

## Metropolitan Transportation Commission Programming and Allocations Committee

December 9, 2020

Agenda Item 2e - 20-1607

### MTC Resolution No. 4440

**Subject:** Allocation of \$43 million of State Transit Assistance-State of Good Repair (STA-SGR) funds to MTC and transit operators for projects approved by the State Department of Transportation (Caltrans).

**Background:** The Road Repair and Accountability Act of 2017, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017), created the State of Good Repair Account within the State Transit Assistance Program. The State Controller provides the estimate of funding available by operator in accordance with PUC Section 99314 (Revenue-based share) and to the MTC region in accordance with PUC Section 99313 (Population-based share). Approximately \$31.5 million is expected in revenue-based funds, along with \$11.6 million in population-based funds and these amounts are included in the MTC Fund Estimate (MTC Resolution 4360, Revised).

MTC compiled and submitted the FY 2020-21 STA-SGR proposed project list for Caltrans' review through Resolution 4434 in July 2020 and the CalSMART grant system. Caltrans has approved the projects and now MTC proposes to allocate funds to the approved projects as required by statute. The projects for both Caltrain and Union City are different than those in MTC Resolution 4434 based on revised submittal of the operators and approval by Caltrans.


Funding recipients are responsible for working directly with Caltrans to deliver their projects and meet the program guidelines. Funds will be allocated to a variety of projects to improve the state of good repair of the Bay Area's transit systems – see Attachment A to this resolution for the complete list of projects and allocations.

Most operators will use their revenue-based funds for state of good repair projects of equipment or facilities, to provide local match for projects funded with other state or federal funds, or for the rehabilitation or purchase of public transit vehicles. In accordance with the policy set forth in MTC Resolution 4321, the population-based STA-SGR funds are allocated to MTC to assist in the delivery of the Next Generation Clipper® system.

**Issues:** In response to the COVID-19 pandemic and contraction of funding for transit operations, in September 2020, AB 107 was signed into law to temporarily expand the eligible uses of STA-SGR funds. For fiscal years 2019-20 to 2021-22, transit agencies may use STA-SGR funds for any operating or capital costs necessary to maintain transit service levels. It is unknown if any operators will shift their proposed use of these funds in response to this increased flexibility although several are exploring this option. If they do, MTC and Caltrans will review and approve eligible projects and update this resolution, as necessary. Many operators already use STA-SGR funds for preventative maintenance which is considered an operating expense.

**Recommendation:** Refer MTC Resolution No. 4440 to the Commission for approval.

**Attachments:** MTC Resolution No. 4440

  
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Therese W. McMillan

Date: December 9, 2020  
W.I.: 1514  
Referred by: PAC

## ABSTRACT

### Resolution No. 4440, Revised

This resolution approves the allocation of State Transit Assistance State of Good Repair (STA-SGR) funds for fiscal year 2020-21.

This resolution allocates STA-SGR funds to MTC and to transit operators in the MTC region with approved projects in accordance with the program guidelines developed by the State Department of Transportation as the program administrator.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated December 9, 2020.

Date: December 16, 2020  
W.I.: 1514  
Referred by: PAC

Re: Allocation of Fiscal Year 2020-21 State Transit Assistance State of Good Repair funds to recipients in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4440

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Road Repair and Accountability Act of 2017, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017) created a Transportation Improvement Fee, Revenue and Taxation Code Section 11053(a), for allocation under the State Transit Assistance Program; and

WHEREAS, the Road Repair and Accountability Act of 2017, SB 1 (Chapter 5, Statutes of 2017) created the State of Good Repair Program within the State Transit Assistance Program, Public Utilities Code Section, 99312.1(c)

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(a), MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99312.2(b)(2), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted projects to the State Department of Transportation for approval as required by Public Utilities Code Section 99312.1(d) and the State Department of Transportation has approved those projects for allocation of fiscal year 2020-21 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2020-21 allocations requested by claimants, and may be from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; now, therefore, be it

RESOLVED, that MTC approves the allocation of fiscal year 2020-21 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution;

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan; and, be it further

RESOLVED, this resolution incorporates any revisions to the TDA, either by statute or regulation, made hereafter; and, be it further

RESOLVED, that the Executive Director is authorized to make programming changes to Attachment A, up to \$1 million for each project, in consultation with the affected sponsor and the State Department of Transportation if approved by the State Department of Transportation.

METROPOLITAN TRANSPORTATION COMMISSION

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Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, and other remote locations on December 16, 2020.

**ALLOCATION OF STATE TRANSIT ASSISTANCE - STATE OF GOOD REPAIR FUNDS  
FISCAL YEAR 2020-21**

Recipients are responsible for meeting program guidelines and requirements adopted by Caltrans such as annual reporting and fiscal audit. Allocation amounts below are estimated. Final allocation amounts and disbursements will be based on actual funds received from the State of California. If funds received from the State are less than the allocated amount, the allocation will be reduced

<b>Recipient</b>	<b>FY 2020-21 Project</b>	<b>Allocation</b>	<b>Alloc. No.</b>	<b>Approval Date</b>
<i>Population-based Share</i>				
MTC	Clipper/Clipper 2.0	\$ 11,522,035	01	12/16/20
		<b>Population-based Total: \$ 11,522,035</b>		
<i>Revenue-based Share</i>				
AC Transit	FY 2020-21 STA-SGR Projects <i>Replace Underground Storage Tanks at Division 2 Replace Underground Storage Tanks at Central Maintenance Facility Repair and replace roof at Division 2</i>	\$ 4,007,573	02	12/16/20
BART	Preventative Maintenance and Renovation of Various BART District-wide Systems	\$ 6,279,872	03	12/16/20
SFMTA	FY 2020-21 STA-SGR Projects <i>SFMTA Facilities SGR Project FY20-21 SFMTA Fixed Guideway SGR Project FY20-21</i>	\$ 10,705,693	04	12/16/20
CCCTA	ITS Security Maintenance	\$ 131,016	05	12/16/20
ECCTA	Maintenance Software Replacement	\$ 63,344	06	12/16/20
Fairfield	Corporation Yard Transit Fleet Electrification <i>Fairfield Share (\$23,248), Dixon Share (\$1279), Vacaville Share (\$4161)</i>	\$ 28,688	07	12/16/20
GGBHTD	New Passenger Ferry Vessel Acquisition	\$ 1,433,937	08	12/16/20

<b>Recipient</b>	<b>FY 2020/21 Project</b>	<b>Allocation</b>	<b>Alloc. No.</b>	<b>Approval Date</b>
LAVTA	Hybrid Bus Battery Pack Replacement	\$ 62,845	09	12/16/20
Marin Transit	Replace Nine Shuttle vehicles	\$ 245,064	10	12/16/20
NVTA	Vine Transit Bus Maintenance Facility	\$ 17,792	11	12/16/20
PCJPB (Caltrain)	Marin Street Bridge Maintenance and Napoleon Street Bridge Replacement	\$ 1,494,397	12	12/16/20
PCJPB (Caltrain)	FY 2020-21 STA-SGR Projects <i>(VTA Share)</i> <i>Right of Way Fencing</i> <i>Guadalupe River Bridge Replacement</i> <i>San Francisquito Creek Bridge Replacement</i> <i>Caltrain Communication System</i> <i>Rail Network Annual Maintenance</i> <i>TVM Upgrade Phase 4</i> <i>Clipper CID Installation and Relocation Plan and Construction</i> <i>Stations SOGR</i> <i>CCF Crew Quarters Roof Replacement and Trailer Repairs</i> <i>Clipper Next Gen Validators Site Prep</i> <i>FY21 Rehab and Rehabilitation</i>	\$ 4,542,653	13	12/16/20
PCJPB (Caltrain)	FY21 Rehab and Rehabilitation <i>(VTA-ACE Share)</i>	\$ 26,550	14	12/16/20
Petaluma	Preventative Maintenance	\$ 7,634	15	12/16/20
WETA	Replacement Vehicle for the M/V Bay Breeze	\$ 407,496	16	12/16/20
SamTrans	Onboard WiFi equipment and AVL	\$ 1,498,783	17	12/16/20
Santa Rosa	Preventive Maintenance of Fixed-Route fleet	\$ 25,652	18	12/16/20
Solano County Transit	Mid-Life Battery Refresh for Two Diesel Hybrid Buses <i>Solano County Transit Share (\$54,641), Rio Vista Share (\$407)</i>	\$ 55,048	19	12/16/20
County of Sonoma	Transit Facility Rehabilitation	\$ 35,733	20	12/16/20
SMART	SMART Capital Spare Parts	\$ 309,801	21	12/16/20
Union City	Radio Upgrade and Replacement	\$ 19,413	22	12/16/20
WCCTA	Local Match to Purchase Replacement Revenue Vehicles	\$ 83,095	23	12/16/20
ACE- ACTC Share	Railcar Midlife Overhaul	\$ 46,019	24	12/16/20

**Revenue-based Total: \$ 31,528,098**