

**Metropolitan Transportation Commission
Programming and Allocations Committee**

February 12, 2020

Agenda Item 2f

MTC Resolution No. 4409

Subject: Allocation of \$39 million of State Transit Assistance-State of Good Repair (STA-SGR) funds to MTC and operators for projects approved by the State Department of Transportation (Caltrans).

Background: The Road Repair and Accountability Act of 2017, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017), created the State of Good Repair Account within the State Transit Assistance Program. The State Controller provides the estimate of funding available by operator in accordance with PUC Section 99314 (Revenue-based share) and to the MTC region in accordance with PUC Section 99314 (Population-based share). Approximately \$29 million is expected in revenue-based funds, along with \$10.5 million in population-based funds and these amounts are included in the MTC Fund Estimate (MTC Resolution 4360, Revised).

MTC compiled and submitted the FY 2019-20 STA-SGR proposed project list for Caltrans' review through Resolution 4389 in July 2019. Caltrans published the approved project list in December 2019. Now, MTC proposes to allocate funds to the approved projects as required by statute. Funding recipients are responsible for working directly with Caltrans to deliver their projects and meet the program guidelines. Funds will be allocated to a variety of projects to improve the state of good repair of the Bay Area's transit systems – see Attachment A to this resolution for the complete list of projects and allocations.

Most operators will use their revenue-based funds for state of good repair projects at facilities and stations, to provide local match for projects funded with other state or federal funds, or for the rehabilitation or purchase of public transit vehicles. In accordance with the policy set forth in MTC Resolution 4321, the population-based STA-SGR funds are allocated to MTC to assist in the delivery of the Next Generation Clipper® system.

Issues: None

Recommendation: Refer MTC Resolution No. 4409 to the Commission for approval.

Attachments: MTC Resolution No. 4409



Therese W. McMillan

Date: February 12, 2020
W.I.: 1514
Referred by: PAC

ABSTRACT
Resolution No. 4409

This resolution approves the allocation of State Transit Assistance State of Good Repair (STA-SGR) funds for fiscal year 2019-20.

This resolution allocates STA-SGR funds to MTC and to transit operators in the MTC region with approved projects in accordance with the program guidelines developed by the State Department of Transportation as the program administrator.

Discussion of the allocations made under this resolution is contained in the MTC Programming and Allocations Committee Summary Sheets dated February 12, 2020.

Date: February 26, 2020
W.I.: 1514
Referred by: PAC

Re: Allocation of Fiscal Year 2019-20 State Transit Assistance State of Good Repair funds to recipients in the MTC Region

METROPOLITAN TRANSPORTATION COMMISSION
RESOLUTION NO. 4409

WHEREAS, pursuant to Government Code § 66500 et seq., the Metropolitan Transportation Commission (“MTC”) is the regional transportation planning agency for the San Francisco Bay Area; and

WHEREAS, the Road Repair and Accountability Act of 2017, Senate Bill (SB) 1 (Chapter 5, Statutes of 2017) created a Transportation Improvement Fee, Revenue and Taxation Code Section 11053(a), for allocation under the State Transit Assistance Program; and

WHEREAS, the Road Repair and Accountability Act of 2017, SB 1 (Chapter 5, Statutes of 2017) created the State of Good Repair Program within the State Transit Assistance Program, Public Utilities Code Section, 99312.1(c)

WHEREAS, the Mills-Alquist-Deddeh Act (“Transportation Development Act” or “TDA”), Public Utilities Code Section 99200 et seq., provides that the State Controller shall, pursuant to Public Utilities Code Section 99310, allocate funds in the Public Transportation Account (“PTA”) to the MTC region to be subsequently allocated by MTC to eligible claimants in the region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(a), MTC has created a State Transit Assistance (“STA”) fund which resides with the Alameda County Auditor for the deposit of PTA funds allocated to the MTC region; and

WHEREAS, pursuant to Public Utilities Code Section 99313.6(d), MTC may allocate funds to itself for projects to achieve regional transit coordination objectives; and

WHEREAS, pursuant to Public Utilities Code Sections 99312.2(b)(2), claimants eligible for Transportation Development Act Article 4 and Article 8 funds are eligible claimants for State Transit Assistance funds; and

WHEREAS, eligible claimants have submitted projects to the State Department of Transportation for approval as required by Public Utilities Code Section 99312.1(d) and the State Department of Transportation has approved those projects for allocation of fiscal year 2019-20 STA funds; and

WHEREAS, Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length, lists the amounts of and purposes for the fiscal year 2019-20 allocations requested by claimants, and may be from time-to-time revised; and

WHEREAS, this resolution, including the revisions to Attachment A and the sum of all allocations made under this resolution, are recorded and maintained electronically by MTC; now, therefore, be it

RESOLVED, that MTC approves the allocation of fiscal year 2019-20 STA funds to the claimants, in the amounts, for the purposes, and subject to the conditions, as listed and recorded on Attachment A to this resolution;

RESOLVED, that, pursuant to 21 Cal. Code of Regs. §§ 6621 and 6753, a certified copy of this resolution, along with written allocation instructions for the disbursement of STA funds as allocated herein, shall be forwarded to the Alameda County Auditor; and, be it further

RESOLVED, that all STA allocations are subject to continued compliance with MTC Resolution 3866, the Transit Coordination Implementation Plan; and, be it further

RESOLVED, this resolution incorporates any revisions to the TDA, either by statute or regulation, made hereafter; and, be it further

RESOLVED, that the Executive Director is authorized to make programming changes to Attachment A, up to \$500,000 for each project, in consultation with the affected sponsor and the State Department of Transportation.

METROPOLITAN TRANSPORTATION COMMISSION

Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on February 26, 2020.

ALLOCATION OF STATE TRANSIT ASSISTANCE - STATE OF GOOD REPAIR FUNDS FOR FISCAL YEAR 2019-20

Recipients are responsible for meeting program guidelines and requirements adopted by Caltrans such as annual reporting and fiscal audit. Allocation amounts below are estimated. Final allocation amounts and disbursements will be based on actual funds received from the State of California. At the end of the fiscal year, any revenue received in excess of the allocation amount, but below \$100, will be processed under the existing allocation authorization. If total payment by the State for any allocation is more than \$100 over the allocated amount, the allocation will be amended. If funds paid by the State are less than the allocated amount, the allocation will be reduced accordingly.

		A	B	C	D	E	F	G= SUM(A:F)			
Recipient	FY 19/20 Project	Estimated FY20 Revenue	Unpaid revenue from FY18	FY18 Interest in MTC account	FY19 Revenue	FY19 Interest in MTC account	FY19 Disbursement by MTC	Estimated Total Allocation ¹	Alloc. No.	Approval Date	
<i>Population-based Share</i>											
MTC	Clipper/Clipper 2.0	\$ 10,490,248	\$ 49,064	\$ 17,872	\$ 10,250,287	\$ 49,452	\$ (10,317,223)	\$ 10,539,700	01	02/26/20	
<i>Revenue-based Share</i>											
AC Transit	East Bay Bus Rapid Transit (BRT)	\$ 1,949,383	\$ 4,750	\$ 5,475	\$ 3,054,101	\$ 6,232	\$ (3,063,490)	\$ 1,956,451	02	02/26/20	
	Non-revenue Vehicles	\$ 1,000,000						\$ 1,000,000	03	02/26/20	
	Portable lifts	\$ 300,000						\$ 300,000	04	02/26/20	
	Replace Roof at Division 2	\$ 500,000						\$ 500,000	05	02/26/20	
BART	Maintenance and Renovation of Elevators, Escalators, and Security Cameras	\$ 6,288,661	\$ 9,204	\$ 10,608	\$ 5,981,647	\$ 12,073	\$ (5,999,818)	\$ 6,302,374	06	02/26/20	
SFMTA	Facilities SGR Project FY19-20	\$ 4,897,161	\$ 14,327	\$ 16,513	\$ 9,796,966	\$ 18,795	\$ (9,825,143)	\$ 4,918,619	07	02/26/20	
	Fixed Guideway SGR Project FY19-20	\$ 4,897,162						\$ 4,897,162	08	02/26/20	
CCCTA	ITS Security Maintenance	\$ 122,020	\$ 179	\$ 207	\$ 115,354	\$ 227	\$ (115,708)	\$ 122,280	09	02/26/20	
ECCTA	Maintenance Facility HVAC Unit Replacement	\$ 64,126	\$ 84	\$ 96	\$ 53,999	\$ 106	\$ (54,163)	\$ 64,248	10	02/26/20	
City of Fairfield	Corporation Yard Transit Fleet Electrification	\$ 25,272	\$ 40	\$ 46	\$ 35,009	\$ 69	\$ (35,084)	\$ 25,351	11	02/26/20	
GGBHTD	New Vessel Acquisition	\$ 1,319,709	\$ 872	\$ 1,005	\$ 1,250,325	\$ 2,445	\$ (1,251,861)	\$ 1,322,495	12	02/26/20	
LAVTA	Bus Shelter and Stop Maintenance	\$ 54,039	\$ 84	\$ 97	\$ 51,043	\$ 101	\$ (51,209)	\$ 54,155	13	02/26/20	
Marin Transit	Purchase Eleven 40-foot Hybrid Replacement Buses	\$ 257,757	\$ 309	\$ 357	\$ 211,596	\$ 417	\$ (212,678)	\$ 257,757	14	02/26/20	
NVTA	Vine Transit Bus Maintenance Facility	\$ 17,924	\$ 20	\$ 23	\$ 16,400	\$ 32	\$ (16,439)	\$ 17,962	15	02/26/20	
PCJPB (Caltrain)	F40 SOGR FY20	\$ 1,312,844	\$ 1,878	\$ 2,165	\$ 1,250,066	\$ 2,463	\$ (1,256,559)	\$ 1,312,856	16	02/26/20	
	<i>Santa Clara VTA Share</i>										
	F40 SOGR FY20	\$ 103,998	\$ 6,531	\$ 7,528	\$ 4,472,421	\$ 8,807	\$ (4,485,260)	\$ 114,025	17	02/26/20	
	Bayshore Station Overpass	\$ 1,166,972						\$ 1,166,972	18	02/26/20	
	CEMOF SOGR	\$ 474,200						\$ 474,200	19	02/26/20	
	Caltrain Network Infrastructure Refresh	\$ 342,494						\$ 342,494	20	02/26/20	
	Systemwide Tarck Rehabilitation	\$ 920,000						\$ 920,000	21	02/26/20	
	MP36 SOGR FY20	\$ 113,653						\$ 113,653	22	02/26/20	
	Stations State of Good Repair	\$ 150,000						\$ 150,000	23	02/26/20	
	Preventative Maintenance	\$ 321,088						\$ 321,088	24	02/26/20	
	<i>Santa Clara VTA-ACE Share</i>										
	Preventative Maintenance	\$ 33,545	\$ -	\$ 51	\$ 31,371	\$ 61	\$ (31,412)	\$ 33,616	24	02/26/20	

Recipient	FY 19/20 Project	Estimated FY20 Revenue	Unpaid revenue from FY18	FY18 Interest in MTC account	FY19 Revenue	FY19 Interest in MTC account	FY19 Disbursement by MTC	Estimated Total Allocation ¹	Alloc. No.	Approval Date
City of Petaluma	Preventative Maintenance	\$ 7,630	\$ 10	\$ 11	\$ 6,195	\$ 12	\$ (6,215)	\$ 7,644	25	02/26/20
WETA	Replacement Vehicle for the M/V Bay Breeze	\$ 357,702	\$ 418	\$ 482	\$ 301,395	\$ 593	\$ (302,212)	\$ 358,378	26	02/26/20
SamTrans	North and South Base Bus Parking Area Restriping	\$ 258,000						\$ 258,000	27	02/26/20
	North and South Base Bus Vacuum Replacement Design	\$ 150,000						\$ 150,000	28	02/26/20
	North Base Bus Washer Replacement	\$ 540,000						\$ 540,000	29	02/26/20
	South Base Bus Washer Walls Rehab	\$ 306,860	\$ 1,849	\$ 2,132	\$ 1,226,604	\$ 2,417	\$ (1,230,250)	\$ 309,611	30	02/26/20
City of Santa Rosa	Preventive Maintenance of Fixed-Route fleet	\$ 24,755	\$ 44	\$ 50	\$ 25,432	\$ 50	\$ (25,518)	\$ 24,813	31	02/26/20
Solano County Transit	Mid-Life Battery Refresh for Two Diesel Hybrid Buses									
	<i>Solano County Transit Share</i>	\$ 54,385	\$ 89	\$ 102	\$ 54,916	\$ 108	\$ (55,090)	\$ 54,510	32	02/26/20
	<i>Dixon Share</i>	\$ 1,144	\$ 1	\$ 2	\$ 1,055	\$ 2	\$ (1,057)	\$ 1,147	32	02/26/20
	<i>Rio Vista Share</i>	\$ 1,152	\$ 0	\$ 1	\$ 348	\$ 1	\$ (348)	\$ 1,153	32	02/26/20
	<i>Vacaville Share</i>	\$ 4,526	\$ 7	\$ 8	\$ 3,983	\$ 8	\$ (396)	\$ 8,135	32	02/26/20
County of Sonoma	Maintenance Facility Roof Rehabilitation	\$ 34,879	\$ 52	\$ 59	\$ 34,166	\$ 67	\$ (34,267)	\$ 34,956	33	02/26/20
SMART	SMART Capital Spare Parts	\$ 261,992	\$ 232	\$ 267	\$ 256,492	\$ 503	\$ (256,920)	\$ 262,565	34	02/26/20
City of Union City	Bus Stop Improvements	\$ 17,993	\$ 26	\$ 30	\$ 16,518	\$ 33	\$ (16,568)	\$ 18,031	35	02/26/20
WCCTA	Local Match to Purchase Replacement Revenue Vehicles	\$ 77,944	\$ 108	\$ 124	\$ 71,781	\$ 141	\$ (71,992)	\$ 78,106	36	02/26/20
ACE- ACTC Share	Railcar Midlife Overhaul	\$ 44,850	\$ -	\$ 65	\$ 40,610	\$ 80	\$ (40,664)	\$ 44,940	37	02/26/20
Revenue-based Total:		\$ 28,775,819						\$ 28,839,746		

Notes

- Total allocation amount may be different due to rounding.