



METROPOLITAN
TRANSPORTATION
COMMISSION

Bay Area Metro Center
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San Francisco, CA 94105
TEL 415.778.6700
WEB www.mtc.ca.gov

Memorandum

TO: Administration Committee

DATE: June 7, 2017

FR: Executive Director

RE: MTC Financial Statements for April 2017

Attached please find MTC financial statements for the ten month period ending April 30, 2017.

Major highlights of the ten month period include:

- (1) **Operating Income:** Total operating income for the ten months is trending at 63% with 83% of the budget year elapsed.
- (2) **Transfers:** Transfers include the annual 1% BATA administration fee in the amount of \$7.3 million.
- (3) **Operating Expenditures:** Total operating expenditures, excluding contracts, are at 78% for the ten month period, or 83% of the budget year. Contract services are well below budget at 33% which is typical since most of the contracts span multiple years.

Federal Grants: There are nine new grants in the FY 2016-17 budget that have been awarded. MTC will be applying for the remaining new grant for Incident Management this fiscal year. One Priority Development Area Planning Grant has been fully spent and will be closed out in FY 2016-17.

Adopted budget numbers have been adjusted to include contract encumbrances from the prior fiscal year.

If there are any questions, please contact Eva Sun at (415) 778-6795.

Steve Heminger

SH:bm
Attachment

OPERATING INCOME
MTC OPERATING BUDGET FOR FY 2016-17
As of April, 2017 (83.3% of year)

	3	4	5	6
Operating Revenue	FY 2016-17 Total Budget	Actual Revenue	Budget Balance Over/(Under)	% of Budget (col 4/3)
General Fund Revenue:				
TDA	12,500,000	11,115,029	(1,384,971)	88.9%
Interest	20,000	41,767	21,767	208.8%
General Fund Total	12,520,000	11,156,796	(1,363,204)	89.1%
Federal Planning Revenue:				
FHWA	8,581,331	7,510,731	(1,070,599)	87.5%
FHWA - SP&R Partnership PL Grant	300,000	24,949	(275,051)	8.3%
Sustainable Transportation Planning Grant	150,000	-	-	0.0%
FTA	6,247,329	3,488,254	(2,759,075)	55.8%
FTA 5304	708,000	2,560	(705,440)	0.4%
Federal Planning Revenue Total	15,986,659	11,026,495	(4,810,164)	69.0%
State Funding Revenue:				
STIP	742,776	430,696	(312,080)	58.0%
State Revenue Total	742,776	430,696	(312,080)	58.0%
Local Funding Revenue:				
TFCA	1,169,117	156,523	(1,012,594)	13.4%
ABAG	-	22,738	22,738	0.0%
HOV	500,000	355,807	(144,193)	0.0%
Pavement Management	1,465,000	876,382	(588,618)	59.8%
PTAP Local Match	143,953	80,579	(63,374)	56.0%
BAAQMD	308,749	15,475	(293,274)	5.0%
Misc	1,566,006	1,265,431	(300,576)	80.8%
Local Funding Total	5,152,826	2,772,935	(2,379,891)	53.8%
Transfers:				
BATA Reimbursement	2,260,798	676,552	(1,584,246)	29.9%
RAFC	74,640	259,791	185,151	348.1%
SAFE	2,329,230	87,020	(2,242,210)	3.7%
BATA 1%	7,297,531	7,297,531	-	100.0%
2% Transit Transfers	452,868	23,789	(429,079)	5.3%
Transfers in - STA	3,331,274	492,523	(2,838,750)	14.8%
Transfer from or (to) Reserve/Capital	6,683,025	1,577,034	(5,105,991)	23.6%
Transfers Total	22,429,366	10,414,240	(12,015,126)	46.4%
Total Operating Revenue	56,831,627	35,801,162	(20,880,465)	63.0%

OPERATING EXPENDITURES
MTC OPERATING BUDGET FOR FY 2016-17
As of April, 2017 (83.3% of year)

	3	4	5	6	7
Operating Expenditures	FY 2016-17 Total Budget	Actual Expense	Budget Balance Over/(Under)	% of Budget (col 4/3)	Encumbrance
Salaries & Benefits	23,251,740	18,455,777	(4,795,963)	79.4%	136,191
Travel & Training	402,000	267,700	(134,300)	66.6%	59,105
Commission Expense					
Commissioner Expense	70,000	79,453	9,453	113.5%	-
Advisory Committees	15,000	13,200	(1,800)	88.0%	-
Printing & Graphics	134,100	44,456	(89,644)	33.2%	28,533
Computer Services	1,404,500	1,526,473	121,973	108.7%	-
General Operations	2,838,624	1,652,163	(1,186,461)	58.2%	836,284
Total operating	28,115,964	22,039,221	(6,076,743)	78.4%	1,060,112
Contract Services	28,715,663	9,561,098	(19,154,564)	33.3%	9,492,495
Total Operating Expenditures	56,831,627	31,600,319	(25,231,307)	55.6%	10,552,607

MTC CAPITAL BUDGETS
As of April, 2017 (83.3% of year)

<u>Capital</u>	Total Budget	Actual	Encumbrance	Balance
Transfer from Reserves	\$0	\$0	\$0	\$0
Expense	\$674,032	\$66,145	\$607,887	\$0

<u>Hub Signage Program</u>	LTD Total Budget	LTD Actual	Encumbrance	LTD Balance
Prop 1B	9,856,450	9,856,450	-	-
RM2	362,000	158,512	-	203,488
STA	1,333,045	545,787	-	787,258
Real Time Sign - BART	300,000	-	-	300,000
Revenue	\$11,851,495	\$10,560,749	\$0	\$1,290,746
Expense	\$11,851,495	\$9,196,317	\$962,105	\$1,693,073

Life to Date Federal Grants Budget

As of April, 2017 (83.3% of year)

Fund Source		Grant LTD Balance	New Grants	Total Grants	Staff Actual	Consultant Actual	Encumbrances	Remaining Balance
STP Grants								
1580	Station Area Planning	\$1,295,898	-	\$1,295,898	-	487,782	384,610	\$423,505
1801	CMA Planning	4,458,887	9,150,000	13,608,887	334,448	5,682,223	6,546,259	1,045,957
1803	511 Grant	7,407,504	-	7,407,504	6,309	2,269,999	4,283,156	848,041
1805	Regional Streets and Roads	232,744	-	232,744	-	182,792	49,952	-
1806	Pavement Management	1,461,030	-	1,461,030	-	621,944	734,085	105,000
1811	PDA Planning*	20,073	-	20,073	-	20,073	-	-
1812	Regional PDA Planning	7,058,600	-	7,058,600	-	929,129	5,348,794	780,677
1816	Arterial Operations	2,393,072	-	2,393,072	-	655,361	1,161,487	576,224
1818	Pavement Management	-	1,500,000	1,500,000	-	-	699	1,499,301
1819	511 Traveler Information	-	9,030,000	9,030,000	1,449,545	23,742	637,020	6,919,693
1820	Freeway Performance Initiative	-	7,200,000	7,200,000	1,000	5,785	85,676	7,107,539
1821	Arterial Operations	-	500,000	500,000	1,000	-	-	499,000
1822	Regional Streets and Roads	-	347,000	347,000	-	-	230,485	116,515
1823	Incident Management	-	1,400,000	1,400,000	-	-	-	1,400,000
Total STP Grants		\$24,327,808	\$29,127,000	\$53,454,808	\$1,792,302	\$10,878,829	\$19,462,224	\$21,321,452
CMAQ Grants								
1589	Arterial Operations (PASS Program)	\$2,224,676	-	\$2,224,676	314,506	579,876	509,770	\$820,525
1591	Climate Initiatives Program Public Outreach	617,293	-	617,293	20,762	150,673	445,858	-
1592	Climate Initiatives Evaluation	675,067	-	675,067	-	144,892	130,174	400,000
1596	Freeway Performance Initiative	3,838,056	-	3,838,056	1,453,174	385,703	1,534,148	465,032
1800	Incident Management	4,696,797	-	4,696,797	144,938	-	401,161	4,150,698
1804	511 Grant	3,117,361	-	3,117,361	376,010	1,471,360	926,646	343,345
1809	FPI Corridor Studies	2,454,318	-	2,454,318	196,969	136,130	272,392	1,848,828
New	Incident Management	-	10,840,000	10,840,000	-	-	-	10,840,000
1814	Regional Bicycle Sharing Program	1,490,142	-	1,490,142	-	24,525	171,295	1,294,322
1824	Climate Initiatives Program Public Education	-	1,388,000	1,388,000	-	-	-	1,388,000
Total CMAQ Grants		\$19,113,710	\$12,228,000	\$31,341,710	2,506,358	\$2,893,160	\$4,391,443	\$21,550,750
FTA GRANTS								
1614	JARC	\$347,421	-	\$347,421	-	-	-	\$347,421
1623	New Freedom	133,687	-	133,687	-	2,963	24,947	105,777
1625	JARC	304,533	-	304,533	-	-	-	304,533
1626	New Freedom	47,417	-	47,417	-	-	-	47,417
1627	JARC	171,914	-	171,914	-	41,721	41,461	88,732
1628	New Freedom	181,723	-	181,723	-	-	175,046	6,677
1629	JARC	479,106	-	479,106	-	193,831	285,275	-
1630	JARC	1,667,079	-	1,699,819	-	429,656	914,563	355,600
1631	FTA 5339	9,665,839	-	9,665,839	-	-	-	9,665,839
1632	New Freedom	763,840	-	699,100	-	232,893	366,041	100,166
1633	FTA 5339	11,807,629	-	11,807,629	-	-	-	11,807,629
1634	FTA 5339	9,590,718	-	9,393,017	-	-	-	9,393,017
1635	FTA 5310	460,429	-	460,429	451,480	-	-	8,949
1668	TIGER (FTA)	460,808	-	460,808	-	318,244	142,564	-
Total FTA Grants		\$36,082,143	-	\$35,852,442	451,480	1,219,308	\$1,949,897	\$32,231,755
HPP/VPP GRANTS								
Other Grants								
1110	HEPP Travel Model	\$81,843	-	\$81,843	-	3,395	78,449	-
1112	SHRP2L Travel Analysis	\$522,496	-	\$522,496	-	64,832	421,786	35,878
Total Other Grants		\$604,339	-	\$604,339	-	\$68,227	\$500,235	\$35,878
Total Federal Grants Budget		\$80,128,000	\$41,355,000	\$121,253,299	\$4,750,140	\$15,059,524	\$26,303,799	\$75,139,835

1811 PDA Planning*

This grant is fully spent. Will be closed out in FY16/17

CLIPPER OPERATING BUDGET
As of April, 2017 (83.3% of year)

Clipper Operating	Total FY 2016-17			
	Budget	Actual	Encumbrance	Balance
RM2	2,950,000	1,550,822	-	1,399,178
STP	180,000	-	-	180,000
STA	11,736,461	7,726,391	-	4,010,070
Transit Operators	18,352,529	12,195,540	-	6,156,989
Revenue	\$33,218,989	\$21,472,753	-	\$11,746,237
Expense	\$33,218,989	\$21,462,097	\$11,756,892	(\$0)

CLIPPER I - CAPITAL BUDGET (Life to Date)
As of April, 2017 (83.3% of year)

Clipper I - Capital	LTD Budget			Project
	Thru FY 2016-17	Actual	Encumbrance	Balance L-T-D
CMAQ	68,703,835	65,410,508	-	3,293,327
Card Sales	6,851,267	6,017,564	-	833,703
Cap and Trade	3,559,290	3,559,290	-	-
ARRA	11,167,891	11,167,891	-	-
FTA	27,213,349	21,958,686	-	5,254,663
STP	37,538,086	27,270,962	-	10,267,124
STA	23,309,540	19,781,601	-	3,527,939
Prop 1B	988,137	988,137	-	-
SFMTA	8,005,421	3,211,570	-	4,793,851
GGBHTD	2,975,000	2,638,123	-	336,877
BART	725,000	412,762	-	312,238
MTC Exchange Fund	7,573,878	7,573,878	-	-
BATA	26,864,813	24,389,529	-	2,475,284
Transit Operators	13,857,000	657,776	-	13,199,224
WETA	603,707	603,707	-	-
Sales Tax	890,216	890,216	-	-
Revenue	\$240,826,430	\$196,532,199	-	\$44,294,231
Expense	\$240,826,430	\$189,352,033	\$17,112,804	\$34,361,593

CLIPPER II - CAPITAL BUDGET (Life to Date)
As of April, 2017 (83.3% of year)

Clipper II - Capital	LTD Budget			Project
	Thru FY 2016-17	Actual	Encumbrance	Balance L-T-D
STP	4,569,554	3,924,307	-	645,247
FTA	10,078,133	-	-	10,078,133
Golden Gate - Pass through	5,000,000	-	-	5,000,000
TCP CMAQ Funds	2,684,772	-	-	2,684,772
Low Carbon Transit Operations (LCTOP)	1,100,000	-	-	1,100,000
BATA	260,000	259,802	-	198
STA	1,047,841	1,031,015	-	16,826
Revenue	\$24,740,300	\$5,215,124	-	\$19,525,176
Expense	\$24,740,300	\$5,215,124	\$2,278,748	\$17,246,428

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Support to the Commission	50,000			
1051111 - Subtotal	50,000	-	-	50,000
Implement Public Information Program	510,000			
Consultants		161,750	123,098	
1051112 - Subtotal	510,000	161,750	123,098	225,152
Regional Transportation Plan	1,578,047			
Ascent Environmental, Inc.		92,591	457,170	
Cambridge Systematics		20,503	(1,073)	
Consultants		282,075	513,429	
Tschudin Consulting Group		88,311	60,196	
1051121 - Subtotal	1,578,047	483,480	1,029,722	64,845
Analyze Regional Data using GIS & Travel Models	4,770,684			
Consultants		152,760	94,516	
Corey, Canapary & Galanis		97,069	87,581	
ETC Institute		2,088,509	785,444	
Parsons Brinckerhoff, Inc.		490	85,294	
Redhill Group, Inc.			573	
Resource Systems Group			41,250	
RSG Inc.		15,639	289,361	
1051122 - Subtotal	4,770,684	2,354,467	1,384,019	1,032,198
Resilience (Sea Level Rise/Adaptation) Planning	991,834			
1051126 - Subtotal	991,834	-	-	991,834
Airport/Seaport/Freight Planning	520,000			
Cambridget Systematics		55,000	15,000	
The Tioga Group, Inc.		37,424	412,576	
1051124 - Subtotal	520,000	92,424	427,576	-
Non-Motorized Transportation Activities	284,000			
Consultants		137,970		
1051125 - Subtotal	284,000	137,970	-	146,030

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Advocate Legislative Programs	578,100			
Carter, Wetch & Associates		59,061	11,040	
Government Relations		197,325	65,775	
1051132 - Subtotal	578,100	256,386	76,815	244,899
Agency Financial Management	674,244			
Public Financial Management		238,176	11,824	
Sungard Public Sector		7,322	6,798	
Milliman		568		
PWC		267,118	7,126	
1011152 - Subtotal	674,244	513,184	25,748	135,312
Administrative Services	390,623			
Pathways for High School		93,915	27,955	
PRN Ergonomics		48,300		
CSI Compliance		15,000	2,920	
Koff & Associates		34,830	27,253	
1011153 - Subtotal	390,623	192,045	58,128	140,449
Information Technology Services	497,000			
Visual Strategies		69,000	9,150	
Informatix, Inc.		81,611	173,841	
Nexlevel IT Inc.		1,320	11,468	
SSP Data			71,000	
1011161 - Subtotal	497,000	151,931	265,458	79,611
Performance Measurement and Monitoring	250,852			
CH2M Hill		7,473	16,692	
Consultants		75,608	126,079	
1051212 - Subtotal	250,852	83,081	142,771	25,000
Regional Rideshare Program	1,469,117			
Parsons Brinkerhoff		170,593	990,137	
1051222 - Subtotal	1,469,117	170,593	990,137	308,387

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Operational Support for Regional Programs	348,630			
Consultants		38,500	83,763	
Iteris Inc.		17,432	48,376	
Kimley-Horn			10,559	
1051223 - Subtotal	348,630	55,932	142,698	150,000
Regional Traveler Information	2,281,083			
Civic Resource Group		39,270	262,549	
Consultants		4,720	70,000	
Software License Renewal		7,310		
Faneuil Inc.			96,012	
Iteris Inc.		582,194	672,452	
Kimley-Horn & Associates		9,578	33,639	
SAIC		3,097		
1051224 - Subtotal	2,281,083	646,169	1,134,652	500,263
Emergency Response Operations	100,000			
Software License Renewals		18,300		
1051228 - Subtotal	100,000	18,300	-	81,700
Emergency Response Planning	1,266,881			
URS Corporation		134,807	125,074	
1051229 - Subtotal	1,266,881	134,807	125,074	1,007,000
Pavement Management Program (PMP)	2,179,707			
Adhara Systems, Inc.		13,498	18,314	
AMS Consulting LLC		9,417	19,964	
CA State University, Chico			50,000	
Bellecci & Associates			9,204	
Capitol Asset & Pavement Services		14,293	18,359	
CH2M Hill		4,989		
Consultants		23,683	36,424	
DevMecca, LLC		822,863	2,158	
Harris & Associates		16,029	13,720	
JG3 Consulting LLC		2,237	2,581	
Nicholas Consulting Engineers		23,062	9,440	
Quality Engineering Solutions		2,044	3,527	
1051233 - Subtotal	2,179,707	932,115	183,691	1,063,901

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Arterial Operations	154,488			
DKS Associates		22,074	20,859	
Iteris Inc.		18,481	10,949	
Kimley-Horn And Associates		22,797	24,739	
TJKM Transportation		17,840	16,749	
1051234 - Subtotal	154,488	81,192	73,296	-
Incident Management	206,600			
Iteris Inc.		4,600		
Kimley-Horn & Associates			2,000	
1051235 - Subtotal	206,600	4,600	2,000	200,000
Freeway Performance Initiative	1,069,746			
Audio Visual Innovations Inc.			143,980	
Cambridge Systematics Consultants			55,829 467,000	
FEHR & PEERS Associates		50,726	169,104	
Kettelson & Associates			1,346	
URS Corporation		57,877	30,977	
1051237 - Subtotal	1,069,746	108,603	868,236	92,907
Implement Lifeline Transportation Programs	1,270,231			
CH2M Hill Consultants		38,332 89,500	14,714 76,250	
Nelson/Nygaard		39,547	55,286	
1051311 - Subtotal	1,270,231	167,379	146,250	956,602
Climate Assessment Initiative	35,000			
Bike Share Staffing - City of Berkely		5,871	89,129	
1051413 - Subtotal	35,000	5,871	89,129	(60,000)

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Regional Assistance Program	276,734			
Pieriott & Associates, LLC		25,000	33,734	
1051514 - Subtotal	276,734	25,000	33,734	218,000
State Programing, Monitoring and TIP Development	314,933			
Consultants		134,664	65,336	
1051515 - Subtotal	314,933	134,664	65,336	114,933
Transit Sustainability Project	1,528,481			
Bay Area Rapid Transit			42,857	
City of Union City			30,000	
Consultants		25,536	156,675	
ECCTA			30,000	
LAVTA			9,703	
Napa Valley Transportation Authority		19,919	10,081	
Nelson Nygaard			6,840	
Solano Transportation Authority		90,000	30,000	
Sonoma County Transit			30,000	
Sonoma County Transportation			5,000	
City of Vacaville			10,000	
Westcat		30,000		
1051517 - Subtotal	1,528,481	165,455	361,156	1,001,870
New Freedom	5,000			
Nelson/Nygaard		5,000		
1051518 - Subtotal	5,000	5,000	-	-
Transit Core Capacity Study	459,388			
Arup North America Ltd.		318,244	141,144	
1051519 - Subtotal	459,388	318,244	141,144	-
Transportation for Livable Communities Program	3,079,983			
Arup North America, LTD.			27,500	
Association of Bay Area Government		1,706,499	966,217	
Consultants		71,000	50,447	
Placeworks		16,918	42,358	
City of Santa Clara			60,000	
Toole Design Group		5,560	47,431	
1051611 - Subtotal	3,079,983	1,799,977	1,193,953	86,053

DISBURSEMENT REPORT (Non- Federal Funded)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Climate Adaptation Consulting (BARC)	154,813			
Consultants		50,273	14,599	
1051612- Subtotal	154,813	50,273	14,599	89,941
Legal	1,169,927			
Hanson and Bridgett		19,558	64,243	
Orrick Herrington		138,908	111,092	
Glynn and Finley		17,623	107,602	
Meyers Nave		5,320	28,996	
Schiff Hardin LLP		-	16,386	
Renne Sloan Holtzman Sakalili		3,689	65,757	
1060000 - Subtotal	1,169,927	185,098	394,077	590,752
	249,780			
Ceridian		8,343		
Dell Marketing		110,290		
Gov Delivery Inc.		6,475		
1011998/1999 - Subtotal	249,780	125,109	-	2,699
Total Operating Contract Services	28,715,663	9,561,098	9,492,495	9,540,338

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Expended	Encumbered
Regional Transportation Plan		
Consultants	36,215	311,837
San Francisco Transportation Authority	32,012	188,398
1051122 - Subtotal	68,227	500,235
Parsons Brinkerhoff	647,495	1,820,663
Alameda County Transportation authority		70,000
Consultants		70,000
Solano Transportation Authority	23,742	216,258
1051222 - Subtotal	671,237	2,176,921
Support Regional Traveler Information Services		
Kimley-Horn and Associates	10,028	115,656
1051223 - Subtotal	10,028	115,656
Regional Traffic Information Services		
Civic Resource Group	1,177,814	869,171
Consultants	36,430	
Faneuil, Inc.		741,060
Iteris, Inc.	1,834,352	1,569,050
Kimley-Horn & Associates	73,924	218,236
SAIC	23,901	
1051224 - Subtotal	3,146,421	3,397,517
Pavement Management Program (PMP)		
Adhara Systems, Inc.	104,187	141,352
AMS Consulting LLC	72,688	154,091
Bellecci & Associates		71,036
Capitol Asset & Pavement Services	110,316	141,700
Consultants	182,792	281,136
Harris & Associates	123,717	105,896
JG3 Consulting	17,263	19,919
Nichols Consulting Engieners	177,999	72,865
Quality Engineering Solutions	15,773	27,226
1051233 - Subtotal	804,735	1,015,221

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Expended	Encumbered
Arterial Operations		
Consultants	4,206	135,794
County of Santa Clara	567,000	-
DKS Associates	179,801	239,015
DKS Associates	13,470	83,440
City of Fremont	15,484	562,454
Iteris Inc.	181,995	224,197
Kimly-Horn and Associates	41,200	1,008,800
Kimly-Horn and Associates	124,685	150,734
LAVTA	8,500	191,500
TJKM Transportation	129,685	142,126
TJKM Transportation	6,000	136,000
Valley Transportation Authority	68,400	-
1051234 - Subtotal	1,340,426	2,874,060
Implement Incident Management Program		
URS Corporation		401,161
1051235 - Subtotal	-	401,161
Freeway Performance Initiative		
Audio Visual Innovations Inc.		5,280
Cambridge Systematics	25,548	28,786
Consultants		50,000
Fehr & Peers Associates	50,584	0
Kimly Horn Associates	162,979	277,254
Kittelson & Associates	130,335	119,614
Parsons Brinckerhoff, Inc.	5,785	85,676
Transportation Mobility Solutions	47,196	122,804
URS Corporation		200,000
1051237 - Subtotal	422,427	889,414
Lifeline Program		
City of Alameda	24,875	95,878
Central Contra Costa Transit	-6,705	6,705
County of Contra Costa	33,945	194,534
Cycles of Change	58,041	116,531
Outreach	264,564	283,609
City of Richmond		13,889
San Mateo County Human Sevice	2,381	53,685
1051310 - Subtotal	377,101	764,831

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Expended	Encumbered
Implement Lifeline Transportation Program		
Daly City		41,461
Marin Transit	3,160	285,275
Outreach	190,671	0
Peninsula Family Services	52,557	149,732
Peninsula Family Services	41,721	
1051311 - Subtotal	288,109	476,468
Lifeline Planning		
Alta Planning and Design	127,478	380,954
Civic Resource Group		653
ICF Consulting	105,503	151,153
Toole Design Group	24,525	171,295
1051413 - Subtotal	257,506	704,055
Federal Programming, Monitoring and TIP Development		
County Connection	2,963	24,947
1051512 - Subtotal	2,963	24,947
New Freedom - Non - Planning Funds		
Alameda County CMA		26,774
Center for Independent Living	10,100	101,579
City of Alameda		148,272
Consultants		90
Outreach & Escort Inc.	200,475	246,256
Sonoma County Human Services Department	22,318	18,116
1051518 - Subtotal	232,893	541,087
Transit Core Capacity Study		
Arup North America Ltd.	318,244	142,564
1051519 - Subtotal	318,244	142,564

DISBURSEMENT REPORT - (Funded by Federal Grants)
As of April, 2017 (83.3% of year)

Work Element/Consultant	Expended	Encumbered
Transportation for Livable Communities		
City of Alameda	220,000	30,000
Association of Bay Area Government	717,565	22,508
Bay Conservation & Development Community	198,407	206,427
City of Berkely	230,686	340,417
City of Sunnyvale	265,702	0
Community Design and Architecture	78,226	176,101
Consultants (PO)	12,500	52,600
Dyett & Bhatia	6,420	9,630
Fehr & Peers Associates	50,231	112,808
Nelson Nygaard	146,066	295,556
City of Oakland	172,500	459,800
City of Richmond	222,080	
San Francisco Transportation Authority		196,000
City and County of San Francisco		736,000
City of San Jose		1,363,782
City of San Leandro		440,000
Santa Clara VTA		140,385
City of Santa Clara, Caltrain		850,000
City of Sunnyvale	12,500	518,100
City of Walnut Creek		12,225
1051611 - Subtotal	2,332,883	5,962,339
Fund 190 CMA PLANNING	4,786,324	6,317,325
Total Federal Grant Funded	15,059,524	26,303,799

CAPITAL PROJECTS DISBURSEMENT REPORT
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Capital Expenditures	674,032	66,145	607,887	
Subtotal	<u>\$674,032</u>	<u>\$66,145</u>	<u>\$607,887</u>	<u>\$0</u>
 Hub Signage Program	 11,851,495			
Staff Costs		1,360,648		
Consultants		969,990	10,545	
Kimly-Horn and Associates		621,388	861	
BART		4,262,343	950,346	
Wilbur Smith Associates		100,850		
City of Santa Rosa		89,424		
Jacobs Carter Burgess		481,201		
Fluoresco Lighting		448,201		
Solari Corporation		188,388		
Nematode Holdings, LLC		223,996		
NCPTA		133,860		
Ghirardelli Association		316,028	352	
3322650,2651,2652,2654 & 2655 Subtotal	<u>\$11,851,495</u>	<u>\$9,196,317</u>	<u>\$962,105</u>	<u>\$1,693,073</u>
Capital Projects Total	<u>\$12,525,527</u>	<u>\$9,262,462</u>	<u>\$1,569,992</u>	<u>\$1,693,073</u>

CLIPPER PROJECTS DISBURSEMENT REPORT
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
Clipper Operating	33,218,989			
Staff Costs		1,477,567	398,100	
AC Transit		183,600	66,200	
Caribou Public Relations		88,260	111,740	
Consultants		686,873	142,251	
Cubic Transportation systems		18,179,493	10,626,169	
Moore, Iacofano, Goltsman		316,032	233,968	
Nematode Holdings LLC		224,787	55,109	
Resource Development Association		133,344	46,656	
Synapse Strategies		172,141	76,699	
320122116 Clipper Operating Expenses	\$33,218,989	\$21,462,097	\$11,756,892	(\$0)
Clipper I - Capital	240,826,430			
Staff costs		11,070,692	227,509	
A T & T		77,112		
AC TRANSIT		397,683		
Acumen Building Enterprise		302,151		
AT&T		13,445		
Auriga Corporation		373,734		
BART		2,574,547		
BART		1,130,185		
Booz Allen Hamilton		8,082,237	1,001,153	
Booz Allen Hamilton		13,156,971		
Caporicci & Larson		11,530		
Consultants		1,652,528		
CH2M Hill Inc.				
Cornerstone Transp. Consulting		110,119		
Cubic Transportation Systems		79,798,802	15,198,711	
D-S-P		10,000		
Elmwood Consulting		11,603		
Fleishman-Hillard Inc.		175,760		
Glynn & Finley, LLP		199,990		
Golden Gate BHTD		46,347		
Golden Gate BHTD		38,790		
Golden Gate Transit District		25,270		
Hanson Bridgett Marcus Vlahos		5,000		
Hothouse Interactive		13,104		
Intl. Programming & Systems		29,491		
Invoke Technologies		156,962		
Karen Antion Consulting		290,397		
Kennison Metal Fabrication		225,361		
Kimley-Horn and Associates		667,251		
Kimley-Horn and Associates		337,390		
KPMG consulting		1,127,033		
Local Government Services		915,517		
Macias, Gini and Company		47,190		
Moore, Iacofano, Goltsman		128,627	121,373	

CLIPPER PROJECTS DISBURSEMENT REPORT
As of April, 2017 (83.3% of year)

Work Element/Consultant	Budgeted	Expended	Encumbered	Balance
MOTOROLA (PHASE I)		2,166,458		
MOTOROLA (PHASE II)		37,511,848		
PB CONSULT		193,500		
Peninsula Corr. Joint Powers		2,079,685		
Pricewaterhouse Coopers		40,000		
Samtrans		149,013		
San Francisco Muni		579,882		
Santa Clara VTA		1,636,101		
SBC/MCI		1,128		
SF Muni		431,580		
Shiralian Management Group		83,160		
Synapse Strategies		437,245		
Solano County Transit		165,480		
Solutions for Transit		192,013	7,988	
Thompson Coburn LLP		19,459		
Valley Transportation Authority		2,263,000	32,535	
VenTek Transit, Inc.		679,399	737,926	
Water Emergency Transportation Authority		127,867		
RM2 Capital construction		17,392,396		
310 Clipper Capital I - Total Expenses	\$240,826,430	\$189,352,033	\$17,326,950	\$34,147,447
Clipper II- Capital	24,740,300			
Staff Costs		2,179,417		
IBI Group		2,108,393	1,491,607	
Consultants		204,236	15,445	
Thompson Coburn LLP		211,497	238,503	
CH2M Hill Clipper Consultants		461,903	533,193	
Invoke Technologies		49,678		
312 Clipper II - Total Expenses	\$24,740,300	\$5,215,124	\$2,278,748	\$17,246,428

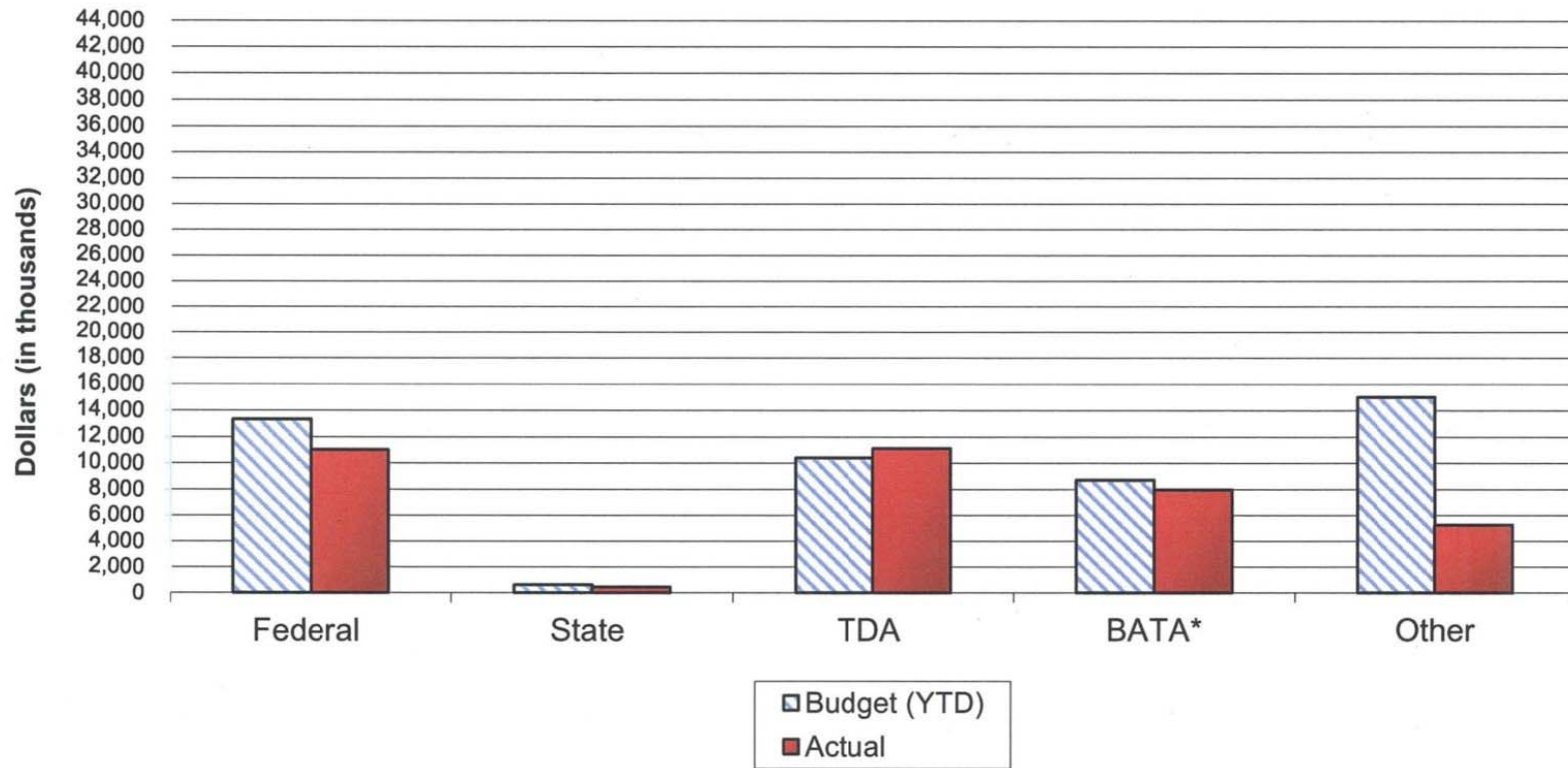
PURCHASE ORDERS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-\$200,000

		As of April, 2017
SSP Data		\$167,249
	<i>Computer Hardware</i>	
SOFTWAREONE Inc.		\$158,514
	<i>Software License Renewal</i>	
Dakota Press		\$2,955
	<i>Printing and Reproduction</i>	
X-IO Technologies		\$6,400
	<i>Computer Maintenance Services</i>	
Women in Transportation		\$2,500
	<i>Women in Transportation - Sponsorship</i>	
SSP Data		\$9,000
	<i>Computer Maintenance Services</i>	
Government Jobs.com		\$4,600
	<i>NEOGOV User License - For Recruiting</i>	

CONTRACTS EXECUTED BY EXECUTIVE DIRECTOR
\$2,500-200,000

<u>Consultant</u>	<u>Purpose</u>	<u>As of April, 2017</u>
URS Corporation	On-Call Facilitation Services	\$200,000
URS Corporation	Transportation Emergency	\$135,000
Daily Journal Corporation	FY16-17 Newspaper Legal Service	\$114,392
Wiline Networks Inc.	Communications License Agreement	\$9,900

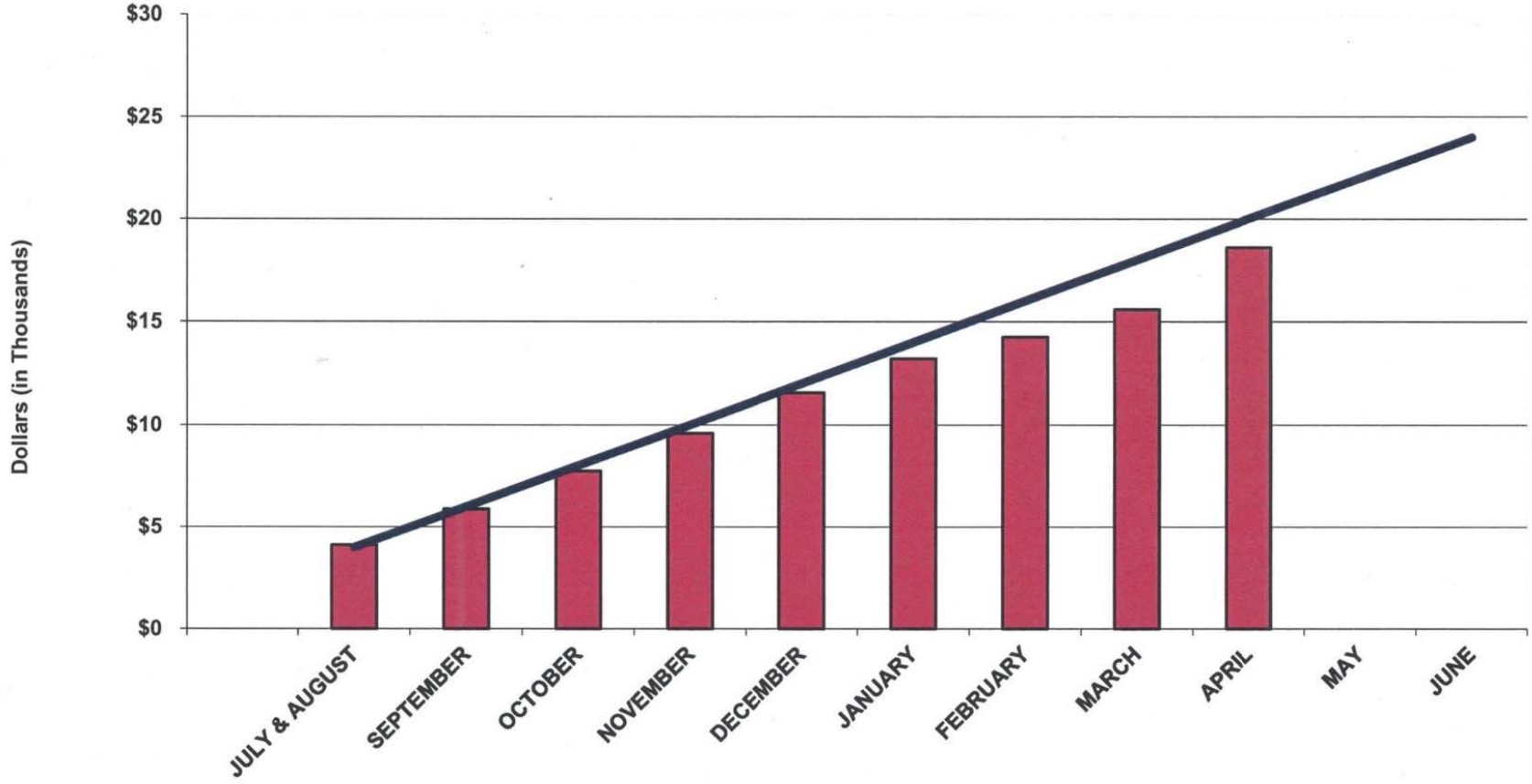
Chart 1: Revenue Comparison between Budget and Actual
April 2017



* Annual

Budget vs Actual Plus Encumbrance Salaries & Benefits

■ YTD (incl encumbrance) — Adopted Budget



**Chart 2: Expenditure Comparison between Budget to Actual and Encumbrances
April 2017**

