>137,080</b>
<b>Implement Regional Traveler Information Services</b>				
Regional Traveler Information	542,407			
Civic Resource Group		87,794	83,666	
Iteris, Inc.		88,325	177,979	
Kimley-Horn & Associates			2,029	
<b>1051224 - Subtotal</b>	<b>542,407</b>	<b>176,119</b>	<b>263,674</b>	<b>102,614</b>
<b>Emergency Response Planning</b>	55,151			
URS Corporation			55,151	
<b>1051229 - Subtotal</b>	<b>55,151</b>	<b>-</b>	<b>55,151</b>	<b>0</b>
<b>Pavement Management Program (PMP)</b>	2,433,243			
AMS Consulting		1,789	23,915	
Bellecci & Associates		4,588	4,588	
Capitol Asset & Pavement Services		7,891	21,771	
Consultants		27,674	53,113	
DevMecca, LLC		898,318	618,822	
Fugro Roadware, Inc.		1,961	17,652	
Harris & Associates		11,114	12,216	
Nichols Consulting		3,257	26,599	
Pavement Engineering Inc.		8,396	10,495	
Quality Engineering Solutions		2,535	17,308	
<b>1051233 - Subtotal</b>	<b>2,433,243</b>	<b>967,523</b>	<b>856,479</b>	<b>609,241</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Arterial Operations</b>	764,560			
City of San Rafael			207,844	
DKS Associates		9,592	19,829	
City of Hayward			53,554	
ITERIS, DBA, MMA		6,260	193,251	
ITERIS, Inc.			1,000	
Kimley-Horn And Associates		6,361	11,279	
City of Pleasanton			47,644	
City of South San Francisco			95,764	
TJKM Transportation			3,411	
<b>1051234 - Subtotal</b>	<b>764,560</b>	<b>22,213</b>	<b>633,576</b>	<b>108,771</b>
<b>Incident Management</b>	841,300			
Circlepoint			34,300	
Consultants			15,453	
ITERIS, Inc.			175,000	
City of Fremont			50,000	
Kimley-Horn			199,218	
<b>1051235 - Subtotal</b>	<b>841,300</b>	<b>-</b>	<b>473,971</b>	<b>367,329</b>
<b>Implement Lifeline Transportation Programs</b>	723,000			
<b>1051311 - Subtotal</b>	<b>723,000</b>	<b>-</b>	<b>-</b>	<b>723,000</b>
<b>Climate Resilience for People with Disabilities</b>	501,000			
World Institute on Disability			406,000	
<b>1051313 - Subtotal</b>	<b>501,000</b>	<b>-</b>	<b>406,000</b>	<b>95,000</b>
<b>Climate Assessment Initiative</b>	85,000			
Consultants		1,967	48,033	
<b>1051413 - Subtotal</b>	<b>85,000</b>	<b>1,967</b>	<b>48,033</b>	<b>35,000</b>
<b>Road Maintenance and Rehabilitation</b>	300,000		199,809	
<b>1051415 - Subtotal</b>	<b>300,000</b>	<b>-</b>	<b>199,809</b>	<b>100,191</b>
<b>Regional Assistance Program</b>	250,734			
Pieriott & Associates, LLC		34,000	28,000	
<b>1051514 - Subtotal</b>	<b>250,734</b>	<b>34,000</b>	<b>28,000</b>	<b>188,734</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
State Programing, Monitoring and TIP Development Consultants	187,200	-	187,200	
<b>1051515 - Subtotal</b>	<b>187,200</b>	<b>-</b>	<b>187,200</b>	<b>-</b>
Transit Sustainability Project	7,241,604			
Arup North America Ltd. Consultants		80,246	94,673	
Golden Gate Bridge & Highway Nelson Nygaard			42,857	
Parsons Brinckerhoff, Inc. Sonoma County Transportation		81,188	132,870	
			75,000	
<b>1051517 - Subtotal</b>	<b>7,241,604</b>	<b>161,434</b>	<b>357,240</b>	<b>6,722,930</b>
Transportation for Livable Communities Program	149,904			
Consultants		15,883	56,759	
FEHR & PEERS Assocaites			7,963	
Nelson Nygard		4,035		
Placeworks		5,054	210	
City of Santa Clara			60,000	
<b>1051611 - Subtotal</b>	<b>149,904</b>	<b>24,972</b>	<b>124,932</b>	<b>-</b>
Climate Adaptation Consulting (BARC)	105,530			
Consultants		45,231		
<b>1051612- Subtotal</b>	<b>105,530</b>	<b>45,231</b>	<b>-</b>	<b>60,299</b>
Road Maintenance and Rehabilitation	487,474			
Consultants				
<b>1051613- Subtotal</b>	<b>487,474</b>	<b>-</b>	<b>-</b>	<b>487,474</b>
Connecting Housing and Transportation	453,532			
Consultants		224,267	(47,500)	
Community Outreach		8,000	10,000	
Monument Impact			6,000	
Translght LLC		27,013	116,270	
<b>1051615- Subtotal</b>	<b>453,532</b>	<b>259,280</b>	<b>84,770</b>	<b>109,482</b>
Regional Advance Mitigation Projects	50,000			
Consultants				
<b>1051616- Subtotal</b>	<b>50,000</b>	<b>-</b>	<b>-</b>	<b>50,000</b>

**DISBURSEMENT REPORT (Non- Federal Funded)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Technical Assistance Strategic Planning	100,000			
Estolano Lesar Advisors			100,000	
<b>1051617- Subtotal</b>	<b>100,000</b>	<b>-</b>	<b>100,000</b>	<b>-</b>
Affordable Mobility Pilot Program	601,600			
TransForm		43,536	301,644	
<b>1051618- Subtotal</b>	<b>601,600</b>	<b>43,536</b>	<b>301,644</b>	<b>256,420</b>
Diordon Cencept Plan	500,000			
<b>1051619- Subtotal</b>	<b>500,000</b>	<b>-</b>	<b>-</b>	<b>500,000</b>
General Operations c/o				
<b>1011998 &amp; 1011999</b>	<b>223,964</b>	<b>-</b>	<b>-</b>	<b>223,964</b>
Legal	1,146,994			
Hanson and Bridgett		38,930	1,070	
Glynn and Finley		109,008	68,454	
Meyers Nave		4,615	37,693	
Best Best & & Krieger LLP		2,272	37,728	
Renne Sloan Holtzman Sakalili			226,226	
<b>1060000 - Subtotal</b>	<b>1,146,994</b>	<b>154,825</b>	<b>371,171</b>	<b>620,998</b>
<b>Total Operating Contract Services</b>	<b>31,127,391</b>	<b>4,111,946</b>	<b>10,486,259</b>	<b>16,529,189</b>
Bay Area Forward - Capital				
<b>11051237 - Subtotal</b>	<b>20,826,724</b>	<b>2,407,990</b>	<b>16,456,653</b>	<b>1,962,081</b>



**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Regional Transportation Plan</b>		
Consultants	17,057	42,436
San Francisco Transportation Authority		24,850
<b>1051122 - Subtotal</b>	<b>17,057</b>	<b>67,286</b>
<b>Regional Trails</b>		
East Bay Regional Park District	12,240	154,666
Petaluma Small Craft Center		15,806
<b>1051127 - Subtotal</b>	<b>12,240</b>	<b>170,472</b>
<b>Resilience and Hazards Planning</b>		
Arietta Chakos	7,050	100
Consultants	10,650	43
<b>1051128 - Subtotal</b>	<b>17,700</b>	<b>143</b>
<b>Analyze Regional Data using GIS &amp; Travel Models</b>		
Consultants		70,000
Enterprise Rent-A-Car	13,913	236,088
Parsons Brinkerhoff	635,457	1,263,119
Sonoma County Transportation		28,038
Valley Transportation Authority		70,000
<b>1051222 - Subtotal</b>	<b>649,370</b>	<b>1,667,245</b>
<b>Support Regional Traveler Information Services</b>		
Kimley-Horn and Associates	7,708	4,648
<b>1051223 - Subtotal</b>	<b>7,708</b>	<b>4,648</b>
<b>Regional Traffic Information Services</b>		
Civic Resource Group	822,883	1,143,967
Faneuil, Inc.	156,637	1,088,135
Iteris, Inc.	681,728	2,833,543
Kimley-Horn & Associates		590,479
<b>1051224 - Subtotal</b>	<b>1,661,248</b>	<b>5,656,124</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Pavement Management Program (PMP)</b>		
AMS Consulting	13,811	184,585
Bellecci & Associates	35,412	35,412
Capitol Asset & Pavement Services	60,909	168,030
Consultants	135,002	74,318
Fugro Roadware Inc.	15,139	136,248
Harris & Associates	85,786	94,284
Nichols Consulting Engineers	25,143	205,301
Pavement engineering Inc.	64,804	81,005
Quality Engineering Solutions	19,565	133,592
<b>1051233 - Subtotal</b>	<b>455,569</b>	<b>1,112,775</b>
<b>Arterial Operations Coordination</b>		
City of Emeryville		726,913
City of San Rafael		704,691
City of Concord		588,854
Consultants	524,319	224,818
DKS Associates	119,664	392,241
City of Hayward		263,279
ITERIS, DBA MMA	37,607	759,642
ITERIS, INC.		23,618
Kimely-Horn	31,395	792,600
Kimely Horn and Associates		31,111
City of Pleasanton		233,791
City of San Jose		1,342,688
City of San Ramon		444,949
City of So San Francisco		565,330
TJKM Transportation Consultant		341,166
Town of Los Gatos		643,662
City of Union City		618,916
<b>1051234 - Subtotal</b>	<b>712,985</b>	<b>8,698,269</b>
<b>Implement Incident Management Program</b>		
AC Transit		308,160
Consultants	182,758	7,242
MNS Engineering, Inc. (Former S & C)	51,185	1,633,733
URS Corporation	76,832	115,168
<b>1051235 - Subtotal</b>	<b>310,775</b>	<b>2,064,303</b>
<b>Lifeline Program</b>		
County of Contra Costa	10,324	39,093
County of Contra Costa	8,062	41,760
Cycles of Change	22,029	42,843
San Mateo County Human Service	1,714	37,521
<b>1051310 - Subtotal</b>	<b>42,129</b>	<b>161,217</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Implement Lifeline Transportation Program</b>		
Marin Transit	8,457	81,040
<b>1051311 - Subtotal</b>	<b>8,457</b>	<b>81,040</b>
<b>Lifeline Planning</b>		
Alta Planning and Design	143,980	2,189,512
Silicon Valley Bicycle Coalition		200,000
<b>1051413 - Subtotal</b>	<b>143,980</b>	<b>2,389,512</b>
<b>Federal Programming, Monitoring and TIP Development</b>		
City of Santa Rosa		231,591
County Connection		13
ECCTA		1,344,299
Valley Transportation Authority		2,134
<b>1051512 - Subtotal</b>	<b>0</b>	<b>1,578,037</b>
<b>New Freedom - Non - Planning Funds</b>		
Outreach & Escort Inc.	5,837	240,419
<b>1051518 - Subtotal</b>	<b>5,837</b>	<b>240,419</b>
<b>Transportation for Livable Communities</b>		
Bay Conservation & Development	89,758	176,242
City of Berkeley		340,416
City of Santa Rosa		800,000
Community Design and Architecture	50,358	
Consultant	6,816	382,184
Dyett & Bhatia		4,815
City of El Cerrito		202,510
Fehr & Peers Associates	14,180	196,497
Nelson Niggard	72,145	610,251
City of Oakland		459,800
Placeworks	39,005	240,995
City and County of San Francisco	700,000	
City of San Jose		683,802
Santa Clara VTA	88,964	761,036
City of Sunnyvale	70,400	335,200
TJKM Transportation Consultant		200,000
City of Walnut Creek		12,225
<b>1051611 - Subtotal</b>	<b>1,131,626</b>	<b>5,405,973</b>
<b>Connecting Housing and Transportation</b>		
Ninyo and Moore	20,650	713,050
<b>1051615 - Subtotal</b>	<b>20,650</b>	<b>713,050</b>

**DISBURSEMENT REPORT - (Funded by Federal Grants)**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Expended	Encumbered
<b>Affordable Mobility Pilot Program</b>		
Transform	154,354	1,069,466
<b>1051618 - Subtotal</b>	<b>154,354</b>	<b>1,069,466</b>
<b>Fund 190 CMA PLANNING</b>	<b>1,877,243</b>	<b>16,489,465</b>
<b>Total Federal Grant Funded</b>	<b>7,228,925</b>	<b>47,569,442</b>
<b>Bay Area Forward - Capital</b>		
<b>11051237 - Subtotal</b>	<b>1,269,473</b>	<b>1,354,680</b>
<b>Total Federal Grant Funded</b>	<b>8,498,399</b>	<b>48,924,122</b>

# CAPITAL PROJECTS DISBURSEMENT REPORT

As of January 2019 (58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	130,000		87,597	
<b>Subtotal</b>	<b>130,000</b>	<b>-</b>	<b>87,597</b>	<b>42,403</b>
 <b>Bay Bridge Forward</b>	 <b>43,569,672</b>			
Audio Visual Innovations Inc.			5,135	
California Engineering Contractors		1,353,278	697,501	
California Highway Patrol		23,291	783,250	
CONSULTANTS (PO)		285,023	1,049,713	
FEHR & PEERS ASSOCIATES		31,204	53	
HDR Engineering, Inc.		407,549	438,122	
Kimley Horn Associates		125,878	15,157	
KIMLEY-HORN		535,028	396,321	
Kittelson & Associates			42,726	
Kittelson & Associates, Inc			125,927	
MTC Staff Costs		1,094,118		
O.C. Jones & Sons, Inc.		163,597	7,826,104	
Parsons Transportation Group		67,280	160,516	
Placeworks		5,000	45,711	
Transp Mobility Solutions			75,376	
UC REGENTS		474,880	130,329	
Wilson, Sparling & Associates			30,000	
WSP USA Inc.		88,582	1,193,813	
WSP, USA INC.		116,874		
<b>1051237 - Subtotal</b>	<b>43,569,672</b>	<b>4,771,581</b>	<b>13,015,755</b>	<b>25,782,336</b>
 <b>Hub Signage Program</b>	 <b>13,093,828</b>			
Staff Costs		1,474,838		
Consultants		1,451,014		
Kimly-Horn and Associates		792,395		
BART		4,760,658		
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		224,369		
NCPTA		133,860		
Ghirardelli Association		316,028		
<b>3322650,2651,2652,2654 &amp; 2655 Subtotal</b>	<b>13,093,828</b>	<b>10,461,227</b>	<b>-</b>	<b>2,632,601</b>



**CLIPPER PROJECTS DISBURSEMENT REPORT**  
**As of January 2019 (58.3% of year)**

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
<b>Clipper Operating</b>	<b>39,341,980</b>			
AC Transit		122,400	122,400	
Caribou Public Relations		58,951	101,125	
CONSULTANTS (PO)		120,952	1,204,825	
CUBIC		120,472		
Cubic Transportation Systems		10,313,160	17,143,728	
Faneuil, Inc.		169,023	238,128	
MOORE, IACOFANO, GOLTSMAN		376,879	259,043	
MTC STAFF COSTS		877,416		
Nematode Holdings		167,918	156,297	
Resource Development Assoc.		142,683	57,318	
Synapse Strategies		18,566	-18,566	
<b>320122116 Clipper Operating Expenses</b>	<b>\$39,341,980</b>	<b>\$12,488,420</b>	<b>\$19,264,298</b>	<b>\$7,589,262</b>
<b>Clipper I - Capital</b>	<b>225,409,357</b>			
Staff costs		13,657,739	1,073,553	
Auriga Corporation		12,293		
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,777,924	817,561	
Booz Allen Hamilton		13,544,126		
Caporicci & Larson		11,530		
Consultants		2,859,330	1,349,958	
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		93,720,214	10,214,278	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		

# CLIPPER PROJECTS DISBURSEMENT REPORT

As of January 2019 (58.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		703,173		
Kimley-Horn and Associates		337,390	64,078	
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627		
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013		
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,265,500		
VenTek Transit, Inc.		791,939	430,766	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		16,440,738		
<b>310 Clipper Capital I - Total Expenses</b>	<b>\$225,409,357</b>	<b>\$207,361,732</b>	<b>\$13,950,194</b>	<b>\$4,097,431</b>

## Clipper II- Capital

219,003,300

Staff Costs		5,452,468		
CH2M Hill Clipper Consultants			539,511	
IBI Group		1,883,696	401,395	
Invoke Technologies		94,787	141,830	
Consultants		1,409,603	22,395	
KPMG Consulting		261,447		
Thompson Coburn LLP		513,286	142,434	
CH2M Hill Clipper Consultants		63,617		
<b>312 Clipper II - Total Expenses</b>	<b>\$219,003,300</b>	<b>\$9,678,904</b>	<b>\$1,247,565</b>	<b>\$208,076,831</b>

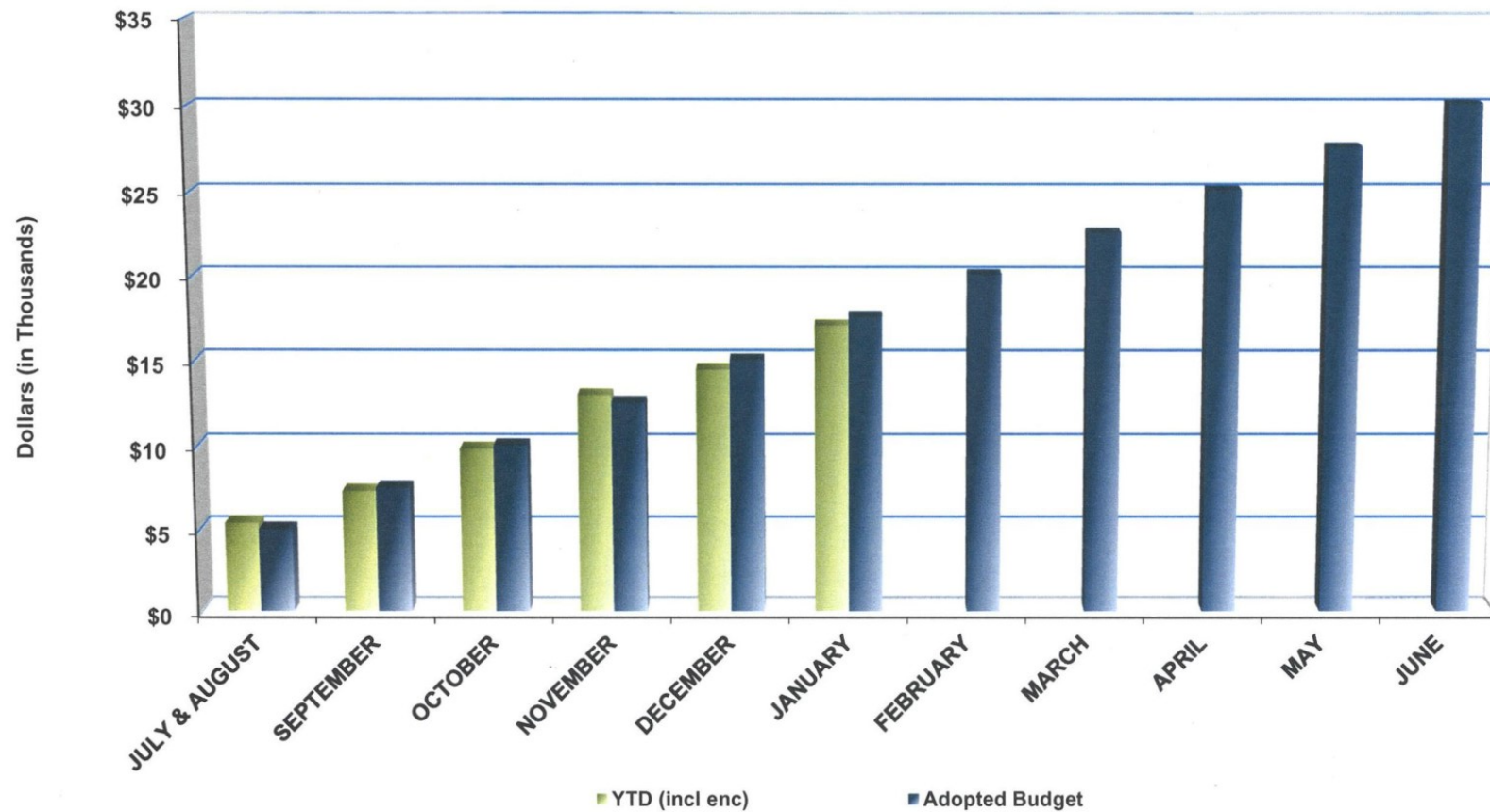
**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-\$200,000**

		<b>January, 2019</b>
SHI		\$14,883
	<i>Software License Renewal</i>	
Asia Pacific Offset		\$15,000
	<i>FY18/19 SF Bay Trail Desk Map Printing</i>	
Bay Area Air Quality Management		\$35,000
	<i>Kearns &amp; West Consultants</i>	
Carshoft Technology		\$48,812
	<i>Software License Renewal</i>	
CDW Government Inc.		\$3,530
	<i>Employee Computer Purchase Program</i>	
Trifecta Inc.		\$10,800
	<i>Software License Renewal</i>	
SSP Data		\$7,302
	<i>Software License Renewal</i>	
Bay Nature Institute		\$3,000
	<i>Advertising and Public Awareness</i>	
Race Forward		\$30,000
	<i>Bay Area Cohort Participation</i>	
City Innovate		\$10,000
	<i>Membership Fee</i>	
Hillfrog		\$25,000
	<i>Catering for Legislation</i>	

**CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR**  
**\$2,500-200,000**

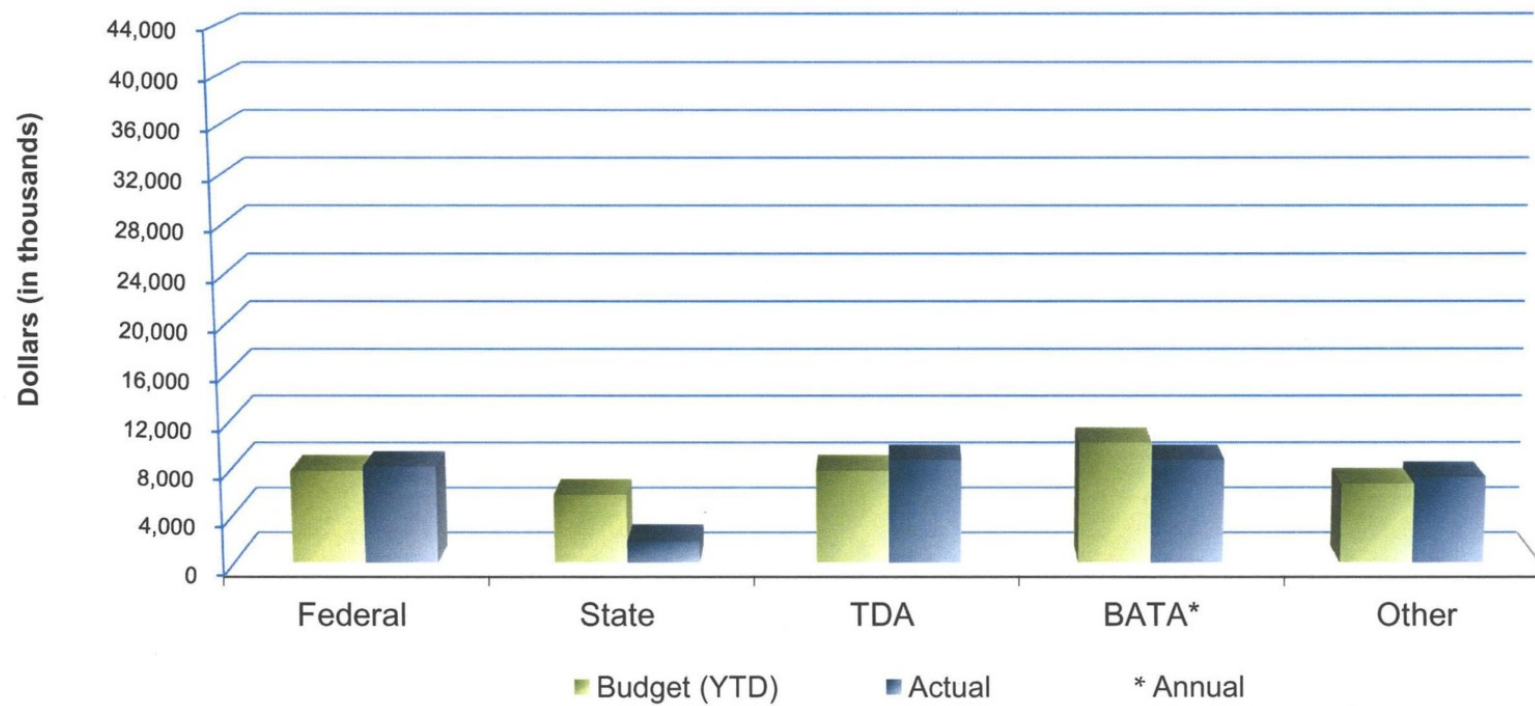
<b>Consultant</b>	<b>Purpose</b>	<b>January, 2019</b>
Walls & Associates	Software License Renewal	\$18,750

## Salaries & Benefits Budget vs Actual Plus Encumbrance





## Revenue Comparison Budget Vs Actual January 2019



\* Annual

## Expenditure Comparison Budget Vs Actual Plus Encumbrances January 2019

