



**METROPOLITAN
TRANSPORTATION
COMMISSION**

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Small Business Enterprise Program, Disadvantaged Enterprise Program Update, and Title VI Program Update

Policy Advisory Council Equity & Access Subcommittee

June 23, 2022

Small Business Enterprise (SBE) Program

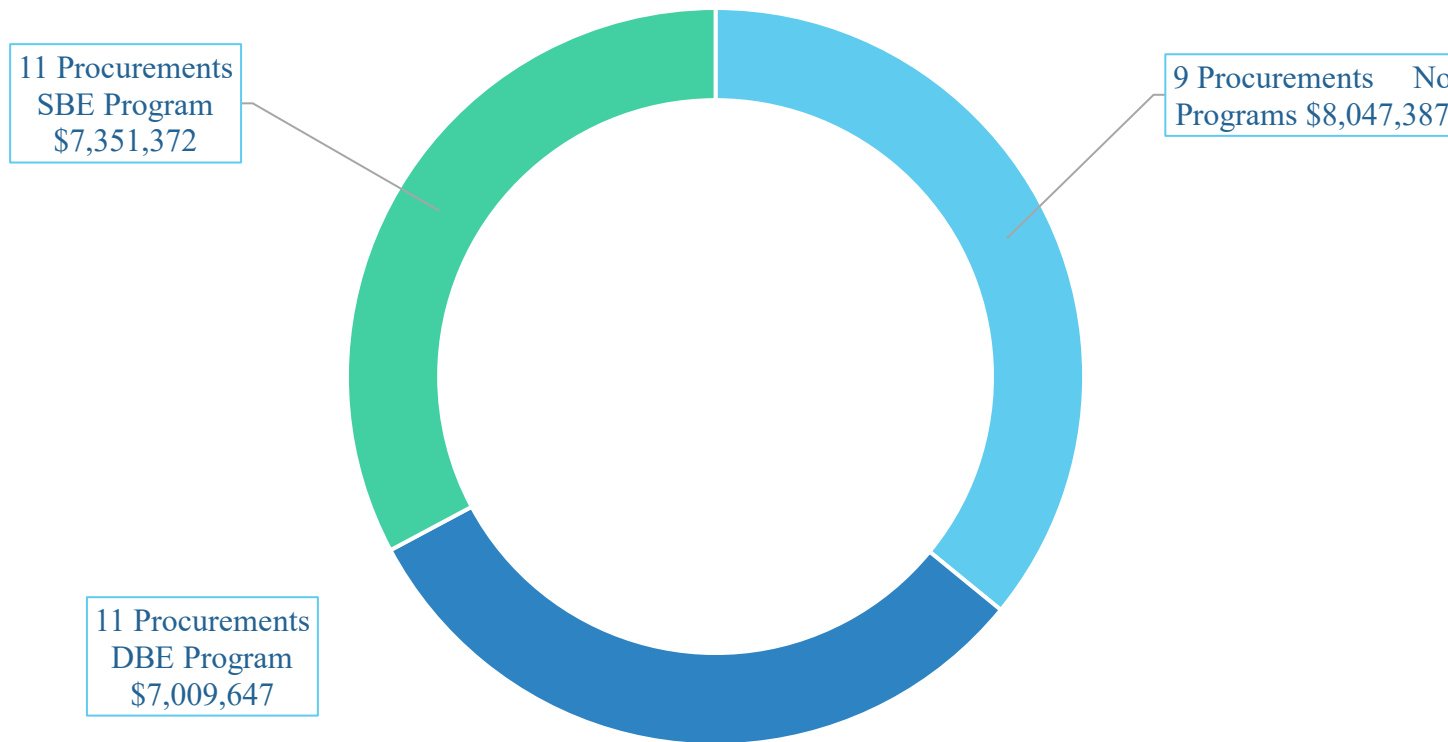
MTC/ABAG SBE Program

Applicable to:	Acceptable Certifications:	Bid/Evaluation Preference:
<p>The Program applies to non-federally funded, non-Architectural & Engineering (A&E) materials, equipment, supplies, services and construction contracts with a budget in excess of \$25,000</p>	<p>State of California Department of General Services (DGS) SBE Program, California Unified Certification Program DBE certification, City and County of San Francisco Local Business Enterprise (LBE) as certified by the Contract Monitoring Division (CMD) Directory Certification Unit, Alameda County Small Local and Emerging Business (SLEB) program as certified by the Alameda County SLEB Certification Unit; as well as any DVBE/LBE/LGBTBE/MBE/SBE/WBE certification from a formal certifying agency in the nine-county Bay Area, provided that the certification can be verified through a searchable database and meets the requirements of the State of California Small Business Program</p>	<p>Two-tiered: An Evaluation Preference of five percent (5%) or five (5) points will be applied to the total evaluation when the Proposer meeting specifications commits to twenty-five percent (25%) SBE participation for the work An Evaluation Preference of ten percent (10%) or ten (10) points will be applied to the total evaluation when the Proposer meeting specifications commits to forty percent (40%) SBE participation for the work</p>

Procurement Breakdown (MTC) 31 Procurements

Results from April 2021 through March 2022

Procurement Breakdown (MTC)
April 1, 2021 - March 31, 2022



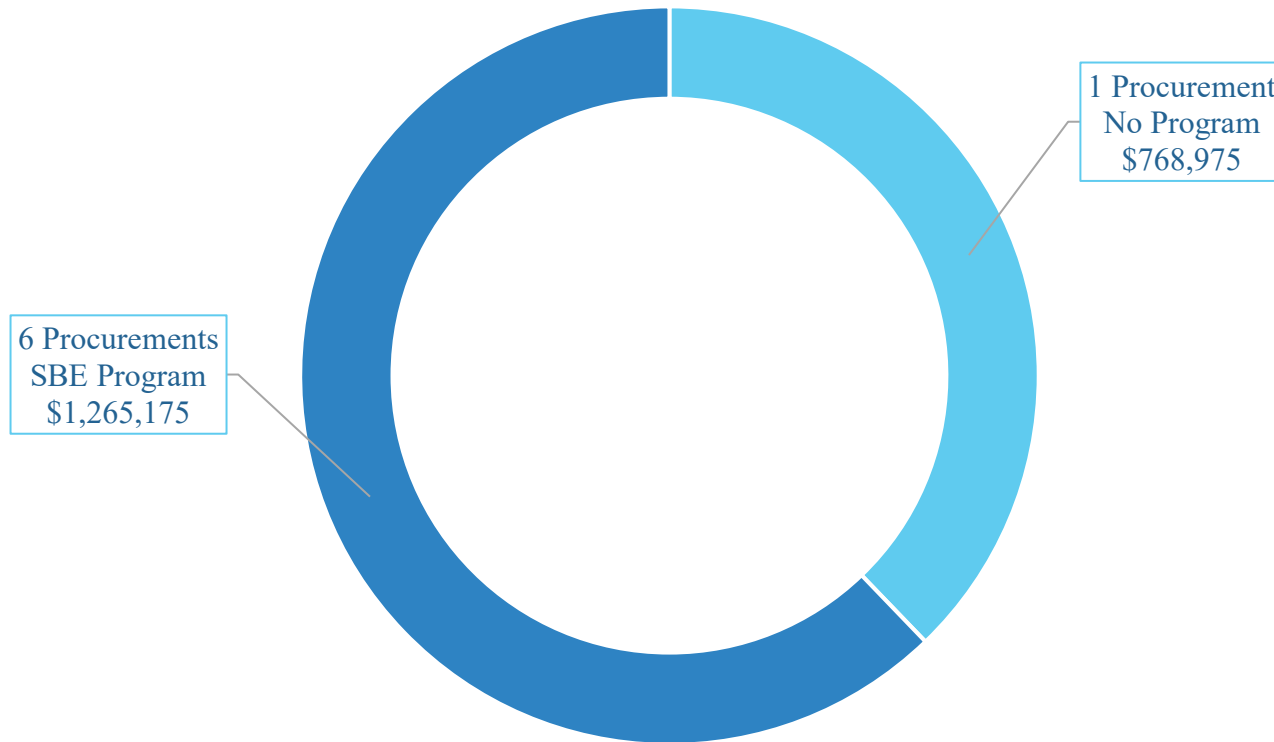
- Fluctuations in \$ volume from year to year to be expected due to
 - Overall procurement \$ volume is down
 - DBE Program procurement \$ volume is up
 - SBE Program procurement \$ volume is down

■ No SBE/DBE Program ■ DBE Program ■ SBE Program

Procurement Breakdown (ABAG) 7 Procurements

Results from April 2021 through March 2022

Procurement Breakdown (ABAG)
April 1, 2021 - March 31, 2022



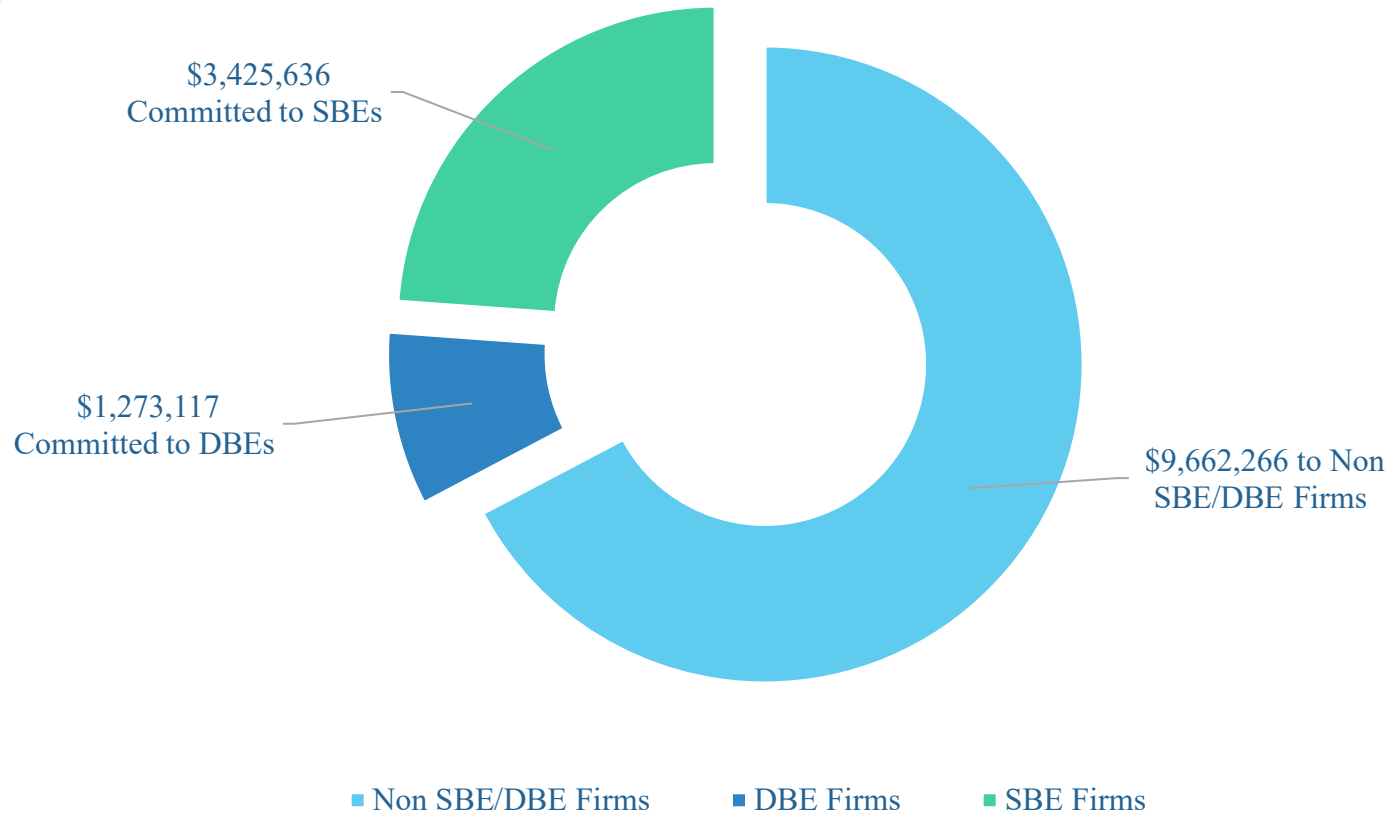
- 1st year of ABAG Program
- 86% of procurements fell under the SBE Program

■ No SBE/DBE Program ■ SBE Program

SBE & DBE Program Commitments (MTC)

Results from April 2021 through March 2022

SBE / DBE Commitments (MTC)
22 Procurements
(4/1/2021 - 3/31/2022)

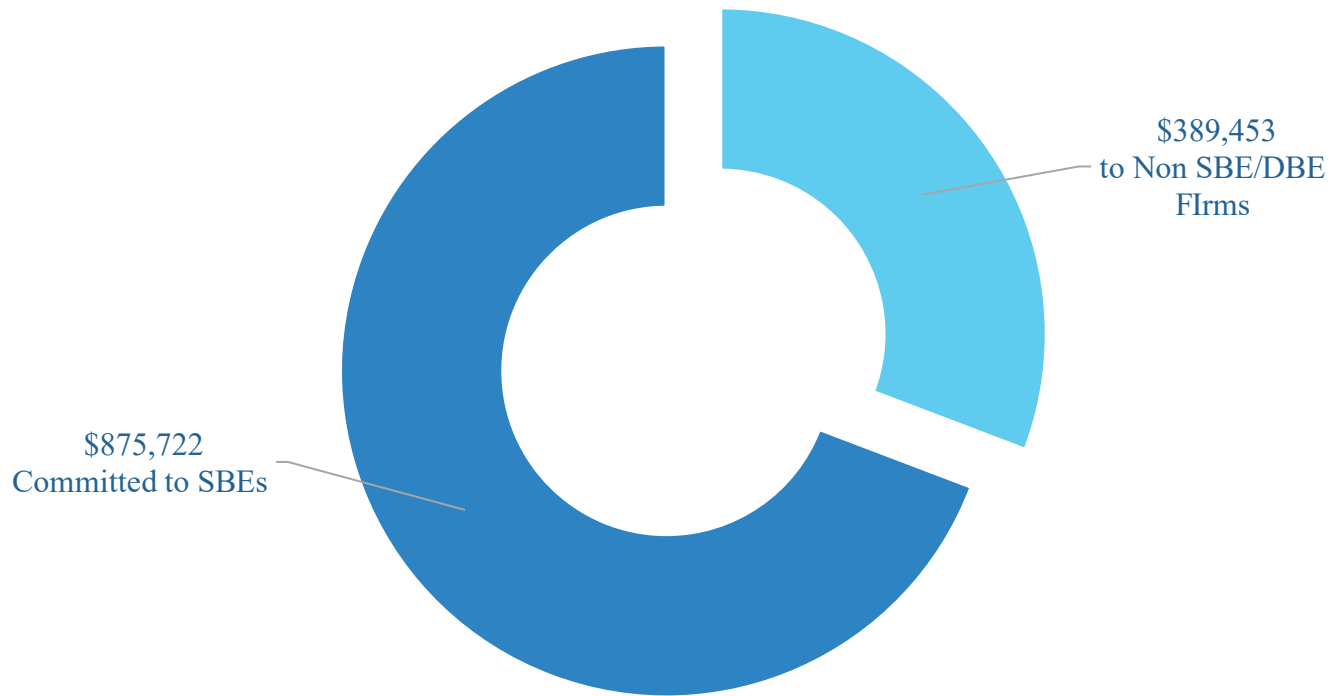


- 18% of total awards under DBE Program to DBE Firms
- 47% of total awards under SBE Program to SBE Firms

SBE Program Commitments (ABAG)

Results from April 2021 through March 2022

SBE / DBE Commitments (ABAG)
7 Procurements
(4/1/2021 - 3/31/2022)



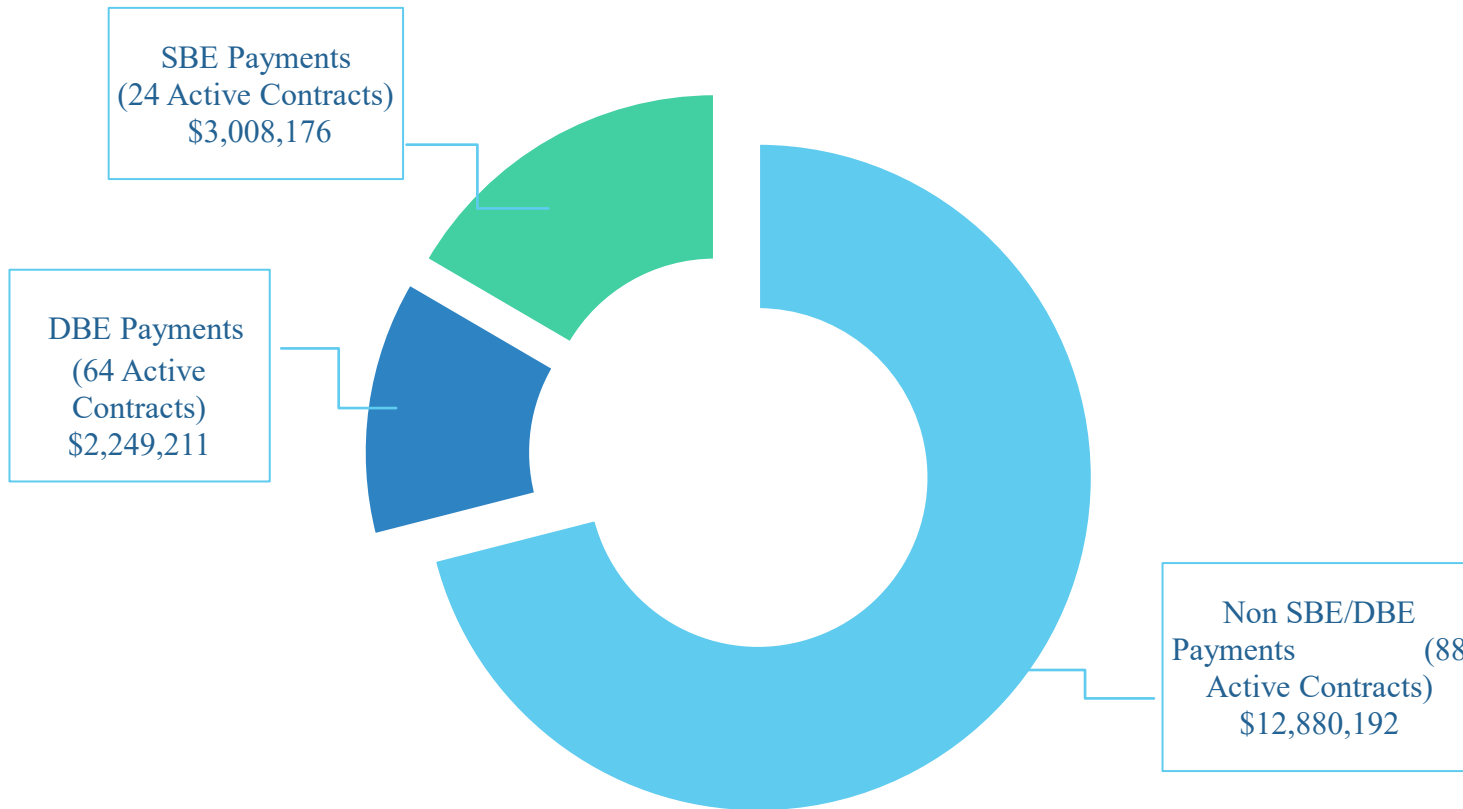
■ Non SBE/DBE Firms ■ SBE Firms

- 69% of awards under ABAG SBE Program committed to SBE Firms

Agency Payments (MTC)

Results from April 2021 through March 2022

Payments to SBE / DBE Firms
(4/1/2021 - 3/31/2022)



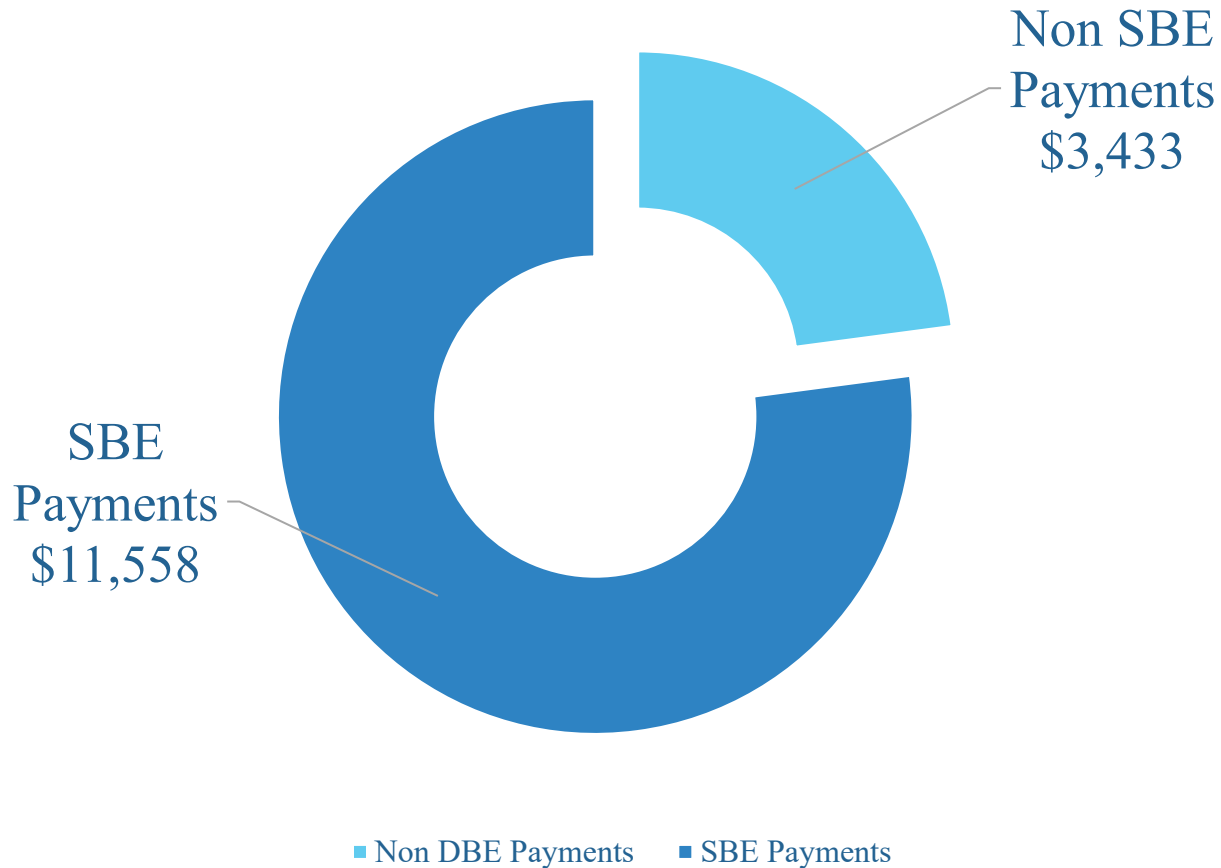
■ Non DBE Payments ■ DBE Payments ■ SBE Payments

- Project delays resulted in a decrease in the % of DBE Payments for this reporting period
- SBE Payments are up 92% as payments from procurements in prior reporting period began 7/1/2021

Agency Payments (ABAG)

Results from April 2021 through March 2022

Payments to SBE / DBE Firms (ABAG)
4 Active Contracts
(4/1/2021 - 3/31/2022)



- Minimal payment activity due to program only being in effect for 12 months and limited procurement activity

SBE / DBE Program Outreach

- Engaged with local advocacy groups to discuss our DBE and SBE efforts
- Begin hosting information sessions in the 2nd half of FY 2022/23 for SBEs & DBEs
- Evaluate MTC web pages and make improvements to assist with SBE & DBE outreach
- Starting FY 2022/2023, utilize SBE, Inc. newsletter to advertise procurements (<https://www.sbeinc.com>)
- Continued participation in and support of local and regional organizations and working groups to educate SBE & DBE firms about the MTC programs
- Formalize Annual Outreach Plan/Strategy Document to be completed by Fall 2022

Annual SBE / DBE Program Outreach Calendar

Organization	Description	Type of Events	Frequency
Business Outreach Committee (BOC)	A consortium of Bay Area transit and transportation agencies working together to assist DBEs and SBEs. As of May 2022, there are 26 agencies that are active members	Training, technical assistance, and relationship-building with agency staff and the contracting community of the San Francisco Bay Area.	Quarterly
Calmentor Program, Caltrans District 4	Program designed to encourage and support small businesses through voluntary partnerships with established firms.	'Look-ahead' reports of upcoming A&E contracting opportunities and best practices for small business to access information about contracting with partner agencies and Caltrans.	Quarterly
Conference of Minority Officials (COMTO)	Premier organization for the training, education, and professional development of minority transportation professionals.	Legislative initiatives, scholarship, and program opportunities. MTC staff is part of the executive board.	Monthly & Quarterly
California Unified Certification Program CUCP part 26 & 23	Certification services to small, minority and women businesses seeking to participate in the USDOT DBE Program.	Certification updates, information discussion and DBE/ACDBE certification issues. Certifying and non-certifying agencies share resources and information about upcoming events.	Monthly
Norcal PTAC	No cost procurement technical assistance for government contracting serving the counties: Del Norte, Humboldt, Shasta, Siskiyou, Trinity, Mendocino, Sonoma, Napa, Solano, Marin, Contra Costa, San Francisco, Alameda, San Mateo, and Santa Clara.	One-on-one counseling, custom bid matching, training, and networking opportunities. Resources for federal and state certification and contracting.	As requested

Ongoing Efforts

- Staff to work with the Administration and Human Development (AHD) Section Director to coordinate Facilities and Contract Services (FCS) activities and continue on-going collaboration
- Explore ways to continuously improve reporting, management and outreach for the SBE and DBE Programs.

Title VI

- Update/Refresh Title VI Training Tools for staff to come in FY 22/23
- Title VI Complaint Process to be documented for:
 - Formal Complaints
 - Basic Inquiries
- Title VI Triennial 2023 process to begin in Fall 2022
- Exploring a joint procurement effort with the Air District for a review of our Language Assistance Plan and the most recent Census results
- Assist staff with project related Title VI needs
- On going support of Title VI Working Group (encompasses agencies from entire state)

Questions

Contact Information

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