

**Metropolitan Transportation Commission  
Programming and Allocations Committee**

September 9, 2020

Agenda Item 3a - 20-1240

**MTC Resolution No. 4402, Revised**

**Subject:** Updates the Fund Estimate to incorporate actual FY 2019-20 revenue for the State Transit Assistance (STA) and State of Good Repair (SGR) Programs and revises FY 2020-21 revenue estimates.

**Background:** **1) Reconcile Actual FY 2019-20 STA and SGR Program Revenue:** In the Bay Area, final FY 2019-20 STA revenue totaled approximately \$244 million which is \$9.7 million lower than estimated in the FY 2020-21 Fund Estimate adopted in February 2020 through MTC Resolution 4402, Revised. SGR Program revenue totaled approximately \$39.7 million, in line with what was expected in February 2020.

In order to determine the distribution of CARES Act funds to Bay Area transit operators in July 2020 (MTC Resolution 4420, Revised) revenue loss assumptions were used to calculate need by agency. For STA, over the entirety of FY 2019-20, a 12% decrease in STA was assumed, this compares to the actual decrease of 4% in FY 2019-20 as compared to estimated revenue before the COVID-19 pandemic.

The lower FY 2019-20 STA revenues necessitate rescissions of STA Revenue-Based funds for 12 transit operators and of STA Population-Based funds for six counties through the County Block Grant Program.

Details for STA Population-Based and STA Revenue-Based funding for FY 2019-20 and for FY 2020-21 are shown in Attachment 1.

**2) Update FY 2020-21 STA and SGR Program Revenue:** On August 1, 2020 the State Controller's Office (SCO) issued revised revenue forecasts for FY 2020-21 for the STA and SGR Programs. This August forecast from the SCO revised the FY 2020-21 forecast released in February 2020 to reflect a \$279 million reduction in forecast statewide STA revenue as reflected in the adopted FY 2020-21 State Budget. This approximately 40% decrease in anticipated STA funds is a direct result of the COVID-19 pandemic which has resulted in lower prices for and reduced consumption of diesel fuel. The 40% decrease is consistent with CARES Act revenue loss assumptions for the period through December 2020. STA is funded by a statewide sales tax on diesel fuel.


The August forecast for the SGR Program, which is funded by the Senate Bill 1 (2017) Transportation Improvement Fee (vehicle registration fee) was revised upwards by 6.5% from the SCO's February 2020 estimate, to match the adopted FY 2020-21 State Budget.

**Issue:** The approximately 40% decrease in anticipated STA funds adds further financial pressure to the operations budgets of Bay Area transit operators as well as MTC. In total, the revised STA revenue forecast indicates that

the Bay Area will lose over \$101 million in STA funds in FY 2020-21 as compared to pre-pandemic revenue estimates made in February 2020.

**Recommendation:** Refer MTC Resolution No. 4402, Revised to the Commission for approval.

**Attachments:** Attachment 1 – STA Program Apportionments  
Presentation slides  
MTC Resolution No. 4402, Revised

  
\_\_\_\_\_  
Therese W. McMillan

Attachment 1 - STA Revenue-Based Program Apportionments

Apportionment Jurisdictions	FY2019-20 Apportionments			FY2020-21 Apportionments			FY2020-21 Aug-20 Apportionment Share
	FY2019-20 Nov-19 Estimate	FY2019-20 Aug-20 Actual	FY2019-20 Adjustment, \$	FY2020-21 Feb-20 Estimate	FY2020-21 Aug-20 Estimate	FY2020-21 Adjustment, \$	
ACOMA - Corresponding to ACE	\$290,259	\$279,206	(\$11,053)	\$288,482	\$161,783	(\$126,699)	0.1%
Caltrain	\$8,496,363	\$8,172,815	(\$323,548)	\$8,444,325	\$5,253,616	(\$3,190,709)	4.7%
CCCTA	\$789,680	\$759,608	(\$30,072)	\$784,843	\$460,593	(\$324,250)	0.4%
City of Dixon	\$7,403	\$7,121	(\$282)	\$7,357	\$4,497	(\$2,860)	0.0%
ECCTA	\$415,004	\$399,200	(\$15,804)	\$412,462	\$222,690	(\$189,772)	0.201%
City of Fairfield	\$163,554	\$157,326	(\$6,228)	\$162,553	\$81,729	(\$80,824)	0.1%
GGBHTD	\$8,540,790	\$8,215,550	(\$325,240)	\$8,488,481	\$5,041,067	(\$3,447,414)	4.5%
LAVTA	\$349,728	\$336,410	(\$13,318)	\$347,586	\$220,935	(\$126,651)	0.2%
Marin Transit	\$1,668,066	\$1,604,545	(\$63,521)	\$1,657,849	\$861,534	(\$796,315)	0.8%
NVTA	\$116,000	\$111,583	(\$4,417)	\$115,288	\$62,548	(\$52,740)	0.1%
City of Petaluma	\$49,382	\$47,501	(\$1,881)	\$49,080	\$26,837	(\$22,243)	0.0%
City of Rio Vista	\$7,458	\$7,174	(\$284)	\$7,412	\$1,430	(\$5,982)	0.001%
SamTrans	\$8,121,101	\$7,811,843	(\$309,258)	\$8,071,361	\$5,269,034	(\$2,802,327)	4.8%
SMART	\$1,695,538	\$1,630,971	(\$64,567)	\$1,685,153	\$1,089,118	(\$596,035)	1.0%
City of Santa Rosa	\$160,210	\$154,109	(\$6,101)	\$159,229	\$90,179	(\$69,050)	0.1%
Solano County Transit	\$351,963	\$338,560	(\$13,403)	\$349,807	\$192,092	(\$157,715)	0.2%
Sonoma County Transit	\$225,725	\$217,129	(\$8,596)	\$224,342	\$125,621	(\$98,721)	0.1%
City of Union City	\$116,445	\$112,011	(\$4,434)	\$115,731	\$68,246	(\$47,485)	0.1%
Vacaville City Coach	\$29,292	\$28,177	(\$1,115)	\$29,112	\$14,627	(\$14,485)	0.0%
VTA	\$23,249,042	\$22,363,701	(\$885,341)	\$23,106,649	\$15,969,889	(\$7,136,760)	14.4%
VTA - Corresponding to ACE	\$216,633	\$208,383	(\$8,250)	\$215,305	\$93,336	(\$121,969)	0.1%
WCCTA	\$504,435	\$485,226	(\$19,209)	\$501,346	\$292,125	(\$209,221)	0.3%
WETA	\$2,314,946	\$2,226,791	(\$88,155)	\$2,300,768	\$1,432,571	(\$868,197)	1.3%
<b>Subtotal - STA Revenue-Based</b>	<b>\$57,879,017</b>	<b>\$55,674,940</b>	<b>(\$2,204,077)</b>	<b>\$57,524,521</b>	<b>\$37,036,097</b>	<b>(\$20,488,424)</b>	<b>33.4%</b>
AC Transit	\$24,264,960	\$23,340,932	(\$924,028)	\$24,116,345	\$14,088,794	(\$10,027,551)	12.7%
BART	\$40,698,461	\$39,148,632	(\$1,549,829)	\$40,449,195	\$22,077,158	(\$18,372,037)	19.9%
SFMTA	\$63,386,127	\$60,972,335	(\$2,413,792)	\$62,997,906	\$37,636,318	(\$25,361,589)	34.0%
<b>Subtotal - STA Revenue-Based</b>	<b>\$128,349,548</b>	<b>\$123,461,898</b>	<b>(\$4,887,650)</b>	<b>\$127,563,446</b>	<b>\$73,802,269</b>	<b>(\$53,761,177)</b>	<b>66.6%</b>
<b>Bay Area Revenue-Based Total</b>	<b>\$186,228,565</b>	<b>\$179,136,838</b>	<b>(\$7,091,727)</b>	<b>\$185,087,967</b>	<b>\$110,838,366</b>	<b>(\$74,249,601)</b>	<b>100.00%</b>
<b>Statewide Revenue-Base Total</b>	<b>\$348,259,500</b>	<b>\$334,875,926</b>	<b>(\$13,383,575)</b>	<b>\$346,126,500</b>	<b>\$206,847,500</b>	<b>(\$139,279,000)</b>	<b>N/A</b>
<b>Bay Area Population-Based Total</b>	<b>\$67,889,960</b>	<b>\$65,304,656</b>	<b>(\$2,585,304)</b>	<b>\$67,474,153</b>	<b>\$40,506,204</b>	<b>(\$26,967,949)</b>	<b>N/A</b>
<b>Statewide Population-Based Total</b>	<b>\$348,259,500</b>	<b>\$334,875,926</b>	<b>(\$13,383,575)</b>	<b>\$346,126,500</b>	<b>\$206,847,500</b>	<b>(\$139,279,000)</b>	<b>N/A</b>

Attachment 1 - STA Population-Based Program Apportionments

Apportionment Jurisdictions	FY2019-20 Apportionments			FY2020-21 Apportionments		
	FY2019-20 Nov-19 Estimate	FY2019-20 Aug-20 Actual	FY2019-20 Adjustment, \$	FY2020-21 Feb-20 Estimate	FY2020-21 Aug-20 Estimate	FY2020-21 Adjustment, \$
<b>County Block Grant<sup>1</sup></b>						
Alameda	\$8,359,440	\$8,042,004	(\$317,436)	\$8,349,235	\$5,012,228	(\$3,337,007)
Contra Costa	\$10,490,037	\$10,091,692	(\$398,345)	\$10,477,231	\$6,289,710	(\$4,187,521)
Marin	\$2,698,558	\$2,596,085	(\$102,473)	\$2,695,264	\$1,618,026	(\$1,077,238)
Napa	\$1,650,713	\$1,588,030	(\$62,683)	\$1,648,698	\$989,749	(\$658,949)
San Francisco	\$3,998,569	\$3,846,730	(\$151,839)	\$3,993,687	\$2,397,497	(\$1,596,190)
San Mateo	\$2,394,047	\$2,303,137	(\$90,910)	\$2,391,124	\$1,435,444	(\$955,680)
Santa Clara	\$6,664,063	\$6,411,006	(\$253,057)	\$6,655,927	\$3,995,698	(\$2,660,229)
Solano	\$4,966,343	\$4,777,754	(\$188,589)	\$4,960,280	\$2,977,764	(\$1,982,516)
Sonoma	\$6,067,869	\$5,837,452	(\$230,417)	\$6,060,461	\$3,638,227	(\$2,422,234)
<b>Subtotal</b>	<b>\$47,289,639</b>	<b>\$45,493,890</b>	<b>(\$1,795,749)</b>	<b>\$47,231,907</b>	<b>\$28,354,343</b>	<b>(\$18,877,564)</b>
<b>Transit Emergency Contingency Fund</b>	<b>\$333,333</b>	<b>\$313,380</b>	<b>(\$19,953)</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Regional Program + Means-Based Fare</b>	<b>\$20,266,988</b>	<b>\$19,497,383</b>	<b>(\$769,605)</b>	<b>\$20,242,246</b>	<b>\$12,151,861</b>	<b>(\$8,090,385)</b>
<b>Bay Area Total</b>	<b>\$67,889,960</b>	<b>\$65,304,656</b>	<b>(\$2,585,304)</b>	<b>\$67,474,153</b>	<b>\$40,506,204</b>	<b>(\$26,967,949)</b>
<b>Statewide Total</b>	<b>\$348,259,500</b>	<b>\$334,875,926</b>	<b>(\$13,383,575)</b>	<b>\$346,126,500</b>	<b>\$206,847,500</b>	<b>(\$139,279,000)</b>

<sup>1</sup>County Block Grant adopted through MTC Resolution 4321 in February 2018.



Photo: SFMTA

 **FY 2020-21 Fund Estimate Update**

**Programming and Allocations Committee  
September 9, 2020**

**Agenda Item 3a**

# Sept. Fund Estimate Revision

- Updates the Fund Estimate to incorporate actual FY 2019-20 revenue for the State Transit Assistance (STA) and State of Good Repair (SGR) Programs and revises FY 2020-21 revenue estimates
- STA is funded by a sales tax on diesel fuel sold in California, subject to changes in price and consumption because of the pandemic
- FY 2019-20 STA revenue only -4% below estimates
- FY 2020-21 STA estimated to be down by -40%



# STA Formula Programs FY 2019-20 and FY 2020-21

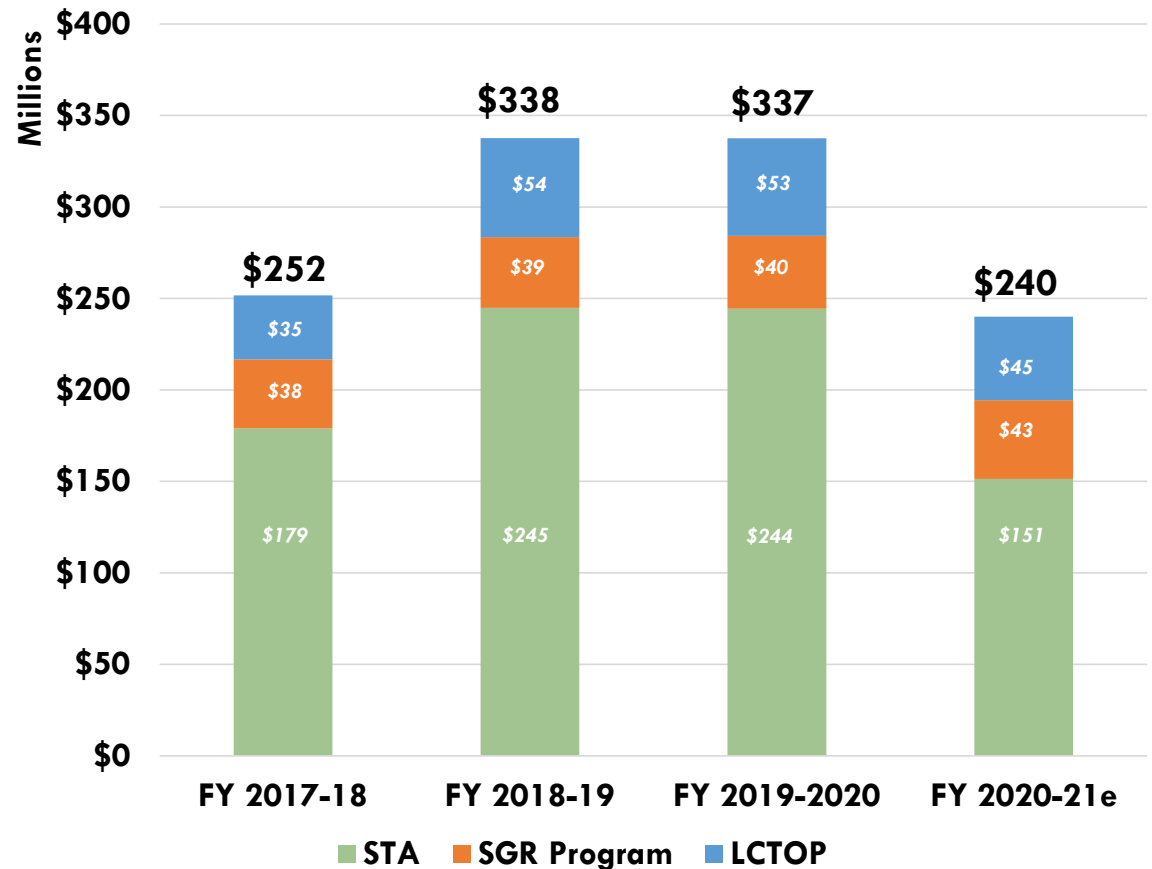
STA funds are forecast to decrease by over 40% in FY 2020-21

Potential loss of over \$101 million in FY 2020-21 to Bay Area transit agencies and MTC

Important source of operations funding for agencies as well as funding for MTC programs (Clipper system, 511, etc.)

SGR Program revenue not expected to be impacted by pandemic

Impact on LCTOP, which is funded by Cap and Trade revenues is unclear



**Staff recommendation is to forward to the Commission for approval:**

MTC Resolution 4402, Revised (FY 2020-21 Fund Estimate)





Date: February 26, 2020  
W.I.: 1511  
Referred by: PAC  
Revised: 07/22/20-C  
09/23/20-C

ABSTRACT

MTC Resolution No. 4402, Revised

This resolution approves the FY 2020-21 Fund Estimate, including the distribution and apportionment of Transportation Development Act (TDA), State Transit Assistance (STA), State of Good Repair (SGR) Program, Assembly Bill (AB) 1107 sales tax, Low Carbon Transit Operations (LCTOP) cap-and-trade auction revenues, and transit-related bridge toll funds.

This resolution was revised on July 22, 2020 to reflect actual receipts for TDA and AB 1107 funds in FY 2019-20, and the rescission actions that were necessary to match FY 2019-20 allocations to the actual revenue collected.

This resolution was revised on September 23, 2020 to reflect actual receipts of STA and SGR Program funds in FY 2019-20, and the rescission actions that were necessary to match FY 2019-20 allocations to the actual revenue collected. New revenue forecasts for STA and SGR Program funds in FY 2020-21 were also included.

Further discussion of this action is contained in the MTC Programming and Allocations Summary Sheets dated February 12, 2020, July 8, 2020, and September 9, 2020.

Date: February 26, 2020  
W.I.: 1511  
Referred by: PAC

RE: Determination of Transportation Development Act (TDA) Area Apportionments and Proposed Distribution of Operating Funds for FY 2020-21

METROPOLITAN TRANSPORTATION COMMISSION  
RESOLUTION NO. 4402

WHEREAS, the Metropolitan Transportation Commission (MTC) is the regional transportation planning agency for the San Francisco Bay Area pursuant to Government Code Section 66500 *et seq.*; and

WHEREAS, the Transportation Development Act (TDA), Public Utilities Code (PUC) Sections 99200 *et seq.*, provides that funds are made available from the Local Transportation Fund (LTF) for various transportation purposes; and

WHEREAS, pursuant to 21 California Code of Regulations Section 6620, the County Auditor for each of the nine counties in the Bay Area has submitted the revised and new TDA fund estimates for FY 2019-20 and FY 2020-21 as shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC is required to determine and advise all prospective claimants, prior to March 1 each year, of all area apportionments from the LTF for the following fiscal year pursuant to 21 California Code of Regulations Section 6644; and

WHEREAS, all area apportionments of TDA funds for the 2020-21 fiscal year are shown in Attachment A to this resolution, attached hereto and incorporated herein as though set forth at length; and

WHEREAS, MTC has prepared a proposed distribution of operating/capital assistance funds, including TDA, State Transit Assistance (STA) pursuant to Public Utilities Code § 99310 *et seq.*, State of Good Repair (SGR) Program pursuant to Public Utilities Code § 99312.1, Low Carbon Transit Operations Program (LCTOP) pursuant to Health and Safety Code § 39719(b)(1)(B), the twenty-five percent (25%) of the one-half cent transaction and use tax collected pursuant to PUC Section 29142.2 (AB 1107), and estimates of certain toll bridge revenues (SHC §§ 30910 *et seq.*), in order to provide financial information to all prospective claimants to assist them in developing budgets in a timely manner; and


WHEREAS, the proposed distribution of such operating assistance funds is also shown in Attachment A; now, therefore, be it

RESOLVED, that MTC approves the area apportionments of TDA funds, and the proposed distribution of operating assistance funds for the 2020-21 fiscal year as shown in Attachment A, subject to the conditions noted therein; and, be it further

RESOLVED, that MTC intends to allocate operating assistance funds for the 2020-21 fiscal year, based on the area apportionments of TDA funds, the proposed distribution of operating assistance funds and upon the receipt of appropriate claims from eligible claimants; and, be it further

RESOLVED, that Attachment A may be revised by the MTC Executive Director or his designee to reflect funds returned to the Local Transportation Fund and expired capital allocations or by approval of the MTC Programming and Allocations Committee, except that any significant changes shall be submitted to the full Commission for approval.

METROPOLITAN TRANSPORTATION COMMISSION

  
\_\_\_\_\_  
Scott Haggerty, Chair

The above resolution was approved by the Metropolitan Transportation Commission at a regular meeting of the Commission held in San Francisco, California, on February 26, 2020.

**FY 2020-21 FUND ESTIMATE  
REGIONAL SUMMARY**

Attachment A  
Res No. 4402  
Page 1 of 20  
9/23/2020

**TDA REGIONAL SUMMARY TABLE**

<i>Column</i>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E</b>	<b>F</b>	<b>G</b>	<b>H=Sum(A:G)</b>
	<b>6/30/2019</b>	<b>FY2018-20</b>	<b>FY2019-20</b>	<b>FY2019-20</b>	<b>FY2019-20</b>	<b>FY2020-21</b>	<b>FY2020-21</b>	<b>FY2020-21</b>
<b>Apportionment Jurisdictions</b>	<b>Balance<sup>1</sup></b>	<b>Outstanding Commitments, Refunds, &amp; Interest<sup>2</sup></b>	<b>Original Estimate</b>	<b>Revenue Adjustment</b>	<b>Revised Admin. &amp; Planning Charge</b>	<b>Revenue Estimate</b>	<b>Admin. &amp; Planning Charge</b>	<b>Available for Allocation</b>
<b>Alameda</b>	25,295,584	(90,078,645)	93,648,000	(9,751,153)	(3,355,874)	93,151,568	(3,726,063)	105,183,418
<b>Contra Costa</b>	23,056,557	(48,603,102)	49,794,669	(5,647,488)	(1,765,887)	46,139,252	(1,845,570)	61,128,433
<b>Marin</b>	1,232,960	(13,863,808)	14,695,062	(1,512,159)	(527,316)	14,000,000	(560,000)	13,464,740
<b>Napa</b>	5,324,402	(12,074,614)	8,941,741	(138,597)	(352,126)	9,885,444	(395,417)	11,190,832
<b>San Francisco</b>	5,043,607	(51,725,363)	49,262,500	(524,044)	(1,949,538)	53,477,500	(2,139,101)	51,445,559
<b>San Mateo</b>	9,943,567	(52,170,900)	47,777,676	(3,901,655)	(1,755,041)	48,558,690	(1,942,347)	46,509,991
<b>Santa Clara</b>	7,728,201	(116,833,358)	117,635,000	(2,551,934)	(4,603,323)	121,909,000	(4,876,360)	118,407,228
<b>Solano</b>	25,556,728	(22,038,637)	21,239,810	(1,265,378)	(798,977)	22,251,809	(890,072)	44,055,284
<b>Sonoma</b>	11,606,642	(27,403,980)	26,800,000	(2,327,956)	(978,882)	26,300,000	(1,052,000)	32,943,826
<b>TOTAL</b>	<b>\$114,788,249</b>	<b>(\$434,792,406)</b>	<b>\$429,794,458</b>	<b>(\$27,620,363)</b>	<b>(\$16,086,964)</b>	<b>\$435,673,263</b>	<b>(\$17,426,930)</b>	<b>\$484,329,311</b>

**STA, AB 1107, BRIDGE TOLL, LOW CARBON TRANSIT OPERATIONS PROGRAM, & SGR PROGRAM REGIONAL SUMMARY TABLE**

<i>Column</i>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D</b>	<b>E=Sum(A:D)</b>
	<b>6/30/2019</b>		<b>FY2018-20</b>	<b>FY2019-20</b>	<b>FY2020-21</b>
<b>Fund Source</b>	<b>Balance (w/ interest)<sup>1</sup></b>		<b>Outstanding Commitments<sup>2</sup></b>	<b>Actual Revenue</b>	<b>Revenue Estimate</b>
					<b>Available for Allocation</b>
<b>State Transit Assistance</b>					
Revenue-Based	17,319,547		(181,281,529)	179,136,838	126,013,221
Population-Based	65,955,514		(69,457,170)	65,304,656	102,309,201
<b>SUBTOTAL</b>	<b>83,275,061</b>		<b>(250,738,699)</b>	<b>244,441,494</b>	<b>228,322,422</b>
<b>AB1107 - BART District Tax (25% Share)</b>	<b>0</b>		<b>(88,961,758)</b>	<b>88,961,758</b>	<b>93,500,000</b>
<b>Bridge Toll Total</b>					
MTC 2% Toll Revenue	6,283,125		(4,810,199)	1,450,000	4,372,925
5% State General Fund Revenue	13,168,890		(8,520,416)	3,614,688	11,919,546
<b>SUBTOTAL</b>	<b>19,452,015</b>		<b>(13,330,615)</b>	<b>5,064,688</b>	<b>16,292,471</b>
<b>Low Carbon Transit Operations Program</b>	<b>0</b>		<b>0</b>	<b>53,289,125</b>	<b>98,894,222</b>
<b>State of Good Repair Program</b>					
Revenue-Based	60,329		(28,836,202)	29,126,924	31,879,148
Population-Based	6,112,080		(16,602,328)	10,612,476	11,644,263
<b>SUBTOTAL</b>	<b>6,172,408</b>		<b>(45,438,530)</b>	<b>39,739,399</b>	<b>43,523,411</b>
<b>TOTAL</b>	<b>\$108,899,484</b>		<b>(\$398,469,602)</b>	<b>\$431,496,465</b>	<b>\$338,606,186</b>

Please see Attachment A pages 2-20 for detailed information on each fund source.

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20 for TDA and AB 1107 and as of 8/31/20 for STA and the SGR Program.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
ALAMEDA COUNTY**

Attachment A  
Res No. 4402  
Page 2 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
<b>FY2019-20 Generation Estimate Adjustment</b>			<b>FY2020-21 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 19)	93,648,000		13. County Auditor Estimate		93,151,568
2. Actual Revenue (Jun, 20)	83,896,847		<b>FY2020-21 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(9,751,153)	14. MTC Administration (0.5% of Line 13)	465,758	
<b>FY2019-20 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	465,758	
4. MTC Administration (0.5% of Line 3)	(48,756)		16. MTC Planning (3.0% of Line 13)	2,794,547	
5. County Administration (Up to 0.5% of Line 3)	(48,756)		17. Total Charges (Lines 14+15+16)		3,726,063
6. MTC Planning (3.0% of Line 3)	(292,535)		18. TDA Generations Less Charges (Lines 13-17)		89,425,505
7. Total Charges (Lines 4+5+6)		(390,047)	<b>FY2020-21 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(9,361,106)	19. Article 3.0 (2.0% of Line 18)	1,788,510	
<b>FY2019-20 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		87,636,995
9. Article 3 Adjustment (2.0% of line 8)	(187,222)		21. Article 4.5 (5.0% of Line 20)	4,381,850	
10. Funds Remaining (Lines 8-9)		(9,173,884)	22. TDA Article 4 (Lines 20-21)		83,255,145
11. Article 4.5 Adjustment (5.0% of Line 10)	(458,694)				
12. Article 4 Adjustment (Lines 10-11)		(8,715,190)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
<b>Article 3</b>	4,112,028	100,387	4,212,415	(4,460,670)	0	1,798,042	(187,222)	1,362,565	1,788,510	3,151,075
<b>Article 4.5</b>	287,734	8,503	296,236	(4,253,891)	0	4,405,202	(458,694)	(11,147)	4,381,850	4,370,703
<b>SUBTOTAL</b>	<b>4,399,762</b>	<b>108,889</b>	<b>4,508,651</b>	<b>(8,714,561)</b>	<b>0</b>	<b>6,203,244</b>	<b>(645,916)</b>	<b>1,351,418</b>	<b>6,170,360</b>	<b>7,521,778</b>
<b>Article 4</b>										
AC Transit										
District 1	3,062,647	13,214	3,075,861	(51,144,293)	0	53,652,104	(5,586,556)	(2,885)	53,403,679	53,400,794
District 2	806,369	3,477	809,846	(13,715,701)	0	14,405,019	(1,499,931)	(767)	14,168,270	14,167,503
BART <sup>3</sup>	6,664	28	6,692	(96,007)	0	99,686	(10,380)	(9)	99,042	99,033
LAVTA	9,729,738	166,545	9,896,283	(13,069,238)	0	11,862,197	(1,235,158)	7,454,084	11,847,775	19,301,859
Union City	7,290,405	137,706	7,428,111	(3,768,705)	0	3,679,830	(383,164)	6,956,072	3,736,380	10,692,452
<b>SUBTOTAL</b>	<b>20,895,823</b>	<b>320,971</b>	<b>21,216,793</b>	<b>(81,793,944)</b>	<b>0</b>	<b>83,698,836</b>	<b>(8,715,190)</b>	<b>14,406,495</b>	<b>83,255,145</b>	<b>97,661,640</b>
<b>GRAND TOTAL</b>	<b>\$25,295,584</b>	<b>\$429,860</b>	<b>\$25,725,444</b>	<b>(\$90,508,505)</b>	<b>\$0</b>	<b>\$89,902,080</b>	<b>(\$9,361,106)</b>	<b>\$15,757,913</b>	<b>\$89,425,505</b>	<b>\$105,183,418</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.
3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
CONTRA COSTA COUNTY**

FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
<b>FY2019-20 Generation Estimate Adjustment</b>			<b>FY2020-21 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 19)	49,794,669		13. County Auditor Estimate		46,139,252
2. Actual Revenue (Jun, 20)	44,147,181		<b>FY2020-21 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(5,647,488)	14. MTC Administration (0.5% of Line 13)	230,696	
<b>FY2019-20 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	230,696	
4. MTC Administration (0.5% of Line 3)	(28,237)		16. MTC Planning (3.0% of Line 13)	1,384,178	
5. County Administration (Up to 0.5% of Line 3)	(28,237)		17. Total Charges (Lines 14+15+16)		1,845,570
6. MTC Planning (3.0% of Line 3)	(169,425)		18. TDA Generations Less Charges (Lines 13-17)		44,293,682
7. Total Charges (Lines 4+5+6)		(225,899)	<b>FY2020-21 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)	(5,421,589)		19. Article 3.0 (2.0% of Line 18)	885,874	
<b>FY2019-20 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		43,407,808
9. Article 3 Adjustment (2.0% of line 8)	(108,432)		21. Article 4.5 (5.0% of Line 20)	2,170,390	
10. Funds Remaining (Lines 8-9)		(5,313,157)	22. TDA Article 4 (Lines 20-21)		41,237,418
11. Article 4.5 Adjustment (5.0% of Line 10)	(265,658)				
12. Article 4 Adjustment (Lines 10-11)		(5,047,499)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
<b>Article 3</b>	1,400,231	37,912	1,438,144	(2,300,780)	0	956,058	(108,432)	(15,010)	885,874	870,864
<b>Article 4.5</b>	104,379	3,785	108,165	(2,187,353)	0	2,342,341	(265,658)	(2,505)	2,170,390	2,167,885
<b>SUBTOTAL</b>	<b>1,504,611</b>	<b>41,698</b>	<b>1,546,308</b>	<b>(4,488,133)</b>	<b>0</b>	<b>3,298,399</b>	<b>(374,090)</b>	<b>(17,515)</b>	<b>3,056,264</b>	<b>3,038,749</b>
<b>Article 4</b>										
AC Transit										
District 1	302,874	5,047	307,921	(7,120,267)	0	7,683,913	(871,475)	92	7,093,016	7,093,108
BART <sup>3</sup>	14,464	108	14,572	(288,878)	0	309,402	(35,091)	5	286,548	286,553
CCCTA	14,848,246	234,038	15,082,284	(23,595,938)	1,922,550	20,909,368	(2,371,447)	11,946,818	19,415,580	31,362,398
ECCTA	4,130,995	53,170	4,184,165	(12,731,835)	0	12,929,972	(1,466,459)	2,915,842	11,970,179	14,886,021
WCCTA	2,255,368	40,704	2,296,072	(4,542,024)	1,866,659	2,671,829	(303,027)	1,989,509	2,472,094	4,461,603
<b>SUBTOTAL</b>	<b>21,551,947</b>	<b>333,067</b>	<b>21,885,013</b>	<b>(48,278,942)</b>	<b>3,789,209</b>	<b>44,504,484</b>	<b>(5,047,499)</b>	<b>16,852,266</b>	<b>41,237,418</b>	<b>58,089,684</b>
<b>GRAND TOTAL</b>	<b>\$23,056,557</b>	<b>\$374,764</b>	<b>\$23,431,322</b>	<b>(\$52,767,075)</b>	<b>\$3,789,209</b>	<b>\$47,802,883</b>	<b>(\$5,421,589)</b>	<b>\$16,834,751</b>	<b>\$44,293,682</b>	<b>\$61,128,433</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.  
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.  
3. Details on the proposed apportionment of BART funding to local operators are shown on page 16 of the Fund Estimate.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
MARIN COUNTY**

Attachment A  
Res No. 4402  
Page 4 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
<b>FY2019-20 Generation Estimate Adjustment</b>			<b>FY2020-21 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 19)	14,695,062		13. County Auditor Estimate		14,000,000
2. Actual Revenue (Jun, 20)	13,182,903		<b>FY2020-21 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(1,512,159)	14. MTC Administration (0.5% of Line 13)	70,000	
<b>FY2019-20 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	70,000	
4. MTC Administration (0.5% of Line 3)	(7,561)		16. MTC Planning (3.0% of Line 13)	420,000	
5. County Administration (Up to 0.5% of Line 3)	(7,561)		17. Total Charges (Lines 14+15+16)		560,000
6. MTC Planning (3.0% of Line 3)	(45,365)		18. TDA Generations Less Charges (Lines 13-17)		13,440,000
7. Total Charges (Lines 4+5+6)		(60,487)	<b>FY2020-21 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,451,672)	19. Article 3.0 (2.0% of Line 18)	268,800	
<b>FY2019-20 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		13,171,200
9. Article 3 Adjustment (2.0% of line 8)	(29,033)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(1,422,639)	22. TDA Article 4 (Lines 20-21)		13,171,200
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(1,422,639)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	677,079	39,156	716,235	(931,511)	0	282,145	(29,033)	37,836	268,800	306,636
Article 4.5										
<b>SUBTOTAL</b>	<b>677,079</b>	<b>39,156</b>	<b>716,235</b>	<b>(931,511)</b>	<b>0</b>	<b>282,145</b>	<b>(29,033)</b>	<b>37,836</b>	<b>268,800</b>	<b>306,636</b>
Article 4/8										
GGBHTD	310,145	8,060	318,204	(7,760,067)	0	8,286,774	(852,730)	(7,819)	7,731,494	7,723,675
Marin Transit	245,736	5,726	251,462	(5,225,171)	0	5,538,341	(569,909)	(5,277)	5,439,706	5,434,429
<b>SUBTOTAL</b>	<b>555,881</b>	<b>13,785</b>	<b>569,666</b>	<b>(12,985,238)</b>	<b>0</b>	<b>13,825,115</b>	<b>(1,422,639)</b>	<b>(13,096)</b>	<b>13,171,200</b>	<b>13,158,104</b>
<b>GRAND TOTAL</b>	<b>\$1,232,960</b>	<b>\$52,942</b>	<b>\$1,285,901</b>	<b>(\$13,916,749)</b>	<b>\$0</b>	<b>\$14,107,260</b>	<b>(\$1,451,672)</b>	<b>\$24,740</b>	<b>\$13,440,000</b>	<b>\$13,464,740</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
NAPA COUNTY**

Attachment A  
Res No. 4402  
Page 5 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate				FY2020-21 TDA Revenue Estimate			
<b>FY2019-20 Generation Estimate Adjustment</b>				<b>FY2020-21 County Auditor's Generation Estimate</b>			
1. Original County Auditor Estimate (Feb, 19)	8,941,741			13. County Auditor Estimate			9,885,444
2. Actual Revenue (Jun, 20)	8,803,144			<b>FY2020-21 Planning and Administration Charges</b>			
3. Revenue Adjustment (Lines 2-1)		-138,597		14. MTC Administration (0.5% of Line 13)	49,427		
<b>FY2019-20 Planning and Administration Charges Adjustment</b>				15. County Administration (0.5% of Line 13)	49,427		
4. MTC Administration (0.5% of Line 3)	(693)			16. MTC Planning (3.0% of Line 13)	296,563		
5. County Administration (Up to 0.5% of Line 3)	(693)			17. Total Charges (Lines 14+15+16)		395,417	
6. MTC Planning (3.0% of Line 3)	(4,158)			18. TDA Generations Less Charges (Lines 13-17)		9,490,027	
7. Total Charges (Lines 4+5+6)		(5,544)		<b>FY2020-21 TDA Apportionment By Article</b>			
8. Adjusted Generations Less Charges (Lines 3-7)		(133,053)		19. Article 3.0 (2.0% of Line 18)	189,801		
<b>FY2019-20 TDA Adjustment By Article</b>				20. Funds Remaining (Lines 18-19)		9,300,226	
9. Article 3 Adjustment (2.0% of line 8)	(2,661)			21. Article 4.5 (5.0% of Line 20)	465,011		
10. Funds Remaining (Lines 8-9)		(130,392)		22. TDA Article 4 (Lines 20-21)		8,835,215	
11. Article 4.5 Adjustment (5.0% of Line 10)	(6,520)						
12. Article 4 Adjustment (Lines 10-11)		(123,872)					

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	379,236	9,553	388,788	(496,479)	0	171,681	(2,661)	61,329	189,801	251,130
Article 4.5	0	0	0	(380,318)	0	420,620	(6,520)	33,782	465,011	498,793
<b>SUBTOTAL</b>	<b>379,236</b>	<b>9,553</b>	<b>388,788</b>	<b>(876,797)</b>	<b>0</b>	<b>592,301</b>	<b>(9,181)</b>	<b>95,111</b>	<b>654,812</b>	<b>749,923</b>
Article 4/8										
NVTA <sup>3</sup>	4,945,166	90,501	5,035,667	(12,404,997)	1,107,126	7,991,770	(123,872)	1,605,694	8,835,215	10,440,909
<b>SUBTOTAL</b>	<b>4,945,166</b>	<b>90,501</b>	<b>5,035,667</b>	<b>(12,404,997)</b>	<b>1,107,126</b>	<b>7,991,770</b>	<b>(123,872)</b>	<b>1,605,694</b>	<b>8,835,215</b>	<b>10,440,909</b>
<b>GRAND TOTAL</b>	<b>\$5,324,402</b>	<b>\$100,054</b>	<b>\$5,424,456</b>	<b>(\$13,281,794)</b>	<b>\$1,107,126</b>	<b>\$8,584,071</b>	<b>(\$133,053)</b>	<b>\$1,700,805</b>	<b>\$9,490,027</b>	<b>\$11,190,832</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. NVTA is authorized to claim 100% of the apportionment to Napa County.



**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN FRANCISCO COUNTY**

Attachment A  
Res No. 4402  
Page 6 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate		FY2020-21 TDA Revenue Estimate	
<b>FY2019-20 Generation Estimate Adjustment</b>		<b>FY2020-21 County Auditor's Generation Estimate</b>	
1. Original County Auditor Estimate (Feb, 19)	49,262,500	13. County Auditor Estimate	53,477,500
2. Actual Revenue (Jun, 20)	48,738,456	<b>FY2020-21 Planning and Administration Charges</b>	
3. Revenue Adjustment (Lines 2-1)	(524,044)	14. MTC Administration (0.5% of Line 13)	267,388
<b>FY2019-20 Planning and Administration Charges Adjustment</b>		15. County Administration (0.5% of Line 13)	267,388
4. MTC Administration (0.5% of Line 3)	(2,620)	16. MTC Planning (3.0% of Line 13)	1,604,325
5. County Administration (Up to 0.5% of Line 3)	(2,620)	17. Total Charges (Lines 14+15+16)	2,139,101
6. MTC Planning (3.0% of Line 3)	(15,721)	18. TDA Generations Less Charges (Lines 13-17)	51,338,399
7. Total Charges (Lines 4+5+6)	(20,961)	<b>FY2020-21 TDA Apportionment By Article</b>	
8. Adjusted Generations Less Charges (Lines 3-7)	(503,083)	19. Article 3.0 (2.0% of Line 18)	1,026,768
<b>FY2019-20 TDA Adjustment By Article</b>		20. Funds Remaining (Lines 18-19)	50,311,631
9. Article 3 Adjustment (2.0% of line 8)	(10,062)	21. Article 4.5 (5.0% of Line 20)	2,515,582
10. Funds Remaining (Lines 8-9)	(493,021)	22. TDA Article 4 (Lines 20-21)	47,796,049
11. Article 4.5 Adjustment (5.0% of Line 10)	(24,651)		
12. Article 4 Adjustment (Lines 10-11)	(468,370)		

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,491,449	42,283	1,533,733	(2,361,286)	0	945,840	(10,062)	108,225	1,026,768	1,134,993
Article 4.5	177,607	1,386	178,993	20,983	(2,494,916)	2,317,308	(24,651)	(2,283)	2,515,582	2,513,299
<b>SUBTOTAL</b>	<b>1,669,056</b>	<b>43,669</b>	<b>1,712,726</b>	<b>(2,340,303)</b>	<b>(2,494,916)</b>	<b>3,263,148</b>	<b>(34,713)</b>	<b>105,942</b>	<b>3,542,350</b>	<b>3,648,292</b>
Article 4										
SFMTA	3,374,551	26,357	3,400,908	(49,455,087)	2,494,916	44,028,851	(468,370)	1,218	47,796,049	47,797,267
<b>SUBTOTAL</b>	<b>3,374,551</b>	<b>26,357</b>	<b>3,400,908</b>	<b>(49,455,087)</b>	<b>2,494,916</b>	<b>44,028,851</b>	<b>(468,370)</b>	<b>1,218</b>	<b>47,796,049</b>	<b>47,797,267</b>
<b>GRAND TOTAL</b>	<b>\$5,043,607</b>	<b>\$70,027</b>	<b>\$5,113,634</b>	<b>(\$51,795,390)</b>	<b>\$0</b>	<b>\$47,291,999</b>	<b>(\$503,083)</b>	<b>\$107,160</b>	<b>\$51,338,399</b>	<b>\$51,445,559</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SAN MATEO COUNTY**

Attachment A  
Res No. 4402  
Page 7 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate		FY2020-21 TDA Revenue Estimate	
<b>FY2019-20 Generation Estimate Adjustment</b>		<b>FY2020-21 County Auditor's Generation Estimate</b>	
1. Original County Auditor Estimate (Feb, 19)	47,777,676	13. County Auditor Estimate	48,558,690
2. Actual Revenue (Jun, 20)	43,876,021	<b>FY2020-21 Planning and Administration Charges</b>	
3. Revenue Adjustment (Lines 2-1)	(3,901,655)	14. MTC Administration (0.5% of Line 13)	242,793
<b>FY2019-20 Planning and Administration Charges Adjustment</b>		15. County Administration (0.5% of Line 13)	242,793
4. MTC Administration (0.5% of Line 3)	(19,508)	16. MTC Planning (3.0% of Line 13)	1,456,761
5. County Administration (Up to 0.5% of Line 3)	(19,508)	17. Total Charges (Lines 14+15+16)	1,942,347
6. MTC Planning (3.0% of Line 3)	(117,050)	18. TDA Generations Less Charges (Lines 13-17)	46,616,343
7. Total Charges (Lines 4+5+6)	(156,066)	<b>FY2020-21 TDA Apportionment By Article</b>	
8. Adjusted Generations Less Charges (Lines 3-7)	(3,745,589)	19. Article 3.0 (2.0% of Line 18)	932,327
<b>FY2019-20 TDA Adjustment By Article</b>		20. Funds Remaining (Lines 18-19)	45,684,016
9. Article 3 Adjustment (2.0% of line 8)	(74,912)	21. Article 4.5 (5.0% of Line 20)	2,284,201
10. Funds Remaining (Lines 8-9)	(3,670,677)	22. TDA Article 4 (Lines 20-21)	43,399,815
11. Article 4.5 Adjustment (5.0% of Line 10)	(183,534)		
12. Article 4 Adjustment (Lines 10-11)	(3,487,143)		

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	3,932,496	101,875	4,034,370	(4,846,309)	0	917,331	(74,912)	30,480	932,327	962,807
Article 4.5	435,943	26,484	462,428	(2,533,461)	0	2,247,462	(183,534)	(7,105)	2,284,201	2,277,096
<b>SUBTOTAL</b>	<b>4,368,439</b>	<b>128,359</b>	<b>4,496,798</b>	<b>(7,379,770)</b>	<b>0</b>	<b>3,164,793</b>	<b>(258,446)</b>	<b>23,375</b>	<b>3,216,528</b>	<b>3,239,903</b>
Article 4										
SamTrans	5,575,128	431,953	6,007,081	(45,351,442)	0	42,701,777	(3,487,143)	(129,727)	43,399,815	43,270,088
<b>SUBTOTAL</b>	<b>5,575,128</b>	<b>431,953</b>	<b>6,007,081</b>	<b>(45,351,442)</b>	<b>0</b>	<b>42,701,777</b>	<b>(3,487,143)</b>	<b>(129,727)</b>	<b>43,399,815</b>	<b>43,270,088</b>
<b>GRAND TOTAL</b>	<b>\$9,943,567</b>	<b>\$560,312</b>	<b>\$10,503,879</b>	<b>(\$52,731,212)</b>	<b>\$0</b>	<b>\$45,866,570</b>	<b>(\$3,745,589)</b>	<b>(\$106,352)</b>	<b>\$46,616,343</b>	<b>\$46,509,991</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SANTA CLARA COUNTY**

Attachment A  
Res No. 4402  
Page 8 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate				FY2020-21 TDA Revenue Estimate			
<b>FY2019-20 Generation Estimate Adjustment</b>				<b>FY2020-21 County Auditor's Generation Estimate</b>			
1. Original County Auditor Estimate (Feb, 19)		117,635,000		13. County Auditor Estimate			121,909,000
2. Actual Revenue (Jun, 20)		115,083,066		<b>FY2020-21 Planning and Administration Charges</b>			
3. Revenue Adjustment (Lines 2-1)			(2,551,934)	14. MTC Administration (0.5% of Line 13)		609,545	
<b>FY2019-20 Planning and Administration Charges Adjustment</b>				15. County Administration (0.5% of Line 13)		609,545	
4. MTC Administration (0.5% of Line 3)		(12,760)		16. MTC Planning (3.0% of Line 13)		3,657,270	
5. County Administration (Up to 0.5% of Line 3)		(12,760)		17. Total Charges (Lines 14+15+16)			4,876,360
6. MTC Planning (3.0% of Line 3)		(76,558)		18. TDA Generations Less Charges (Lines 13-17)			117,032,640
7. Total Charges (Lines 4+5+6)			(102,078)	<b>FY2020-21 TDA Apportionment By Article</b>			
8. Adjusted Generations Less Charges (Lines 3-7)			(2,449,856)	19. Article 3.0 (2.0% of Line 18)		2,340,653	
<b>FY2019-20 TDA Adjustment By Article</b>				20. Funds Remaining (Lines 18-19)			114,691,987
9. Article 3 Adjustment (2.0% of line 8)		(48,997)		21. Article 4.5 (5.0% of Line 20)		5,734,599	
10. Funds Remaining (Lines 8-9)			(2,400,859)	22. TDA Article 4 (Lines 20-21)			108,957,388
11. Article 4.5 Adjustment (5.0% of Line 10)		(120,043)					
12. Article 4 Adjustment (Lines 10-11)			(2,280,816)				

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	5,019,259	185,369	5,204,628	(6,061,223)	0	2,258,592	(48,997)	1,353,000	2,340,653	3,693,653
Article 4.5	135,445	447	135,892	(5,549,240)	0	5,533,550	(120,043)	159	5,734,599	5,734,758
<b>SUBTOTAL</b>	<b>5,154,704</b>	<b>185,815</b>	<b>5,340,519</b>	<b>(11,610,463)</b>	<b>0</b>	<b>7,792,142</b>	<b>(169,040)</b>	<b>1,353,159</b>	<b>8,075,252</b>	<b>9,428,411</b>
Article 4										
VTA	2,573,497	26,855	2,600,352	(105,435,565)	0	105,137,458	(2,280,816)	21,429	108,957,388	108,978,817
<b>SUBTOTAL</b>	<b>2,573,497</b>	<b>26,855</b>	<b>2,600,352</b>	<b>(105,435,565)</b>	<b>0</b>	<b>105,137,458</b>	<b>(2,280,816)</b>	<b>21,429</b>	<b>108,957,388</b>	<b>108,978,817</b>
<b>GRAND TOTAL</b>	<b>\$7,728,201</b>	<b>\$212,670</b>	<b>\$7,940,871</b>	<b>(\$117,046,028)</b>	<b>\$0</b>	<b>\$112,929,600</b>	<b>(\$2,449,856)</b>	<b>\$1,374,588</b>	<b>\$117,032,640</b>	<b>\$118,407,228</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SOLANO COUNTY**

Attachment A  
Res No. 4402  
Page 9 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate			FY2020-21 TDA Revenue Estimate		
<b>FY2019-20 Generation Estimate Adjustment</b>			<b>FY2020-21 County Auditor's Generation Estimate</b>		
1. Original County Auditor Estimate (Feb, 19)	21,239,810		13. County Auditor Estimate		22,251,809
2. Actual Revenue (Jun, 20)	19,974,432		<b>FY2020-21 Planning and Administration Charges</b>		
3. Revenue Adjustment (Lines 2-1)		(1,265,378)	14. MTC Administration (0.5% of Line 13)	111,259	
<b>FY2019-20 Planning and Administration Charges Adjustment</b>			15. County Administration (0.5% of Line 13)	111,259	
4. MTC Administration (0.5% of Line 3)	(6,327)		16. MTC Planning (3.0% of Line 13)	667,554	
5. County Administration (Up to 0.5% of Line 3)	(6,327)		17. Total Charges (Lines 14+15+16)		890,072
6. MTC Planning (3.0% of Line 3)	(37,961)		18. TDA Generations Less Charges (Lines 13-17)		21,361,737
7. Total Charges (Lines 4+5+6)		(50,615)	<b>FY2020-21 TDA Apportionment By Article</b>		
8. Adjusted Generations Less Charges (Lines 3-7)		(1,214,763)	19. Article 3.0 (2.0% of Line 18)	427,235	
<b>FY2019-20 TDA Adjustment By Article</b>			20. Funds Remaining (Lines 18-19)		20,934,502
9. Article 3 Adjustment (2.0% of line 8)	(24,295)		21. Article 4.5 (5.0% of Line 20)	0	
10. Funds Remaining (Lines 8-9)		(1,190,468)	22. TDA Article 4 (Lines 20-21)		20,934,502
11. Article 4.5 Adjustment (5.0% of Line 10)	0				
12. Article 4 Adjustment (Lines 10-11)		(1,190,468)			

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
<b>Article 3</b>	964,815	20,287	985,103	(1,355,968)	0	407,804	(24,295)	12,644	427,235	439,879
<b>Article 4.5</b>										
<b>SUBTOTAL</b>	<b>964,815</b>	<b>20,287</b>	<b>985,103</b>	<b>(1,355,968)</b>	<b>0</b>	<b>407,804</b>	<b>(24,295)</b>	<b>12,644</b>	<b>427,235</b>	<b>439,879</b>
<b>Article 4/8</b>										
Dixon	1,278,184	25,136	1,303,320	(1,431,732)	0	903,994	(53,856)	721,725	938,978	1,660,703
Fairfield	5,969,565	126,454	6,096,018	(9,066,136)	0	5,277,659	(314,421)	1,993,120	5,557,256	7,550,376
Rio Vista	627,857	13,684	641,541	(418,055)	0	417,466	(24,871)	616,081	446,672	1,062,753
Solano County	1,888,628	35,339	1,923,968	(840,480)	0	892,044	(53,144)	1,922,388	928,826	2,851,214
Suisun City	47,248	4,505	51,754	(1,300,730)	0	1,326,366	(79,019)	(1,629)	1,396,892	1,395,263
Vacaville	9,400,831	208,238	9,609,069	(4,884,429)	0	4,497,114	(267,919)	8,953,836	4,687,157	13,640,993
Vallejo/Benicia	5,379,599	120,873	5,500,472	(7,116,757)	3,821,134	6,667,772	(397,238)	8,475,382	6,978,721	15,454,103
<b>SUBTOTAL</b>	<b>24,591,913</b>	<b>534,229</b>	<b>25,126,142</b>	<b>(25,058,319)</b>	<b>3,821,134</b>	<b>19,982,414</b>	<b>(1,190,468)</b>	<b>22,680,903</b>	<b>20,934,502</b>	<b>43,615,405</b>
<b>GRAND TOTAL</b>	<b>\$25,556,728</b>	<b>\$554,516</b>	<b>\$26,111,245</b>	<b>(\$26,414,287)</b>	<b>\$3,821,134</b>	<b>\$20,390,218</b>	<b>(\$1,214,763)</b>	<b>\$22,693,547</b>	<b>\$21,361,737</b>	<b>\$44,055,284</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. Where applicable by local agreement, contributions from each jurisdiction will be made to support the Intercity Transit Funding Agreement.

**FY 2020-21 FUND ESTIMATE  
TRANSPORTATION DEVELOPMENT ACT FUNDS  
SONOMA COUNTY**

Attachment A  
Res No. 4402  
Page 10 of 20  
9/23/2020

FY2019-20 TDA Revenue Estimate		FY2020-21 TDA Revenue Estimate	
<b>FY2019-20 Generation Estimate Adjustment</b>		<b>FY2020-21 County Auditor's Generation Estimate</b>	
1. Original County Auditor Estimate (Feb, 19)	26,800,000	13. County Auditor Estimate	26,300,000
2. Actual Revenue (Jun, 20)	24,472,044	<b>FY2020-21 Planning and Administration Charges</b>	
3. Revenue Adjustment (Lines 2-1)	(2,327,956)	14. MTC Administration (0.5% of Line 13)	131,500
<b>FY2019-20 Planning and Administration Charges Adjustment</b>		15. County Administration (0.5% of Line 13)	131,500
4. MTC Administration (0.5% of Line 3)	(11,640)	16. MTC Planning (3.0% of Line 13)	789,000
5. County Administration (Up to 0.5% of Line 3)	(11,640)	17. Total Charges (Lines 14+15+16)	1,052,000
6. MTC Planning (3.0% of Line 3)	(69,839)	18. TDA Generations Less Charges (Lines 13-17)	25,248,000
7. Total Charges (Lines 4+5+6)	(93,119)	<b>FY2020-21 TDA Apportionment By Article</b>	
8. Adjusted Generations Less Charges (Lines 3-7)	(2,234,837)	19. Article 3.0 (2.0% of Line 18)	504,960
<b>FY2019-20 TDA Adjustment By Article</b>		20. Funds Remaining (Lines 18-19)	24,743,040
9. Article 3 Adjustment (2.0% of line 8)	(44,697)	21. Article 4.5 (5.0% of Line 20)	0
10. Funds Remaining (Lines 8-9)	(2,190,140)	22. TDA Article 4 (Lines 20-21)	24,743,040
11. Article 4.5 Adjustment (5.0% of Line 10)	0		
12. Article 4 Adjustment (Lines 10-11)	(2,190,140)		

**TDA APPORTIONMENT BY JURISDICTION**

Column	A	B	C=Sum(A:B)	D	E	F	G	H=Sum(C:G)	I	J=Sum(H:I)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Transfers/ Refunds	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
Article 3	1,758,934	40,223	1,799,156	(869,672)	0	514,560	(44,697)	1,399,347	504,960	1,904,307
Article 4.5										
<b>SUBTOTAL</b>	<b>1,758,934</b>	<b>40,223</b>	<b>1,799,156</b>	<b>(869,672)</b>	<b>0</b>	<b>514,560</b>	<b>(44,697)</b>	<b>1,399,347</b>	<b>504,960</b>	<b>1,904,307</b>
Article 4/8										
GGBHTD <sup>3</sup>	238,300	7,927	246,227	(6,013,501)	0	6,303,360	(547,535)	(11,449)	6,185,760	6,174,311
Petaluma	1,405,490	17,826	1,423,316	(2,214,933)	0	1,951,520	(169,517)	990,386	2,182,336	3,172,722
Santa Rosa	2,339,172	40,354	2,379,526	(7,270,933)	0	6,812,671	(591,776)	1,329,489	6,509,894	7,839,383
Sonoma County	5,864,746	66,282	5,931,028	(11,496,251)	288,700	10,145,888	(881,312)	3,988,053	9,865,050	13,853,103
<b>SUBTOTAL</b>	<b>9,847,709</b>	<b>132,388</b>	<b>9,980,097</b>	<b>(26,995,618)</b>	<b>288,700</b>	<b>25,213,440</b>	<b>(2,190,140)</b>	<b>6,296,479</b>	<b>24,743,040</b>	<b>31,039,519</b>
<b>GRAND TOTAL</b>	<b>\$11,606,642</b>	<b>\$172,611</b>	<b>\$11,779,253</b>	<b>(\$27,865,290)</b>	<b>\$288,700</b>	<b>\$25,728,000</b>	<b>(\$2,234,837)</b>	<b>\$7,695,826</b>	<b>\$25,248,000</b>	<b>\$32,943,826</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. Apportionment to GGBHTD is 25-percent of Sonoma County's total Article 4/8 TDA funds.

**FY 2020-21 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
REVENUE-BASED FUNDS (PUC 99314)**

Attachment A  
Res No. 4402  
Page 11 of 20  
9/23/2020

FY2019-20 STA Revenue Estimate		FY2020-21 STA Revenue Estimate	
1. State Estimate (Nov, 19)	\$186,228,565	4. Projected Carryover (Aug, 20)	\$15,174,855
2. Actual Revenue (Aug, 20)	\$179,136,838	5. State Estimate (Aug, 20)	\$110,838,366
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$126,013,221

**STA REVENUE-BASED APPORTIONMENT BY OPERATOR**

Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Actual Revenue	Projected Carryover <sup>3</sup>	Revenue Estimate <sup>4</sup>	Available For Allocation
ACCMA - Corresponding to ACE	215,031	(495,904)	279,206	(1,668)	161,783	160,115
Caltrain	693,854	(8,934,945)	8,172,815	(68,276)	5,253,616	5,185,340
CCCTA	215,568	(848,487)	759,608	126,689	460,593	587,282
City of Dixon	24,344	0	7,121	31,465	4,497	35,962
ECCTA	237,439	(595,594)	399,200	41,045	222,690	263,735
City of Fairfield	91,860	(249,750)	157,326	(564)	81,729	81,165
GGBHTD	47,254	(8,266,909)	8,215,550	(4,105)	5,041,067	5,036,962
LAVTA	344,595	(340,493)	336,410	340,512	220,935	561,447
Marin Transit	1,018,368	(789,089)	1,604,545	1,833,823	861,534	2,695,357
NVTA	97,905	(206,345)	111,583	3,143	62,548	65,691
City of Petaluma	60,347	(41,087)	47,501	66,761	26,837	93,598
City of Rio Vista	4,575	0	7,174	11,749	1,430	13,179
SamTrans	3,921,525	(10,751,081)	7,811,843	982,287	5,269,034	6,251,321
SMART	18,515	(1,659,096)	1,630,971	(9,610)	1,089,118	1,079,508
City of Santa Rosa	777	(154,824)	154,109	62	90,179	90,241
Solano County Transit	55,949	(394,974)	338,560	(465)	192,092	191,627
Sonoma County Transit	47,091	(251,311)	217,129	12,910	125,621	138,531
City of Union City	20,142	(132,501)	112,011	(348)	68,246	67,898
Vacaville City Coach	46,943	0	28,177	75,120	14,627	89,747
VTA	119,051	(22,484,064)	22,363,701	(1,313)	15,969,889	15,968,576
VTA - Corresponding to ACE	865	(209,197)	208,383	52	93,336	93,388
WCCTA	100,132	(476,030)	485,226	109,327	292,125	401,452
WETA	9,411,017	0	2,226,791	11,637,809	1,432,571	13,070,380
<b>SUBTOTAL</b>	<b>16,793,148</b>	<b>(57,281,681)</b>	<b>55,674,940</b>	<b>15,186,405</b>	<b>37,036,097</b>	<b>52,222,502</b>
AC Transit	84,900	(23,426,735)	23,340,932	(903)	14,088,794	14,087,891
BART	189,225	(39,345,548)	39,148,632	(7,691)	22,077,158	22,069,467
SFMTA	252,274	(61,227,565)	60,972,335	(2,956)	37,636,318	37,633,362
<b>SUBTOTAL</b>	<b>526,400</b>	<b>(123,999,848)</b>	<b>123,461,898</b>	<b>(11,550)</b>	<b>73,802,269</b>	<b>73,790,719</b>
<b>GRAND TOTAL</b>	<b>\$17,319,547</b>	<b>(\$181,281,529)</b>	<b>\$179,136,838</b>	<b>\$15,174,855</b>	<b>\$110,838,366</b>	<b>\$126,013,221</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.
3. Projected carryover as of 6/30/20 does not include interest accrued in FY2019-20.
4. FY2020-21 STA revenue generation is based on August 1, 2020 estimates from the SCO.

**FY 2020-21 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313) - THROUGH FY 2017-18**

Attachment A  
Res No. 4402  
Page 12 of 20  
9/23/2020

<b>STA POPULATION-BASED APPORTIONMENT BY JURISDICTION &amp; OPERATOR</b>						
<i>Column</i>	<i>A</i>	<i>B</i>	<i>C</i>	<i>D=Sum(A:C)</i>	<i>E</i>	<i>F=Sum(D:E)</i>
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance	Outstanding	Revenue	Projected	Revenue	Available For
	(w/interest) <sup>1</sup>	Commitments <sup>2</sup>	Estimate <sup>4</sup>	Carryover <sup>3</sup>	Estimate <sup>4</sup>	Allocation
<b>Northern Counties/Small Operators</b>						
Marin	0	0	0	0	0	0
Napa	0	0	0	0	0	0
Solano/Vallejo	3,913,020	(3,936,444)	0	(23,424)	0	(23,424)
Sonoma	0	0	0	0	0	0
CCCTA	181,405	(181,662)	0	(257)	0	(257)
ECCTA	0	0	0	0	0	0
LAVTA	0	0	0	0	0	0
Union City	0	0	0	0	0	0
WCCTA	0	0	0	0	0	0
<b>SUBTOTAL</b>	<b>4,094,424</b>	<b>(4,118,106)</b>	<b>0</b>	<b>(23,681)</b>	<b>0</b>	<b>(23,681)</b>
<b>Regional Paratransit</b>						
Alameda	0	0	0	0	0	0
Contra Costa	0	0	0	0	0	0
Marin	0	0	0	0	0	0
Napa	0	0	0	0	0	0
San Francisco	0	0	0	0	0	0
San Mateo	255,152	(255,152)	0	0	0	0
Santa Clara	0	0	0	0	0	0
Solano	787,624	(657,815)	0	129,809	0	129,809
Sonoma	0	0	0	0	0	0
<b>SUBTOTAL</b>	<b>1,042,776</b>	<b>(912,967)</b>	<b>0</b>	<b>129,809</b>	<b>0</b>	<b>129,809</b>
<b>Lifeline</b>						
Alameda	2,561,258	(2,468,575)	0	92,683	0	92,683
Contra Costa	1,296,613	(972,866)	0	323,747	0	323,747
Marin	428,098	(416,988)	0	11,110	0	11,110
Napa	332,878	0	0	332,878	0	332,878
San Francisco	1,234,497	(1,132,827)	0	101,670	0	101,670
San Mateo	1,259,910	(779,998)	0	479,912	0	479,912
Santa Clara	8,602,035	(3,474,903)	0	5,127,132	0	5,127,132
Solano	592,428	(470,918)	0	121,510	0	121,510
Sonoma	888,071	(854,086)	0	33,985	0	33,985
JARC Funding Restoration <sup>5</sup>	400,668	0	0	400,668	0	400,668
Participatory Budgeting Pilot	1,022,099	0	0	1,022,099	0	1,022,099
Reserve for a Means-Based Transit Fare	5,910,243	0	0	5,910,243	0	5,910,243
<b>SUBTOTAL</b>	<b>24,528,801</b>	<b>(10,571,161)</b>	<b>0</b>	<b>13,957,637</b>	<b>0</b>	<b>13,957,637</b>
<b>MTC Regional Coordination Program<sup>6</sup></b>		0	0	0	0	0
<b>BART to Warm Springs</b>	1,682	(1,682)	0	0	0	0
<b>SamTrans</b>	40,561	0	0	40,561	0	40,561
<b>GRAND TOTAL</b>	<b>\$29,708,244</b>	<b>(\$15,603,919)</b>	<b>\$0</b>	<b>\$14,104,326</b>	<b>\$0</b>	<b>\$14,104,326</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. The projected carryover as of 6/30/2020 does not include interest accrued in FY 2019-20. All apportionment jurisdictions must spend or request to transfer all fund balances by 6/30/2020, except for Lifeline funds which will be closed out as projects conclude.

4. FY 2018-19 - FY 2020-21 revenue is distributed through MTC Resolution 4321, adopted in February 2018. See following page for details.

5. Includes 2/26/14 Commission action to re-assign \$1.1 million in FY 2014-15 Lifeline funds, and re-assigning \$693,696 of MTC's Means-Based Discount Project balance.

6. See Regional Program on following page for details from FY 2018-19 onwards.

**FY 2020-21 FUND ESTIMATE  
STATE TRANSIT ASSISTANCE  
POPULATION-BASED FUNDS (PUC 99313) - FY 2018-19 ONWARDS**

Attachment A  
Res No. 4402  
Page 13 of 20  
9/23/2020

FY2019-20 STA Revenue Estimate		FY2020-21 STA Revenue Estimate	
1. State Estimate (Nov, 19)	\$67,889,961	4. Projected Carryover (Aug, 20)	\$47,698,671
2. Actual Revenue (Aug, 20)	\$65,304,656	5. State Estimate <sup>4</sup> (Aug, 20)	\$40,506,204
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	\$88,204,875

**STA POPULATION-BASED COUNTY BLOCK GRANT AND REGIONAL PROGRAM APPORTIONMENT**

Column	A 6/30/2019 Balance (w/interest) <sup>1</sup>	C FY2019-20 Outstanding Commitments <sup>2</sup>	D FY2019-20 Actual Revenue	E=Sum(A:D) 6/30/2020 Projected Carryover <sup>3</sup>	F FY2020-21 Revenue Estimate <sup>4</sup>	G=Sum(E:F) Total Available For Allocation
<b>County Block Grant<sup>5</sup></b>						
Alameda	499,255	(8,546,864)	8,042,004	(5,605)	5,012,228	5,006,623
Contra Costa	98,261	(10,190,630)	10,091,692	(677)	6,289,710	6,289,033
Marin	10,134	(2,606,338)	2,596,085	(119)	1,618,026	1,617,907
Napa	267,635	(1,857,920)	1,588,030	(2,255)	989,749	987,494
San Francisco	2,329,879	(2,903,814)	3,846,730	3,272,795	2,397,497	5,670,292
San Mateo	2,308,361	(1,407,983)	2,303,137	3,203,515	1,435,444	4,638,959
Santa Clara	24,933	(6,436,202)	6,411,006	(263)	3,995,698	3,995,435
Solano	4,788,590	(2,361,293)	4,777,754	7,205,051	2,977,764	10,182,815
Sonoma	535,610	(6,374,251)	5,837,452	(1,189)	3,638,227	3,637,038
<b>SUBTOTAL</b>	<b>10,862,659</b>	<b>(42,685,295)</b>	<b>45,493,893</b>	<b>13,671,253</b>	<b>28,354,343</b>	<b>42,025,596</b>
<b>Regional Program<sup>6</sup></b>	10,945,583	(8,551,000)	11,497,383	13,891,966	4,151,861	18,043,827
<b>Means-Based Transit Fare Program</b>	13,692,555	(2,650,832)	8,000,000	19,041,723	8,000,000	27,041,723
<b>Transit Emergency Service Contingency Fund<sup>7</sup></b>	746,473	33,876	313,380	1,093,729	0	1,093,729
<b>GRAND TOTAL</b>	<b>\$36,247,270</b>	<b>(\$53,853,251)</b>	<b>\$65,304,656</b>	<b>\$47,698,671</b>	<b>\$40,506,204</b>	<b>\$88,204,875</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.

2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

3. The projected carryover as of 6/30/2020 does not include interest accrued in FY 2019-20.

4. FY2020-21 STA revenue generation based on August 1, 2020 State Controller's Office (SCO) forecast.

5. County Block Grant adopted through MTC Resolution 4321 in February 2018.

6. Regional Program adopted through MTC Resolution 4321 in February 2018. Balance and carryover amounts are from the MTC Regional Coordination Program established through MTC Resolution 3837, Revised. Funds are committed to Clipper® and other MTC Customer Service projects.

7. Funds for the Transit Emergency Service Contingency Fund are taken "off the top" from the STA Population-Based program. MTC expects to receive claims for funds in FY 2019-20 due to 2019 North Bay fires, which will increase outstanding commitments and reduce the fund balance below \$1,000,000.



**FY 2020-21 FUND ESTIMATE  
BRIDGE TOLLS<sup>1</sup>**

Attachment A  
Res No. 4402  
Page 14 of 20  
9/23/2020

**BRIDGE TOLL APPORTIONMENT BY CATEGORY**

<i>Column</i>	<b>A</b>	<b>B</b>	<b>C</b>	<b>D=Sum(A:C)</b>	<b>E</b>	<b>F=D+E</b>
	<b>6/30/2019</b>	<b>FY2018-20</b>	<b>FY2019-20</b>	<b>6/30/2020</b>	<b>FY2020-21</b>	<b>Total</b>
<b>Fund Source</b>	<b>Balance<sup>2</sup></b>	<b>Outstanding Commitments<sup>3</sup></b>	<b>Programming Amount<sup>4</sup></b>	<b>Projected Carryover</b>	<b>Programming Amount<sup>4</sup></b>	<b>Available for Allocation</b>
<b>MTC 2% Toll Revenues</b>						
Ferry Capital	5,718,615	(4,220,745)	1,000,000	2,497,870	1,000,000	3,497,870
Bay Trail	0	(450,000)	450,000	0	450,000	450,000
Studies	564,510	(139,454)	0	425,055	0	425,055
<b>SUBTOTAL</b>	<b>6,283,125</b>	<b>(4,810,199)</b>	<b>1,450,000</b>	<b>2,922,925</b>	<b>1,450,000</b>	<b>4,372,925</b>
<b>5% State General Fund Revenues</b>						
Ferry	13,055,918	(8,137,340)	3,341,267	8,259,844	3,374,680	11,634,524
Bay Trail	112,972	(383,076)	273,421	3,316	281,706	285,022
<b>SUBTOTAL</b>	<b>13,168,890</b>	<b>(8,520,416)</b>	<b>3,614,688</b>	<b>8,263,160</b>	<b>3,656,386</b>	<b>11,919,546</b>

1. BATA Resolution 93 and MTC Resolution 3948 required BATA to make a payment to MTC equal to the estimated present value of specified fund transfers for the next 50 years (FY2010-11 through FY2059-60) and relieved BATA from making those fund transfers for that 50 year period. The MTC 2% Toll Revenues listed above, commencing in FY2010-11, are funded from this payment.
2. Balance as of 6/30/19 is from MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
3. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 1/31/20.
4. MTC Resolution 4015 states that annual funding levels are established and adjusted through the fund estimate for 2%, and 5% bridge toll revenues.

**FY 2020-21 FUND ESTIMATE**  
**AB1107 FUNDS**  
**AB1107 IS TWENTY-FIVE PERCENT OF THE ONE-HALF CENT BART DISTRICT SALES TAX**

Attachment A  
 Res No. 4402  
 Page 15 of 20  
 9/23/2020

FY2019-20 AB1107 Revenue Estimate		FY2020-21 AB1107 Estimate	
1. Original MTC Estimate (Feb, 19)	\$91,000,000	4. Projected Carryover (Jun, 19)	\$0
2. Actual Revenue (Jun, 20)	\$88,961,758	5. MTC Estimate (Feb, 19)	\$93,500,000
3. Revenue Adjustment (Lines 2-1)	(\$2,038,242)	6. Total Funds Available (Lines 4+5)	\$93,500,000

**AB1107 APPORTIONMENT BY OPERATOR**

Column	A	B	C=Sum(A:B)	D	E	F	G=Sum(A:F)	H	I=Sum(G:H)
	6/30/2019	FY2018-19	6/30/2019	FY2018-20	FY2019-20	FY2019-20	6/30/2020	FY2020-21	FY2020-21
Apportionment Jurisdictions	Balance (w/o interest)	Interest	Balance (w/ interest) <sup>1</sup>	Outstanding Commitments <sup>2</sup>	Original Estimate	Revenue Adjustment	Projected Carryover	Revenue Estimate	Available for Allocation
AC Transit	0	0	0	(44,480,879)	45,500,000	(1,019,121)	0	46,750,000	46,750,000
SFMTA	0	0	0	(44,480,879)	45,500,000	(1,019,121)	0	46,750,000	46,750,000
<b>TOTAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>(\$88,961,758)</b>	<b>\$91,000,000</b>	<b>(\$2,038,242)</b>	<b>\$0</b>	<b>\$93,500,000</b>	<b>\$93,500,000</b>

1. Balance as of 6/30/19 is from the MTC FY2018-19 Audit, and it contains both funds available for allocation and funds that have been allocated but not disbursed.
2. The outstanding commitments figure includes all unpaid allocations as of 6/30/19, and FY2019-20 allocations as of 6/30/20.

**FY 2020-21 FUND ESTIMATE  
TDA & STA FUND SUBAPPORTIONMENT FOR ALAMEDA & CONTRA COSTA COUNTIES  
& IMPLEMENTATION OF OPERATOR AGREEMENTS**

Attachment A  
Res No. 4402  
Page 16 of 20  
9/23/2020

<b>ARTICLE 4.5 SUBAPPORTIONMENT</b>		
<b>Apportionment Jurisdictions</b>	<b>Alameda Article 4.5</b>	<b>Contra Costa Article 4.5</b>
<b>Total Available</b>	<b>\$4,370,703</b>	<b>\$2,167,885</b>
AC Transit	\$4,003,602	\$666,416
LAVTA	\$148,960	
Pleasanton	\$82,480	
Union City	\$135,661	
CCCTA		\$883,392
ECCTA		\$475,260
WCCTA		\$142,816

**IMPLEMENTATION OF OPERATOR AGREEMENTS**

**Apportionment of BART Funds to Implement Transit Coordination Program**

<b>Apportionment Jurisdictions</b>	<b>Total Available Funds (TDA and STA) FY 2020-21</b>
CCCTA	\$938,028
LAVTA	\$791,448
ECCTA	\$3,049,550
WCCTA	\$3,204,781

<b>Fund Source</b>	<b>Apportionment Jurisdictions</b>	<b>Claimant</b>	<b>Amount<sup>1</sup></b>	<b>Program</b>
<b>Total Available BART STA Revenue-Based Funds</b>			<b>\$22,069,467</b>	
STA Revenue-Based	BART	CCCTA	(938,028)	BART Feeder Bus
STA Revenue-Based	BART	LAVTA	(692,416)	BART Feeder Bus
STA Revenue-Based	BART	ECCTA	(3,049,550)	BART Feeder Bus
STA Revenue-Based	BART	WCCTA	(2,918,228)	BART Feeder Bus
<b>Total Payment</b>			<b>(7,598,222)</b>	
<b>Remaining BART STA Revenue-Based Funds</b>			<b>\$14,471,245</b>	
<b>Total Available BART TDA Article 4 Funds</b>			<b>\$385,586</b>	
TDA Article 4	BART-Alameda	LAVTA	(99,033)	BART Feeder Bus
TDA Article 4	BART-Contra Costa	WCCTA	(286,553)	BART Feeder Bus
<b>Total Payment</b>			<b>(385,586)</b>	
<b>Remaining BART TDA Article 4 Funds</b>			<b>\$0</b>	
<b>Total Available SamTrans STA Revenue-Based Funds</b>			<b>\$6,251,321</b>	
STA Revenue-Based	SamTrans	BART	(801,024)	SFO Operating Expense
<b>Total Payment</b>			<b>(801,024)</b>	
<b>Remaining SamTrans STA Revenue-Based Funds</b>			<b>\$5,450,297</b>	
<b>Total Available Union City TDA Article 4 Funds</b>			<b>\$10,692,452</b>	
TDA Article 4	Union City	AC Transit	(116,699)	Union City service
<b>Total Payment</b>			<b>(116,699)</b>	
<b>Remaining Union City TDA Article 4 Funds</b>			<b>\$10,575,753</b>	

1. Amounts assigned to the claimants in this page will reduce the funds available for allocation in the corresponding apportionment jurisdictions by the same amounts.

**FY 2020-21 FUND ESTIMATE  
STA SPILLOVER FUNDING AGREEMENT PER RESOLUTION 3814**

*Attachment A  
Res No. 4402  
Page 17 of 20  
9/23/2020*

**PROPOSITION 1B TRANSIT FUNDING PROGRAM -- POPULATION BASED SPILLOVER DISTRIBUTION**

Apportionment Category	MTC Resolution 3814	%	FY 2007-08	FY2009-19	MTC Res-3833	MTC Res-3925	FY2020-21
	Spillover Payment Schedule		Spillover Distribution	Spillover Distribution	(RM 1 Funding)	(STP/CMAQ Funding)	Remaining
Lifeline	10,000,000	16%	1,028,413	0	0	8,971,587	0
Small Operators / North Counties	3,000,000	5%	308,524	0	0	2,691,476	0
BART to Warm Springs	3,000,000	5%	308,524	0	0	0	0
eBART	3,000,000	5%	327,726	0	2,672,274	0	0
SamTrans	43,000,000	69%	4,422,174	0	0	19,288,913	19,288,913
<b>TOTAL</b>	<b>\$62,000,000</b>	<b>100%</b>	<b>\$6,395,361</b>	<b>\$0</b>	<b>\$0</b>	<b>\$30,951,976</b>	<b>\$19,288,914</b>

**FY 2020-21 FUND ESTIMATE  
CAP AND TRADE LOW CARBON TRANSIT OPERATIONS PROGRAM (LCTOP)**

*Attachment A  
Res No. 4402  
Page 18 of 20  
9/23/2020*

<b>FY2019-20 LCTOP Revenue Estimate<sup>1</sup></b>		<b>FY2020-21 LCTOP Revenue Estimate<sup>2</sup></b>	
1. Actual Statewide Appropriation (Feb, 20)	\$146,061,319	5. Estimated Statewide Appropriation (Jan, 20)	\$125,000,000
2. MTC Region Revenue-Based Funding	\$39,052,475	6. Estimated MTC Region Revenue-Based Funding	\$33,421,301
3. MTC Region Population-Based Funding	\$14,236,650	7. Estimated MTC Region Population-Based Funding	\$12,183,796
<b>4. Total MTC Region Funds</b>	<b>\$53,289,125</b>	<b>8. Estimated Total MTC Region Funds</b>	<b>\$45,605,097</b>

1. The FY 2019-20 LCTOP revenue generation is based on February 14, 2020 allocations from the State Controller's Office (SCO).

2. The FY 2020-21 LCTOP revenue generation is based on the \$125 million estimated in the FY 2020-21 State Budget.

**FY 2020-21 FUND ESTIMATE  
STATE OF GOOD REPAIR (SGR) PROGRAM  
REVENUE-BASED FUNDS**

Attachment A  
Res No. 4402  
Page 19 of 20  
9/23/2020

FY2019-20 SGR Population-Based Revenue Estimate			FY2020-21 SGR Population-Based Revenue Estimate			
1. State Estimate (Nov, 19)	\$28,775,741		4. Projected Carryover (Aug, 20)		\$351,050	
2. Actual Revenue (Aug, 20)	\$29,126,924		5. State Estimate (Aug, 20)		\$31,528,098	
3. Revenue Adjustment (Lines 2-1)	\$0		6. Total Funds Available (Lines 4+5)		\$31,879,148	
STATE OF GOOD REPAIR PROGRAM REVENUE-BASED APPORTIONMENT BY OPERATOR						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment Jurisdictions	Balance (w/interest)	Outstanding Commitments	Actual Revenue	Projected Carryover	Revenue Estimate <sup>1</sup>	Available For Allocation
ACCMA - Corresponding to ACE	91	(44,940)	45,398	549	46,019	46,568
Caltrain	12	(1,312,856)	1,328,867	16,022	1,494,397	1,510,419
CCCTA	260	(122,280)	123,509	1,489	131,016	132,505
City of Dixon	3	(1,147)	1,158	14	1,279	1,293
ECCTA	122	(64,248)	64,908	782	63,344	64,126
City of Fairfield	79	(25,351)	25,581	309	23,248	23,557
GGBHTD	2,786	(1,322,495)	1,335,815	16,106	1,433,937	1,450,043
LAVTA	116	(54,155)	54,699	660	62,845	63,505
Marin Transit	0	(257,757)	260,892	3,136	245,064	248,200
NVTA	38	(17,962)	18,143	218	17,792	18,010
City of Petaluma	14	(7,644)	7,724	93	7,634	7,727
City of Rio Vista	1	(9,963)	1,166	(8,795)	407	(8,388)
SamTrans	2,751	(1,257,611)	1,270,174	15,314	1,498,783	1,514,097
SMART	573	(262,565)	265,189	3,197	309,801	312,998
City of Santa Rosa	58	(24,813)	25,058	303	25,652	25,955
Solano County Transit	125	(45,700)	55,048	9,473	54,641	64,114
Sonoma County Transit	77	(34,956)	35,304	426	35,733	36,159
City of Union City	38	(18,031)	18,212	219	19,413	19,632
Vacaville City Coach	9	(4,590)	4,581	1	4,161	4,162
VTA	10,027	(3,602,432)	3,636,247	43,842	4,542,653	4,586,495
VTA - Corresponding to ACE	71	(33,616)	33,882	337	26,550	26,887
WCCTA	162	(78,106)	78,896	952	83,095	84,047
WETA	676	(358,378)	362,067	4,365	407,496	411,861
<b>SUBTOTAL</b>	<b>18,089</b>	<b>(8,961,596)</b>	<b>9,052,520</b>	<b>109,012</b>	<b>10,534,959</b>	<b>10,643,971</b>
AC Transit	7,068	(3,756,451)	3,795,147	45,764	4,007,573	4,053,337
BART	13,713	(6,302,374)	6,365,413	76,752	6,279,872	6,356,624
SFMTA	21,458	(9,815,781)	9,913,845	119,522	10,705,693	10,825,215
<b>SUBTOTAL</b>	<b>42,239</b>	<b>(19,874,606)</b>	<b>20,074,405</b>	<b>242,038</b>	<b>20,993,139</b>	<b>21,235,177</b>
<b>GRAND TOTAL</b>	<b>\$60,329</b>	<b>(\$28,836,202)</b>	<b>\$29,126,924</b>	<b>\$351,050</b>	<b>\$31,528,098</b>	<b>\$31,879,148</b>

1. FY2020-21 State of Good Repair Program revenue generation is based on August 1, 2020 estimates from the State Controller's Office (SCO).

**FY 2020-21 FUND ESTIMATE  
STATE OF GOOD REPAIR (SGR) PROGRAM  
POPULATION-BASED FUNDS**

Attachment A  
Res No. 4402  
Page 20 of 20  
9/23/2020

FY2019-20 SGR Population-Based Revenue Estimate		FY2020-21 SGR Population-Based Revenue Estimate	
1. State Estimate (Nov, 19)	\$10,490,248	4. Projected Carryover (Aug, 20)	\$122,228
2. Actual Revenue (Aug, 20)	\$10,612,476	5. State Estimate (Aug, 20)	\$11,522,035
3. Revenue Adjustment (Lines 2-1)		6. Total Funds Available (Lines 4+5)	<b>\$11,644,263</b>

SGR PROGRAM POPULATION-BASED APPORTIONMENT						
Column	A	B	C	D=Sum(A:C)	E	F=Sum(D:E)
	6/30/2019	FY2018-20	FY2019-20	6/30/2020	FY2020-21	Total
Apportionment	Balance (w/interest)	Outstanding Commitments	Actual Revenue	Projected Carryover	Revenue Estimate <sup>1</sup>	Available For Allocation
Clipper®/Clipper® 2.0 <sup>2</sup>	6,112,080	(16,602,328)	10,612,476	122,228	11,522,035	11,644,263
<b>GRAND TOTAL</b>	<b>\$6,112,080</b>	<b>(\$16,602,328)</b>	<b>\$10,612,476</b>	<b>\$122,228</b>	<b>\$11,522,035</b>	<b>\$11,644,263</b>

1. FY2020-21 State of Good Repair Program revenue generation is based on August 1, 2020 estimates from the State Controller's Office (SCO).

2. State of Good Repair Program funds are shown here according to the policy in MTC Resolution 4321.