



Metropolitan Transportation Commission

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Meeting Agenda

Administration Committee

Committee Members:

Federal D. Glover, Chair Jeannie Bruins, Vice Chair

*Carol Dutra-Vernaci, Nick Josefowitz, Gina Papan,
Hillary Ronen, Libby Schaaf, Amy R. Worth*

Non-Voting Member: Tony Tavares

Wednesday, January 8, 2020

9:35 AM

Board Room - 1st Floor

This meeting is scheduled to be webcast live on the Metropolitan Transportation Commission's Website: <http://mtc.ca.gov/whats-happening/meetings> and will take place at 9:35 a.m. or immediately following the 9:30 a.m. BATA Oversight Committee meeting.

1. Roll Call / Confirm Quorum

Quorum: A quorum of this committee shall be a majority of its regular non-ex-officio voting members (5).

2. Consent Calendar

- 2a.** [20-0003](#) Minutes of the December 11, 2019 meeting
- Action:** Committee Approval
- Attachments:** [2a 20-0003 12-11-2019 Draft Administration Minutes.pdf](#)
-
- 2b.** [20-0036](#) Investment Report for October 2019
- Action:** Information
- Presenter:** Catherine Cam
- Attachments:** [2b 20-0036 Investment Report Oct'2019.pdf](#)
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- 2c.** [20-0037](#) MTC Financial Statements for October 2019
- Action:** Information
- Presenter:** Raymond Woo
- Attachments:** [2c 20-0037 Financial Statement Oct'2019.pdf](#)

2d. [20-0038](#) Monthly Travel Report

Action: Information

Presenter: Raymond Woo

Attachments: [2d 20-0038 Monthly Travel Report Oct'2019.pdf](#)

2e. [20-0013](#) MTC Resolution No. 1198, Revised - Revisions to MTC's Conflict of Interest Code to Update List of Designated Positions - Authorization to Submit to the Fair Political Practices Commission (FPPC) for Approval and to Refer to Commission for Adoption

Action: Commission Approval

Presenter: Leslie Miessner

Attachments: [2e 20-0013 Reso-1198 Conflict of Interest Code Revisions.pdf](#)

3. Approval**3a.** [19-1345](#) MTC Resolution No. 4371, Revised - FY 2019-20 MTC Operating and Capital Budgets Amendment

A request to refer MTC Resolution No. 4371, Revised, the MTC FY 2019-20 Agency Budget, Amendment No. 2, approving an increase of \$815,974 in operating costs, of which \$557,987 will be added to the MTC Operating Budget and \$257,987 added to the Life-to-Date Grants Budget, to the Commission for approval.

Action: Commission Approval

Presenter: Brian Mayhew

Attachments: [3a 19-1345 Reso-4371 MTC Budget Amendment.pdf](#)

3b. [20-0074](#) Closed Session - CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION

The Committee will meet in closed session pursuant to Government Code Section 54956.9(a) and paragraph (2) of subdivision (d) of Government Code Section 54956.9 to confer with counsel regarding significant exposure to litigation: 1 case.

3c. [20-0075](#) Open Session

Action: Committee Approval

4. Public Comment / Other Business

5. Adjournment / Next Meeting:

The next meeting of the Administration Committee is scheduled to be held on February 12, 2020 at 9:35 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA 94105.

Public Comment: The public is encouraged to comment on agenda items at Committee meetings by completing a request-to-speak card (available from staff) and passing it to the Committee secretary. Public comment may be limited by any of the procedures set forth in Section 3.09 of MTC's Procedures Manual (Resolution No. 1058, Revised) if, in the chair's judgment, it is necessary to maintain the orderly flow of business.

Meeting Conduct: If this meeting is willfully interrupted or disrupted by one or more persons rendering orderly conduct of the meeting unfeasible, the Chair may order the removal of individuals who are willfully disrupting the meeting. Such individuals may be arrested. If order cannot be restored by such removal, the members of the Committee may direct that the meeting room be cleared (except for representatives of the press or other news media not participating in the disturbance), and the session may continue.

Record of Meeting: Committee meetings are recorded. Copies of recordings are available at a nominal charge, or recordings may be listened to at MTC offices by appointment. Audiocasts are maintained on MTC's Web site (mtc.ca.gov) for public review for at least one year.

Accessibility and Title VI: MTC provides services/accommodations upon request to persons with disabilities and individuals who are limited-English proficient who wish to address Commission matters. For accommodations or translations assistance, please call 415.778.6757 or 415.778.6769 for TDD/TTY. We require three working days' notice to accommodate your request.

可及性和法令第六章: MTC 根據要求向希望來委員會討論有關事宜的殘疾人士及英語有限者提供服務/方便。需要便利設施或翻譯協助者，請致電 415.778.6757 或 415.778.6769 TDD / TTY。我們要求您在三個工作日前告知，以滿足您的要求。

Acceso y el Titulo VI: La MTC puede proveer asistencia/facilitar la comunicación a las personas discapacitadas y los individuos con conocimiento limitado del inglés quienes quieran dirigirse a la Comisión. Para solicitar asistencia, por favor llame al número 415.778.6757 o al 415.778.6769 para TDD/TTY. Requerimos que solicite asistencia con tres días hábiles de anticipación para poderle proveer asistencia.

Attachments are sent to Committee members, key staff and others as appropriate. Copies will be available at the meeting.

All items on the agenda are subject to action and/or change by the Committee. Actions recommended by staff are subject to change by the Committee.

MTC's Chair and Vice-Chair are ex-officio voting members of all standing Committees.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0003 **Version:** 1 **Name:**
Type: Minutes **Status:** Consent
File created: 11/22/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: Minutes of the December 11, 2019 meeting
Sponsors:
Indexes:
Code sections:
Attachments: [2a_20-0003_12-11-2019_Draft_Administration_Minutes.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:
Minutes of the December 11, 2019 meeting

Recommended Action:
Committee Approval



**Metropolitan Transportation
Commission
Meeting Minutes**

Bay Area Metro Center
375 Beale Street
San Francisco, CA 94105

Administration Committee

Committee Members:

Federal D. Glover, Chair Jeannie Bruins, Vice Chair

*Carol Dutra-Vernaci, Nick Josefowitz, Gina Papan,
Hillary Ronen, Libby Schaaf, Amy R. Worth
Non-Voting Member: Tony Tavares*

Wednesday, December 11, 2019

9:35 AM

Board Room - 1st Floor

Call Meeting to Order

In the absence of the Administration Committee Chair and Vice Chair,
Commission Chair Haggerty chaired the meeting.

1. Roll Call/Confirm Quorum

- Present:** 8 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commission Chair Haggerty,
Commissioner Josefowitz, Commissioner Papan, Commissioner Ronen,
Commissioner Schaaf and Commissioner Worth
- Absent:** 1 - Chair Glover

Non-Voting Member Absent: Commissioner Tavares

Ex Officio Voting Member Present: Commissioner Pedroza

Ad Hoc Non-Voting Members Present: Commissioner Giacomini, Commissioner Halsted, and
Commissioner Spering

2. Consent Calendar

**Upon the motion by Commissioner Dutra-Vernaci and the second by
Commissioner Papan the Consent Calendar was unanimously approved by the
following vote:**

- Aye:** 7 - Commissioner Dutra-Vernaci, Commission Chair Haggerty, Commissioner
Josefowitz, Commissioner Papan, Commissioner Ronen, Commissioner Schaaf and
Commissioner Worth
- Absent:** 2 - Commissioner Bruins and Chair Glover

2a. [19-1246](#) Minutes of the November 13, 2019 meeting

Action: Committee Approval

- 2b. [19-1280](#) Investment Report for September 2019
Action: Information
Presenter: Catherine Cam
- 2c. [19-1281](#) MTC Financial Statements for September 2019
Action: Information
Presenter: Raymond Woo
- 2d. [19-1282](#) Monthly Travel Report
Action: Information
Presenter: Raymond Woo
- 2e. [19-1247](#) Contract Amendment - Regional Campaigns for Bike to Work Day and Bay Trail Social Media, Outreach, and Web Support: Circlepoint (\$100,000)
Action: Committee Approval
Presenter: Jay Stagi
- 2f. [19-1220](#) Contract - Software License Agreement: Central Square Technologies (\$1,125,654)
Action: Committee Approval
Presenter: Brian Mayhew

3. Approval

- 3a. [19-1274](#) Contract - Sacramento Legislative Representative: Carter, Wetch & Associates (\$618,241)

A request for approval of a four-year contract with Carter, Wetch & Associates in an amount not to exceed \$618,241 for state legislative advocacy services, with an option to extend for another four years.

Action: Committee Approval

Presenter: Randy Rentschler

Commissioner Bruins and Commissioner Giacomini arrived during agenda item 3a.

Upon the motion by Commissioner Worth and the second by Commissioner Dutra-Vernaci, the Committee unanimously approved the contract with Carter, Wetch & Associates. The motion carried by the following vote:

Aye: 8 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commission Chair Haggerty, Commissioner Josefowitz, Commissioner Papan, Commissioner Ronen, Commissioner Schaaf and Commissioner Worth

Absent: 1 - Chair Glover

- 3b.** [20-0011](#) MTC Resolution No. 4370, Revised - FY 2019-20 Overall Work Program (OWP) - Amendment

A request that the Committee authorize the referral of MTC Resolution No. 4370, Revised - FY 2019-20 Overall Work Program (OWP) - Amendment #2, which would add two new grant awards and a final SB 1 allocation, to the Commission for approval.

Action: Commission Approval

Presenter: Brian Mayhew

Upon the motion by Commissioner Dutra-Vernaci and the second by Commissioner Schaaf, the Committee unanimously approved the referral of MTC Resolution No. 4370, Revised to the Commission for approval. The motion carried by the following vote:

Aye: 8 - Commissioner Bruins, Commissioner Dutra-Vernaci, Commission Chair Haggerty, Commissioner Josefowitz, Commissioner Papan, Commissioner Ronen, Commissioner Schaaf and Commissioner Worth

Absent: 1 - Chair Glover

4. Public Comment / Other Business

5. Adjournment / Next Meeting

The next meeting of the Administration Committee is scheduled to be held on January 8, 2020 at 9:35 a.m. at the Bay Area Metro Center, 375 Beale Street, San Francisco, CA 94105.



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0036 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 12/2/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: Investment Report for October 2019
Sponsors:
Indexes:
Code sections:
Attachments: [2b_20-0036_Investment_Report_Oct'2019.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:
Investment Report for October 2019

Presenter:
Catherine Cam

Recommended Action:
Information

**Metropolitan Transportation Commission
Administration Committee**

January 8, 2020

Agenda Item 2b

Investment Report for October 2019

Subject: Investment Report for October 2019

Background: In accordance with the adopted investment policy, attached are the comprehensive investment holdings for MTC and all component units.

Total funds under MTC management are just under \$3.3 billion. A breakdown by fund is as follows:

| <u>Fund</u> | <u>Market Value (\$ million)</u> | <u>% of Total</u> |
|------------------------------|---|--------------------------|
| BATA Admin | \$ 950.3 | 28.8% |
| BATA Projects | 273.9 | 8.3% |
| BATA Debt Payment | 19.9 | 0.6% |
| BATA Debt Service Reserve | 516.3 | 15.6% |
| BATA RM2 | 207.4 | 6.3% |
| BATA RM3 | 111.0 | 3.4% |
| MTC | 348.9 | 10.6% |
| BART Car Exchange Program | 397.9 | 12.1% |
| AB 1171 | 63.3 | 1.9% |
| FasTrak® (Customer Deposits) | 136.8 | 4.1% |
| Clipper® | 91.6 | 2.8% |
| BAHA | 26.8 | 0.8% |
| SAFE | 12.7 | 0.4% |
| BAIFA | 140.8 | 4.3% |
| Portfolio Total | <u>\$ 3,297.6</u> | <u>100.0%</u> |

The BART Car Exchange fund is held in trust for future replacement of BART cars.

The portfolio consists mainly of Government Sponsored Enterprises (GSEs). The portfolio breakdown is as follows:

| <u>Security Holding</u> | <u>Portfolio Composite</u> | <u>Policy Limits</u> |
|------------------------------------|----------------------------|----------------------------|
| Fed Home Loan Bank | 37.1% | No limit |
| Fed Home Loan Mortgage | 34.9% | No limit |
| Fed National Mortgage Association | 1.4% | No limit |
| Fed Farm Credit Bank | 0.8% | No limit |
| Cash | 11.2% | No limit |
| Certificate of Deposit | 3.9% | No limit |
| Gov't Pools | Less than 0.1% | No limit |
| CA Asset Mgmt Program (CAMP) | Less than 0.1% | No limit |
| Municipal Bonds | 1.0% | No limit |
| Mutual Funds | 8.8% | 20% Portfolio/10% One Fund |
| Blackrock T-Fund (BATA Trustee) | 0.5% | Trustee Funds – No limit |
| Blackrock Treas Tr (BATA Trustee) | 0.4% | Trustee Funds – No limit |
| Blackrock Treas Dlr (BATA Trustee) | Less than 0.1% | Trustee Funds – No limit |
| Portfolio Total | 100.0% | |

Funds held by trustee are subject to permitted investments authorized in the approved issuing documents and are not subject to mutual fund limits as defined by California law and the MTC Investment Policy.

From time to time, there will be negative balances in the checking account which reflect timing differences between payments and receipt of funds from internal or external sources.

Credit ratings of municipal variable rate demand obligations and mutual funds held in the MTC portfolio are within the limits required by the MTC Investment Policy.

Liquidity Summary of MTC Portfolio

| Maturity | Market Value (\$ million) | % of Total Portfolio | Cumulative Minimum Level per MTC Investment Policy |
|-----------------------|----------------------------------|-----------------------------|---|
| 30 days or less | \$ 1,293.7 | 39% | 10% |
| 90 days or less | 2,255.4 cumulative | 68% cumulative | 15% |
| 1 year or less | 2,861.0 cumulative | 86% cumulative | 30% |
| 1-5 years | 417.7 | 13% | |
| *greater than 5 years | 18.9 | 1% | |

* BAAQMD Certificate of Participation matures November 2053 and is held in the RM1 BATA Admin Reserve.

The weighted maturity of the MTC portfolio is 214 days, and the maximum weighted maturity cannot exceed 5 years.

The MTC portfolio holds \$13 million (less than 1%) in variable rate demand obligations (VRDOs) issued by various California local agencies. The VRDOs are recognized as short term investment bonds for accounting classification purposes even though the various securities have maturities up to 30 years. The classification as short term investments is possible because VRDOs have “liquidity instruments” that allow the bonds to be “put” to the liquidity support bank at any time with seven days’ notice.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

Attachments: Comprehensive Investment Holdings for MTC and All Component Units



Therese W. McMillan



MTC
Summary by Issuer
October 31, 2019

SymPro, Inc.
123 Baker St.
Emeryville, CA 95688
(212)123-1233 23

| <u>Issuer</u> | <u>Number of Investments</u> | <u>Par Value</u> | <u>Market Value</u> | <u>% of Portfolio</u> | <u>Average YTM 365</u> | <u>Average Days to Maturity</u> |
|--------------------------------|------------------------------|------------------|---------------------|-----------------------|------------------------|---------------------------------|
| FASTRAK - PREPAID | 1 | 23,086,841.43 | 23,086,841.43 | 0.70 | 0.000 | 1 |
| BAY AREA AIR QUALITY MGMT DIST | 1 | 18,900,000.00 | 18,900,000.00 | 0.57 | 2.333 | 12,419 |
| BLK ROCK T-FUND TRUSTEE | 4 | 16,808,570.50 | 16,808,570.50 | 0.51 | 1.660 | 1 |
| FASTRAK - PARKING FEES | 1 | 97,766.50 | 97,766.50 | 0.00 | 0.000 | 1 |
| FASTRAK - VIOLATIONS | 1 | 2,449,746.89 | 2,449,746.89 | 0.07 | 0.000 | 1 |
| FASTRAK - REFUND | 1 | 2,236,714.61 | 2,236,714.61 | 0.07 | 0.000 | 1 |
| FASTRAK - FEE ACCOUNT | 1 | 1,817,038.82 | 1,817,038.82 | 0.06 | 0.000 | 1 |
| CALIFORNIA ASSET MANAGEMENT PR | 8 | 1,222,954.26 | 1,222,954.26 | 0.04 | 2.050 | 1 |
| CASH BALANCE | 4 | 1,659,991.25 | 1,659,991.25 | 0.05 | 0.000 | 1 |
| EAST BAY MUD | 1 | 7,000,000.00 | 7,000,000.00 | 0.21 | 0.893 | 5 |
| FED FARM CREDIT BANK | 3 | 27,100,000.00 | 27,081,025.23 | 0.82 | 2.098 | 230 |
| FED HOME LOAN BANK | 102 | 1,224,948,000.00 | 1,222,264,330.21 | 37.07 | 1.900 | 217 |
| FED HOME LOAN MTG CORP | 88 | 1,152,333,000.00 | 1,150,006,487.98 | 34.87 | 2.009 | 161 |
| FED NATIONAL MTG ASSN | 3 | 45,100,000.00 | 45,020,229.63 | 1.37 | 1.722 | 87 |
| CLIPPER GS FIN SQ GOVT FUND | 1 | 76,695,928.36 | 76,695,928.36 | 2.33 | 1.690 | 1 |
| LAIF | 3 | 342,991.84 | 342,991.84 | 0.01 | 2.190 | 1 |
| LOS ANGELES DEPT WTR & PWR | 1 | 5,900,000.00 | 5,900,000.00 | 0.18 | 0.932 | 6 |
| MORGAN STANLEY GOVT ESCROW | 1 | 1,922,060.49 | 1,922,060.49 | 0.06 | 1.690 | 1 |
| MORGAN STANLEY GOVT CUSTODY | 11 | 78,939,208.67 | 78,939,208.67 | 2.39 | 1.690 | 1 |
| BLK ROCK TREAS TR DOL TRUSTEE | 4 | 68,780.26 | 68,780.26 | 0.00 | 1.420 | 1 |
| BLK ROCK TREAS TR INS TRUSTEE | 7 | 13,751,851.14 | 13,751,851.14 | 0.42 | 1.650 | 1 |
| FASTRAK BLK ROCK LIQ TREASURY | 1 | 107,064,909.88 | 107,064,909.88 | 3.25 | 0.973 | 1 |
| BLK ROCK TREAS TR INS CUSTODY | 8 | 25,226,680.59 | 25,226,680.59 | 0.77 | 1.650 | 1 |

MTC
Summary by Issuer
October 31, 2019

| Issuer | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|--------------------------------|------------------------------|-------------------------|-------------------------|-----------------------|------------------------|---------------------------------|
| UBOC CHECKING | 1 | 2,666,139.23 | 2,666,139.23 | 0.08 | 0.010 | 1 |
| UBOC DISTRICT 4 AND CHANGE FUN | 1 | 1,892,660.25 | 1,892,660.25 | 0.06 | 0.000 | 1 |
| UBOC INTEREST ON CHECKING | 34 | 313,186,176.19 | 313,186,176.19 | 9.50 | 1.860 | 1 |
| CLIPPER SETTLEMENT ACCOUNT | 1 | 2,478,601.94 | 2,478,601.94 | 0.08 | 0.000 | 1 |
| CLIPPER FLOAT ACCOUNT | 1 | 10,000,014.42 | 10,000,014.42 | 0.30 | 0.000 | 1 |
| CLIPPER PARTICIPANT CLAIM FUND | 1 | 1,687,214.26 | 1,687,214.26 | 0.05 | 0.000 | 1 |
| UBOC BAHA CHECKING | 2 | 8,245,229.11 | 8,245,229.11 | 0.25 | 0.000 | 1 |
| CLIPPER REFUND ACCOUNT | 1 | 763,925.21 | 763,925.21 | 0.02 | 0.000 | 1 |
| UNION BANK NA | 9 | 127,003,000.00 | 127,079,615.04 | 3.85 | 2.180 | 59 |
| Total and Average | 307 | 3,302,595,996.10 | 3,297,563,684.19 | 100.00 | 1.865 | 214 |



MTC
Summary by Type
October 31, 2019
Grouped by Fund

SymPro, Inc.
123 Baker St.
Emeryville, CA 95688
(212)123-1233 23

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|---|-----------------------|-----------------------|-----------------------|----------------|-----------------|--------------------------|
| Fund: MTC CASH AND CASH EQUIVALENT | | | | | | |
| Local Agency Investment Funds | 1 | 229,507.57 | 229,507.57 | 0.01 | 2.190 | 1 |
| Checking Accounts | 2 | 34,402,090.50 | 34,402,090.50 | 1.04 | 1.717 | 1 |
| Subtotal | 3 | 34,631,598.07 | 34,631,598.07 | 1.05 | 1.720 | 1 |
| Fund: NON TRANSPORTATION PLNG | | | | | | |
| Checking Accounts | 1 | 4,349,895.71 | 4,349,895.71 | 0.13 | 1.860 | 1 |
| Subtotal | 1 | 4,349,895.71 | 4,349,895.71 | 0.13 | 1.860 | 1 |
| Fund: AB664 EAST | | | | | | |
| Federal Agency Disc. -Amortizing | 10 | 119,690,000.00 | 119,238,063.23 | 3.62 | 1.914 | 84 |
| Mutual Funds - Custodial | 1 | 68,282.32 | 68,282.32 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 6,358,621.30 | 6,358,621.30 | 0.19 | 1.860 | 1 |
| Subtotal | 12 | 126,116,903.62 | 125,664,966.85 | 3.81 | 1.911 | 80 |
| Fund: AB664 WEST | | | | | | |
| Federal Agency Disc. -Amortizing | 3 | 11,390,000.00 | 11,346,727.16 | 0.34 | 1.836 | 84 |
| Mutual Funds - Custodial | 1 | 53,615.44 | 53,615.44 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 30,089,427.24 | 30,089,427.24 | 0.91 | 1.860 | 1 |
| Negotiable CDs | 1 | 3,073,000.00 | 3,073,464.02 | 0.09 | 2.180 | 11 |
| Subtotal | 6 | 44,606,042.68 | 44,563,233.86 | 1.34 | 1.876 | 23 |
| Fund: 5% STATE | | | | | | |
| Checking Accounts | 1 | 17,077,728.53 | 17,077,728.53 | 0.52 | 1.860 | 1 |
| Subtotal | 1 | 17,077,728.53 | 17,077,728.53 | 0.52 | 1.860 | 1 |
| Fund: 2% TRANSIT RESERVES FERRY | | | | | | |
| Federal Agency Disc. -Amortizing | 3 | 11,790,000.00 | 11,751,704.89 | 0.36 | 1.952 | 72 |
| Mutual Funds - Custodial | 1 | 1,173.57 | 1,173.57 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 5,505,546.47 | 5,505,546.47 | 0.17 | 1.860 | 1 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|--|--------------------------|-----------------------|-----------------------|-------------------|--------------------|-----------------------------|
| Subtotal | 5 | 17,296,720.04 | 17,258,424.93 | 0.53 | 1.922 | 50 |
| Fund: 2% TRANSIT RESERVES STUDIES | | | | | | |
| Federal Agency Disc. -Amortizing | 5 | 19,860,000.00 | 19,820,365.42 | 0.60 | 1.921 | 44 |
| Mutual Funds - Custodial | 1 | 60,354.48 | 60,354.48 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 2,602,851.04 | 2,602,851.04 | 0.08 | 1.860 | 1 |
| Subtotal | 7 | 22,523,205.52 | 22,483,570.94 | 0.68 | 1.913 | 39 |
| Fund: 90% RAIL RESERVE EAST | | | | | | |
| Federal Agency Disc. -Amortizing | 4 | 25,200,000.00 | 25,136,407.12 | 0.76 | 1.941 | 55 |
| Mutual Funds - Custodial | 1 | 44,428.83 | 44,428.83 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 10,680,611.46 | 10,680,611.46 | 0.32 | 1.860 | 1 |
| Subtotal | 6 | 35,925,040.29 | 35,861,447.41 | 1.08 | 1.917 | 39 |
| Fund: 90% RAIL RESERVE WEST | | | | | | |
| Mutual Funds - Custodial | 1 | 175.82 | 175.82 | 0.00 | 1.690 | 1 |
| Checking Accounts | 1 | 1,075,272.33 | 1,075,272.33 | 0.03 | 1.860 | 1 |
| Subtotal | 2 | 1,075,448.15 | 1,075,448.15 | 0.03 | 1.860 | 1 |
| Fund: MTC EXCHANGE FUND | | | | | | |
| Checking Accounts | 1 | 31,669,094.94 | 31,669,094.94 | 0.96 | 1.860 | 1 |
| Subtotal | 1 | 31,669,094.94 | 31,669,094.94 | 0.96 | 1.860 | 1 |
| Fund: BART CAR EXCHANGE PROGRAM | | | | | | |
| Federal Agency Coupon Securities | 3 | 30,000,000.00 | 30,002,169.10 | 0.91 | 1.850 | 270 |
| Federal Agency Disc. -Amortizing | 15 | 333,900,000.00 | 332,615,607.25 | 10.09 | 1.904 | 86 |
| Mutual Funds - Custodial | 1 | 215,465.68 | 215,465.68 | 0.01 | 1.650 | 1 |
| Negotiable CDs | 2 | 35,000,000.00 | 35,023,230.00 | 1.06 | 2.180 | 63 |
| Subtotal | 21 | 399,115,465.68 | 397,856,472.03 | 12.07 | 1.924 | 98 |
| Fund: CLIPPER CAPITAL (MTC) | | | | | | |
| Checking Accounts | 1 | 12,058,966.24 | 12,058,966.24 | 0.37 | 1.860 | 1 |
| Subtotal | 1 | 12,058,966.24 | 12,058,966.24 | 0.37 | 1.860 | 1 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|--|--------------------------|----------------------|----------------------|-------------------|--------------------|-----------------------------|
| Fund: CLIPPER 2.0 (MTC) | | | | | | |
| Checking Accounts | 1 | 227,388.65 | 227,388.65 | 0.01 | 1.860 | 1 |
| Subtotal | 1 | 227,388.65 | 227,388.65 | 0.01 | 1.860 | 1 |
| Fund: CLIPPER OPERATIONS (MTC) | | | | | | |
| Checking Accounts | 1 | 1,886,548.17 | 1,886,548.17 | 0.06 | 1.860 | 1 |
| Subtotal | 1 | 1,886,548.17 | 1,886,548.17 | 0.06 | 1.860 | 1 |
| Fund: MTC CAPITAL PROJECTS | | | | | | |
| Checking Accounts | 1 | 84,422.76 | 84,422.76 | 0.00 | 1.860 | 1 |
| Subtotal | 1 | 84,422.76 | 84,422.76 | 0.00 | 1.860 | 1 |
| Fund: SAFE | | | | | | |
| Local Agency Investment Funds | 1 | 113,237.57 | 113,237.57 | 0.00 | 2.190 | 1 |
| Checking Accounts | 1 | 4,997,786.52 | 4,997,786.52 | 0.15 | 1.860 | 1 |
| Subtotal | 2 | 5,111,024.09 | 5,111,024.09 | 0.15 | 1.867 | 1 |
| Fund: SAFE CAPITAL PROJECTS | | | | | | |
| Checking Accounts | 1 | 7,556,310.73 | 7,556,310.73 | 0.23 | 1.860 | 1 |
| Subtotal | 1 | 7,556,310.73 | 7,556,310.73 | 0.23 | 1.860 | 1 |
| Fund: RM2 OPERATING | | | | | | |
| Checking Accounts | 1 | 296,625.95 | 296,625.95 | 0.01 | 1.860 | 1 |
| Subtotal | 1 | 296,625.95 | 296,625.95 | 0.01 | 1.860 | 1 |
| Fund: UB DEBT PAYMENT - TRUSTEE | | | | | | |
| Mutual Funds - Trustee | 1 | 16,101,487.77 | 16,101,487.77 | 0.49 | 1.660 | 1 |
| Subtotal | 1 | 16,101,487.77 | 16,101,487.77 | 0.49 | 1.660 | 1 |
| Fund: BATA 2019 F-1 COI | | | | | | |
| Mutual Funds - Trustee | 1 | 302,818.26 | 302,818.26 | 0.01 | 1.660 | 1 |
| Subtotal | 1 | 302,818.26 | 302,818.26 | 0.01 | 1.660 | 1 |
| Fund: BATA 2019 S-9 COI | | | | | | |
| Mutual Funds - Trustee | 1 | 52,585.55 | 52,585.55 | 0.00 | 1.420 | 1 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|-------------------------------------|--------------------------|-----------------------|-----------------------|-------------------|--------------------|-----------------------------|
| Subtotal | 1 | 52,585.55 | 52,585.55 | 0.00 | 1.420 | 1 |
| Fund: BATA 2019 S-9 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 1 | 1,900,000.00 | 1,900,337.19 | 0.06 | 1.850 | 532 |
| Mutual Funds - Trustee | 1 | 11,143.86 | 11,143.86 | 0.00 | 1.420 | 1 |
| Subtotal | 2 | 1,911,143.86 | 1,911,481.05 | 0.06 | 1.847 | 529 |
| Fund: BATA 2019 S-H BOND COI | | | | | | |
| Mutual Funds - Trustee | 1 | 36,520.59 | 36,520.59 | 0.00 | 1.650 | 1 |
| Subtotal | 1 | 36,520.59 | 36,520.59 | 0.00 | 1.650 | 1 |
| Fund: DEBT SERVICE RESERVE | | | | | | |
| Mutual Funds - Trustee | 1 | 387,599.40 | 387,599.40 | 0.01 | 1.660 | 1 |
| Municipal Bonds | 1 | 7,000,000.00 | 7,000,000.00 | 0.21 | 0.893 | 5 |
| Federal Agency Coupon Securities | 12 | 150,900,000.00 | 150,874,594.92 | 4.58 | 1.975 | 715 |
| Federal Agency Disc. -Amortizing | 8 | 185,600,000.00 | 185,131,188.49 | 5.61 | 2.023 | 56 |
| Subtotal | 22 | 343,887,599.40 | 343,393,382.81 | 10.41 | 1.978 | 344 |
| Fund: BATA 2019 S-8 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 1 | 7,000,000.00 | 7,000,110.74 | 0.21 | 2.070 | 664 |
| Federal Agency Disc. -Amortizing | 1 | 742,000.00 | 739,762.87 | 0.02 | 1.702 | 67 |
| Mutual Funds - Trustee | 1 | 2,758.52 | 2,758.52 | 0.00 | 1.420 | 1 |
| Subtotal | 3 | 7,744,758.52 | 7,742,632.13 | 0.23 | 2.035 | 607 |
| Fund: BATA 2019 S-8 COI | | | | | | |
| Mutual Funds - Trustee | 1 | 2,292.33 | 2,292.33 | 0.00 | 1.420 | 1 |
| Subtotal | 1 | 2,292.33 | 2,292.33 | 0.00 | 1.420 | 1 |
| Fund: BATA 2019 ABCD COI | | | | | | |
| Mutual Funds - Trustee | 1 | 16,665.07 | 16,665.07 | 0.00 | 1.660 | 1 |
| Subtotal | 1 | 16,665.07 | 16,665.07 | 0.00 | 1.660 | 1 |
| Fund: BATA 2010 S-1 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 6 | 56,800,000.00 | 56,810,137.26 | 1.72 | 1.973 | 546 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|--|-----------------------|----------------------|----------------------|----------------|-----------------|--------------------------|
| Fund: BATA 2010 S-1 RESERVE | | | | | | |
| Federal Agency Disc. -Amortizing | 2 | 16,175,000.00 | 16,154,324.16 | 0.49 | 1.850 | 28 |
| Mutual Funds - Trustee | 1 | 142,449.33 | 142,449.33 | 0.00 | 1.650 | 1 |
| Subtotal | 9 | 73,117,449.33 | 73,106,910.75 | 2.21 | 1.946 | 430 |
| Fund: BONY DEBT PAYMENT - TRUSTEE | | | | | | |
| Mutual Funds - Trustee | 1 | 3,377,002.98 | 3,377,002.98 | 0.10 | 1.650 | 1 |
| Subtotal | 1 | 3,377,002.98 | 3,377,002.98 | 0.10 | 1.650 | 1 |
| Fund: BATA SUB 2019 S-H RESERVE | | | | | | |
| Federal Agency Coupon Securities | 1 | 6,000,000.00 | 6,002,619.83 | 0.18 | 1.850 | 909 |
| Federal Agency Disc. -Amortizing | 1 | 363,000.00 | 362,208.56 | 0.01 | 2.113 | 47 |
| Mutual Funds - Trustee | 1 | 40,192.15 | 40,192.15 | 0.00 | 1.650 | 1 |
| Subtotal | 3 | 6,403,192.15 | 6,405,020.54 | 0.19 | 1.864 | 855 |
| Fund: BATA 2017 S-7 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 5 | 50,510,000.00 | 50,521,625.90 | 1.53 | 2.080 | 780 |
| Mutual Funds - Trustee | 1 | 10,118,943.22 | 10,118,943.22 | 0.31 | 1.650 | 1 |
| Subtotal | 6 | 60,628,943.22 | 60,640,569.12 | 1.84 | 2.009 | 650 |
| Fund: BATA 2010 S-2 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 1 | 50,000.00 | 50,000.00 | 0.00 | 1.700 | 0 |
| Mutual Funds - Trustee | 1 | 2,382.09 | 2,382.09 | 0.00 | 1.650 | 1 |
| Subtotal | 2 | 52,382.09 | 52,382.09 | 0.00 | 1.698 | 0 |
| Fund: BATA 2010 S-3 RESERVE | | | | | | |
| Federal Agency Coupon Securities | 3 | 17,940,000.00 | 17,947,455.60 | 0.54 | 1.964 | 806 |
| Federal Agency Disc. -Amortizing | 1 | 5,100,000.00 | 5,094,049.98 | 0.15 | 1.918 | 25 |
| Mutual Funds - Trustee | 1 | 34,360.78 | 34,360.78 | 0.00 | 1.650 | 1 |
| Subtotal | 5 | 23,074,360.78 | 23,075,866.36 | 0.69 | 1.954 | 632 |
| Fund: RM2 CAPITAL | | | | | | |
| Federal Agency Disc. -Amortizing | 2 | 9,600,000.00 | 9,574,160.25 | 0.29 | 1.715 | 59 |
| Federal Agency Coupon Securities | 2 | 11,900,000.00 | 11,900,380.21 | 0.36 | 1.855 | 214 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|-------------------------------------|-----------------------|-----------------------|-----------------------|----------------|-----------------|--------------------------|
| Fund: RM2 CAPITAL | | | | | | |
| Mutual Funds - Custodial | 2 | 130,464.32 | 130,464.32 | 0.00 | 1.832 | 1 |
| Checking Accounts | 1 | 7,542,287.70 | 7,542,287.70 | 0.23 | 1.860 | 1 |
| Subtotal | 7 | 29,172,752.02 | 29,147,292.48 | 0.88 | 1.810 | 107 |
| Fund: BATA REHAB RESERVE | | | | | | |
| Federal Agency Disc. -Amortizing | 4 | 37,200,000.00 | 37,125,503.51 | 1.13 | 1.959 | 44 |
| Federal Agency Coupon Securities | 2 | 17,000,000.00 | 17,002,057.39 | 0.52 | 2.062 | 864 |
| Mutual Funds - Custodial | 1 | 8,077,959.80 | 8,077,959.80 | 0.24 | 1.650 | 1 |
| Checking Accounts | 1 | 928,658.23 | 928,658.23 | 0.03 | 1.860 | 1 |
| Negotiable CDs | 1 | 11,200,000.00 | 11,209,116.80 | 0.34 | 2.180 | 83 |
| Subtotal | 9 | 74,406,618.03 | 74,343,295.73 | 2.26 | 1.981 | 232 |
| Fund: BATA REHAB PROJECTS | | | | | | |
| Federal Agency Coupon Securities | 1 | 15,000,000.00 | 15,000,579.30 | 0.45 | 1.800 | 301 |
| Federal Agency Disc. -Amortizing | 9 | 71,600,000.00 | 71,432,129.50 | 2.17 | 1.961 | 52 |
| Mutual Funds - Custodial | 1 | 196,465.95 | 196,465.95 | 0.01 | 1.690 | 1 |
| Checking Accounts | 1 | 10,930,530.89 | 10,930,530.89 | 0.33 | 1.860 | 1 |
| Subtotal | 12 | 97,726,996.84 | 97,559,705.64 | 2.96 | 1.924 | 84 |
| Fund: BATA - SEISMIC CAPITAL | | | | | | |
| Federal Agency Disc. -Amortizing | 6 | 115,000,000.00 | 114,806,418.06 | 3.48 | 1.843 | 37 |
| Mutual Funds - Custodial | 3 | 15,188,068.27 | 15,188,068.27 | 0.46 | 1.559 | 1 |
| Checking Accounts | 1 | 11,161,145.29 | 11,161,145.29 | 0.34 | 1.860 | 1 |
| Subtotal | 10 | 141,349,213.56 | 141,155,631.62 | 4.28 | 1.814 | 30 |
| Fund: BATA TRANSIT PROGRAM | | | | | | |
| Checking Accounts | 1 | 35,142,823.98 | 35,142,823.98 | 1.07 | 1.860 | 1 |
| Subtotal | 1 | 35,142,823.98 | 35,142,823.98 | 1.07 | 1.860 | 1 |
| Fund: AB 1171 PROJECTS | | | | | | |
| Federal Agency Disc. -Amortizing | 5 | 47,200,000.00 | 47,078,061.96 | 1.43 | 1.855 | 57 |
| Mutual Funds - Custodial | 2 | 28,408.40 | 28,408.40 | 0.00 | 1.822 | 1 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|---|--------------------------|-----------------------|-----------------------|-------------------|--------------------|-----------------------------|
| Fund: AB 1171 PROJECTS | | | | | | |
| Checking Accounts | 1 | 16,215,964.38 | 16,215,964.38 | 0.49 | 1.860 | 1 |
| Subtotal | 8 | 63,444,372.78 | 63,322,434.74 | 1.92 | 1.856 | 43 |
| Fund: RM1 BATA ADMIN - SELF INSURED | | | | | | |
| Federal Agency Coupon - Actual | 1 | 5,000,000.00 | 4,996,147.20 | 0.15 | 2.113 | 174 |
| Federal Agency Disc. -Amortizing | 9 | 198,160,000.00 | 197,648,334.60 | 5.99 | 2.003 | 57 |
| Federal Agency Coupon Securities | 8 | 95,600,000.00 | 95,626,027.59 | 2.90 | 2.021 | 751 |
| Mutual Funds - Custodial | 2 | 1,346,045.34 | 1,346,045.34 | 0.04 | 1.651 | 1 |
| Checking Accounts | 1 | 115,139.91 | 115,139.91 | 0.00 | 1.860 | 1 |
| Subtotal | 21 | 300,221,185.25 | 299,731,694.64 | 9.08 | 2.009 | 280 |
| Fund: RM1 BATA ADMIN - O&M RESERVE | | | | | | |
| Federal Agency Coupon - Actual | 1 | 20,000,000.00 | 19,984,588.80 | 0.61 | 2.113 | 174 |
| Federal Agency Disc. -Amortizing | 8 | 129,780,000.00 | 129,444,997.38 | 3.93 | 1.940 | 57 |
| Federal Agency Coupon Securities | 3 | 35,000,000.00 | 35,001,571.72 | 1.06 | 1.864 | 495 |
| Mutual Funds - Custodial | 2 | 1,148,602.25 | 1,148,602.25 | 0.03 | 2.017 | 1 |
| Checking Accounts | 1 | 79,531.58 | 79,531.58 | 0.00 | 1.860 | 1 |
| Subtotal | 15 | 186,008,133.83 | 185,659,291.73 | 5.63 | 1.945 | 152 |
| Fund: RM1 BATA ADMIN | | | | | | |
| Municipal Bonds | 2 | 24,800,000.00 | 24,800,000.00 | 0.75 | 2.000 | 9,466 |
| Mutual Funds - Custodial | 3 | 69,738,515.18 | 69,738,515.18 | 2.11 | 1.683 | 1 |
| Federal Agency Disc. -Amortizing | 10 | 229,000,000.00 | 228,729,123.92 | 6.94 | 2.026 | 26 |
| Local Agency Investment Funds | 1 | 246.70 | 246.70 | 0.00 | 2.190 | 1 |
| Checking Accounts | 1 | 10,566,191.46 | 10,566,191.46 | 0.32 | 1.860 | 1 |
| Negotiable CDs | 2 | 50,000,000.00 | 50,024,125.00 | 1.52 | 2.180 | 47 |
| Subtotal | 19 | 384,104,953.34 | 383,858,202.26 | 11.64 | 1.977 | 633 |
| Fund: RM3 ESCROW | | | | | | |
| Mutual Funds - Custodial | 2 | 2,183,999.24 | 2,183,999.24 | 0.07 | 1.487 | 1 |
| Federal Agency Disc. -Amortizing | 16 | 103,431,000.00 | 103,090,947.27 | 3.13 | 1.945 | 73 |

MTC
Summary by Type
October 31, 2019
Grouped by Fund

| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|----------------------------------|-----------------------|-----------------------|-----------------------|----------------|-----------------|--------------------------|
| Fund: RM3 ESCROW | | | | | | |
| Checking Accounts | 1 | 5,015.00 | 5,015.00 | 0.00 | 1.860 | 1 |
| Negotiable CDs | 1 | 5,730,000.00 | 5,734,664.22 | 0.17 | 2.180 | 83 |
| Subtotal | 20 | 111,350,014.24 | 111,014,625.73 | 3.37 | 1.948 | 72 |
| Fund: RM2 ADMIN RESERVES | | | | | | |
| Mutual Funds - Custodial | 2 | 9,171,995.43 | 9,171,995.43 | 0.28 | 1.643 | 1 |
| Federal Agency Disc. -Amortizing | 11 | 138,300,000.00 | 137,857,176.37 | 4.18 | 1.890 | 71 |
| Checking Accounts | 1 | 8,938,309.52 | 8,938,309.52 | 0.27 | 1.860 | 1 |
| Negotiable CDs | 2 | 22,000,000.00 | 22,015,015.00 | 0.67 | 2.180 | 66 |
| Subtotal | 16 | 178,410,304.95 | 177,982,496.32 | 5.40 | 1.911 | 63 |
| Fund: UNDISTRIBUTED FUNDS | | | | | | |
| Checking Accounts | 1 | 1,892,660.25 | 1,892,660.25 | 0.06 | 0.000 * | 1 |
| Subtotal | 1 | 1,892,660.25 | 1,892,660.25 | 0.06 | 0.000 | 1 |
| Fund: SEISMIC ADMIN | | | | | | |
| Mutual Funds - Custodial | 2 | 1,194,347.48 | 1,194,347.48 | 0.04 | 1.671 | 1 |
| Checking Accounts | 1 | 3,638,227.40 | 3,638,227.40 | 0.11 | 1.860 | 1 |
| Subtotal | 3 | 4,832,574.88 | 4,832,574.88 | 0.15 | 1.813 | 1 |
| Fund: FASTRAK | | | | | | |
| Checking Accounts | 5 | 29,688,108.25 | 29,688,108.25 | 0.90 | 0.000 ** | 1 |
| Mutual Funds - Custodial | 1 | 107,064,909.88 | 107,064,909.88 | 3.25 | 0.973 | 1 |
| Subtotal | 6 | 136,753,018.13 | 136,753,018.13 | 4.15 | 0.762 | 1 |
| Fund: CLIPPER | | | | | | |
| Mutual Funds - Custodial | 1 | 76,695,928.36 | 76,695,928.36 | 2.33 | 1.690 | 1 |
| Checking Accounts | 4 | 14,929,755.83 | 14,929,755.83 | 0.45 | 0.000 *** | 1 |
| Subtotal | 5 | 91,625,684.19 | 91,625,684.19 | 2.78 | 1.415 | 1 |
| Fund: BAHA OPERATING | | | | | | |
| Checking Accounts | 2 | 14,901,093.99 | 14,901,093.99 | 0.45 | 1.656 | 1 |

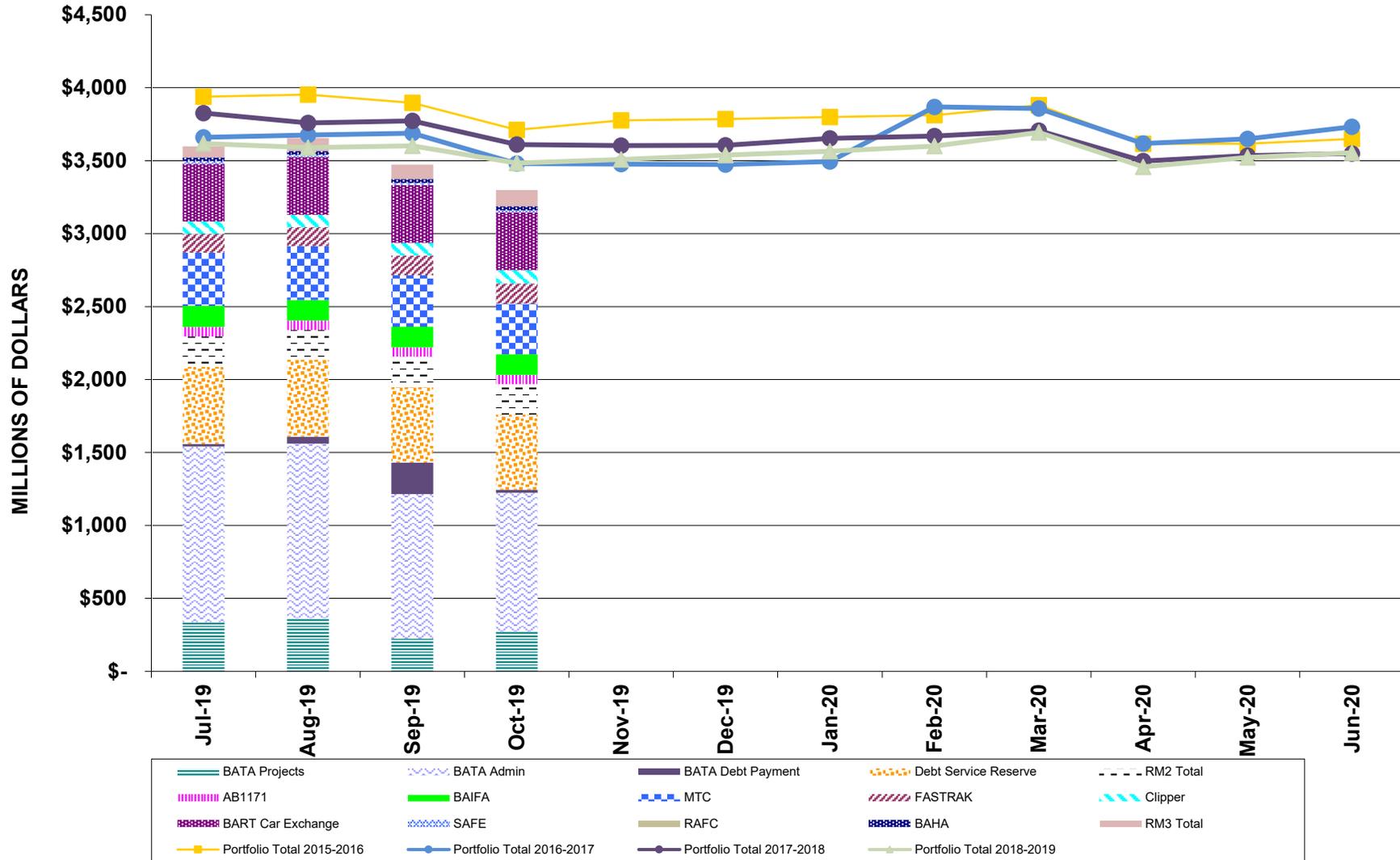
* Earnings Credit Rate of 0.01%
** Earnings Allowance Rate of 0.30%
*** Earning Credit Rate of 0.04%

MTC
Summary by Type
October 31, 2019
Grouped by Fund

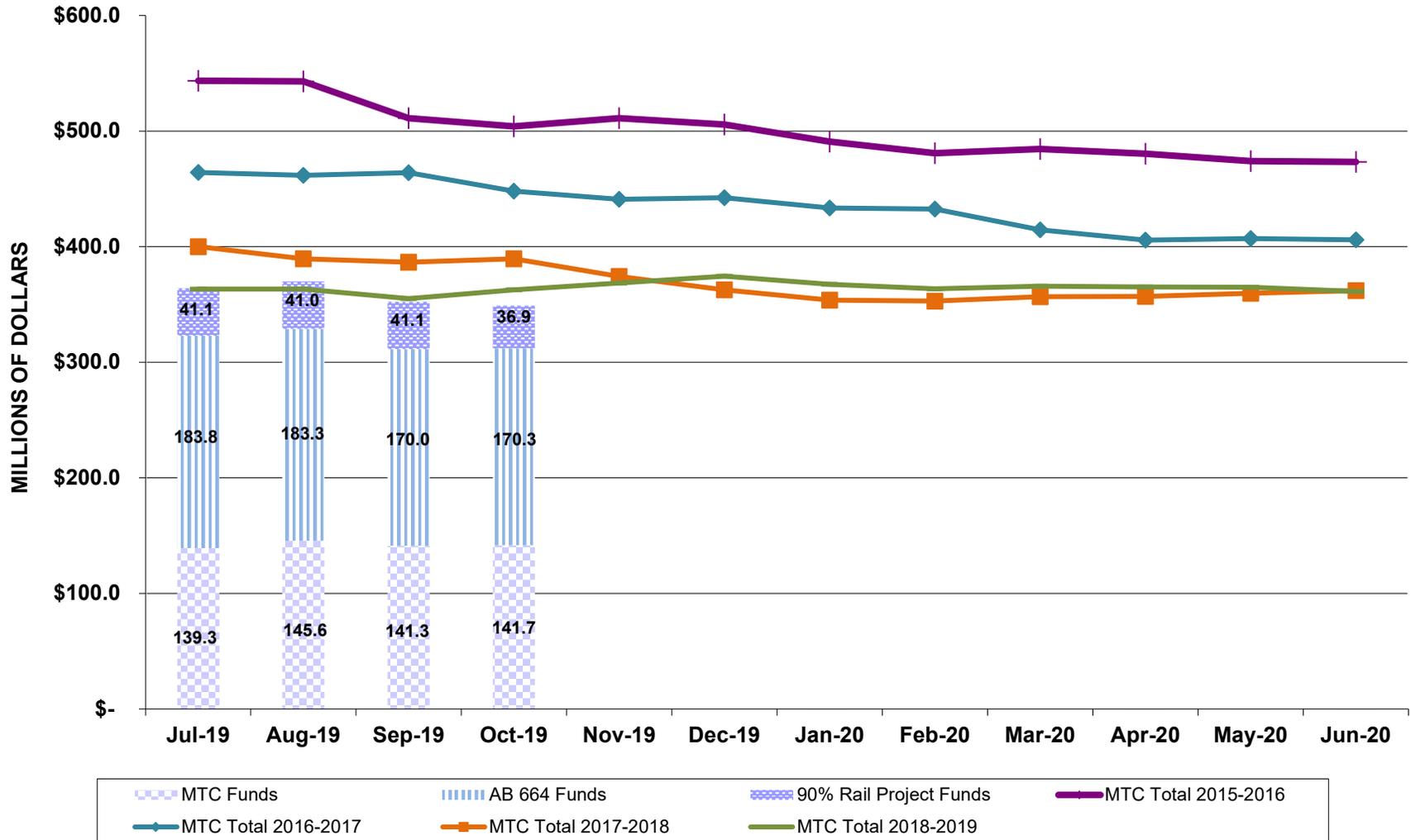
| Security Type | Number of Investments | Par Value | Market Value | % of Portfolio | Average YTM 365 | Average Days to Maturity |
|--------------------------------------|--------------------------|-------------------------|-------------------------|-------------------|--------------------|-----------------------------|
| Subtotal | 2 | 14,901,093.99 | 14,901,093.99 | 0.45 | 1.656 | 1 |
| Fund: BAHA OWNER'S | | | | | | |
| Checking Accounts | 1 | 6,611,434.45 | 6,611,434.45 | 0.20 | 0.000 * | 1 |
| Subtotal | 1 | 6,611,434.45 | 6,611,434.45 | 0.20 | 0.000 | 1 |
| Fund: BAHA CAPITAL | | | | | | |
| Mutual Funds - Custodial | 1 | 988.22 | 988.22 | 0.00 | 1.650 | 1 |
| Checking Accounts | 1 | 5,034,475.57 | 5,034,475.57 | 0.15 | 1.860 | 1 |
| Subtotal | 2 | 5,035,463.79 | 5,035,463.79 | 0.15 | 1.860 | 1 |
| Fund: 375 BEALE STREET (BAHA) | | | | | | |
| Checking Accounts | 1 | 257,167.03 | 257,167.03 | 0.01 | 1.860 | 1 |
| Subtotal | 1 | 257,167.03 | 257,167.03 | 0.01 | 1.860 | 1 |
| Fund: BAIFA OP Admin | | | | | | |
| Checking Accounts | 1 | 16,753,330.76 | 16,753,330.76 | 0.51 | 1.860 | 1 |
| Subtotal | 1 | 16,753,330.76 | 16,753,330.76 | 0.51 | 1.860 | 1 |
| Fund: BAIFA CAPITAL FUND | | | | | | |
| Federal Agency Coupon Securities | 5 | 47,900,000.00 | 47,901,165.47 | 1.45 | 1.973 | 437 |
| Federal Agency Disc. -Amortizing | 7 | 71,900,000.00 | 71,673,242.88 | 2.17 | 1.876 | 70 |
| Mutual Funds - Custodial | 2 | 121,539.24 | 121,539.24 | 0.00 | 1.725 | 1 |
| Checking Accounts | 1 | 4,357,028.85 | 4,357,028.85 | 0.13 | 1.860 | 1 |
| Subtotal | 15 | 124,278,568.09 | 124,052,976.44 | 3.75 | 1.913 | 209 |
| Total and Average | 307 | 3,302,595,996.10 | 3,297,563,684.19 | 100.00 | 1.865 | 214 |

* Earning Credit Rate of 0.04%

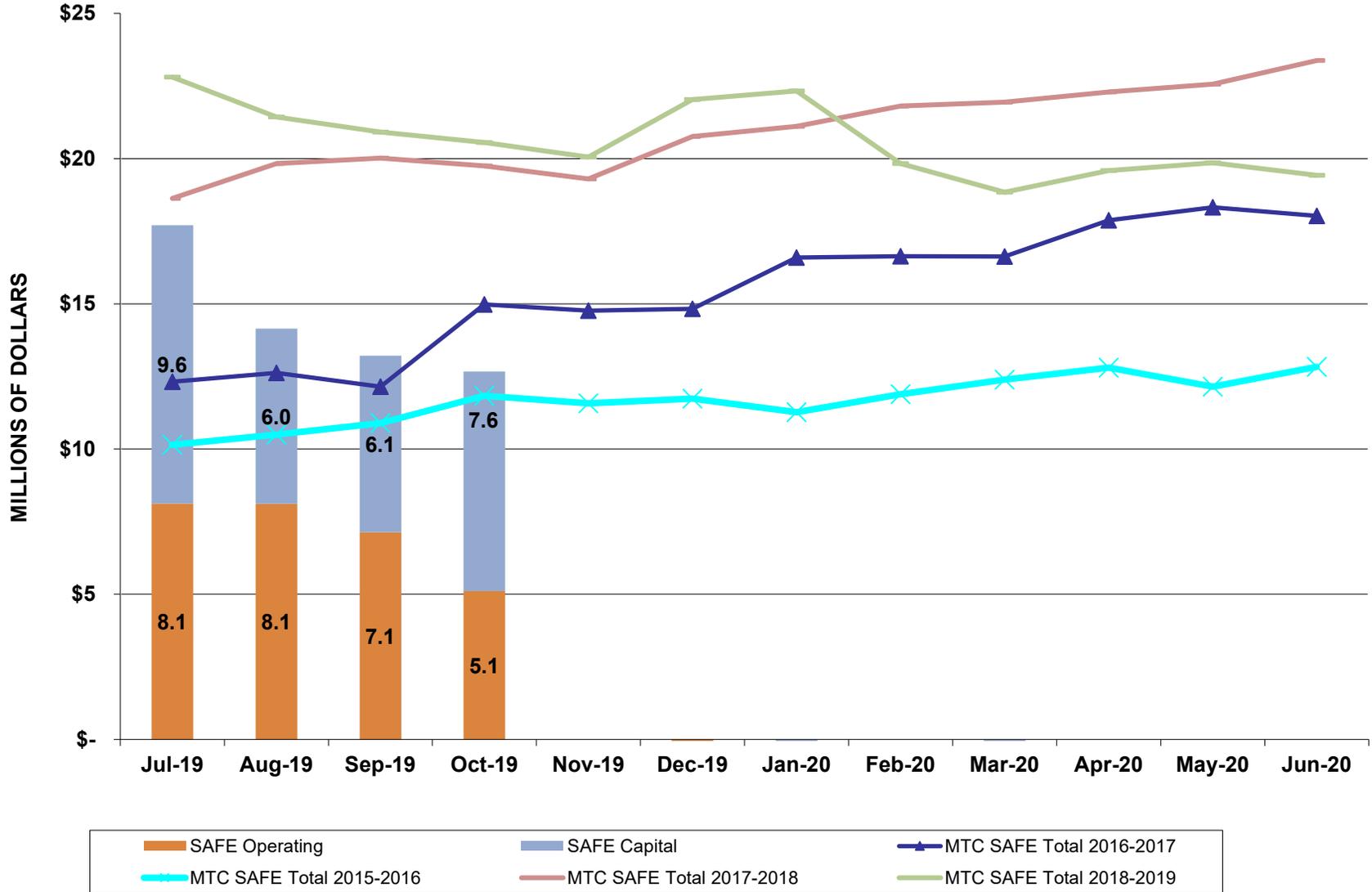
TOTAL PORTFOLIO October 2019



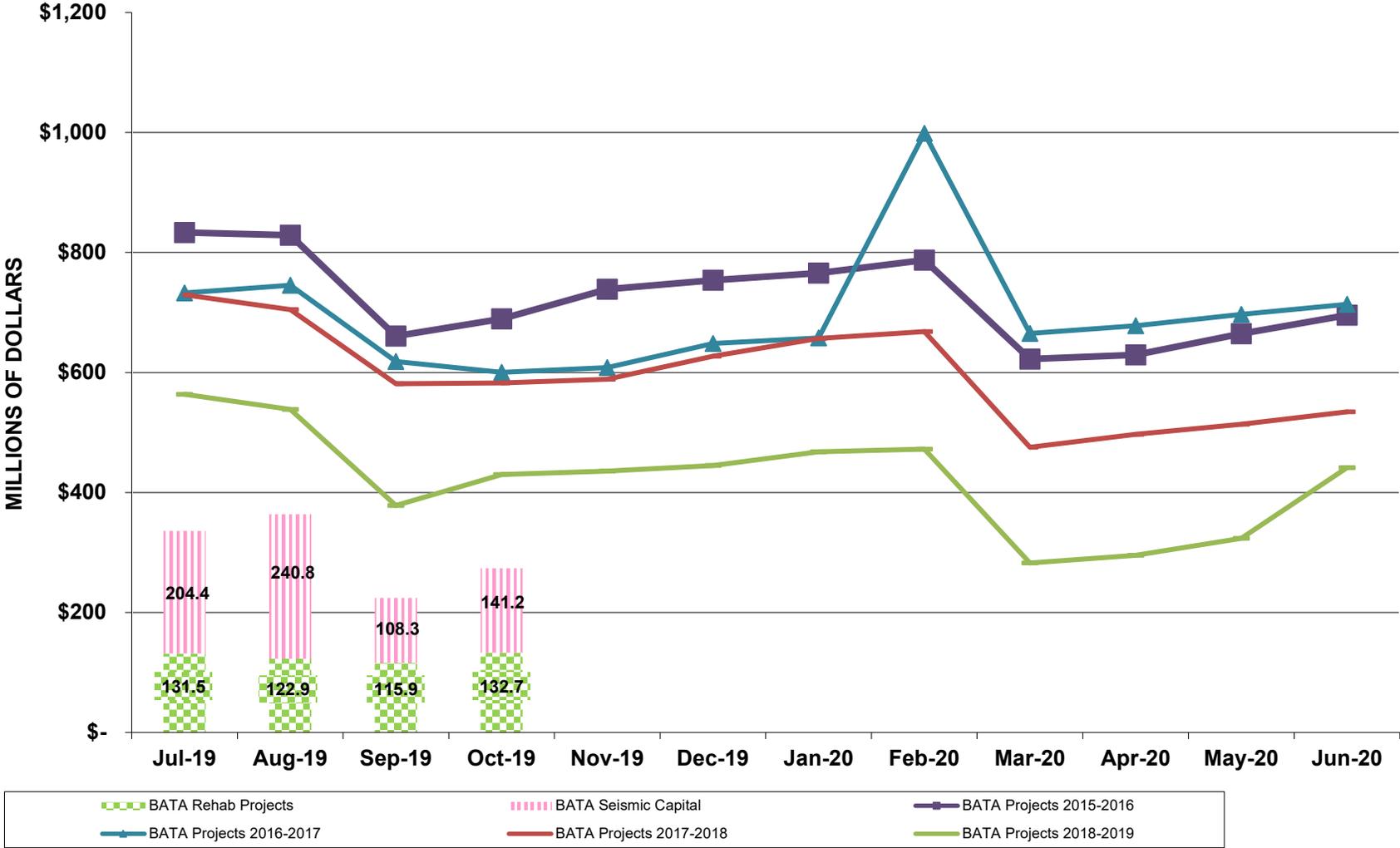
MTC FUNDS October 2019



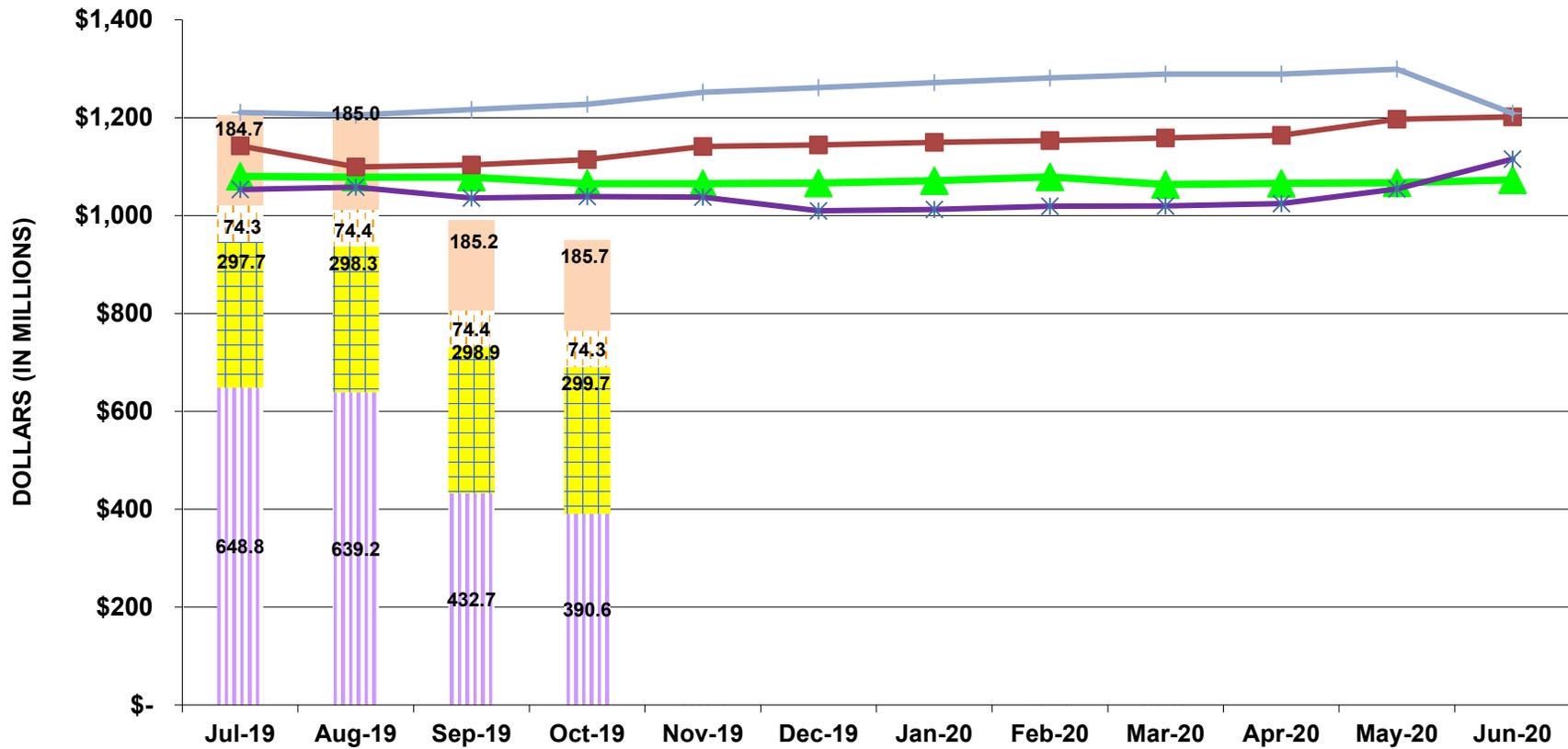
MTC SAFE FUNDS October 2019



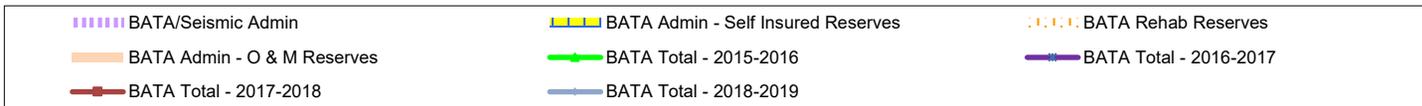
BATA PROJECTS October 2019



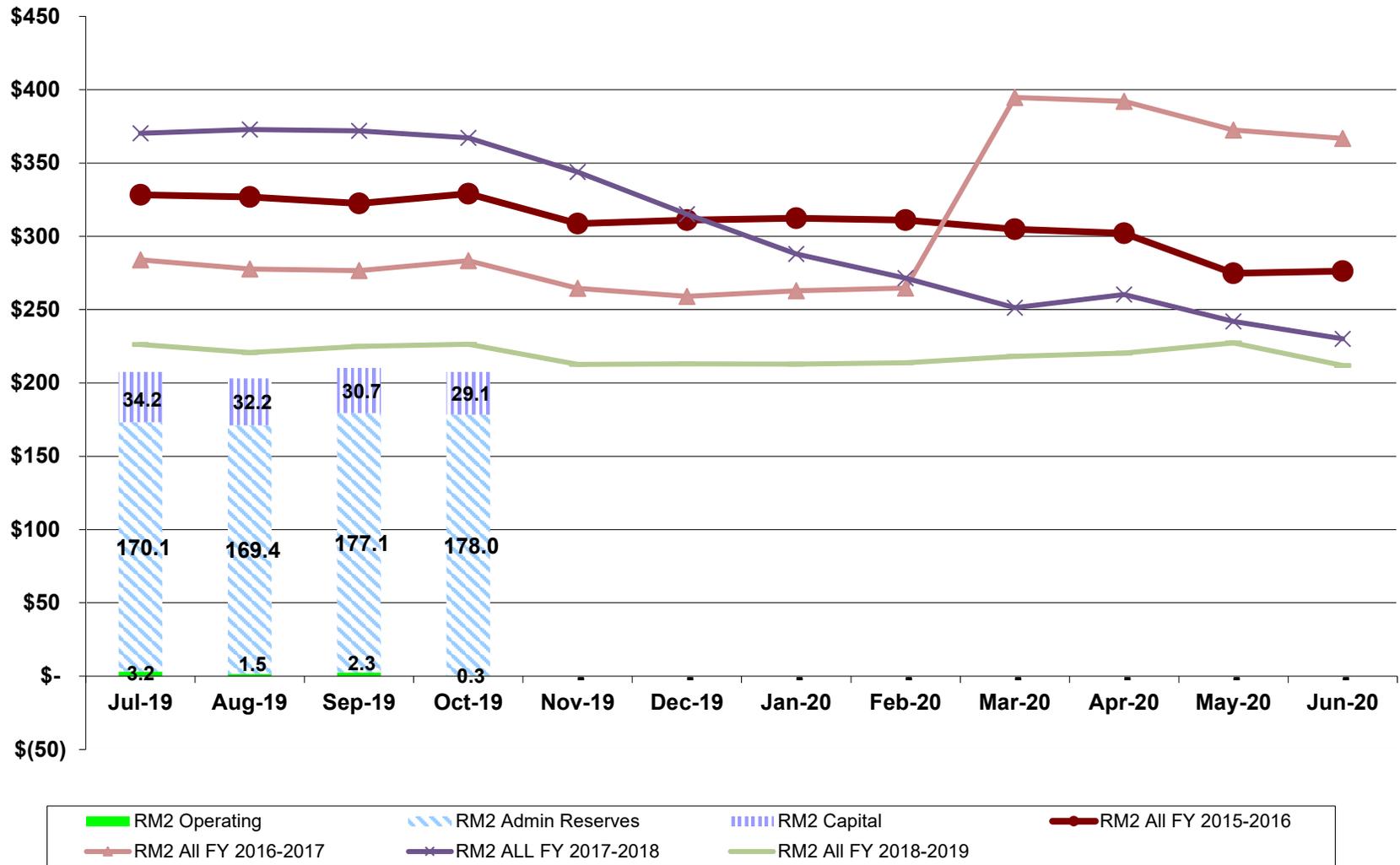
BATA ADMIN October 2019



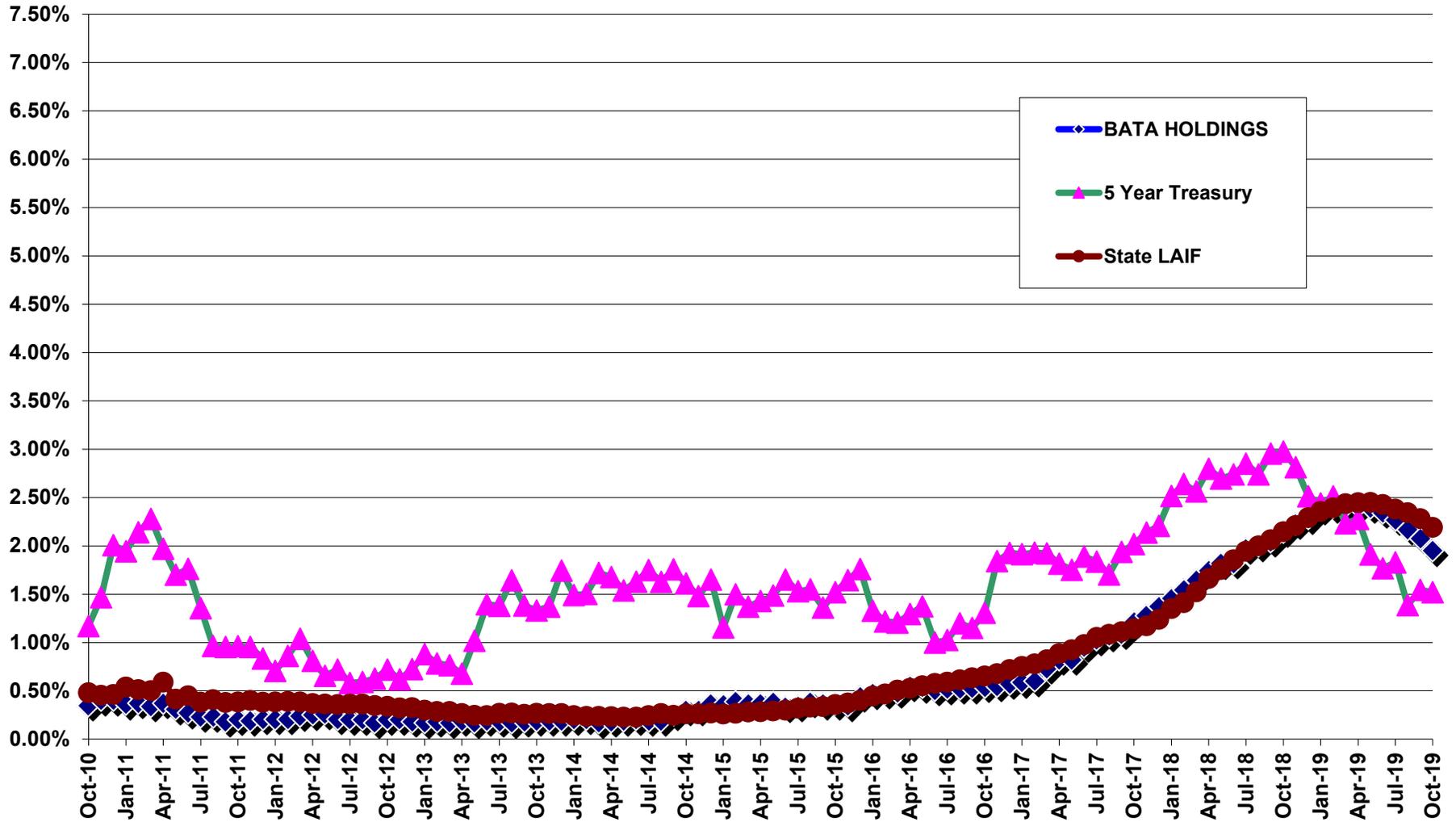
* Funds include undistributed funds



REGIONAL MEASURE 2 FUNDS October 2019



**Investment Rate Benchmarks
October 2019
(BATA)**





Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0037 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 12/2/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: MTC Financial Statements for October 2019
Sponsors:
Indexes:
Code sections:
Attachments: [2c_20-0037_Financial_Statement_Oct'2019.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:
MTC Financial Statements for October 2019

Presenter:
Raymond Woo

Recommended Action:
Information

**Metropolitan Transportation Commission
Administration Committee**

January 8, 2019

Agenda Item 2c

MTC Financial Statements for October 2019

Subject: MTC Financial Statements for the four-month period ending October 31, 2019.

MTC's financial statements as of October 31, 2019 include the following major highlights:

- (1) **Operating Balance:** For the four-month period ending October 31, 2019, we have collected \$22 million of revenue and have recorded expenditures of \$12 million and encumbered \$3.4 million.
- (2) **Operating Income:** Total operating income for the four month period is below projections at 27.2%, mainly due to the Federal, State and Local Revenues which are below budget; since it is only four months of the year and our revenues are based on reimbursement, this is not unusual. However, the total transfers are above budget, and this is due to the 1% BATA administration fee (\$8.1 million) transferred at the beginning of the year.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are slightly below budget at 29% at the fourth month, or 33.3% of the fiscal year. Computer Services are slightly ahead of budget since these include consultant contracts and license renewals that run over multiple years.
- (4) **Federal Grants:** The Federal grant budget is now at \$164 million, and during the 2019-20 fiscal year we will be applying for new and amending seven Surface Transportation Block Grants – most commonly known as (STP) – totaling \$22.2 million. We will also apply for five new Congestion Mitigation and Air Quality (CMAQ) improvement grants totaling \$45.2 million. During the month of October, we expended and encumbered \$71.5 million and currently our remaining balance is \$165.4 million.
- (5) **Actions under Executive Director Signature Authority:** Please see Attachment A, pages 23 and 24 for relevant actions.

If there are any questions, please contact Brian Mayhew at (415) 778-6730.

Issues: None.

Recommendation: This item is provided for information only and no action is required of the Committee.

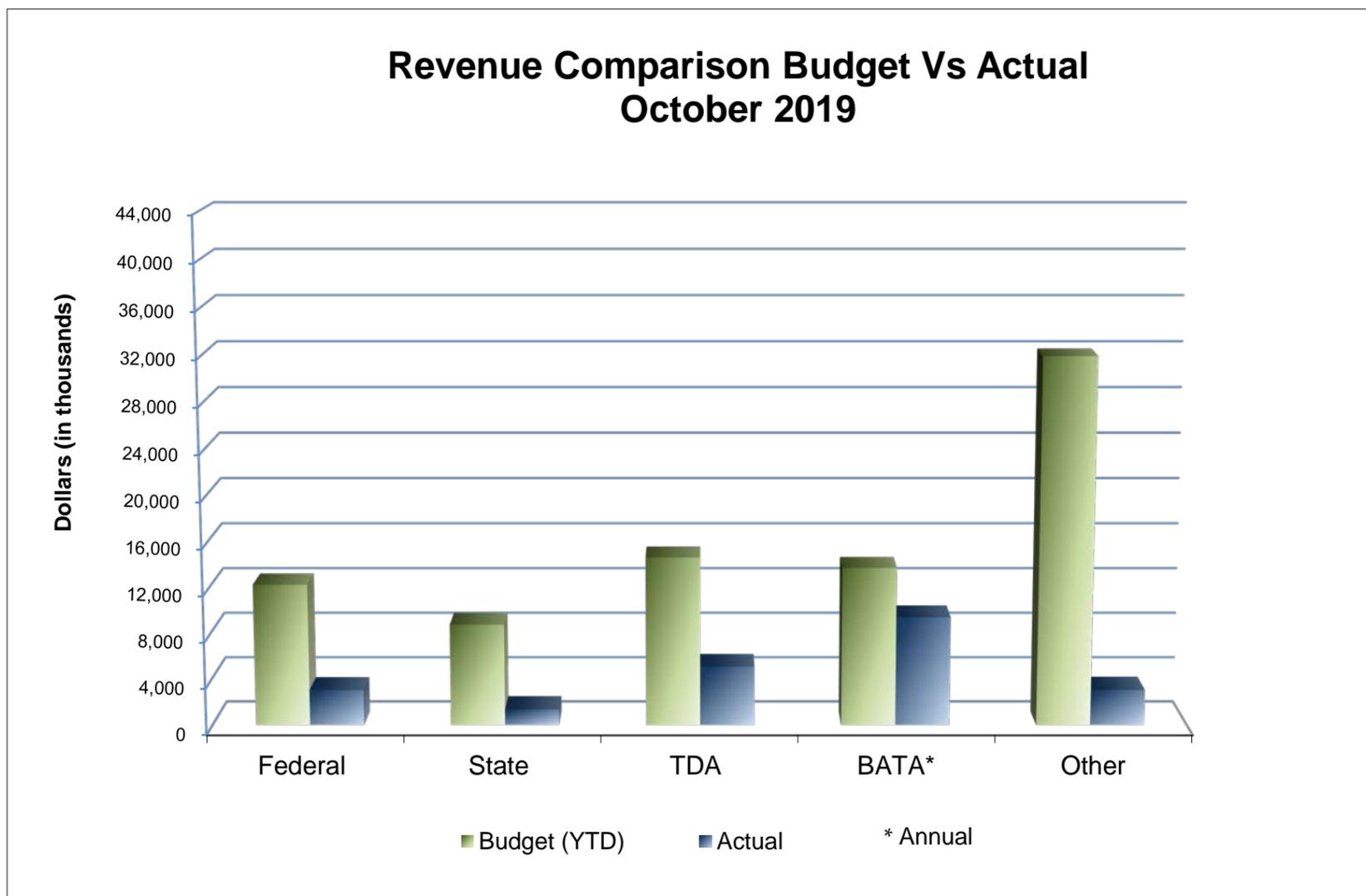
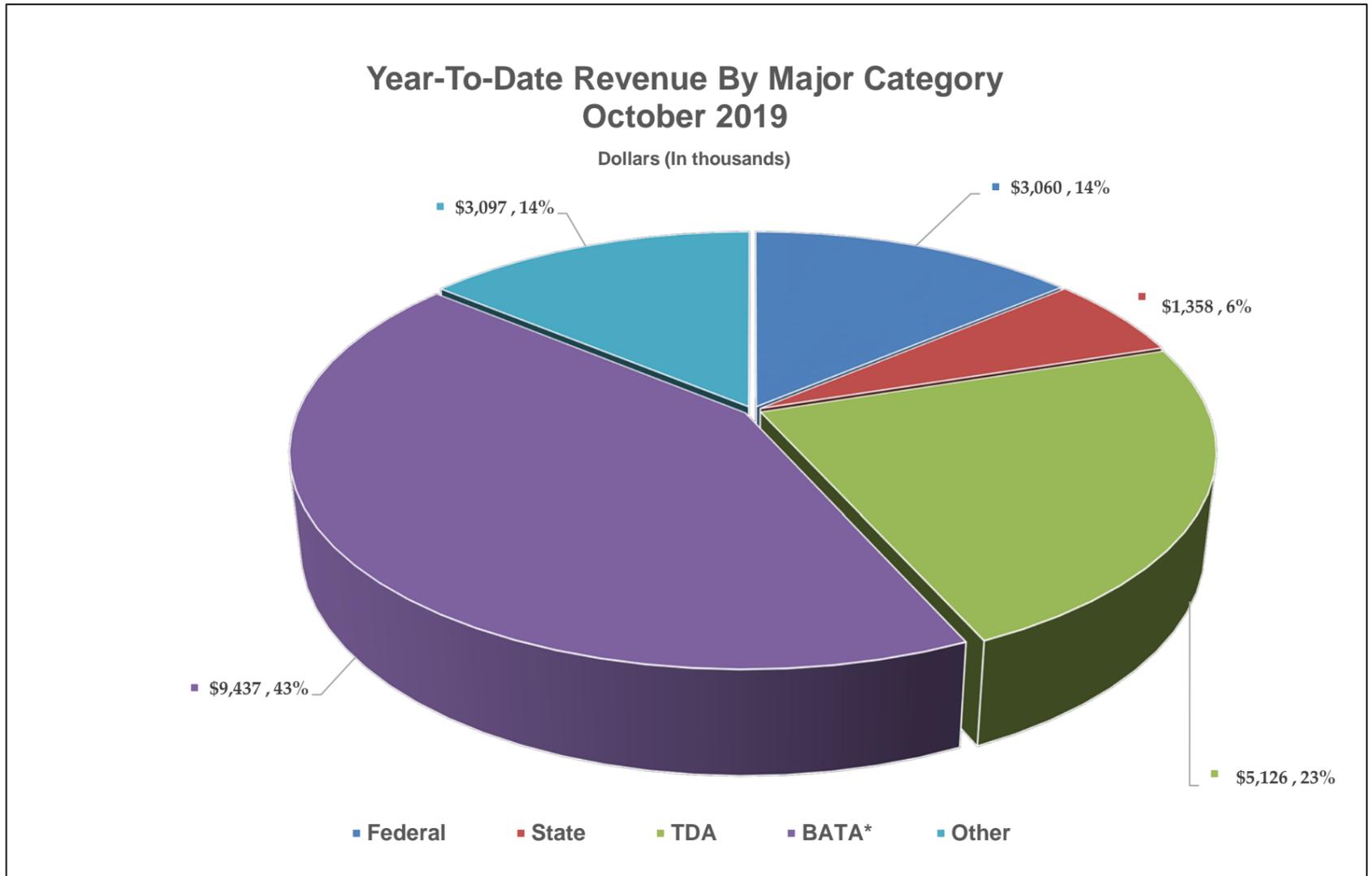
Attachments: Attachment A – Financial Statements for period ending October 31, 2019



Therese W. McMillan

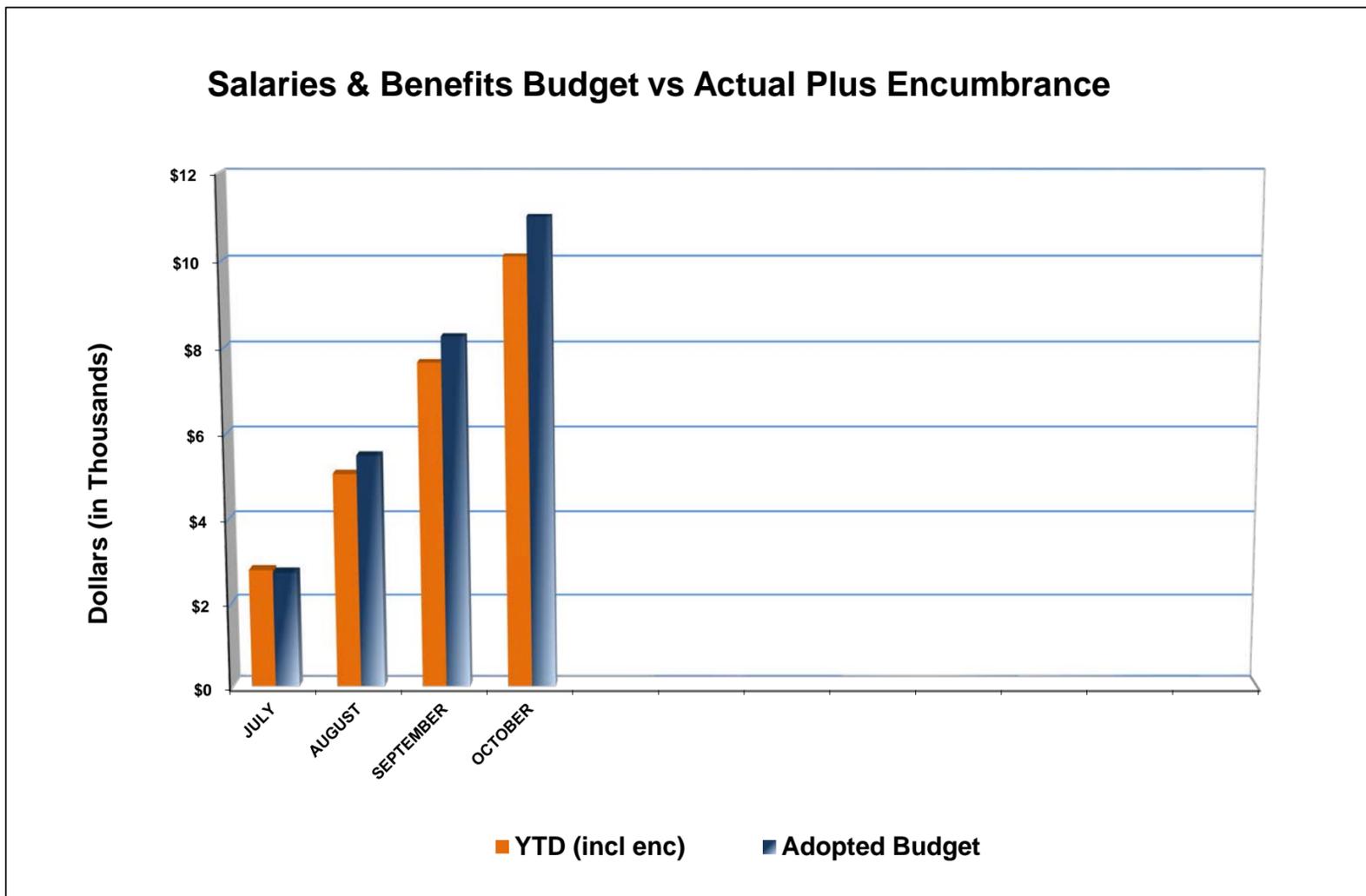
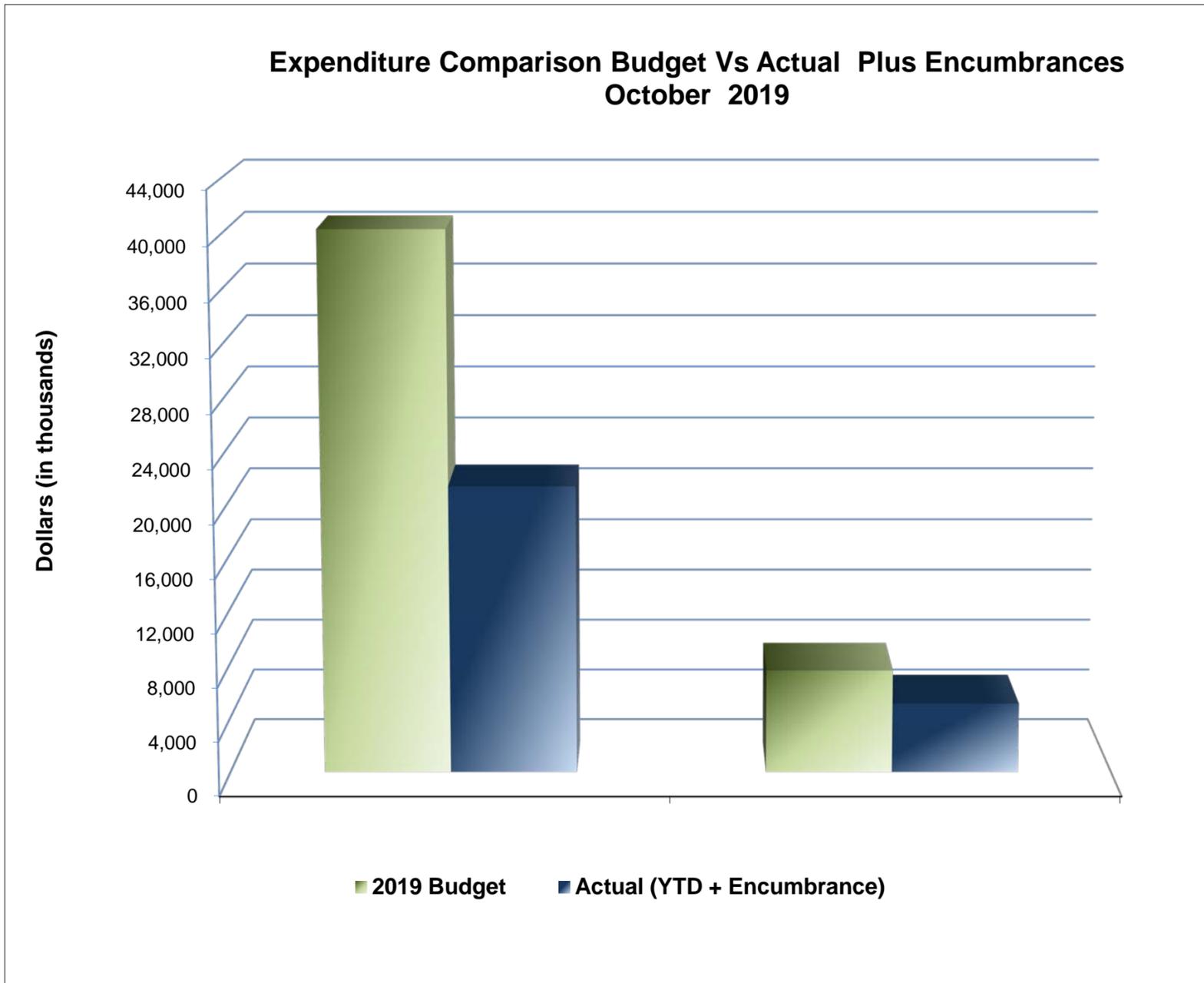
OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2019-20
As of October 2019 (33.3% of year)

| | 1 | 2 | 3 | 4 |
|---|------------------------------|-------------------|--------------------------------|--------------------------|
| Operating Revenue | FY 2019-20 Adopted Budget | Actual Revenue | Budget Balance Over/(Under) | % of Budget (col 2/1) |
| General Fund Revenue: | | | | |
| TDA | 14,616,697 | 5,126,327 | (9,490,370) | 35.1% |
| Interest | 115,000 | 248,853 | 133,853 | 216.4% |
| General Fund Total | 14,731,697 | 5,375,180 | (9,356,517) | 36.5% |
| Federal Planning Revenue: | | | | |
| FHWA - PL | 8,256,122 | 1,233,709 | (7,022,413) | 14.9% |
| FHWA SP&R | 16,165 | - | (16,165) | 0.0% |
| FTA 5303 | 3,980,686 | 1,826,161 | (2,154,525) | 45.9% |
| Federal Planning Revenue Total | 12,252,973 | 3,059,870 | (9,193,103) | 25.0% |
| State Funding Revenue: | | | | |
| STIP | 701,377 | 321,351 | (380,026) | 45.8% |
| Senate Bill 1 (SB1) | 3,155,484 | 1,036,570 | (2,118,913) | 32.8% |
| California State Transportation Agency (Cal | 4,923,200 | - | (4,923,200) | 0.0% |
| State Funding Revenue Total | 8,780,061 | 1,357,922 | (7,422,139) | 15.5% |
| Local Funding Revenue: | | | | |
| TFCA | 1,257,954 | - | (1,257,954) | 0.0% |
| HOV | 520,000 | 197,763 | (322,237) | 38.0% |
| Pavement Management | 2,182,139 | 375,796 | (1,806,343) | 17.2% |
| BAAQMD | 351,067 | 214,850 | (136,217) | 61.2% |
| Miscellaneous | 2,945,462 | 134,990 | (2,810,472) | 4.6% |
| Local Funding Revenue Total | 7,256,621 | 923,398 | (6,333,223) | 12.7% |
| Transfers From Other Funds: | | | | |
| BATA 1% | 8,096,994 | 8,096,994 | - | 100.0% |
| Transfer BATA | 5,612,443 | 1,340,413 | (4,272,030) | 23.9% |
| SAFE | 2,496,321 | 73,198 | (2,423,123) | 2.9% |
| 2% Transit Transfers | 293,204 | 10,039 | (283,165) | 3.4% |
| Transfers in - STA | 8,435,828 | 9,600 | (8,426,228) | 0.1% |
| Bay Trail 2% Bridge Tolls & 5% | 723,421 | 165,684 | (557,737) | 22.9% |
| Membership Dues | 530,000 | - | (530,000) | 0.0% |
| Transfer from or (to) Reserve/Capital/O.H. | 11,990,831 | 1,666,066 | (10,324,765) | 13.9% |
| Transfers Total | 38,179,043 | 11,361,995 | (26,817,048) | 29.8% |
| Total Operating Revenue | 81,200,396 | 22,078,365 | (59,122,031) | 27.2% |



**OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2019-20
As of October 2019 (33.3% of year)**

| | 1 | 2 | 3 | 4 | 5 |
|-------------------------------------|---------------------------------|-------------------|--------------------------------|--------------------------|-------------------|
| Operating Expenditures | FY 2019-20 Adopted Budget | Actual Expense | Budget Balance Over/(Under) | % of Budget (col 2/1) | Encumbrance |
| Salaries & Benefits | 33,038,439 | 9,770,955 | (23,267,484) | 29.6% | 333,661 |
| Travel & Training | 590,419 | 129,581 | (460,838) | 21.9% | 60,429 |
| Commission Expense | | | | | |
| Commissioner Expense | 150,000 | 22,628 | (127,372) | 15.1% | - |
| Advisory Committees | 15,000 | 3,900 | (11,100) | 26.0% | - |
| Printing & Graphics | 137,700 | 5,000 | (132,700) | 3.6% | 27,119 |
| Computer Services | 3,506,550 | 1,229,958 | (2,276,592) | 35.1% | 1,405,353 |
| General Operations | 3,310,988 | 791,611 | (2,519,377) | 23.9% | 1,526,606 |
| Total operating | 40,749,096 | 11,953,634 | (28,795,462) | 29.3% | 3,353,168 |
| Contract Services | 40,451,298 | 2,767,819 | (37,683,479) | 6.8% | 18,739,733 |
| Total Operating Expenditures | 81,200,396 | 14,721,453 | (66,478,943) | 18.1% | 22,092,901 |



MTC CAPITAL BUDGETS
As of October 2019 (33.3% of year)

| <u>Capital</u> | Total Budget | Actual | Encumbrance | Balance |
|-------------------------------|-------------------------|---------------|--------------------|------------------|
| Transfer from Reserves | \$540,000 | \$0 | \$0 | \$540,000 |
| Expense | \$540,000 | \$0 | \$30,323 | \$509,677 |

Bay Bridge Forward Project

| Operating | Total Budget | Actual | Encumbrance | Balance |
|------------------|-------------------------|--------------------|---------------------|---------------------|
| STP | 9,038,923 | 453,618 | - | 8,585,305 |
| CMAQ | 7,499,000 | 380 | - | 7,498,620 |
| RM2 Capital | 13,400,000 | 226,548 | - | 13,173,452 |
| SAFE Capital | 975,000 | 1,068 | - | 973,932 |
| Local - Cities | 2,802,151 | 1,318,833 | - | 1,483,318 |
| Revenue | \$33,715,074 | \$2,000,447 | \$0 | \$31,714,627 |
| Expense | \$33,715,074 | \$2,000,447 | \$20,732,479 | \$10,982,148 |

Hub Signage Program

| Capital | LTD Total Budget | LTD Actual | Encumbrance | LTD Balance |
|----------------------|-----------------------------|---------------------|--------------------|--------------------|
| Prop 1B | 9,729,204 | 9,413,720 | - | 315,484 |
| RM2 | 362,000 | 158,885 | - | 203,115 |
| Real Flag Sign - STA | 3,106,789 | 564,561 | - | 2,542,228 |
| Revenue | \$13,197,993 | \$10,137,166 | \$0 | \$3,060,827 |
| Expense | \$13,197,993 | \$10,454,977 | \$0 | \$2,743,016 |

LIFE TO DATE FEDERAL GRANT BUDGET
As of October 2019 (33.3% of year)

| Fund Source | Project Description | Grant LTD Balance as of 6/30/2019 | New & Amended Grants | Total Grants | Staff Actual | Consultant Actual | Enc. | Remaining Balance |
|--------------------|---|---|----------------------------|--------------------|------------------|----------------------|-------------------|----------------------|
| STP GRANTS | | | | | | | | |
| 6084-180 1809 | FPI | 29,391 | - | 29,391 | - | 21,158 | 8,233 | - |
| 6084-186 1812 | OBAG Regional PDA | 2,125,228 | - | 2,125,228 | - | 86,928 | 2,038,301 | (0) |
| 6084-198 1818 | Pavement Management | 3,177,288 | - | 3,177,288 | - | 80,470 | 1,379,804 | 1,717,014 |
| 6084-199 1819 | 511 Traveler Information | 964,772 | - | 964,772 | - | 53,976 | 766,539 | 144,257 |
| 6084-201 1820 | Freeway Performance Initiative | 564,588 | - | 564,588 | - | 5,365 | 525,704 | 33,520 |
| 6084-205 1822 | Pavement Management | 1,025,993 | - | 1,025,993 | - | - | 523,478 | 502,515 |
| 6084-206 1826 | CMA Planning | 38,102,828 | - | 38,102,828 | - | - | 7,799,000 | 30,303,828 |
| 6084-207 1827 | MTC Planning | 6,695,747 | 35,000 | 6,730,747 | 142,143 | 28,541 | 5,096 | 6,554,966 |
| 6084-213 1833 | 511 Next Generation | 8,306,241 | - | 8,306,241 | 35,200 | - | 4,150,851 | 4,120,190 |
| 6084-222 1835 | Incident Management | 3,897,606 | - | 3,897,606 | 116,943 | - | - | 3,780,663 |
| 6084-225 1836 | TMC Asset | 1,071,911 | - | 1,071,911 | 25,608 | - | - | 1,046,304 |
| 6084-228 1838 | Freeway Performance -SR 84 | 114,359 | - | 114,359 | - | 2,101 | 27,383 | 84,874 |
| 6084-232 1839 | PDA Planning & Implementation | 8,007,554 | - | 8,007,554 | 7,957 | 78,259 | 4,892,416 | 3,028,922 |
| 6084-226-1841 | Arterial Operations Management | 9,293,749 | 2,000,000 | 11,293,749 | 593,344 | 22,941 | 659,246 | 10,018,217 |
| 6084-227-1842 | Enhance Arterial: CAT1 | 6,474,482 | 3,915,000 | 10,389,482 | - | 35,422 | 7,949,712 | 2,404,349 |
| 6084-230 1843 | Commuter Parking O&M | 2,469,073 | - | 2,469,073 | - | - | 41,961 | 2,427,113 |
| 6084-231 1844 | Freeway Performance - I880 Corridor | 2,834,286 | - | 2,834,286 | - | - | 1,225,490 | 1,608,796 |
| 6084-233 1845 | Freeway Performance - I 680 Corridor | 13,992,875 | - | 13,992,875 | - | 8,369 | 13,978,047 | 6,459 |
| 6084-235 1846 | Bay Area Forward - TMS | 2,488,143 | - | 2,488,143 | 2,225 | - | 347,015 | 2,138,903 |
| 6084-241 1847 | Shared Mobility | 2,497,680 | - | 2,497,680 | 19,506 | - | 600,000 | 1,878,173 |
| 6084-250 1850 | 511 - Traveler Information Program | 1,146,030 | 4,553,970 | 5,700,000 | 290,476 | - | - | 5,409,524 |
| 6084-244 1852 | Connected Automated Vehicle Projects | 2,500,000 | 3,200,000 | 5,700,000 | - | - | - | 5,700,000 |
| New | Commuter Challenge | - | 2,500,000 | 2,500,000 | - | - | - | 2,500,000 |
| New | Shared Use Mobility | - | 6,000,000 | 6,000,000 | - | - | - | 6,000,000 |
| TOTAL | | 117,779,824 | 22,203,970 | 139,983,794 | 1,233,402 | 423,529 | 46,918,277 | 91,408,586 |
| CMAQ GRANTS | | | | | | | | |
| 6084-160 1589 | Arterial Operations | 194,743 | - | 194,743 | - | - | - | 194,743 |
| 6084-188 1814 | Regional Bicycle Program | 64,080 | - | 64,080 | - | - | - | 64,080 |
| 6084-202 1824 | Climate Initiatives | 600,000 | - | 600,000 | - | - | 595,390 | 4,610 |
| 6084-209 1825 | Operate Car Pool Program | 5,872,198 | - | 5,872,198 | 43,668 | 389,887 | 1,850,021 | 3,588,622 |
| 6084-211 1828 | Commuter Benefits Implementation | 990,601 | - | 990,601 | 29,003 | 41,100 | 197,430 | 723,069 |
| 6084-210-1829 | Incident Management | 18,412,548 | - | 18,412,548 | - | 902,881 | 14,170,479 | 3,339,188 |
| 6084-215 1830 | Spare the Air Youth Program | 1,919,076 | - | 1,919,076 | - | 57,555 | 1,850,289 | 11,232 |
| 6084-216 1831 | Arterial/Transit Performance/Rideshare | 3,499,531 | - | 3,499,531 | - | 15,261 | 227,806 | 3,256,464 |
| 6084-208 1832 | Vanpool Program | 1,922,613 | - | 1,922,613 | - | 38,413 | 135,200 | 1,749,000 |
| 6084-212 1834 | Connected Vehicles/Shared Mobility - TM | 2,370,163 | - | 2,370,163 | 106,904 | - | - | 2,263,259 |
| 6084-220 1837 | I-880 ICM Central | 1,115,752 | - | 1,115,752 | 2,849 | - | - | 1,112,902 |
| 6084-219 1840 | Bay Area Forward - BBF West Grand TSP | 998,400 | - | 998,400 | - | 380 | 100,000 | 898,020 |
| 6084-242 1848 | Regional Car Sharing | 1,200,411 | - | 1,200,411 | 1,339 | - | - | 1,199,072 |
| 6084-243 1849 | Targeted Transportation Alternatives | 325,000 | - | 325,000 | 1,825 | - | - | 323,175 |
| 6084-254 1851 | FPI - US 101 | 500,000 | 2,500,000 | 3,000,000 | - | - | - | 3,000,000 |
| New | FPI - I 580 | - | 5,000,000 | 5,000,000 | - | - | - | 5,000,000 |
| New | FPI - SR-37/Other | - | 18,000,000 | 18,000,000 | - | - | - | 18,000,000 |
| New | I880 Central Segment Project Study | - | 8,840,000 | 8,840,000 | - | - | - | 8,840,000 |
| New | Climate Initiatives | - | 10,875,000 | 10,875,000 | - | - | - | 10,875,000 |
| TOTAL | | 39,985,115 | 45,215,000 | 85,200,115 | 185,588 | 1,445,477 | 19,126,614 | 64,442,436 |
| FTA GRANTS | | | | | | | | |
| CA57-X023 1623 | New Freedom | 82,591 | - | 82,591 | - | - | 82,578 | 13 |
| CA37-X133 1627 | JARC | 77,310 | - | 77,310 | - | 35,408 | 41,902 | - |
| CA37-X164 1629 | JARC | 45,757 | - | 45,757 | - | - | 45,757 | 0 |
| CA37-X177 1630 | JARC | 653,387 | - | 653,387 | - | - | 70,064 | 583,323 |
| CA57-X109 1632 | New Freedom | 232,947 | - | 232,947 | - | - | 183,977 | 48,970 |
| CA34-0024 1633 | FTA 5339 - Bus Purchases | 503,308 | - | 503,308 | - | - | - | 503,308 |
| CA34-0032 1634 | FTA 5339 - Bus Purchases | 452,441 | - | 452,441 | - | - | 450,307 | 2,134 |
| 64AM18-00758 1636 | | 336,189 | - | 336,189 | 146,444 | - | - | 189,745 |
| TOTAL | | 2,383,930 | - | 2,383,930 | 146,444 | 35,408 | 874,585 | 1,327,493 |

LIFE TO DATE FEDERAL GRANT BUDGET
As of October 2019 (33.3% of year)

| Fund Source | Project Description | Grant LTD Balance as of 6/30/2019 | New & Amended Grants | Total Grants | Staff Actual | Consultant Actual | Enc. | Remaining Balance |
|---------------------------------------|---------------------------------------|---|----------------------------|--------------------|------------------|----------------------|-------------------|----------------------|
| Other Federal and State Grants | | | | | | | | |
| SHA 6084-184 1112 | FHWA - SHRP2 | 74,932 | - | 74,932 | - | - | 74,932 | - |
| BF-99T455 1340 | Environmental Protection Agency (EPA) | 331,445 | - | 331,445 | 2,969 | - | - | 328,476 |
| CA000007-01 1342 | Environmental Protection Agency (EPA) | 545,039 | - | 545,039 | 2,969 | - | - | 542,071 |
| EMF2016 1372 | Federal Emergency Management Agency | 11,679 | - | 11,679 | 7,781 | - | 43 | 3,855 |
| CARB 2404 | California Air Resources Board | 1,906,439 | - | 1,906,439 | - | 116,015 | 764,244 | 1,026,180 |
| 14 -003 2800 | Coastal Conservancy | 363,387 | - | 363,387 | - | - | 100,000 | 263,387 |
| 10-092 2801 | Coastal Conservancy | 267,809 | - | 267,809 | 28,489 | - | - | 239,321 |
| New | SSARP Planning Grant | - | 500,000 | 500,000 | - | - | - | 500,000 |
| New | State Coastal Conservancy Prop 68 | - | 3,000,000 | 3,000,000 | - | - | - | 3,000,000 |
| New | State Coastal Conservancy Prop 69 | - | 2,000,000 | 2,000,000 | - | - | - | 2,000,000 |
| New | Federal Emergency Management Agency | - | 300,000 | 300,000 | - | - | - | 300,000 |
| New | USGS National Grant | - | 75,000 | 75,000 | - | - | - | 75,000 |
| TOTAL | | 3,500,731 | 5,875,000 | 9,375,731 | 42,207 | 116,015 | 939,220 | 8,278,289 |
| Total Federal Grants Budget | | 163,649,601 | 73,293,970 | 236,943,571 | 1,607,642 | 2,020,428 | 67,858,696 | 165,456,804 |

CLIPPER OPERATING BUDGET
As of October 2019 (33.3% of year)

| Clipper Operating | Total FY 2018-19 Budget | Actual | Encumbrance | Balance |
|--------------------------|------------------------------------|--------------------|---------------------|---------------------|
| RM2 | 3,469,614 | 459,825 | - | 3,009,789 |
| STA | 9,747,119 | 2,308,359 | - | 7,438,760 |
| Clipper Escheatment | 2,960,359 | - | - | 2,960,359 |
| Transit Operators | 23,773,381 | 3,415,235 | - | 20,358,146 |
| Revenue | \$39,950,473 | \$6,183,418 | \$0 | \$33,767,055 |
| Expense | \$39,950,473 | \$6,231,386 | \$28,737,143 | \$4,981,944 |

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of October 2019 (33.3% of year)

| Clipper I - Capital | LTD Budget Thru FY 2018-19 | Actual | Encumbrance | Project Balance L-T-D |
|----------------------------|---------------------------------------|----------------------|---------------------|--------------------------------------|
| CMAQ | 66,669,515 | 66,903,705 | - | (234,190) |
| Card Sales | 17,951,267 | 14,906,020 | - | 3,045,247 |
| Cap and Trade (LCTOP) | 7,777,971 | 7,316,352 | - | 461,619 |
| ARRA | 11,167,891 | 11,167,891 | - | - |
| FTA | 14,072,565 | 23,533,717 | - | (9,461,152) |
| STP | 31,790,753 | 34,154,957 | - | (2,364,204) |
| STA | 21,946,540 | 21,675,912 | - | 270,628 |
| Prop 1B | 1,115,383 | 1,045,170 | - | 70,213 |
| SFMTA | 8,005,421 | 3,213,743 | - | 4,791,678 |
| GGBHTD | 2,975,000 | 2,638,123 | - | 336,877 |
| BART | 725,000 | 505,671 | - | 219,329 |
| MTC Exchange Fund | 7,573,878 | 7,573,878 | - | - |
| BATA | 26,864,813 | 23,725,816 | - | 3,138,997 |
| Transit Operators | 10,279,437 | 1,932,383 | - | 8,347,054 |
| WETA | 603,707 | 618,862 | - | - |
| Sales Tax | 890,216 | 890,216 | - | - |
| Revenue | \$230,409,357 | \$221,802,417 | \$0 | \$8,606,940 |
| Expense | \$230,409,357 | \$211,345,741 | \$13,709,044 | \$5,354,572 |

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of October 2019 (33.3% of year)

| Clipper II - Capital | LTD Budget Thru FY 2018-19 | Actual | Encumbrance | Project Balance L-T-D |
|-----------------------------|---------------------------------------|---------------------|---------------------|--------------------------------------|
| STP | 11,912,911 | 7,276,443 | - | 4,636,468 |
| FTA | 133,903,689 | 5,176,332 | - | 128,727,357 |
| TCP - CMAQ Funds | 2,034,320 | - | - | 2,034,320 |
| Transit Operators | 4,077,563 | - | - | 4,077,563 |
| Toll Bridge | 23,000,000 | - | - | 23,000,000 |
| OBAG 2 | 34,000,000 | - | - | 34,000,000 |
| Prop 1B/LCTOP | 4,000,000 | 500,000 | - | 3,500,000 |
| Golden Gate pass Through | 5,000,000 | - | - | 5,000,000 |
| BATA | 260,000 | 259,802 | - | 198 |
| STA | 2,410,841 | 2,766,601 | - | (355,760) |
| Revenue | \$220,599,324 | \$15,979,179 | \$16,510,996 | \$204,620,145 |
| Expense | \$220,599,324 | \$15,567,096 | \$16,510,996 | \$188,521,232 |

**DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)**

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|-----------------|-------------------|------------------|
| Support to the Commission | | | | |
| Consultants | 200,000 | | 35,188 | |
| 1051111 - Subtotal | 200,000 | - | 35,188 | 164,812 |
| Implement Public Information Program | 2,481,457 | | | |
| Alta Planning and Design | | | 15,000 | |
| Circlepoint | | 47,455 | 29,197 | |
| Consultants | | 161,013 | 1,756,744 | |
| Craft & Commerce, LLP | | 17,934 | 63,323 | |
| League f Women Voters | | | 25,000 | |
| 1051112 - Subtotal | 2,481,457 | 226,402 | 1,889,264 | 365,791 |
| Regional Transportation Plan | 1,640,144 | | | |
| AECOM | | 25,740 | | |
| AECOM Technical Services | | | 12,500 | |
| Consultants | | 68,603 | 192,606 | |
| Craft & Commerce, LLP | | | 30,000 | |
| Economic & Planning Systems | | | 29,970 | |
| EMC Research | | | 110,000 | |
| Exygy, Inc. | | 50,000 | 9,579 | |
| Urban Institute | | | 52,641 | |
| 1051121 - Subtotal | 1,640,144 | 144,343 | 437,296 | 1,058,505 |
| Analyze Regional Data using GIS & Travel Models | 2,115,306 | | | |
| Consultants | | | 18,257 | |
| Corey, Canapary & Galanis | | | 297,068 | |
| ETC Institute | | | 79,338 | |
| Parsons Brinkerhoff, Inc. | | | 11,374 | |
| Redhill Group, Inc. | | 24,098 | 31,402 | |
| Resource Systems Group | | | 205,093 | |
| RSG, Inc. | | 16,561 | 282,825 | |
| WSP USA Inc. | | | 100,040 | |
| 1051122 - Subtotal | 2,115,306 | 40,659 | 1,025,397 | 1,049,250 |
| Airport/Seaport/Freight Planning | 66,870 | | | |
| Cambridge Systematics | | | 66,870 | |
| 1051124 - Subtotal | 66,870 | - | 66,870 | - |
| Active Transportation Planning | 685,355 | | | |
| Consultants | | | 135,230 | |
| 1051125- Subtotal | 685,355 | - | 135,230 | 550,125 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|-----------------|-------------------|----------------|
| Resiliency (Sea Level Rise/Adaptation) PL | 2,406 | | | |
| 1051126 - Subtotal | 2,406 | - | - | 2,406 |
| Regional Trails | 90,000 | | | |
| 1051127 - Subtotal | 90,000 | - | - | 90,000 |
| Resilience and Hazards Planning | 88,196 | | | |
| Consultants | | 625 | 7,281 | |
| Rutherford & Chekene | | 9,219 | 71,071 | |
| 1051128 - Subtotal | 88,196 | 9,844 | 78,352 | 0 |
| Regional Research and Economic | 140,375 | | | |
| Bay Area Council Economics Ins. | | | 10,000 | |
| Consultants | | 3,500 | 16,500 | |
| 1051129 - Subtotal | 140,375 | 3,500 | 26,500 | 110,375 |
| Advocate Legislative Programs | 475,000 | | | |
| Carter, Welch & Associates | | 25,273 | | |
| Government Relations | | 75,000 | | |
| 1051132- Subtotal | 475,000 | 100,273 | - | 374,727 |
| Agency Financial Management | 863,302 | | | |
| SunGard Bi-Tech Inc. | | | 1,194 | |
| Gray CPA Consulting | | | 3,000 | |
| PWC | | 273,404 | - | |
| 1011152 - Subtotal | 863,302 | 273,404 | 4,194 | 585,704 |
| Administrative Services | 1,049,359 | | | |
| Koff & Associates | | | 98,229 | |
| Management Partners | | 814 | 49,716 | |
| Carl Warren & Co. | | | 91,696 | |
| Pathways for High School | | 118,151 | 10,242 | |
| Keenan & Associates | | | 30,333 | |
| San Jose State University | | | 100,000 | |
| Perfromance Based Ergonomics | | 7,253 | 14,667 | |
| The Solis Group | | | 21,852 | |
| 1011153 - Subtotal | 1,049,359 | 126,218 | 416,736 | 506,406 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|------------------|-----------------|-------------------|------------------|
| Information Technology Services | 2,118,821 | | | |
| Informatix, Inc. | | | 50,016 | |
| Visual Strategies | | 4,999 | 245,001 | |
| Management Partners | | | 74,536 | |
| Ruben Marcia | | | 5,653 | |
| SSP Data | | | 73,092 | |
| Core BTS Inc. | | | 60,210 | |
| Elite Automotion LLC | | | 30 | |
| KIS Computer Center | | | 18,000 | |
| Larry Cabrera | | 8,388 | 16,612 | |
| 1011161 - Subtotal | 2,118,821 | 13,387 | 543,151 | 1,562,283 |
| Performance Measurement and Monitoring | 405,861 | | | |
| Consultants | | | 125,000 | |
| Exygy, Inc. | | | 279,739 | |
| 1051212 - Subtotal | 405,861 | - | 404,739 | 1,123 |
| Regional Rideshare Program | 1,266,328 | | | |
| Enterprise Rent-A-Car | | 115,238 | 405,600 | |
| Parsons Brinkerhoff | | 83,341 | 9,374 | |
| 1051222 - Subtotal | 1,266,328 | 198,579 | 414,974 | 652,775 |
| Support Regional Operations Program | 701,526 | | | |
| Iteris Inc. | | 6,711 | 65,052 | |
| Consultants | | | 83,763 | |
| 1051223 - Subtotal | 701,526 | 6,711 | 148,815 | 546,000 |
| Implement Regional Traveler Information Services | 635,472 | | | |
| Civic Resource Group | | | 33,534 | |
| Consultants | | 24,333 | 154,067 | |
| Iteris Inc. | | | 139,909 | |
| Kimly Horn & Associates | | | 2,029 | |
| 1051224 - Subtotal | 635,472 | 24,333 | 329,539 | 281,600 |
| Pavement Management Program (PMP) | 3,298,367 | | | |
| AMS Consulting | | | 34,169 | |
| Bellecci & Associates | | 2,753 | 5,735 | |
| CA State University, Chico | | | 100,000 | |
| Capitol Asset & Pavement | | | 35,936 | |
| Devmecca.Com | | 289,285 | 1,210,715 | |
| Fugro Roadware, Inc. | | | 26,542 | |
| Harris & Associates | | | 28,193 | |
| Nichols | | 36,093 | 321,046 | |
| Nichols Consulting | | | 49,713 | |
| Pavement Engineering Inc. | | 3,859 | 24,587 | |
| Quality Engineering Solutions | | 1,973 | 23,089 | |
| URS Corporation | | | 55,151 | |
| 1051233 - Subtotal | 3,298,367 | 333,962 | 1,914,876 | 1,049,529 |

DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|------------------|-----------------|-------------------|------------------|
| Arterial Operations | 1,871,672 | | | |
| City of San Rafael | | - | 207,844 | |
| City of Concord | | - | 588,854 | |
| DKS Associates | | 3,692 | 11,086 | |
| City of hayward | | - | 53,554 | |
| Iteris, DBA, MMA | | 26,796 | 140,804 | |
| Kimly Horn | | 4,637 | | |
| City of Pleasanton | | - | 47,644 | |
| City of San Francisco | | - | 95,764 | |
| 1051234 - Subtotal | 1,871,672 | 35,125 | 1,145,550 | 690,997 |
| Incident Management | 600,870 | | | |
| Circlepoint Consultants | | | 63,650 112,600 | |
| City of Fremont | | | 57,286 | |
| Iteris, Inc. | | | 124,000 | |
| Kimly Horn | | 9,264 | 24,140 | |
| 1051235 - Subtotal | 600,870 | 9,264 | 381,676 | 209,930 |
| Technologically -Based Operations & Mobility | 2,000,000 | | | |
| 1051238 - Subtotal | 2,000,000 | - | - | 2,000,000 |
| Lifeline Transportation Program | 20,000 | | | |
| 1051310 - Subtotal | 20,000 | - | - | 20,000 |
| | 6,875,828 | | | |
| Carshoft Technology Corporation Consultants | | 93,173 | 9,500 | |
| Cubic Transportation Systems | | 366,312 | 958,798 | |
| Moore,Iacofano, Goltsman | | | 188,000 | |
| Resource Development Association | | 4,600 | 76,119 | |
| Vertiba,LLC | | 322,973 | 999,950 | |
| 1051311 - Subtotal | 6,875,828 | 787,058 | 2,232,367 | 3,856,403 |
| Climate Reslilience for People with Disabilitites | 308,601 | | | |
| World Institute on Disability | | | 308,601 | |
| 1051313 - Subtotal | 308,601 | - | 308,601 | - |
| Climate Assessment Initiative | 285,000 | | | |
| Nelson\Nygaard Consulting | | | 75,000 | |
| 1051413 - Subtotal | 285,000 | - | 75,000 | 210,000 |

**DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)**

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|------------------|-----------------|-------------------|------------------|
| East Palo Alto & Dumbarton Bridge Resilience Study | 161,648 | | | |
| AECOM | | 8,634 | 153,014 | |
| 1051415 - Subtotal | 161,648 | 8,634 | 153,014 | (0) |
| Regional Assistance Program | 274,000 | | | |
| Pieriott & Associates, LLC | | | 62,000 | |
| 1051514 - Subtotal | 274,000 | - | 62,000 | 212,000 |
| State Programing, Monitoring and TIP Development | 187,200 | | | |
| 1051515 - Subtotal | 187,200 | - | - | 187,200 |
| Transit Sustainability Project | 6,550,056 | | | |
| Arup North America Ltd. | | | 3,684 | |
| BART | | | 42,857 | |
| Golden Gate Bridge & Highway | | | 42,857 | |
| HDR Engineering Inc. | | 191,472 | 4,728,045 | |
| Nelson Nygaard | | | 30,000 | |
| Nelson Nygard | | | 6,840 | |
| Parsons Brinckerhoff, Inc. | | 1,100 | 102,140 | |
| Sonoma County Transit | | | 30,000 | |
| Sonoma County Transportation | | | 32,538 | |
| Sonoma County Transportation | | | 30,000 | |
| UCLA | | 10,039 | 11,628 | |
| Water Transit Authority | | | 30,000 | |
| WestCat | | | 30,000 | |
| 1051517 - Subtotal | 6,550,056 | 202,611 | 5,120,588 | 1,226,857 |
| Transportation for Livable Communities Program | 607,242 | | | |
| California Houing Partnership | | | 12,750 | |
| Consultants | | | 36,710 | |
| Fehr & Peers Associates | | | 19,873 | |
| Nelson Nygard | | 1,945 | 31,875 | |
| Placeworks | | 5,258 | 29,769 | |
| TJKM Tansportation Consultant | | 505 | 20,733 | |
| 1051611 - Subtotal | 607,242 | 7,708 | 151,710 | 447,824 |
| Climate Adaptation Consulting (BARC) | 194,384 | | | |
| Consultants | | 6,331 | 8,669 | |
| Hassell esign Ltd. | | | 20,000 | |
| San Francisco Estuary Institute | | 3,005 | 21,995 | |
| Tom Leader Studion, Inc. | | | 20,000 | |
| 1051612- Subtotal | 194,384 | 9,336 | 70,664 | 114,384 |

**DISBURSEMENT REPORT (Non- Federal Funded)
As of October 2019 (33.3% of year)**

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|--|-------------------|------------------|-------------------|-------------------|
| Road Maintenance and Rehabilitation | 285,445 | | | |
| Consultants | | 2,860 | | |
| Hassell Design Ltd. | | 11,659 | 103,381 | |
| State Coastal Conservancy | | 16,078 | 13,922 | |
| Tom Leader Studio, Inc. | | 14,855 | 122,689 | |
| 1051613- Subtotal | 285,445 | 45,452 | 239,992 | - |
| Connecting Housing and Transportation | 149,999 | | | |
| Baird & Driskell Planning | | | 23,500 | |
| Community Outreach | | | 6,000 | |
| Consultants | | | 50,113 | |
| TransIight LLC | | | 70,386 | |
| 1051615- Subtotal | 149,999 | - | 149,999 | (0) |
| Regional Advance Mitigation Projects | 100,000 | | | |
| Consultants | | 50,000 | | |
| 1051616- Subtotal | 100,000 | 50,000 | - | 50,000 |
| Technical Assistance Strategic Planning | 82,856 | | | |
| Estolano Lesar Advisors | | 20,053 | 22,359 | |
| 1051617- Subtotal | 82,856 | 20,053 | 22,359 | 40,445 |
| Affordable Mobility Pilot Program | 248,278 | | | |
| TransForm | | 32,722 | 215,556 | |
| 1051618- Subtotal | 248,278 | 32,722 | 215,556 | - |
| General Operations c/o | 225,325 | | | |
| 1011998 & 1011999 | 225,325 | - | - | 225,325 |
| Legal | 1,098,750 | | | |
| Davis Wright TR Legal Fees | | 10,044 | | |
| Glen & Finley LLP | | 12,270 | 28,286 | |
| Hanson Bridgett | | 3,208 | 51,880 | |
| Meyers Nave | | 325 | 32,558 | |
| BEST BEST & Kreiger LLP | | 2,262 | 33,123 | |
| Rene Public Law Group | | 30,133 | 373,692 | |
| Farella Braun And Martel LLP | | - | 20,000 | |
| 1060000 - Subtotal | 1,098,750 | 58,241 | 539,538 | 500,971 |
| Total Operating Contract Services | 40,451,297 | 2,767,819 | 18,739,733 | 18,943,745 |
| Bay Area Forward - Capital | | | | |
| 11051237 - Subtotal | 19,156,767 | 1,532,003 | 2,880,605 | 14,744,159 |
| Total Non-Federal Grant Funded | 59,608,064 | 4,299,822 | 21,620,338 | 33,687,905 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|----------------|------------------|
| Regional Transportation Plan | | |
| Consultants | | 50,082 |
| San Francisco Transportation Authority | | 24,850 |
| 1051122 - Subtotal | - | 74,932 |
| Regional Trails | | |
| East Bay Regional Park District | | 100,000 |
| Petaluma Small Craft Center | | 7,590 |
| 1051127 - Subtotal | - | 107,590 |
| Analyze Regional Data using GIS & Travel Models | | |
| Enterprise Renta-A-Car | 38,413 | 135,000 |
| Parsons Brinkerhoff | 430,987 | 2,047,451 |
| Parsons Brinkerhoff | | 484,700 |
| Sonoma County Transportation | | 28,038 |
| Valley Transportation Authority | | 70,000 |
| 1051222 - Subtotal | 469,400 | 2,765,189 |
| Support Regional Traveler Information Services | | |
| Kimley-Horn and Associates | | 351,663 |
| 1051223 - Subtotal | - | 351,663 |
| Regional Traffic Information Services | | |
| Civic Resource Group | 53,976 | 429,258 |
| Faneuil Inc., | | 608,934 |
| Iteris, Inc. | | 2,522,441 |
| Kimley-Horn & Associates | | 579,371 |
| 1051224 - Subtotal | 53,976 | 4,140,004 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|----------------|-------------------|
| Pavement Management Program (PMP) | | |
| AMS Consulting | | 263,731 |
| Bellecci & Associates | 21,247 | 44,265 |
| Capitol Asset & Pavement Services | | 277,364 |
| Fugro Roadware, Inc. | | 204,858 |
| Harris & Associates | | 217,607 |
| Nichols Consulting Engineers | 14,214 | 527,473 |
| Pavement Engineering Inc. | 29,781 | 189,773 |
| Quality Engineering Solutions | 15,227 | 178,211 |
| 1051233 - Subtotal | 80,469 | 1,903,282 |
| Arterial Operations Coordination | | |
| AC Transit | | 2,300,000 |
| City of Emeryville | | 726,913 |
| City of San Rafael | | 704,691 |
| DKS Associates | 28,497 | 95,294 |
| City of Hayward | - | 263,279 |
| IBI Group | | 195,000 |
| Iteris, DBA MMA | 4,092 | 54,180 |
| Kimly Horn | 18,094 | 334,673 |
| City of Pleasanton | | 233,791 |
| City of San Jose | | 1,342,688 |
| City of San Ramon | | 444,949 |
| City of San Francisco | | 469,566 |
| TJKM Transportation Consultant | | 23,406 |
| Town of Los Gatos | | 643,662 |
| City of Union City | | 618,916 |
| 1051234 - Subtotal | 50,683 | 8,451,008 |
| Implement Incident Management Program | | |
| AC Transit | - | 308,160 |
| Consultants | 7,448 | 182,552 |
| MNS Engineers (former S&C) | 55,708 | 1,302,997 |
| Sturgeon Electric California | 834,745 | 12,524,345 |
| URS Corporation | 4,981 | 42,424 |
| 1051235 - Subtotal | 902,882 | 14,360,478 |

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October 2019 (33.3% of year)

| Work Element/Consultant | Expended | Encumbered |
|--|---------------|------------------|
| Lifeline Program | | |
| Contra Costa County | | 9,924 |
| County of Contra Costa | | 29,721 |
| Cycles of Change | | 751 |
| San Mateo County Human Service | | 29,668 |
| 1051310 - Subtotal | - | 70,064 |
| Implement Lifeline Transportation Program | | |
| Marin Transit | | 45,757 |
| Peninsula Family Services | 35,408 | 41,902 |
| 1051311 - Subtotal | 35,408 | 87,659 |
| Lifeline Planning | | |
| Alta Plannign & Design | 57,555 | 1,850,289 |
| Silicon Valley Bicycle Coalition | | 595,390 |
| 1051413 - Subtotal | 57,555 | 2,445,679 |
| Federal Programming, Monitoring and TIP Development | | |
| ECCTA | | 450,307 |
| 1051512 - Subtotal | 0 | 450,307 |
| New Freedom - Non - Planning Funds | | |
| County Connection | | 15,000 |
| Outreach & Escort Inc. | | 183,977 |
| San Mateo County Transit District | | 67,578 |
| 1051518 - Subtotal | - | 266,555 |
| Transportation for Livable Communities | | |
| Bay Conservation & Development | 28,541 | 5,096 |
| City of Berkeley | | 79,975 |
| City & County of San Francisco | | 500,000 |
| City of San Rafael | | 339,704 |
| City of Santa Rosa | | 763,636 |
| City of Union City | | 800,000 |
| Consultant | 71,220 | |
| City of El Cerrito | | 317,844 |
| Fehr & Peers Associates | 6,900 | 146,487 |
| Nelson Niggard | 15,016 | 458,187 |
| City of Oakland | | 34,800 |
| Placeworks | 33,827 | 236,525 |
| City and County of San Francisco | | 400,000 |

**DISBURSEMENT REPORT - (Funded by Federal Grants)
As of October 2019 (33.3% of year)**

| Work Element/Consultant | Expended | Encumbered |
|--|------------------|-------------------|
| City of San Jose | | 437,119 |
| Santa Clara VTA | | 1,464,735 |
| City of Sunnyvale | | 335,200 |
| TJKM Transportation Consultant | 12,707 | 160,023 |
| City of Vacaville | 25,518 | 324,482 |
| Valley Transportation Authority | | 132,000 |
| 1051611 - Subtotal | 193,729 | 6,935,813 |
| Affordable Mobility Pilot Program | | |
| Transform | 116,015 | 764,244 |
| 1051618 - Subtotal | 116,015 | 764,244 |
| Fund 190 CMA PLANNING | - | 7,891,992 |
| Total Federal Grant Funded | 1,960,114 | 51,066,502 |
| Bay Area Forward - Capital | | |
| 11051237 - Subtotal | 60,314 | 16,792,194 |
| Total Federal Grant Funded | 2,020,428 | 67,858,696 |

CAPITAL PROJECTS DISBURSEMENT REPORT
As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|-------------------|-------------------|-------------------|-------------------|
| Capital Expenditures | 540,000 | | 30,323 | |
| Subtotal | 540,000 | - | 30,323 | 509,677 |
| | | | | |
| Bay Bridge Forward | 33,715,074 | | | |
| California Engng. Contractors | | | 26,154 | |
| California Highway Patrol | | | 686,231 | |
| Caribou Public Relations | | | 300,000 | |
| CONSULTANTS (PO) | | 2,438 | 431,900 | |
| CONTRA COSTA TRANSP AUTHORITY | | 8,369 | 13,978,047 | |
| GRAY-BOWEN-SCOTT | | | 80,000 | |
| HDR Engineering, Inc. | | 23,259 | 178,222 | |
| KIMLEY-HORN | | 26,998 | 525,288 | |
| Kittelson & Associates | | | 330,000 | |
| Kittelson & Associates, Inc | | | 125,000 | |
| LUUM | | 56,000 | | |
| MTC STAFF COSTS | | 407,380 | 1,004,431 | |
| O.C. Jones & Sons, Inc. | | 1,249,455 | 1,005,654 | |
| Parsons Transportation Group | | | 850,798 | |
| Placeworks | | | 45,711 | |
| San Mateo County Transit Dist | | | 27,390 | |
| Steer Davis & Gleave Inc. | | | 300,000 | |
| Transp Mobility Solutions | | | 41,961 | |
| Wilson, Sparling & Associates | | | 30,000 | |
| WSP USA Inc. | | 222,309 | 597,691 | |
| WSP USA, Inc. | | | 100,000 | |
| WSP, USA INC. | | 4,239 | 68,001 | |
| Subtotal | 33,715,074 | 2,000,447 | 20,732,479 | 10,982,148 |
| | | | | |
| Hub Signage Program | 13,197,993 | | | |
| Staff Costs | | 1,474,838 | | |
| Consultants | | 1,444,765 | | |
| Kimly-Horn and Associates | | 792,395 | | |
| BART | | 4,760,658 | | |
| Wilbur Smith Associates | | 100,850 | | |
| City of Santa Rosa | | 89,424 | | |
| Jacobs Carter Burgess | | 481,201 | | |
| Fluoresco Lighting | | 448,201 | | |
| Solari Corporation | | 188,388 | | |
| Nematode Holdings, LLC | | 224,369 | | |
| NCPTA | | 133,860 | | |
| Ghirardelli Association | | 316,028 | | |
| 3322650,2651,2652,2654 & 2655 Subtotal | 13,197,993 | 10,454,977 | - | 2,743,016 |

CLIPPER PROJECTS DISBURSEMENT REPORT
As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|---------------------|--------------------|---------------------|--------------------|
| Clipper Operating | 39,950,473 | | | |
| AC Transit | | 61,200 | 183,600 | |
| CONSULTANTS (PO) | | 140,602 | 2,515,916 | |
| Cubic Transportation Systems | | 5,358,839 | 24,854,661 | |
| MTC STAFF COSTS | | 325,551 | 920,125 | |
| Faneuil, Inc. | | 69,161 | | |
| Moore, Iacofano, Golstman | | 166,806 | | |
| Nematode Holdings | | 99,023 | 173,045 | |
| Resource Development Assoc. | | 10,204 | 89,796 | |
| 320122116 Clipper Operating Expenses | \$39,950,473 | \$6,231,386 | \$28,737,143 | \$4,981,944 |
| Clipper I - Capital | 230,409,357 | | | |
| Staff costs | | 13,165,736 | | |
| Auriga Corporation | | 12,293 | | |
| A T & T | | 90,557 | | |
| AC TRANSIT | | 397,683 | | |
| Acumen Building Enterprise | | 302,151 | | |
| Auriga Corporation | | 373,734 | | |
| BART | | 3,704,732 | | |
| Booz Allen Hamilton | | 22,458,743 | | |
| Caporicci & Larson | | 11,530 | | |
| Consultants | | 6,224,324 | | |
| Cornerstone Transp. Consulting | | 110,119 | | |
| CH2M Hill | | | 1,312,551 | |
| Cubic Transportation Systems | | 94,607,465 | 8,917,496 | |
| D-S-P | | 10,000 | | |
| Elmwood Consulting | | 11,603 | | |
| Fleishman-Hillard Inc. | | 175,760 | | |
| Glynn & Finley, LLP | | 199,990 | | |
| Golden Gate BHTD | | 110,407 | | |
| Hanson Bridgett Marcus Vlahos | | 5,000 | | |
| Hothouse Interactive | | 13,104 | | |
| Intl. Programming & Systems | | 29,491 | | |
| Invoke Technologies | | 156,962 | | |
| Karen Antion Consulting | | 290,397 | | |
| Kennison Metal Fabrication | | 225,361 | | |
| Kimley-Horn and Associates | | 1,077,224 | 100,000 | |
| KPMG consulting | | 1,127,033 | | |
| Local Government Services | | 915,517 | | |

CLIPPER PROJECTS DISBURSEMENT REPORT

As of October 2019 (33.3% of year)

| Work Element/Consultant | Budgeted | Expended | Encumbered | Balance |
|---|----------------------|----------------------|---------------------|----------------------|
| Macias, Gini and Company | | 47,190 | | |
| Moore, Iacofano, Goltsman | | 128,627 | | |
| MOTOROLA (PHASE I) | | 2,166,458 | | |
| MOTOROLA (PHASE II) | | 37,511,848 | | |
| PB CONSULT | | 193,500 | | |
| Peninsula Corr. Joint Powers | | 2,079,685 | | |
| Pricewaterhouse Coopers | | 40,000 | | |
| Samtrans | | 149,013 | | |
| San Francisco Muni | | 579,882 | | |
| Santa Clara VTA | | 1,636,101 | | |
| SBC/MCI | | 1,128 | | |
| SF Muni | | 431,580 | | |
| Shiralian Management Group | | 83,160 | | |
| Synapse Strategies | | 437,245 | | |
| Solano County Transit | | 165,480 | | |
| Solutions for Transit | | 192,013 | | |
| Thompson Coburn LLP | | 19,459 | | |
| Valley Transportation Authority | | 2,265,500 | | |
| VenTek Transit, Inc. | | 842,352 | | |
| Water Emergency Transportation Authority | | 127,867 | | |
| RM2 Capital construction | | 16,440,738 | 3,378,997 | |
| 310 Clipper Capital I - Total Expenses | \$230,409,357 | \$211,345,741 | \$13,709,044 | \$5,354,572 |
| Clipper II- Capital | 220,599,324 | | | |
| CH2M Hill | | 505,489 | 539,511 | |
| CONSULTANTS (PO) | | 316,686 | 197,022 | |
| CUBIC | | 4,254,595 | 14,172,712 | |
| IBI GROUP | | 3,578,546 | 1,419,093 | |
| Invoke Technologies | | 353,426 | 46,574 | |
| KPMG CONSULTING | | 245,435 | 50 | |
| MTC STAFF COSTS | | 5,698,953 | | |
| Thompson Coburn LLP | | 613,965 | 136,034 | |
| 312 Clipper II - Total Expenses | \$220,599,324 | \$15,567,096 | \$16,510,996 | \$188,521,232 |

**PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000**

| | | October, 2019 |
|-------------------------------|--|----------------------|
| Bay Nature Institute | | 3,000 |
| | Advertising and Public Awareness | |
| ICX Transportation | | 150,000 |
| | Computer Maintenance Services | |
| CDW Government Inc. | | 98,358 |
| | Computer Hardware Upgrade | |
| ABC Security Services | | 24,575 |
| | Security Service | |
| Interline Technologies | | 23,000 |
| | Software License Renewal | |
| Granicus | | 13,700 |
| | FY2019-20 On Site Staff Training | |
| O'Reilly Media Inc. | | 2,885 |
| | Software License Renewal | |
| Altec Products Inc. | | 3,000 |
| | Office Supplies | |
| Everbridge Inc. | | 7,992 |
| | Hosted Services | |
| Ralph Anderson and Associates | | 44,250 |
| | FY2019-20 Recruitment Assistance | |
| Caseware International | | 9,990 |
| | Software License Renewal | |
| Inro Consultants | | 50,900 |
| | Software License Renewal | |
| Gray CPA Consulting | | 3,000 |
| | Caseware Financial Statement Preparation | |

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

| Consultant | Purpose | October, 2019 |
|----------------------------|-------------------------------------|--------------------------|
| Kimley Horn and Associates | Operational Improvements - Caltrans | \$100,000 |
| Nelson Nygaard Consultants | AECOM Technical Services | \$12,500 |



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0038 **Version:** 1 **Name:**
Type: Report **Status:** Consent
File created: 12/2/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: Monthly Travel Report
Sponsors:
Indexes:
Code sections:
Attachments: [2d_20-0038_Monthly_Travel_Report_Oct'2019.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:
Monthly Travel Report

Presenter:
Raymond Woo

Recommended Action:
Information

**Metropolitan Transportation Commission
Administration Committee**

January 8, 2020

Agenda Item 2d

Monthly Travel Report

Subject: Monthly Travel Report for the four-month period ending October 31, 2019.

Background: Pursuant to MTC Resolution No. 1058, Revised, this memorandum constitutes the monthly travel report to the Administration Committee. As a reminder, the Commission revised the policies governing Commissioner and staff travel to require that three items be approved by, or reported to, this Committee:

1. International travel (outside the United States and Canada) must be approved in advance by this Committee at a regular public meeting.
2. All MTC-funded Commissioner travel must be disclosed in regular monthly reports to this committee.
3. On a quarterly basis, actual vs. budgeted travel expenditures must be reported to this Committee.

International Travel Requests:

None this month.

Commissioner Travel:

None this month.

Budget Report:

As outlined in Attachment A, actual travel expenses for all combined MTC travel funds are below budget at 15%.

Issues: None.

Recommendation: This item is provided for information only and no action is required of the Committee.

Attachments: Attachment A – Travel Report for FY 2019-20 (as of October 31, 2019)



Therese W. McMillan

**TRAVEL REPORT FOR FY 2019-20
As of October, 2019 (33.3% of year)**

| FUND | Budget | YTD Actual | % of Budget |
|--------------|------------------|-------------------|--------------------|
| MTC | \$439,819 | \$60,240 | 14% |
| BATA | \$198,200 | \$36,142 | 18% |
| SAFE | \$12,000 | \$4,676 | 39% |
| Clipper | \$42,508 | \$4,163 | 10% |
| Total | \$692,527 | \$105,222 | 15% |



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0013 **Version:** 1 **Name:**

Type: Resolution **Status:** Consent

File created: 11/27/2019 **In control:** Administration Committee

On agenda: 1/8/2020 **Final action:**

Title: MTC Resolution No. 1198, Revised - Revisions to MTC's Conflict of Interest Code to Update List of Designated Positions - Authorization to Submit to the Fair Political Practices Commission (FPPC) for Approval and to Refer to Commission for Adoption

Sponsors:

Indexes:

Code sections:

Attachments: [2e 20-0013 Reso-1198 Conflict of Interest Code Revisions.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:

MTC Resolution No. 1198, Revised - Revisions to MTC's Conflict of Interest Code to Update List of Designated Positions - Authorization to Submit to the Fair Political Practices Commission (FPPC) for Approval and to Refer to Commission for Adoption

Presenter:

Leslie Miessner

Recommended Action:

Commission Approval

Metropolitan Transportation Commission Administration Committee

January 8, 2020

Agenda Item 2e

MTC Resolution No. 1198, Revised – Revisions to MTC’s Conflict of Interest Code to Update List of Designated Positions – Authorization to Submit to FPPC for Approval and to Refer to Commission for Adoption

Subject: MTC Resolution No. 1198, Revised – Revisions to MTC’s Conflict of Interest Code to Update List of Designated Positions – Authorization to Submit to the Fair Political Practices Commission (FPPC) for Approval and to Refer to Commission for Adoption

Background: The Office of General Counsel reported to this Committee on November 13, 2019 that the Metropolitan Transportation Commission (MTC) Conflict of Interest Code (COIC), which serves as the COIC for the Bay Area Toll Authority, the MTC Service Authority for Freeways and Expressways, the Bay Area Headquarters Authority, the Bay Area Infrastructure Financing Authority, and the Advancing California Financing Authority, required an update in order to update the list of designated positions to reflect MTC’s affiliation with the Bay Area Regional Collaborative (BARC), the creation of two new positions, and MTC’s current organizational structure. The designated positions are listed in Appendix A to the COIC. Incumbents of positions designated in Appendix A must file an annual Statement of Economic Interests (FPPC Form 700) in compliance with the disclosure categories indicated in Appendix B.

The changes in the COIC reflect the addition of a designated position resulting from MTC’s affiliation with BARC, the addition of two new positions created during the past fiscal year, and changes in MTC’s organizational structure and nomenclature. The disclosure categories are tailored to the responsibilities of each role.

At its November 13 meeting, the Committee authorized posting the draft changes to MTC Resolution No. 1198, Revised, for the 45-day comment period required by the FPPC. We received no comments during the comment period, which closed on December 30, 2019.

Issues: None

Recommendation: Staff recommends that the Committee authorize staff to submit the proposed COIC to the FPPC for formal approval, and to refer the approved COIC to the Commission for adoption at a date to be determined.

Attachments: Attachment A: Proposed Amended Conflict of Interest Code
Attachment B: MTC Resolution No. 1998, Revised



Cynthia Segal

Revisions in ~~strikeout~~ and underline

**CONFLICT OF INTEREST CODE FOR THE
METROPOLITAN TRANSPORTATION COMMISSION**

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Metropolitan Transportation Commission (“MTC”), a statutorily created regional transportation planning agency pursuant to Government Code Section 66500 *et seq.*, is for the purposes of the Political Reform Act, a local government agency pursuant to Government Code Section 82041. MTC also functions as the MTC Service Authority for Freeways and Expressways (“MTC SAFE”) pursuant to Streets and Highways Code Sections 2550-2556, and the Bay Area Toll Authority (“BATA”) pursuant to Streets and Highways Code Section 30950 *et seq.* and, pursuant to the Contract for Services dated as of May 30, 2017 between MTC and the Association of Bay Area Governments (“ABAG”), provides consolidated staff to perform work for ABAG and its affiliated Local Collaboration Programs, including ABAG Publicly Owned Energy Resources (“POWER”) and the ABAG Finance Authority for Nonprofit Corporations (“FAN”). MTC is also a member of and provides staff for the Bay Area Infrastructure Financing Authority (“BAIFA”) and the Bay Area Headquarters Authority (“BAHA”), and staffs the Advancing California Financing Authority (“ACFA”), a joint powers authority formed by ABAG and ~~the ABAG Finance Authority for Nonprofit Corporations~~ FAN. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regs. Sec. 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Metropolitan Transportation Commission (MTC)**.

Individuals holding designated positions shall file their statements of economic interests with **MTC**, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) All statements will be retained by **MTC**.

APPENDIX A

DESIGNATED POSITIONS

| <u>Designated Position</u> | <u>Assigned Disclosure Category</u> |
|---|-------------------------------------|
| Deputy Executive Director, Policy | 1, 3, 4 |
| Deputy Executive Director, Operations | 1, 3, 4 |
| Deputy Executive Director, Local Government Services (LGS) | 1, 3, 4 |
| General Counsel | 1, 3, 4 |
| <u>Senior</u> Deputy General Counsel | 1, 3, 4 |
| Senior Counsel | 1, 3, 4 |
| Associate Counsel | 1, 3, 4 |
| Special Counsel | 1, 3, 4 |
| <u>Administrative Director, Office of the Executive Director</u> | <u>1, 3, 4</u> |
| Director, Administration & Facilities (AF) | 1, 3 |
| Director, Integrated Planning Department (PLN) | 2, 3 |
| Director, Operations <u>Design & Project Delivery (OPSDPD)</u> | 2 |
| <u>Director, Field Operations & Asset Management (FOAM)</u> | <u>2</u> |
| Director, Electronic Payments (EPS) | 2 |
| Director, Programming and Allocations (PAC) | 2, 3 |
| Director, Legislation and Public Affairs (LPA) | 1, 3 |
| Director, Technology Services (TSS) | 2 |
| Director, SF Estuary Partnership | 2, 3 |
| Director, Budget <u>Finance & Revenue Accounting</u> (aka Deputy Finance Director) | 1 |
| Director, Treasury <u>& Revenue</u> (aka Deputy Treasurer) | 1 |
| <u>Director, Bay Area Regional Collaborative (BARC)</u> | <u>2, 3</u> |
| <u>Deputy Director, PLN (aka Deputy Planning Director)</u> | <u>2, 3</u> |
| Assistant Directors: PLN, PAC, LGS | 2, 3 |
| Assistant Directors: OPSDPD , <u>FOAM</u> , EPS, TSS | 2 |
| Assistant Directors: LPA | 1 |
| Assistant Directors: AF | 1, 3 |
| Assistant Directors: Finance | 1 |
| Clipper [®] Executive Board Members | 2 |
| Consultants/New Positions | * |

*Consultants and new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s

determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008.) Nothing herein excuses any such consultant from any other provision of the conflict-of-interest code.

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below manage public investments and shall file a statement of economic interests pursuant to Government Code Section 87200.

MTC Commissioners
Chief Financial Officer
Executive Director

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

APPENDIX B

DISCLOSURE CATEGORIES

Designated positions shall disclose pursuant to the appropriate disclosure category as indicated in Appendix A.

- CATEGORY 1** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, entities that provide services, products, or equipment of the type utilized by MTC, including public utilities, consultants, transportation companies, and manufacturers.
- CATEGORY 2** – Investments and business positions in business entities, and income including receipt of loans, gifts, and travel payments, from, sources that provide services, products, or equipment of the type utilized by the designated position's department or division.
- CATEGORY 3** – All interests in real property located within the jurisdiction or within two miles of the boundaries of the jurisdiction or within two miles of any land owned or used by MTC.
- CATEGORY 4** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, sources that filed a claim against MTC during the previous two years, or have a claim pending against MTC.

| |
|---------------------|
| ATTACHMENT B |
|---------------------|

Date: October 27, 1982

W.I.: 99110

I.D.: File 1

Referred by: Administration Committee

Revised: 06/26/91-C 07/27/94-C

11/18/98-C 06/28/00-C

11/20/02-C 09/28/11-C

05/22/13-C 03/25/15-C

09/28/16-C 04/25/18-C

___/___/20-C

ABSTRACT

Resolution No. 1198, Revised

Subject

This resolution adopts the amendments to the Metropolitan Transportation Commission's Conflict of Interest Code, directs the Executive Director to submit a copy of the amended code to the Fair Political Practices Commission, provides for future amendments, and revises Appendix A of the Commission Procedures Manual (Resolution No. 1058).

Resolution No. 1198 supersedes Resolution No. 859.

This resolution was revised on June 26, 1991 to include the disclosure of "business positions in business entities," to add a disclosure category for telecommunications services and equipment manufacturers to cover the activities of the MTC SAFE, to update the designated positions to reflect MTC's current organizational structure, and to clarify the definition of "consultant."

This resolution was revised on July 27, 1994 to amend the disclosure categories to cover MTC and MTC SAFE activities in the areas of towing services and intelligent vehicle highway systems (IVHS) and to update the designated positions to reflect MTC's current organizational structure.

This resolution was revised on November 18, 1998 to amend the conflict of interest code to reflect changes in FPPC regulations, amend the disclosure categories to cover the MTC Service Authority for Freeways and Expressways ("MTC SAFE") and Bay Area Toll Authority ("BATA") activities, and to update the designated positions to reflect MTC's current organizational structure.

This resolution was revised on June 28, 2000 to add Associate Counsel as a designated position, delete the Legislation and Public Affairs and Finance sections to create one Funding and External Affairs section, and rename Treasury to Finance.

This resolution was revised on November 20, 2002, to delete the Funding and External Affairs section, to create a Programming and Allocations section and a Legislation and Public Affairs section, and to replace the Deputy Executive Director's position with two Deputy Directors' Positions.

This resolution was revised on September 28, 2011, to update the designated positions to reflect MTC's current organizational structure and disclosure categories.

This resolution was revised on May 22, 2013, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

This resolution was revised on March 25, 2015, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

This resolution was revised on September 28, 2016, to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure, and to add Clipper[®] Executive Board member as a designated position.

This resolution was revised on April 25, 2018, to add designated positions in MTC's organization and their assigned disclosure categories resulting from the consolidation of the staffs of MTC and the Association of Bay Area Governments ("ABAG"), pursuant to the Contract for Services between ABAG and MTC, dated as of May 30, 2017 and the formation of the Advancing California Finance Authority, its staffing by MTC, and its adoption of the MTC Conflict of Interest Code; and to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

This resolution was revised on _____, 2020, to add the Bay Area Regional Collaborative (BARC) Director as a designated position, and to more accurately reflect the most current designated positions and assigned disclosure categories in MTC's organizational structure.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee

RE: Adoption of the Amendments to the Metropolitan Transportation Commission's Conflict of Interest Code.

METROPOLITAN TRANSPORTATION COMMISSION

RESOLUTION NO. 1198

WHEREAS, the Metropolitan Transportation Commission (MTC), is the regional transportation planning agency pursuant to Government Code § 66500 *et seq.*; and

WHEREAS, MTC has adopted for purposes of the Political Reform Act (Government Code § 81000 *et seq.*) a Conflict of Interest Code (Resolution No. 859) which has been approved by the Fair Political Practices Commission; and

WHEREAS, MTC desires to amend its Conflict of Interest Code; and

WHEREAS, the proposed amendments have been submitted to the public for comment and subject to a public hearing; now, therefore, be it

RESOLVED, that the amended Conflict of Interest Code, incorporated herein as though set forth at length as Attachment A, is adopted; and, be it further

RESOLVED, that the Executive Director is directed to submit a copy of the Conflict of Interest Code to the California Fair Political Practices Commission for approval; and, be it further

RESOLVED, that MTC may from time to time further amend Attachment A as appropriate, in accordance with the applicable statutory and regulatory provisions; and, be it further

RESOLVED, that MTC Resolution No. 859 is superseded by Resolution No. 1198; and, be it further

RESOLVED, that Appendix A of the Commission Procedures Manual (MTC Resolution No. 1058) is revised by Resolution No. 1198.

METROPOLITAN TRANSPORTATION COMMISSION

/s/ _____
William R. "Bill" Lucius, Chairman

The above resolution was entered into by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on October 27, 1982.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
09/28/16-C 04/25/18-C
__/__/20-C

Attachment A - Resolution No. 1198
Page 1 of 5

CONFLICT OF INTEREST CODE FOR THE METROPOLITAN TRANSPORTATION COMMISSION

The Political Reform Act (Government Code Section 81000, *et seq.*) requires state and local government agencies to adopt and promulgate conflict of interest codes. The Metropolitan Transportation Commission (“MTC”), a statutorily created regional transportation planning agency pursuant to Government Code Section 66500 *et seq.*, is for the purposes of the Political Reform Act, a local government agency pursuant to Government Code Section 82041. MTC also functions as the MTC Service Authority for Freeways and Expressways (“MTC SAFE”) pursuant to Streets and Highways Code Sections 2550-2556, and the Bay Area Toll Authority (“BATA”) pursuant to Streets and Highways Code Section 30950 *et seq.* and, pursuant to the Contract for Services dated as of May 30, 2017 between MTC and the Association of Bay Area Governments (“ABAG”), provides consolidated staff to perform work for ABAG and its affiliated Local Collaboration Programs, including ABAG Publicly Owned Energy Resources (“POWER”) and the ABAG Finance Authority for Nonprofit Corporations (“FAN”). MTC is also a member of and provides staff for the Bay Area Infrastructure Financing Authority (“BAIFA”) and the Bay Area Headquarters Authority (“BAHA”), and staffs the Advancing California Financing Authority (“ACFA”), a joint powers authority formed by ABAG and FAN. The Fair Political Practices Commission has adopted a regulation (2 Cal. Code Regs. Sec. 18730) that contains the terms of a standard conflict of interest code, which can be incorporated by reference in an agency’s code. After public notice and hearings, the standard code may be amended by the Fair Political Practices Commission to conform to amendments in the Political Reform Act. Therefore, the terms of 2 Cal. Code of Regs. Sec. 18730 and any amendments to it duly adopted by the Fair Political Practices Commission are hereby incorporated by reference. This regulation and the attached Appendices, designating positions and establishing disclosure categories, shall constitute the conflict of interest code of the **Metropolitan Transportation Commission (MTC)**.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
09/28/16-C 04/25/18-C
__/__/20-C

Attachment A - Resolution No. 1198
Page 2 of 5

Individuals holding designated positions shall file their statements of economic interests with **MTC**, which will make the statements available for public inspection and reproduction. (Gov. Code Sec. 81008.) All statements will be retained by **MTC**.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
09/28/16-C 04/25/18-C
__/__/20-C

Attachment A - Resolution No. 1198
Page 3 of 5

APPENDIX A

DESIGNATED POSITIONS

| <u>Designated Position</u> | <u>Assigned Disclosure Category</u> |
|--|-------------------------------------|
| Deputy Executive Director, Policy | 1, 3, 4 |
| Deputy Executive Director, Operations | 1, 3, 4 |
| Deputy Executive Director, Local Government Services (LGS) | 1, 3, 4 |
| General Counsel | 1, 3, 4 |
| Senior Deputy General Counsel | 1, 3, 4 |
| Senior Counsel | 1, 3, 4 |
| Associate Counsel | 1, 3, 4 |
| Special Counsel | 1, 3, 4 |
| Administrative Director, Office of the Executive Director | 1, 3, 4 |
| Director, Administration & Facilities (AF) | 1, 3 |
| Director, Integrated Planning Department (PLN) | 2, 3 |
| Director, Design & Project Delivery (DPD) | 2 |
| Director, Field Operations & Asset Management (FOAM) | 2 |
| Director, Electronic Payments (EPS) | 2 |
| Director, Programming and Allocations (PAC) | 2, 3 |
| Director, Legislation and Public Affairs (LPA) | 1, 3 |
| Director, Technology Services (TSS) | 2 |
| Director, SF Estuary Partnership | 2, 3 |
| Director, Finance & Accounting (aka Deputy Finance Director) | 1 |
| Director, Treasury & Revenue (aka Deputy Treasurer) | 1 |
| Director, Bay Area Regional Collaborative (BARC) | 2, 3 |
| Deputy Director, PLN (aka Deputy Planning Director) | 2, 3 |
| Assistant Directors: PLN, PAC, LGS | 2, 3 |
| Assistant Directors: DPD, FOAM, EPS, TSS | 2 |
| Assistant Directors: LPA | 1 |
| Assistant Directors: AF | 1, 3 |
| Assistant Directors: Finance | 1 |
| Clipper® Executive Board Members | 2 |
| Consultants/New Positions | * |

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
09/28/16-C 04/25/18-C
__/__/20-C

Attachment A - Resolution No. 1198
Page 4 of 5

*Consultants and new positions shall be included in the list of designated positions and shall disclose pursuant to the broadest disclosure category in the code, subject to the following limitation:

The Executive Director may determine in writing that a particular consultant or new position, although a “designated position,” is hired to perform a range of duties that is limited in scope and thus is not required to fully comply with the disclosure requirements described in this section. Such determination shall include a description of the consultant’s or new position’s duties and, based upon that description, a statement of the extent of disclosure requirements. The Executive Director’s determination is a public record and shall be retained for public inspection in the same manner and location as this conflict of interest code. (Gov. Code Section 81008.) Nothing herein excuses any such consultant from any other provision of the conflict-of-interest code.

OFFICIALS WHO MANAGE PUBLIC INVESTMENTS

It has been determined that the positions listed below manage public investments and shall file a statement of economic interests pursuant to Government Code Section 87200.

MTC Commissioners
Chief Financial Officer
Executive Director

An individual holding one of the above listed positions may contact the Fair Political Practices Commission for assistance or written advice regarding their filing obligations if they believe their position has been categorized incorrectly. The Fair Political Practices Commission makes the final determination whether a position is covered by Government Code Section 87200.

Date: October 27, 1982
W.I.: 99110
I.D.: File 1
Referred by: A&O Committee
Revised: 06/26/91-C 07/27/94-C
11/18/98-C 06/28/00-C
11/20/02-C 09/28/11-C
05/22/13-C 03/25/15-C
09/28/16-C 04/25/18-C
__/__/20-C

Attachment A - Resolution No. 1198
Page 5 of 5

APPENDIX B

DISCLOSURE CATEGORIES

Designated positions shall disclose pursuant to the appropriate disclosure category as indicated in Appendix A.

- CATEGORY 1** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, entities that provide services, products, or equipment of the type utilized by MTC, including public utilities, consultants, transportation companies, and manufacturers.
- CATEGORY 2** – Investments and business positions in business entities, and income including receipt of loans, gifts, and travel payments, from, sources that provide services, products, or equipment of the type utilized by the designated position’s department or division.
- CATEGORY 3** – All interests in real property located within the jurisdiction or within two miles of the boundaries of the jurisdiction or within two miles of any land owned or used by MTC.
- CATEGORY 4** – Investments and business positions in business entities, and income, including receipt of loans, gifts, and travel payments, from, sources that filed a claim against MTC during the previous two years, or have a claim pending against MTC.

LEAVE PAGE BLANK FOR _____, 2020 CERTIFICATION OF FPPC APPROVAL



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 19-1345 **Version:** 1 **Name:**
Type: Resolution **Status:** Commission Approval
File created: 11/12/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: MTC Resolution No. 4371, Revised - FY 2019-20 MTC Operating and Capital Budgets Amendment

A request to refer MTC Resolution No. 4371, Revised, the MTC FY 2019-20 Agency Budget, Amendment No. 2, approving an increase of \$815,974 in operating costs, of which \$557,987 will be added to the MTC Operating Budget and \$257,987 added to the Life-to-Date Grants Budget, to the Commission for approval.

Sponsors:

Indexes:

Code sections:

Attachments: [3a 19-1345 Reso-4371 MTC Budget Amendment.pdf](#)

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:

MTC Resolution No. 4371, Revised - FY 2019-20 MTC Operating and Capital Budgets Amendment

A request to refer MTC Resolution No. 4371, Revised, the MTC FY 2019-20 Agency Budget, Amendment No. 2, approving an increase of \$815,974 in operating costs, of which \$557,987 will be added to the MTC Operating Budget and \$257,987 added to the Life-to-Date Grants Budget, to the Commission for approval.

Presenter:

Brian Mayhew

Recommended Action:

Commission Approval

Metropolitan Transportation Commission Administration Committee

January 8, 2020

Agenda Item 3a

MTC Resolution No. 4371, Revised – FY 2019-20 MTC Operating and Capital Budgets Amendment

Subject: A request to refer MTC Resolution No. 4371, Revised, the MTC FY 2019-20 Agency Budget, Amendment No. 2, approving a total increase of \$815,974 of which \$557,987 will be added to the MTC Operating Budget and \$257,987 added to the Life-to-Date Grants Budget, to the Commission for approval.

Background: The amended FY 2019-20 budget remains in balance since the proposed revenue increase is exactly a match to the requested expense changes and, as such there is no change to the original \$88,891 projected surplus. There are nine new positions requested in this proposed budget amendment. While the positions may have an administrative impact on MTC, none of the funding for these new positions will come directly from MTC general revenue sources.

The Commission will remember that there were several issues raised both in the budget study session and final proposed FY 2019-20 budget. These issues include:

- Continuing growth in program areas, Clipper II, Express Lanes, All Electric Tolling (AET) and SR 37 options
- Continuing growth of federal and state grants including the new SB1 and AB 1487 Housing Finance Authority as well as the \$25 million awarded to ABAG under the SB 101 State Budget Trailer Bill
- Work and funding related to the ABAG staff consolidation
- Potential increases in PERS funding costs

The top three issues all involve staffing concerns both from the need to develop and operate the new and expanding programs as well as the more indirect impact from the pressures of administering additional salary and benefits to processing, managing and administering the myriad of new grant rules, contract payments and procurement procedures to go with each new function.

Part of the budget discussion for FY 2019-20 identified the need to potentially amend the budget to deal with new multi-year commitments, new housing initiatives and the critical administrative support services necessary to meet these new initiatives. While this Amendment No. 2 deals in part with the current work/staffing demand issue, these are not nearly all of the projected future demands including our expanding joint MTC/ABAG efforts in housing and transportation which will require further discussion in the future.

Nevertheless, we do not take recommendations to augment staffing levels lightly. All future change requests will be accompanied by a fiscal impact element including:

- Whether the work is already included and fully supported in the approved budget;
- Whether new activities not already included in the budget can still be covered by existing resources or will require a shift of other existing resources; or
- Whether the new activity is accompanied by a new revenue source and whether that source is permanent or temporary

These factors as well as evaluations of on-going support needs for the consolidated staff will be wrapped into future budget discussions as well as the FY 2020-21 budget discussions.

Budget Changes: Attached for your review and referral to the Commission for approval is MTC Resolution No. 4371, Revised, Amendment No. 2 to the MTC Agency Budget for FY 2019-20. The ending balance will remain unchanged since the proposed revenue and expense adjustments are equal. The adjustments are summarized below:

| | | |
|---------------------|------------|----------------|
| Revenue: | MTC | Clipper |
| Operating Transfers | \$557,987 | \$0 |
| STP Grant | 257,987 | 0 |
| Total Revenue | 815,974 | 0 |
| Expense: | | |
| Salary & Benefit | 515,974 | 852,863 |
| Contractual | 300,000 | 0 |
| Contingency | 0 | (852,863) |
| Total Expense | 815,974 | 0 |
| Net Change: | \$ 0 | \$ 0 |

The increase in transfers will cover the cost of the PCA grant administrator and additional contract requests. The Clipper II budget will be adjusted by reducing the existing project contingency to cover the salary and benefit costs of the new project based positions.

The recommended budget amendment also includes over \$3.7 million in new contract requests generally covered by the grant and revenue sources listed below.

| Additional Consultant Requests | Funding | Amount |
|---|----------------------------------|---------------|
| Transportation Management System | New - STP Grant | \$ 3,000,000 |
| Water Trail | Coastal Conservancy Grant - 2801 | 185,000 |
| Water Trail | New- Coastal Conservancy Grant | (1,600,000) |
| Bay Trail | New- Coastal Conservancy Grant | (1,400,000) |
| Goodrick Avenue | Coastal Conservancy Grant - 2800 | 130,000 |
| Carquinez Trail Feasibility Study Project | Coastal Conservancy Grant - 2800 | 133,387 |
| Street Saver Software | Pavement Management Sales | 300,000 |
| Total | | \$ 748,387 |

The reduction in the Coastal Conservancy budget reflects an updated and reduced grant revenue estimate for FY 2019-20. After the Coastal Conservancy adjustment the net budget adjustment for consultant requests is \$748,387

Position Changes: Amendment No. 2 includes requests for an additional nine full time positions listed below:

| New Positions Requests | Funding |
|---|--------------------|
| Contract Specialist | BATA |
| Assistant Director - State Route 37 | BATA |
| Associate Program Coordinator | Clipper |
| Associate Program Coordinator CII Device Deployment | Clipper |
| Associate Program Coordinator - CII Program Deployment | Clipper |
| Principal Program Coordinator - Express Lanes - Capital | BAIFA |
| Assistant Director - Express Lanes Operations | BAIFA |
| Assistant Program Coordinator – PCA Grant Admin. | MTC Exchange Funds |
| Assistant Program Coordinator - Bikeshare Program | STP Grant |

As the overall parent fund, all new positions must be authorized through MTC.

Except for the BATA Contract Specialist, all of the remaining positions are designated as “Project Based”, full time positions. The “Project Based” positions are expected to last for the length of the respective project, usually 2-3 years or when the project is completed. While the project lasts, the employees are accounted for and paid at the full salary and benefit levels of regular-full time permanent employees.

Financial Impact: All new positions represent workforce additions to cover increased or new workplan requirements for MTC. These workplan increments in the main are expected to be temporary, and as such 8 of the 9 positions requested will be recruited and filled as limited term “project based” employees. One position (BATA contract specialist) is expected to serve a sustained work load increase and is being recruited as a regular full time employee (FTE). The revenues to support these positions are from existing uncommitted funds included in the FY 2019-20 budget that are now being assigned to meet these staffing needs.

Recommendation: Staff recommends that this Committee refer MTC Resolution No. 4371, Revised, the MTC Operating and Capital Budgets for FY 2019-20, to the Commission for approval.

Attachments: MTC Resolution No. 4371, Revised, the MTC Operating and Capital Budgets for FY 2019-20


Therese W. McMillan

Date: June 26, 2019
W.I.: 1152
Referred By: Administration
Revised: 11/20/19-C
Revised: 1/22/20-C

ABSTRACT

Resolution No. 4371, Revised

This resolution approves the Agency Budget for FY 2019-20.

This resolution was revised on November 20, 2019 for budget changes. The changes include the addition of \$1.2 million to the MTC operating budget.

This resolution was revised on January 22, 2020 for budget changes. The changes include the addition of nine full time staff positions and consultant expenditures adding \$557,987 to the MTC operating budget. \$257,987 will be used to fund one full time staff position which is funded by MTC Exchange Funds. The rest are funded by BATA, Clipper, BAIFA and MTC grants. The remaining \$300,000 will be used to fund additional consultant expenditures.

Further discussion of the agency budget is contained in the Administration Committee Summary Sheets dated June 12, 2019, November 13, 2019 and January 8, 2020. A budget is attached as Attachments A, B and C.

Date: June 26, 2019
W.I.: 1152
Referred By: Administration

Re: Metropolitan Transportation Commission's Agency Budget for FY 2019-20

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4371

WHEREAS, the Metropolitan Transportation Commission (MTC or the Commission) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, on May 22, 2019 the Commission approved MTC's Overall Work Program (OWP) for Fiscal Year 2019-20 with the adoption of MTC Resolution No. 4370; and

WHEREAS, the OWP identifies MTC's unified work program for FY 2019-20; and

WHEREAS, the final draft MTC Agency Budget for FY 2019-20 as reviewed and recommended by the Administration Committee is consistent with the OWP as adopted pursuant to MTC Resolution No. 4370; now, therefore, be it

RESOLVED, that MTC's Agency Budget for FY 2019-20, prepared in accordance with generally accepted accounting principles and modified accrual, attached hereto as Attachment A, and incorporated herein as though set forth at length, is approved; and, be it further

RESOLVED, that the Executive Director or designee may approve adjustments among line items in the MTC operating budget for FY 2019-20, provided that there shall be no increase in the overall MTC operating budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC delegates to its Administration or Operations Committees the authority to approve all contracts and expenditures in MTC's Agency Budget for FY 2019-20, providing that there shall be no increase in the overall budget without prior approval of the Commission; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall submit written requests to the Administration or

Operations Committees for approval of consultants, professional services, and expenditures authorized in the MTC Agency Budget for FY 2019-20; and, be it further

RESOLVED, that MTC's Executive Director and the Chief Financial Officer are authorized to carry over and re-budget all grants, contracts and funds properly budgeted in the prior year for which expenditures were budgeted and encumbered and which will take place in FY 2019-20; and, be it further

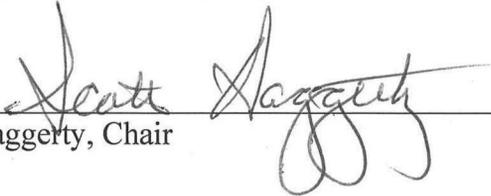
RESOLVED, that the Commission authorizes the use of MTC funds for cash flow purposes, as an advance on authorized expenditures until the expenditures have been reimbursed; and, be it further

RESOLVED, that the Commission authorizes the designation of certain reserves for FY 2019-20 as follows: Benefits, Liability, Compensated Leave, Encumbrances, Building, Unfunded Pension Obligation, OPEB and Fixed Asset Replacement. The Chief Financial Officer is authorized to set aside \$540,000 for computer capital. The Chief Financial Officer is authorized to utilize the funds in the Benefits Reserve to meet any obligations resulting from the requirements of or changes in the employee labor agreements or for the purpose of prepaying or retiring unfunded pension or OPEB Liability. No additional expenditures shall be authorized from any designated reserves authorized by MTC's Agency Budget for FY 2019-20 without prior authorization of the Administration Committee; and, be it further

RESOLVED, that the total of full time regular and project employees is established at 289 and will not be increased without approved increase to the appropriate FY 2019-20 budget and that the Executive Director or Designee is authorized to manage all contract, hourly or agency employees within the authorized FY 2019-20 budgets; and, be it further

RESOLVED, that MTC's Executive Director, or the responsible MTC staff person designated by the Executive Director, shall furnish the Administration Committee with a monthly financial report to reflect budgeted and actual income, expenditures, obligations for professional and consultant services and such other information and data as may be requested by the Administration Committee.

METROPOLITAN TRANSPORTATION COMMISSION



Scott Haggerty, Chair

The above resolution was entered into by
the Metropolitan Transportation Commission
at a regular meeting of the Commission
held in San Francisco, California on June 26, 2019.

Date: June 26, 2019
W.I.: 1152
Referred By: Administration
Revised: 11/20/19-C
Revised: 1/22/20-C

Resolution No. 4371

METROPOLITAN TRANSPORTATION COMMISSION

AGENCY BUDGET

FY 2019-20

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METROPOLITAN TRANSPORTATION COMMISSION

BUDGET FY 2019-20

Attachment A

SUMMARY

OPERATING REVENUE-EXPENSE SUMMARY

| | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change % Inc./(Dec) | Change \$ Inc./(Dec) |
|--|---------------------------|---------------------------|------------------------|-------------------------|
| General Planning Revenue | \$29,472,937 | \$29,472,937 | 0% | \$0 |
| Other MTC Revenue | 1,336,377 | 1,336,377 | 0% | 0 |
| Transfers from other Funds | 32,635,029 | 32,893,016 | 1% | 257,987 |
| Local Revenue Grants | 5,547,864 | 5,847,864 | 5% | 300,000 |
| Total Operating Revenue | \$68,992,207 | \$69,550,194 | 1% | \$557,987 |
| Total Operating Expense | \$68,903,318 | \$69,461,305 | 1% | \$557,987 |
| Operating Surplus (Shortfall) | \$88,891 | \$88,891 | 0% | \$0 |
| Total Operating Revenue - Prior Year | \$0 | \$0 | -100% | \$0 |
| Total Operating Expense - Prior Year | \$0 | \$0 | -100% | \$0 |
| Operating Surplus (Shortfall)- Prior year | \$0 | \$0 | 0% | \$0 |
| Total Operating Surplus (Shortfall) | \$88,891 | \$88,891 | 0% | \$0 |

PART2: CAPITAL PROJECTS REVENUE-EXPENSE SUMMARY

| | | | | |
|--|------------------|------------------|----|-----|
| Total Annual Capital Revenue | \$540,000 | \$540,000 | 0% | \$0 |
| Total Annual Capital Expense | \$540,000 | \$540,000 | 0% | \$0 |
| Capital Surplus(Shortfall) | \$0 | \$0 | 0% | \$0 |
| TOTAL FISCAL YEAR SURPLUS (SHORTFALL) | \$88,891 | \$88,891 | 0% | \$0 |

PART3: CHANGES IN RESERVES

| | | | | |
|---------------------------------------|-----------------|-----------------|----|-----|
| Transfer To Designated Reserve | \$0 | \$0 | | |
| Net MTC Reserves - in(out) | \$88,891 | \$88,891 | 0% | \$0 |
| Current Year Ending Balance | \$0 | \$0 | | |

REVENUE DETAIL

| | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change % Inc./(Dec) | Change \$ Inc./(Dec) |
|---|-----------------------------------|-----------------------------------|--------------------------------|---------------------------------|
| General Planning Revenue | | | | |
| FTA Section 5303 | \$3,510,474 | \$3,510,474 | 0% | \$0 |
| FTA 5303 FY 18 Final Allocation | 0 | 0 | #DIV/0! | \$0 |
| FTA 5303 carryover FY'18 | 0 | 0 | #DIV/0! | \$0 |
| FTA 5304 - Sustainable Transportation | 0 | 0 | #DIV/0! | \$0 |
| FTA 5304 - Diridon Plan | 0 | 0 | #DIV/0! | \$0 |
| FTA 5304 - BART Metro | 466,559 | 466,559 | 0% | \$0 |
| FHWA 1/2 % PL | 8,209,054 | 8,209,054 | 0% | \$0 |
| FHWA FY 18 Final Allocation | 0 | 0 | #DIV/0! | \$0 |
| FHWA carryover FY'18 | 0 | 0 | #DIV/0! | \$0 |
| SP&R | 0 | 0 | #DIV/0! | \$0 |
| State Funds | 0 | 0 | #DIV/0! | \$0 |
| Sustainable Communities SB1 - Awards | 0 | 0 | #DIV/0! | \$0 |
| Sustainable Communities SB1 - Formula | 2,106,140 | 2,106,140 | 0% | \$0 |
| Sustainable Communities SB1 - FY'20 Formula - Revised | 64,013 | 64,013 | 0% | \$0 |
| Sustainable Communities SB1 - Award | 500,000 | 500,000 | 0% | \$0 |
| TDA (Planning/Administrative) | 14,616,697 | 14,616,697 | 0% | \$0 |
| Subtotal: General Planning Revenue | \$29,472,937 | \$29,472,937 | 0.0% | \$0 |
| Other MTC Revenue | | | | |
| STIP-PPM | \$701,377 | \$701,377 | 0.0% | \$0 |
| HOV lane fines | 520,000 | 520,000 | 0.0% | 0 |
| Interest | 115,000 | 115,000 | 0.0% | 0 |
| Subtotal: MTC Other Revenue | \$1,336,377 | \$1,336,377 | 0.0% | \$0 |
| Operating Transfers | | | | |
| BATA 1% | \$8,096,994 | \$8,096,994 | 0% | \$0 |
| Transfer BATA RM2 | 3,880,000 | 3,880,000 | 0% | 0 |
| BATA Reimbursements (Audit/misc. contracts) | 980,500 | 980,500 | 0% | 0 |
| Service Authority Freeways Expressways (SAFE) | 1,751,788 | 1,751,788 | 0% | 0 |
| STA Transfer | 7,500,000 | 7,500,000 | 0% | 0 |
| 2% Transit Transfers | 239,000 | 239,000 | 0% | 0 |
| Bay Trail funds from MTC 5% and 2% Bridge Tolls | 723,421 | 723,421 | 0% | 0 |
| Transfer in - Net of Membership Dues | 530,000 | 530,000 | 0% | 0 |
| Transfer in - Exchange Fund | 0 | 257,987 | #DIV/0! | 257,987 |
| BATA Operating for SFEP - Overhead | 1,175,865 | 1,175,865 | 0% | 0 |
| ABAG Admin | 100,000 | 100,000 | 0% | 0 |
| ABAG Other Programs - Overhead | 840,968 | 840,968 | 0% | 0 |
| Express Lanes - Overhead | 1,274,228 | 1,274,228 | 0% | 0 |
| MTC Grant Funded - Overhead | 3,170,492 | 3,170,492 | 0% | 0 |
| Capital Programs - Overhead | 2,371,773 | 2,371,773 | 0% | 0 |
| Subtotal: Transfers from other funds | \$32,635,029 | \$32,893,016 | 1% | \$257,987 |
| MTC Total Planning Revenue | \$63,444,343 | \$63,702,330 | 0% | \$257,987 |
| Local Revenue Grants | | | | |
| Misc. Revenue (PMP Sales) | \$1,975,000 | \$2,275,000 | 15% | \$300,000 |
| TFCA (Regional Rideshare), Spare the Air. | 1,000,000 | 1,000,000 | 0% | 0 |
| Motivate/Lyft | 300,000 | 300,000 | 0% | 0 |
| BAAQMD | 351,067 | 351,067 | 0% | 0 |
| Cities | 1,921,797 | 1,921,797 | 0% | 0 |
| Subtotal: Local Revenue Grants | \$5,547,864 | \$5,847,864 | 5% | \$300,000 |
| Total Current Year Revenue | \$68,992,207 | \$69,550,194 | 1% | \$557,987 |

EXPENSE SUMMARY

| | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change % Inc./Dec) | Change \$ Inc./Dec) |
|---------------------------------------|-----------------------------------|-----------------------------------|-------------------------------|--------------------------------|
| Operating Expense | | | | |
| I. Salaries and Benefits | \$33,038,439 | \$33,296,426 | 1% | \$257,987 |
| MTC Staff - Regular | \$32,221,360 | \$32,479,347 | 1% | \$257,987 |
| Temporary Staff | 765,881 | 765,881 | 0% | 0 |
| Hourly /Interns | 51,198 | 51,198 | 0% | 0 |
| II. Travel and Training | \$590,419 | \$590,419 | 0% | \$0 |
| III. Printing, Repro. & Graphics | \$137,700 | \$137,700 | 0% | \$0 |
| IV. Computer Services | \$3,506,550 | \$3,506,550 | 0% | \$0 |
| V. Commissioner Expense | \$150,000 | \$150,000 | 0% | \$0 |
| VI. Advisory Committees | \$15,000 | \$15,000 | 0% | \$0 |
| VII. General Operations | \$3,310,988 | \$3,310,988 | 0% | \$0 |
| Subtotal Staff Cost | \$40,749,096 | \$41,007,083 | 1% | \$257,987 |
| IX. Contractual Services | \$28,154,222 | \$28,454,222 | 1% | \$300,000 |
| Total Operating Expense | \$68,903,318 | \$69,461,305 | 1% | \$557,987 |
| IX. Contractual Services - Prior Year | \$0 | \$0 | 0% | \$0 |

CAPITAL PROJECTS

| | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change % Inc./Dec) | Change \$ Inc./Dec) |
|--|-----------------------------------|-----------------------------------|-------------------------------|--------------------------------|
| Annual Transfer from Reserve to Capital | \$540,000 | \$540,000 | 0% | \$0 |
| Legal reserve | \$0 | \$0 | 0% | \$0 |
| Annual Capital Expense | \$540,000 | \$540,000 | 0% | \$0 |

| | LTD Budget Thru FY 2019-20 | Amendment 2 FY 2019-20 | LTD Budget Thru FY 2019-20 |
|----------------------------|---------------------------------------|-----------------------------------|---------------------------------------|
| Hub Signage Program | | | |
| Revenue | | | |
| Prop. 1B | \$9,729,204 | \$0 | \$9,729,204 |
| RM2 | 362,000 | 0 | 362,000 |
| Real Flap Sign - STA | 3,106,789 | 0 | 3,106,789 |
| | \$13,197,993 | \$0 | \$13,197,993 |

| Expense | | | |
|----------------|---------------------|------------|---------------------|
| Staff | \$1,645,697 | \$0 | \$1,645,697 |
| Consultants | 11,552,296 | 0 | 11,552,296 |
| | \$13,197,993 | \$0 | \$13,197,993 |

BAY AREA FORWARD PROJECT

| | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./Dec) |
|----------------------|-----------------------------------|-----------------------------------|--------------------------------|
| Revenue | | | |
| STP | 9,038,923 | 9,038,923 | \$0 |
| CMAQ | 7,499,000 | 7,499,000 | 0 |
| STA | 0 | 0 | 0 |
| BATA REHAB | 600,000 | 600,000 | 0 |
| RM2 Capital | 12,800,000 | 12,800,000 | 0 |
| SAFE Capital | 975,000 | 975,000 | 0 |
| Local- Cities | 2,802,151 | 2,802,151 | 0 |
| Total Revenue | \$33,715,074 | \$33,715,074 | \$0 |

| Expense | | | |
|--|---------------------|---------------------|------------|
| Staff | \$1,411,811 | \$1,411,811 | \$0 |
| Consultants | | | |
| Design Alternative Assessments/Corridor Studies | \$2,000,000 | \$2,000,000 | \$0 |
| Vehicle Occupancy Enforcement Program | \$1,000,000 | \$1,000,000 | \$0 |
| Richmond Access to Richmond bridge | 0 | 0 | \$0 |
| Bay/Dumbarton/Richmond-San Rafael Bridges | 0 | 0 | \$0 |
| Napa Forward | 1,100,000 | 1,100,000 | \$0 |
| Bay Bridge Forward Implementation | 11,526,112 | 11,526,112 | \$0 |
| Bay Bridge Forward ICM/Sterling Street / Other | 6,100,000 | 6,100,000 | \$0 |
| SR 37 Interim project/Richmond-San Rafael Access Improvement | 652,151 | 652,151 | \$0 |
| SR Interim Project & Early Ecological Enhancement | 225,000 | 225,000 | \$0 |
| Freeway Performance Impl. US 101 | 3,000,000 | 3,000,000 | \$0 |
| Freeway Performance Impl. I-580 | 2,500,000 | 2,500,000 | \$0 |
| Freeway Performance Impl. SR-37 / Other | 1,000,000 | 1,000,000 | \$0 |
| Performance Monitoring & Tools | 450,000 | 450,000 | \$0 |
| Freeway Performance Impl. I-680 | 0 | 0 | \$0 |
| Freeway Performance Impl I-880 | 2,750,000 | 2,750,000 | \$0 |
| Freeway Performance Impl. SR 84 | 0 | 0 | \$0 |
| Total Expense | \$33,715,074 | \$33,715,074 | \$0 |

0

CONTRACTUAL SERVICES DETAIL
New Contractual and Professional Services

| Work Element | Description/Purpose | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./(Dec) |
|--------------|--|---------------------------|---------------------------|-------------------------|
| 1111 | Support Commission Standing Committees | | | |
| | Governance Study | \$0 | \$0 | \$0 |
| | Planning Programs - Other | 200,000 | 200,000 | 0 |
| | TOTAL | \$200,000 | \$200,000 | \$0 |
| 1112 | Implement Public Information Program | | | |
| | LWV Monitor | \$0 | \$0 | \$0 |
| | Photography services for MTC/BATA | 75,000 | 75,000 | 0 |
| | Design & Production Services | 150,000 | 150,000 | 0 |
| | On-call Facilitation and Outreach | 40,000 | 40,000 | 0 |
| | Digital Promotion & Analysis | 60,000 | 60,000 | 0 |
| | On call Video Services | 50,000 | 50,000 | 0 |
| | Social Media Consultants | 75,000 | 75,000 | 0 |
| | Climate Initiatives | 0 | 0 | 0 |
| | Awards Program | 45,000 | 45,000 | 0 |
| | MTC web integration/portal | 0 | 0 | 0 |
| | Bike to Work | 50,000 | 50,000 | 0 |
| | Hub Outreach and Promotion | 0 | 0 | 0 |
| | Public Records Management System | 30,000 | 30,000 | 0 |
| | Transit Connectivity | 15,000 | 15,000 | 0 |
| | Regional Transit Mapping Project | 1,280,000 | 1,280,000 | 0 |
| | Website Maintenance for Bay Bridge Info | 37,000 | 37,000 | 0 |
| | YES Conference and BTWD Promo | 25,000 | 25,000 | 0 |
| | TOTAL | \$1,932,000 | \$1,932,000 | \$0 |
| 1121 | Plan Bay Area | | | |
| | Horizon Public Engagement Program | \$200,000 | \$200,000 | \$0 |
| | Public Opinion/Revenue Poll - CASA | 0 | 0 | 0 |
| | Horizon digital Engagement Program | 0 | 0 | 0 |
| | Y-PLAN/CBO Engagement | 150,000 | 150,000 | 0 |
| | Horizon Poll | 100,000 | 100,000 | 0 |
| | PBA Website: Development & Maintenance | 50,000 | 50,000 | 0 |
| | Blue Sky Planning | 0 | 0 | 0 |
| | Needs Assessment Assistance | 0 | 0 | 0 |
| | CALCOG MPO Coordination | 40,000 | 40,000 | 0 |
| | Horizon/PBA 2050 Digital Tool Launch/Maintenance | 50,000 | 50,000 | 0 |
| | PBA 2050 Social Media Promotion | 30,000 | 30,000 | 0 |
| | Preferred Scenario- Resilience/ED Assistance | 75,000 | 75,000 | 0 |
| | Environmental Impact Report | 150,000 | 150,000 | 0 |
| | Support for RHNA | 200,000 | 200,000 | 0 |
| | Plan Document Design | 25,000 | 25,000 | 0 |
| | TOTAL | \$1,070,000 | \$1,070,000 | \$0 |
| 1122 | Analyze Regional Data using GIS and Travel Models | | | |
| | Travel Model Research | \$200,000 | \$200,000 | \$0 |
| | Land use Model Research | 175,000 | 175,000 | 0 |
| | Travel Model Assistance | 35,000 | 35,000 | 0 |
| | Technical Support for Web Based Projects | 150,000 | 150,000 | 0 |
| | Consolidated household travel | 202,000 | 202,000 | 0 |
| | Regional Transit on Board | 600,000 | 600,000 | 0 |
| | Future Mobility Research Program | 0 | 0 | 0 |
| | Bay Area Spatial Info. System | 175,000 | 175,000 | 0 |
| | TOTAL | \$1,537,000 | \$1,537,000 | \$0 |
| 1126 | Resiliency (Sea Level Rise/Adaption) Planning | | | |
| | Sustainable Transportation Planning - Sea level Rise | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1124 | Regional Goods Movement Plan | | | |
| | Northern California Megaregional Study | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1125 | Active Transportation | | | |
| | Bike share Low Income Community Outreach | \$0 | \$0 | \$0 |
| | Bay Area Bike Share Expansion | 0 | 0 | 0 |
| | Bike share Liquidated Damages | 300,000 | 300,000 | 0 |
| | Complete Streets Workshop | 0 | 0 | 0 |
| | Bike/Ped Counts | 0 | 0 | 0 |
| | Active Transportation Plan | 150,000 | 150,000 | 0 |
| | Bike-Ped Counter Purchase/Installation Pilot | 150,000 | 150,000 | 0 |
| | Total | \$600,000 | \$600,000 | \$0 |
| 1127 | Regional Trails | | | |
| | Bay Trail Cartographic Services | \$15,000 | \$15,000 | \$0 |
| | Bay Trail Outreach & Promotion | 0 | 0 | 0 |
| | Economic Benefits of the Bay Trail Report | 0 | 0 | 0 |
| | Bay Trail Signage Installer | 0 | 0 | 0 |
| | Assessment of Existing Bay Trail Conditions/O&M/Funding Strategy | 75,000 | 75,000 | 0 |
| | TOTAL | \$90,000 | \$90,000 | \$0 |
| 1128 | Resilience and Hazards Planning | | | |
| | Integrate BAM resilience-staffing | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1129 | Economic Development and Forecasting | | | |
| | Data Management and Engagement | \$0 | \$0 | \$0 |
| | Research Support for Economic Program | 0 | 0 | 0 |
| | Data and Research for forecasting | 50,000 | 50,000 | 0 |
| | Data and reports for economic analysis | 50,000 | 50,000 | 0 |
| | TOTAL | \$100,000 | \$100,000 | \$0 |
| 1132 | Advocacy Coalitions | | | |
| | Legislative advocates - Sacramento | \$150,000 | \$150,000 | \$0 |
| | Legislative advocates - Washington D.C. | 325,000 | 325,000 | 0 |
| | TOTAL | \$475,000 | \$475,000 | \$0 |
| 1152 | Agency Financial Management | | | |
| | Financial Audit | \$448,166 | \$448,166 | \$0 |
| | OPEB Actuary | 30,000 | 30,000 | \$0 |
| | Financial System Evaluation/RFP | 100,000 | 100,000 | \$0 |
| | Financial System Maintenance | 10,000 | 10,000 | \$0 |
| | TOTAL | \$588,166 | \$588,166 | \$0 |

| Work Element | Description/Purpose | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./(Dec) |
|--------------|--|---------------------------|---------------------------|-------------------------|
| 1153 | Administrative Services | | | |
| | Organizational and Compensation | \$200,000 | \$200,000 | \$0 |
| | Mineta Transportation Institute | 100,000 | 100,000 | 0 |
| | Ergonomics | 50,000 | 50,000 | 0 |
| | SBE Pilot Program | 150,000 | 150,000 | 0 |
| | Internship Program | 200,000 | 200,000 | 0 |
| | TOTAL | \$700,000 | \$700,000 | \$0 |
| 1161 | Information Technology Services | | | |
| | Data Security Improvements | \$75,000 | \$75,000 | \$0 |
| | Web/DB Application Development/Integration | 70,000 | 70,000 | 0 |
| | Network Assistance | 50,000 | 50,000 | 0 |
| | Business Process ID - Planning | 325,000 | 325,000 | 0 |
| | Process improvements - automated forms/app | 100,000 | 100,000 | 0 |
| | Change training | 25,000 | 25,000 | 0 |
| | Website Operations Maintenance and Enhancement (AlyshaN) | 250,000 | 250,000 | 0 |
| | Information Management & Governance | 50,000 | 50,000 | 0 |
| | Regional Map | 325,000 | 325,000 | 0 |
| | Salesforce Development | 650,000 | 650,000 | 0 |
| | TOTAL | \$1,920,000 | \$1,920,000 | \$0 |
| 1212 | Performance Measuring and Monitoring | | | |
| | Vital Signs Website Development | \$250,000 | \$250,000 | \$0 |
| | Federal Performance Monitoring | 0 | 0 | 0 |
| | TOTAL | \$250,000 | \$250,000 | \$0 |
| 1222 | Regional Rideshare Program | | | |
| | 511 Ridesharing Program Operations | \$0 | \$0 | \$0 |
| | Regional Vanpool Supprt Program | 750,000 | 750,000 | 0 |
| | Regional Carpool Program | 250,000 | 250,000 | 0 |
| | TOTAL | \$1,000,000 | \$1,000,000 | \$0 |
| 1223 | Operational Support for Regional Programs | | | |
| | TMC Asset Upgrade and Replacement | \$421,000 | \$421,000 | \$0 |
| | Regional ITS Architecture Update | 0 | 0 | 0 |
| | TMS Program Strategic Plan | 125,000 | 125,000 | 0 |
| | TOTAL | \$546,000 | \$546,000 | \$0 |
| 1224 | Regional Traveler Information | | | |
| | 511 Transit system | \$0 | \$0 | \$0 |
| | 511 Communications | 10,000 | 10,000 | 0 |
| | 511 Alerting | 100,000 | 100,000 | 0 |
| | 511 Web Hosting | 50,000 | 50,000 | 0 |
| | 511 Innovation Lab | 300,000 | 300,000 | 0 |
| | TOTAL | \$460,000 | \$460,000 | \$0 |
| 1233 | Transportation Asset Management | | | |
| | Software Development and Maintenance | \$1,750,000 | \$1,750,000 | \$0 |
| | Transit Capital Inventory | 0 | 0 | 0 |
| | Software Training Support | 300,000 | 300,000 | 0 |
| | PTAP Projects | 407,297 | 407,297 | 0 |
| | Quality Assurance Program | 75,000 | 75,000 | 0 |
| | StreetSaver Software Development | 0 | 300,000 | 300,000 |
| | Regional Transit Asset Management Initiatives | 250,000 | 250,000 | 0 |
| | TOTAL | \$2,782,297 | \$3,082,297 | \$300,000 |
| 1234 | Arterial Operations | | | |
| | Program for Arterial System | \$0 | \$0 | \$0 |
| | Arterial Operations Pass | 600,000 | 600,000 | 0 |
| | Arterial Operations IDEA CAT 1&2 | 700,000 | 700,000 | 0 |
| | TOTAL | \$1,300,000 | \$1,300,000 | \$0 |
| 1235 | Incident Management | | | |
| | Incident Management Concept of Operations | \$175,000 | \$175,000 | \$0 |
| | Regional Communication Infrastructure | 0 | 0 | 0 |
| | Incident Management Task Force | 0 | 0 | 0 |
| | Incident Analytics Module | 175,000 | 175,000 | 0 |
| | TOTAL | \$350,000 | \$350,000 | \$0 |
| 1238 | Technology-Based Operations & Mobility | | | |
| | Connected Vehicles/Tech.-Based Op. & Mob. | \$0 | \$0 | \$0 |
| | Commute Challenge | \$2,000,000 | \$2,000,000 | \$0 |
| | TOTAL | \$2,000,000 | \$2,000,000 | \$0 |
| 1310 | Planning for Lifeline Transportation Program | | | |
| | Coordinated Plan Implementation Activities | \$20,000 | \$20,000 | \$0 |
| | TOTAL | \$20,000 | \$20,000 | \$0 |
| 1311 | Means Based Fare Program | | | |
| | Means Based Fare Program | \$6,000,000 | \$6,000,000 | \$0 |
| | Coordinated Technology Platform for Paratransit Trips | 0 | 0 | 0 |
| | TOTAL | \$6,000,000 | \$6,000,000 | \$0 |
| 1313 | Climate Resilience for people with disabilities | | | |
| | Culture of Health Leaders Cohort Three | \$0 | \$0 | \$0 |
| | Climate Resilience for people with disabilities | 0 | 0 | 0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1413 | Climate Initiative | | | |
| | Global Climate Summit | \$0 | \$0 | \$0 |
| | EV Strategic Council | 35,000 | 35,000 | 0 |
| | Off-Model Climate Program analysis/Plan Bay Area | 150,000 | 150,000 | 0 |
| | Parking program development/implemetation | 100,000 | 100,000 | 0 |
| | TOTAL | \$285,000 | \$285,000 | \$0 |

| Work Element | Description/Purpose | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./Dec) |
|--------------|--|--|--|--|
| 1415 | Road Maintenance & Rehabilitation Adaption Pl. East Palo Alto and Dumbarton Bridge Resiliency Study TOTAL | \$40,000 \$40,000 | \$40,000 \$40,000 | \$0 \$0 |
| 1416 | State Routes 37 Res. Corridor Program State Routes 37 Res. Corridor Program for Marin & Sonoma | \$600,000 \$600,000 | \$600,000 \$600,000 | \$0 \$0 |
| 1514 | Regional Assistance Programs TDA Clims/Fund Estimate online Migration and Reporting Performance audits - TDA audit & RM2 Oversight TOTAL | \$0 274,000 \$274,000 | \$0 274,000 \$274,000 | \$0 0 \$0 |
| 1515 | State Programming, Monitoring and STIP Dev. FMS Developer TOTAL | \$187,200 \$187,200 | \$187,200 \$187,200 | \$0 \$0 |
| 1517 | Transit Sustainability Transit Sustainability Planning Fare Integration Southern Alameda County Integrated Rail Transit Core Capacity Phase 2 Planning/Implementation SRTP TOTAL | \$224,000 600,000 0 0 360,000 \$1,184,000 | \$224,000 600,000 0 0 360,000 \$1,184,000 | \$0 0 0 0 0 \$0 |
| 1520 | BART Metro 2030 and Beyond BART Metro 2030 and Beyond | 529,559 \$529,559 | 529,559 \$529,559 | 0 \$0 |
| 1615 | Connecting Housing and Transportation CASA CASA Facilitation Objective Standards to Support Regional Housing Goals Housing Policy Evaluation TOTAL | \$0 0 0 0 \$0 | \$0 0 0 0 \$0 | \$0 0 0 0 \$0 |
| 1616 | RAMP Regional Advance Mitigation projects TOTAL | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 1617 | Technical Assistance Strategic Planning Technical Assistance Strategic Planning TOTAL | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 1619 | Diridon Concept Plan Diridon Concept Plan TOTAL | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 1611 | Transportation and Land Use Coordination Rail Volution Transportation and Land Use Project PDA Implementation TOD Policy Update PDA Assessment Bay Area Framework Guidelines Planning Regional Catalyst Projects analysis TOTAL | \$25,000 0 0 250,000 150,000 50,000 0 \$475,000 | \$25,000 0 0 250,000 150,000 50,000 0 \$475,000 | \$0 0 0 0 0 0 0 \$0 |
| 1613 | Road Maintenance & Rehab Acct Local & Regional climate change TOTAL | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 1618 | Affordable Mobility Pilot Program Affordable Mobility Pilot Program TOTAL | \$0 \$0 | \$0 \$0 | \$0 \$0 |
| 1612 | Climate Adaption Consulting (BARC) | \$121,000 | \$121,000 | \$0 |
| 106 | Legal Services | \$538,000 | \$538,000 | \$0 |
| | Total consultant contracts: | \$28,154,222 | \$28,454,222 | \$300,000 |

LTD Federal Grants Budget

Attachment B

| STP Grants | STP Grants | 1 | 2 | 3 = (1-2) | 4 | 5 | 6 | 7 = (3+4-5-6) |
|------------------------------------|---|---------------------------|----------------------------------|-------------------------|-------------------------|----------------------------|---------------------------------|-----------------------|
| | | LTD Grant thru FY 2018 | LTD Actual & Enc thru FY 2019 | Balance thru FY 2019 | New Grant FY 2019-20 | staff budget FY 2019-20 | Consultant budget FY 2019-20 | Balance FY 2019-20 |
| Grant # / Fund Source # | Project Description | | | | | | | |
| 6084-175 1801 | MTC Regional Planning | \$51,629,000 | \$51,535,245 | \$93,755 | | | | \$93,755 |
| 6084-176 1803 | 511 Grant | 32,500,000 | 32,487,662 | 12,338 | | | | 12,338 |
| 6084-179 1806 | Pavement Management | 6,000,000 | 5,965,814 | 34,186 | | | | 34,186 |
| 6084-180 1809 | FPI | 4,000,000 | 3,925,000 | 75,000 | | | | 75,000 |
| 6084-186 1812 | OBAG Regional PDA | 8,740,305 | 8,740,305 | - | | | | - |
| 6084-193 1816 | Arterial Operations | 2,500,000 | 2,497,517 | 2,483 | | | | 2,483 |
| 6084-198 1818 | Pavement Management | 6,000,000 | 4,043,644 | 1,956,356 | | 1,900,000 | | 56,356 |
| 6084-199 1819 | 511 Traveler Information | 8,750,000 | 8,634,911 | 115,089 | | | | 115,089 |
| 6084-201 1820 | Freeway Performance Initiative | 3,480,000 | 3,480,000 | - | | | | - |
| 6084-205 1822 | Pavement Management | 1,847,000 | 721,007 | 1,125,993 | | | | 1,125,993 |
| 6160-027 1823 | Incident Management | 517,000 | 480,300 | 36,700 | | | | 36,700 |
| 6084-206 1826 | CMA Planning | 56,932,000 | 55,732,000 | 1,200,000 | | 0 | | 1,200,000 |
| 6084-207 1827 | MTC Planning | 9,555,000 | 2,930,865 | 6,624,135 | 35,000 | 2,310,533 | 206,467 | 4,142,135 |
| 6084-213 1833 | 511 Next Generation | 11,226,000 | 6,239,204 | 4,986,796 | | | 4,406,000 | 580,796 |
| 6084-212 1834 | TMS Program | 2,910,000 | 447,818 | 2,462,182 | | 531,068 | | 1,931,114 |
| 6084-222 1835 | Incident Management | 4,160,000 | 531,028 | 3,628,972 | | 655,439 | | 2,973,533 |
| 6084-225 1836 | TMC Asset | 1,150,000 | 11,475 | 1,138,525 | | | 430,000 | 708,525 |
| 6084-228 1838 | Freeway Performance -SR 84 | 1,000,000 | 275,000 | 725,000 | | | | 725,000 |
| 6084-232 1839 | PDA Planning & Implementation | 8,550,000 | 2,389,923 | 6,160,077 | | 500,000 | 5,600,000 | 60,077 |
| 6084-226-1841 | Active Operational Management | 12,250,000 | 3,717,297 | 8,532,703 | 2,000,000 | 2,576,056 | 2,450,000 | 5,506,647 |
| 6084-227-1842 | Enhance Arterial: CATI | 7,000,000 | 6,211,608 | 788,392 | 3,915,000 | | 3,915,000 | 788,392 |
| 6084-230 1843 | Commuter Parking O&M | 2,500,000 | 72,888 | 2,427,112 | | | 2,427,112 | - |
| 6084-231 1844 | Freeway Performance - 1880 Corridor | 3,000,000 | 250,000 | 2,750,000 | | | 2,750,000 | - |
| 6084-233 1845 | Freeway Performance - 1680 Corridor | 14,000,000 | 14,000,000 | - | | | 0 | - |
| 6084-235 1846 | 1-880 Communications Infrastructure | 2,500,000 | 8,108 | 2,491,892 | | | 2,200,000 | 291,892 |
| New | 511 Implementation | | | | 5,700,000 | 1,504,410 | | 4,195,590 |
| New | Connected Automated Vehicles Projects | | | | 2,500,000 | | 2,500,000 | - |
| New | Commute Challenge | | | | 6,000,000 | | 6,000,000 | - |
| New | Transportation Management Systems | | | | 3,000,000 | | 3,000,000 | - |
| New | Bikeshare Program (New STP) | | | | 700,000 | 257,987 | | 442,013 |
| 6084-241 1847 | Shared Use Mobility | | | | 2,500,000 | | 2,500,000 | - |
| | | \$262,696,305 | \$215,328,620 | \$47,367,684 | \$26,350,000 | \$8,335,493 | \$40,284,579 | \$25,097,612 |
| CMAQ Grants | | | | | | | | |
| 6084-160 1589 | Arterial Operations | \$10,750,000 | \$10,541,843 | \$208,157 | \$0 | \$0 | \$0 | \$208,157 |
| 6160-018 1596 | Freeway Performance | 8,608,000 | 8,510,904 | 97,097 | | | | 97,097 |
| 6084-176 1804 | 511 Grant | 16,270,000 | 16,270,000 | - | | | | - |
| 6084-188 1814 | Regional Bicycle Program | 394,636 | 313,982 | 80,654 | | 67,000 | | 13,654 |
| 6084-202 1824 | Climate Initiatives | 1,300,000 | 704,610 | 595,390 | | | 200,000 | 395,390 |
| 6084-209 1825 | Operate Car Pool Program | 8,000,000 | 2,295,219 | 5,704,781 | | 218,910 | 1,550,000 | 3,935,871 |
| 6084-211 1828 | Commuter Benefits Implementation | 1,379,000 | 470,803 | 908,197 | | 128,105 | 240,000 | 540,092 |
| 6084-210-1829 | Incident Management | 19,478,000 | 2,198,799 | 17,279,201 | | | 17,200,000 | 79,201 |
| 6084-215 1830 | Spare the Air Youth Program | 2,463,000 | 2,451,768 | 11,232 | | | | 11,232 |
| 6084-216 1831 | Arterial/Transit Performance/Rideshare | 5,000,000 | 1,812,750 | 3,187,250 | | | 3,000,000 | 187,250 |
| 6084-208 1832 | Vanpool Program | 2,000,000 | 251,000 | 1,749,000 | | | 500,000 | - |
| 6084-220 1837 | 1-880 ICM Central Segment | 1,142,000 | 14,235 | 1,127,765 | | | 1,127,765 | 0 |
| 6084-219 1840 | BBF West Grand TSP | 1,000,000 | 1,000 | 999,000 | | | 999,000 | - |
| 6084-243 1849 | Targeted Transportation Alternatives | | | 325,000 | | | 325,000 | - |
| New | Freeway Performance Impl. I-580 | | | | 5,000,000 | | 2,500,000 | 2,500,000 |
| New | Freeway Performance Impl. SR-37 / Other | | | | 18,000,000 | | 1,000,000 | 17,000,000 |
| New | 1880 Central Segment Project Study | | | | 8,840,000 | | 1,000,000 | 7,840,000 |
| 6084-242 1848 | Regional Car Sharing | | | 1,200,411 | | | 1,200,411 | - |
| New | Freeway Performance Impl. US 101 | | | | 3,000,000 | | 3,000,000 | - |
| New | Climate Initiatives | | | 10,875,000 | | | 10,875,000 | - |
| | | \$77,784,636 | \$45,836,913 | \$44,348,134 | \$34,840,000 | \$414,015 | \$44,717,176 | \$32,807,944 |
| FTA GRANTS | | | | | | | | |
| CA57-X023 1623 | New Freedom | \$1,545,232 | \$1,462,654 | \$82,578 | \$0 | \$0 | \$0 | \$82,578 |
| CA37-X104 1625 | JARC | 2,654,120 | 2,654,120 | (0) | | | | (0) |
| CA37-X133 1627 | JARC | 1,004,559 | 874,366 | 130,193 | | | | 130,193 |
| CA37-X164 1629 | JARC | 805,190 | 805,190 | 0 | | | | 0 |
| CA37-X177 1630 | JARC | 2,430,952 | 1,868,961 | 561,991 | | | 300,000 | 261,991 |
| CA34-X001 1631 | FTA 5339 - Bus Purchases | 10,506,277 | 10,506,277 | - | | | | - |
| CA57-X109 1632 | New Freedom | 1,383,631 | 1,283,375 | 100,256 | | | | 100,256 |
| CA34-0024 1633 | FTA 5339 - Bus Purchases | 12,240,015 | 11,962,726 | 277,289 | | | | 277,289 |
| CA34-0032 1634 | FTA 5339 - Bus Purchases | 11,515,172 | 11,242,155 | 273,017 | | | | 273,017 |
| | | \$44,085,148 | \$42,659,824 | \$1,425,324 | \$0 | \$0 | \$300,000 | \$1,125,324 |
| Other Grants | | | | | | | | |
| SHA 6084-184 1112 | FHWA - SHRP2 | \$700,000 | \$692,354 | \$7,646 | \$0 | | \$0 | \$7,646 |
| 16-X065-00 1635 | FTA 5310 | 347,000 | 247,000 | 100,000 | | | 100,000 | - |
| G16AP00172 1312 | USGS National Grant - G16AC00172 | 42,031 | 33,884 | 8,147 | | | | 8,147 |
| G15AP00118 1313 | USGS National Grant - G15AC00118 | 12,500 | 11,812 | 688 | | | | 688 |
| G17AC00239 1315 | USGS National Grant - G17AC00136 | 50,000 | 48,868 | 1,132 | | | | 1,132 |
| G140CC0318P 1316 | USGS National Grant - G140G0318P0151 | 24,400 | 24,400 | - | | | | - |
| BF-99T455 1340 | Environmental Protection Agency (EPA) | 1,074,579 | 516,989 | 557,590 | | | | 557,590 |
| CA000007-01 1342 | Environmental Protection Agency (EPA) | 1,200,000 | 457,600 | 742,400 | | 250,000 | | 492,400 |
| EMF2016 1372 | Federal Emergency Management Agency | 299,221 | 183,077 | 116,144 | | | | 116,144 |
| CARB 2404 | California Air Resources Board | 2,250,000 | 973,820 | 1,276,180 | | | | 1,276,180 |
| 14-003 2800 | Coastal Conservancy | 726,931 | 485,536 | 241,395 | 21,992 | | 263,387 | - |
| 10-092 2801 | Coastal Conservancy | 1,314,909 | 749,142 | 565,767 | | 175,000 | 185,000 | 205,767 |
| North Bay 5007 | Rockefeller Philanthropy Advisors | | | 3,961 | | | | 3,961 |
| New | LCTOP - Cap. & Trade | | | | 4,800,000 | | 4,800,000 | - |
| New | SSARP Planning Grant | | | 500,000 | | | 500,000 | - |
| New | State Coastal Conservancy Prop. 68 | | | | 1,400,000 | | 1,400,000 | - |
| New | State Coastal Conservancy Prop. 68 | | | | 600,000 | | 600,000 | - |
| New | FEMA | | | 300,000 | | 175,000 | 100,000 | 25,000 |
| New | USGS National Grant | | | 75,000 | | | | 75,000 |
| | | \$8,041,571 | \$4,424,482 | \$4,496,050 | \$6,821,992 | \$600,000 | \$7,948,387 | \$2,769,655 |
| Total Federal Grants Budget | | \$392,607,660 | \$308,249,839 | \$97,637,193 | \$68,011,992 | \$9,349,508 | \$93,250,142 | \$61,800,535 |

CONTRACTUAL SERVICES DETAIL Federal Grants

| Work Element | Description/Purpose | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./(Dec) |
|--------------|--|---------------------------|---------------------------|-------------------------|
| 1112 | Implement Public Information Program | | | |
| | Bike to Work Day | \$200,000 | \$200,000 | \$0 |
| | TOTAL | \$200,000 | \$200,000 | \$0 |
| 1125 | Non-Motorized Transportation | | | |
| | Active Transportation Plan | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1127 | Regional Trails | | | |
| | Water Trail Environmental Services | \$0 | \$0 | \$0 |
| | Goodrick Ave Bay Trail construction Project | 0 | 130,000 | 130,000 |
| | Carquinez Strait Scenic Loop Trail Feasibility Study Project | 0 | 133,387 | 133,387 |
| | Water Trail Block Grant #1 | 0 | 185,000 | 185,000 |
| | San Francisco Bay Trail Block Grant #6 | 3,000,000 | 1,400,000 | (1,600,000) |
| | Water Trail Block Grant #2 | 2,000,000 | 600,000 | (1,400,000) |
| | TOTAL | \$5,000,000 | \$2,448,387 | (\$2,551,613) |
| 1128 | Resilience and Hazards Planning | | | |
| | Environmental Protection Task | \$0 | \$0 | \$0 |
| | Hazard Resilience Policy & planning | 100,000 | 100,000 | 0 |
| | TOTAL | \$100,000 | \$100,000 | \$0 |
| 1222 | Regional Rideshare Program | | | |
| | 511 Program Operations | \$0 | \$0 | \$0 |
| | Turn key vanpool services in Bay Area | 500,000 | 500,000 | 0 |
| | Rideshare: Employer Services (CMAs) | 0 | 0 | 0 |
| | SB 1128 | 240,000 | 240,000 | 0 |
| | Regional Carpool Program | 1,550,000 | 1,550,000 | 0 |
| | TOTAL | \$2,290,000 | \$2,290,000 | \$0 |
| 1223 | Operational Support for Regional Programs | | | |
| | 1-880 Communications Upgrade | \$2,200,000 | \$2,200,000 | \$0 |
| | Transportation Management Systems | 0 | 3,000,000 | 3,000,000 |
| | TMC programs and related infrastructure | 430,000 | 430,000 | 0 |
| | TOTAL | \$2,630,000 | \$5,630,000 | \$3,000,000 |
| 1224 | Regional Traveler Information | | | |
| | 511 Web Services | \$1,322,000 | \$1,322,000 | \$0 |
| | 511 System Integrator | 1,942,000 | 1,942,000 | 0 |
| | Technical Advisor Services | 25,000 | 25,000 | 0 |
| | 511 Communications | 122,000 | 122,000 | 0 |
| | 511 TIC Operations | 995,000 | 995,000 | 0 |
| | 511 ETC Removal | 0 | 0 | 0 |
| | TOTAL | \$4,406,000 | \$4,406,000 | \$0 |
| 1233 | Pavement Management System | | | |
| | Software Training Support | \$300,000 | \$300,000 | \$0 |
| | P-TAP Projects | 1,600,000 | 1,600,000 | 0 |
| | Safety / Asset Management Planning | 500,000 | 500,000 | 0 |
| | TOTAL | \$2,400,000 | \$2,400,000 | \$0 |
| 1234 | Arterial and Transit Performance | | | |
| | Program for Arterial System | \$0 | \$0 | \$0 |
| | Arterial Operations Pass | 3,000,000 | 3,000,000 | 0 |
| | Arterial Operations IDEA CAT 1&2 | 3,915,000 | 3,915,000 | 0 |
| | TOTAL | \$6,915,000 | \$6,915,000 | \$0 |
| 1235 | Incident Management | | | |
| | I-880 Central Segment Project Study Report | \$1,127,765 | \$1,127,765 | \$0 |
| | I-880 ICM | 18,200,000 | 18,200,000 | 0 |
| | TOTAL | \$19,327,765 | \$19,327,765 | \$0 |
| 1238 | Technology-Based Operations & Mobility | | | |
| | Technology-Based Operations & Mobility | \$0 | \$0 | \$0 |
| | Commute Challenge | 6,000,000 | 6,000,000 | 0 |
| | Connected Automated Vehicles Projects | 2,500,000 | 2,500,000 | 0 |
| | Shared Use Mobility | 2,500,000 | 2,500,000 | 0 |
| | TOTAL | \$11,000,000 | \$11,000,000 | \$0 |
| 1310 | Implement Lifeline Transportation Program | | | |
| | Coordinated Plan Implementation Activities | \$100,000 | \$100,000 | \$0 |
| | Lifeline transportation project | 300,000 | 300,000 | 0 |
| | TOTAL | \$400,000 | \$400,000 | \$0 |
| 1311 | Planning for Lifeline Transportation Program | | | |
| | Coordinated Plan Implementation Activities | \$0 | \$0 | \$0 |
| | Means Based Fare Program | 4,800,000 | 4,800,000 | 0 |
| | TOTAL | \$4,800,000 | \$4,800,000 | \$0 |
| 1413 | Climate Initiative | | | |
| | Climate Initiatives OBAG 2 | \$10,875,000 | \$10,875,000 | \$0 |
| | Targeted Transportation Alternatives Project | 325,000 | 325,000 | 0 |
| | Regional Car Sharing | 1,200,411 | 1,200,411 | 0 |
| | TOTAL | \$12,400,411 | \$12,400,411 | \$0 |
| 1512 | Federal TIP Development | | | |
| | Busses replacements | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1618 | Affordable Mobility Pilot Program | | | |
| | Affordable Mobility Pilot Program | \$0 | \$0 | \$0 |
| | TOTAL | \$0 | \$0 | \$0 |
| 1611 | Transportation and Land Use Coordination | | | |
| | BCDC STP | 206,467 | 206,467 | \$0 |
| | CMAs - STP | 0 | 0 | 0 |
| | PDA Implementation Studies | 500,000 | 500,000 | 0 |
| | PDA Planning Grant | 5,100,000 | 5,100,000 | 0 |
| | TOTAL | \$5,799,467 | \$5,806,467 | \$0 |
| 1612 | Climate Adaption Consulting (BARC) | | | |
| | Climate Adaption Consulting (BARC) | \$0 | \$0 | \$0 |
| | Total Federal funded Consultants before BBF | \$77,668,643 | \$78,124,030 | \$448,387 |

BAY AREA FORWARD PROJECT

Performance Monitoring & Tools
 Richmond Access to Richmond bridge
 Bay/Dumbarton/Richmond-San Rafael Bridges
 Bay Bridge Forward Commuter Parking Initiative
 Design Alternative Assessments/Corridor Studies
 Bay Bridge Forward Implementation
 Freeway Performance Implementation
Total Bay Bridge Forward

| |
|---------------------|
| \$450,000 |
| 0 |
| 0 |
| 2,427,112 |
| 2,000,000 |
| 999,000 |
| 9,250,000 |
| \$15,126,112 |

| |
|---------------------|
| \$450,000 |
| 0 |
| 0 |
| 2,427,112 |
| 2,000,000 |
| 999,000 |
| 9,250,000 |
| \$15,126,112 |

| |
|------------|
| \$0 |
| 0 |
| 0 |
| 0 |
| 0 |
| 0 |
| 0 |
| \$0 |

Total Federal funded Consultants after BBF

| |
|---------------------|
| \$92,794,755 |
|---------------------|

| |
|---------------------|
| \$93,250,142 |
|---------------------|

| |
|------------------|
| \$448,387 |
|------------------|

Attachment C

| Clipper Operating: | Amendment 1 FY 2019-20 | Amendment 2 FY 2019-20 | Change \$ Inc./(Dec) |
|--|-----------------------------------|-----------------------------------|---------------------------------|
| Revenue: | | | |
| RM2 | \$3,000,000 | \$3,000,000 | \$0 |
| STA | 9,645,579 | 9,645,579 | (0) |
| Inactive Accounts | 2,960,359 | 2,960,359 | 0 |
| Transit Operators | 22,057,500 | 22,057,500 | 0 |
| Total clipper operating Revenue | \$37,663,438 | \$37,663,438 | (\$0) |
| Expenses: | | | |
| Staff cost | \$1,152,346 | \$1,152,346 | (\$0) |
| Travel & Other General Ops. | 93,233 | 93,233 | 0 |
| Promotion/Outreach/Fare Inc. | 3,000,000 | 3,000,000 | 0 |
| Clipper Operations | 33,417,859 | 33,417,859 | 0 |
| Total clipper operating Expense | \$37,663,438 | \$37,663,438 | (\$0) |

| Clipper 1 Capital: | LTD Budget Thru FY2019-20 | Amended Budget FY 2019-20 | LTD Budget Thru FY2019-20 |
|--|--------------------------------------|--------------------------------------|--------------------------------------|
| Revenue: | | | |
| CMAQ | \$66,669,515 | \$0 | \$66,669,515 |
| Card Sales | 17,951,267 | 0 | 17,951,267 |
| Low Carbon Transit Operations (LCTOP) | 7,777,971 | 0 | 7,777,971 |
| ARRA | 11,167,891 | 0 | 11,167,891 |
| FTA | 14,072,565 | 0 | 14,072,565 |
| STP | 31,790,753 | 0 | 31,790,753 |
| STA | 21,946,540 | 0 | 21,946,540 |
| Prop 1B | 1,115,383 | 0 | 1,115,383 |
| SFMTA | 8,005,421 | 0 | 8,005,421 |
| GGGHTD | 2,975,000 | 0 | 2,975,000 |
| BART | 725,000 | 0 | 725,000 |
| MTC Exchange Fund | 7,573,878 | 0 | 7,573,878 |
| BATA | 26,864,813 | 0 | 26,864,813 |
| Transit Operators | 10,279,437 | 0 | 10,279,437 |
| WETA | 603,707 | 0 | 603,707 |
| Sales Tax | 890,216 | 0 | 890,216 |
| Total Clipper 1 capital Revenue | \$230,409,357 | \$0 | \$230,409,357 |
| Expense: | | | |
| Staff Costs | \$13,831,306 | \$0 | \$13,831,306 |
| Travel | 3,208 | 0 | 3,208 |
| Pilot Equipment Maintenance | 3,093,834 | 0 | 3,093,834 |
| Transit Agency Funded Projects | 10,333,144 | 0 | 10,333,144 |
| Design | 54,690,574 | 0 | 54,690,574 |
| Site Preparation | 3,899,437 | 0 | 3,899,437 |
| Construction | 21,867,682 | 0 | 21,867,682 |
| Consultants | 28,572,623 | 0 | 28,572,623 |
| Engineering | 7,953,061 | 0 | 7,953,061 |
| Communications | 1,583,000 | 0 | 1,583,000 |
| Marketing | 2,212,029 | 0 | 2,212,029 |
| Financial Services | 391,600 | 0 | 391,600 |
| Equipment | 49,226,873 | 0 | 49,226,873 |
| Clipper Cards | 26,240,095 | 0 | 26,240,095 |
| Other | 6,510,891 | 0 | 6,510,891 |
| Total Clipper 1 Expense | \$230,409,357 | \$0 | \$230,409,357 |

| Clipper 2 Capital: | LTD Budget Thru FY2019-20 | Amendment 2 FY 2019-20 | LTD Budget Thru FY2019-20 |
|--|--------------------------------------|-----------------------------------|--------------------------------------|
| Revenue: | | | |
| STP | \$4,569,554 | \$0 | \$4,569,554 |
| FTA | 10,078,133 | 0 | 10,078,133 |
| Toll Bridge | 23,000,000 | 0 | 23,000,000 |
| OBAG 2 | 34,000,000 | 0 | 34,000,000 |
| Prop 1B/LCTOP | 4,000,000 | 0 | 4,000,000 |
| FTA Funds | 22,684,772 | 0 | 22,684,772 |
| FTA Funds shifted from C1 to C2 | 13,140,784 | 0 | 13,140,784 |
| CMAQ Funds shifted from C1 to C2 | 2,034,320 | 0 | 2,034,320 |
| STP Funds shifted from C1 to C2 | 5,747,333 | 0 | 5,747,333 |
| Transit Operators Funds shifted C1 to C2 | 4,077,563 | 0 | 4,077,563 |
| Projected FTA/FHWA Funds | 88,000,000 | 0 | 88,000,000 |
| Golden Gate Pass through | 5,000,000 | 0 | 5,000,000 |
| Low Carbon Transit Operations (LCTOP) | 0 | 0 | 0 |
| BATA | 260,000 | 0 | 260,000 |
| STA | 2,410,841 | 0 | 2,410,841 |
| Total Clipper 2 Revenue | \$219,003,300 | \$0 | \$219,003,300 |
| Expense: | | | |
| Staff Costs | \$8,914,278 | \$852,863 | \$9,767,141 |
| Equipment | 7,591,903 | 0 | 7,591,903 |
| Consultants | 175,776,496 | 0 | 175,776,496 |
| Sales Taxes | 4,250,000 | 0 | 4,250,000 |
| Contingency | 22,470,623 | (852,863) | 21,617,760 |
| Total Clipper 2 Expense | \$219,003,300 | \$0 | \$219,003,300 |



Metropolitan Transportation Commission

375 Beale Street, Suite 800
San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0074 **Version:** 1 **Name:**

Type: Report **Status:** Agenda Ready

File created: 12/19/2019 **In control:** Administration Committee

On agenda: 1/8/2020 **Final action:**

Title: Closed Session - CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION

The Committee will meet in closed session pursuant to Government Code Section 54956.9(a) and paragraph (2) of subdivision (d) of Government Code Section 54956.9 to confer with counsel regarding significant exposure to litigation: 1 case.

Sponsors:

Indexes:

Code sections:

Attachments:

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:

Closed Session - CONFERENCE WITH LEGAL COUNSEL - ANTICIPATED LITIGATION

The Committee will meet in closed session pursuant to Government Code Section 54956.9(a) and paragraph (2) of subdivision (d) of Government Code Section 54956.9 to confer with counsel regarding significant exposure to litigation: 1 case.



Metropolitan Transportation Commission

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San Francisco, CA 94105

Legislation Details (With Text)

File #: 20-0075 **Version:** 1 **Name:**
Type: Report **Status:** Committee Approval
File created: 12/19/2019 **In control:** Administration Committee
On agenda: 1/8/2020 **Final action:**
Title: Open Session

Sponsors:

Indexes:

Code sections:

Attachments:

| Date | Ver. | Action By | Action | Result |
|------|------|-----------|--------|--------|
|------|------|-----------|--------|--------|

Subject:
Open Session

Recommended Action:
Committee Approval