

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

July 13, 2016

Agenda Item 4a

**Resolution Nos. 4220, Revised, 4229, Revised, 4230, Revised, 4231, Revised, and 4232**

**Subject:** Revises the FY 2016-17 Fund Estimate and allocates \$292 million in FY 2016-17 Transportation Development Act (TDA) funds, State Transit Assistance (STA) funds, Assembly Bill 1107 (AB1107) funds, and Regional Measure 2 (RM2) operating and capital funds to several transit operators to support transit operations and capital projects in the region.

**Background:**           **1) Fund Estimate Revision**

- **Reconcile Actual FY 2015-16 TDA and AB 1107 Revenue:** Overall, actual Bay Area TDA and AB 1107 sales tax receipts for FY 2015-16 are 1% and 4% higher, respectively, than originally estimated by County Auditors and MTC in February 2015. This results in roughly \$7.2 million in additional funding for Bay Area transit operators for FY 2015-16. However, rescissions will be needed for operators in four counties with lower than anticipated receipts to bring allocations in line with actual receipts as described below. Rescissions made in a county may be less than the negative revenue adjustment for that county due to remaining balances from previous years for an apportionment jurisdiction.
  - Contra Costa County’s actual TDA receipts are 2% below the original County Auditor estimate, resulting in rescissions for AC Transit and WestCAT totaling approximately \$132,000.
  - Marin County’s actual TDA receipts are slightly below the original County Auditor estimate, resulting in rescissions for Golden Gate Transit totaling approximately \$20,000.
  - San Francisco County’s actual TDA receipts are 3% below the original County Auditor estimate, and 10% lower than their revised estimates that were issued in February 2016, resulting in rescissions for the S.F. Municipal Transportation Agency (SFMTA) totaling approximately \$1.2 million.
  - Sonoma County’s actual TDA receipts are 4% below the original County Auditor estimate, resulting in rescissions for Golden Gate Transit totaling approximately \$211,000.

**Table 1: FY 2015-16 TDA and AB 1107 Revenues (\$ millions)**

County	FY 2015-16 Feb. 2015 Estimate	FY 2015-16 Actual Revenue	FY 2015-16 Revenue Adjustment - \$	FY 2015-16 Revenue Adjustment - %
Alameda	\$73.5	\$75.5	\$1.9	2.6%
Contra Costa	\$40.1	\$39.3	(\$0.8)	-2.1%
Marin	\$12.7	\$12.7	(\$0.02)	-0.2%
Napa	\$7.6	\$8.3	\$0.7	9.3%
San Francisco	\$48.4	\$47.1	(\$1.3)	-2.7%
San Mateo	\$36.9	\$39.0	\$2.0	5.5%
Santa Clara	\$102.3	\$104.7	\$2.4	2.3%
Solano	\$17.4	\$17.6	\$0.2	1.3%
Sonoma	\$22.9	\$21.9	(\$1.0)	-4.2%
<b>Total TDA</b>	<b>\$361.9</b>	<b>\$366.1</b>	<b>\$4.2</b>	<b>1.2%</b>
<b>Total AB 1107</b>	<b>\$77.6</b>	<b>\$80.5</b>	<b>\$3.0</b>	<b>3.8%</b>

- Final FY 2015-16 STA Revenue:** Revenue for the STA Revenue-Based program has been a point of significant uncertainty during FY 2015-16 as the State Controller’s Office (SCO) altered the program’s policies earlier this year. In response to those changes the California Transit Association and MTC worked to develop a temporary legislative fix, in the form of language included in a FY 2016-17 state budget trailer bill, to the problems created due to the SCO’s changes. As of this writing the budget trailer bill is pending approval by the Legislature. The trailer bill was expected to be adopted by the end of June, however due to complications with an unrelated part of the overall transportation trailer bill staff now expects the trailer bill to be signed into law by September. Staff anticipates presenting a revision to the FY 2016-17 Fund Estimate reflecting the final FY 2015-16 STA revenue and distribution shares as well as updated FY 2016-17 distribution estimates at the Programming and Allocation Committee’s September meeting.

**2) New Allocations to Transit Agencies**

- This month’s proposed actions continue the annual allocation process of these funds for FY2015-16. Entities requesting TDA, STA, AB1107 and RM2 allocations this month that exceed the \$1 million delegated authority limit are identified in the table below. Allocation requests that are less than \$1.0 million are approved separately through the Executive Director’s Delegated Authority process. The allocation requests are consistent with the adopted MTC Fund Estimate (Resolution 4220, Revised for TDA, STA and AB1107 funds) and the RM2 Operating Program (MTC Resolution 4228). Allocation requests, greater than \$1.0 million, are summarized below:

**Table 2: July 2016 Allocations**

Transit Operator/ Claimant	TDA Resolution No. 4230	STA Resolution No. 4231	AB 1107 Resolution No. 4232	RM2 Resolution No. 4229	Total
AC Transit	\$66,711,827	\$7,917,266	\$40,374,920	\$12,578,503	<b>\$127,582,516</b>
LAVTA	\$9,433,761	\$0	\$0	\$0	<b>\$9,433,761</b>
SFMTA	\$47,721,539	\$29,044,960	\$40,374,920	\$2,500,000	<b>\$119,641,419</b>
Soltrans	\$7,108,060	\$0	\$0	\$0	<b>\$7,108,060</b>
Sonoma County	\$8,555,069	\$0	\$0	\$0	<b>\$8,555,069</b>
Tri Delta Transit (ECCTA)	\$10,924,502	\$3,564,581	\$0	\$0	<b>\$14,489,083</b>
WestCat	\$2,290,427	\$2,522,198	\$0	\$0	<b>\$4,812,625</b>
<b>Total</b>	<b>\$152,745,185</b>	<b>\$43,049,005</b>	<b>\$80,749,840</b>	<b>\$15,078,503</b>	<b>\$291,622,533</b>

Information regarding the operating budgets of the above operators is provided in Attachment A. As reported in June, operators are beginning to strategically expand service. SFMTA, in particular, is expanding

service 3% overall in FY2016-17 and also had a service expansion in FY2015-16 as part of its Muni Forward project. LAVTA and SolTrans are planning 4% increases in services. AC Transit and WestCAT plan to implement significant service increases to respond to growing demand and supported by new funding.

By the end of FY2016-17, the seven largest seven transit operators are required to achieve a 5 percent cost reduction in one of three performance measures—Cost per Revenue Hour, Cost per Passenger or Cost per Passenger Mile—as approved in the Transit Sustainability Project (TSP). Staff will report on the progress of these operators toward achieving the reductions in the Fall. In Fall 2015, staff reported that all seven large operators (AC Transit, BART, Caltrain, Golden Gate, SamTrans, SFMTA, and SCVTA) have achieved a 5% reduction, but that in general operators aren't seeing significant reduction in operating cost per hour. Rather, most operators are achieving the 5% performance target due to strong ridership gains during the economic recovery.

**Recommendation:** Refer MTC Resolution Nos. 4220, Revised, 4229, Revised, 4230, Revised, 4231, Revised, and 4232 to the Commission for approval.

**Attachments:** Attachment A – Transit Operator Budget Summary.  
MTC Resolution Nos. 4220, Revised, 4229, Revised, 4230, Revised, 4231, Revised, and 4232

**Attachment A – Transit Operator Budget Summary**

Page 1 of 3

<b>Operator</b>	<b>FY2015-16 Operating Budget</b>	<b>FY2016-17 Operating Budget</b>	<b>% Change</b>	<b>Revenue Vehicle Hours % Increase</b>	<b>FY2016-17 Operating Request*</b>	<b>Allocation Request as a % of Operating Budget</b>	<b>Highlight of FY2016-17 Budgets</b>
<b>AC Transit</b>	\$375,251,766	\$394,332,433	5.1%	7.0%	\$130,076,635	33.3%	<ul style="list-style-type: none"> <li>Local service will be expanded largely as a result of Measure BB in Alameda County and will cost \$9 million in FY2016-17. Measure BB revenue is estimated at \$30 million annually.</li> <li>Farebox revenue is projected to increase 3% due to service increases. Ridership has begun to plateau in late 2015 likely due to falling gas prices.</li> </ul>
<b>LAVTA</b>	\$ 16,383,346	\$ 17,323,889	5.7%	1.0%	\$ 12,012,120	69.3%	<ul style="list-style-type: none"> <li>LAVTA completed its Comprehensive Operational Analysis of its fixed route service and will implement changes in FY2016-17. LAVTA will conduct its first long-range transit plan that will provide a performance based blueprint of improvements for the next 30 years.</li> <li>Paratransit costs are increasing over 30%, but revenue vehicle hours and miles are only increasing 5%. A change in contractor coupled with poor record keeping accounts for the rise in cost.</li> <li>LAVTA will begin a shared autonomous vehicle shuttle demonstration and a rideshare pilot program in early 2017.</li> </ul>
<b>SFMTA</b>	\$758,074,420	\$810,076,444	6.9%	3.2%	\$120,460,374	14.9%	<ul style="list-style-type: none"> <li>MUNI Forward, the result of its Transit Effectiveness Project, will see full implementation of the 10% service increase in FY2016-17.</li> <li>Budget includes continuation of free transit passes for low and moderate income youth, seniors and disabled.</li> </ul>

\* The allocation request includes funds that will be allocated through Executive Director’s Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

**Attachment A – Transit Operator Budget Summary**

Operator	FY2015-16 Operating Budget	FY2016-17 Operating Budget	% Change	Revenue Vehicle Hours % Increase	FY2016-17 Operating Request*	Allocation Request as a % of Operating Budget	Highlight of FY2016-17 Budgets
<b>SolTrans</b>	\$ 12,836,979	\$ 14,480,000	12.8%	1.7%	\$ 5,361,315	37.0%	<ul style="list-style-type: none"> <li>• The current year’s budget reflects projected actuals, but the initial budget was used as a starting point to develop the FY2016-17 budget. This difference accounts for half of the budget increase and serves as a contingency.</li> <li>• Increase in the costs of purchased transportation due to contract rate increases as well as service expansion account for 25% of the increased budget.</li> <li>• Soltrans will receive 6 CNG buses and 2 electric buses by the middle of the year.</li> </ul>
<b>Sonoma County</b>	\$ 15,083,419	\$ 15,523,159	2.9%	6.0%	\$ 10,065,630	64.6%	<ul style="list-style-type: none"> <li>• Sonoma County continues to coordinate with SMART and expects to increase service hours once SMART begins operations.</li> <li>• Paratransit revenue vehicle hours increased nearly 10% while serving only 3% more people.</li> </ul>
<b>Tri Delta Transit (ECCTA)</b>	\$ 20,409,000	\$ 20,838,000	2.1%	0%	\$ 16,091,418	77.2%	<ul style="list-style-type: none"> <li>• Cost of purchased transportation will be slightly lower than the previous fiscal year due to a new contract.</li> <li>• Increasing the budget for salaries and benefits accounts for most budget increase. Two additional positions are budgeted as well as a small contingency for health care costs.</li> <li>• ECCTA approved route modifications and schedule changes to integrate with future eBART service.</li> <li>• 4 new electric demonstration buses.</li> </ul>

\* The allocation request includes funds that will be allocated through Executive Director’s Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

**Attachment A – Transit Operator Budget Summary**

<b>Operator</b>	<b>FY2015-16 Operating Budget</b>	<b>FY2016-17 Operating Budget</b>	<b>% Change</b>	<b>Revenue Vehicle Hours % Increase</b>	<b>FY2016-17 Operating Request*</b>	<b>Allocation Request as a % of Operating Budget</b>	<b>Highlight of FY2016-17 Budgets</b>
<b>WCCTA (WestCAT)</b>	\$ 9,614,800	\$10,963,000	14.0%	10.0%	\$ 6,910,633	63.0%	<ul style="list-style-type: none"> <li>• WestCAT plans to increase service hours 10% through a combination of RM2, Lifeline, and LCTOP funds. Increases in the cost of purchased transportation account for half of the budget increase.</li> <li>• Increases to the budget for fuel and lubricants account for most of the remainder of the budget increase.</li> </ul>

---

\* The allocation request includes funds that will be allocated through Executive Director’s Delegated Authority as allowed by MTC Resolution No. 3620, Revised. Allocations made by Delegated Authority are reported to the Commission quarterly.

Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC  
Revised: 05/25/16-C  
07/27/16-C

ABSTRACT

Resolution No. 4220, Revised

This resolution approves the FY 2016-17 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), Assembly Bill (AB) 1107 sales tax, and transit-related bridge toll funds.

This resolution was revised on May 25, 2016 to incorporate estimated STA Revenue-based (PUC 99314) allocations by operator for FY 2016-17, to revise the STA Revenue-based forecast for FY 2015-16, and to incorporate adjustments to Transportation Development Act (TDA) balances to reflect transfers between TDA fund types.

This resolution was revised on July 27, 2016 to reflect actual receipts for TDA and AB 1107 funds in FY 2015-16, the rescission actions that were necessary to match FY 2015-16 allocations to the actual revenue collected, and the allocations of the excess revenue for FY 2015-16 per operator's requests.

Further discussion of these actions is contained in the MTC Programming and Allocations Summary Sheets dated February 10, 2016, May 11, 2016, and July 13, 2016.

.

Date: February 24, 2016  
W.I.: 1511  
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4220

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2015-16 and FY 2016-17 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2016-17 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and



WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2016-17 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2016-17 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION



---

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in Oakland, California, on February 24, 2016.

**FY 2016-17 FUND ESTIMATE  
REGIONAL SUMMARY**

Attachment A  
Res No. 4220  
Page 1 of 17  
7/27/2016

**TDA REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E</i>	<i>F</i>	<i>G</i>	<i>H=Sum(A:G)</i>
	<b>6/30/2015</b>	<b>FY2014-16</b>	<b>FY2015-16</b>	<b>FY2015-16</b>	<b>FY2015-16</b>	<b>FY2016-17</b>	<b>FY2016-17</b>	<b>FY2016-17</b>
<b>Apportionment Jurisdictions</b>	<b>Balance<sup>1</sup></b>	<b>Outstanding Commitments, Refunds, &amp; Interest<sup>2</sup></b>	<b>Original Estimate</b>	<b>Revenue Adjustment</b>	<b>Revised Admin. &amp; Planning Charge</b>	<b>Revenue Estimate</b>	<b>Admin. &amp; Planning Charge</b>	<b>Available for Allocation</b>
<b>Alameda</b>	17,720,078	(73,536,990)	73,546,000	1,930,254	(3,019,050)	76,110,000	(3,044,400)	89,705,891
<b>Contra Costa</b>	17,154,518	(45,186,892)	40,146,919	(830,419)	(1,572,660)	41,463,827	(1,658,553)	49,516,739
<b>Marin</b>	838,286	(13,022,714)	12,713,895	(22,591)	(507,652)	13,362,830	(534,513)	12,827,543
<b>Napa</b>	11,965,811	(15,126,553)	7,600,000	703,166	(332,127)	8,160,000	(326,400)	12,643,897
<b>San Francisco</b>	725,412	(45,971,809)	48,421,155	(1,296,339)	(1,884,993)	50,724,425	(2,028,977)	48,688,875
<b>San Mateo</b>	5,372,178	(37,490,591)	36,914,589	2,045,476	(1,558,403)	39,205,837	(1,568,233)	42,920,854
<b>Santa Clara</b>	6,183,338	(103,012,843)	102,299,000	2,375,202	(4,186,968)	108,772,000	(4,350,880)	108,078,849
<b>Solano</b>	14,703,366	(14,668,639)	17,358,114	222,742	(703,234)	17,773,436	(710,937)	33,974,847
<b>Sonoma</b>	9,938,332	(22,153,733)	22,900,000	(960,603)	(877,576)	22,800,000	(912,000)	30,734,421
<b>TOTAL</b>	<b>\$84,601,320</b>	<b>(\$370,170,764)</b>	<b>\$361,899,672</b>	<b>\$4,166,888</b>	<b>(\$14,642,663)</b>	<b>\$378,372,355</b>	<b>(\$15,134,893)</b>	<b>\$429,091,916</b>

**STA, AB 1107, BRIDGE TOLL, & LOW CARBON TRANSIT OPERATIONS PROGRAM REGIONAL SUMMARY TABLE**

<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D</i>	<i>E=Sum(A:D)</i>
	<b>6/30/2015</b>	<b>FY2014-16</b>	<b>FY2015-16</b>	<b>FY2016-17</b>	<b>FY2016-17</b>
<b>Fund Source</b>	<b>Balance (w/ interest)<sup>1</sup></b>	<b>Outstanding Commitments<sup>3</sup></b>	<b>Revenue Estimate</b>	<b>Revenue Estimate</b>	<b>Available for Allocation</b>
<b>State Transit Assistance</b>					
Revenue-Based	12,656,340	(92,387,294)	82,689,232	74,159,127	77,117,405
Population-Based	53,989,754	(46,740,679)	28,799,198	25,890,283	61,387,718
<b>SUBTOTAL</b>	<b>53,989,754</b>	<b>(139,127,973)</b>	<b>111,488,430</b>	<b>100,049,410</b>	<b>138,505,123</b>
<b>AB1107 - BART District Tax (25% Share)</b>	<b>0</b>	<b>(80,517,826)</b>	<b>80,517,825</b>	<b>80,749,840</b>	<b>80,749,840</b>
<b>Bridge Toll Total</b>					
AB 664 Bridge Revenues	82,611,091	(82,611,091)	2,300,000	2,300,000	2,300,000
MTC 2% Toll Revenue	5,948,691	(3,741,879)	1,450,000	1,450,000	5,106,812
5% State General Fund Revenue	8,356,827	(604,380)	3,210,892	3,243,001	14,206,340
<b>SUBTOTAL</b>	<b>96,916,609</b>	<b>(86,957,350)</b>	<b>6,960,892</b>	<b>6,993,001</b>	<b>21,613,152</b>
<b>Low Carbon Transit Operations Program</b>	<b>28,166,253</b>	<b>0</b>	<b>28,166,253</b>	<b>38,680,268</b>	<b>38,680,268</b>
<b>TOTAL</b>	<b>\$179,072,616</b>	<b>(\$306,603,149)</b>	<b>\$227,133,401</b>	<b>\$226,472,519</b>	<b>\$279,548,383</b>

Please see Attachment A pages 2-17 for detailed information on each fund source.

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 1/31/16 except for AB1107 which is current as of 6/30/2016.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
ALAMEDA COUNTY**

Attachment A  
Res No. 4220  
Page 2 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	73,546,000		13. County Auditor Estimate		76,110,000
2. Actual Revenue (June, 16)	75,476,254		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		1,930,254	14. MTC Administration (0.5% of Line 13)	380,550	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	380,550	
4. MTC Administration (0.5% of Line 3)	9,651		16. MTC Planning (3.0% of Line 13)	2,283,300	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	9,651		17. Total Charges (Lines 14+15+16)		3,044,400
6. MTC Planning (3.0% of Line 3)	57,908		18. TDA Generations Less Charges (Lines 13-17)		73,065,600
7. Total Charges (Lines 4+5+6)		77,210	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		1,853,044	19. Article 3.0 (2.0% of Line 18)	1,461,312	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		71,604,288
9. Article 3 Adjustment (2.0% of line 8)	37,061		21. Article 4.5 (5.0% of Line 20)	3,580,214	
10. Funds Remaining (Lines 8-9)		1,815,983	22. TDA Article 4 (Lines 20-21)		68,024,074
11. Article 4.5 Adjustment (5.0% of Line 10)	90,799				
12. Article 4 Adjustment (Lines 10-11)		1,725,184			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
<b>Article 3</b>	3,238,996	13,455	3,252,451	(3,595,113)	0	1,412,083	37,061	1,106,482	1,461,312	2,567,794
<b>Article 4.5</b>	26,073	1,220	27,293	(323,355)	(3,161,732)	3,459,604	90,799	92,609	3,580,214	3,672,823
<b>SUBTOTAL</b>	<b>3,265,069</b>	<b>14,675</b>	<b>3,279,744</b>	<b>(3,918,468)</b>	<b>(3,161,732)</b>	<b>4,871,687</b>	<b>127,860</b>	<b>1,199,091</b>	<b>5,041,526</b>	<b>6,240,617</b>
<b>Article 4</b>										
AC Transit										
District 1	6,771	1,710	8,481	(45,589,892)	3,161,732	42,419,679	1,113,327	1,113,327	43,864,335	44,977,662
District 2	1,880	297	2,177	(11,315,000)	0	11,315,940	296,993	300,109	11,669,120	11,969,229
BART <sup>4</sup>	5,136	16	5,153	(85,033)	0	79,882	2,097	2,098	83,158	85,256
LAVTA	9,692,902	28,266	9,721,169	(13,476,888)	4,316,718	8,899,101	233,562	9,693,662	9,304,213	18,997,875
Union City	4,748,319	18,071	4,766,390	(3,979,251)	447,788	3,017,872	79,206	4,332,004	3,103,248	7,435,252
<b>SUBTOTAL</b>	<b>14,455,009</b>	<b>48,361</b>	<b>14,503,369</b>	<b>(74,446,064)</b>	<b>7,926,238</b>	<b>65,732,473</b>	<b>1,725,184</b>	<b>15,441,200</b>	<b>68,024,074</b>	<b>83,465,274</b>
<b>GRAND TOTAL</b>	<b>\$17,720,078</b>	<b>\$63,036</b>	<b>\$17,783,113</b>	<b>(\$78,364,532)</b>	<b>\$4,764,506</b>	<b>\$70,604,160</b>	<b>\$1,853,044</b>	<b>\$16,640,291</b>	<b>\$73,065,600</b>	<b>\$89,705,891</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.

2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

4. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
CONTRA COSTA COUNTY**

Attachment A  
Res No. 4220  
Page 3 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	40,146,919		13. County Auditor Estimate		41,463,827
2. Actual Revenue (June, 16)	39,316,500		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(830,419)	14. MTC Administration (0.5% of Line 13)		207,319
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)		207,319
4. MTC Administration (0.5% of Line 3)	(4,152)		16. MTC Planning (3.0% of Line 13)		1,243,915
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	(4,152)		17. Total Charges (Lines 14+15+16)		1,658,553
6. MTC Planning (3.0% of Line 3)	(24,913)		18. TDA Generations Less Charges (Lines 13-17)		39,805,274
7. Total Charges (Lines 4+5+6)		(33,217)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(797,202)	19. Article 3.0 (2.0% of Line 18)		796,105
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		39,009,169
9. Article 3 Adjustment (2.0% of line 8)	(15,944)		21. Article 4.5 (5.0% of Line 20)		1,950,458
10. Funds Remaining (Lines 8-9)		(781,258)	22. TDA Article 4 (Lines 20-21)		37,058,711
11. Article 4.5 Adjustment (5.0% of Line 10)	(39,063)				
12. Article 4 Adjustment (Lines 10-11)		(742,195)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
<b>Article 3</b>	1,236,685	440	1,237,125	(836,241)	0	770,821	(15,944)	1,155,762	796,105	1,951,867
<b>Article 4.5</b>	146,487	12	146,499	(1,241,739)	(647,531)	1,888,511	(39,063)	106,677	1,950,458	2,057,135
<b>SUBTOTAL</b>	<b>1,383,172</b>	<b>452</b>	<b>1,383,624</b>	<b>(2,077,980)</b>	<b>(647,531)</b>	<b>2,659,332</b>	<b>(55,007)</b>	<b>1,262,439</b>	<b>2,746,563</b>	<b>4,009,002</b>
<b>Article 4</b>										
AC Transit										
District 1	3,835	6	3,841	(6,695,817)	571,086	6,254,093	(129,363)	3,841	6,436,688	6,440,529
BART <sup>4</sup>	156	0	157	(245,724)	0	250,912	(5,190)	155	261,977	262,132
CCCTA	12,945,397	2,353	12,947,750	(24,393,594)	416,196	17,054,847	(352,771)	5,672,427	17,584,948	23,257,375
ECCTA	816,528	52	816,580	(9,939,397)	0	10,151,017	(209,969)	818,231	10,537,184	11,355,415
WCCTA	2,005,431	350	2,005,781	(2,803,045)	625,699	2,170,840	(44,903)	1,954,372	2,237,914	4,192,286
<b>SUBTOTAL</b>	<b>15,771,347</b>	<b>2,762</b>	<b>15,774,109</b>	<b>(44,077,577)</b>	<b>1,612,981</b>	<b>35,881,709</b>	<b>(742,195)</b>	<b>8,449,026</b>	<b>37,058,711</b>	<b>45,507,737</b>
<b>GRAND TOTAL</b>	<b>\$17,154,518</b>	<b>\$3,215</b>	<b>\$17,157,733</b>	<b>(\$46,155,557)</b>	<b>\$965,450</b>	<b>\$38,541,041</b>	<b>(\$797,202)</b>	<b>\$9,711,465</b>	<b>\$39,805,274</b>	<b>\$49,516,739</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
4. Details on the proposed apportionment of BART funding to local operators are shown on page 15 of the Fund Estimate.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
MARIN COUNTY**

Attachment A  
Res No. 4220  
Page 4 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	12,713,895		13. County Auditor Estimate	13,362,830	
2. Actual Revenue (June, 16)	12,691,304		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(22,591)	14. MTC Administration (0.5% of Line 13)	66,814	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	66,814	
4. MTC Administration (0.5% of Line 3)	(113)		16. MTC Planning (3.0% of Line 13)	400,885	
5. County Administration (Up to 0.5% of Line 3)	(113)		17. Total Charges (Lines 14+15+16)	534,513	
6. MTC Planning (3.0% of Line 3)	(678)		18. TDA Generations Less Charges (Lines 13-17)	12,828,317	
7. Total Charges (Lines 4+5+6)		(904)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(21,687)	19. Article 3.0 (2.0% of Line 18)	256,566	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	12,571,751	
9. Article 3 Adjustment (2.0% of line 8)	(434)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(21,253)	22. TDA Article 4 (Lines 20-21)	12,571,751	
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(21,253)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>4</sup>	Revenue Estimate	Available for Allocation
Article 3	417,608	4,066	421,673	(665,748)	0	244,107	(434)	(401)	256,566	256,165
Article 4.5										
<b>SUBTOTAL</b>	<b>417,608</b>	<b>4,066</b>	<b>421,673</b>	<b>(665,748)</b>	<b>0</b>	<b>244,107</b>	<b>(434)</b>	<b>(401)</b>	<b>256,566</b>	<b>256,165</b>
Article 4/8										
GGBHTD <sup>3</sup>	420,679	872	421,551	(12,361,904)	0	11,961,233	(21,253)	(235)	7,931,518	7,931,282
Marin Transit <sup>3</sup>	0	0	0	0	0	0	0	(138)	4,640,233	4,640,096
<b>SUBTOTAL</b>	<b>420,679</b>	<b>872</b>	<b>421,551</b>	<b>(12,361,904)</b>	<b>0</b>	<b>11,961,233</b>	<b>(21,253)</b>	<b>(373)</b>	<b>12,571,751</b>	<b>12,571,378</b>
<b>GRAND TOTAL</b>	<b>\$838,286</b>	<b>\$4,938</b>	<b>\$843,224</b>	<b>(\$13,027,652)</b>	<b>\$0</b>	<b>\$12,205,340</b>	<b>(\$21,687)</b>	<b>(\$774)</b>	<b>\$12,828,317</b>	<b>\$12,827,543</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Prior to FY 2016-17 GGBHTD was authorized to claim 100% of the apportionments in Marin County. Per agreement between GGBHTD and MCTD from FY 2016-17 forward both agencies will claim funds.

4. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
NAPA COUNTY**

Attachment A  
Res No. 4220  
Page 5 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	7,600,000		13. County Auditor Estimate		8,160,000
2. Actual Revenue (June, 16)	8,303,166		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		703,166	14. MTC Administration (0.5% of Line 13)	40,800	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	40,800	
4. MTC Administration (0.5% of Line 3)	3,516		16. MTC Planning (3.0% of Line 13)	244,800	
5. County Administration (Up to 0.5% of Line 3)	3,516		17. Total Charges (Lines 14+15+16)		326,400
6. MTC Planning (3.0% of Line 3)	21,095		18. TDA Generations Less Charges (Lines 13-17)		7,833,600
7. Total Charges (Lines 4+5+6)		28,127	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		675,039	19. Article 3.0 (2.0% of Line 18)	156,672	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		7,676,928
9. Article 3 Adjustment (2.0% of line 8)	13,501		21. Article 4.5 (5.0% of Line 20)	383,846	
10. Funds Remaining (Lines 8-9)		661,538	22. TDA Article 4 (Lines 20-21)		7,293,082
11. Article 4.5 Adjustment (5.0% of Line 10)	33,077				
12. Article 4 Adjustment (Lines 10-11)		628,461			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	496,722	2,847	499,569	(421,689)	0	145,920	13,501	237,301	156,672	393,973
Article 4.5	56,757	73	56,829	(401,127)	0	357,504	33,077	46,283	383,846	430,129
<b>SUBTOTAL</b>	<b>553,479</b>	<b>2,919</b>	<b>556,398</b>	<b>(822,816)</b>	<b>0</b>	<b>503,424</b>	<b>46,578</b>	<b>283,584</b>	<b>540,518</b>	<b>824,102</b>
Article 4/8										
NVTA <sup>3</sup>	11,412,332	47,046	11,459,378	(15,607,662)	1,253,960	6,792,576	628,461	4,526,713	7,293,082	11,819,795
<b>SUBTOTAL</b>	<b>11,412,332</b>	<b>47,046</b>	<b>11,459,378</b>	<b>(15,607,662)</b>	<b>1,253,960</b>	<b>6,792,576</b>	<b>628,461</b>	<b>4,526,713</b>	<b>7,293,082</b>	<b>11,819,795</b>
<b>GRAND TOTAL</b>	<b>\$11,965,811</b>	<b>\$49,965</b>	<b>\$12,015,776</b>	<b>(\$16,430,478)</b>	<b>\$1,253,960</b>	<b>\$7,296,000</b>	<b>\$675,039</b>	<b>\$4,810,297</b>	<b>\$7,833,600</b>	<b>\$12,643,897</b>

- Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
- The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
- NVTA is authorized to claim 100% of the apportionment to Napa County.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN FRANCISCO COUNTY**

Attachment A  
Res No. 4220  
Page 6 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	48,421,155		13. County Auditor Estimate	50,724,425	
2. Actual Revenue (June, 16)	47,124,816		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(1,296,339)	14. MTC Administration (0.5% of Line 13)	253,622	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	253,622	
4. MTC Administration (0.5% of Line 3)	(6,482)		16. MTC Planning (3.0% of Line 13)	1,521,733	
5. County Administration (Up to 0.5% of Line 3)	(6,482)		17. Total Charges (Lines 14+15+16)	2,028,977	
6. MTC Planning (3.0% of Line 3)	(38,890)		18. TDA Generations Less Charges (Lines 13-17)	48,695,448	
7. Total Charges (Lines 4+5+6)		(51,854)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,244,485)	19. Article 3.0 (2.0% of Line 18)	973,909	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	47,721,539	
9. Article 3 Adjustment (2.0% of line 8)	(24,890)		21. Article 4.5 (5.0% of Line 20)	2,386,077	
10. Funds Remaining (Lines 8-9)		(1,219,595)	22. TDA Article 4 (Lines 20-21)	45,335,462	
11. Article 4.5 Adjustment (5.0% of Line 10)	(60,980)				
12. Article 4 Adjustment (Lines 10-11)		(1,158,615)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>3</sup>	Revenue Estimate	Available for Allocation
Article 3	730,000	13,007	743,007	(1,656,353)	0	929,686	(24,890)	(8,550)	973,909	965,359
Article 4.5	(385)	618	233	61,539	(2,278,290)	2,277,731	(60,980)	233	2,386,077	2,386,310
<b>SUBTOTAL</b>	<b>729,615</b>	<b>13,625</b>	<b>743,240</b>	<b>(1,594,814)</b>	<b>(2,278,290)</b>	<b>3,207,417</b>	<b>(85,870)</b>	<b>(8,317)</b>	<b>3,359,986</b>	<b>3,351,669</b>
Article 4										
SFMTA	(4,203)	5,945	1,743	(44,396,565)	2,278,290	43,276,891	(1,158,615)	1,744	45,335,462	45,337,206
<b>SUBTOTAL</b>	<b>(4,203)</b>	<b>5,945</b>	<b>1,743</b>	<b>(44,396,565)</b>	<b>2,278,290</b>	<b>43,276,891</b>	<b>(1,158,615)</b>	<b>1,744</b>	<b>45,335,462</b>	<b>45,337,206</b>
<b>GRAND TOTAL</b>	<b>\$725,412</b>	<b>\$19,571</b>	<b>\$744,983</b>	<b>(\$45,991,379)</b>	<b>\$0</b>	<b>\$46,484,308</b>	<b>(\$1,244,485)</b>	<b>(\$6,573)</b>	<b>\$48,695,448</b>	<b>\$48,688,875</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

3. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN MATEO COUNTY**

Attachment A  
Res No. 4220  
Page 7 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	36,914,589		13. County Auditor Estimate	39,205,837	
2. Actual Revenue (June, 16)	38,960,065		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		2,045,476	14. MTC Administration (0.5% of Line 13)	196,029	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	196,029	
4. MTC Administration (0.5% of Line 3)	10,227		16. MTC Planning (3.0% of Line 13)	1,176,175	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	10,227		17. Total Charges (Lines 14+15+16)	1,568,233	
6. MTC Planning (3.0% of Line 3)	61,364		18. TDA Generations Less Charges (Lines 13-17)	37,637,604	
7. Total Charges (Lines 4+5+6)		81,818	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		1,963,658	19. Article 3.0 (2.0% of Line 18)	752,752	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	36,884,852	
9. Article 3 Adjustment (2.0% of line 8)	39,273		21. Article 4.5 (5.0% of Line 20)	1,844,243	
10. Funds Remaining (Lines 8-9)		1,924,385	22. TDA Article 4 (Lines 20-21)	35,040,609	
11. Article 4.5 Adjustment (5.0% of Line 10)	96,219				
12. Article 4 Adjustment (Lines 10-11)		1,828,166			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,201,159	42,332	3,243,491	(3,554,875)	0	708,760	39,273	436,649	752,752	1,189,401
Article 4.5	184,358	323	184,681	(1,771,554)	0	1,736,462	96,219	245,808	1,844,243	2,090,051
<b>SUBTOTAL</b>	<b>3,385,516</b>	<b>42,656</b>	<b>3,428,172</b>	<b>(5,326,429)</b>	<b>0</b>	<b>2,445,222</b>	<b>135,492</b>	<b>682,457</b>	<b>2,596,995</b>	<b>3,279,452</b>
Article 4										
SamTrans	1,986,662	5,905	1,992,567	(32,212,723)	0	32,992,783	1,828,166	4,600,793	35,040,609	39,641,402
<b>SUBTOTAL</b>	<b>1,986,662</b>	<b>5,905</b>	<b>1,992,567</b>	<b>(32,212,723)</b>	<b>0</b>	<b>32,992,783</b>	<b>1,828,166</b>	<b>4,600,793</b>	<b>35,040,609</b>	<b>39,641,402</b>
<b>GRAND TOTAL</b>	<b>\$5,372,178</b>	<b>\$48,561</b>	<b>\$5,420,739</b>	<b>(\$37,539,152)</b>	<b>\$0</b>	<b>\$35,438,005</b>	<b>\$1,963,658</b>	<b>\$5,283,250</b>	<b>\$37,637,604</b>	<b>\$42,920,854</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.



**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SANTA CLARA COUNTY**

Attachment A  
Res No. 4220  
Page 8 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	102,299,000		13. County Auditor Estimate		108,772,000
2. Actual Revenue (June, 16)	104,674,202		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		2,375,202	14. MTC Administration (0.5% of Line 13)	543,860	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	543,860	
4. MTC Administration (0.5% of Line 3)	11,876		16. MTC Planning (3.0% of Line 13)	3,263,160	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	11,876		17. Total Charges (Lines 14+15+16)		4,350,880
6. MTC Planning (3.0% of Line 3)	71,256		18. TDA Generations Less Charges (Lines 13-17)		104,421,120
7. Total Charges (Lines 4+5+6)		95,008	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		2,280,194	19. Article 3.0 (2.0% of Line 18)	2,088,422	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		102,332,698
9. Article 3 Adjustment (2.0% of line 8)	45,604		21. Article 4.5 (5.0% of Line 20)	5,116,635	
10. Funds Remaining (Lines 8-9)		2,234,590	22. TDA Article 4 (Lines 20-21)		97,216,063
11. Article 4.5 Adjustment (5.0% of Line 10)	111,730				
12. Article 4 Adjustment (Lines 10-11)		2,122,860			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,351,090	29,759	5,380,849	(6,804,884)		1,964,141	45,604	585,710	2,088,422	2,674,132
Article 4.5	41,460	195	41,655	0	(4,812,145)	4,812,145	111,730	153,385	5,116,635	5,270,020
<b>SUBTOTAL</b>	<b>5,392,551</b>	<b>29,953</b>	<b>5,422,504</b>	<b>(6,804,884)</b>	<b>(4,812,145)</b>	<b>6,776,286</b>	<b>157,334</b>	<b>739,095</b>	<b>7,205,057</b>	<b>7,944,152</b>
Article 4										
VTA	790,787	4,986	795,774	(96,242,899)	4,812,145	91,430,754	2,122,860	2,918,634	97,216,063	100,134,697
<b>SUBTOTAL</b>	<b>790,787</b>	<b>4,986</b>	<b>795,774</b>	<b>(96,242,899)</b>	<b>4,812,145</b>	<b>91,430,754</b>	<b>2,122,860</b>	<b>2,918,634</b>	<b>97,216,063</b>	<b>100,134,697</b>
<b>GRAND TOTAL</b>	<b>\$6,183,338</b>	<b>\$34,939</b>	<b>\$6,218,277</b>	<b>(\$103,047,783)</b>	<b>\$0</b>	<b>\$98,207,040</b>	<b>\$2,280,194</b>	<b>\$3,657,729</b>	<b>\$104,421,120</b>	<b>\$108,078,849</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SOLANO COUNTY**

Attachment A  
Res No. 4220  
Page 9 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate		FY2016-17 TDA Revenue Estimate	
<b>FY2015-16 Generation Estimate Adjustment</b>		<b>FY2016-17 County Auditor's Generation Estimate</b>	
1. Original County Auditor Estimate (Feb, 15)	17,358,114	13. County Auditor Estimate	17,773,436
2. Actual Revenue (June, 16)	17,580,856	<b>FY2016-17 Planning and Administration Charges</b>	
3. Revenue Adjustment (Lines 2-1)	222,742	14. MTC Administration (0.5% of Line 13)	88,867
<b>FY2015-16 Planning and Administration Charges Adjustment</b>		15. County Administration (0.5% of Line 13)	88,867
4. MTC Administration (0.5% of Line 3)	1,114	16. MTC Planning (3.0% of Line 13)	533,203
5. County Administration (Up to 0.5% of Line 3)	1,114	17. Total Charges (Lines 14+15+16)	710,937
6. MTC Planning (3.0% of Line 3)	6,682	18. TDA Generations Less Charges (Lines 13-17)	17,062,499
7. Total Charges (Lines 4+5+6)	8,910	<b>FY2016-17 TDA Apportionment By Article</b>	
8. Adjusted Generations Less Charges (Lines 3-7)	213,832	19. Article 3.0 (2.0% of Line 18)	341,250
<b>FY2015-16 TDA Adjustment By Article</b>		20. Funds Remaining (Lines 18-19)	16,721,249
9. Article 3 Adjustment (2.0% of line 8)	4,277	21. Article 4.5 (5.0% of Line 20)	0
10. Funds Remaining (Lines 8-9)	209,555	22. TDA Article 4 (Lines 20-21)	16,721,249
11. Article 4.5 Adjustment (5.0% of Line 10)	0		
12. Article 4 Adjustment (Lines 10-11)	209,555		

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	774,067	3,926	777,993	(968,029)	0	333,276	4,277	147,517	341,250	488,767
Article 4.5										
<b>SUBTOTAL</b>	<b>774,067</b>	<b>3,926</b>	<b>777,993</b>	<b>(968,029)</b>	<b>0</b>	<b>333,276</b>	<b>4,277</b>	<b>147,517</b>	<b>341,250</b>	<b>488,767</b>
Article 4/8										
Dixon	856,366	3,219	859,586	(567,866)	0	734,437	9,424	1,035,581	745,767	1,781,348
Fairfield	2,763,699	12,241	2,775,940	(5,837,751)	0	4,251,582	54,557	1,244,327	4,355,601	5,599,928
Rio Vista	243,865	1,902	245,767	(334,129)	75,432	306,605	3,934	297,610	318,930	616,540
Solano County	913,414	4,404	917,818	(510,125)	0	741,586	9,516	1,158,796	753,163	1,911,959
Suisun City	158,218	370	158,588	(1,233,922)	0	1,103,260	14,157	42,083	1,124,528	1,166,611
Vacaville	6,367,758	28,785	6,396,543	(3,187,689)	0	3,617,620	46,422	6,872,896	3,686,482	10,559,378
Vallejo/Benicia <sup>4</sup>	2,625,978	11,206	2,637,184	(7,176,068)	5,005,454	5,575,423	71,544	6,113,538	5,736,777	11,850,315
<b>SUBTOTAL</b>	<b>13,929,299</b>	<b>62,128</b>	<b>13,991,427</b>	<b>(18,847,550)</b>	<b>5,080,886</b>	<b>16,330,513</b>	<b>209,555</b>	<b>16,764,831</b>	<b>16,721,249</b>	<b>33,486,080</b>
<b>GRAND TOTAL</b>	<b>\$14,703,366</b>	<b>\$66,054</b>	<b>\$14,769,419</b>	<b>(\$19,815,578)</b>	<b>\$5,080,886</b>	<b>\$16,663,789</b>	<b>\$213,832</b>	<b>\$16,912,348</b>	<b>\$17,062,499</b>	<b>\$33,974,847</b>

- Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
- The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
- Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.
- Beginning in FY2012-13, the Benicia apportionment area is combined with Vallejo, and available for SolTrans to claim.

**FY 2016-17 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SONOMA COUNTY**

Attachment A  
Res No. 4220  
Page 10 of 17  
7/27/2016

FY2015-16 TDA Revenue Estimate			FY2016-17 TDA Revenue Estimate		
<b>FY2015-16 Generation Estimate Adjustment</b>			<b>FY2016-17 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 15)	22,900,000		13. County Auditor Estimate	22,800,000	
2. Actual Revenue (June, 16)	21,939,397		<b>FY2016-17 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(960,603)	14. MTC Administration (0.5% of Line 13)	114,000	
<b>FY2015-16 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	114,000	
4. MTC Administration (0.5% of Line 3)	(4,803)		16. MTC Planning (3.0% of Line 13)	684,000	
5. County Administration (Up to 0.5% of Line 3) <sup>1</sup>	(4,803)		17. Total Charges (Lines 14+15+16)	912,000	
6. MTC Planning (3.0% of Line 3)	(28,818)		18. TDA Generations Less Charges (Lines 13-17)	21,888,000	
7. Total Charges (Lines 4+5+6)		(38,424)	<b>FY2016-17 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(922,179)	19. Article 3.0 (2.0% of Line 18)	437,760	
<b>FY2015-16 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)	21,450,240	
9. Article 3 Adjustment (2.0% of line 8)	(18,444)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(903,735)	22. TDA Article 4 (Lines 20-21)	21,450,240	
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(903,735)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY 2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>2</sup>	Outstanding Commitments <sup>3</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover <sup>6</sup>	Revenue Estimate	Available for Allocation
Article 3	1,525,093	8,385	1,533,478	(1,252,449)	0	439,680	(18,444)	702,265	437,760	1,140,025
Article 4.5										
<b>SUBTOTAL</b>	<b>1,525,093</b>	<b>8,385</b>	<b>1,533,478</b>	<b>(1,252,449)</b>	<b>0</b>	<b>439,680</b>	<b>(18,444)</b>	<b>702,265</b>	<b>437,760</b>	<b>1,140,025</b>
Article 4/8										
GGBHTD <sup>4</sup>	48,217	2,654	50,872	(5,219,169)	0	5,386,080	(225,934)	(8,151)	5,362,560	5,354,409
Petaluma	974,118	2,463	976,580	(1,993,246)	0	1,843,755	(77,341)	749,748	1,830,846	2,580,594
Santa Rosa	1,012,333	30,852	1,043,186	(6,430,490)	3,276,135	5,608,140	(235,249)	3,261,722	5,610,668	8,872,390
Sonoma County/Healdsburg <sup>5</sup>	6,378,571	19,108	6,397,678	(11,475,863)	877,888	8,706,345	(365,211)	4,140,837	8,646,166	12,787,003
<b>SUBTOTAL</b>	<b>8,413,239</b>	<b>55,077</b>	<b>8,468,316</b>	<b>(25,118,768)</b>	<b>4,154,022</b>	<b>21,544,320</b>	<b>(903,735)</b>	<b>8,144,156</b>	<b>21,450,240</b>	<b>29,594,396</b>
<b>GRAND TOTAL</b>	<b>\$9,938,332</b>	<b>\$63,462</b>	<b>\$10,001,794</b>	<b>(\$26,371,217)</b>	<b>\$4,154,022</b>	<b>\$21,984,000</b>	<b>(\$922,179)</b>	<b>\$8,846,421</b>	<b>\$21,888,000</b>	<b>\$30,734,421</b>

1. Unclaimed County Administration charges will be redistributed as carryover for apportionment jurisdictions.
2. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.
4. Apportionment to GGBHTD is 25-percent of Sonoma County's total Article 4/8 TDA funds.
5. Beginning in FY2012-13, the Healdsburg apportionment area is combined with Sonoma County.
6. Negative projected carryover will be covered by FY 2015-16 interest payments.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
REVENUE-BASED FUNDS (PUC 99314)**

Attachment A  
Res No. 4220  
Page 11 of 17  
7/27/2016

FY2015-16 STA Revenue Estimate		FY2016-17 STA Revenue Estimate	
1. State Estimate (May, 16)	\$82,689,232	4. Projected Carryover (May, 16)	\$2,958,278
2. Actual Revenue (Aug, 16)		5. State Estimate (May, 16)	\$74,159,127
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$77,117,405

STA REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance (w/interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Revenue Estimate <sup>3</sup>	Projected Carryover <sup>4</sup>	Revenue Estimate* <sup>5</sup>	Available For Allocation
ACCMA - Corresponding to ACE	429,655	(450,000)	78,302	57,957	70,225	128,182
Caltrain	487,279	(5,046,388)	4,324,040	(235,070)	3,877,978	3,642,908
CCCTA	9	(563,842)	488,719	(75,114)	438,304	363,190
City of Dixon	4,930	0	3,792	8,722	3,400	12,122
ECCTA	2	(277,297)	226,341	(50,954)	202,992	152,038
City of Fairfield	16,405	(102,080)	95,506	9,831	85,654	95,485
GGBHTD	9	(3,370,520)	3,827,641	457,130	3,432,787	3,889,917
City of Healdsburg	376	0	401	776	360	1,136
LAVTA	199,818	(199,577)	197,546	197,787	177,167	374,954
Marin Transit	1,406,662	(1,009,970)	712,905	1,109,597	639,362	1,748,959
NVTA	5	(45,260)	49,366	4,111	44,273	48,384
City of Petaluma	(7,312)	(11,389)	11,087	(7,614)	9,943	2,329
City of Rio Vista	0	0	872	872	782	1,654
SamTrans	1	(3,125,451)	2,659,252	(466,199)	2,384,927	1,918,728
City of Santa Rosa	140,746	(128,585)	108,542	120,703	97,345	218,048
Solano County Transit	0	(188,209)	222,976	34,768	199,974	234,742
Sonoma County Transit	44,800	(110,683)	117,522	51,639	105,399	157,038
City of Union City	1	(35,690)	33,420	(2,269)	29,972	27,703
VTA	922,200	(11,263,409)	10,231,289	(109,920)	9,175,843	9,065,923
VTA - Corresponding to ACE	47,826	(231,943)	93,377	(90,739)	83,745	(6,994)
WCCTA	6	(278,312)	256,123	(22,183)	229,702	207,519
WETA	3,912,726	0	1,052,088	4,964,814	943,556	5,908,370
<b>SUBTOTAL</b>	<b>7,606,143</b>	<b>(26,438,605)</b>	<b>24,791,107</b>	<b>5,958,645</b>	<b>22,233,691</b>	<b>28,192,336</b>
AC Transit	1,332,353	(8,045,389)	7,736,927	1,023,891	6,938,796	7,962,687
BART	2,427,827	(21,523,603)	17,775,361	(1,320,415)	15,941,680	14,621,265
SFMTA	1,290,017	(36,379,697)	32,385,838	(2,703,843)	29,044,960	26,341,117
<b>SUBTOTAL</b>	<b>5,050,197</b>	<b>(65,948,689)</b>	<b>57,898,126</b>	<b>(3,000,367)</b>	<b>51,925,436</b>	<b>48,925,069</b>
<b>GRAND TOTAL</b>	<b>\$12,656,340</b>	<b>(\$92,387,294)</b>	<b>\$82,689,232</b>	<b>\$2,958,278</b>	<b>\$74,159,127</b>	<b>\$77,117,405</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 4/15/16.

3. FY2015-16 STA revenue generation based on the \$297.6 million in the Governor's May 2016 revised FY2016-17 State Budget.

4. Projected carryover as of 6/30/16 does not include interest accrued in FY2015-16. Negative carryover amounts shown are primarily a result of lower than expected revenues.

5. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

\*Distributions based on assumed passage of a FY2016-17 State Budget trailer bill language to restore the STA Revenue-Based formula to the methodology used in FY2014-15.

**FY 2016-17 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313)**

Attachment A  
Res No. 4220  
Page 12 of 17  
7/27/2016

FY2015-16 STA Revenue Estimate		FY2016-17 STA Revenue Estimate				
1. State Revised Estimate <sup>3</sup> (May, 16)	\$28,799,198	4. Projected Carryover (May, 16)				\$35,497,435
2. Actual Revenue (Aug, 16)		5. State Estimate <sup>4</sup> (May, 16)				\$25,890,283
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)				\$61,387,718
STA POPULATION-BASED APPORTIONMENT BY JURISDICTION & OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2015	FY2014-16	FY2015-16	6/30/2016	FY2016-17	Total
Apportionment Jurisdictions	Balance (w/interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Revenue Estimate <sup>3</sup>	Projected Carryover	Revenue Estimate <sup>4</sup>	Available For Allocation
<b>Northern Counties/Small Operators</b>						
Marin	81,537	(1,094,305)	855,989	(156,779)	768,516	611,737
Napa	41,253	(547,351)	462,588	(43,509)	415,316	371,807
Solano/Vallejo <sup>5</sup>	4,345,719	(1,095,745)	1,393,115	4,643,089	1,250,753	5,893,842
Sonoma	154,310	(1,937,160)	1,637,168	(145,682)	1,469,867	1,324,185
CCCTA	144,556	(2,004,761)	1,622,703	(237,502)	1,456,880	1,219,378
ECCTA	88,114	(1,159,791)	980,185	(91,492)	880,020	788,528
LAVTA	910,297	(884,220)	670,586	696,663	602,059	1,298,722
Union City	155,508	(195,686)	234,757	194,579	210,768	405,347
WCCTA	19,283	(267,089)	216,188	(31,618)	194,096	162,478
<b>SUBTOTAL</b>	<b>5,940,577</b>	<b>(9,186,108)</b>	<b>8,073,281</b>	<b>4,827,749</b>	<b>7,248,275</b>	<b>12,076,024</b>
<b>Regional Paratransit</b>						
Alameda	31,558	(1,041,462)	886,451	(123,453)	795,864	672,411
Contra Costa	42,344	(816,245)	627,503	(146,398)	563,379	416,981
Marin	4,470	(147,718)	121,074	(22,174)	108,702	86,528
Napa	8,753	(116,182)	98,190	(9,239)	88,156	78,917
San Francisco	25,924	(832,201)	703,327	(102,950)	631,454	528,504
San Mateo	30,922	(410,315)	346,774	(32,619)	311,337	278,718
Santa Clara	88,454	(1,175,189)	993,199	(93,536)	891,704	798,168
Solano	902,071	(445,000)	271,151	728,222	243,442	971,664
Sonoma	42,703	(459,545)	388,380	(28,462)	348,692	320,230
<b>SUBTOTAL</b>	<b>1,177,199</b>	<b>(5,443,857)</b>	<b>4,436,047</b>	<b>169,391</b>	<b>3,982,729</b>	<b>4,152,121</b>
<b>Lifeline</b>						
Alameda	5,080,482	(5,841,385)	1,724,589	963,686	1,689,721	2,653,407
Contra Costa	2,864,977	(2,990,587)	1,090,558	964,949	1,068,509	2,033,458
Marin	556,377	0	199,650	756,027	195,613	951,640
Napa	463,078	(471,543)	154,851	146,385	151,720	298,105
San Francisco	3,909,710	(4,192,025)	954,785	672,470	935,481	1,607,951
San Mateo	1,637,260	0	642,055	2,279,316	629,074	2,908,390
Santa Clara	5,077,735	(1,000,000)	1,760,778	5,838,513	1,725,178	7,563,691
Solano	733,154	(671,934)	487,617	548,838	477,758	1,026,596
Sonoma	1,690,827	(443,268)	600,840	1,848,399	588,692	2,437,091
MTC Mean-Based Discount Project	307,529	(199,940)	695,326	802,914	0	802,914
JARC Funding Restoration <sup>6</sup>	550,842	0	0	0	0	0
<b>SUBTOTAL</b>	<b>22,871,972</b>	<b>(15,810,682)</b>	<b>8,311,049</b>	<b>14,821,497</b>	<b>7,461,746</b>	<b>22,283,243</b>
<b>MTC Regional Coordination Program<sup>7</sup></b>	<b>23,631,214</b>	<b>(16,300,031)</b>	<b>7,645,488</b>	<b>14,976,671</b>	<b>6,864,199</b>	<b>21,840,870</b>
<b>BART to Warm Springs</b>	<b>328,985</b>	<b>0</b>	<b>0</b>	<b>328,985</b>	<b>0</b>	<b>328,985</b>
<b>eBART</b>	<b>1,029</b>	<b>0</b>	<b>0</b>	<b>1,029</b>	<b>0</b>	<b>1,029</b>
<b>Transit Emergency Service Contingency Fund<sup>8</sup></b>	<b>0</b>	<b>0</b>	<b>333,333</b>	<b>333,333</b>	<b>333,333</b>	<b>666,666</b>
<b>SamTrans</b>	<b>38,780</b>	<b>0</b>	<b>0</b>	<b>38,780</b>	<b>0</b>	<b>38,780</b>
<b>GRAND TOTAL</b>	<b>\$53,989,754</b>	<b>(\$46,740,679)</b>	<b>\$28,799,198</b>	<b>\$35,497,435</b>	<b>\$25,890,283</b>	<b>\$61,387,718</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 1/31/16.

3. FY2015-16 STA revenue generation based on the \$297.6 million in the Governor's May 2016 revised FY2016-17 State Budget.

4. FY2016-17 STA revenue generation based on the \$266.9 million in the Governor's May 2016 revised FY2016-17 State Budget.

5. Beginning in FY2008-09, the Vallejo allocation is combined with Solano, as per MTC Resolution 3837.

6. Includes 2/26/14 Commission action to re-assign \$1.1 million in FY 2014-15 Lifeline funds, and re-assigning \$693,696 of MTC's Means-Based Discount Project balance.

7. Committed to Clipper® and other MTC Customer Service projects.

8. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program.

**FY 2016-17 FUND ESTIMATE  
BRIDGE TOLLS<sup>1,2</sup>**

**BRIDGE TOLL APPORTIONMENT BY CATEGORY**

<i>Column</i>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D=Sum(A:C)</b>	<b>E</b>	<b>F=D+E</b>
	<b>6/30/2015</b>	<b>FY2014-16</b>	<b>FY2015-16</b>	<b>6/30/2016</b>	<b>FY2016-17</b>	<b>Total</b>
<b>Fund Source</b>	<b>Balance<sup>3</sup></b>	<b>Outstanding Commitments<sup>4</sup></b>	<b>Programming Amount<sup>5</sup></b>	<b>Projected Carryover</b>	<b>Programming Amount<sup>5</sup></b>	<b>Available for Allocation</b>
<b>AB 664 Bridge Revenues</b>						
70% East Bay	26,507,686	(26,507,686)	1,600,000	1,600,000	1,600,000	3,200,000
30% West Bay	56,103,405	(56,103,405)	700,000	700,000	700,000	1,400,000
<b>SUBTOTAL</b>	<b>82,611,091</b>	<b>(82,611,091)</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>	<b>2,300,000</b>
<b>MTC 2% Toll Revenues</b>						
Ferry Capital	4,302,443	(2,347,036)	1,000,000	2,955,407	1,000,000	3,955,407
ABAG Bay Trail	28,405	(478,405)	450,000	0	450,000	450,000
SMART	828,544	(828,544)	0	0	0	0
Studies	789,299	(87,894)	0	701,405	0	701,405
<b>SUBTOTAL</b>	<b>5,948,691</b>	<b>(3,741,879)</b>	<b>1,450,000</b>	<b>3,656,812</b>	<b>1,450,000</b>	<b>5,106,812</b>
<b>5% State General Fund Revenues</b>						
Ferry	8,356,827	(339,000)	2,945,512	10,963,339	2,977,621	13,940,960
ABAG Bay Trail	0	(265,380)	265,380	0	265,380	265,380
<b>SUBTOTAL</b>	<b>8,356,827</b>	<b>(604,380)</b>	<b>3,210,892</b>	<b>10,963,339</b>	<b>3,243,001</b>	<b>14,206,340</b>

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The AB 664, RM1, and MTC 2% Toll Revenues, listed above, commencing in FY2010-11, are funded from this payment.
2. RM1 90% Rail Extension allocation is made through MTC Resolutions 3833 and 3915.
3. Balance as of 6/30/15 is from MTC FY2014-16 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
4. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 1/30/16.
5. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for AB 664, 2%, and 5% bridge toll revenues.

**FY 2016-17 FUND ESTIMATE**  
**AB1107 FUNDS**  
**AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX**

Attachment A  
 Res No. 4220  
 Page 14 of 17  
 7/27/2016

FY2015-16 AB1107 Revenue Estimate		FY2016-17 AB1107 Estimate	
1. Original MTC Estimate (Feb, 15)	\$77,560,800	4. Projected Carryover (Feb, 16)	\$0
2. Actual Revenue (June, 16)	\$80,517,825	5. MTC Estimate (Feb, 16)	\$80,749,839
3. Revenue Adjustment (Lines 2-1)	\$2,957,025	6. Total Funds Available (Lines 4+5)	\$80,749,839

**AB1107 APPORTIONMENT BY OPERATOR**

Column	A	B	C=Sum(A:B)	D	E	F	G=Sum(A:F)	H	I=Sum(G:H)
	6/30/2015	FY2014-15	6/30/2015	FY2014-16	FY2015-16	FY2015-16	6/30/2016	FY2016-17	FY2016-17
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
SFMTA	0	0	0	(40,258,913)	38,780,400	1,478,513	0	40,374,920	40,374,920
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$80,517,826)</b>	<b>\$77,560,800</b>	<b>\$2,957,026</b>	<b>\$0</b>	<b>\$80,749,840</b>	<b>\$80,749,840</b>

1. Balance as of 6/30/15 is from MTC FY2014-15 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/15, and FY2015-16 allocations as of 6/30/16.

**FY 2016-17 FUND ESTIMATE  
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES  
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

Attachment A  
Res No. 4220  
Page 15 of 17  
7/27/2016

**ARTICLE 4.5 & STA PARATRANSIT SUBAPPORTIONMENT**

Apportionment Jurisdictions	Alameda		Contra Costa	
	Article 4.5	STA Paratransit	Article 4.5	STA Paratransit
<b>Total Available</b>	<b>\$3,672,823</b>	<b>\$672,411</b>	<b>\$2,057,135</b>	<b>\$416,981</b>
AC Transit	\$3,356,663	\$607,711	\$661,581	\$119,170
LAVTA	\$124,830	\$29,506		
Pleasanton	\$67,921			
Union City	\$123,409	\$35,194		
CCCTA			\$784,093	\$162,988
ECCTA			\$439,445	\$108,850
WCCTA			\$172,017	\$25,973

**IMPLEMENTATION OF OPERATOR AGREEMENTS**

Fund Source	Apportionment Jurisdictions	Claimant	Amount <sup>1</sup>	Program
<b>Total Available BART STA Revenue-Based Funds</b>			<b>\$14,621,265</b>	
STA Revenue-Based	BART	AC Transit	(396,900)	Fare Coordination Set-Aside <sup>2</sup>
STA Revenue-Based	BART	CCCTA	(777,759)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(654,479)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(2,528,512)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,656,398)	BART Feeder Bus
<b>Total Payment</b>			<b>(7,014,048)</b>	
<b>Remaining BART STA Revenue-Based Funds</b>			<b>\$7,607,217</b>	
<b>Total Available BART TDA Article 4 Funds</b>			<b>\$347,388</b>	
TDA Article 4	BART-Alameda	LAVTA	(85,256)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(262,132)	BART Feeder Bus
<b>Total Payment</b>			<b>(347,388)</b>	
<b>Remaining BART TDA Article 4 Funds</b>			<b>\$0</b>	
<b>Total Available SamTrans STA Revenue-Based Funds</b>			<b>\$1,918,728</b>	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
<b>Total Payment</b>			<b>(801,024)</b>	
<b>Remaining SamTrans STA Revenue-Based Funds</b>			<b>\$1,117,704</b>	
<b>Total Available Union City TDA Article 4 Funds</b>			<b>\$7,435,252</b>	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
<b>Total Payment</b>			<b>(116,699)</b>	
<b>Remaining Union City TDA Article 4 Funds</b>			<b>\$7,318,553</b>	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

2. MTC holds funds in accordance with the BART-AC Transit Memorandum of Understanding on feeder/transfer payments, final amount will be reconciled after close of FY 2015-16.



**FY 2016-17 FUND ESTIMATE  
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

*Attachment A  
Res No. 4220  
Page 16 of 17  
7/27/2016*

**PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION**

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-15	MTC Res-3833	MTC Res-3925	FY2016-17
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	2,691,476
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans <sup>1</sup>	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
<b>TOTAL</b>	<b>\$62,000,000</b>	<b>100%</b>	<b>\$6,395,361</b>	<b>\$0</b>	<b>\$0</b>	<b>\$30,951,976</b>	<b>\$21,980,390</b>

**FY 2016-17 FUND ESTIMATE  
CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)**

Attachment A  
Res No. 4220  
Page 17 of 17  
7/27/2016

<b>FY2015-16 LCTOP Revenue Estimate<sup>1</sup></b>		<b>FY2016-17 LCTOP Revenue Estimate<sup>2</sup></b>	
1. Statewide Appropriation (Oct, 15)	\$75,000,000	5. Estimated Statewide Appropriation (June, 16)	\$100,000,000
2. MTC Region Revenue-Based Funding	\$20,890,977	6. Estimated MTC Region Revenue-Based Funding <sup>3</sup>	\$28,979,900
3. MTC Region Population-Based Funding	\$7,275,276	7. Estimated MTC Region Population-Based Funding <sup>3</sup>	\$9,700,368
<b>4. Total MTC Region Funds</b>	<b>\$28,166,253</b>	<b>8. Estimated Total MTC Region Funds</b>	<b>\$38,680,268</b>

1. The FY 2015-16 LCTOP revenue generation based on the State Controller's Office Low Carbon Transit Operations Program Allocation Summary of 10/30/2015.

2. The FY 2016-17 LCTOP revenue generation based on the \$100 million estimated in the FY 2016-17 State Budget.

3. The FY 2016-17 LCTOP amounts for the Bay Area are subject to change pending updated distribution factors for the STA and LCTOP programs from the State Controller's Office.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC  
Revised: 07/27/16-C

ABSTRACT

Resolution No. 4229, Revised

This resolution approves the allocation of the Regional Measure 2 operating and planning funds for FY 2016-17.

This resolution allocates funds to the Water Emergency Transportation Authority (WETA).

This resolution was revised on July 27, 2016 to allocate funds to AC Transit and San Francisco Municipal Transportation Agency (SFMTA).

Discussion of the allocations made under this resolution are contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016 and July 13, 2016.

Date: June 22, 2016  
W.I.: 1255  
Referred by: PAC

Re: Allocation of Regional Measure 2 funds for transit operations and planning for FY 2016-17

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION No. 4229

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Streets and Highways Code Sections 30950 *et seq.* created the Bay Area Toll Authority (“BATA”) which is a public instrumentality governed by the same board as that governing MTC; and

WHEREAS, on March 2, 2004, voters approved Regional Measure 2, increasing the toll for all vehicles on the seven state-owned toll bridges in the San Francisco Bay Area by \$1.00, with this extra dollar funding various transportation projects within the region that have been determined to reduce congestion or to make improvements to travel in the toll bridge corridors, as identified in SB 916 (Chapter 715, Statutes of 2004), commonly referred as Regional Measure 2 (“RM2”); and

WHEREAS, RM2 establishes the Regional Traffic Relief Plan and programs eligible for RM2 funding for transit operating and planning assistance as identified in Streets and Highways Code Section 30914(d).

WHEREAS, RM2 assigns administrative duties and responsibilities for the implementation of the Regional Traffic Relief Plan to MTC; and

WHEREAS, BATA shall fund the projects of the Regional Traffic Relief Plan by transferring RM2 authorized funds to MTC; and

WHEREAS, MTC adopted policies and procedures for the implementation of the Regional Measure 2 Regional Traffic Relief Plan on June 23, 2004, specifying the allocation criteria and project compliance requirements for RM 2 funding (MTC Resolution No. 3636, Revised); and

WHEREAS, MTC has reviewed the allocation requests submitted for RM2 transit operations and planning funds from the project sponsor(s) listed in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length funds; and

WHEREAS, project sponsors seeking RM2 funds are required to submit an Operating Assistance Proposal (OAP), pursuant to Streets and Highway Code Section 30914(e) to MTC for review and approval, which demonstrates a fully funded operating plan and consistency with the performance measures, as applicable; and

WHEREAS, Attachment A lists the projects requested by project sponsors for RM2 funding, project specific conditions, and amounts recommended for RM2 allocation by MTC staff; and

RESOLVED, that MTC approves staff's review of the OAP for the projects listed in Attachment A; and be it further

RESOLVED, that MTC approves the allocation of RM2 funds in accordance with Attachment A; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds as set forth in Attachment A are conditioned upon the project sponsor complying with the provisions of the Regional Measure 2 Regional Traffic Relief Plan Policy and Procedures as set for in length in MTC Resolution 3636, Revised; and be it further

RESOLVED, that the allocation and reimbursement of RM2 funds are further conditioned upon the project specific conditions as set forth in Attachment A; and, be it further

RESOLVED, that a certified copy of this resolution, shall be forwarded to the project sponsors.

METROPOLITAN TRANSPORTATION COMMISSION



---

Dave Cortese, Chair

The above resolution was entered into by the Metropolitan Transportation Commission at the regular meeting of the Commission held in San Francisco, California, on June 22, 2016.

FY 2016-17 ALLOCATION OF REGIONAL MEASURE 2 FUNDS  
FOR TRANSIT OPERATIONS AND PLANNING

Funding for each route is limited to the amount identified in the FY2016-17 RM2 Operating Program (MTC Resolution 4228).  
All routes are required to meet performance standards identified in MTC's RM2 Policies and Procedures (MTC Resolution 3636).

Claimant	Project Description	Allocation Amount	Allocation Code	Approval Date	Project Number	Farebox Requirement	Org Key
WETA	Planning and Administration	3,000,000	01	06/22/16	11	n.a.	801851400
WETA	Ferry Operating	15,300,000	02	06/22/16	6	40% Peak service, 30% All Day Service	801850900
AC Transit	Express Bus Service	5,427,904	03	07/27/16	4	30% Peak, 20% All Day	801850400
AC Transit	Dumbarton Bus	2,816,976	04	07/27/16	5	30% Peak, 20% All Day	801850500
AC Transit	Owl Bus Service	1,333,623	05	07/27/16	7	10%	801851000
AC Transit	Enhanced/Rapid Bus Service	3,000,000	06	07/27/16	9	n.a.	801851200
SFMTA	Metro 3rd Street Extension	2,500,000	07	07/27/16	8	n.a.	801851100
<b>Total</b>		<b>33,378,503</b>					

Date: June 22, 2016  
Referred by: PAC  
Revised: 07/27/16-C

ABSTRACT

Resolution No. 4230, Revised

This resolution approves the allocation of fiscal year 2016-17 Transportation Development Act Article 4, Article 4.5 and Article 8 funds to claimants in the MTC region.

This resolution allocates funds to County Connection (CCCTA) and Santa Rosa.

This resolution was revised on July 27, 2016 to allocate funds to AC Transit, LAVTA, SFMTA, Soltrans, Sonoma County Transit, Tri Delta Transit (ECCTA), and WestCAT (WCCTA).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016 and July 13, 2016.



Date: June 22, 2016  
Referred by: PAC

Re: Allocation of Fiscal Year 2016-17 Transportation Development Act Article 4, Article 4.5 and Article 8 Funds to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4230

WHEREAS, pursuant to Government Code Section 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., makes certain retail sales tax revenues available to eligible claimants for public transportation projects and purposes; and

WHEREAS, MTC is responsible for the allocation of TDA funds to eligible claimants within the MTC region; and

WHEREAS, claimants in the MTC region have submitted claims for the allocation of fiscal year 2016-17 TDA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2016-17 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2016-17 TDA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that pursuant to 21 California Code of Regulations Sections 6621 and 6659, a certified copy of this resolution, along with written allocation instructions for the disbursement of TDA funds as allocated herein, shall be forwarded to the county auditor of the county in which each claimant is located; and, be it further

RESOLVED, that all TDA allocations are subject to continued compliance with MTC Resolution 3866, Revised, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



---

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on June 22, 2016.

Date: June 22, 2016  
 Referred by: PAC  
 Revised: 07/27/16-C

Attachment A  
 MTC Resolution No. 4230  
 Page 1 of 1

ALLOCATION OF TRANSPORTATION DEVELOPMENT ACT ARTICLE 4, 4.5 and 8 FUNDS  
 DURING FISCAL YEAR 2016-17

All TDA allocations are subject to continued compliance with MTC Resolution 3866,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area	OrgKey	Note
<b>5801 - 99233.7, 99275 Community Transit Service - Operations</b>							
AC Transit	Transit Operations	3,319,767	03	07/27/16	Alameda County	261500000	
SFMTA	Transit Operations	2,386,077	04	07/27/16	San Francisco County	265550000	1
	<b>Subtotal</b>	<b>5,705,844</b>					
<b>5802 - 99260A Transit - Operations</b>							
CCCTA	Transit Operations	18,584,451	01	06/22/16	CCCTA	262441000	
Santa Rosa	Transit Operations	5,555,547	02	06/22/16	Santa Rosa	269483500	
LAVTA	Transit Operations	9,433,761	05	07/27/16	LAVTA	261432000	
WCCTA	Transit Operations	2,290,427	06	07/27/16	WCCTA	262443000	
AC Transit	Transit Operations	44,986,143	07	07/27/16	AC Transit Alameda D1	261430000	
AC Transit	Transit Operations	11,969,229	08	07/27/16	AC Transit Alameda D2	261431000	
AC Transit	Transit Operations	6,436,688	09	07/27/16	AC Transit Contra Costa	262430000	
ECCTA	Transit Operations	10,924,502	10	07/27/16	ECCTA	262442000	
SFMTA	Transit Operations	45,335,462	11	07/27/16	SFMTA	265400000	
SolTrans	Transit Operations	3,966,654	12	07/27/16	Vallejo/Benicia	268476000	2
Sonoma County	Transit Operations	7,116,436	13	07/27/16	Sonoma County	269485500	
Sonoma County	Transit Operations	199,597	13	07/27/16	Petaluma	269482500	
	<b>Subtotal</b>	<b>166,798,897</b>					
<b>5803 - 99260A Transit - Capital</b>							
Soltrans	Transit Capital	3,141,406	14	07/27/16	Vallejo/Benicia	268476000	2
	<b>Subtotal</b>	<b>3,141,406</b>					
<b>5807 - 99400C General Public - Operating</b>							
Sonoma County	Transit Operating	1,199,117	15	07/27/16	Sonoma County	269485500	
Sonoma County	Transit Operating	39,919	15	07/27/16	Petaluma	269482500	
	<b>Subtotal</b>	<b>1,239,036</b>					
	<b>TOTAL</b>	<b>176,885,183</b>					

**Note:**

- (1) MTC finds that these Article 4.5 funds can be used to better advantage for Article 4 purposes.
- (2) Allocation subject to approval of the TDA Matrix by the Solano Transportation Authority on 7/13/16.

Date: June 22, 2016  
Referred by: PAC

Attachment B  
Resolution No. 4230  
Page 1 of 3

ALLOCATION OF FISCAL YEAR 2016-17  
TRANSPORTATION DEVELOPMENT ACT  
ARTICLE 4, ARTICLE 4.5 AND ARTICLE 8  
FUNDS TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which Transportation Development Act funds are allocated under this resolution.

**Transportation Development Act Article 4 Funds**

Public Utilities Code § 99268 et seq.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of Public Utilities Code § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (Public Utilities Code §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6633.1, or § 6634; and

5. That pursuant to Public Utilities Code § 99233.7 funds available for purposes stated in TDA Article 4.5 can be used to better advantage by a claimant for purposes stated in Article 4 in the development of a balanced transportation system.

**Transportation Development Act Article 4.5 Funds**

Public Utilities Code § 99275

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and

2. That the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and

3. That in accordance with Public Utilities Code § 99275.5(c), MTC finds that the projects and purposes for which each claimant has submitted an application for TDA Article 4.5 funds to MTC, responds to a transportation need not otherwise met in the community of the claimant; that the services of the claimant are integrated with existing transit services, as warranted; that the claimant has prepared and submitted to MTC an estimate of revenues, operating costs and patronage for the fiscal year in which TDA Article 4.5 funds are allocated; and that the claimant has submitted a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code § 99268.5 or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and

4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634; and

5. That each claimant is in compliance with Public Utilities Code §§ 99155 and 99155.5, regarding user identification cards.

**Transportation Development Act Article 8 Transit Funds**

Public Utilities Code §§ 99400(c), 99400(d) and 99400(e)

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with Public Utilities Code §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 California Code of Regulations § 6651), and with the applicable state regulations (21 California Code of Regulations § 6600 et seq.), and with the applicable MTC rules and regulations, including MTC Resolution No. 1209, Revised; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 8 funds a budget indicating compliance with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in Public Utilities Code §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That the sum of each claimant's total allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount that the claimant is eligible to receive, in accordance with the calculations prescribed by 21 California Code of Regulations § 6634.

Date: June 22, 2016  
Referred by: PAC  
Revised: 07/27/16-C

## ABSTRACT

### Resolution No. 4231, Revised

This resolution approves the allocation of State Transit Assistance (STA) funds for fiscal year 2016-17.

This resolution allocates funds to County Connection (CCCTA) and MTC.

This resolution was revised on July 27, 2016 to allocate funds to AC Transit, SFMTA, Tri Delta Transit (ECCTA), and WestCAT (WCCTA).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated June 8, 2016 and July 13, 2016.

Date: June 22, 2016  
Referred by: PAC

Re: Allocation of Fiscal Year 2016-17 State Transit Assistance to Claimants in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4231

WHEREAS, pursuant to Government Code § 66500 *et seq.*, the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 *et seq.*, provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6, MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99314.5(a) and 99314.5(b), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted applications to MTC for the allocation of fiscal year 2016-17 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2016-17 allocations requested by claimants, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and



WHEREAS, pursuant to 21 California Code of Regulations Section 6754, MTC Resolution Nos. 3837 and 4184, and Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists the required findings MTC must make, as the case may be, pertaining to the various claimants to which funds are allocated; and

WHEREAS, the claimants to which funds are allocated under this resolution have certified that the projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC approves the findings set forth in Attachment B to this resolution; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2016-17 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution; and, be it further

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION



---

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on June 22, 2016.

Date: June 22, 2016  
 Referred by: PAC  
 Revised: 07/27/16-C

Attachment A  
 MTC Resolution No. 4231  
 Page 1 of 1

ALLOCATION OF STATE TRANSIT ASSISTANCE FUNDS  
 DURING FISCAL YEAR 2016-17

All STA allocations are subject to continued compliance with MTC Resolution 3866, Revised,  
 the Transit Coordination Implementation Plan.

Claimant	Project Description	Allocation Amount	Alloc. Code	Approval Date	Apportionment Area	Org Key
<b><i>5820 - 6730A Operating Costs - Population-based Small Operator/Northern Counties</i></b>						
CCCTA	Transit Operations	1,456,880	01	06/22/16	CCCTA	280723000
ECCTA	Transit Operations	1,159,791	04	07/27/16	ECCTA	280723400
	<b>Subtotal</b>	<b>2,616,671</b>				
<b><i>5820 - 6730A Operating Costs - Revenue-based</i></b>						
WCCTA	Transit Operations	2,522,198	05	07/27/16	BART	280710600
AC Transit	Transit Operations	7,917,266	06	07/27/16	AC Transit	280710300
ECCTA	Transit Operations	2,404,790	07	07/27/16	BART	280710600
SFMTA	Transit Operations	29,044,960	08	07/27/16	SFMTA	280712400
	<b>Subtotal</b>	<b>41,889,214</b>				
<b><i>5820 - 6730A Operating Costs - Population-based MTC Regional Coordination</i></b>						
MTC	Clipper Operations	11,900,000	02	06/22/16	MTC	280720100
	<b>Subtotal</b>	<b>11,900,000</b>				
<b><i>5821 - 6730B Capital Costs - Population-based MTC Coordination</i></b>						
MTC	Clipper Capital	1,500,000	03	06/22/16	MTC	280720100
	<b>Subtotal</b>	<b>1,500,000</b>				
	<b>TOTAL</b>	<b>57,905,885</b>				

Date: June 22, 2016  
Referred by: PAC

Attachment B  
Resolution No. 4231  
Page 1 of 2

ALLOCATION OF FISCAL YEAR 2016-17 STATE TRANSIT ASSISTANCE FUNDS  
TO CLAIMANTS IN THE MTC REGION

**FINDINGS**

The following findings pertain, as the case may be, to claimants to which State Transit Assistance funds are allocated under this resolution.

1. That each claimant has submitted, or shall have submitted prior to the disbursement of funds, copies, to MTC and to appropriate agencies, of all required State Controller's reports and fiscal audit reports prepared in accordance with PUC §§ 99243 and 99245; and
2. That the projects and purposes for which each claimant has submitted an application for TDA Article 8 funds to MTC are in conformance with MTC's Regional Transportation Plan (21 Cal. Code of Regs. § 6651), and with the applicable state regulations (21 Cal. Code of Regs. § 6600 et seq.), and with the applicable MTC rules and regulations; and
3. That each claimant has submitted to MTC as part of its application for TDA Article 4 funds a budget indicating compliance with the 50% expenditure limitation of PUC § 99268, or with the applicable fare or fares-plus-local-support recovery ratio requirement (PUC §§ 99268.2, 99268.3, 99268.4, 99268.12, or 99270.5), or with the applicable fare or fares-plus-local-match recovery ratio requirement (as set forth, respectively, in PUC §§ 99268.5, 99268.12, or MTC Resolution No. 1209, Revised), as so attested to by the claimant's chief financial officer; and
4. That each claimant is making full use of federal funds available under the Fixing America's Surface Transportation (FAST) Act, as amended; and
5. That the sum of each claimant's allocation of Transportation Development Act and State Transit Assistance funds does not exceed the amount the claimant is eligible to receive, in accordance with the calculations prescribed by 21 Cal. Code of Regs. § 6633.1 or § 6634; and

6. That MTC has given priority consideration to claims to offset reductions in federal operating assistance and the unanticipated increase in the cost of fuel, to enhance existing public transportation services, and to meet high priority regional, countywide, or areawide public transportation needs; and
7. That each claimant has made a reasonable effort to implement the productivity improvements recommended pursuant to PUC § 99244; and
8. That each claimant has submitted to MTC a copy of a certification from the California Highway Patrol verifying that the claimant is in compliance with Section 1808.1 of the Vehicle Code (“Pull Notice Program”), as required by PUC § 99251; and
9. That each claimant is in compliance with the eligibility requirements of PUC §§ 99314.6 or 99314.7; and
10. That each claimant has certified that it has entered into a joint fare revenue sharing agreement with every connecting transit operator, and that it is in compliance with MTC’s Transit Coordination Implementation Plan, pursuant to Government Code §§ 66516 and 66516.5, PUC §§ 99314.5(c) and §99314.7, and MTC Resolution No. 3866, Revised.

Date: July 27, 2016  
Referred by: PAC

ABSTRACT

Resolution No. 4232

This resolution approves the allocation of fiscal year 2016-17 AB 1107 half-cent sales tax funds to AC Transit and the San Francisco Municipal Transportation Agency (SFMTA).

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheet dated July 13, 2016.

Date: July 27, 2016  
Referred by: PAC

Re: Allocation of Fiscal Year 2016-17 "AB 1107" Half-Cent Sales Tax Funds

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4232

WHEREAS, pursuant to Government Code Section 66500 *et seq.*, the Metropolitan Transportation Commission ("MTC") is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, Public Utilities Code Section 29142.2(b) provides that, after deductions for certain administrative expenses, twenty-five percent (25%) of the proceeds from the one-half cent transactions and use tax collected within the San Francisco Bay Area Rapid Transit District (hereinafter referred as "AB 1107" funds), shall, on the basis of regional priorities established by MTC, be allocated by MTC to the City and County of San Francisco for the Municipal Railway ("Muni") and to the Alameda-Contra Costa Transit District ("AC Transit"), for transit services; and

WHEREAS, Muni and/or AC Transit has submitted a request for the allocation of fiscal year 2016-17 AB 1107 funds for transit service projects and purposes in accordance with the regional priorities established by MTC; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2016-17 allocations requested by Muni and/or AC Transit, and is from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; and

WHEREAS, Attachment B to this resolution, attached hereto and incorporated herein as though set forth at length, lists findings pertaining to the allocations made under this resolution to Muni and/or AC Transit, as the case may be; and

WHEREAS, Muni and/or AC Transit has certified that its projects and purposes listed and recorded in Attachment A are in compliance with the requirements of the California

Environmental Quality Act (Public Resources Code Section 21000 et seq.), and with the State Environmental Impact Report Guidelines (14 California Code of Regulations Section 15000 et seq.); now, therefore, be it

RESOLVED, that MTC finds that the projects and purposes as listed and recorded in Attachment A are in conformance with MTC's Regional Transportation Plan; and, be it further

RESOLVED, that MTC approves the allocation of fiscal year 2016-17 funds under this resolution to Muni and/or AC Transit, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A.

RESOLVED, that all AB1107 allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan.

METROPOLITAN TRANSPORTATION COMMISSION

---

Dave Cortese, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on July 26, 2016.

Date: July 27, 2016  
Referred by: PAC

Attachment A  
MTC Resolution No. 4232  
Page 1 of 1

ALLOCATION OF AB 1107 FUNDS  
DURING FISCAL YEAR 2016-17

All AB 1107 allocations are subject to continued compliance with MTC Resolution 3866,  
the Transit Coordination Implementation Plan.

Claimant	Project Description	Fare Ratio Percentage		Allocation Amount	Alloc. Code	Approval Date
		FY 14-15	FY 16-17			
AC Transit	Transit Operations	66.9%	61.2%	50% of deposits to MTC's AB 1107 account.	01	07/27/16
SFMTA	Transit Operations	75.6%	77.8%	50% of deposits to MTC's AB 1107 account.	02	07/27/16



ALLOCATION OF FISCAL YEAR 2016-17  
AB 1107 FUNDS

**FINDINGS**

The following findings pertain to the allocation of funds under this resolution to AC Transit and/or SFMTA, as the case may be.

	<i>AC Transit</i>	<i>SFMTA</i>
1. In accordance with Public Utilities Code §29142.4(a), the operator is a participating member of the Clipper Executive Board and the Bay Area Partnership Board, established by MTC and which serve the function of a regional transit coordinating council.	<i>YES</i>	<i>YES</i>
2. In accordance with Public Utilities Code §29142(c), the operator has complied with the transit system standards established by MTC pursuant to Government Code §66517.5.	<i>YES</i>	<i>YES</i>
3. In accordance with Public Utilities Code § 29142.5, MTC may consider local support revenues in excess of the operator's base amount as fare revenues, as long as by doing so it will enable the operator to maintain or improve vital transit service within a coordinated fare structure. The audited financials submitted by the claimant for FY 2014-15 and included in the proposed FY 2016-17 budget demonstrate a fare ratio of greater than 33 percent when considering other local excess revenue.	<i>YES</i>	<i>YES</i>